

1           **Modeling and control of doubly fed induction generator with a disturbance**  
 2           **observer: A stator voltage oriented approach**

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9   **Abstract**—The popularity of renewable energy conversion systems, especially wind

10 energy has been increasing in recent years. Doubly fed induction generator (DFIG)

11 based wind energy systems are intensively used due to their wide range of active and

12 reactive power controllability. Conventional DFIG control structures consist of

13 decoupled PI rotor current controllers with stator flux orientation and machine

14 parameter dependent compensating terms. The accuracy of stator flux calculation is

15 dependent on how accurately the stator resistance is known. Integration problems also

16 exist and additional low pass filters are implemented to accurately calculate the stator

17 flux. In this study, machine dependent compensating terms are estimated with a first

18 order low pass filter disturbance observer. Therefore, a single proportional (P) controller

19 is sufficient to control decoupled rotor currents. The proposed controller structure is

20 implemented on a Matlab/Simulink platform with the parameters of 500KW DFIG used

21 in MILRES (Turkish National Wind Energy) project. The proposed controller is also

22 experimentally validated in an experimental setup.

23 **Keywords;** *Doubly fed induction generator, disturbance observer, wind energy*

24 **Symbol Nomenclature**

25  $i_{sa}, i_{sb}, i_{sc}$  : Stator a, b and c phase currents       $i_{sd}, i_{sq}$  : Stator d and q axis currents

26  $i_{ra}, i_{rb}, i_{rc}$  : Rotor a, b and c phase currents       $i_{rd}, i_{rq}$  : Rotor d and q axis currents

1	$v_{sa}, v_{sb}, v_{sc}$ : Stator a, b and c phase voltages	$v_{s\alpha}, v_{s\beta}$ : $\alpha$ and $\beta$ axis stator voltage
2	$v_{ra}, v_{rb}, v_{rc}$ : Rotor a, b and c phase voltages	$v_{sd}, v_{sq}$ : Stator d and q axis voltages
3	$v_{rd}, v_{rq}$ : Rotor d and q axis voltages	$R_s, R_r$ : Stator and rotor resistances
4	$P_s$ : Stator Active Power	$Q_s$ : Stator reactive Power
5	$L_s, L_r$ : Stator and rotor inductances	$L_m$ : Mutual inductance
6	$L_{rb}$ : Base value of rotor inductance	$\Delta L_r$ : Disturbance of $L_r$
7	$p$ : Number of pole pairs	$\omega_s$ : Stator electrical speed
8	$\omega_m$ : Rotor mechanical speed	$T_m$ : Mechanical Torque
9	$T_e$ : Electrical Torque	$b$ : Viscous friction constant
10	$\theta_s$ : Stator electrical angle	$\theta_r$ : Rotor electrical angle
11	$T_s$ : Sample Time	$s$ : Laplace operator
12	$k_p, k_q$ : Proportional gain of controller	

### 13 **1. Introduction**

14 Research on renewable energy conversion systems is of great importance due to  
15 the rapid consumption of fuel resources and environmental issues. Wind energy  
16 conversion systems appear to be the fastest growing technology and most of the  
17 electrical energy generated by renewable sources is from wind energy conversion  
18 systems [1]. DFIG based wind turbines have important advantages compared to other  
19 wind energy conversion systems. For example, 30% of rated stator power is sufficient for  
20 the rotor side inverter circuit to achieve 4-quadrant stator active and reactive power flow  
21 with a speed variation around  $\pm 25\%$ . This reduces the cost and complexity of the overall  
22 system.

1           Considerable research studies are encountered about DFIG modeling and control  
2 in the literature. DFIG dynamic equations are fully written in [1, 2]. A complete  
3 simulation and modeling for high power DFIG wind farms are given in [3]. Reduced  
4 order DFIG dynamic model was also proposed in this study due to simulation  
5 constraints.

6           From orientation frame point of view, basically, stator flux [4] and stator voltage  
7 orientation [5] can be encountered in which the position of the stator-flux or voltage  
8 space vector is aligned with the d-axis of the d-q frame. Stator flux orientation control  
9 techniques are dependent on the accuracy of stator resistance information. Integration  
10 problems also exist and additional low pass filters are implemented to accurately  
11 calculate stator flux [4]. The effect of stator resistance value can be neglected in flux  
12 calculation and stator voltage orientation could be applied by adding  $90^\circ$  phase shift to  
13 voltage angle. This may cause additional coupling effect in active and reactive power  
14 control, which can be compensated by implementing additional controllers in the outer  
15 loop as given in [5]. It is claimed in [6] that controller performances of both orientation  
16 frames are equivalent.

17           The idea of stator voltage orientation with disturbance observer starts with [7].  
18 The scheme of [7] consists of simulation results of direct stator active and reactive  
19 power control with disturbance observer.

20           Synchronization is also another issue to smoothly connect DFIG to the grid. Grid  
21 and generated stator voltages must be collinear, which means that equal in phase and  
22 amplitude, before DFIG is connected to the grid to prevent high currents. Majority of

1 the contributions focus on a mode of operation that DFIG is already connected to the  
2 grid. Synchronization procedure is comprehensively analyzed in [8] with important  
3 citations.

4 There are also considerable research studies which are looking from different  
5 control perspectives. Direct power control strategies which directly control stator power  
6 without rotor current control loops are reported in [9, 10]. Sliding mode controller  
7 structures [11, 12] are also important contributions which deal with energy  
8 maximization and robustness against disturbances. There are also reputable studies  
9 which consider robustness against grid voltage problems [13, 14, 15].

10 This study focuses on designing a novel robust stator voltage oriented DFIG  
11 controller structure with low pass filter first order disturbance observer. The main  
12 contribution of this paper is to achieve a simpler controller compared to basic  
13 conventional schemes given in [4, 5]. Machine dependent compensating terms are  
14 accurately estimated with the first order low pass filter disturbance observer. This  
15 prevents the necessity to accurately know the machine parameters which may  
16 deteriorate according to physical conditions. Decoupled proportional rotor current  
17 controllers are sufficient to separately control stator active and reactive power. The  
18 parameters of the actual 500KW DFIG in the MILRES (National Wind Energy  
19 Systems) project are used for the Matlab/Simulink based system model. The proposed  
20 current controller is also implemented on 1.1KW DFIG experimental test bed.

21 The rest of the paper is organized as follows. The dynamic equations of DFIG  
22 are given in chapter 2. Chapter 3 provides a controller structure based on a first order

1 disturbance observer. Simulation results of the proposed control structure are  
 2 demonstrated in chapter 4. Experimental results are in chapter 5. Finally, 6<sup>th</sup> chapter  
 3 gives the conclusion and proposes the future work.

## 4 **2. DFIG Dynamic Equations**

5 Before writing the dynamic equations  $L_r$  value could be written as follows.

$$6 \quad L_r = L_{rb} + \Delta L_r \quad (1)$$

7  $L_{rb}$  is the inductance value at fundamental frequency.  $\Delta L_r$  is the value which is affected  
 8 by frequency and other physical disturbances. DFIG dynamic equations in [1, 2] can be  
 9 rewritten as follows;

$$10 \quad V_s = L_s \frac{di_s}{dt} + R_s I_s + L_m \frac{di_r}{dt} + M_s (I_s + M_r I_r) \quad (2)$$

$$11 \quad V_r = L_{rb} \frac{di_r}{dt} + \Delta L_r \frac{di_r}{dt} + R_r I_r + L_m \frac{di_s}{dt} + N_s (I_r + N_r I_s) \quad (3)$$

12 The matrices V, I, M and N are defined as follows;

$$13 \quad V = [v_d \quad v_q]^T \quad I = [i_d \quad i_q]^T \quad (4)$$

$$14 \quad M_s = \begin{bmatrix} 0 & L_s \omega_s \\ -L_s \omega_s & 0 \end{bmatrix}, \quad M_r = \begin{bmatrix} 0 & \frac{L_m}{L_s} \\ -\frac{L_m}{L_s} & 0 \end{bmatrix} \quad (5)$$

$$15 \quad N_s = \begin{bmatrix} 0 & L_s \omega_s \\ -L_s \omega_s & 0 \end{bmatrix}, \quad N_r = \begin{bmatrix} 0 & \frac{L_m}{L_r} \\ -\frac{L_m}{L_r} & 0 \end{bmatrix} \quad (6)$$

16 All the rotor variables are referred to the stator side. The electromagnetic torque can be  
 17 given as;

$$18 \quad T_{em} = \frac{3}{2} p L_m (i_{rd} i_{sq} - i_{rq} i_{sd}) \quad (7)$$

19 Stator active and reactive Power equations can be written as;

1  $P_s = \frac{3}{2}(v_{sd}i_{sd} + v_{sq}i_{sq})$  (8)

2  $Q_s = \frac{3}{2}(v_{sq}v_{sd} - v_{sd}i_{sq})$  (9)

3 The equation of motion can be given as;

4  $\frac{d\omega_m}{dt} = \frac{1}{J}(T_m - T_e + b \cdot \omega_m)$  (10)

### 5 **3. Control System**

#### 6 **3.1 Stator Voltage Angle Calculation**

7 Rotating frames could be differently aligned in the literature. Stator voltage  
8 angle is aligned with d axis, with  $v_s=v_{sd}$  and  $v_{sq}=0$ . The voltage vectors and reference  
9 frames are in figure 1.

10 The voltage angle could be calculated by using,

11  $\theta_s = \arctan\left(\frac{v_{s\beta}}{v_{s\alpha}}\right)$  (11)

12 However, phase lock loop (PLL) techniques which are more robust against  
13 voltage disturbances are widely used for phase and frequency detection of the voltage  
14 signal. Different PLL techniques could be encountered in the literature [16]. A basic  
15 PLL algorithm which is given in figure 2 is used in simulations and experiments of this  
16 study. Grid voltages, transformed into dq coordinate system are the inputs of the  
17 algorithm. Q component of the voltage could be forced to be zero with a PI controller.  
18 Output of the PI controller is the grid frequency. Integration of the grid frequency is the  
19 position of the signal. This position is used in the abc-dq calculation.

### 1 3.2 Design of Current Controllers

2 DFIG rotor voltage equation in (3) must be rewritten to simply design  
 3 proportional rotor current controllers. All currents and voltages could be measured in real  
 4 applications without any problems. However, machine parameters may deteriorate  
 5 according to physical conditions. The aim of rewriting the equations is to separate the  
 6 machine dependent and independent terms. Therefore, a simpler controller structure  
 7 could be achieved. Rotor dynamics in (3) could be rewritten as follows;

$$8 \frac{dI_r}{dt} = \frac{V_r}{L_{rb}} + \underbrace{\left(-\frac{R_r}{L_r} V_r - \frac{L_m}{L_r} \frac{dI_s}{dt} + N_s(I_s + N_r I_r) - \Delta L_r \frac{dI_r}{dt}\right)}_{V_r^{dis}} \quad (12)$$

9 The vector  $V_r^{dis}$  is defined as  $V_r^{dis} = [v_{rd}^{dis} \quad v_{rq}^{dis}]$  that is considered as parameter  
 10 dependent disturbance terms. Equation (12) could be simplified as follows.

$$11 \frac{dI_r}{dt} = \frac{V_r}{L_{rb}} + V_r^{dis} \quad (13)$$

12 Derivative of errors for rotor currents can be written as;

$$13 \frac{d\varepsilon_r}{dt} = \frac{dI_r^{ref}}{dt} - \frac{dI_r}{dt} \quad (14)$$

14 Where  $\varepsilon_{rd} = [\varepsilon_{rd} \quad \varepsilon_{rq}]^T$

15 (13) and (14) could be written with (14) as follows;

$$16 \frac{d\varepsilon_r}{dt} = -\frac{V_r}{L_{rb}} - \left(-\frac{dI_r^{ref}}{dt} + V_r^{dis}\right) \quad (15)$$

17 Next, the desired closed loop dynamics can be written as;

$$18 \frac{d\varepsilon_r}{dt} + K\varepsilon_r = 0 \quad (16)$$

19 Where  $K = [k_d \quad k_q]$  is defined as proportional controller gain.

20 If (15) is written to (16) desired closed loop dynamics is rewritten as follows;

$$1 \quad -\frac{V_r}{L_{rb}} + \left(\frac{dI_r^{ref}}{dt} + V_r^{dis}\right) - K\varepsilon_r = 0 \quad (17)$$

2 Rotor voltage equations could be obtained by rewriting (17)

$$3 \quad V_r^{ref} = L_{rb}\left(\frac{dI_r^{ref}}{dt} + V_r^{dis} + K\varepsilon_r\right) \quad (18)$$

4 If the effect of  $\frac{dI_r^{ref}}{dt}$  is neglected, control effort could be expressed as follows.

$$5 \quad V_r^{ref} = V_r^{dis} + L_{rb}K\varepsilon_r \quad (19)$$

### 6 **3.3 Disturbance Observer**

7 In this section, the derivation of the disturbance observer is presented. The vector  $V_r^{dis}$   
 8 is the disturbance term which is dependent on physical conditions. Controller structure  
 9 could be finalized, if the disturbances are correctly estimated.

$$10 \quad V_r^{dis} = V_r - L_{rb} \frac{dI_r}{dt} \quad (20)$$

11 Writing (22) in s domain and implementing first order low pass filter disturbance  
 12 observer concept [17];

$$13 \quad \hat{V}_r^{dis} = \left(V_r - L_{rb} \frac{dI_r}{dt}\right) \frac{g}{s+g} \quad (21)$$

14 The estimation error can be expressed as;

$$15 \quad V_r^{dis} - \hat{V}_r^{dis} = \left(V_r - L_{rb} \frac{dI_r}{dt}\right) - \left(V_r - L_{rb} \frac{dI_r}{dt}\right) \frac{g}{s+g} = \left(1 - \frac{g}{s+g}\right)V_r^{dis} \quad (22)$$

16 The estimation error converges to zero. The vector  $\hat{V}_r^{dis} = [\hat{v}_{rd}^{dis} \quad \hat{v}_{rq}^{dis}]$  is  
 17 parameter dependent estimated disturbance. The term g is the cut-off frequency of the  
 18 low pass filter in radians. It is obvious from (21) that  $\hat{v}_{rd}^{dis}$  and  $\hat{v}_{rq}^{dis}$  are independent from  
 19 machine parameters. As a result, block diagram in figure 3 can be obtained. Decoupled  
 20 control of active and reactive power could be achieved by controlling  $i_{rq}$  and  $i_{rd}$



1 respectively. This control structure can be implemented in speed, torque or power  
2 control of DFIG. Maximum power point tracking (MPPT) algorithms can be followed  
3 according to any wind turbine dynamics.

#### 4 **4. Simulation Results**

5 More accurate simulation platform is obtained compared to [3] without necessity  
6 of reduced order models by using discretized model of DFIG. 100 $\mu$ s sample time in  
7 Matlab/Simulink is used in simulations. Inverter dynamics are neglected. 500KW DFIG  
8 parameters [18] which will be used in MILRES project is given in Table 1. All the rotor  
9 parameters are referred to the stator side. Control system can work in different scenarios.  
10 In this study, generator is controlled according to speed control strategy.

11 Machine parameters dependent compensating terms of control structure is  
12 estimated by disturbance observer. Basically, two different step response tests are  
13 applied in one simulation at different time instants. Speed reference is changed from 60  
14 to 90 rad/s (from sub synchronous to super synchronous speed) at 10<sup>th</sup> second. Wind  
15 torque reference is changed from 3000Nm to 5000Nm at 12<sup>th</sup> second. It is expected that  
16 system must follow the speed (Figure 4) and wind torque (Figure 5), while accurately  
17 estimating disturbance terms. There is a huge current torque and current increase at 10<sup>th</sup>  
18 second of simulation. The reason of high current and torque is the speed step which is  
19 deliberately applied with a high speed controller gain in order to check the performance  
20 of the controller structure. Control system could handle this dramatic step and kept  
21 stable.  $i_{rd}$  reference (Figure 6) is kept zero during the simulations.

1 It is obviously seen from the simulation results that DFIG dynamic model works  
2 properly and compensating terms are accurately estimated by disturbance observer (Fig.  
3 9-10). Rotor power is changing the direction while the speed changes from  
4 subsynchronous to supersynchronous speed (Figure 8). Active and reactive stator  
5 powers are decoupled (Figure 7). Performance of the speed controller could be modified  
6 (Figure 4) according to physical conditions. However, focus of the simulation results is  
7 accurately estimating compensating terms.

## 8 **5. Experimental Results**

9 Experimental setup in Figure 11 is used in the experiments. A squirrel cage  
10 induction machine (SCIM) driven by a commercial inverter which represents the wind.  
11 DFIG plate data is given in Table 2. Controller algorithm is generated in dSPACE  
12 DS1103 by using ControlDesk C language. Sample time of the control structure is  
13 100 $\mu$ s. Semikron Semistack (21f\_b6u\_e1cif\_b6ci\_12\_v12) inverter used in  
14 experiments and 120VDC constant voltage power is directly applied to DC link.  
15 Switching frequency is 10 kHz. Low pass filter cut-off frequency ( $\omega_c$ ) is chosen as 1200.  
16 Proportional gains of the controllers ( $k_p$  and  $k_q$ ) are 100. These values are found in trial  
17 and error method in the experiments without any algebraic calculations.

### 18 **5.1 Experiment 1**

19 The aim of the first experiments is to show that decoupled control of  $I_{rd}$  and  $I_{rq}$   
20 rotor currents separately changes the stator active and reactive power respectively. DFIG  
21 is rotated in constant subsynchronous speed by SCIM.  $I_{rd}$  and  $i_{rq}$  step response tests are

1 applied in different experiments, and the change of stator reactive and active power is  
2 demonstrated respectively.

3 It is obvious from the experimental results that both rotor current controllers  
4 accurately change stator active and reactive power (Figure 13 and 16). Compensating  
5 terms also change (Figures 14 and 17 )when step responses are applied.

## 6 **5.2 Experiment 2**

7 The main objective of DFIG based wind turbines is decoupled control of active  
8 and reactive power. Control structure given in figure 3 is implemented by using basic PI  
9 power controllers in the outer loop while generator is driven by SCIM at arbitrary  
10 speed. Active and reactive power step response tests are applied and decoupled control  
11 of active and reactive stator power could be achieved.

## 12 **Conclusion**

13 Decoupled control of active and reactive power with a first order low pass filter  
14 disturbance observer is fully demonstrated with the simulation and experimental results.  
15 It is shown that compensating terms are accurately estimated by the disturbance observer  
16 in simulations. Experimental results validate the accuracy of the proposed control  
17 method by effectively achieving stator active and reactive power flow.

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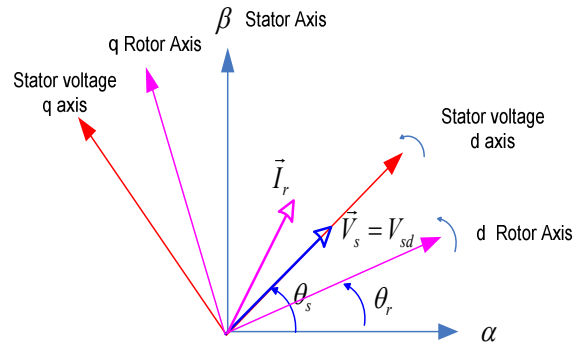
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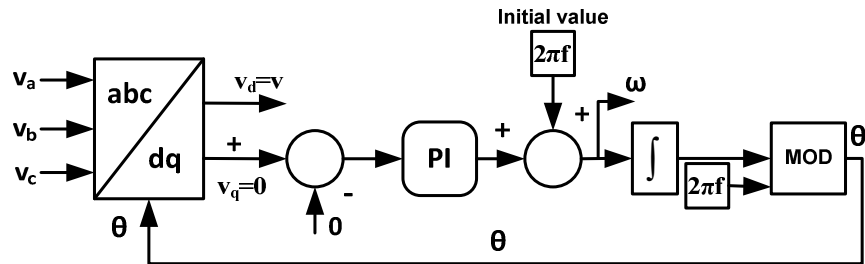
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**FIGURES**



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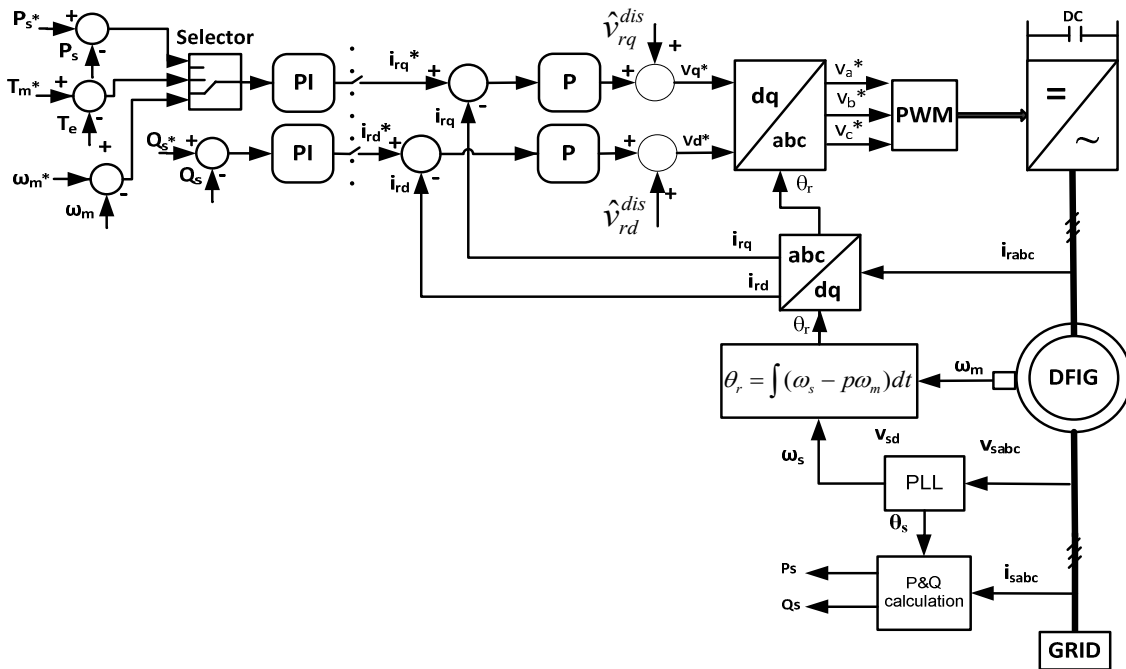
5 **Figure 1.** Voltage Vectors and Reference Frames



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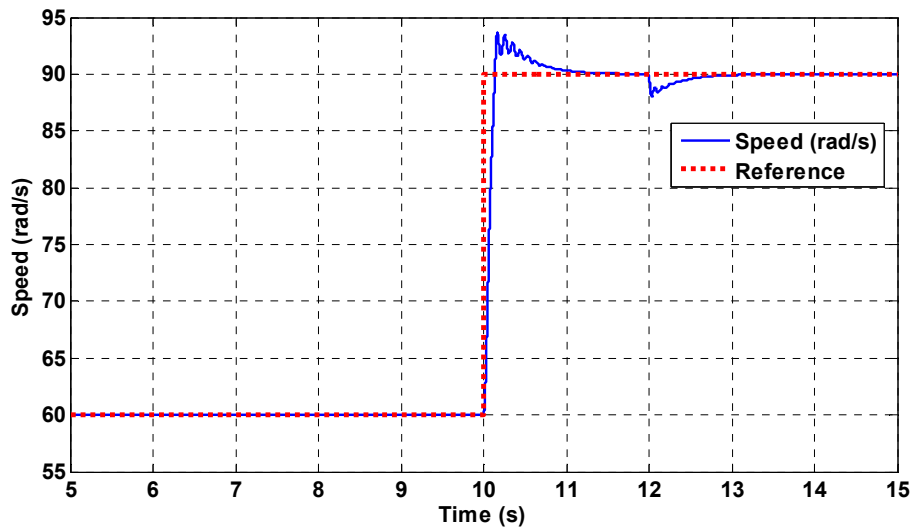
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**Figure 2.** PLL algorithm



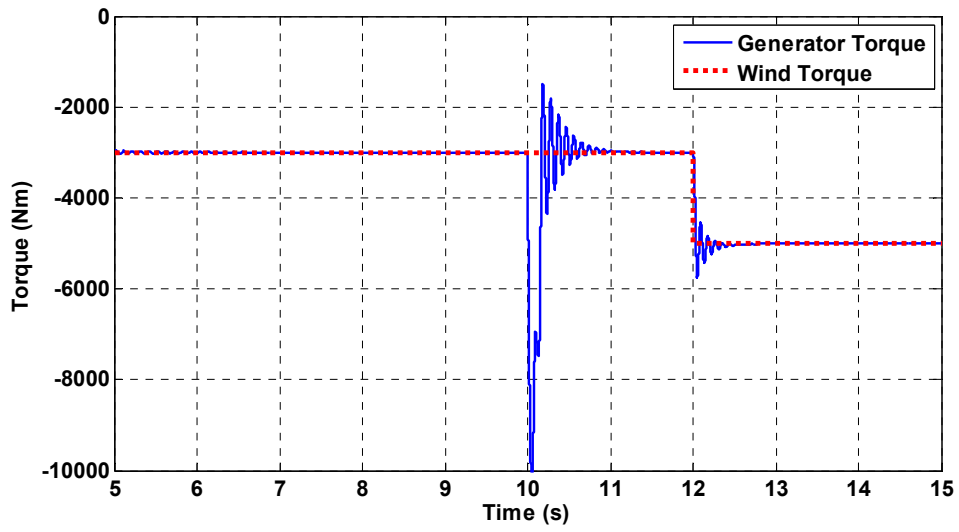
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Figure 3. Proposed Control Diagram



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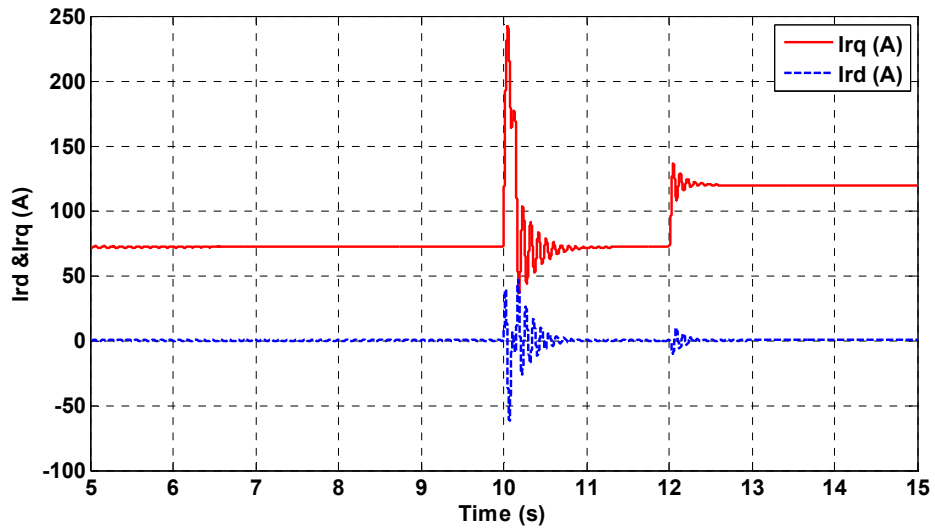
Figure 4. Actual and Reference Speed



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**Figure 5.** Generator Torque & Wind Torque

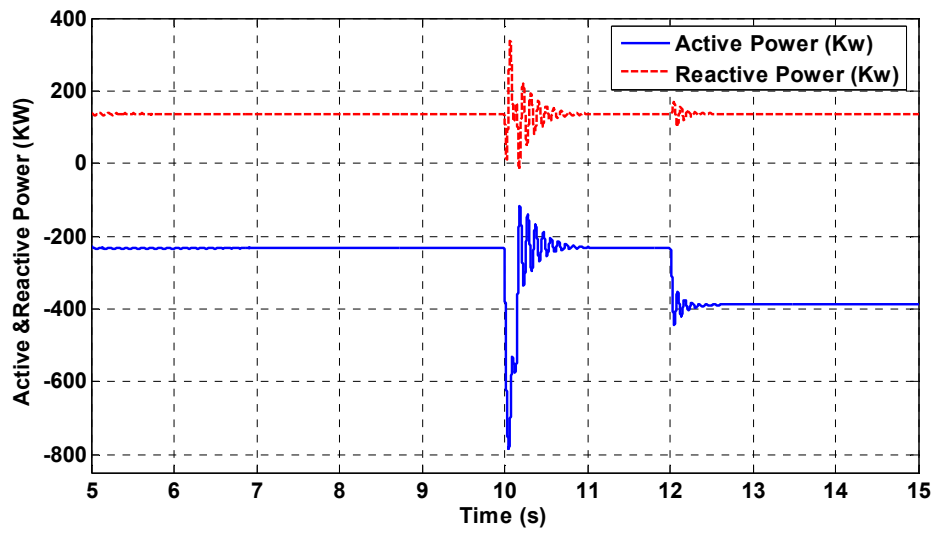


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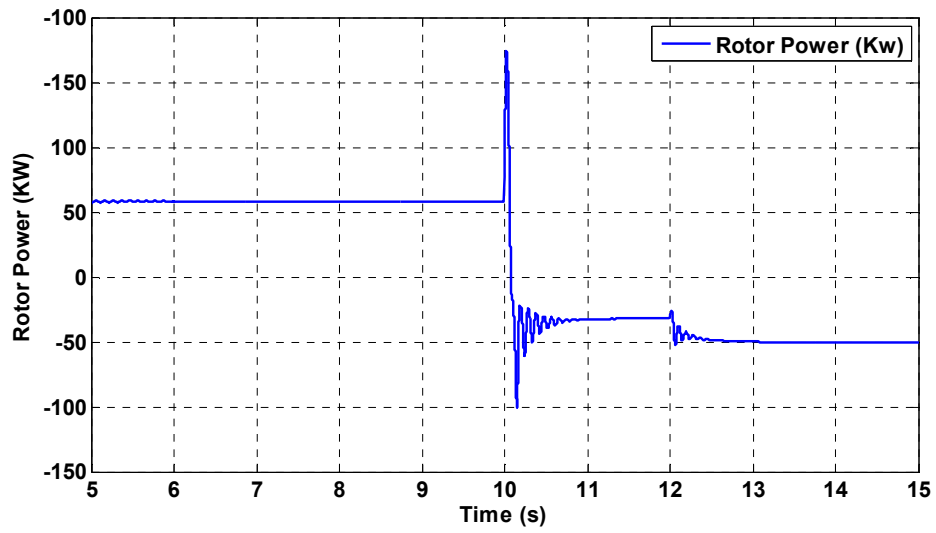
**Figure 6.** Rotor currents





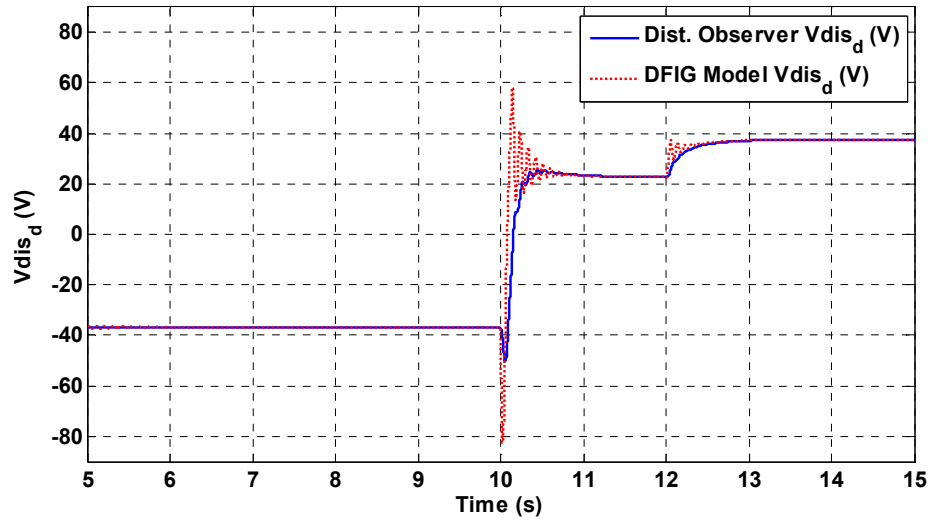
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**Figure 7.** Stator Active & Reactive Power



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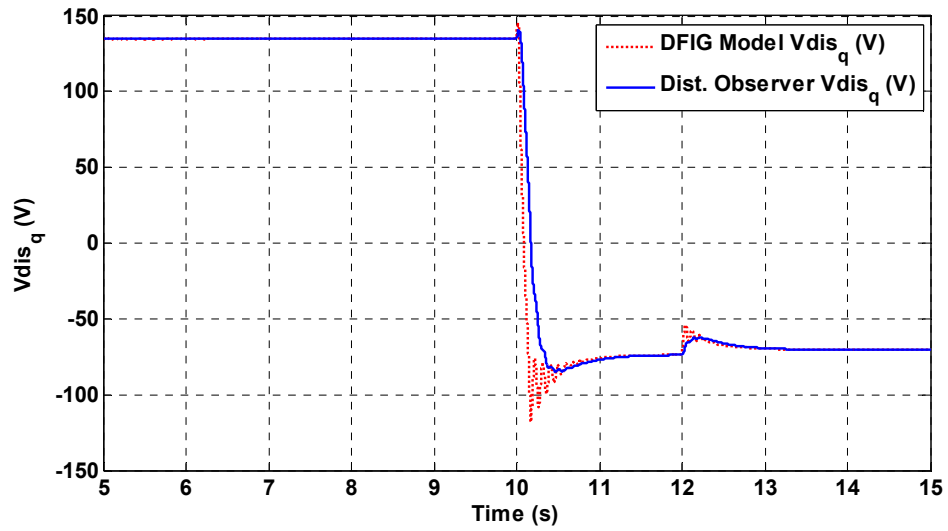
**Figure 8.** Rotor Active Power



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**Figure 9.** Estimated & Modeled Disturbance Terms ( $v_{disd}$ )

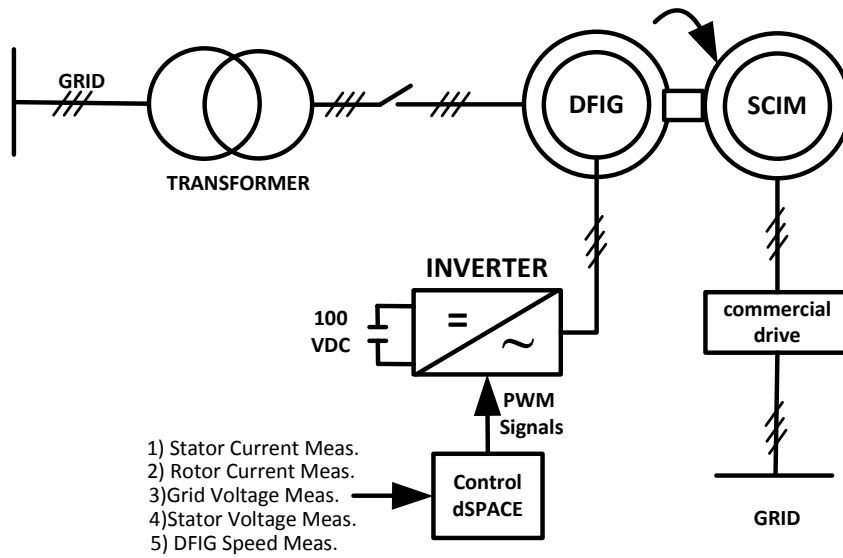


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**Figure 10.** Estimated & Modeled Disturbance Terms ( $v_{disq}$ )

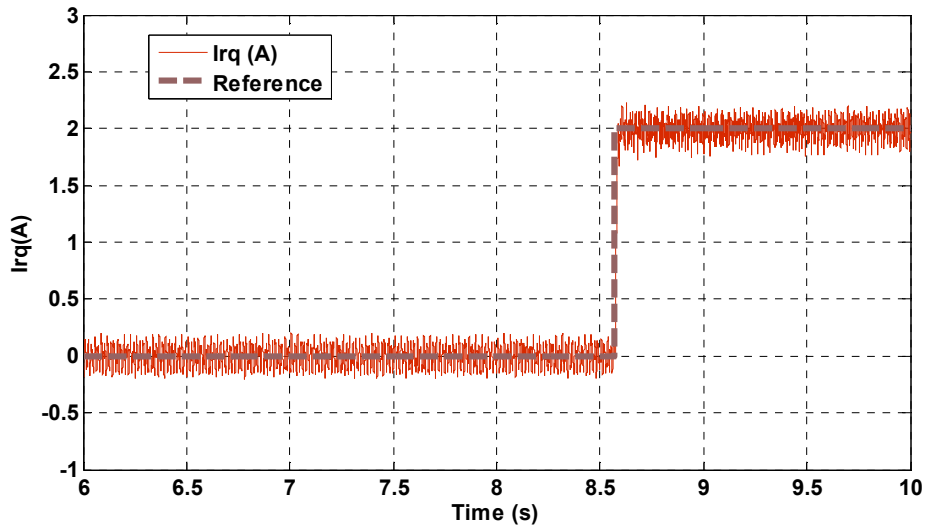
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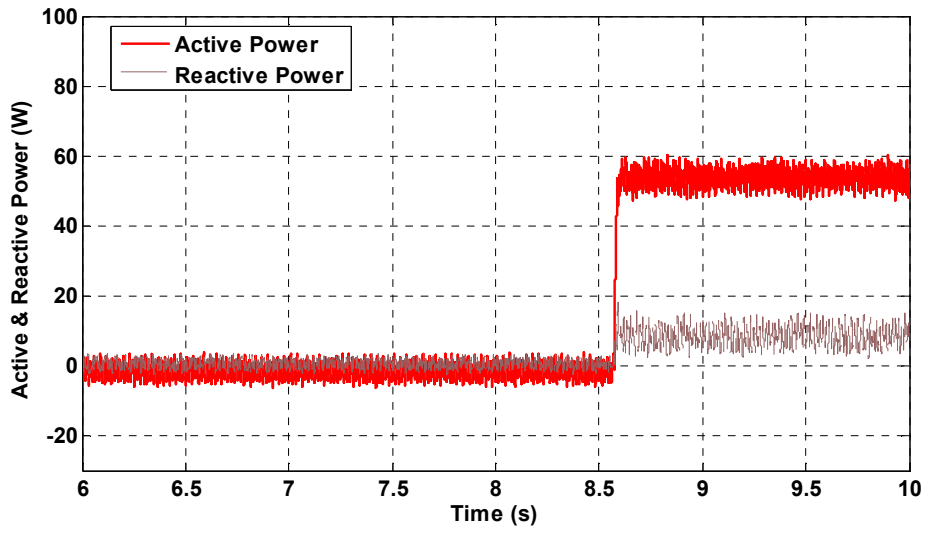
**Figure 11.** Experimental Set up



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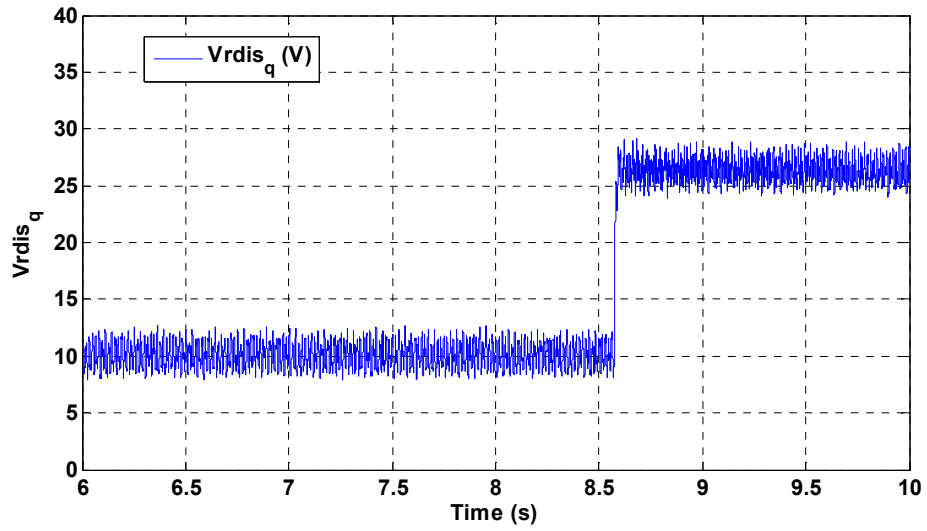
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**Figure 12.** Experiment 1-A  $I_{rq}$  step response



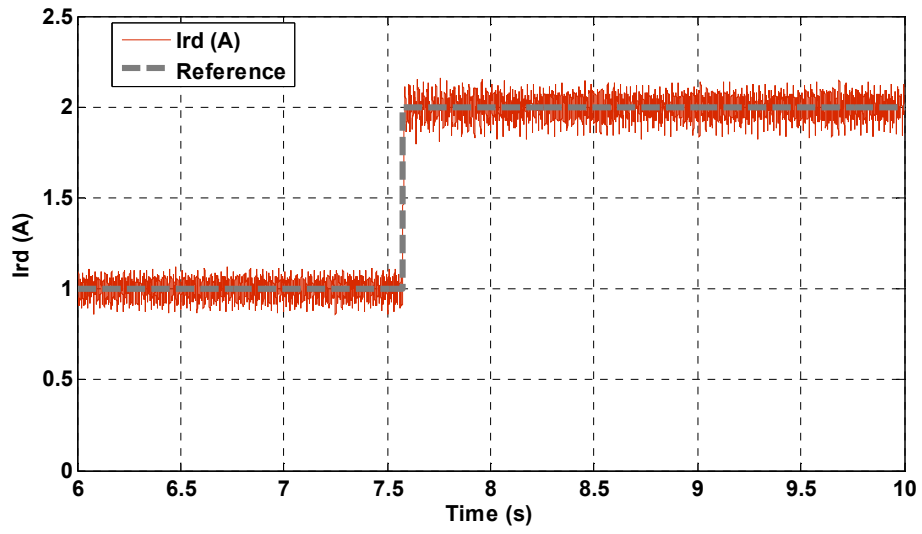
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**Figure 13.** Experiment 1-A Change of Active & Reactive Power



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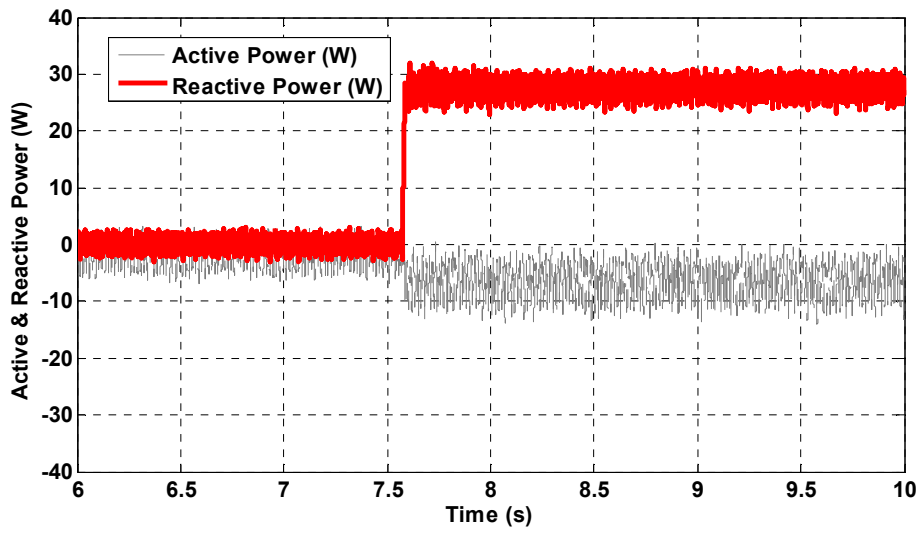
**Figure 14.** Experiment 1-A Compensating Term Sq



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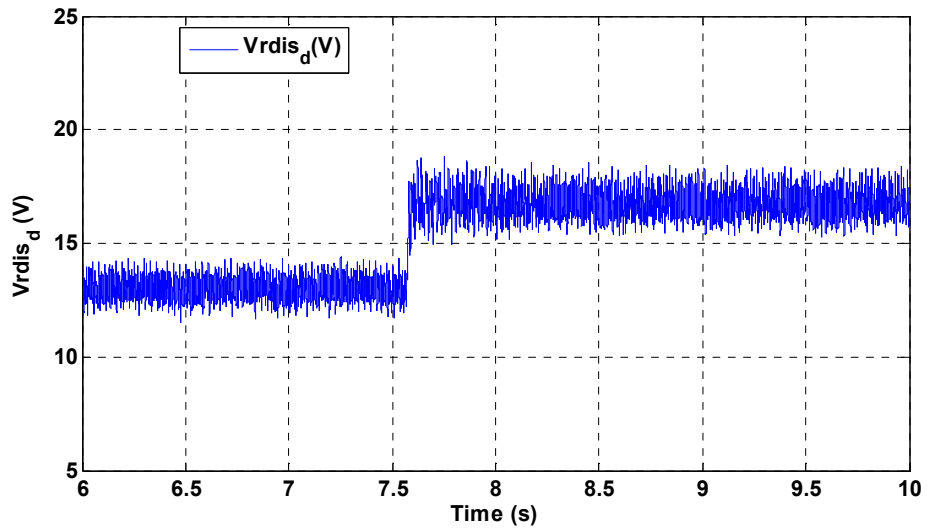
Figure 15. Experiment 1-B  $I_{rd}$  step response



3

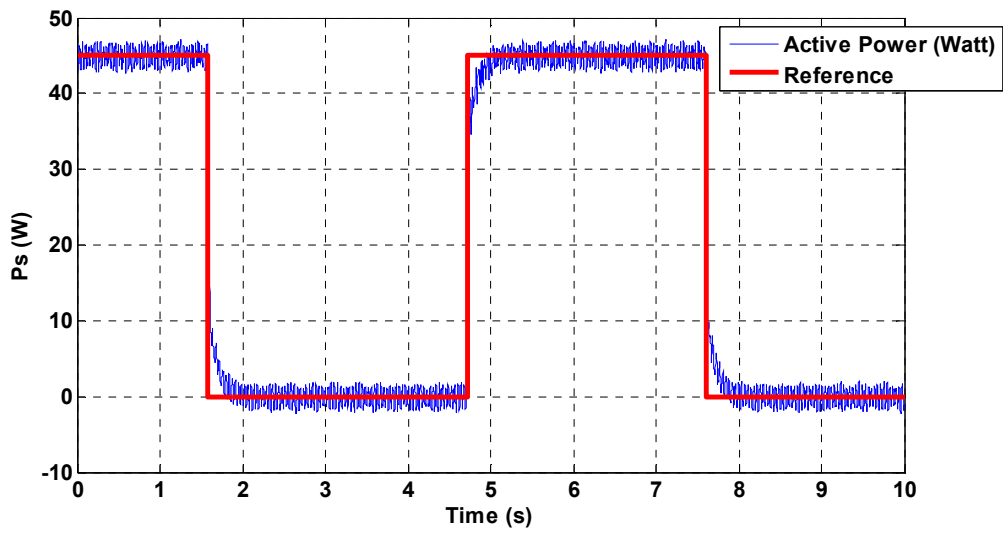
4

Figure 16. Experiment 1-B Change of Active & Reactive Power



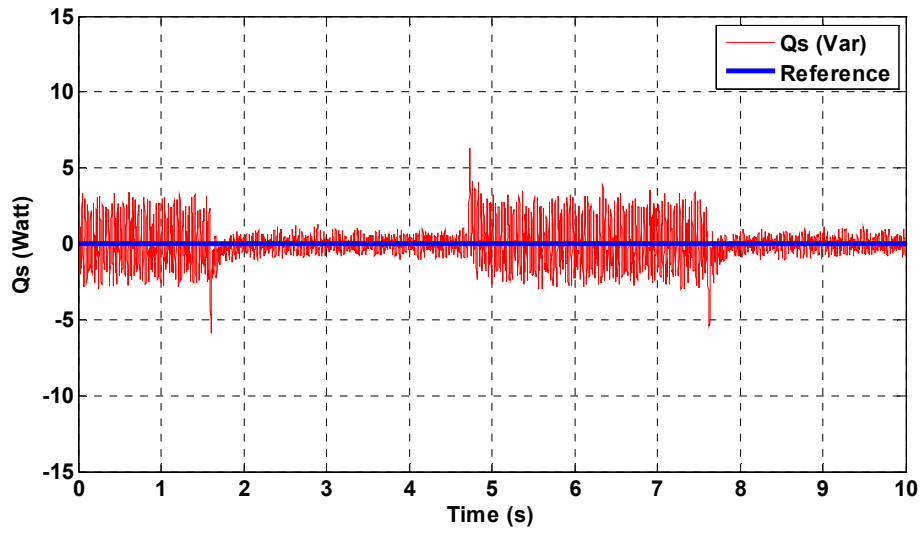
1  
2  
3

Figure 17. Experiment 1-B  $V_{rdis\_d}$



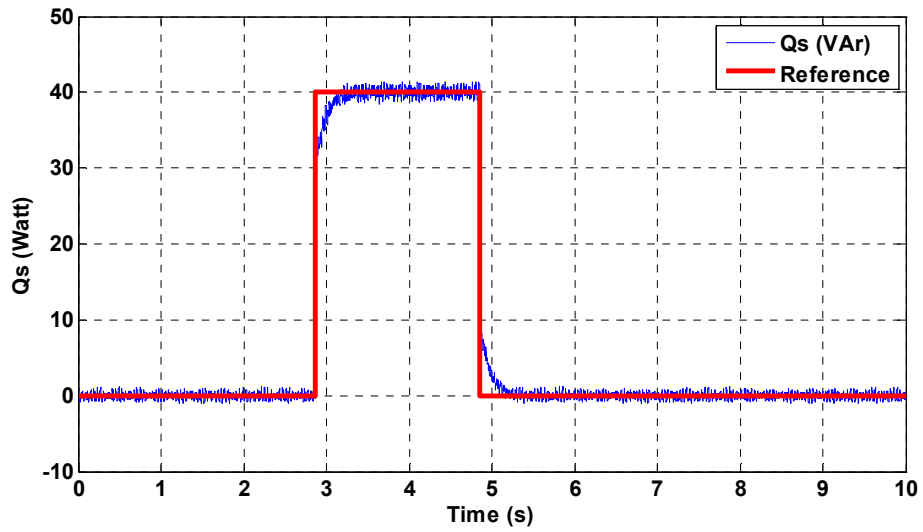
4  
5

Figure 18. Experiment 2-A Active Power Response Test



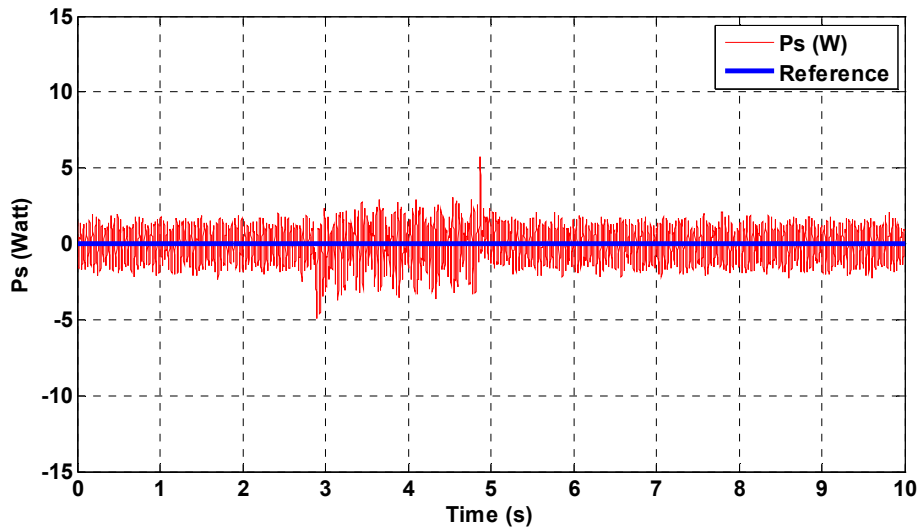
1  
2  
3

**Figure 19.** Experiment 2-A Reactive Power at Active Power Step Response Test



4  
5  
6

**Figure 20.** Experiment 2-B Reactive Power Response Test



1

2

**Figure 21.** Experiment 2-B Active Power at Reactive Power Response Test

3

**TABLES**

4

**Table 1.** DFIG parameters in simulation

Stator Power ( $P_s$ )	457.6	KW
Rotor Power ( $P_r$ )	61.4	KW
Stator Voltage	690	V
Number of Poles ( $p$ )	4	
Slip Variation	0.25	
Nominal Torque	6784	Nm
Synchronous Speed	750	rpm
Stator Resistance ( $R_s$ )	0,018	ohm
Rotor Resistance ( $R_r$ )	0,021	ohm
Mutual Inductance ( $L_m$ )	0,011	H
Stator Inductance ( $L_s$ )	0,012	H
Rotor Inductance ( $L_r$ )	0,012	H
Turn Ratio ( $n_s/n_r$ )	4	
Moment of Inertia	22	kgm <sup>2</sup>

5

6

7

8



1

2

**Table 2.** DFIG plate data in experiments

Power	1,1	KW
Stator Voltage	220/380	V (D/Y)
Stator Current	6,4/3,7	A
Power Factor	0,67	
Speed	1360	rpm
Rotor Voltage	70	V
Rotor Current	12	A

3

4

5