

Spectral-Efficient Bidirectional Decode-and-Forward Relaying for Full-Duplex Communication

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Abstract—As a benefit of sophisticated interference cancellation techniques, full-duplex (FD) transceiver design may become feasible, even possibly on the aggressive time-scale of fifth-generation (5G) wireless communication systems. Hence, we further develop the recent bidirectional relaying [i.e., the two-way half-duplex (HD) relaying] aided cooperative network to its more radical counterpart, which entirely consists of FD entities for the sake of adapting to emerging FD communication scenarios. In more detail, the proposed bidirectional relaying-aided FD network operates in a decode-and-forward (DF) style and exploits the advanced network coding (NC) concept. We analyze its achievable error-free data rate, where the effects of both the self-interference (SI) and of the geographic location of the relay node (RN) are evaluated. Furthermore, the potential variations of the networking scenario are also taken into account. Based on this theoretical analysis, the optimum rate allocation scheme maximizing the system's error-free data rate is found. Our results demonstrate that a significant spectral efficiency gain is achieved by the proposed system.

Index Terms—Author, please supply index terms/keywords for your paper. To download the IEEE Taxonomy go to http://www.ieee.org/documents/taxonomy_v101.pdf.

I. INTRODUCTION

A set of cooperating mobiles may be viewed as a distributed multiple-input-multiple-output (MIMO) system relying on the spatially distributed single antennas of the cooperating mobiles, where the correlation of the antenna elements imposed by their insufficient separation experienced in conventional MIMO systems is efficiently avoided [1]. Furthermore, the detrimental path-loss effects may also be significantly miti-

gated by incorporating relay nodes (RNs) along the source-to-destination link, which results in an increased radio coverage area. However, despite these benefits, cooperation techniques impose their own problems as well. In the early stage of the node-cooperation research, constrained by the fact that practical transceivers cannot transmit and receive at the same time, the classic relaying regimes [2]–[4] had to rely on a pair of orthogonal channels for the reception and transmission at the RN. This implies that the conventional relaying regimes typically impose a factor-two throughput loss compared to their direct-transmission-based counterparts.

For the sake of recovering the throughput loss imposed by half-duplex (HD) relaying, sophisticated relaying protocols may be used [5]–[7]. For the particular scenario of two nodes exchanging messages with the aid of an RN, HD-based two-way relaying was devised in [5] and [8], which is capable of efficiently compressing the four distinct transmission phases required by conventional relaying regimes into three or even just two phases. Another conceptually straightforward solution conceived for avoiding the HD-relaying-induced throughput loss is that of replacing the HD relay (HDR) by a full-duplex relay (FDR). In this spirit, the early discussion of a practical FDR system was raised in [9]. The critical problem incurred in FDR is that a high-power interfering signal will be fed back to the RN's input from the RN's output, which results in the so-called "self-interference" (SI). Hence, abundant studies of the FDR concept focused on canceling or suppressing the SI, e.g., as shown in [10] and [11]. Along with the development of SI cancellation techniques, the theoretical analysis of the achievable performance of FDR systems was also carried out in [12]–[14], where the impact of SI was taken into account. Furthermore, the research of FDR systems was extended to multihop scenarios [15], [16].

However, if we extend our horizon a little further, the full-duplex (FD) transceiver design has substantial benefits beyond the scope of FDR systems. Recently, researchers at Stanford University made substantial progress in building FD radios [17], [18], although they still relied on utilizing multiple antennas. As a radical improvement of their early works, the first complete WiFi single-antenna aided FD link was reported a little later in [19], which is capable of reducing the SI to the noise floor by providing as much as 110 dB of linear cancellation, 80 dB of nonlinear cancellation, and 60 dB of analog cancellation. Based on these achievements in FD transceiver design, it is reasonable to expect that practical in-band FD systems may become a commercial reality in time for the emerging fifth-generation (5G) wireless networks [20].

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81 Given the aforementioned advances, the time has come for
 82 incorporating the FD technique into each and every component
 83 of a cooperative network. In this spirit, the early attempt of
 84 adapting the spectral-efficient two-way relaying protocol to an
 85 FD communication scenario had been reported by Cheng *et al.*
 86 [21] and by Cui *et al.* [22], where amplify-and-forward (AF)
 87 relaying and the associated analog network coding (NC) con-
 88 cept were invoked at the RN. Then, Zheng [23] further extended
 89 their networking prototype to a multihop architecture.
 90 Against this background, our novel contributions are as
 91 follows:

- 92
- 93 • We conceive a network topology, where a pair of FD users
 94 exchange their information with the aid of an FD RN.
 95 Correspondingly, we propose the bidirectional decode-
 96 and-forward (DF) relaying concept for the sake of re-
 97 taining the high spectral efficiency of FD communication,
 98 while reducing the path-loss effect. Based on DF relaying,
 99 a beneficial digital NC is conceived for the RN.
- 100 • We analyze the maximum achievable error-free data rate
 101 (MAEFDR) of the proposed bidirectional DF-relaying-
 102 aided FD network (BD-DF-FDN), where the effects of
 103 both the SI and of the geographic location of the RN are
 104 evaluated.
- 105 • The potential unbalance between the receive duration and
 106 the transmit duration of the RN is also taken into account
 107 in our analysis. Moreover, the MAEFDR of the proposed
 108 system is maximized by our optimum transmission rate
 109 allocation approach.

110 The remainder of this paper is organized as follows: The
 111 network topology of our bidirectional DF relaying regime and
 112 a range of important assumptions are introduced in Section II.
 113 Consecutively, the convex region of our system is charac-
 114 terized in Section III. Then, we commence the analysis of
 115 MAEFDR of the proposed BD-DF-FDN in Section IV, where
 116 the impact of the SI and that of the geographic RN location,
 117 as well as that of the variations of the network framework,
 118 are taken into account. Based on our optimum transmission
 119 rate allocation scheme, the simulation results characterizing
 120 the MAEFDR are provided in Section V. Finally, we conclude
 121 this paper in Section VI.

122 II. SYSTEM MODEL

123 Here, we conceive the aforementioned bidirectional DF-
 124 relaying-aided FD network, which is referred to as “BD-DF-
 125 FDN,” where two FD users, namely, “User 1” and “User 2,”
 126 exchange their information with the aid of an FD-DF two-way
 127 (FD-DF-TW) RN. Observe in Fig. 1 that User 1 and User 2
 128 broadcast their k th information frames $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$ at the
 129 rates of R_1 and R_2 , respectively. Correspondingly, the RN
 130 receives these signals and attempts to detect both $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$
 131 and then employs the advanced NC concept in [24]–[27] for
 132 creating another information frame $\mathbf{I}_3[k]$, which accommodates
 133 both the information carried by $\mathbf{I}_1[k]$ and that carried by $\mathbf{I}_2[k]$.
 134 In more detail, let $|\mathbf{I}[k]|$ represent the number of information
 135 bits carried by $\mathbf{I}[k]$. Then, without loss of generality, we may

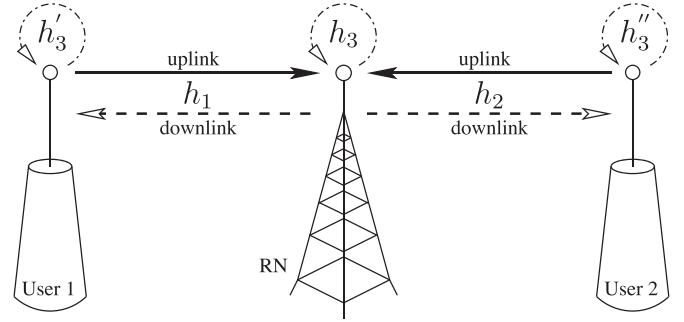


Fig. 1. Fundamental network topology of BD-DF-FDN: two FD users, namely, “User 1” and “User 2”, exchange their information with the aid of an FD-DF-TW relaying-based RN.

assume that $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$.¹ Hence, after detecting $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$, the RN pads the frame $\mathbf{I}_1[k]$ with zero bits for generating $\mathbf{I}_1^p[k]$, which satisfies $|\mathbf{I}_1^p[k]| = |\mathbf{I}_2[k]|$. Resultantly, the information frame $\mathbf{I}_3[k]$ is created by the XOR operation at the RN as follows:

$$\mathbf{I}_3[k] = \mathbf{I}_1^p[k] \oplus \mathbf{I}_2[k]. \quad (1)$$

The entire process described earlier may be referred to as the uplink (UL) of BD-DF-FDN.

As a substantial advantage of FD transceivers, along with the aforementioned UL transmission of BD-DF-FDN, the RN is capable of simultaneously forwarding the information frame $\mathbf{I}_3[k - \tau]$ in the same frequency band to both User 1 and to User 2, which was generated by the RN τ time slots ago. Meanwhile, User 1 attempts to detect $\mathbf{I}_2[k - \tau]$, namely, the frame that was originally transmitted by User 2 and carried by $\mathbf{I}_3[k - \tau]$, which is achieved by implementing the XOR operation of $\mathbf{I}_1^p[k - \tau] \oplus \mathbf{I}_3[k - \tau]$. A similar detection process is implemented by User 2. These operations constitute the downlink (DL) of the BD-DF-FDN in Fig. 1.

As shown at the top of the antennas of User 1 and of User 2 as well as of the RN in Fig. 1, the high-power transmitted signal of these transceivers will be fed back to their receiver’s input, which results in the SI problem. Hence, instead of directly forwarding $\mathbf{I}_3[k]$, the RN forwards a previously generated information frame $\mathbf{I}_3[k - \tau]$ in the DL of BD-DF-FDN, for the sake of guaranteeing that the output of the RN always remains uncorrelated with its simultaneous input, which is a precondition of achieving high-quality SI cancelation, as detailed in [11] and [13]. The number of information bits transmitted by the RN has to be equal to that input into it. Hence, $\mathbf{I}_3[k - \tau]$ and $\mathbf{I}_3[k]$ have the same number of information bits.² Moreover, it is assumed that User 1, User 2, and the RN may have the same SI suppression capability, owing to employing the same FD transceiver technique.

Definition 2.1: The time required by User 1 and 2 for transmitting $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$ to the RN via the UL of the BD-DF-FDN

¹Without loss of generality, we explicitly take the case of $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$ as an example. Apparently, the detailed NC operations associated with another case of $|\mathbf{I}_2[k]| < |\mathbf{I}_1[k]|$ should obey similar principles.

²This implies that if $\mathbf{I}_3[k - \tau] = \mathbf{I}_1^p[k - \tau] \oplus \mathbf{I}_2[k - \tau]$, then we may assume that $|\mathbf{I}_3[k - \tau]| = |\mathbf{I}_3[k]|$, $|\mathbf{I}_1^p[k - \tau]| = |\mathbf{I}_1^p[k]|$, and $|\mathbf{I}_2[k - \tau]| = |\mathbf{I}_2[k]|$.

171 in Fig. 1 is regarded as the UL period. Simultaneously, the
172 time required by the RN for broadcasting $\mathbf{I}_3[k]$ to both User 1
173 and 2 via the DL of the BD-DF-FDN is regarded as the DL
174 period. Finally, the time required for completing a pair of UL
175 and DL periods is regarded as a complete BD-DF-FDN period.
176 Naturally, the BD-DF-FDN period is equal to max [UL period,
177 DL period].

178 The path-loss reduction gain (PLRG) achieved by the re-
179 duced transmission distance experienced in cooperative sys-
180 tems is introduced next. As detailed in [28], the average PLRGs
181 of the User-1-to-RN link and of the User-2-to-RN link are
182 given by $G_1 = (D/D_1)^\alpha$ and $G_2 = (D/D_2)^\alpha$, respectively,
183 where D, D_1, D_2 are the distances from User 1 to User 2, from
184 User 1 to the RN, and from User 2 to the RN, respectively.
185 Throughout this paper, the path-loss exponent is fixed to $\alpha = 4$,
186 for representing a typical urban area. In practice, the direct
187 link between User 1 and User 2 of our system may become
188 weak, while simultaneously being interfered by the strong
189 contaminating signal of the RN. Hence, similar to [21] and [22],
190 it may be reasonable to ignore the signal received via this
191 direct link in Fig. 1. Then, all the possible propagation
192 paths in our BD-DF-FDN are assumed to be the flat block-
193 fading Rayleigh channels, where the fading coefficient of a
194 channel remains constant over a block period but fluctuates
195 in a flat independent Rayleigh fading manner among different
196 blocks. It is also assumed that they are reciprocal channels,
197 which means that the channel from User 1 to the RN is
198 identical to that from the RN to User 1 during the same period.
199 Furthermore, we assumed that a BD-DF-FDN period happens
200 to overlap a block period of the associated channels. Finally, we
201 do not consider any sophisticated power allocation scheme in
202 this paper. We equitably share the entire power among User 1,
203 User 2, and the RN, i.e., we have $P_1 = P_2 = P_3 = P$, where
204 P_1, P_2, P_3 is the transmit power of User 1, User 2, and the RN,
205 respectively.

206 Based on these assumptions, the signal received at the RN
207 within the transmission of a specific information frame is given
208 by $y_3 = h_1\sqrt{G_1}S_1 + h_2\sqrt{G_2}S_2 + h_3S_3 + n_3$, where h_1 and
209 h_2 are the fading coefficients of the User-1-to-RN link and of
210 the User-2-to-RN link, respectively, while S_1, S_2, S_3 represent
211 the symbols transmitted by User 1, User 2, and the RN, respec-
212 tively. Finally, n_3 is the additive white Gaussian noise (AWGN)
213 imposed on the RN, which obeys $n_3 \sim \mathcal{CN}(0, \sigma^2)$. Specifi-
214 cally, the signal component h_3S_3 captures the SI imposed on
215 the RN, as shown in Fig. 1, where h_3 may be regarded as the
216 attenuation of the SI channel. After implementing the SI can-
217 celation, the residual SI becomes \tilde{h}_3S_3 , owing to a potentially
218 imperfect cancellation process. Let us define the SI suppression
219 factor as $G_{\text{SI}} = 1/|\tilde{h}_3|^2$, which is inversely proportional to the
220 power of the residual SI. Consequently, after SI cancellation, the
221 received signal y_3 may be modified to

$$y_3 = h_1\sqrt{G_1}S_1 + h_2\sqrt{G_2}S_2 + \tilde{h}_3S_3 + n_3. \quad (2)$$

222 III. CONVEX REGION OF $(R_1 + R_2)$

223 Based on the system model built in Section II, particularly on
224 the physical concepts introduced in Section II, we now define

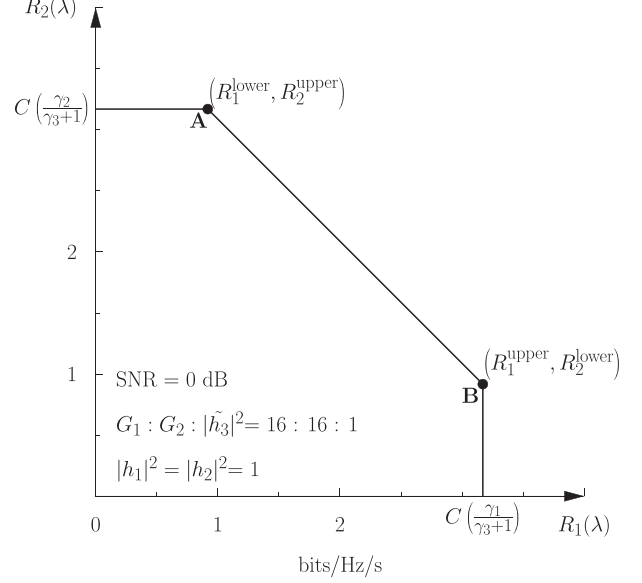


Fig. 2. Convex region of the rate pair $(R_1 + R_2)$, where a scenario having “SNR = 0 dB; $G_1 : G_2 : |\tilde{h}_3|^2 = 16 : 16 : 1$; $|h_1|^2 = |h_2|^2 = 1$ ” is considered as an example.

the relevant SNRs as follows:

225

$$\begin{aligned} \gamma_1 &= \frac{|h_1|^2 G_1 P_1}{\sigma^2}, & \gamma_2 &= \frac{|h_2|^2 G_2 P_2}{\sigma^2} \\ \gamma_3 &= \frac{|\tilde{h}_3|^2 P_3}{\sigma^2} = \frac{P_3}{\sigma^2 \cdot G_{\text{SI}}}. \end{aligned} \quad (3)$$

Without loss of generality, we may assume that³ $\gamma_2 \geq \gamma_1$. 226

Since the RN in Fig. 1 relies on the DF protocol, we have 227
to carefully avoid the error propagation problem. Hence, the 228
transmission rates R_1 and R_2 have to be specifically chosen 229
to ensure that the information frames $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$ can be 230
perfectly decoded at the RN. According to the multiple-access 231
channel capacity theorem in [29], these rate pairs (R_1, R_2) have 232
to lie within the convex region shown in Fig. 2. Furthermore, 233
the rate pairs (R_1, R_2) distributed along the segment $\overline{\mathbf{A}\mathbf{B}}$ will 234
result in the maximum sum rate of $(R_1 + R_2)$. 235

In more detail, considering the UL in Fig. 1, if the RN 236
first decodes the information frame $\mathbf{I}_1[k]$, it may regard the 237
information frame $\mathbf{I}_2[k]$ as a contamination. Hence, according 238
to (2), the overall signal-to-interference-plus-noise power ratio 239
(SINR) of the User-1-to-RN link is given by 240

$$\begin{aligned} \text{SINR}_{1 \rightarrow 3} &= \frac{|h_1|^2 G_1 P_1}{|h_2|^2 G_2 P_2 + |\tilde{h}_3|^2 P_3 + \sigma^2} \\ &= \frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}. \end{aligned} \quad (4)$$

In this case, the associated capacity of the User-1-to-RN link 241
may be formulated as⁴ $C(\gamma_1/(\gamma_2 + \gamma_3 + 1))$, which is also the 242
lower bound of R_1 , namely, R_1^{lower} , when simultaneously satis- 243
fying the flawless decodability of information frames received 244
at the RN, while simultaneously attaining the maximum sum 245
rate of $(R_1 + R_2)$. 246

³This implies that the higher one between γ_1 and γ_2 is always represented by the label “ γ_2 .”

⁴It is exploited herein that $C(x) = \log_2(1 + x)$.

247 Then, the RN proceeds to decode the information frame
248 $I_2[k]$. Since the information frame $I_1[k]$ has been perfectly
249 decoded, the RN is capable of perfectly eliminating the inter-
250 ference component $h_1\sqrt{G_1}S_1$ from (2).⁵ Resultantly, the SINR
251 of the User-2-to-RN link is given by

$$\text{SINR}_{2 \rightarrow 3} = \frac{|h_2|^2 G_2 P_2}{|\tilde{h}_3|^2 P_3 + \sigma^2} = \frac{\gamma_2}{\gamma_3 + 1}$$

252 which yields the upper bound of R_2 , namely, R_2^{upper} . Hence,
253 we obtain a specific rate pair of $(R_1 + R_2)$ as follows:

$$\begin{cases} R_1^{\text{lower}} = C\left(\frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}\right) \\ R_2^{\text{upper}} = C\left(\frac{\gamma_2}{\gamma_3 + 1}\right) \end{cases} \quad (5)$$

254 which corresponds to the point $\mathbf{A}(R_1^{\text{lower}}, R_2^{\text{upper}})$ in Fig. 2, and
255 it is referred to as Case **A**.

256 Alternatively, the RN may first decode $I_2[k]$ and then proceed
257 to decode $I_1[k]$. Correspondingly, this case results in the lower
258 bound of R_2 and the upper bound of R_1 , which may be
259 formulated as Case **B** as follows:

$$\begin{cases} R_1^{\text{upper}} = C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) \\ R_2^{\text{lower}} = C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) \end{cases} \quad (6)$$

260 This is represented as the point $\mathbf{B}(R_1^{\text{upper}}, R_2^{\text{lower}})$ in Fig. 2.

261 Apparently, the UL of our BD-DF-FDN shown in Fig. 1 op-
262 erates in either the aforementioned Case **A** or Case **B**. Hence,
263 we may proceed by invoking the time-sharing parameter [8] (or
264 rate-allocation parameter) of “ $\lambda, 0 \leq \lambda \leq 1$,” for characterizing
265 the ratio of the time operating in Case **A** to the time operating in
266 Case **B**. If the fraction of time operating in Case **B** is λ , then ac-
267 cording to (5) and (6), the average transmission rates of User 1
268 and User 2 may be formulated as $R_1(\lambda) = \lambda R_1^{\text{upper}} + (1 -$
269 $\lambda)R_1^{\text{lower}}$ and $R_2(\lambda) = \lambda R_2^{\text{lower}} + (1 - \lambda)R_2^{\text{upper}}$, respectively.
270 Hence, we arrive at Theorem 3.1.

271 *Theorem 3.1:* To simultaneously satisfy both the decodability
272 of the information frames received at the RN and the attain-
273 ability of the maximum sum rate of the BD-DF-FDN shown in
274 Fig. 1, the rate pairs $[R_1(\lambda), R_2(\lambda)]$ have to obey

$$\begin{cases} R_1(\lambda) = \lambda \left[C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) - C\left(\frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}\right) \right] \\ \quad + C\left(\frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}\right), \quad 0 \leq \lambda \leq 1 \\ R_2(\lambda) = \lambda \left[C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) - C\left(\frac{\gamma_2}{\gamma_3 + 1}\right) \right] \\ \quad + C\left(\frac{\gamma_2}{\gamma_3 + 1}\right), \quad 0 \leq \lambda \leq 1 \end{cases} \quad (7)$$

275 where $R_1(\lambda)$ or $R_2(\lambda)$ is the transmit rate of User 1 or User 2
276 during the UL period, respectively. λ is the time-sharing param-
277 eter, which determines the time that User i transmits in its upper
278 bound rate R_i^{upper} and in its lower bound rate R_i^{lower} . The rate
279 pairs of $[R_1(\lambda), R_2(\lambda)]$ stipulated by (7) constitute the segment
280 $\overline{\mathbf{AB}}$ in Fig. 2.

⁵In this paper, we assume that perfect channel-state information (CSI) is always available at the receivers. Moreover, since all the nodes of BD-DF-FDN work in FD style and the related channels are assumed to be reciprocal, this assumption will also result in CSI becoming available at the transmitters.

IV. MAXIMUM ACHIEVEABLE ERROR-FREE DATA RATE 281

Based on the fundamental architecture of BD-DF-FDN, 282
as demonstrated in Fig. 1 in Section II, we will categorize 283
the BD-DF-FDN into several distinct scenarios. In different 284
subcases, its MAEFDR will be characterized by different 285
formulas. During the entire derivation process, the rate pair 286
of $(R_1(\lambda), R_2(\lambda))$ will obey the convex region stipulated in 287
Section III. Particularly, the monotonicity determined by (7) 288
will be referred to frequently. 289

A. *Case I:* $\gamma_2 \geq \gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2$ 290

In this case, we have the relationship of $C(\gamma_2/(\gamma_1 + \gamma_3 + 291$
 $1)) \geq C(\gamma_1/(\gamma_3 + 1))$. According to (7), $R_2(\lambda)$ is a mono- 292
tonically decreasing function of the rate-allocation parameter 293
 λ , while $R_1(\lambda)$ is a monotonically increasing function of λ , 294
and $R_2(1) = C(\gamma_2/(\gamma_1 + \gamma_3 + 1))$, $R_1(1) = C(\gamma_1/(\gamma_3 + 1))$. 295
Hence, we can readily arrive at 296

$$R_2(\lambda) \geq C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) \geq C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) \geq R_1(\lambda). \quad (8)$$

Then, observe in the DL in Fig. 1 that similar to the derivation 297
of (4) and (5), the SINR of the RN-to-User-1 link is given by 298
 $\text{SINR}_{3 \rightarrow 1} = |h_1|^2 G_1 P_3 / (|\tilde{h}_3|^2 P_1 + \sigma^2)$. Since we assumed in 299
Section II that User 1, User 2, and the RN have the same SI 300
suppression capability, it is reasonable to assume that $|\tilde{h}_3|^2 = 301$
 $|\tilde{h}_3|^2$. Then, as stated in Section II, we have $P_1 = P_2 = P_3$. 302
Hence, we may arrive at 303

$$\text{SINR}_{3 \rightarrow 1} = \frac{\gamma_1}{\gamma_3 + 1}. \quad (9)$$

Therefore, the capacity of the RN-to-User-1 link is $C(\gamma_1/(\gamma_3 + 304$
 $1))$. Similarly, it can be shown that the capacity of the RN-to- 305
User-2 link is $C(\gamma_2/(\gamma_3 + 1))$. 306

To satisfy that $I_2[k]$ and $I_1[k]$ are decodable by User 1 and 2, 307
respectively, $I_3[k]$ has to be transmitted at the lower rate be- 308
tween the capacity of the RN-to-User-1 link and that of the RN- 309
to-User-2 link. Since we have $C(\gamma_2/(\gamma_3 + 1)) \geq C(\gamma_1/(\gamma_3 + 310$
 $1))$, $I_3[k]$ is first transmitted at the rate of $C(\gamma_1/(\gamma_3 + 1))$. As 311
stated in Section II, the amount of information transmitted via 312
the User-2-to-RN link during the UL period is identical to that 313
transmitted via the RN-to-User-1 link during the DL period. 314
However, according to (8), we have $R_2(\lambda) \geq C(\gamma_1/(\gamma_3 + 1))$. 315
Hence, it can be anticipated that the UL transmission session 316
shown in Fig. 1 will terminate earlier than the DL session. Con- 317
sequently, the framework of the BD-DF-FDN shown in Fig. 1 is 318
actually transformed into that shown in Fig. 3, where the time 319
following the termination of the UL period up to the completion 320
of the DL transmission is referred to as the “Residual-Period.” 321

As illustrated in Fig. 3, transmitting $I_1[k]$ and $I_2[k]$ to the RN 322
is completed during the UL period at the rates of $R_1(\lambda)$ and 323
 $R_2(\lambda)$, respectively, which implies that we may have $|I_1[k]| = 324$
 $NR_1(\lambda)$, $|I_2[k]| = NR_2(\lambda)$, where N is the time required for 325
transmitting $|I_1[k]|$ number of information bits at the rate of 326
 $R_1(\lambda)$.⁶ 327

⁶Alternatively, N is also the time required for transmitting $|I_2[k]|$ informa-
tion bits at the rate of $R_2(\lambda)$.

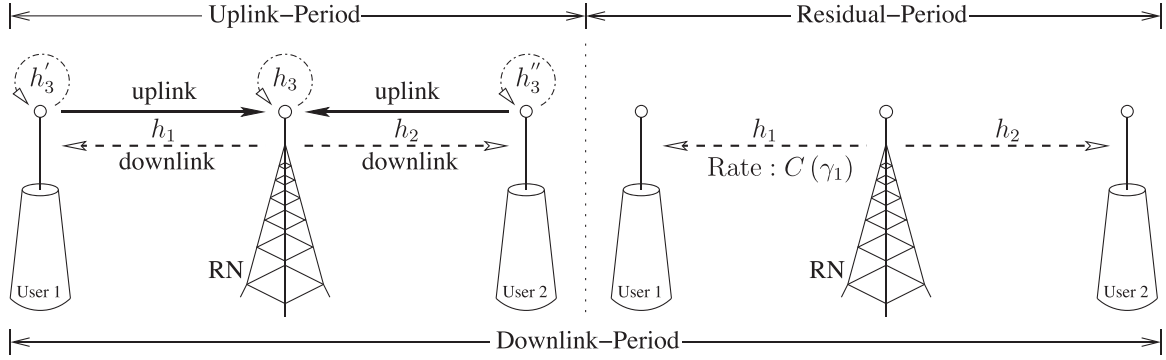


Fig. 3. Practical framework of the BD-DF-FDN in the case of $\gamma_2 \geq \gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2$.

328 As stated before, during the DL period, the RN will first
 329 broadcast $\mathbf{I}_3[k]$ at the lower rate between the capacity of the
 330 RN-to-User-1 link and that of the RN-to-User-2 link, until the
 331 specific one from the set of $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$, which carries less
 332 information bits, has been completely transmitted/received. In
 333 this case, according to (8), we have $R_2(\lambda) \geq R_1(\lambda)$, which
 334 leads to $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$. Hence, the transmission of the infor-
 335 mation bits of $\mathbf{I}_1[k]$ via the RN-to-User-2 link will terminate
 336 first during the DL period. Accordingly, the length of the resid-
 337 ual period shown in Fig. 3 is determined by the transmission of
 338 the information bits of $\mathbf{I}_2[k]$ via the RN-to-User-1 link.

339 During the UL period, the RN broadcasts $\mathbf{I}_3[k]$ at the rate
 340 of $C(\gamma_1/(\gamma_3 + 1))$. Hence, during the residual period, there
 341 are $(|\mathbf{I}_2[k]| - N \cdot C(\gamma_1/(\gamma_3 + 1)))$ information bits of $\mathbf{I}_2[k]$,
 342 which still have to be transmitted via the RN-to-User-1 link.
 343 Meanwhile, since the transmission via the UL has been ter-
 344 minated, we would no longer incur any SI during the residual
 345 period. Consequently, the capacity of the RN-to-User-1 link is
 346 increased to $C(\gamma_1)$. Hence, the length of the residual period
 347 should be $(|\mathbf{I}_2[k]| - NC(\gamma_1/(\gamma_3 + 1)))/C(\gamma_1)$.

348 *Definition 4.1:* We divide the number of decodable informa-
 349 tion bits exchanged between User 1 and User 2 with the aid of
 350 our BD-DF-FDN by the associated time to define the overall
 351 achievable error-free data rate.

352 Hence, the achievable error-free data rate of BD-DF-FDN for
 353 Case 1 is given by

$$\begin{aligned}
 R^{\text{BD-DF-FDN, Case 1}}(\lambda) &= \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]|}{\text{BD-DF-FDN period}} \\
 &= \frac{NR_1(\lambda) + NR_2(\lambda)}{N + \frac{|\mathbf{I}_2[k]| - NC(\frac{\gamma_1}{\gamma_3+1})}{C(\gamma_1)}} \\
 &= \frac{C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)C(\gamma_1)}{C(\gamma_1) - C\left(\frac{\gamma_1}{\gamma_3+1}\right) + R_2(\lambda)}. \quad (10)
 \end{aligned}$$

354 According to (10), $R^{\text{BD-DF-FDN, Case 1}}(\lambda)$ is a monotonically
 355 decreasing function of $R_2(\lambda)$. Hence, we may assign to User 2
 356 its minimum transmission rate of $R_2(1) = C(\gamma_2/(\gamma_1 + \gamma_3 + 1))$
 357 during the UL period of BD-DF-FDN. Given this optimum rate

allocation scheme, the MAEFDR of Case 1 of BD-DF-FDN 358
 may be expressed as 359

$$R_{\max}^{\text{BD-DF-FDN}} = \frac{C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)C(\gamma_1)}{C(\gamma_1) + C\left(\frac{\gamma_2}{\gamma_1+\gamma_3+1}\right) - C\left(\frac{\gamma_1}{\gamma_3+1}\right)} \quad (11)$$

if $\gamma_2 \geq \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2$.

B. Case 2: $\gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2 > \gamma_2 \geq \gamma_1$ 360

In this case, it is possible to arrive at 361

$$\begin{cases} R_2(\lambda_1) = C\left(\frac{\gamma_1}{\gamma_3+1}\right) \\ R_2(\lambda_0) = R_1(\lambda_0) \end{cases} \quad (12)$$

where the specific values of the associated rate-allocation pa- 362
 rameters are given by 363

$$\begin{cases} \lambda_1 = \frac{C\left(\frac{\gamma_2}{\gamma_3+1}\right) - C\left(\frac{\gamma_1}{\gamma_3+1}\right)}{C\left(\frac{\gamma_1}{\gamma_3+1}\right) + C\left(\frac{\gamma_2}{\gamma_3+1}\right) - C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)} \\ \lambda_0 = \frac{C\left(\frac{\gamma_2}{\gamma_3+1}\right) - \frac{1}{2}C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)}{C\left(\frac{\gamma_1}{\gamma_3+1}\right) + C\left(\frac{\gamma_2}{\gamma_3+1}\right) - C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)}. \end{cases} \quad (13)$$

Based on (13) as well as on the condition of $\gamma_1 + (1/(\gamma_3 + 364$
 $1))\gamma_1^2 > \gamma_2 \geq \gamma_1$, it can be shown that 365

$$0 \leq \lambda_1 < \lambda_0 < 1. \quad (14)$$

Hence, as our next step, we further divide ‘‘Case 2’’ into several 366
 subclasses according to the range of λ . 367

1) *Case 2.1, Where $\lambda \in [0, \lambda_1]$:* According to (7), $R_2(\lambda)$ is a 368
 monotonically decreasing function of λ . Since $\lambda \leq \lambda_1$, we have 369
 $R_2(\lambda) \geq R_2(\lambda_1)$. Then, $R_1(\lambda)$ is a monotonically increasing 370
 function of λ . Since $1 > \lambda$, we arrive at $R_1(1) > R_1(\lambda)$. Ac- 371
 cording to (12), we have $R_2(\lambda_1) = C(\gamma_1/(\gamma_3 + 1)) = R_1(1)$. 372
 Finally, we arrive at $R_2(\lambda) \geq C(\gamma_1/(\gamma_3 + 1)) > R_1(\lambda)$, which 373
 is almost the same as the relationship given in (8). This implies 374
 that the achievable error-free data rate for Case 2.1 of BD- 375
 DF-FDN may be characterized by the same formula as that 376
 given in (10). The only difference is that, in Case 1, the 377
 minimum transmission rate, which can be assigned to User 2, 378
 is $C(\gamma_2/(\gamma_1 + \gamma_3 + 1))$. By contrast, in Case 2.1, this becomes 379
 $C(\gamma_1/(\gamma_3 + 1))$, owing to the rate-allocation strategy specified 380
 according to $\lambda \in [0, \lambda_1]$. Resultantly, after substituting the new 381

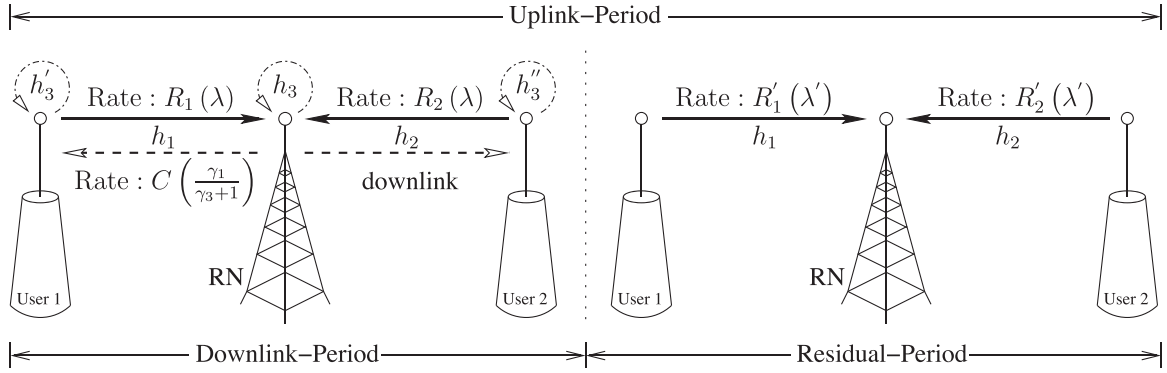


Fig. 4. Practical framework of the BD-DF-FDN in Fig. 1 in the case of $\gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in (\lambda_1, \lambda_0]$.

382 minimum transmission rate of User 2, i.e., $C(\gamma_1/(\gamma_3 + 1))$,
 383 into (10), we arrive at the MAEFDR for Case 2.1 of BD-DF-
 384 FDN, which is given by

$$R_{\max}^{\text{BD-DF-FDN}} = C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)$$

$$\text{if } \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in [0, \lambda_1] \quad (15)$$

385 where the UL and DL transmissions of BD-DF-FDN happen to
 386 be completed simultaneously.

387 2) *Case 2.2, Where $\lambda \in (\lambda_1, \lambda_0]$:* We commence by stating
 388 that the number of information bits transmitted by User 1 and
 389 User 2 during the UL period have a ratio of

$$\frac{|\mathbf{I}_2[k]|}{|\mathbf{I}_1[k]|} = \frac{R_2(\lambda)}{R_1(\lambda)}, \quad \lambda \in (\lambda_1, \lambda_0] \quad (16)$$

390 which is supposed to be the optimum allocation of the number
 391 of information bits $|\mathbf{I}_2[k]|, |\mathbf{I}_1[k]|$ in terms of maximizing the
 392 overall achievable error-free data rate of Case 2.2.

393 Again, since $R_2(\lambda)$ is a monotonically decreasing function of
 394 λ and $\lambda_1 < \lambda \leq \lambda_0$, we can readily arrive at the conclusion that
 395 $R_2(\lambda_1) > R_2(\lambda) \geq R_2(\lambda_0)$. Then, because $R_1(\lambda)$ is a mono-
 396 tonically increasing function of λ , we conclude that $R_1(\lambda_0) \geq$
 397 $R_1(\lambda)$. By recalling from (12) that $R_2(\lambda_1) = C(\gamma_1/(\gamma_3 + 1))$,
 398 $R_2(\lambda_0) = R_1(\lambda_0)$, it can be readily shown for Case 2.2 that

$$C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) > R_2(\lambda) \geq R_1(\lambda). \quad (17)$$

399 According to (16) and (17), we get $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$. Hence,
 400 following the principles detailed in Section IV-A, in Case 2.2,
 401 the length of the DL period is determined by the transmission
 402 of the information bits of $\mathbf{I}_2[k]$ via the RN-to-User-1 link, since
 403 $\mathbf{I}_2[k]$ carries more information bits than $\mathbf{I}_1[k]$. Furthermore,
 404 before either the UL or the DL completes its transmission, the
 405 transmission of the information bits of $\mathbf{I}_2[k]$ via the RN-to-
 406 User-1 link is carried out at the same rate of $C(\gamma_1/(\gamma_3 + 1))$.
 407 Meanwhile, the transmission of the information bits of $\mathbf{I}_2[k]$ via
 408 the User-2-to-RN link, which determines the transmit duration
 409 of the UL, is carried out at the rate of $R_2(\lambda)$. Hence, accord-
 410 ing to (17), we get $C(\gamma_1/(\gamma_3 + 1)) > R_2(\lambda)$, which implies
 411 that, in Case 2.2, the DL transmission will terminate earlier
 412 than the UL transmission. Consequently, the framework of the

BD-DF-FDN shown in Fig. 1 is actually transformed into that
 413 shown in Fig. 4 for Case 2.2. In this scenario, the definition of
 414 the ‘‘Residual-Period’’ has been changed to the time duration
 415 following the termination of the DL period and spanning to the
 416 end of the UL transmission. 417

Observe in Fig. 4 that, according to the aforementioned
 418 analysis, the length of the entire DL period is determined by
 419 the transmission of the information bits of $\mathbf{I}_2[k]$ via the RN-to-
 420 User-1 link at the fixed rate of $C(\gamma_1/(\gamma_3 + 1))$, which is given
 421 by $T = |\mathbf{I}_2[k]|/C(\gamma_1/(\gamma_3 + 1)) = NR_2(\lambda)/C(\gamma_1/(\gamma_3 + 1))$,
 422 where N is still defined as the time required for transmit-
 423 ting $|\mathbf{I}_2[k]|$ number of information bits at the rate of $R_2(\lambda)$.
 424 Resultantly, the number of residual information bits of $\mathbf{I}_1[k]$
 425 and $\mathbf{I}_2[k]$, which pertain to the UL transmission and will be
 426 transmitted during the ensuing residual period, are given by
 427 $(|\mathbf{I}_1[k]| - TR_1(\lambda))$ and $(|\mathbf{I}_2[k]| - TR_2(\lambda))$, respectively. 428

Observe during the residual period in Fig. 4 that, when the
 429 transmissions via the DL are terminated, the detrimental SI
 430 naturally disappears, which simplifies the architecture of our
 431 BD-DF-FDN to the first step of conventional two-way relaying,
 432 as shown for example in [8, Fig. 1(b)]. Therefore, the opti-
 433 mum transmission rate proposed in [8], which was detailed in
 434 [8, (25–28)], becomes applicable to the residual period in Fig. 4.
 435 Consequently, during the residual period in Fig. 4, according to
 436 [8, (25–28)], Theorem 3.1 is modified to 437

$$\begin{cases} R'_1(\lambda') = \lambda' \left[C(\gamma_1) - C\left(\frac{\gamma_1}{\gamma_2+1}\right) \right. \\ \quad \left. + C\left(\frac{\gamma_1}{\gamma_2+1}\right), \quad 0 \leq \lambda' \leq 1 \right. \\ R'_2(\lambda') = \lambda' \left[C\left(\frac{\gamma_2}{\gamma_1+1}\right) - C(\gamma_2) \right. \\ \quad \left. + C(\gamma_2), \quad 0 \leq \lambda' \leq 1 \right. \end{cases} \quad (18)$$

where the rate pairs $[R'_1(\lambda'), R'_2(\lambda')]$ are capable of maximizing
 438 the sum rate of the UL during the residual period in Fig. 4,
 439 which hence will be utilized for updating the transmission rates
 440 of User 1 and 2 during this period. 441

Additionally, the transmissions of the residual information
 442 bits of $\mathbf{I}_1[k]$ and $\mathbf{I}_2[k]$ at the rates of $R'_1(\lambda')$ and $R'_2(\lambda')$, respec-
 443 tively, should be completed simultaneously, which implies that
 444 we have to find a rate pair of $[R'_1(\lambda'), R'_2(\lambda')]$, which satisfies 445

$$\frac{(|\mathbf{I}_1[k]| - TR_1(\lambda))}{R'_1(\lambda')} = \frac{(|\mathbf{I}_2[k]| - TR_2(\lambda))}{R'_2(\lambda')}. \quad (19)$$

446 The condition stipulated by (19) may be identically trans-
447 formed to

$$\frac{R_2(\lambda)}{R_1(\lambda)} = \frac{R'_2(\lambda')}{R'_1(\lambda')}. \quad (20)$$

448 Then, it can be shown that, under the condition of $\gamma_1 + (1/$
449 $(\gamma_3 + 1))\gamma_1^2 > \gamma_2 \geq \gamma_1$, we always have $R_2(\lambda)/R_1(\lambda) \in [1,$
450 $(C(\gamma_1/(\gamma_3 + 1))/C(\gamma_2/(\gamma_1 + \gamma_3 + 1))), (R'_2(\lambda')/R'_1(\lambda')) \in$
451 $[(C(\gamma_2/(\gamma_1 + 1))/C(\gamma_1)), (C(\gamma_2)/C(\gamma_1/(\gamma_2 + 1)))]$ and $[1,$
452 $(C(\gamma_1/(\gamma_3 + 1))/C(\gamma_2/(\gamma_1 + \gamma_3 + 1))) \subset [(C(\gamma_2/(\gamma_1 + 1))/$
453 $C(\gamma_1)), (C(\gamma_2)/C(\gamma_1/(\gamma_2 + 1)))]$. Since the range of $R_2(\lambda)/$
454 $R_1(\lambda)$ is always included within the range of $R'_2(\lambda')/R'_1(\lambda')$,
455 there is always a solution of λ' , which is capable of satisfying
456 $R_2(\lambda)/R_1(\lambda) = R'_2(\lambda')/R'_1(\lambda')$, regardless of the value of
457 $R_2(\lambda)/R_1(\lambda)$. This implies that the allocation of the number of
458 information bits represented by (16), which inherently satisfies
459 Theorem 3.1, will not conflict with the modified one in (18),
460 hence allowing us to maximize the overall achievable error-free
461 data rate of Case 2.2.

462 Based on the holistic analysis presented in Section IV-B2, the
463 overall achievable error-free data rate of Case 2.2 is given by

$$\begin{aligned} R^{\text{BD-DF-FDN, Case 2.2}}(\lambda) &= \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]|}{\text{DL-period} + \text{residual-period}} \\ &= \frac{NR_1(\lambda) + NR_2(\lambda)}{T + \frac{(|\mathbf{I}_1[k]| - TR_1(\lambda)) + (|\mathbf{I}_2[k]| - TR_2(\lambda))}{R'_1(\lambda') + R'_2(\lambda')}} \\ &= \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + R_2(\lambda)\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]}. \end{aligned} \quad (21)$$

464 According to (21), $R^{\text{BD-DF-FDN, Case 2.2}}(\lambda)$ is a monotoni-
465 cally decreasing function of $R_2(\lambda)$. Hence, if we allocate its
466 minimum transmission rate of $R_2(\lambda_0)$ to User 2 for the period
467 preceding the residual period, we arrive at the MAEFDR of
468 Case 2.2, which is formulated as

$$\begin{aligned} R_{\text{max}}^{\text{BD-DF-FDN}} &= \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + \frac{1}{2}\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]} \\ &\text{if } \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in (\lambda_1, \lambda_0]. \end{aligned} \quad (22)$$

469 3) *Case 2.3*, Where $\lambda \in (\lambda_0, 1]$: Similar to the assumption
470 made at the beginning of Section IV-B2, the number of infor-
471 mation bits $|\mathbf{I}_2[k]|$ and $|\mathbf{I}_1[k]|$ also have a ratio of

$$\frac{|\mathbf{I}_2[k]|}{|\mathbf{I}_1[k]|} = \frac{R_2(\lambda)}{R_1(\lambda)}, \quad \lambda \in (\lambda_0, 1] \quad (23)$$

472 which is supposed to be capable of maximizing the achievable
473 error-free data rate of Case 2.3.

474 Again, according to the monotonicity of $R_1(\lambda)$ and $R_2(\lambda)$,
475 as shown in (7), as well as by invoking (12), it can be shown for

Case 2.3 that we have

$$C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) \geq R_1(\lambda) > R_2(\lambda). \quad (24)$$

According to (23) and (24), it can be shown that $|\mathbf{I}_1[k]| > |\mathbf{I}_2[k]|$.

Observe in Fig. 1 that, during the DL transmission, again,
 $\mathbf{I}_2[k]$ number of information bits are transmitted via the RN-to-
User-1 link at the rate of $C(\gamma_1/(\gamma_3 + 1))$. The associated time
required for completing the transmission of the information bits
of $\mathbf{I}_2[k]$ via the RN-to-User-1 link is given by

$$T_1 = \frac{|\mathbf{I}_2[k]|}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}. \quad (25)$$

Since we have $|\mathbf{I}_1[k]| > |\mathbf{I}_2[k]|$, after broadcasting $\mathbf{I}_3[k]$ at the
rate of $C(\gamma_1/(\gamma_3 + 1))$ for a time duration of T_1 , the RN has to
continue with the transmission of the residual information bits
of $\mathbf{I}_1[k]$ via the RN-to-User-2 link. According to the NC scheme
employed at the RN, which was introduced in Section II,
from now on, only the zero padding bits of $\mathbf{I}_2[k]$ are still being
transmitted via the RN-to-User-1 link. Hence, we only have to
consider the decodability of the transmission via the RN-to-
User-2 link. Correspondingly, from now on, the RN will broad-
cast $\mathbf{I}_3[k]$ at a higher rate of $C(\gamma_2/(\gamma_3 + 1))$. The time required
for completing the transmission of the residual information bits
of $\mathbf{I}_1[k]$ at the rate of $C(\gamma_2/(\gamma_3 + 1))$ is given by

$$T_2 = \frac{|\mathbf{I}_1[k]| - T_1 C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_2}{\gamma_3 + 1}\right)}. \quad (26)$$

Meanwhile, during the UL session, User 2 transmits the
information bits of $\mathbf{I}_2[k]$ at the fixed rate of $R_2(\lambda)$, unless
the DL transmission has been completed. As mentioned earlier
in Section IV-A, the associated time required by User 2 for
completing this transmission is represented by N . Then, it can
be shown that $T_1 + T_2 < N$, which implies that, in Case 2.3,
the DL transmission will be terminated earlier than the UL
transmission. Hence, the practical framework of Case 2.3 is
similar to that illustrated in Fig. 4, with the slight difference
that, in Case 2.3, the DL period relies on two steps. In the first
step, the RN broadcasts $\mathbf{I}_3[k]$ at the rate of $C(\gamma_1/(\gamma_3 + 1))$ for
a time of T_1 , where the transmission of the information bits of
 $\mathbf{I}_2[k]$ is completed. Then, in the next step, the RN broadcasts
 $\mathbf{I}_3[k]$ at the rate of $C(\gamma_2/(\gamma_3 + 1))$ for a time of T_2 , during
which the entire DL transmission is completed.

Hence, similar to the scenario depicted for the residual period
in Fig. 4, during the residual period of Case 2.3, User 1 and
User 2 also have to update their UL transmission rates to the
pair of $[R'_1(\lambda'), R'_2(\lambda')]$, as stipulated in (18). Therefore,
to the additional condition discussed in Section IV-B2 and
stipulated by (19) and (20), we also have to find the specific
pair of $[R'_1(\lambda'), R'_2(\lambda')]$, which is capable of simultaneously
satisfying (18) and $R_2(\lambda)/R_1(\lambda) = R'_2(\lambda')/R'_1(\lambda')$. In this case,
we have $R_1(\lambda)/R_2(\lambda) \in (1, (C(\gamma_1/(\gamma_3 + 1))/C(\gamma_2/(\gamma_1 + \gamma_3 + 1))))$
and $R'_1(\lambda')/R'_2(\lambda') \in [(C(\gamma_1/(\gamma_2 + 1))/C(\gamma_2)), (C(\gamma_1)/$
 $C(\gamma_2/(\gamma_1 + 1)))]$. Then, it can be shown that $(1, (C(\gamma_1/(\gamma_3 + 1))/$
 $C(\gamma_2/(\gamma_1 + \gamma_3 + 1)))) \subset [(C(\gamma_1/(\gamma_2 + 1))/C(\gamma_2)), (C(\gamma_1)/$
 $C(\gamma_2/(\gamma_1 + 1)))]$. Hence, an appropriate rate pair of $[R'_1(\lambda'),$

523 $R'_2(\lambda')$ always exists, which confirms the correct operation of
524 our information allocation scheme formulated in (23).

525 Based on the holistic analysis provided in Section IV-B3, the
526 overall achievable error-free data rate of Case 2.3 is given by

$$R^{\text{BD-DF-FDN, Case 2.3}}(\lambda) = \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]|}{T_1 + T_2 + \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]| - (T_1 + T_2)[R_1(\lambda) + R_2(\lambda)]}{C(\gamma_1 + \gamma_2)}}. \quad (27)$$

527 Furthermore, it can be shown that $R^{\text{BD-DF-FDN, Case 2.3}}(\lambda)$
528 is a monotonically increasing function of $R_2(\lambda)$. Hence, if we
529 assign to User 2 its maximum transmission rate for the period
530 preceding the residual period, we arrive at the MAEFDR of
531 Case 2.3, which may be formulated as

$$R_{\max}^{\text{BD-DF-FDN}} = \lim_{\lambda \rightarrow \lambda_0} R^{\text{BD-DF-FDN, Case 2.3}}(\lambda) = \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + \frac{1}{2}\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]},$$

if $\gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in (\lambda_0, 1]$. (28)

532 Apparently, (28) is equivalent to (22). Then, it can be for-
533 mally shown that the MAEFDR of our BD-DF-FDN obtained
534 for Case 2.1 is always lower than that obtained for Case 2.2 or
535 2.3. Hence, we finally arrive at Theorem 4.1.

536 *Theorem 4.1:* The MAEFDR of BD-DF-FDN is given by

$$R_{\max}^{\text{BD-DF-FDN}} = \begin{cases} \frac{C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)C(\gamma_1)}{C(\gamma_1) + C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) - C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}, & \text{if } \gamma_2 \geq \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 \\ \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + \frac{1}{2}\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]}, & \text{if } \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \end{cases} \quad (29)$$

537 where γ_i , $i \in \{1, 2, 3\}$ is the relevant SNR defined in (3).
538 Apparently, according to the analysis stated in Section IV,
539 particularly to (29), depending on different channel conditions
540 and transmit power levels, i.e., different relationships among γ_i ,
541 $i \in \{1, 2, 3\}$, the algebraic representation of MAEFDR of our
542 BD-DF-FDN will be categorized into two different formulas.

543

V. SIMULATION RESULTS

544 First, it is assumed that the distance between User 2 and User 1
545 is normalized to unity. Then, the distance between User 2 and
546 the RN is denoted by D_2 and that between User 1 and the RN
547 is denoted by D_1 . Hence, we have $D_2 + D_1 = 1.0$. Then, each
548 sum rate demonstrated in the following figures is an average
549 over simulating 10^6 random fading channels.

550 We first investigate the effects of both the SI and the RN's
551 geographic location on the MAEFDR of BD-DF-FDN. The
552 relevant simulation results are displayed in Fig. 5, where the
553 parameters employed can be found in Table I. Furthermore,
554 to demonstrate the advantages of the proposed BD-DF-FDN,
555 the performance of the FD-based direct transmission (FD-DT)

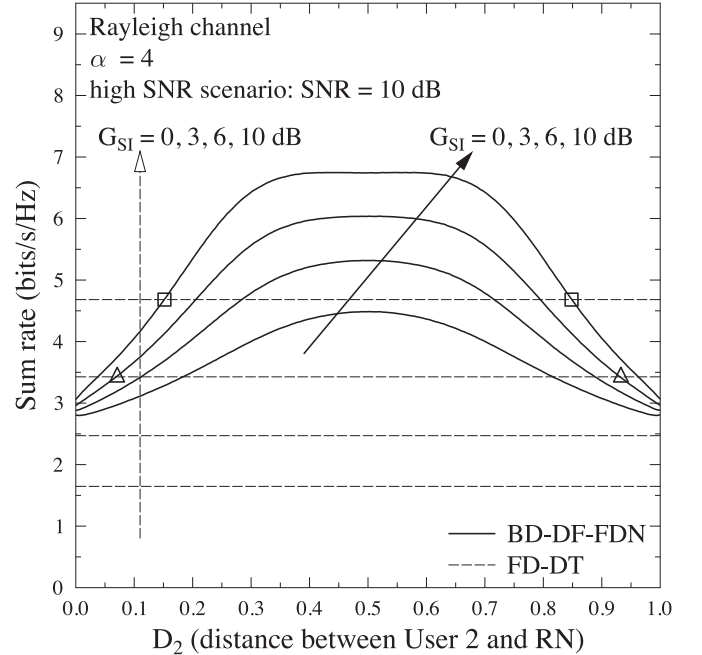


Fig. 5. Effects of both the SI and the RN's geographic location on the MAEFDR of BD-DF-FDN, which is evaluated according to (29) in Theorem 4.1.

TABLE I
SYSTEM PARAMETERS

Channel Model	Flat Block-Fading Channels
Number of Blocks	10^5
Path-Loss Exponent	$\alpha = 4$
SNR	$\frac{P}{\sigma^2} \in \{0, 3, 6, 10\}$ dB
SI Suppression Factor	$G_{\text{SI}} \in \{0, 3, 6, 10\}$ dB
Number of Positions	200

TABLE II
COMPETITIVE NETWORKING REGIMES

Regime	Description	Illustration
BD-DF-FDN	Two FD Users communicate with each other with the aid of FD-DF-TW relaying.	Fig. 1
BD-AF-FDN	Two FD Users communicate with each other with the aid of a full-duplex amplify-and-forward two-way relaying based RN.	[21, Fig. 1]
FD-DT	Two FD users communicate with each other using direct transmission (DT).	[20, Fig. 4]
DF-FDR relaying	Two HD users communicate with each other with the aid of a DF based full-duplex relay (DF-FDR).	[13, Fig. 1]
HD-DF-TW relaying	Two HD users communicate with each other with the aid of half-duplex DF two-way (HD-DF-TW) relaying.	[8, Fig. 1(b)]

regime, which is summarized in Table II, is also shown in
Fig. 5 as a benchmark.

It was reported in [19], [20] that contemporary FD
transceiver techniques are capable of reducing the SI close to
the noise floor. Hence, according to (2), it is achievable that
 $|\hat{h}_3|^2 P \leq \sigma^2$, which is identical to $G_{\text{SI}} \geq \text{SNR}$. Hence, when
the SNR value employed in Fig. 5 is 10 dB, it is reasonable
to assume that we have $G_{\text{SI}} \in \{0, 3, 6, 10\}$ dB for modeling

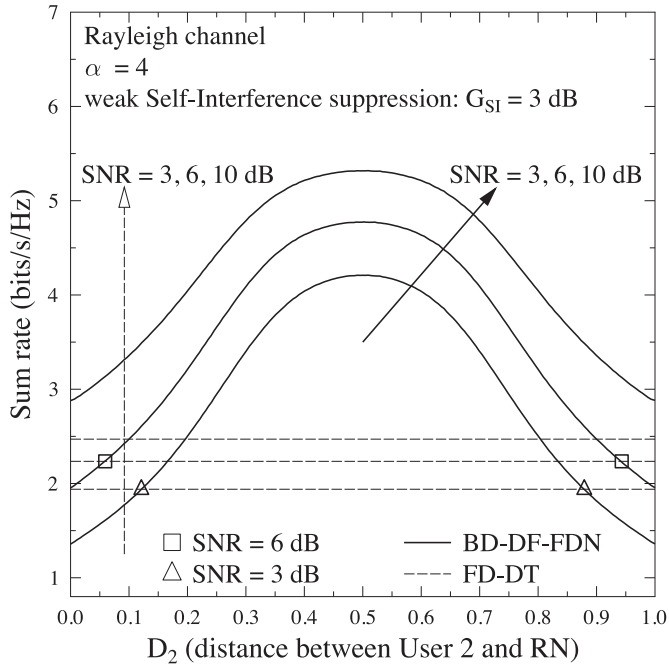


Fig. 6. Effect of the SNR value on the MAEFDR of BD-DF-FDN, which is evaluated according to (29) in Theorem 4.1. The parameters employed can be found in Table I.

564 diverse scenarios, where we have a weak, mediocre, or powerful

565 SI suppression capability. As observed in Fig. 5, when we have $G_{SI} = 0$ or 3 dB, the sum rate of our BD-DF-FDN always exceeds that of the FD-DT regime, regardless of the RN positions. However, when G_{SI} increases to 6 dB, the range of the RN's position, where our BD-DF-FDN outperforms the FD-DF regime, is reduced to the area between the two triangular legends shown in Fig. 5. More severely, when we have sufficiently high values of $G_{SI} = 10$ dB, the predominant region of our BD-DF-FDN, with respect to its FD-DT counterpart, is further reduced to the area between the two square legends. Hence, it may be concluded from Fig. 5 that, for most practical SI suppression capabilities, our BD-DF-FDN has the potential of significantly improving the performance of an FD communication system. This is more suitable for FD-based communication scenarios, where the employment of powerful SI suppression cannot always be guaranteed.

582 Moreover, the MAEFDR of our BD-DF-FDN is also affected by the RN's position, as shown in Fig. 5. If the RN roams too close to one of the users, the system's sum rate will rapidly drop. This tendency can be evidenced again by comparing the sum rate of our BD-DF-FDN associated with $G_{SI} = 10$ dB to that of the FD-DT regime, particularly when considering the curve segments between the two square legends in Fig. 5 in contrast to those outside these two square legends.

590 Similarly, in Fig. 6, we investigate the effect of different SNR values on the MAEFDR of BD-DF-FDN, when the SI suppression factor G_{SI} is fixed. Observe in Fig. 6 that, regardless of the SNR, the proposed BD-DF-FDN always outperforms its FD-DT regime-based counterpart, except when the RN is located too close to one of the users. Furthermore, the optimum performance is obtained in high-SNR scenarios.

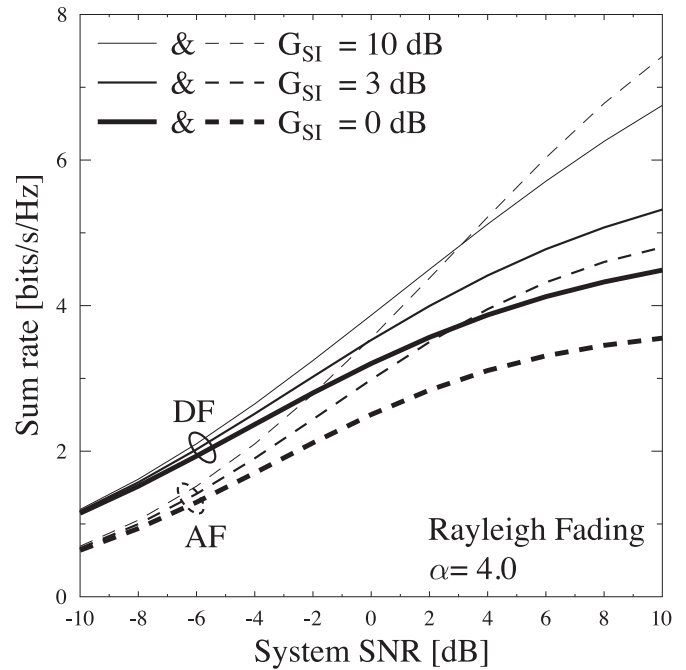


Fig. 7. Comparison between BD-DF-FDN and BD-AF-FDN in terms of their sum rate versus SNR performance, where their ability for resisting the impact of SI is highlighted.

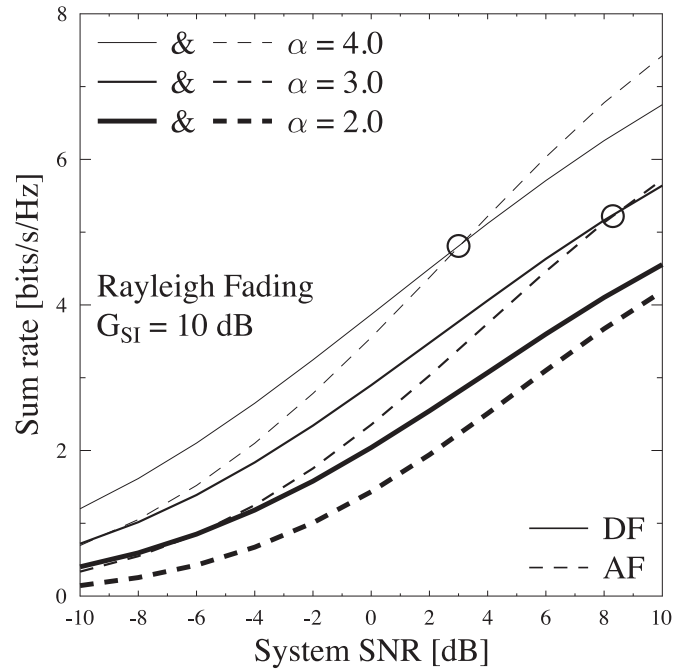


Fig. 8. Comparison between BD-DF-FDN and BD-AF-FDN. Different path-loss effects are investigated.

Then, the comparisons between our BD-DF-FDN and the 597 bidirectional AF-relaying-aided FD network (BD-AF-FDN) 598 [21], which is also described in Table II, are demonstrated 599 in Figs. 7 and 8. According to Figs. 7 and 8, in general, in 600 contrast to its AF-based counterpart, the proposed BD-DF-FDN 601 is capable of achieving a higher spectral efficiency during low- 602 SNR regions. Specifically, when the SI suppression ability of 603 the FD transceiver is enhanced to $G = 10$ dB,⁷ the DF-aided 604

⁷It is equivalent to having $\Omega = 0.1$ in [21].

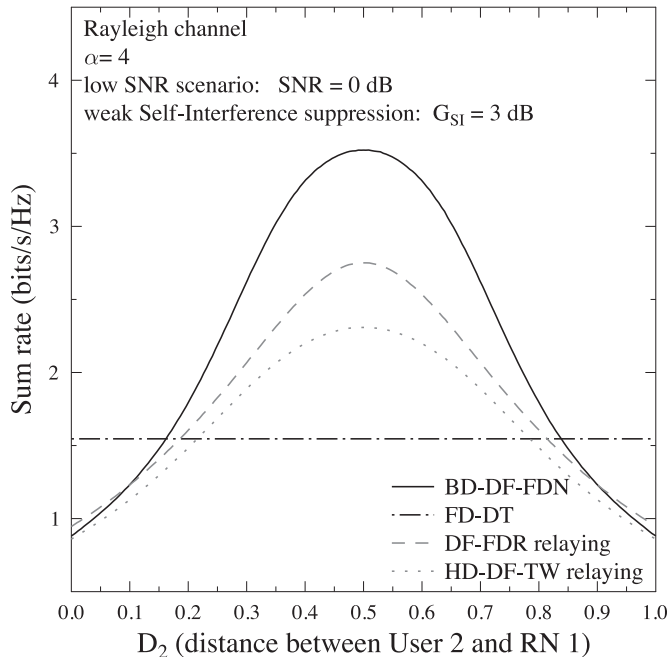


Fig. 9. Comparison among different regimes. The parameters employed can be found in Table I.

605 system can still outperform its AF-based counterpart within
606 the low-SNR region of $(-\infty, 3]$ dB. Bearing the green radio
607 concept in mind, with the aid of powerful forward error cor-
608 rection (FEC) techniques, in a mount of literatures, practical
609 relaying systems tend to be operated in increasingly lower SNR
610 scenarios [30]. Hence, the BD-DF-FDN may better adapt to
611 the application scenarios, where powerful FEC receivers are
612 employed.

613 In more detail, observe in Fig. 7 that the spectral gain of BD-
614 DF-FDN, with respect to its AF-based counterpart, increases
615 upon incurring higher SI. Then, observe in Fig. 8 that, when
616 we fix the SI suppression ability of the FD transceiver, lower
617 path-loss reduction effect will result in higher performance gain
618 of the proposed DF-aided system compared with its AF-based
619 counterpart. Based on these phenomena, it may be concluded
620 that, in contrast to BD-AF-FDN [21], [22], our BD-DF-FDN
621 seems to be more appropriate to low-SNR, high-SI, and low-
622 PLRG application scenarios.

623 Finally, the spectral efficiency of our BD-DF-FDN regime
624 versus that of other typical networking regimes is shown in
625 Fig. 9, where the FDR-based system [10], [13] and the HD-
626 DF-TW-based system [8] characterized in Table II are also
627 invoked as benchmarks. Observe in Fig. 9 that, benefiting from
628 the intelligent relaying strategy, the BD-DF-FDN is capable
629 of significantly outperforming its DT-based counterpart, which
630 also explores the advanced FD technology, except the situation
631 that the RN roams extremely close to one of the users. Further-
632 more, the BD-DF-FDN is capable of achieving salient spectral
633 gain, with regard to either the DF-FDR relaying or the HD-DF-
634 TW relaying, which evidences the high spectral efficiency of
635 combing a complete FD network with the intelligent two-way
636 relaying strategy.

VI. CONCLUSION

637

In this paper, we have proposed the novel concept of bidirec- 638
tional DF relaying. We considered a challenging FD commu- 639
nication scenario and conceived a bidirectional relaying-aided 640
FD network, where an optimum rate allocation scheme was 641
designed for improving the system's spectral efficiency. 642

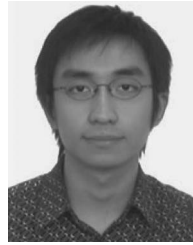
The simulation results provided in Section V have confirmed 643
that the proposed BD-DF-FDN is capable of achieving a sig- 644
nificantly higher spectral efficiency than the other typical net- 645
working regimes listed in Table II. However, the performance of 646
the BD-DF-FDN solution is dominated by the system's interfer- 647
ence suppression capability, as well as by the RN's geographic 648
location. Hence, in some scenarios where the system either has 649
a weak or powerful interference suppression capability or if 650
the RN is extremely close to one of the users, it may not be 651
necessary to activate the proposed BD-DF-FDN. 652

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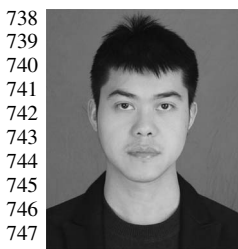
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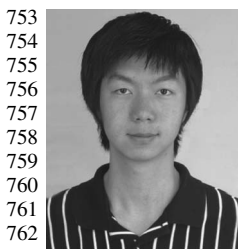
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AQ10

AQ9

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