https://ntrs.nasa.gov/search.jsp?R=20200001990 2020-05-24T04:13:19+00:00Z

National Aeronautics and Space Administration





BRINGING NASA TECHNOLOGY DOWN TO EARTH

Building the Next Generation Technology Transfer Information System Air Force Research Laboratory (AFRL) National Aeronautics and Space Administration (NASA)

Peter B. Tran & Takeshi "Tek" Okimura Intelligent Systems Division NASA Ames Research Center March 25<sup>th</sup>, 2020





DTTIS is a scalable data system built to grow and adapt to changing conditions. It collects information from tech transfer offices, patent attorneys, innovators, and more.

DTTIS automates workflows to standardize and streamline tech transfer business rules. Resulting in improved efficiency, standardized processes and reducing errors.

2020



DTTIS is a search and reporting engine built to provide visibility and insight into Air Force's Technology Transfer and Transition (T3) Program.

## **Background Information**



- Current Dilemma: Office of the Secretary of Defense (OSD) Tech Transfer database systems and processes are obsolete, stove-piped, and ad-hoc.
- AF T3 team assessed multiple Commercial-Off-the-Shelf (COTS) and Government-Off-the-Shelf (GOTS) software tools and selected NASA's Technology Transfer System (NTTS) as the baselined solution
- NASA and AFRL established Reimbursable Inter-Agency Agreement (RIAA) in May 2019 to customize the NTTS patented software platform as next generation DTTIS Air Force (DTTIS-AF) platform
- After initial implementation, AF will work with other military branches (i.e., Navy, Army, etc.) for expansion to other services

2020

After initial expansion, AF will make DTTIS available to other Dept. of Defense (DoD) components

## **Key Strategic Benefits**



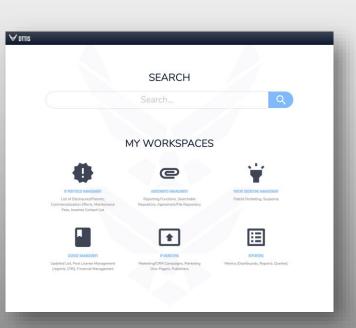
- Leverage from a proven NASA patented GOTS software platform to provide best value to AF and DoD T3 community
- DTTIS platform will facilitate, capture, manage, and streamline overall AF and DoD T3 processes and activities with data consolidation (i.e., minimize data duplications) and integration (i.e., Service-Oriented Architecture)
- DTTIS tool will provide effective Intellectual Property (IP) portfolio mgmt.
- Facilitates better communication and collaboration improvements between AF and DoD T3 stakeholders, users and customers

2020

#### **Development Roadmap**

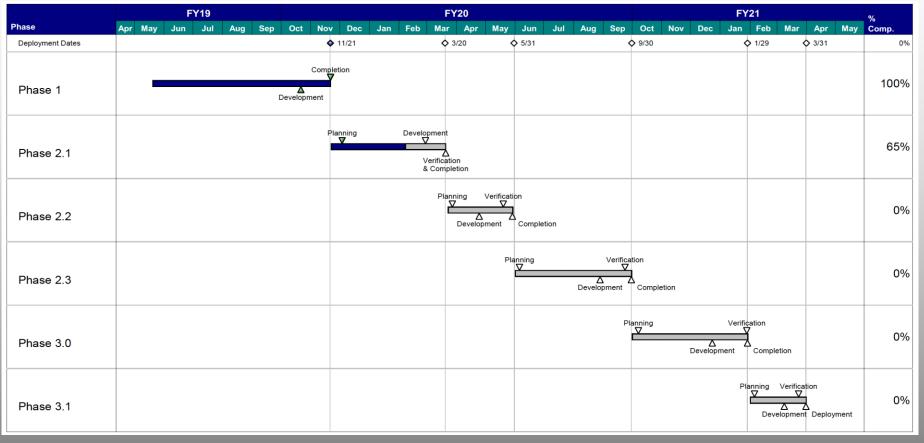
- Multi-phased technical approach using an agile/iterative development approach
- Phase 1 focused on invention disclosures, input forms, and user workspace (aka "Alpha" version) – Completed as of November 2019
- Phase 2 focuses on agreements mgmt., patent docketing, licensing, commercialization evaluation, and intuitive reporting, browsing, navigating and search capabilities with overall improvements to Phase 1 system (aka "Beta" version) – Estimated Completion Date (ECD): May 2020
- Phase 3 focuses on integrating with DoD's IT infrastructure and services (i.e., authentication via CAC Smartcard, finegrained access controls for authorization, email notifications, etc.) for production deployment with overall improvements to Phase 2 system and provide user training, documentation, and transition to AF – ECD: March 2021





## **DTTIS-AF Integrated MS-Project Schedules**





#### **Inventor Portal**

INVENTOR PORTAL	INVENTION DISCL	OSURE		
A HOME 🕀 NEW	SECURITY CLASSIFICATION PUBLICATIONS REM	URE OF THE INVENTION INVENTION S ARKS EVALUATOR RECOMMENDATIO	STATUS FUNDS PROCUREMENT/USE N APPROVING OFFICIAL	
	DISCLOSURE OF THE INVE	ENTION		
	Disclosed in a presentation outside of your organization? *	Select an option	\$	
	Disclosed in a publication or submitted for publication? *	Select an option	\$	
	Otherwise disclosed to another person outside of your organization? *	Select an option	\$	
	Sold or offered for sale? *	Select an option	•	
	Tested or in use?	VINVENTOR PORTAL		marcy.b.inventor@r
		S INVENTOR PORTAL ↑ HOME ④ NEW	OPEN DISCLOSURE LI Below you can review any open disclosures.	ST
				BY Select Field \$
			TECH TITLE #         ROLE #         REPORT DATE           DTTIS         INVENTOR         3/12/2020	
			< 1 - 1 of 1	>



One-stop shop for inventors and reviewers to submit, review and approve disclosures.

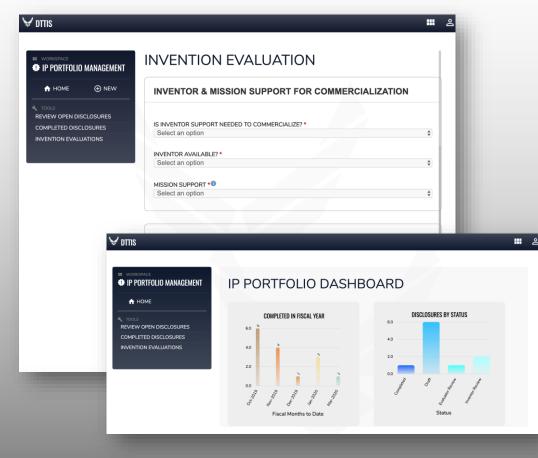
Step by step form to guide the inventor through the disclosure process.

Generate AF1279 PDFs on the fly.

nasa.gov 🙎

## **IP Portfolio Workspace**





- Manage entire IP portfolio from a single workspace
   Monitor disclosures being drafted or in review
   Evaluate inventions for licensing potential
   Dashboard to visualize metrics related to intellectual property
   Generate PDF of AF1279
- Upcoming Enhancements
   Patent Docketing
   Additional Dashboard Metrics

## **Patent Docketing Workspace**

	TECH TITLE =	DOCKET NUMBER = PATENT NUMBER	F REPORT DATE F	ACTIONS	
	Display screen or portion	D868819	2/8/2019	0	
	Fluorinated cycloalkene f	10385077	2/7/2019	0 /	
e De las las de las sectores de las de	Wide-band digital buffer	10411706	10/19/2018	0 /	
	Fundamental mode operatio	10404034	9/20/2018	0 /	
	Non-contact	10398327	9/14/2018	0 /	
	assessment of	10350327			
۶	assessment of	1556527			
	2 (000)/002200 200 PT	PATENTS			
	DTTIS 10 WORKSPACE	PATENTS	DN		
	PDTTIS MORKSPACE PATENTS MANAGEMENT	PATENTS PATENT INFORMATI PATENT NO	P	ATENT ISSUE DATE	
		PATENTS PATENT INFORMATI	F	ATENT ISSUE DATE 2020-03-13 PPLICATION FILING DATE	
		PATENTS PATENT INFORMATI PATENT NO Enter a Patent No	F	2020-03-13	Date
		PATENT INFORMATI PATENT INFORMATI PATENT NO Enter a Patent No APPLICATION SERIAL NO	F	2020-03-13	Date



NASA TECHNOLOGY TRANSFER PROGRAM

- Manage entire patent portfolio from the Patent Docketing Workspace
- Upcoming Enhancements

8

Implementation of patent rules USPTO data connection Notification of important dates (provisional and nonprovisional patent expiration, USPTO actions, maintenance fees)

## **Agreements Management Workspace**

AGREEMENT MANAGEMENT AGREEMENTS LISTING ♠ HOME (+) NEW AGREEMENTS LISTING FILTER BY Select Field \$ COMPANY NAME ACTIONS CONTROL NUMBER AGREEMENT TITLE AGREEMENT TYPE EFFECTIVE DATE SEARCH/LISTING Testing Agreemtn between AFRL and Information Transfer 20-20-RQ-01 2020-02-06 1 Boeing Lockheed Martin -Agreement co-op agreemtn in Educational 20-016-RO-16 Lockheed Martin Agreement title 12 2020-02-19 1 Partnership Educational 234234 TEST 2020-02-19 1 Agreement title Partnership Educational 234234 TEST EST 2020-02-19 1 Partnership V DTTIS AGREEMENTS MANAGEMENT DASHBOARD AGREEMENT MANAGEMENT A HOME (+) NEW COMPLETED IN FISCAL YEAR COMPLETED BY TYPES IN FISCAL YEAR SEARCH/LISTING 4.0 2.0 PLA OTHE Fiscal Months to Date



- Customized workspace to manage agreements
   CRADA, MOA/MOU, License, JOA, and more.
- Dashboard to view realtime metrics

Metrics breakdown by agreement types, number of agreements executed in date period, and more.

Upcoming Enhancements

**1** 8

Agreement/File Repository Agreement Templates Additional Dashboard Metrics

technology.nasa.gov

## **License Management Workspace**

NORKSPACE LICENSE MANAGEMENT	LICENSE				
A HOME   ⊕ NEW	LICENSEE INFOR	LICENSEE INFORMATION			
OOLS ARCH/LISTING	LICENSEE/COMPANY NAM	LICENSEE/COMPANY NAME Enter a Licensee/Company Name			
	Enter a Licensee/Comp				
	CONTACT	PHONE	EMAIL	_	
	Enter a Contact	Enter a Phone	Enter a Email		
DTTIS III WORKSPACE LICENSE MA		CENSE MANA	GEMENT DAS	SHBOARD	
# WORKSPACE	INAGEMENT				
WORKSPACE	⊕ NEW	CENSE MANA		SHBOARD TED BY TYPES IN FISCAL YEAR	
HI WORKSPACE	⊕ NEW	COMPLETED IN FISCAL YEAR	COMPLE		
HI WORKSPACE	⊕ NEW	COMPLETED IN FISCAL YEAR	COMPLE 5.0		
HI WORKSPACE	⊕ NEW	COMPLETED IN FISCAL YEAR	<b>COMPLE</b> 5.0 4.0		
EL WORKSPACE UCENSE M/ HOME TOOLS	⊕ NEW	COMPLETED IN FISCAL YEAR	COMPLE 5.0 4.0 3.0 2.0 1.0		
E WORKSPACE	⊕ NEW	COMPLETED IN FISCAL YEAR	5.0 4.0 2.0		



NASA TECHNOLOGY TRANSFER PROGRAM

- Customized workspace to manage licenses
   Exclusive, Co-Exclusive, Non-Exclusive, and more.
- Dashboard to view realtime metrics
  - Metrics breakdown by license types, number of licenses executed in date period, and more.
- Upcoming Enhancements

License Royalty Tracking and Distribution

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## **Keyword Full-Text Search Engine**

-	sensor	Q
RESULTS		
1 to 10 of 169 for "sensor"		PER PAGE 10 \$
👻 Sensor system		
patent   4,517,464   1982-09-30		
🍟 Gas sensor	cell which has a anion-eange solid polymer electrolyte membrane with three att	ached elect
Gas sensor A gas sensor including an electrochemical sensor	cell which has a anion-eange solid polymer electrolyte membrane with three at	ached elect
Gas sensor A gas sensor including an electrochemical sensor patent [5,527,446   1995-04-13 Absolute distance sensor The improved Absolute Distance Sensor has achie	cell which has a anion-eange solid polymer electrolyte membrane with three att ved presently a resolution of about 0.03 .mu.m (RMS) for measurements over lo	
Gas sensor A gas sensor including an electrochemical sensor patent   5,527,446   1995-04-13		
Gas sensor A gas sensor including an electrochemical sensor patent   5,527,446   1995-04-13  Absolute distance sensor The improved Absolute Distance Sensor has achie color, synthetic Michelso patent   4,611,915   1983-06-07	ved presently a resolution of about 0.03 .mu.m (RMS) for measurements over lo	



- Search across entire DTTIS system:
  Invention Disclosures
  Invention Evaluations
  Patents
  Agreements
  Licenses
  Attachments
- Implemented with ranking and relevancy
- Ability to apply filters to results to target key documents

V

## **Upcoming Key Strategic Product Capabilities**



- Patent DocketingImplementation of patent rulesIntegration with United States Patent &<br/>Trademark Office (USPTO)
- IP Marketing Workspace
   Generate technology marketing onepagers
  - Publisher/Feed to publish content for websites
  - Customer Relationship Mgmt. (CRM) connectors to connect system to SuiteCRM tool

- Reports & Metrics

   Report Builder
   Technology Transfer Metrics Report
   Technology Transfer Dashboard

   System Integration with

   AF/DoD IT Infrastructure
   Email Notification System
  - Authorization Services
  - **DoD CAC Authentication Integration**

**Digital Signatures** 



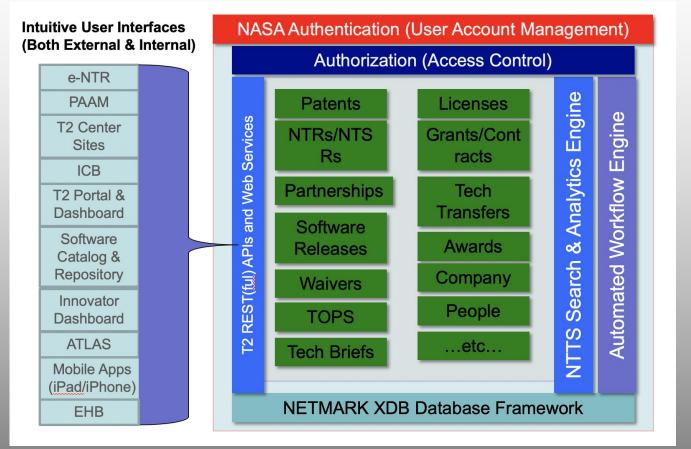
# **Questions?**



# **Backup Slides**

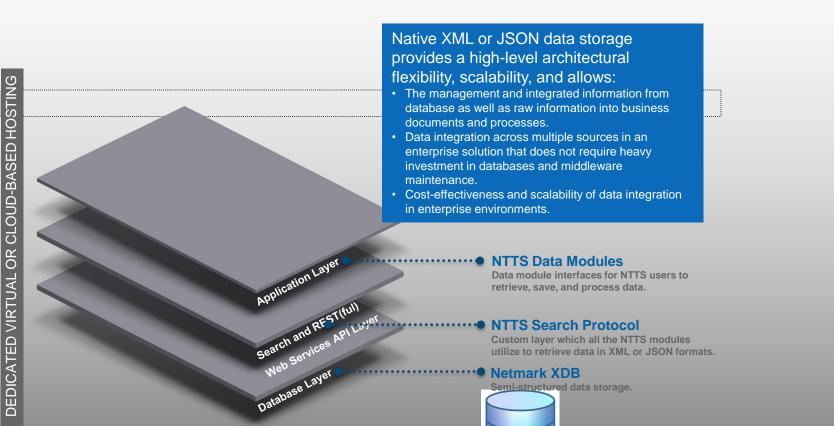
## **NTTS High-Level System Architecture**





#### **NTTS System Overview**





## Technology Transfer Key System Capabilities Comparison



Technology Transfer Key System Capabilities Comparison						
Key Capabilities	NTTS	iEdison	IPfolio	TechTracS		
Data Collection and Management	•	•	•	•		
Extramural Reporting	•	•	•	•		
Intramural Reporting	•	•	•	•		
Workflow Automation	•	•	•	•		
Business and Marketing Communications	•	•	•	•		
Business Intelligence, Metrics, Analytics, Visualization	•	•	•	•		
APIs and Web Services	•	•	•	•		
Cloud-enabled for Scalability and Flexibility	•	0	•	•		
Patent Docketing	•	•	•	•		
Security Compliance—Authentication and Authorization Services Tailored to U.S. Federal Government IT Security Standards—NIST FIPS–199, FedRAMP, etc.)	•	•	0	0		
Full capacity      Partial capacity     No capacity						
Important Notes:         1. Interagency Edison (iEdison) is a technology transfer tool managed by NIH's Office         https://public.era.nih.gov/iedison/public/login.do         2. IPfolio is a Commercial-Off-the-Shelf (COTS) technology transfer tool: https://www         3. Knowledge Sharing System (KSS) TechTracS is a COTS-based technology transfer	vw.folio.com		ring.com			

#### NTTS Return on Investment (ROI) - Paperwork Reduction

TRANSFER PROGRAM

LICENSE APPLICATION

As part of U.S. federal regulation, the Paperwork Reduction Act of 1980 (Pub. L. No. 96-511, 94 Stat. 2812, codified at 44 U.S.C. 3501-3521), NTTS Automated Technology Licensing Application System (ATLAS) provided an average of about 8 hours time saved for each user response with an average annual ATLAS rate of 360 responses/year.

This equates to a total of 8 hrs./response x 360 responses/year = 2,880 hrs./year saved, which equates to projected cost savings of about \$169,920/year!

OMB Control Number: 2700-0169 ICR Reference Number:201811-2700-001 Agency: NASA ign and Subi Expiration Date: 12/31/2021 ICR Annual Costs: Contact Us Title: Automated Technology Licensing Application System (ATLAS) First, we need to ask a few questions to figure out what type of license would work best for you \$ 169,920 ICR AnnualResponses: ICR Annual Hours: 360 2.880 Information Collections: Title Form Name Form Number Annual Responses Annual Hours Annual Cost Automated Technology NASA Tech Transfer 360 2.880 \$ 169.920 n/a Licensing Application License Application System (ATLAS)

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