Institut für Ernährungs- und Lebensmittelwissenschaften (IEL) an der Landwirtschaftlichen Fakultät der Rheinischen Friedrich-Wilhelm-Universität Bonn

# **Probiotics as a novel approach to modulate incretins, insulin secretion and risk factors of type 2 diabetes and complications**

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#### *Summary*

**Background and Aim:** Ingestion of probiotics can modify gut microbiota and alter insulin resistance and diabetes development in rodents. We hypothesized that daily intake of *Lactobacillus (L.) reuteri* increases insulin sensitivity by changing cytokine release and insulin secretion via modulation of glucagon-like peptide (GLP-1, GLP-2) release.

*Material and Methods:* A prospective, double-blind, randomized trial was performed in 21 glucose tolerant humans (10 obese; age  $51\pm 2$  years, BMI 36.0 $\pm$ 4.8 kg/m<sup>2</sup>; 11 lean; 49 $\pm$ 4 years, BMI 23.6±1.9 kg/m<sup>2</sup>). Participants ingested 10<sup>10</sup> *L. reuteri* or placebo b.i.d. over 4 weeks. Oral glucose tolerance and isoglycemic glucose infusion tests were used to assess GLP-1, GLP-2 and C-peptide secretion, hyperinsulinemic-euglycemic clamps with  $[6,6<sup>2</sup>H<sub>2</sub>]$ glucose to measure peripheral insulin sensitivity (M-value) and endogenous glucose production (EGP). Muscle and hepatic lipid contents were measured by  ${}^{1}H$ magnetic resonance spectroscopy. Immune status was assessed by measuring systemic cytokines, high-sensitive C-reactive protein (hsCRP) and lipopolysaccharide (LPS) concentrations.

*Results***:** Intervention did not affect body mass, ectopic fat content and circulating cytokines. M was 37% lower (p<0.01) in obese than in lean volunteers, but both M-value and EGP did not change upon *L. reuteri* treatment. However, administration of *L. reuteri* increased glucose-stimulated insulin and C-peptide secretion by 49% (p<0.05) and 55% (p<0.05), respectively. Moreover, administration of *L. reuteri* improved the glucosestimulated GLP-1 and GLP-2 release by 76% and 43%, respectively, compared to placebo (p<0.05).

*Conclusions:* Enrichment of gut microbiota with *L. reuteri* increased incretin-mediated insulin and C-peptide release, without effects on insulin sensitivity in glucose tolerant human subjects. These results suggest that modifying the microbiome could increase insulin secretion and thereby serve as a novel therapeutic tool for the treatment of type 2 diabetes. However, further studies are needed to address this issue in this emerging research field.

#### *Zusammenfassung*

*Hintergrund:* Die Einnahme von Probiotika führt bei Nagetieren zu einer Modifizierung der Darmflora und nachfolgend zu einer Veränderung der Insulinresistenz sowie verzögerten Entwicklung eines Diabetes mellitus. Unsere Hypothese lautet, dass es durch die tägliche orale Einnahme von *Lactobacillus (L.) reuteri* über 4 Wochen und die sich daraus ergebende Veränderung der menschlichen Darmflora zu einer gesteigerten Sekretion der Darmhormone GLP-1, GLP-2, sowie einer verbesserten Insulinsensitivität und -Freisetzung kommt.

*Material und Methoden:* Eine prospektive, doppelblinde, randomisierte, zweiarmige Studie mit 21 Probanden mit normaler Glukosetoleranz (10 adipöse Personen, Alter 51±2 Jahre, BMI 36,0±4,8 kg/m<sup>2</sup>, 11 schlanke Personen, Alter 49±4 Jahre, BMI 23,6±1,9 kg/m<sup>2</sup>) wurde durchgeführt. Die Probanden nahmen konstitutiv zweimal täglich 10<sup>10</sup> L. reuteri oder Plazebo über 4 Wochen ein. Ein isoglykämischer i.v. Glukoseinfusionstest analog zu den Blutzuckerspiegeln des vorausgegangen oralen Glukosetoleranztests wurde durchgeführt, um den Inkretineffekt sowie die Insulinsekretion zu untersuchen. Zur Messung der peripheren (M-Wert) und hepatischen Insulinsensitivität (endogene Glucoseproduktion, EGP) wurde ein euglykämischer-hyperinsulinämischer Clamp unter Einsatz eines nicht-radioaktiven Tracer (6,6[<sup>2</sup>H<sub>2</sub>]Glukose) durchgeführt. Ektope Fetteinlagerungen in Muskel und Leber wurden mittels <sup>1</sup>H Magnetresonanzspektroskopie (MRS) gemessen. Der systemische Immunstatus wurde anhand systemischer Spiegel von Zytokinen, hoch sensitivem C-reaktivem Protein (hsCRP) und Lipopolysaccarid (LPS) erfasst.

*Ergebnisse:* Die 4-wöchige Einnahme von *L. reuteri* hatte keinen messbaren Einfluss auf Körpergewicht und Körperfettanteil. Der M-Wert von adipösen Probanden war 37% niedriger (p<0,01) verglichen mit dem der schlanken Teilnehmer, jedoch blieben M-Wert und EGP unter der Intervention mit *L. reuteri* oder Plazebo unverändert. Die Einnahme von *L. reuteri* führte jedoch zu einer Glucose-stimulierten Erhöhung der Insulin- (49%, p<0,05) und C-Peptid- Sekretion (55%, p<0,05). Desweiteren führte die Einnahme von *L. reuteri* zu einer Erhöhung von Glucose-stimulierten GLP-1 und GLP-2 um 76% bzw. 43% (p<0,05) verglichen zur Plazebo-Gruppe.

*Zusammenfassung:* Die tägliche Einnahme von *L. reuteri* über 4 Wochen erhöht Inkretinvermittelt die Insulin- und C-Peptid-Sekretion, ohne jedoch die Insulinsensitivität der glukosetoleranten Probanden zu beeinflussen. Das lässt vermuten, dass die probiotische Modulation der Darmflora die Insulinsekretion verbessert und somit als therapeutischer Ansatz bei Personen mit Diabetes Anwendung finden könnte. Im Hinblick auf die aktuelle und durchaus kontroverse Informationslage in diesem Forschungsgebiet bedarf es weiterer Studien, um diesen Effekt von Probiotika noch genauer zu untersuchen.

# Index





## *Abbreviations*





## *Figures*



## *Tables*



#### *Introduction*

#### *The role of insulin resistance and secretion in type 2 diabetes*

In 2010 about 285 million people were suffering from diabetes and this number is expected to increase to more than 550 million by the year 2030 (IDF, 2011; Shaw et al., 2010; Nolan et al., 2011). Type 2 diabetes (T2D), formerly known as non-insulin dependent diabetes mellitus (NIDDM), is the most prevalent form and accounts for about 90% of diabetes cases (ADA, 2010). The prevalence of T2D is assumed to increase due to population growth, aging and urbanization. It is expected that diabetes incidence, which already reached epidemic dimensions, will continue to increase (Chan et al., 2009; Roglic et al., 2005; Wild et al., 2004).

T2D results from decreased insulin sensitivity in combination with insufficient insulin secretion. When individuals are insulin-resistant and have lost approximately 65% of their β-cell function, T2D becomes overt (Meier et al., 2012; Abdul-Ghani et al., 2006a; Abdul-Ghani et al., 2010; Abdul-Ghani et al., 2006b; Ferrannini et al., 2005; Defronzo, 2009; Defronzo, 2004) (**figure 1**). Moreover, T2D is associated with reduced incretin concentrations as well as incretin effects (Toft-Nielsen et al., 2001; Vilsboll et al., 2001; Meier et al., 2001; Nauck et al., 1986), resulting in an impaired insulin secretion in response to glucose. In particular, the first-phase of insulin secretion (ISR) is diminished in T2D, indicating the important role of incretins as amplifiers of first-phase ISR (Woerle et al., 2012).

The recent increase in the global incidence of T2D, which is observed in Western countries and developing nations, suggests that most cases of this disease are caused by changes in environmental factors. Major risk factors for T2D such as overnutrition and low dietary fibre involve the gut and have been found to be associated with increased insulin resistance, decreased glucose tolerance and local or systemic low-grade inflammation (Kolb and Mandrup-Poulsen, 2010). Obesity has also been shown to associate with altered gut microbiota (Ley et al., 2005; Turnbaugh et al., 2006) which differs in patients with T2D compared to non-diabetic subjects (Larsen et al., 2010). In a metagenome-wide association study of gut microbiota it has be shown that patients with type 2 diabetes were characterized by a moderate degree of gut microbial dysbiosis, accompanied by increased abundance of opportunistic pathogenic bacteria (Qin et al., 2012).

#### *Peripheral and hepatic insulin resistance*

Insulin resistance is described as the fundamental failure to respond appropriately to insulin. Insulin resistance mainly affects the target tissues of insulin, particularly skeletal muscle and liver, but also adipose tissue and brain (Szendroedi et al., 2012; Szendroedi et al., 2011; Bonnet et al., 2011; Harford et al., 2011; Ruan and Lodish, 2003; Ferrannini et al., 2005; Abdul-Ghani et al., 2006b; Banks et al., 2012).

Currently, it is under debate whether the peripheral (muscle) or the hepatic insulin resistance occurs first. Skeletal muscle is mainly responsible for whole-body insulin resistance, in terms of dysfunction of cellular mechanism to respond appropriately to insulin. Skeletal muscle insulin resistance and the resulting reduction of peripheral glucose uptake seems to develop early, as shown by studies in young lean individuals with muscle-specific insulin resistance (Petersen et al., 2007). As a consequence, glucose is redirected to the liver, which increases de-novo-lipogenesis with consecutive impairment of hepatic energy metabolism (Samuel and Shulman, 2012; Szendroedi et al., 2011; Defronzo and Tripathy, 2009).

However, it has also been suggested that hepatic insulin resistance is the primary event initiating to the development of diabetes. Previously, it has been revealed that disruption of hepatic insulin signaling results in fasting and postprandial hyperglycemia and the subsequent development of peripheral insulin resistance (Michael et al., 2000; Takamura et al., 2012).

#### *Metabolic and environmental factors affecting insulin resistance*

The link between elevated lipid levels and insulin resistance is widely accepted. Increased availability of free fatty acids (FFA) and subsequent ectopic intracellular lipid accumulation may trigger the development of insulin resistance. Particularly, an increased intracellular lipid contents in skeletal muscle and liver has been related to insulin resistance (Krssak et al., 1999; Szendroedi and Roden, 2009) (**figure 1**). It was postulated that, in muscle and liver, the intracellular accumulation of lipids and diacylglycerol (DAG) triggers the activation of novel protein kinases Cs (PKCs) with subsequent impairment of insulin signalling. For example, insulin-receptor substrate (IRS) 1-associated phosphatidylinositol 3-kinase (PI3K) activity is reduced in the muscles of individuals after a lipid infusion. In addition, in these individuals the insulin action in the liver, which has some similarities with the insulin action in muscle, is associated with defects in insulin signalling in the state of hepatic steatosis. Increased liver lipid content further impairs the ability of insulin to regulate gluconeogenesis and activate glycogen synthesis (Samuel et al., 2010; Samuel and Shulman, 2012).

Several other aspects including genetic factors, have been described to contribute to alterations of insulin resisitance, (Meigs et al., 2000; Pierce et al., 1995; Kaprio et al., 1992; Poulsen et al., 1999; Pratley, 1998; Herder and Roden, 2011; Sladek et al., 2007; Hemminki et al., 2010; Poulsen et al., 2009; Tattersal and Fajans, 1975). It has been demonstrated that first degree relatives of type 2 diabetic subjects have a higher risk to develop insulin resistance and subsequent type 2 diabetes (Axelsen et al., 1999; Groop et al., 1996; Stumvoll et al., 2005; Pratipanawatr et al., 2001)



Time

#### **Fig. 1 The current paradigm of the development of type 2 diabetes**

Type 2 diabetes (T2D) is a severe metabolic disorder characterized by a combination of insulin resistance and impaired insulin secretion. The development of T2D involves genetic, environmental, and lifestyle factors. Insulin resistance occurs in different tissues, mainly in muscle and liver and is detectable long before the onset of T2D. Temporarily, insulin resistance can be compensated by increased insulin secretion. When β-cells can no longer compensate for the insulin resistance a hyperinsulinemic state develops and T2D becomes manifest. Normal glucose tolerance (NGT), impaired glucose tolerance (IGT), impaired fasting glucose (IFG). For further details see main text. Adapted from (Kendall et al., 2009).

Adiposity, inflammation, and lifestyle factors including dietary habits and physical activity also contribute to the development of insulin resistance and subsequently to type 2 diabetes.

Adiposity contributes to T2D development in two aspects. On one hand insulin resistance of adipose tissue insulin resistance and elevated lipolysis increase levels of circulating FFA and thereby contribute to the development of insulin resistance as described above (Kashyap and Defronzo, 2007; Szendroedi and Roden, 2009). On the other hand, adipose tissue is an endocrine organ which secretes hormones such as adiponectin and leptin as well as cytokines and chemokines (Rosen and Spiegelman, 2006; Fantuzzi, 2005; Wellen and Hotamisligil, 2005; Samaras et al., 2010; Guilherme et al., 2008) contributing to the subclinical inflammation, associated with the development of T2D (Spranger et al., 2003; Herder et al., 2005b; Herder et al., 2009a; Carstensen et al., 2010; Meier et al., 2002; Pradhan et al., 2001).

Dietary habits of the western lifestyle, such as consumption of fast food, are associated with insulin resistance (Pereira et al., 2005). In addition, high-fat diet (Badin et al., 2013; Atkinson et al., 2013; Lottenberg et al., 2012) and reduced consumption of dietary fiber, especially cereals and / or carbohydrates with low glycaemic index are associated with insulin resistance (Breneman and Tucker, 2012; Brockman et al., 2012; Cloetens et al., 2012; Pal and Radavelli-Bagatini, 2012; Parnell and Reimer, 2012; Roelofsen et al., 2010; Robertson et al., 2003; Pereira et al., 2002; Weickert et al., 2006a). The consumption of dietary fiber has been suspected beneficial in several aspects. It can increase the production of short-chain fatty acids (SCFA) in the colon by increased bacterial fermentation of indigestible dietary fibers which in turn may improve lipid homeostasis and reduce hepatic glucose output (Galisteo et al., 2008). These metabolic alterations are mediated by the secretion of gastrointestinal hormones like ghrelin, peptide YY (PYY), and glucose-dependent insulinotropic peptide (GIP) with subsequent alteration of satiety (Weickert et al., 2006b; Heini et al., 1998; Weickert et al., 2005; Robertson et al., 2003; Schenk et al., 2003; Qi et al., 2005). Hence, the mechanisms through which these different diets promote the progression to insulin resistance and consecutively towards a pre-diabetic state involve a complex physiology of glucose homeostasis (Thomas and Pfeiffer, 2012).

Physical activity also seems to have a strong impact on glucose homeostasis. As mentioned above, there is an association of altered skeletal muscle mitochondrial function and changes in hepatic glucose and lipid metabolism subsequent to altered insulin sensitivity. Several studies described that physical activity protects from insulin resistance and T2D, by reversing the negative effects of insulin resistance on skeletal muscle and liver (Rabol et al., 2011; Phielix et al., 2012; Phielix et al., 2011; Boushel et al., 2007; Mogensen et al., 2007; Kelley et al., 2002; Dela et al., 1995; Manson et al., 1991; King et al., 1987).

#### *Clinical manifestation of insulin resistance*

Insulin resistance is detectable several years before the diagnosis of diabetes and is initially compensated by increased insulin secretion (Tabak et al., 2009; Ferrannini et al., 2005). T2D manifests when high insulin demand resulting from insulin resistance is no longer compensated by the β-cells (Ferrannini, 2009) (**figure 1**). As demonstrated by the Whitehall II study, insulin sensitivity is decreased up to 87% during the five years period before diabetes diagnosis. The fasting and the 2h postprandial glucose level increased linearly three years before T2D manifestation, and the β-cell function, determined by HOMA, showed first pathological increases three to four years before diabetes manifestation, followed by a decrease up to 63% until diagnosis (Tabak et al., 2009). Additionally, insulin resistance can also be observed in individuals with normal-glucose tolerance (NGT), in first degree relatives of subjects with type 2 diabetes, and in individuals with impaired fasting glucose (IGT) (Abdul-Ghani et al., 2006b; Weyer et al., 2001; Lillioja et al., 1988; Saad et al., 1988) and predicts the development of glucose intolerance and T2D (Weyer et al., 2001; Abdul-Ghani and Defronzo, 2009).

## *Insulin secretion and β-cell function as contribuiting factors in the development of type 2 diabetes*

The β-cells of the islet of Langerhans in the pancreas secrete insulin dependent on actual blood glucose concentrations. In insulin-resistant states, β-cells produce increased amounts of insulin to counteract resistance to the hormone in peripheral tissues (**figure 1**). When β-cells can no longer compensate the insulin resistance by an hyperinsulinemic state, hyperglycemia occurs and T2D becomes clinically manifest (Festa et al., 2006; Abdul-Ghani and Defronzo, 2009; Abdul-Ghani et al., 2006a; Abdul-Ghani et al., 2006b; Kahn et al., 1989; Kahn et al., 1993).

In an animal model this concept has been confirmed, demonstrating that knockout of the peripheral muscle insulin receptor did not induce a diabetic phenotype (Lauro et al., 1998), indicating that up-regulation of β-cell activity compensates for insulin resistance and may engender normal fasting glucose levels by hyperinsulinemia. In contrast, it has been shown, that a β-cell defect in the presence of insulin resistance results in a diabetic phenotype (Bergman, 1989; Bruning et al., 1997).

Furthermore, the development of β-cell dysfunction is associated with the consumption of high fat diet, which may be accompanied with higher levels of endogenous FFA. FFA, in particular saturated FFA, are potentially lipotoxic to pancreatic β-cells, promote apoptosis (Unger, 2002) and have an impact of cytokine secretion of blood cells (Simon et al., 2013).

#### *The gastrointestinal hormones and the incretin effect*

Oral glucose administration induces a much greater degree of insulin secretion compared to a parenteral isoglycemic intravenous glucose infusion, suggesting that gastrointestinal hormones, the incretins play an important role (MCINTYRE et al., 1964; MCINTYRE et al., 1965). Gastrointestinal hormones like glucose-dependent insulinotropic polypeptide (GIP) and glucagon-like peptide-1 (GLP-1) are essentially involved in the regulation of gastric acid secretion and gut motility, release of pancreatic enzymes, and nutrient absorption. The incretin GLP-1, support the disposal of glucose through the stimulation of insulin secretion from the pancreas. This incretin effect is of relevance in the glucose metabolism and applied in the treatment of T2D (Drucker, 2006; Drucker and Nauck, 2006; Nauck et al., 2004; ELRICK et al., 1964) since it has been described that the overall incretin effect in T2D with deteriorated glycaemic control is reduced (Nauck et al., 1986; Nauck et al., 1993; Calanna et al., 2013).



#### **Fig. 2 Schematic presentation of proglucagon-processing**

Modified from (Rowland and Brubaker, 2008): Synthesis of the 160 amino acid precursor protein by the intestinal L-cell. The hormone Glucagon is part of the sequence but is not liberated in his active form from the intestinal L-cell.

GIP is synthesized from the enteroendocrine K cells, has insulinotropic effects and only slight effects on the gastric acid secretion (Dupre et al., 1973). It was the first identified incretin, followed by GLP-1.

GLP-1 and GLP-2 are co-secreted after food intake from intestinal L-cells (**figure 2**) and are rapidly degraded by dipeptidyl-peptidase (DPP)-4. The incretin release is interdependent on gastric emptying and blood glucose (Samsom et al., 2009; Drucker, 2006). Defects of the incretin system in patients with T2D have been tackled by medical treatment with incretin analogues or mimetics as well as treatment with DPP-4 inhibitors, all of which improve glucose metabolism. GLP-1 improves insulin secretion, inhibits glucagon action, has effects on central-nervous-system, and inhibits gastric emptying (Drucker and Nauck, 2006; Drucker, 2002).

GLP-2, an intestinotrophic peptide, enhances intestinal epithelial barrier function by affecting both para-cellular and trans-cellular pathways. GLP-2 treatment has been shown to increase intestinal weight and mucosal thickness, surface area and cryptic architecture in animals and humans (Rowland and Brubaker, 2008; Jeppesen et al., 2005). Furthermore, chronic administration of GLP-2 also affects intestinal functions towards an increase in nutrient digestion and absorption as well as in barrier function in normal mice (Benjamin et al., 2000; Brubaker et al., 1997; Cheeseman, 1997; Kato et al., 1999; Drucker, 2002). It has been proposed to treat patients with chronic bowel disease with GLP-2 in a clinical setup, as it has been shown to reduce inflammation and enhance mucosal integrity in several injury models in rodents. However, as GLP-2 affects cell proliferation and differentiation through insulin-like growth factor (IGF)-1 related pathways. Therefore, it was proposed to closely monitor patients with known neoplasms during treatment with DPP-4 inhibitors, since it has been described that DPP-4 degraded both peptides, GLP-1 and GLP-2 (Rowland and Brubaker, 2008; Masur et al., 2006).

#### *Intestinal microbiota and the impact on host metabolism*

Numerous animal studies point to a link between intestinal microbiota, intestinal permeability, and chronic inflammation (Sommer and Backhed, 2013; Tremaroli and Backhed, 2012). Furthermore mainly in mouse models it has been supposed that intestinal microbiota are involved in the regulation of metabolic parameters (Musso et al., 2010; Lye et al., 2009; Cani and Delzenne, 2009b; Cani et al., 2009b) including insulin resistance (Genta et al., 2009), postprandial glucose response (Cani et al., 2009a), obesity (Parnell and Reimer, 2009) and type 2 diabetes (Qin et al., 2012). However, the majority of these studies were performed in animal models, while data from human studies are rare.

#### *Gut microbiota and gut hormones (incretins)*

Recently, in rodents it has been demonstrated that the alteration of gut microbiota composition affects the intestinotrophic gut hormone glucagon-like peptide (GLP)-2 (Cani et al., 2009b) as well as the insulinotropic GLP-1, GIP and PYY (Cani et al., 2009a).

Cani et al. showed that mice treated with prebiotics leads to have increased GLP-2 levels resulting in reduced gut permeability, supposed to increased expression of the tight junction proteins like occludin and zona occludens-1 (Cani et al., 2009b; Moran et al., 2012). The increased GLP-2 release was likely mediated by changes of FFA levels in the gut, in particular the SCFA butyrate (Bartholome et al., 2004; Tappenden et al., 2003), which is one of the SCFA produced during the fermentation of the prebiotics by the gut microbiota (Roberfroid et al., 2010; Ramnani et al., 2012).

#### *Gut microbiota and systemic inflammation*

Another mechanism how defined modulation of the gut microbiota may prevent metabolic diseases could be by reducing the translocation and systemic concentrations of the endotoxin Lipopolysaccharide (LPS), leading to an altered inflammatory status. It is well established that elevated levels of LPS, a component of the outer cell wall of gramnegative bacteria, in the peripheral blood are associated with low-grade inflammation (Caradonna et al., 2000; Cani et al., 2012; Cani and Delzenne, 2009c; Andreasen et al., 2010b). LPS is a dominant exogenous Toll-like receptor (TLR-)4 ligand, which activates the innate immune system (Medzhitov, 2007; Medzhitov, 2001). In an animal study by Cani et al., decreased intestinal permeability modulated by prebiotic treatment correlated with lower portal plasma LPS concentrations known to trigger inflammation and oxidative stress (**figure 4**). In these mice improved systemic and hepatic inflammation was shown (Cani et al., 2009b).

Since both, cytokines and oxidative stress have been shown to be potentially harmful to insulin-producing β-cells, this could be an additional effect. Low-grade inflammation and differentially regulated cytokines have been reported in human subjects with diabetes to associate with insulin resistance, decreased β-cell function, impaired glucose homeostasis, prediabetes, diabetes and obesity (Herder et al., 2009b; Herder et al., 2009a; Pfleger et al., 2008a; Herder et al., 2007b; Herder et al., 2007a; Herder et al.,

2005a; Schloot et al., 2007; Kempf et al., 2007). In mice an additional role of leptin, an adipokine secreted from the white adipose tissue (Xie et al., 2008), mainly involved in energy metabolism (Mantzoros et al., 2011) has been described to alter the intestinal translocation of bacteria by modulating the bacterial adherence to the intestinal mucosa (Amar et al., 2011). Those observations, mainly from animal studys, indicate that the gut microbiota is in important factor to contribute to systemic inflammation and may contribute to changes in insulin sensitivity, possibly also in humans.

#### *Metabolic effects of modulated microbiota*

In animal studies altered gut microbiota has been associated by diseases like obesity and diabetes and is influenced by weight alterations and dietary intervention (Cani and Delzenne, 2009a; Cani et al., 2008b). Ingestion of probiotics in animal experiments and in only few human clinical trials has been shown to influence gut microbiota composition (Ley et al., 2005; Turnbaugh et al., 2006), intestinal permeability, blood glucose, HbA1c, triglyceride and LDL-cholesterol levels (Cani et al., 2007b; Yadav et al., 2007; Hlivak et al., 2005), and blood pressure (Sipola et al., 2002; Seppo et al., 2003) (**table 1**).

However, in human subjects, the link between alterations of the gut microbiota by ingestion of probiotics and factors associated with diabetes or obesity such as insulin resistance, β-cell activity, low grade inflammation and altered incretin hormones is less well understood.

At present, it is poorly investigated whether probiotic treatment leads to an alteration of ectopic fat distribution which is defined by the deposition of triglycerides within cells of non-adipose tissue that normally contain only small amounts of fat (Lettner and Roden, 2008) focusing particularly on the intracellular lipid contents in skeletal muscle and liver, which are directly related to insulin resistance (Szendroedi and Roden, 2009).

Recently, a preliminary study with ten healthy human subjects has shown that the intake of prebiotics over a period of two weeks lead to increased plasma GLP-1 and Peptide YY concentrations as well as decreased post prandial glucose concentrations in humans (Cani et al., 2009a). To date only one other human study addressed whether the alteration of gut microbiota by ingestion of probiotics can influence glucose homeostasis and insulin sensitivity, but whether a beneficial effect of probiotics on glucose metabolism in humans indeed is related to improved gut integrity (via GLP-2) and to an amelioration of chronic systemic inflammation is unknown.





Different Lactobacilli (L.) and Bifidobacteria (B) species and their metabolic effects. Streptozotocin (STZ), Alloxan (AXN), non-obese diabetic (NOD) mice, non-insulin-dependent diabetes mellitus (NIDDM) model, high fat diet (HFD),  $\blacklozenge$  means improved / increased,  $\blacklozenge$  means reduced / decreased. VSL#3 is patented probiotic mixture, containing *Bifidobacterium breve, Bifidobacterium longum, Bifidobacterium infantis, Lactobacillus acidophilus, Lactobacillus plantarum, Lactobacillus paracasei, Lactobacillus bulgaricus and Streptococcus thermophilus.* 

A recent study by Vrieze et al. investigated the effects of allogenic fecal transplantation of lean donors on insulin sensitivity to subjects (n=18) with newly diagnosed metabolic syndrome (Vrieze et al., 2012). The fecal infusion from lean donors improved insulin resistance as well as fasting lipid levels in obese individuals with metabolic syndrome. These results underscore the potential role of gut microbiota on glucose and lipid metabolism (Vrieze et al., 2012). Interestingly, donor-feces infusion has recently been shown to result in 81% (13 of 16 patients) in resolution of *Clostridium difficile* associated diarrhoe whereas antibiotic therapy with vancomycin results only in 31% (4 of 13 patients) in resolution (Van et al., 2013). This suggests that donor-feces infusion might be used as a potential therapeutic strategy against recurrent *C. difficile* infection, which is difficult to treat, even with antibiotics (Van et al., 2013). Besides transferring the complex community of microorganisms, with poor knowledge of the composition and diversity, the ingestion of probiotics might be an alternative (Gerritsen et al., 2011).

A study with a heterogenous group of participants of 54 males with T2D or with impaired or normal glucose tolerance who received *L. acidophilus* NCFM for 4 weeks showed preserved insulin sensitivity compared to the placebo group, but no effect on the systemic inflammatory response (Andreasen et al., 2010a). There was considerable variability among study participants and it was not reported whether those with improved insulin sensitivity were diabetic or normoglycemic.

Recently, Ejtahed et al. showed that the consumption of a probiotic yogurt containing *L. acidophilus* La5 and *Bifidobacterium lactis* Bb12 over six weeks improved enzymatic antioxidant status, fasting blood glucose level and the HbA1c in patients with type 2 diabetis compared to the control group which consumed conventional yogurt (Ejtahed et al., 2012). The absence of a control group that consumed no yogurt, even in regard to the described biological activities of milk proteins (Korhonen and Pihlanto, 2006) could limit the generalizability of these results (Ejtahed et al., 2012).

#### *Lactobacillus reuteri*

Probiotic treatment with Lactobacilli and Bifidiobacteria are supposed to benefit of the host metabolism in different ways, involving preventative and therapeutic aspects (**table 1**). Probiotics such as *Lactobacillus (L.) reuteri* has been shown to improve diseases such as infant colic (Savino et al., 2007; Indrio et al., 2008), IgE-associated eczema (Abrahamsson et al., 2007; Bottcher et al., 2008) and diarrhea (Shornikova et al., 1997b; Shornikova et al., 1997a; Weizman et al., 2005). In animal models *L. reuteri* reduced intestinal inflammation (Liu et al., 2010) and seemed to have an impact on immune reactivity measured *in vitro* (Livingston et al., 2010; Hoffmann et al., 2008).



**Fig. 3** *Lactobacillus reuteri* Colored in blue after a gram stain (100x) (private source, foto made at the Institute for Medical Microbiology and Hospital Hygiene, Heinrich-Heine-University Düsseldorf, Germany 2012, M.C. Simon)

*Lactobacillus reuteri* is a gram-positive bacterium (**figure 3**), which also naturally inhabits the gut of mammals, and is used by the food industry to prepare probiotic nutrients. *L. reuteri* has been tested for host tolerance in children (Ruiz-Palacios et al., 1992), healthy adults (Wolf et al., 1995), and immunosuppressed patients with HIV (Wolf et al., 1998). One of the best documented effects of *L. reuteri* is the treatment of rotavirus-induced diarrhea. Treatment of rotaviral diarrhea by consumption of *L. reuteri* significantly shortens the duration of the illness compared to placebo treatment in young children between 6 and 36 months of age receiving up to  $10^{11}$  colony-forming units (CFU). This effect was dosedependent: the more *L. reuteri* is consumed, the faster the diarrhea stops (Shornikova et al., 1997a; Shornikova et al., 1997b).

Furthermore*, L. reuteri* is found in breast milk (Sinkiewicz and Nordström, 2005), and oral intake of the mother likewise increases the amount of *L. reuteri* present in her milk, and thus the likelihood that it will be transferred to the child (Abrahamsson et al., 2005). *L. reuteri* benefits its host in a variety of ways, particularly by modulating the immune system and has been shown to be safe in application, even in neonates at dosages up to  $10^{10}$ 

CFU per day. *L. reuteri* has a well-studied safety and a strong probiotic activity, when compared with 46 other strains of Lactobacillus spp. (Jacobsen et al., 1999).

Overall, gut microbiota consisting of complex communities of microorganisms that colonise the intestine seem to have an major impact on health and disease (Gerritsen et al., 2011) and as recently shown in a metagenome-wide association study, patients with T2D are characterized by a moderate but statistically highly significant degree of gut microbial dysbiosis (Qin et al., 2012).

So far, the above mentioned studies demonstrate that alteration of intestinal microbiota may affect the host's metabolic status. However most of the studies investigating the effects of prebiotic or probiotic alterd microbiota were performed in animal models. To understand the potential impact of probiotic bacterial strains on the composition of the human gut microbiota and on the host's metabolic and immunological status, further carefully controlled studies in humans are required.

### *Hypothesis*

The goal of the study was to test the hypothesis that *L. reuteri*-enriched microbiota improve insulin sensitivity and insulin secretion in lean and obese glucose tolerant subjects by improving GLP-2 and / or GLP-1 linked insulinotropic effects.

### *Specific aims*

### *The specific aims were therefore:*

To investigate the effects of *L. reuteri*-enriched gut microbiota in a prospective, doubleblind, placebo-controlled randomized trial over 8 weeks in human subjects on

- I. insulin sensitivity and β-cell function
- II. incretin concentrations
- III. inflammatory status and reactive oxygen species
- IV. ectopic fat distribution

*These aims were addressed by the following work packages (WPs; figure 4):* 

1.WP: *Influence of L. reuteri enriched microbiota on insulin sensitivity, β-cell function and glucose tolerance:* Oral glucose tolerance test (OGTT) provided information on glucose tolerance, and was performed in all subjects before, during and after study. Furthermore, OGTT provided information of the β-cell function, based on insulin, C-peptide secretion and mathematical model calculations (e.g. disposition index, adaptation index). Whole body insulin sensitivity was assessed by the gold standard, the hyperinsulinemiceuglycemic clamp, in combination with a tracer to assess the hepatic insulin sensitivity.

2.WP: *Influence of microbiota on incretins:* It was tested whether administration of *L. reuteri* affects gut hormone secretion of intestinotrophic GLP-2, insulinotrop GLP-1 and GIP. An OGTT was performed and incretin secretion over time was determined during the OGTT up to 180 min after glucose ingestion. Blood glucose, insulin, and incretin concentrations from OGTT were compared to the results of an isoglycemic intravenous (i.v.) glucose infusion test to address the incretin effect *in vivo* (Nauck et al., 2004; Meier et al., 2001). Gastric emptying influences the release of gut hormones and may be disturbed in obese subjects and patients with manifest T2D. To take this into account we performed a <sup>13</sup>C-octanoic acid breath test in each participant. Additionally, the levels of lipopolysaccaride (LPS), which are associated with intestinal permeability, were measured in peripheral blood.

3.WP: *Inflammatory status and reactive oxygen species*: Inflammatory status and reactive oxygen species (ROS) were assessed by measuring pro-inflammatory (e.g. C- reactive protein (CRP), tumor necrosis factor (TNF)-α, interleukin (IL)-6, IL-8, IL-1β, macropahge inflammatory protein (MIP)-1β) and regulatory (e.g. IL-10, IL1ra, Interferon-gamma (IFNγ)) cytokines and chemokine (monocyte chemotactic protein (MCP)-1, also known as CCL2). Serum concentration of thiobarbituric acid (TBARS) as a marker for reactive oxygen species (ROS) was determined. Systemic LPS-concentrations were determined by the limulus amebocyte lysate (LAL)-Test from serum samples. Furthermore, the concentrations of total free fatty acids (FFA) in the blood were measured.

4.WP: *Ectopic fat distribution:* The quantification of hepatocellular and myocellular triglycerides content from <sup>1</sup>H-MR spectroscopy (MRS) was measured relative to intracellular water content, and calculated as described (Krssak et al., 2004b; Krssak et al., 1999; Hwang et al., 2007). Spectra were acquired and processed to assess content of intramyocellular lipids using jMRUI or NUTS software according to methods developed

and previously applied by the research group (Szendroedi et al., 2011). All magnetic resonance (MR) measurements were performed on a 3.0 Tesla (T) whole body clinical scanner (Philips achieva X-series, Best, The Netherlands).



#### **Fig. 4 Schematic overview of the probiotic effects**

Specific questions or work packages (WPs) which have been addressed are marked red. Continuous lines indicate likely pathways, while the dotted lines represent putative pathways.  $\blacklozenge$  means improved and  $\blacklozenge$  means decreased effects. For further details see main text.

#### *Material and Methods*

#### *Study design*

We performed a double-blind, placebo-controlled, randomized, prospective, longitudinal descriptive trial in 10 glucose-tolerant obese participants and 11 healthy lean control subjects (matched for age and sex) recruited at the German Diabetes Center (DDZ) in Düsseldorf. The study was performed according to the Declaration of Helsinki. All subjects provided written informed consent. The single centre study was approved by the ethics committee of the University of Duesseldorf and registered at ClinicalTrials.gov Identifier NCT01250106.

After a run-in phase, subjects received *Lactobacillus reuteri* at a dosage of 2\*10<sup>10</sup> organisms, equivalent to microbiota contained in two yogurts a day. As fermented milk products contain microbiota, it was mandatory for participating subjects to abstain from eating or drinking fermented milk products, especially other probiotic products, during the 8-week study period. A schematic workflow of the trial is illustrated in **figure 5**.





Study participants underwent a run-in phase of 4 weeks after the baseline screening visit during which all subjects received placebo. The run-in phase allowed evaluation of the effect of participation in the study e.g. on T2D risk factors. Food intake was monitored by a validated food frequency questionnaire every four weeks.

Type 2 diabetes related metabolic parameters, (gut hormones GLP-2 (non-insulinotropic), GLP-1 and GIP (insulinotropic), insulin resistance, β-cell activity (according to Mari 2001), body weight, body mass index (BMI), waist circumference, hsCRP, triglycerides (TG), cholesterol, LDL-/ HDL-cholesterol, cytokines and chemokines) were measured at the time when OGTT was performed. Isoglycemic intravenous glucose infusion and hyperinsulinemic-euglycemic clamp was performed within three days after OGTT.

After 4 weeks, subjects were randomised 1:1 into a placebo and a verum arm in a doubleblind fashion and provided with capsules containing placebo or 10<sup>10</sup> Lactobacillus reuteri (Nutraceutix, Redmond, WA, USA). They ingested one capsule of placebo or verum in the morning and one in the evening daily for 4 consecutive weeks.

Samples of feces for analysis of gut microbiota were obtained at the start of intervention (week 4, end of run-in phase) and at the end of the trial (week 8). Treatment adherence was assessed by counting capsules and by screening for *Lactobacillus reuteri* in gut microbiota using quantitative real-time PCR.

#### *Study participants*

#### *Inclusion criteria*

Inclusion criteria were an age range of 40 – 65 years, non-smoking, absence of gastrointestinal disease, willingness to abstain from intake of fermented milk products over a study period of 8 weeks, stable dietary habits at least within the last three months. Obese subjects were included with BMI 30-45 kg/m<sup>2</sup> and healthy lean control subjects with BMI 19-25 kg/ $m^2$ , matched for sex and age. All subjects had to be glucose tolerant and need to show stable fasting blood glucose levels as determined at screening and at the start of intervention.

#### *Exclusion criteria*

Subjects were excluded in case of pregnancy, cancer, chronic diseases, antibiotic therapy, competitive athletes and impaired glucose tolerance (IGT) or impaired fasting glucose (IFG) at screening visit.

Subjects showing a strong loss in weight (defined as more than 5% loss of body weight during run-in) and changes in or onset of pharmacological treatment, especially the use of antibiotics due to infectious diseases, during run in phase were excluded from the study.

#### *Anthropometric parameters*

Body weight, body height, waist circumference and blood pressure were measured by standard operating procedures (SOP) Version 1.1, 2009 of the German Diabetes Center at each visit. In brief, body height and body weight were measured in standing position, feet next to each other, using calibrated Seca®-scales (Hoff Waagen, Essen, Germany) with light clothing, without shoes. Waist circumferences were measured with nonflexible tape. The tape horizontally surrounded the subjects body between proximal costal arch and distal iliac crest. The subjects were instructed to stand with their feet pointing forwards and approximately 25-30cm apart and to breathe out gently while the measurement was taken. Measurements were recorded in centimetres and as two independent measurements on each subject, and should not differ by more than 0.2 cm to ensure reproducibility. Blood pressure and pulse were measured using digital Omron M4-  $1^{\circ}$  (Omron<sup>®</sup> Helathcare Europe B.V., Hoofdorp, The Netherlands) after removing restrictive clothing from the arm and on participant's heart level with the palm of the hand facing upwards, while the subject was sitting in a comfortable, calm position.

Bioelectrical impedance analysis (BIA, Nutriguard-S, Data Input GmbH Darmstadt, Germany, software Nurti 3 Version 3.0.88, P&P Trendmedia GmbH, Stockdorf, Germany) was used to measure body composition during study. BIA-measurements were performed in duplicates on each subject to ensure reproducibility and to calculate lean body mass and fat mass according to Segal (Segal et al., 1988).

#### *Intestinal permeability in vivo*

Alterations of the integrity of the intestinal barrier are involved in the pathogenesis of many diseases, e.g. inflammatory bowel diseases (IBD) and allergic intolerances to nutrients. In animal models a link between increased plasma endotoxin levels, released from the gut microbiota as a consequence of increased intestinal permeability, and obesity and insulin resistance has been described. Intestinal permeability might be the interface of the commensal microorganisms and endotoxins on one hand and the mucosal immune system on the other hand which need to balance between tolerance and immune response in the core of metabolic dysfunctions (Vajro et al., 2013; Scaldaferri et al., 2012; Teixeira et al., 2012).

Intestinal permeability is measured as the ability of two non-metabolized sugar molecules - mannitol and lactulose - to permeate the intestinal mucosa. Mannitol is easily absorbed and serves as a marker of transcellular uptake, while lactulose is only slowly absorbed and serves as a marker for mucosal integrity. To perform the test, lactulose and mannitol at defined amounts are mixed and ingested. The test measures the amount of lactulose and mannitol recovered in a urine sample over the following 6 hours after intake. Initially, we attempted to measure gut barrier function by the before mentioned Lactulose-Mannitol-Test (Dastych et al., 2008; Bosi et al., 2006). However, we found a wide range of individual variation (>14%). Thus, our focus returned to serum parameters to measure gut barrier function, e.g. zonulin. The serum levels of the protein zonulin, which is a modulator of the intestinal permeability, are positively correlated with intestinal permeability and are detectable in peripheral blood (Sapone et al., 2006). Samples to determine zonulin concentrations were collected before (week 4) and after intervention (week 8). In cooperation with Prof. Alessio Fasano (Director of Mucosal Biology Research Center, University of Maryland School of Medicine, Baltimore, MD, USA), we aimed to use a newly validated human monoclonal antibody-based ELISA to measure serum zonulin concentrations. However, a thoroughly evaluated and validated assay has not yet been fully established with the end of this study (A. Fasano, personal communication).

#### *Gastric emptying*

Data of <sup>13</sup>C-octanoic acid breath test were used to assess disturbed gastric emptying and potentially exclude patients with pathologically delayed gastric emptying as there is a reciprocal interplay of gut hormones GLP-1 and GLP-2 and gastric motility (Meier et al., 2006; Guan et al., 2012; Wettergren et al., 1993; Nauck et al., 1997). Therefore, at the end of the run-in phase, each subject underwent a  $^{13}$ C-octanoic acid breath test, which is well established at our institute (Ziegler et al., 1996). In brief, breath testing was performed after 12 h overnight fast and consisted of scrambled egg, white bread (60 g), margarine (5 g) and 150 ml water (total kcal 250). The tracer (100 mg  $^{13}$ C-octanoic acid, euriso-top<sup>®</sup>, Saint-Aubin Cedex, France) was given in the egg yolk. Before, immediate after the test meal and in time intervals of 15 min, a breath sample of  ${}^{13}CO_2$  recovery was taken and measured by infrared isotope analyser (IRIS, Wagner GmbH, Bremen, Germany).

The rationale of  $13C$ -octanoic acid breath test ( $13C$ -OBT) is to measure gastric emptying of solids based on (1) the retention of  $^{13}$ C-octanoic acid in the solid phase of a standard test meal during its passage through the gastric environment, followed by (2) a rapid disintegration from the solid phase in the duodenum with (3) subsequent absorption of <sup>13</sup>C-octanoic acid, and (4) hepatic oxidation to  ${}^{13}CO_2$  (Braden et al., 2006).



#### **Fig. 6 Gastric emptying rate**

Normal gastric emptying rate of one participant, exemplarily. Every dot represents one measurement of  ${}^{13}CO_2$  recovery in the breath after oral administration of a  $13C$ -octanoic acid test meal. The half time of exhaled  $13CO<sub>2</sub>$  is 48 min and represents a normal gastric emptying.

In vitro validation studies have shown that in a gastric environment <sup>13</sup>C-octanoic acid is firmly retained in the yolk of scrambled egg used as test meal. Once the meal reaches the duodenal lumen, <sup>13</sup>C-octanoic acid is rapidly absorbed through the intestinal mucosa and oxidized to <sup>13</sup>CO<sub>2</sub> in the liver. The appearance of <sup>13</sup>CO<sub>2</sub> in breath after oral administration of  $13$ C-octanoic depends mainly on the gastric emptying of the egg yolk into the duodenum (rate limiting step, **figure 6**). The other metabolic steps (absorption and oxidation) do not influence the rate of  ${}^{13}CO_2$  exhalation as shown by studies in which, after duodenal instillation of <sup>13</sup>C-octanoic acid,  $13CO<sub>2</sub>$  appears in breath almost immediately with very little inter-subject variability (Perri et al., 2005).

#### *Analysis of the faecal content*

Compliance for taking *Lactobacillus (L.) reuteri* containing capsules was assessed by screening for the bacteria in faecal samples. For the species-specific quantification, realtime PCR to detect total bacterial load (Euba) (Yang et al., 2002), enterobacteriaceae content (tuf), Lactobacillus spp. (Lac. spp.) (Byun et al., 2004), and *L. reuteri* (Haarman and Knol, 2006) was performed. The real-time PCR was established in cooperation with Prof. Birgit Henrich at the Institute of Medical Microbiology and Hospital Hygiene at the Heinrich-Heine-University.

In brief, faecal samples were processed within 24 hours after collection and stored at -20° C until further analysis. DNA extraction was performed using a BioRobot EZ1 machine (Qiagen, Hilden, Germany) according to the manufacturer's instructions (Bizhang et al.,

2011). All primers and probes used for species-specific real-time PCR were retrieved from the literature or configured via the Primer Express software (DNASTAR, Madison, WI, USA, **table 2**), and qPCR Mastermix No ROX, Cat.-No: RT-QP2X-03NR (Eurogentec, Seraing, Belgium) was used. PCR components were added to an end-volume of 25 µl per reaction mixture. Amplification of the DNA was carried out on CFX Cycler (Version 1.5.534.0511; BioRad, Munich, Germany), with the following cycling scheme: 50°C for 2 min and 95°C for 10 min, followed by 45 cycles of 95°C for 15 s and 60°C for 1 min.

To reduce the risk of contamination during the analyses, dedicated rooms, dedicated equipment, and sterile water (delta select, Dreieich, Germany) were used. To exclude a possible cross-reaction of the *L. reuteri* real-time PCR with DNA from other bacteria of fecal samples, we tested potential pathogens of fecal samples (*E. coli, S. saprophyticus, C. perfringens, H. pylori, P. aeruginosa, S. aureus, Campylobacter spp.*) in a concentration of  $10^6$  copies. None of the tested pathogens showed cross-reactivity or inhibition with the *L. reuteri* real-time PCR.





Primers and Probes were synthesized by Metabion (Martinsried, Germany)

To quantify the bacterial DNA load of each sample, we generated PCR-standards with distinct DNA-copies. We used pCR 2.1TOPO-Vektor (3,931kb, Invitrogen, Carlsbad, CA, USA) to clone the species-specific amplicons of each real-time PCR. After transformation of competent *E. coli*, plasmid-containing *E. coli* clones were grown on LB-Amp plates.

Plasmid-DNA of positive clones was prepared by using the High Pure Plasmid Isolation Kit (Roche Diagnostics, Mannheim, Germany), and as a control of insert length digested with using the restriction enzymes Xho I und Hind III (Fermentas, St. Leon-Rot, Germany). A mixture of 10  $\mu$  restriction mix and 2  $\mu$  of sample-buffer were separated on 2% agarose gel to determine the length of the inserted fragments. Clones with the anticipated insert length (table 2) were used for preparation of standards containing 10<sup>5</sup> - 10 copies of plasmid, for quantification.

In preliminary experiments we found that the genus-specific Lac. spp PCR and the species-specific *L. reuteri* PCR were not comparable due to the different lengths of the resulting amplicons. Therefore, we decided to recalculate the pathogenic load of the samples. We recalculated the standards of *L. reuteri,* by using genomic DNA of *L. reuteri* in two dilutions, of which the genome equivalents were estimated in Lac. spp qPCR.

#### *Oral glucose tolerance test (OGTT)*

The oral glucose tolerance test (OGTT) was performed in the morning after an overnight fast with 75 g glucose ad 300 ml water (Dextrose O.G-T., Roche Diagnostics Deutschland GmbH, Mannheim, Germany). In blood samples drawn at different time points during the OGTT we measured the concentrations of blood glucose, insulin, C-peptide, glucagon, GLP-1, GLP-2, GIP, HbA1c, FFA, TG, immune mediators and reactive oxygen species (ROS, **figure 7, table 3**) to determine the metabolic status of subjects.

oral glucose tolerance test



**Fig. 7 Schematic representation of the oral glucose tolerance test (OGTT).** Collection of two fasting blood samples was followed by immediate ingestion of glucose solution, containing 75 g glucose diluted in 300 ml water followed directly. Every black dot represents a time point for blood sampling. Investigated parameters from blood samples were concentrations of blood glucose, insulin, C-peptide, glucagon, GLP-1, GLP-2, GIP, HbA1c, free fatty acids, triglycerides, immune mediators, reactive oxygen species.

The parameters obtained from the OGTT also allow calculation of the oral glucose insulin sensitivity index (OGIS). The index is a validated method for the assessment of insulin sensitivity from the 3-hour oral glucose tolerance test with 75 g glucose. The OGIS provides information analogous to the index of insulin sensitivity (M-Value) obtained from the gold standard, the hyperinsulinemic-euglycemic clamp (Mari et al., 2001; Mari et al., 2005), which is described in a separate chapter (page 32).

#### *Assessment of insulin secretion and β-cell function*

Insulin secretion as a measure of β-cell function can be investigated by several metabolic tests. From measurements of plasma glucose, insulin, and C-peptide concentrations during the OGTT, β-cell function can be calculated. In cooperation with Prof. Giovanni Pacini, Padova, Italy we aimed to test β-cell activity by determining the disposition index (DI) (Kahn et al., 1993), area under the curve (AUC) insulin, and AUC glucose during the OGTT (Mari et al., 2001; Faerch et al., 2008; Thomaseth et al., 1996).

Moreover, β-cell function is assessable by the insulinogenic index (Mari et al., 2001; Mari et al., 2005). The interplay between insulin sensitivity and secretion can be described as the β-cells adaptive response to changes in insulin resistance (Ahren and Pacini, 2004). This interplay can be determined by the products OGIS  $\times$  AUC<sub>CP</sub> and OGIS  $\times$  AUC<sub>INS</sub>, where the AUCs are defined as the area under the concentration curves of C-peptide and insulin, respectively. These indices, called adaptation (AI) and disposition (DI) index were originally developed for the intravenous (i.v.) glucose test but have been proven to be useful determinants for the calculation of β-cell function from OGTT (Ahren and Pacini, 1997; Kahn et al., 1993; Pacini, 2006). Additonally, the DI was described as one of the most accurate physiologic parameters for the detection of changes of β-cell activity in the whole organism (Bergman et al., 2002).

#### *Isoglycemic glucose infusion test*

The rationale for performing the isoglycemic glucose infusion test is to measure the incretin effect (Nauck et al., 2004). The incretin effect is defined as the phenomenon that oral glucose administration induces a much higher degree of insulin secretion compared to a parenteral isoglycemic i.v. glucose infusion. This effect is caused by the release of incretins in response to the oral uptake of glucose (**figure 8**) (Drucker, 2006; Drucker and Nauck, 2006; ELRICK et al., 1964).

The isoglycemic glucose infusion test was newly established at the Clinical Research Center of the Institute for Clinical Diabetology at the German Diabetes Center.

Two catheters (Vasofix, Braun, Melsungen, Germany) were inserted into antecubital veins in the left and right arm for blood sampling and infusions, respectively. The test was performed in the morning after a 10 hours overnight fast. After drawing basal blood samples at -120, -15, -5 and 0 min, the test was started by an isoglycemic intravenous glucose infusion (20% in sterile water enriched with 2% [6,6-<sup>2</sup>H<sub>2</sub>]glucose) over 3 hours, using an Infusomat<sup>®</sup> Space (Braun, Melsungen, Germany). The glucose infusion rate was adjusted to the interpolated blood glucose concentrations achieved during OGTT.

Samples for blood glucose measurements were drawn every 2 min during isoglycemic intravenous glucose infusion test. The hyperinsulinemic-euglycemic clamp followed directly thereafter (**figure 9**).

To assess the incretin effect we calculated the AUC of insulin, C-peptide, glucagon, GLP-1, GLP-2 and GIP at each visit and compared the difference of ΔAUC before (week 4) versus ΔAUC after (week 8) intervention in the subjects of the verum and the placebo group, for each parameter (insulin, C-peptide, glucagon, GLP-1, GLP-2 and GIP, respectively). ΔAUC was defined as AUC of OGTT minus AUC of isoglycemic intravenous glucose infusion test. Missing values of insulin, C-peptide, GLP-1, GLP-2 and GIP at -15 min were replaced by values determined at 0 min and vice versa. Missing values during the time course were interpolated, and at 180 min extrapolated, by calculation of the mean in regard to the time-interval of the missing value. For GLP-1 (14 out of 756 values), GLP-2 (14 out of 756 values), glucagon (2 out of 420 values) and for GIP (14 out of 756 values) were calculated by interpolation or extrapolation.



**Fig. 8 The biological incretin effect determined by the isoglycemic clamp technique** Exemplarily, the blood glucose curve during OGTT (green) and isoglycemic i.v. glucose infusion (orange, left diagram) and the corresponding serum insulin levels (right diagram) of one participant. The area under the curve (AUC) of insulin determined during OGTT (green) minus the AUC of insulin determined during isoglycemic intravenous glucose infusion test (orange) indicate the incretin effect (black arrow).
#### *Hyperinsulinemic-euglycemic clamp with isotopic dilution to assess insulin sensitivity*

The gold standard to determine insulin sensitivity is the hyperinsulinemic-euglycemic clamp test. Combined with tracer substances this test allows to differentiate between hepatic and peripheral (muscle) insulin resistance. Moreover, the hyperinsulinemiceuglycemic clamp test allows to quantify the severity of insulin resistance (Defronzo et al., 1979). However, this method is difficult to implement in daily clinical practice. Therefore, several indices based on data from the oral glucose tolerance test and/or fasting blood sampling have been developed to assess insulin sensitivity (Armato et al., 2012; Matsuda and Defronzo, 1999; Anderwald et al., 2007). For example, the oral glucose insulin sensitivity index (OGIS) provides information of insulin-mediated glucose clearance, calculated from plasma glucose, insulin, and C-peptide concentrations during the oral glucose tolerance test (OGTT). The OGIS has been validated against the hyperinsulinemic-euglycemic clamp test and the results of the two methods show a high correlation (Mari et al., 2001; Mari et al., 2005; Ahren and Pacini, 2004). To reduce these cumbersome experimental clinical settings, further indices to determine insulin resistance have been established based on mathematical methods e.g. HOMA (homeostatic model assessment) (Wallace et al., 2004; Wallace and Matthews, 2002; Matthews et al., 1985; Mojiminiyi and Abdella, 2010; Levy et al., 1998; Geloneze et al., 2009) and QUICKI (quantitative insulin sensitivity check index) (Katz et al., 2000; Hrebicek et al., 2002; Chen et al., 2003).

In this clinical trial the gold standard hyperinsulinemic-euglycemic clamp test combined with isotopic dilution (6,6[ $\textsuperscript{2}H_{2}$ ]glucose) was used to assess whole body and hepatic insulin sensitivity. In brief, after baseline blood sampling at -120 min, a primed (0.36 mg\*(fasting blood glucose (mg/dl)/90 mg/dl)\*body weight (kg)<sup>-1\*</sup> min<sup>-1</sup> for 5 min), followed by a constant intravenous infusion (0.036 mg  $*$  body weight (kg)<sup>-1</sup>  $*$  min<sup>-1</sup>) of the [6,6- ${}^{2}$ H<sub>2</sub>]glucose over two hours for assessment of endogenous glucose production (EGP) was given (**figure 9**). Directly after the isoglycemic glucose infusion test (180 min), a priming dose of short-acting human insulin (Insuman<sup>®</sup> Rapid, Sanofi-Aventis, Frankfurt, Germany) for 10 min was infused followed by a constant insulin infusion (40 mU/m<sup>2</sup> body surface area) over two hours, using Perfusor<sup>®</sup> Space (Braun, Melsungen, Germany). During this time blood glucose was adjusted to 80 mg/dl by a variable infusion rate of a 20% glucose solution, enriched with  $2\%$  [6,6- $^{2}H_{2}$ ]glucose to maintain the blood glucose concentration during the clamp test according to the HOTGINF protocol (Weickert et al., 2007; Nowotny et al., 2013). Throughout the hyperinsulinemic-euglycemic clamp blood samples were collected in 5 min intervals for the measurement of whole blood glucose concentration in duplicates. The glucose infusion rates during the last 30 minutes of the clamp were used to calculate whole body insulin sensitivity (**figure 9**). Rates of EGP were determined from the tracer infusion rate of  $[6,6^{-2}H_2]$ glucose and its enrichment to the hydrogens bound to carbon 6 divided by the mean percent enrichment of plasma  $[6,6^{-2}H_2]$ glucose. Steadystate equations were appropriate for calculation of basal EGP and insulin-suppressed EGP during the last 30 min of clamp.



**Fig. 9 Schematic representation of the time course of the clamp studies**  Every black dot represents a time point for taking a blood sample. Investigated parameters from blood were blood glucose, insulin, C-Peptide, GLP-2, GLP-1, GIP, HbA1c, free fatty acids (FFA), triglycerides (TG), immune mediators, reactive oxygen species (ROS), atom percent enrichment of  $[6,6^2H_2]$  glucose (APEs)

# *Gas chromatography-mass spectrometry to determine atom percent enrichment of*

## *[6,6<sup>2</sup> H2] glucose*

The atom percent enrichment (APE) of  ${}^{2}H$  was determined by established methods according to standard operating procedures (SOP) of the gas chromatography-mass spectrometry (GC-MS) and hormone analytical laboratory at the German Diabetes Center headed by Ing. Peter Nowotny. After deproteinization, determination of APE of  ${}^{2}H$  was done as described before (Krebs et al., 2001; Nowotny et al., 2013). In brief, 100 µl KF-EDTA plasma was diluted with an equal amount of water and deproteinized after adding 300 µl of 0,3 N ZnSO<sub>4</sub> solution followed by the addition of 300 µl of 0,3 N Ba(OH)<sub>2</sub> solution. After vortexing for 20 minutes, samples were centrifuged at 21.000 g at room temperature. Thereafter, 400 µl of the sample were evaporated under a stream of nitrogen 5.0 at 37°C and both endogenous glucose and infused  $[6,6-2H_2]$ glucose were derivatized with HOX (100 µl of 2% solution in pyridine, 60 min at 90°C, cooling for 5 min) and acetic anhydrate (200 µl, 60 min at 90°C, cooling for 5 min) to the aldonitrile-pentaacetate. The analyses were performed on a Hewlett-Packard 6890 gas chromatograph equipped with a 25 m/0.25 mm/0.12 µm CPSil5CB capillary column (Chrompack/Varian, Middelburg, Netherlands) and interfaced to a Hewlett Packard 5975 mass selective detector. Intra- and inter-assay variations were 0.6% and 1.0%.

#### *Indirect calorimetry*

The indirect calorimetry is a non-invasive method to determine *in vivo* the whole body energy expenditure and substrate oxidation by measuring the oxygen consumption  $(VO<sub>2</sub>)$ and the carbon dioxide production (VCO<sub>2</sub>) to calculate the respiratory quotient (RQ =  $VCO<sub>2</sub>/VO<sub>2</sub>$ ) and resting energy expenditure (REE =  $(3.941*VO<sub>2</sub>+1.11*VCO<sub>2</sub>)*1.44$ ) according to the abbreviated Weir equation.

Substrate oxidation was calculated according to Frayn (Frayn, 1983) with a fixed estimated protein oxidation rate (Pox) of 15% of REE: carbohydrate oxidation rate (mg/kg body weight\*min) =  $[(4.55*VCO<sub>2</sub>) - (3.21*VO<sub>2</sub>) - 0.459*Pox]<sup>*</sup>1000/kg$  bodyweight; where  $VCO<sub>2</sub>$  is carbon dioxide production and  $VO<sub>2</sub>$  is oxygen consumption; and lipid oxidation rate (mg/kg body weight\*min) =  $[(1.67*VO<sub>2</sub>) - (1.67*VCO<sub>2</sub>) - 0.307*Pox]<sup>*</sup>1000/kg$ bodyweight. Non-oxidative glucose disposal was calculated as Rd (rate of glucose disappearance, mg/kg body weight\*min) minus carbohydrate oxidation (Nowotny et al., 2013). Due to an inherent variability of the instrumentation, case-related *in vitro* validation by individual calibration control evaluation (ICcE) was necessary. The *in vivo* rates were calculated, thereafter gaseous  $CO<sub>2</sub>$  and  $N<sub>2</sub>$  were infused into the hose of the metabolic cart and the mass-flow meters adjusted until the mean rates, observed in an individual subject, were exactly met. The resulting adjustment of the mass-flow regulator (in L/min, standard conditions, T=273°K, p=1013 hPa) was then taken to calculate the estimate for the true breath gas exchange rate of the subject (Schadewaldt et al., 2013).

Indirect calorimetry using canopy mode was performed using Vmax Encore 29n (CareFusion, Höchberg, Germany) at baseline and during steady state conditions of hyperinsulinemic-euglycemic clamp over a period of 20 min after 10 min adaptation time to the setting.

## *Biochemical analysis*

The laboratory parameters*,* like blood cell count, HbA1c, triglycerides (TG), cholesterol, HDL-cholesterol, LDL-cholesterol and CRP, were determined by established methods according to SOPs of the gas chromatography-mass spectrometry (GC-MS) und hormone analytical laboratory at the German Diabetes Center.



### **Table 3** Blood sampling protocol of OGTT

Room temperature (RT), free fatty acids (FFA), triglycerides (TG), glucose-dependent insulinotropic polypeptide (GIP), glucagon-like peptide-(GLP)-1 and GLP-2, C-reactive protein (CRP), dipeptidyl peptidase-4 (DPP-4), stabilizer for FFA 40µl Orlistat and 20µl Na-EDTA, for glucagon 40µl Aprotinin and 20µl Na-Heparin

Briefly, fresh drawn blood samples were immediately chilled, centrifuged after 10 min and supernatants stored at -20°C until further analysis. Insulin, C-peptide, total free fatty acids (FFA) and glucagon were determined from venous drawn blood at baseline, during several time points of the 3 hours OGTT (**table 3**) and the hyperinsulinemic-euglycemic clamp test. The blood sampling protocols for OGTT and hyperinsulinemic-euglycemic clamp test was used initially for 3 pilot participants of each group. After verification of time points for blood sampling the scheme was adjusted (**table 3**).

Serum insulin was measured by a microparticle enzyme immunoassay (MEIA) on an AXSYM analyzer (Abbott, Abbott Park, IL, USA), C-peptide was measured by chemiluminescence immunoassay on an Immulite1000 system (Siemens, Erlangen, Germany) and plasma glucagon was analyzed by radio immune assay (RIA, Millipore, St. Charles, MO, USA). Plasma FFA concentrations were determined with the microfluorimetric method (Wako, Neuss, Germany) and cortisol was measured using a fluorescence polarization immunoassay on an Axsym analyzer (Abbott).

Venous whole blood glucose was measured in duplicate by Biosens C\_line, GP (EKF diagnostic GmbH, Barleben, Germany) based on an electrochemical method using a chipsensor technology (Nowotny et al., 2012). In brief, the β-D-Glucose in the samples was enzymatically cleaved in gluconic acid and hydrogen peroxide. The electrode measured the concentration of the hydrogen peroxide.

#### *Incretin concentrations*

Samples of peripheral blood for the determination of GLP-1, GLP-2, and GIP concentrations were collected into DPP-4-inhibitor containing tubes (BD P700, BD Biosciences, Franklin Lakes, NJ, USA) during OGTT and isoglycemic glucose infusion test. Measurements of all three gut hormones were performed in cooperation with the gas chromatography-mass spectrometry (GC-MS) und hormone analytic laboratory at the German Diabetes Center.

Concentrations of the gut hormone GIP were determined by using a gut hormone panel according to the manufacturer's instructions (human GIP (total) ELISA, Millipore, St. Charles, USA, CV 12%).

At first, concentrations of gut hormones GLP-1 and GLP-2 were determined by using a gut hormone panel according the manufacturer instructions (GLP-1 ELISA, TECOmedical, Sissach, Switzerland, CV 10%; GLP-2 ELISA, Uscn Life Science Inc., Wuhan, China, CV 15%, respectively). The manufacture instructions for GLP-2 measurements were changed by the company during the study period, requiring the use of other blood collection tubes and titration procedures. The required sample volume of EDTA plasma was not available, because we collected the blood into DPP-4-inhibitor containing tubes (BD P700, BD Biosciences, Franklin Lakes, USA). Additionally, the assay showed broad variance.

In a second step, we got the opportunity to get GLP-1 and GLP-2 measured by a well accepted and widely used method, as a part of a scientific collaboration with Prof J.J. Holst from the Novo Nordisk Foundation Center for Basic Metabolic Research, University of Copenhagen. The gut hormones GLP-1 and GLP-2 were measured as previously described (Orskov et al., 1994; Hartmann et al., 2000; Deacon and Holst, 2009). In brief, GLP-1 and GLP-2 concentrations in plasma were measured by radioimmunoassays (RIA) after extraction of plasma with 70 % and 75% ethanol (vol/vol, final concentration), respectively. Carboxy-terminal GLP-1 immunoreactivity was determined using antiserum code no. 89390 which has an absolute requirement for the intact amidated carboxyterminus of GLP-1 (7-36amide) and crossreacts less than 0.01% with carboxy-terminally truncated fragments and 89% with GLP-1 (9-36amide). GLP-2 was measured with an Nterminal specific antiserum, detecting only fully processed GLP-2 of intestinal origin. As standard, we used synthetic human GLP-2 and the tracer was rat GLP-2 with an Asp<sup>33</sup> -> Tyr<sup>33</sup> substitution. Sensitivity for both assays was below 5 pmol/l, and intraassay coefficient of variation below 10 %.



**Fig. 10 Correlation of the GLP-1 concentrations detected by two different methods**  Shown are the log GLP-1 values measured by ELISA (x-axis) and measured by RIA (y-axis), exemplarily at Visit 6. The log transformed GLP-1 values exhibit a linear relationship (r=0.778, p<0.0001). Regression Equation: log GLP-1 RIA = 2.23 + 0.60 \* log GLP-1 ELISA.

Statistical analysis of the GLP-1 values, detected by the two different methods, showed a high correlation (r=0.778, p<0.0001, **figure 10**). In comparison, the log-transformed GLP-1 values, measured by the new radioimmunoassay (RIA) showed a smaller standard

deviation than the ELISA values (logGLP-1 ELISA CV 1.39, logGLP-1 RIA CV 0.94, respectively).

### *Reactive oxygen species (ROS)*

Thiobarbituric acid-reactive substances (TBARS) measured from serum samples, reflect concentrations of oxidized lipids as markers of oxidative stress (Ristow et al., 2009). Measurements of TBARS were performed in cooperation with Dr. Gilles Séquaris of the research group energy metabolism of the Institute for Clinical Diabetology at the German Diabetes Center. Briefly, 50 µl serum were diluted in 50 µl 1.15% KCl solution and mixed with 0.8% thiobarbituric acid and 8.1% sulfosalicylic acid before TBARS extraction by butanol/pyridine (15:1). After separation of the butanol/pyridine layer by centrifugation (3000 g, 20 min, room temperature), the TBARS content (pmol/mg) was assayed on a Flx800 fluorometer (BioTek, Bad Friedrichshall, Germany).

### *Immune mediators and adipokines*

Concentrations of pro-inflammatory (interleukin (IL)-6, IL-8, IL-1β, interferon-gamma (IFNγ), tumor necrosis factor (TNF)-α, monocyte chemotactic protein (MCP)-1, macrophage inflammatory protein (MIP)-1β) and regulatory (IL-10, IL1ra) systemic cytokines and chemokines were determined by using a Flurokine MAP multiplex kit, human cytokine panel A (R&D Systems, Minneapolis, MN, USA) and quantified with Luminex technology (Bio-Rad Bioplex, Nazareth, Belgium) (Pfleger et al., 2008b; Pham et al., 2011). The adipokines leptin and adiponectin were determined by using the Flurokine MAP kit human obesity panel (R&D Systems, Minneapolis, USA) and measured by Luminex technology (Bio-Rad Bioplex, Nazareth, Belgium) as well (Pham et al., 2013). Following the manufacturer's instructions, color-coded microparticles with pre-coated analyte-specific antibodies were used to bind the analytes of interest. Detection of analytes was done by a microparticle-specific laser of the Bio-Plex-System, another laser determined the amount of analyte bound, because it measured the magnitude of the signal, which is in direct proportion. Standard curve and three control samples of two different dilutions were assayed in duplicates on all plates. All samples of one subject were measured together on one plate and in a combination of lean and obese subjects receiving verum or placebo. The mean intra- and inter-assay variance (CV) for all nine cytokines as well as for adiponectin and leptin was <15%. Detection limits were IL-6 0.50 pg/ml, IFN-γ 0.39 pg/ml, IL-8 0.42 pg/ml, TNF-α 0.61 pg/ml, IL-1β 0.34 pg/ml, MCP-1 0.11 pg/ml, MIP-1β 4.32 pg/ml and IL-10 0.38 pg/ml, IL1ra 1.6 pg/ml, adiponectin 124.28 pg/ml and leptin 81.58 pg/ml.

### *Limulus test to measure LPS*

Serum endotoxin levels are a validated parameter to access gut permeability and inflammatory status (Cani et al., 2008a). Measurements of endotoxin were performed in cooperation with the research group immune modulation of the Institute for Clinical Diabetology at the German Diabetes Center headed by Priv.-Doz. Dr. Volker Burkard. Serum endotoxin (lipopolysaccharide, LPS) content was determined by the use of a chromogenic limulus amebocyte lysate (LAL) assay (QCL-1000 Lonza Ltd., Verviers, Belgium) according to the manufacturer's instructions with slight modifications (Habich et al., 2005). In brief, samples were centrifuged and diluted with pyrogen free water and heated for 15 minutes at 75°C. After pre-incubation of the plate for 10 minutes at 37  $\pm$ 1°C, all reagents, water blank, endotoxin standards, samples, and positive controls were carefully dispensed into the appropriate wells of the microplate and gently mixed before incubation. The reaction was stopped by the addition of 30% acetic acid and the extinction was measured by 405 nm photometrically on an ELISA-Reader Synergy 2 Gen5™ CL (BioTek, Bad Friedrichshall, Germany).

## *1 H MRS for the determination of hepatic and muscular fat content*

All magnetic resonance imaging (MRI) and spectroscopy (MRS) were determined by established methods according to standard operating procedures (SOP) of the junior research group Metabolic Imaging headed by Dr. Jong-Hee Hwang at the Institute for Clinical Diabetology at the German Diabetes Center. All MRS measurements were performed on a 3.0 Tesla whole body clinical scanner (Philips Healthcare, Achieva, Xseries, Best, The Netherlands) at DDZ. The quantification of liver and myocellular triglyceride content from <sup>1</sup>H-MR spectroscopy (MRS) was assessed relative to the internal water, and calculated as described previously (Krssak et al., 2004b; Krssak et al., 1999; Hwang et al., 2007; Krssak et al., 2010; Hamilton et al., 2011). The percentage of fat content was quantified as fat signals relative to the internal water peak at 4.7 ppm. The lipid peaks at  $-(CH_2)n - (1.3$  ppm) and methyl  $-(CH_3)$  (0.9 ppm) were integrated by NUTS software (Acorn NMR, Livermore, CA, US).

## *Measurement of hepatocellular lipid content (HCL) by 1H MR spectroscopy*

Using a fast spin echo sequence, MR images around the liver were obtained by using a navigation echo to remove breathing motion artifacts. To localize an appropriate voxel in the liver, transverse and coronal T2-weighted (T2w) images of 7 mm thickness were acquired. A Position Resolved Spectroscopy (PRESS) sequence with a repetition time of 4 s and an echo time of 35 ms was employed. Spectroscopic data was acquired in a volume of interest (VOI) of 25 x 25 x 25 mm<sup>3</sup> in the liver. The VOI were carefully placed to avoid major vessels and fat depots in the liver. Data was transferred to a desk computer and processed using NUTS software (Acorn NMR, Livermore, CA, USA).

#### *Determination of intramyocellular lipid content (IMCL) by 1H MR spectroscopy*

MR images of the left calf were acquired using a fast spin echo sequence. To choose a voxel in the soleus and tibialis anterior muscles, transverse, coronal and sagittal T1 weighted (T1w) images of 4 mm slice thickness were acquired to clearly visualize fat depots. Again, a VOI was carefully placed to avoid muscular fat depots. A PRESS sequence with a repetition time of 2 s and an echo time of 31 ms was used. Spectral data was acquired with and without water suppression (CHESS) for a VOI of 12 x 12 x 12 mm<sup>3</sup>. Spectra were processed to assess contents of IMCL using jMRUI (Java-based Magnetic Resonance User Interface, EU Human Capital and Mobility Networks, France) software.

### *Lactobacillus reuteri capsules and placebo capsules*

*Lactobacillus reuteri* was encapsuled by Nutraceutix (Nutraceutix, Inc. Redmond, WA, USA)*.* Nutraceutix has a patented technology to encapsulate probiotics with a protective layer, to protect probiotics from gastric acid, while providing an optimal release throughout the intestine (BIO-*tract®* tablets). Probiotics and placebos were produced in optically identical capsules. Packaging and organoleptic appearance was identical, too. All capsules were generously provided by Nutraceutix (Nutraceutix, Inc. Redmond, WA, USA)*.* Each participant received the capsules in the corresponding bottle allocated by an independent researcher with no further involvement in this clinical trial, assigned according to the randomization list. The appropriate package was handed to the particular participant.

#### *Statistical data analysis and power calculation*

Statistical data analysis and the power calculation were performed in cooperation with Dr. Klaus Strassburger of the Department of Biometry and Epidemiology at the German Diabetes Center.

#### *Power calculation*

As no relevant data preexisted from probiotic studies reporting changes of incretins, particularly of GLP-1 concentration, we assumed data from studies with prebiotics and used this as a basis for power calculation. Group size was calculated in order to detect a mean difference of one SD before versus after intervention, with a probability (power) of at least 80%. Mean differences between verum and placebo of at least 1.33 SD can be detected with a power of 80%.

#### *Statistical data analysis*

SAS for Windows Version 9.2 (SAS Institute, Cary, NC, USA) was used for all analyses. Data are presented as means and standard deviations (mean  $\pm$  SD) or medians and interquartile range (median [IQR]), as appropriate. Variables with skewed distribution were log transformed before further analysis.

To test differences between treatment arms taking the lean-obese status into account, we first used two-way ANOVA with interaction. In case of a statistically significant interaction term, results were reported separately for the lean and obese group otherwise two-way ANOVA without interaction were used to adjust the treatment effect for the lean/obese status and results were reported for the combined groups.

In the case that variables were not normally distributed before or after log-transformation, differences between treatments were tested by one-way Kruskal–Wallis analysis of variance applied separately to samples of obese and lean subjects.

Pearson's correlation coefficients were used to describe inter-individual (across subjects) correlations between variables and also used to calculate intra-individual (within subject) correlation between variables measured during the OGTT and isoglycemic test. To test whether the mean intra-individual correlations are different from zero, one-sample t-test were performed after normalizing the single intra-individual correlations by applying Fisher's-z-transformation.

P-values from two-sided tests less than or equal to 5% were considered to indicate significant differences.

AUCs of the various compounds are calculated with the trapezoidal rule. Changes in the incretin effect were calculated by AUC of insulin, C-peptide, glucagon, GLP-1 and GLP-2 during OGTT and isoglycemic test. Calculated ΔAUC was defined as AUC during OGTT minus AUC during isoglycemic test. Due to the positive skewness, these AUCs were logtransformed (natural logarithm). Differences of these ΔAUC before and after intervention were calculated.

## *Results*

### *Recruitment and enrollment of study subjects*

Subjects were recruited by newspaper advertisement, flyer, and during an official information event at the German Diabetes Center. More than 130 interested persons contacted us by telephone as resonance to the newspaper advertisement. During the telephone call potential participants got first information about study procedure and duration, allowance, medical examination and measurements.



## **Fig. 11 Enrollment and allocation of participants according CONSORT flow diagram**

After written informed consent, 39 subjects were screened for the study throughout October 2011 until August 2012. Of the screened persons 13 subjects did not fullfill the inclusion criteria (e.g. 2 subjects because of antibiotic treatment, 1 subject because of weight changes before study start, 1 subject because of common cold during run-in phase), 4 subjects abstained from the study as they did not want to follow dietary restrictions, 1 person refrained from participating without giving any reasons (**figure 11**).





Mean  $\pm$  SD and median [interquartile ranges] are given for normally and log-normally distributed data, respectively. Significant differences (p<0.05) between lean and obese subjects are high lightened in bold (unpaired t-test). Resting energy expenditure and hsCRP<sup>§</sup> were determined before intervention. The half time  $(t1/2)$  of gastric emptying<sup>#</sup> was measured using the <sup>13</sup>C-octanoic acid breath test. Compliance\* was confirmed by tablet counting.

The remaining 21 participating subjects were 11 lean, healthy control subjects (6 females and 5 males) and 10 obese non-diabetic subjects (5 females and 5 males) with a mean age ± SD of 49±7 years and 51±7 years, respectively (**table 4**). Five of the lean subjects received placebo and 6 received *L. reuteri*. Of the obese subjects, 5 received placebo and 5 received *L. reuteri.* 

#### *Baseline characteristics of study cohort*

As expected, the obese group had a higher BMI compared to the lean subjects (23.6±1.7 vs. 35.5 $\pm$ 4.9 kg/m<sup>2</sup>, p<0.001), elevated levels of body fat mass (40 $\pm$ 9 vs.28 $\pm$ 6 %, p<0.003) and lean body mass (63.5±9.5 vs. 50.7±11.1 kg, p<0.02), but did not differ in regard to concentrations of fasting plasma glucose, glucose tolerance as determined by oral glucose tolerance test (OGTT), gastric emptying, treatment adherence, HbA1c and systolic blood pressure (**table 4**). Insulin sensitivity assessed by OGIS was lower in obese subjects, whereas hsCRP and diastolic blood pressure were higher. Treatment adherence as determined by capsule counting that showed 99.8% compliance in obese and 100% in lean subjects. Likewise, there were no differences of treatment adherence, confirmed by tablet counting between *L. reuteri*- and placebo- groups.





Total bacterial load (eubacteria), enterobacterial content (enterobac.), and Lactobacillus content (*Lac. spp*), were comparable between intervention- (blue) and placebo-group (red), and did not change upon treatment with *L. reuteri. L. reuteri* content was increased at the end of trial  $(p<0.0001)$  and enlarged compared to placebo (" $p<0.0001$ ). Abundance of bacterial populations was measured by quantitative real-time PCR. Shown are mean ± SD, Fischer´s exact t-test.

#### *Abundance of bacterial populations in faecal samples*

Faecal samples were obtained from all 21 subjects before intervention, and from all but one subject at the end of intervention. From one lean subject of the placebo group we did not receive a sample after intervention. Another lean subject of the placebo group was tested positive for *L. reuteri* in *L. reuteri* qPCR before intervention (0.249 DNA-copies) and was still positive after intervention (0.041 DNA-copies), but with lower concentration. All other faecal samples were *L. reuteri*-negative before intervention.

Stool samples of the subjects ingesting *L. reuteri* were tested positive upon treatment in *L. reuteri* qPCR, whereas those of the placebo treated subjects remained negative (p<0.0001). As shown in **figure 12**, Treatment with neither *L. reuteri* nor placebo lead to an alteration in total bacterial load, enterobacteria or Lac. spp. content. Interestingly, these findings were preserved, and comparable between lean and obese subjects, independent on the group they belong to. Additionally, in the intervention group there were no differences in *L. reuteri* content between lean and obese subjects.

### *Anthropometry*

The anthropometric parameters body weight [kg], BMI [kg/m<sup>2</sup>], body fat mass [%], and lean body mass [kg] of the two treatment groups were unaffected by *L. reuteri* or Placebo treatment (**table 5**). Also waist/hip-ratio, blood pressure [mmHg] and pulse [/min] of all subjects remained stable during the study (data not shown).



**Table 5** Anthropometric data before and after intervention in the respective groups.

Mean  $\pm$  SD and median [interquartile ranges] are given for normally and log-normally distributed data, respectively. To test for differences between treatment arms adjusted for body weight, we used two-way ANOVA. Neither before nor after intervention, significant differences in anthropometric data and ectopic fat content between the *L. reuteri* and the placebo group, were found.





(IMCL) measured in musculus (m.) soleus (B) and m. tibilais anterior (C).

### *Ectopic lipid content*

Ectopic lipid content was assessed in 8 lean (4 *L. reuteri*, 4 placebo) and 7 obese (4 *L. reuteri*, 3 placebo) subjects. Two subjects did not undergo this investigation as they suffered from claustrophobia, one subject had implants with contraindication for MRS, and two did not participate in this assessment for other reasons.

Ingestion of *L. reuteri* did not affect ectopic lipid content measured by MR spectroscopy neither for intrahepatocellular lipid content (HCL) nor for intramyocellular lipid content (IMCL) determined in the musculus (m.) soleus and m. tibilais anterior (**table 5, figure 13 A-C**). The BMI correlated with the intrahepatocellular lipid content before (r=0.722, p<0.003) and after intervention (r=0.625, p<0.014) but not with IMCL in m. soleus and m. tibilais anterior - neither before nor after intervention.

### *Tissue-specific whole body and hepatic insulin sensitivity*

As expected, lean subjects showed better (higher) whole-body insulin sensitivity compared to obese subjects before (M-Value 9.6 [IQR  $6.4 - 11.4$ ] vs. 5.4 [3.7 – 7.9] mg/kg\*min, p<0.05) and after intervention (M-Value 8.5 [7.4 - 10.3] vs. 7.0 [4.0 - 8.3] mg/kg\*min, p<0.05, **figure 14 A**). The comparison of whole-body insulin sensitivity between *L. reuteri-* and placebo-group neither showed differences before (M-Value 8.2 [5.7 - 10.0] vs. 6.4 [4.4 - 11.1]) nor after intervention (M-Value 7.4 [6.5 - 11.5] vs. 8.0 [6.6 - 9.1]). Likewise, intervention did not alter whole body insulin sensitivity.

*L. reuteri* neither affected endogenous glucose production (EGP) at baseline (1.9±0.4 vs. 1.6±0.3 mg/kg\*min, data not shown) nor insulin-stimulated EGP suppression. EGP was also comparable between *L. reuteri*- and placebo-group before and after intervention (**figure14 B**).



**Fig. 14 Results from the hyperinsulinemic-euglycemic clamp before and after intervention in** *L. reuteri* **(blue), and placebo (red) treated groups.** M-Value represents the whole body insulin sensitivity (A). Endogenous glucose production (EGP) represents the hepatic insulin sensitivity (B). Given are box and whiskers, the line in the box gives median, bars and boxes give interquartile range (IQR), error bars give the minimum and maximum.

#### *Energy expenditure and substrate oxidation*

The resting energy expenditure (REE) measured in kcal at baseline and during clamp differed between lean and obese subjects (**table 4**) and did not change during the study. In line with the results from hyperinsulinemic-euglycemic clamp, the substrate oxidation, especially the oxidative and non-oxidative glucose utilization, which both increased during clamp, showed neither differences between groups nor towards intervention (**figure 15 A**). Lipid oxidation rates during hyperinsulinemia were reduced by *L. reuteri* 94% and by placebo 102% compared to baseline, without any differences between both groups (**figure 15 B**).



**Fig. 15 Lipid oxidation and glucose utilization before and after intervention** 

Bars show the glucose utilization (A) and lipid oxidation rates (B) in *L. reuteri* (blue) and placebo (red) treated groups, respectively. Lipid oxidation and glucose utilization were assessed at baseline and during steady state conditions of hyperinsulinemic-euglycemic clamp. Hatched bars show the non-oxidative glucose utilization (non-ox), checkered bars show oxidative glucose utilization (ox). Mean and SD are given before and after intervention.

#### *Glucose tolerance*

Blood glucose concentrations measured from OGTT and isoglycemic i.v. glucose infusion test (infusion of 20% glucose solution) remained unchanged upon treatment (**figure 16**). Likewise, the total glucose infusion rate calculated in total g glucose showed no differences upon treatment (before 26.2 [14.5 - 43.6] vs. after 29.7 [16.0 – 35.0]). Glucose



47.9], p<0.004), but not before intervention (23.2 [10.3 – 26.2] vs. 22.3 [19.3 – 51.3], p=0.3073).

**Fig. 16 Blood glucose concentrations during OGTT and isoglycemic i.v. glucose infusion** OGTT (A) and isoglycemic i.v. glucose infusion (B) before and after Intervention of *L. reuteri*- (blue) and placebo-group (red), respectively. Shown are data from lean (triangles) and obese subjects (circles) before (open symbols) and after intervention (closed symbols) as mean and SEM. \*p<0.05 vs. baseline.

#### *Insulin secretion and incretin effect*

Intravenous and oral glucose-stimulated C-peptide and insulin levels were determined to assess β-cell function. Insulin and C-peptide secretion were stimulated to a higher extend by orally than by intravenously applied glucose in all groups (p<0.01) as expected.

After Intervention, insulin secretion was increased 20 min and 60 min after glucose application, in parallel with increased C-peptide levels at these time points, while glucagon remained unaltered. The increased concentrations of insulin and C-peptide were detectable in lean and obese subjects (**figure 17 A-B**). Fasting concentrations of glucagon differed between lean and obese subjects before (p<0.05) but not after intervention (p=0.08). By trend glucagon concentrations were higher in obese compared to lean subjects (**figure 17 C**).

Comparison of the relative difference of ΔAUC before intervention versus ΔAUC after intervention, to assess the incretin effect, showed that ΔAUC of insulin and C-peptide were increased in the *L. reuteri*-group at the end of the study (p<0.05), but not in the placebo group (**figure 18 A-B**). When we determined single time points of insulin and Cpeptide during oral glucose stimulation, the higher insulin and C-peptide concentrations in the *L. reuteri* group at the end of the trial was seen with significantly increased concentrations at 20 and 60 min of glucose stimulation (p<0.05, **figure 17 A-B**). The maximal response (peak at 60 min) for insulin and C-peptide was increased at the end of the study in the intervention group (p<0.016, p<0.018, respectively). Concentrations of glucagon remained stable throughout the study and were unaffected by intervention (**figure 18 C**). There were no glucose-stimulated alterations and no maximal responses (peak) detectable for glucagon. Glucose-stimulated concentrations of glucagon showed no differences between lean and obese subjects (**figure 17 C**).



**Fig. 17 Concentrations of insulin, C-peptide and glucagon during OGTT** 

Comparison of insulin (A), C-peptide (B) and glucagon (C) during OGTT before versus after intervention of *L. reuteri*- (blue) and placebo-group (red), respectively. Shown are data from lean (triangles) and obese (circles) subjects before (open symbols) and after intervention (closed symbols). Mean and SEM, \*p<0.05 before vs. after intervention.





Comparison of Δ area under the curve (AUC) of insulin (A), C-peptide (B) and glucagon (C) before versus after intervention of *L. reuteri*- (blue) and placebo-group (red), respectively. Shown are ΔAUC, calculated as delta of AUC of OGTT and AUC of the isoglycemic test, before (open symbols) and after intervention (closed symbols). Mean and SEM, \*p<0.05 ΔAUC before vs. ΔAUC after intervention.

53

Indices of β-cell function calculated by validated mathematical model analyses taking glucose, insulin and C-peptide values of OGTT into account, the adaptation index, the disposition index, and the insulinogenic index, were increased in the *L. reuteri*-group (p< 0.05) after treatment and altered when compared to the corresponding indices of the placebo-group, which remained unchanged (**table 6**).



#### **Table 6** Changes of indices of β-cell function upon intervention

Mean ± SD and median [interquartile range] are given for normal and log-normal distributed data, respectively. Significant differences (before/after intervention) of *L. reuteri* vs. placebo are highlighted in bold, \*significant difference before/after intervention within the treatment group, p<0.05. OGIS is an index for insulin sensitivity, while the adaptation index, the disposition index, and the insulinogenic index are indices of β-cell function taking insulin sensitivity into account.

#### *Incretin secretion*

The fasting plasma concentrations of GLP-1, GLP-2 and GIP were in a range as expected. The concentrations after intervention were median 7.0 (5.0-8.0) pmol/l for GLP-1, 8.0 (8.0-10.0) pmol/l for GLP-2 and mean  $62.3\pm35.5$  pg/ml for GIP and did not change when compared to baseline levels except for GLP-1 (**figure 19 A-C**). During the OGTT we observed an increase in plasma concentrations for all three gut hormones with a maximum seen at 30 min for GLP-1, 60 min for GLP-2 and GIP (**figure 19 A-C**), before and after intervention. The maximal response (peak) for GLP-1 and GLP-2 was increased at the end of the study in the intervention group for lean subjects (p<0.02 and p<0.04, respectively) but not for obese subjects. The maximal response (peak) for GLP-1 differed in the *L. reuteri* group after intervention compared to placebo (p<0.04, **figure 19**).

After treatment fasting plasma GLP-1 levels were increased within the intervention group  $(p<0.02$ , min 0), and were by trend increased  $(p=0.052, \text{ min } 0)$  when compared to the placebo group. Glucose stimulated GLP-1 levels were higher after treatment at minute 20 (p<0.03), 30 (p<0.01), 60 (p<0.01), 120 (p<0.05), and 180 (p<0.03) of the OGTT within the *L. reuteri* group (**figure 19 A**), and increased compared to placebo at minute 20 (p<0.01), 30 (p<0.01), 60 (p<0.01), 120 (p<0.05), and 180 (p<0.03) of the OGTT. Likewise, plasma GLP-2 levels were by trend increased after treatment at minute 20 (p<0.057) and 60 (p<0.065), within the *L. reuteri* group (**figure 19 B**), and increased compared to placebo at minute 20 ( $p$ <0.05) and 60 ( $p$ <0.02). Glucose stimulated GLP-1 and GLP-2 levels, measured at several time points during OGTT were increased after intervention.

As GLP-1 and GLP-2 are co-secreted, we investigated their correlation. Plasma GLP-1 and GLP-2 concentrations from OGTT samples showed a similar pattern and correlated positively intra-individually before (r=0.86; p<0.0001) and after intervention (r=0.82; p<0.0001). Likewise, AUCs of GLP-1 and GLP-2 correlated with each other before (r= 0.87, p<0.0001) and after (r= 0.95, p<0.0001) intervention. Further, GLP-1 and GLP-2 correlated intra-individually with insulin (r=0.74 p<0.0001 and r=0.76 p<0.0001 respectively) and C-peptide concentrations (r=0.79 p<0.0001 and r=0.72 p<0.0001 after intervention respectively) during OGTT but not during isoglycemic i.v. glucose infusion test (data not shown).

Glucose stimulated AUC of GLP-1 (during OGTT) was increased in the *L. reuteri* group after intervention (p<0.003) and elevated when compared to the placebo group (**figure 19 A**). Similarly, glucose stimulated AUC of GLP-2 was increased in the intervention group compared to placebo (p<0.029, **figure 19 B**). GIP remained unchanged in the intervention group and compared to placebo (**figure 19 C**).





Gut peptides concentrations during OGTT before versus after intervention in the *L. reuteri*- (blue) and placebo-group (red), respectively. Shown are data from lean (triangles) and obese (circles) subjects before (open symbols) and after intervention (closed symbols) as mean and SEM. Glucagon-like peptide (GLP)-1 (A) and GLP-2 (B), glucose-dependent insulinotropic peptide (GIP) (C), \*p<0.05 before vs. after intervention.



**Fig. 20 ΔAUC of glucagon like peptides and glucose-dependent insulinotropic peptide**  Comparison Δ area under the curve (AUC) of glucagon like pepdide (GLP)-1 (A), GLP-2 (B) and glucose-dependent insulinotropic peptide (GIP) (C) before versus after intervention of *L. reuteri*- (blue) and placebo-group (red), respectively. Shown are ΔAUC, calculated as delta of AUC of OGTT and AUC of isoglycemic test, before (open symbols) and after intervention (closed symbols). Mean and SEM, \*p<0.05 ΔAUC before vs. ΔAUC after intervention.

**10000**

**before after**

**10000**

**A** 

**before after** 

The ΔAUC of GLP-1 calculated as '*ΔAUC after - ΔAUC before'* to assess the incretin effect, was increased at the end of the study compared to placebo (p<0.004) and tends to be increased after intervention within the *L. reuteri-*group (p=0.087) (**figure 20 A**). The ΔAUC of GLP-2 was increased after intervention in the *L. reuteri*-group (p< 0.02, **figure 20 B**) and significantly increased when compared to the placebo-group (p<0.004). ΔAUC of GIP remained unchanged in both groups (**figure 20 C**). REE before (-0.74, p<0.01; - 0.63, p<0.01) and after intervention (-0.64, p<0.01; -0.67, p<0.001) as well as body weight before (-0.63, p<0.05; -0.52, p<0.01) and after (-0.75, p<0.001; -0.75, p<0.001) intervention showed an inverse inter-individual correlation with the AUCs of GLP-1 and GLP-2.

### *Systemic Inflammation*

Obese non-diabetic subjects had higher concentrations in the fasting hsCRP (**figure 21**; p<0.004 and p<0.002) and glucose-stimulated state, during OGTT (p<0.003 and p<0.002), similar to pro- and anti-inflammatory cytokines (IL-1ra, MCP-1, TNF-alpha, **table 7**) before and after intervention. These cytokine concentrations were in an expected range. There were no differences between lean and obese subjects for pro-inflammatory IL-8 and MIP-1β levels, and for reactive oxygen species (TBARS) before, or after intervention (data not shown). Surprisingly, more than 80% of the IFN-γ, IL-6, IL-1β, and IL-10 measurements yielded values below the detection limit or were extrapolated from the standard curve and were therefore not analysed in this study. Upon ingestion of *L. reuteri,* all analysed pro- and anti-inflammatory immune mediators measured remained stable. So did the ratios between analysed pro- and anti-inflammatory immune mediators, including the TNF-α/IL-1ra ratio (**table 7**).

#### *Circulating endotoxin levels*

Fasting circulating endotoxin concentrations, as an additional parameter to assess the inflammatory status, also remained stable upon intervention in placebo and *L. reuteri* treated subjects. Interestingly, fasting endotoxin levels were higher in obese subjects before intervention compared to lean subjects (p<0.019) but did not differ between lean and obese subjects after intervention (p=0.169, **figure 22**). Similarly, the measured fasting endotoxin levels correlated with BMI before (r=0.443, p<0.045) but not after intervention (r=0.354, p=0.115). In contrast, fasting hsCRP levels correlated with the BMI before and after intervention (r=0.790, p<0.0001 and r=0.775, p<0.0001, respectively).

Glucose-stimulated endotoxin levels at minute 120 during OGTT showed no significant changes upon intervention, neither in lean nor in obese subjects. But similar to the fasting levels, glucose-stimulated endotoxin levels differed between lean and obese subjects before (p<0.049) but not after intervention (data not shown) and correlated with BMI before (r=0.503, p<0.020) but not after intervention (r=0.379, p=0.089).



**Fig. 21 Fasting hsCRP concentrations**

Comparison of fasting hsCRP concentrations of the *L. reuteri* (blue) and the placebo-group (red), before (open) and after intervention (closed bars) in lean and obese subjects. Shown are mean and SEM.



#### **Fig. 22 Fasting endotoxin concentrations**

Comparison of fasting endotoxin (lipopolysaccharide, LPS) concentrations of the *L. reuteri-* (blue) and the placebo-group (red), before (open) and after intervention (closed bars) in lean and obese subjects. Shown are mean and SEM.

## *Circulating adipokine concentrations*

Ingestion of *L. reuteri* did not affect serum concentrations of leptin or adiponectin, as markers secreted by the adipose tissue. Fasting leptin was significantly higher in obese compared to lean subjects before (p<0.05) and after intervention (p<0.05). Subgroup analyses showed no differences based on the intervention neither in obese nor in lean subjects (**figure 23**). The glucose-stimulated leptin concentrations showed similar results (data not shown).

Leptin concentrations correlated positively with LPS (r=0.512, p<0.036) in lean and obese subjects, and this association persisted after adjustment for BMI (r=0.512, p<0.036). Further, intra-individual leptin correlated inversely with intestinotrophic GLP-2 (r=-0.267; p<0.028) and positively with the pro-inflammatory cytokines MIP-1β (r=0.464, p<0.001) and TNF-α (r=0.287, p<0.033). Whereas leptin correlated inversely with peripheral insulin sensitivity (r=-0.433, p<0.05), significance disappeared after adjustment for BMI (r=0.462, p=0.221).

Fasting adiponectin levels remained stable upon intervention and did not differ in subgroups (**figure 24**), as well as glucose-stimulated adiponectin levels, which showed no differences, neither in lean nor in obese subjects (data not shown).



#### **Fig. 23 Fasting leptin concentrations**

Comparison of fasting leptin concentrations of the *L. reuteri-* (blue) and the placebo-group (red), before (open) and after intervention (closed bars) differentiated between lean and obese. Shown are mean and SEM.





Comparison of fasting adiponectin concentrations of the *L. reuteri-* (blue) and the placebo-group (red), before (open) and after intervention (closed bars) differentiated between lean and obese. Shown are mean and SEM.



**Table 7** Systemic inflammatory mediators

Serum concentrations of inflammatory markers are given as median [interquartile range], for the log-normal distributed data. To test for differences between treatment arms adjusted for body weight, we used two-way ANOVA. No significant changes in systemic inflammatory mediators between *L. reuteri* and placebo group neither before nor after intervention were found.

## *Concentrations of free fatty acids and triglycerides*

Free fatty acid (FFA) and triglyceride (TG) concentrations differed between lean and obese subjects (p<0.05) and remained unchanged upon intervention. As expected FFA concentrations were significantly supressed during OGTT (p<0.01, **figure 25 A**), while TG remained stable (**figure 25 B**).





## *Discussion*

This is the first study demonstrating that ingestion of *L. reuteri* over 4 weeks modulate the secretion of insulin, C-peptide, and the gut hormones GLP-1 and GLP-2, in glucose tolerant lean and obese humans. In contrast, peripheral and hepatic insulin sensitivity and associated parameters like body mass, ectopic fat content, and the levels of systemic inflammatory mediators, adipokines, endotoxin and oxidative stress remained unchanged (**figure 26**).



#### **Fig. 26 Schematic overview of the probiotic effects**

Specific questions which have been addressed are marked red,  $\blacktriangle$  means improved effects. For further details see main text.

#### *Good treatment adherence throughout the study and safety of the probiotic strain L. reuteri*

Subjects who ingested the probiotic strain *L. reuteri* or placebo showed good compliance, which was confirmed by treatment adherence measured by capsule counting and detection of *L. reuteri* in fecal samples. Samples from persons ingesting *L. reuteri* were tested positive

for the presence of the bacteria, whereas placebo treated persons remained negative for *L. reuteri* in more than 90%, indicating very good treatment adherence and dietary restriction of other probiotic supplementation. Further, ingestion of *L. reuteri* and placebo was well tolerated, was safe in handling and dosage, and no side-effects were reported in either treatment arm.

#### *Ingestion of the probiotic strain L. reuteri modulates plasma gut peptides*

The incretin GLP-1 stimulate glucose-dependent the insulin secretion (Drucker, 2001b; Drucker, 2001a; Drucker, 2006). GLP-2 primarily targets the intestinal mucosa promoting growth and intestinal integrity (Drucker et al., 1996; Martin et al., 2005; Cani et al., 2009b). Both GLP-1 and GLP-2 are processed from proglucagon with equal efficiency and secreted by the intestinal L-cells simultaneously and in equimolar amounts (Drucker, 2002; Drucker, 2001b; Orskov et al., 1986; Holst JJ et al., 1997). Indeed, GLP-1 and GLP-2 concentrations measured in our study were correlated positively. Both gut hormones correlated intraindividually also with insulin and C-peptide concentrations during OGTT, but not during the isoglycemic test, as expected and suggestive for an efficient measurement of the incretin effect. The association of GLP-2 concentrations with those of insulin probably is indirect and can be explained by the co-secretion of GLP-2 and the incretin GLP-1. After treatment with *L. reuteri*, the mean increase of GLP-2 ΔAUC during OGTT was 43% compared to baseline, and this was parallel to the 76% increase seen for GLP-1. Probably only due to the limited number of participants, the ΔAUC GLP-1 increase was only significant compared to placebo but not within the intervention group. Therefore an involvement of both peptides, the intestinotrophic GLP-2 and the insulinotropic GLP-1, can be supposed. Our results complement the findings from an animal study, investigating the effects of a prebiotic treatment on the GLP-1 and GLP-2 concentrations (Cani et al., 2009b; Cani et al., 2005), and show that the probiotic strain *L. reuteri* increases the glucose-stimulated release of the incretin GLP-1 and the intestinotrophic GLP-2 in glucose tolerant human subjects.

In further animal models, testing prebiotics increased serum GLP-1 concentrations, lower fasting glucose, improved insulin sensitivity as indicated by HOMA-IR were reported. In this study pregnant Goto–Kakizaki rats were fed with dietary-resistant starch, suggesting that dietary-resistant starch with its known effects on the gut microbiota, improved maternal glycemic control (Shen et al., 2011). Similar results were obtained in a study with Wistar rats fed with prebiotic fiber. The treatment resulted in higher AUC GLP-1 levels during OGTT accompanied by significantly lower AUC glucose at the end of the study (Reimer et al., 2012). The only clinical trial, focusing on the effects of prebiotic treatment on incretins showed an association of prebiotic supplementation with increased GLP-1 and peptide YY concentration as well as with decreased postprandial plasma glucose responses after a standardized meal in healthy human subjects (Cani et al., 2009a). However, no data exist regarding the effects of probiotic supplementation on incretin secretion. In our clinical trial we showed that administration of probiotic strain *L. reuteri* increased incretin, insulin and Cpeptide responses in glucose-tolerant human subjects.

### *Administration of L. reuteri increases glucose-stimulated release of insulin and C-peptide*

The insulinotropic gut-derived hormone GLP-1 shows decreased concentration and impaired function in type 2 diabetic subjects (Drucker, 2006; Wong and Brubaker, 2006; Vilsboll et al., 2001; Nauck et al., 1986). In our clinical trial with glucose-tolerant subjects, glucose stimulated GLP-1 concentrations were increased after administration of *L. reuteri*. Interestingly, the increase of GLP-1 levels occurred mainly in lean persons. However, the function of GLP-1, if defined as incretin effect (ΔAUC of GLP-1, insulin and C-peptide) was enhanced in the entire intervention group, suggesting that both lean and obese subjects may benefit from the intervention with *L. reuteri.* 

Additionally, the effect of *L. reuteri* ingestion on the intestinal incretin response was accompanied by higher insulin and C-peptide responses during the OGTT, but not when the OGTT was mimicked by the isoglycemic intravenous glucose infusion. The mean increase of the ΔAUC insulin and C-peptide concentrations were elevated by 49% (46% for lean, 51% for obese individuals) and 55% (39% for lean, 74% for obese individuals), respectively, by the intervention. Thus, the incretin effect, as defined by changes of ΔAUC insulin and Cpeptide, was improved by the intervention in our study cohort.

The gut hormones GLP-1 and GIP are proportionately released upon glucose or meal intake (Drucker, 2006; Holst JJ et al., 1997; Ferrannini et al., 1982) and they stimulate insulin secretion mediated by increasing blood glucose levels (Drucker, 2006; (Vilsboll et al., 2003a). However, the molecular mechanisms by which incretins stimulate insulin secretion have not been fully elucidated. Under physiological conditions, incretin-mediated stimulation of insulin secretion results from a generally enhanced beta-cell function (Muscelli et al., 2006). In patients with T2D, glucose- or meal-stimulated GLP-1 secretion is reduced compared to lean and obese non diabetic subjects (Vaag et al., 1996; Vilsboll et al., 2003b). In contrast, GLP-1 infusion stimulates insulin secretion in patients with T2D indistinguishable to control subjects (Kjems et al., 2003; Quddusi et al., 2003). This observation demonstrate, that the lack of GLP-1 secretion can counteracted by GLP-1 infusion, suggesting that the incretin mediated β-cell responsiveness to glucose is only partially affected, in patients with T2D.

In our clinical trial we showed that administration of *L. reuteri* leads to increased glucosestimulated GLP-1 release, as well as increased insulin and C-peptide responses in lean and obese glucose-tolerant human subjects. Similarly, we observed alterations of indices of β-cell function, indicating an improved β-cell function in *L. reuteri* treated persons, suggesting that increased insulin release is incretin mediated.

### *Ingestion of L. reuteri might accelerate intestinal motility*

The majority of the intestinal K-cells secreting GIP are located in the proximal duodenum whereas the glucagon-like peptide secreting L-cells are located in the small and large intestine and GLP-1 is mainly synthesized and released from enteroendocrine L-cells in the distal ileum and colon (Martinez-Rodriguez and Gil, 2012; Dupre et al., 1973; Drucker, 2006; Drucker and Nauck, 2006). Since the concentrations of GIP remained unaffected, while the GLP-1 and GLP-2 secretion was increased, it may be concluded that the ingestion of *L. reuteri* might accelerate intestinal motility and improve mucosal functions. However, all recruited healthy subjects reported normal intestinal motility and stool frequency. This finding is in line with the results of a study investigating the effect of the consumption of a symbiotic product containing lactitol and *L. acidophilus* NCFM, twice daily over 2 weeks in a doubleblind parallel trial with forty-seven healthy elderly subjects. This intervention only slightly increased stool frequency, improved intestinal motility and altered several markers suggestive for an improved mucosal integrity (Ouwehand et al., 2009).

### *Administration of L. reuteri had no impact on glucagon concentrations*

The basic functions of glucagon is to counter-regulate the actions of insulin, and to maintaining physiological levels of blood glucose by inducing hepatic glucose production (Ali and Drucker, 2009). Glucagon may further reduce body weight by modifying food intake, glucose and lipid metabolism as well as energy expenditure. The impact of glucagon on all these physiological processes is mainly due to opposing the regulation of insulin (Lefebvre and Luyckx, 1969; Perea et al., 1995; Jensen et al., 1991; Tan et al., 2013).

In the development of type 2 diabetes fasting hyperglucagonemia is an early observation. The analysis of islet hormones in obese adolescent subjects revealed increased concentrations of fasting glucagon, particularly in subjects with insulin resistance or impaired glucose tolerance, while glucose or insulin appropriately suppressed glucagon secretion in these individuals (Weiss et al., 2011). In our study, investigating healthy, glucose tolerant subjects, the fasting concentrations of glucagon were higher in obese subjects compared to leans. However, there were no differences between lean and obese subjects under glucose stimulated conditions, and this was unaffected by the intervention with *L. reuteri*.

Furthermore, the incretin hormones GIP and GLP-1 seem to have divergent effects on glucagon secretion, while GIP seems to stimulate, GLP-1 seems to inhibit glucagon secretion (De Marinis et al., 2010; Pipeleers et al., 1985). We found a glucose-stimulated increase of GLP-1, while GIP and glucagon concentrations remained stable.
## *Effects of probiotic L. reuteri treatment on blood glucose concentrations*

Ejtahed et al. reported from a human study that the consumption of a probiotic yoghurt containing *L. acidophilus* La5 and *Bifidobacterium lactis* Bb12 improved the levels of fasting blood glucose and HbA1c despite stable insulin concentrations and enzymatic antioxidant status in patients with type 2 diabetes, when compared to the control group which consumed conventional yoghurt (Ejtahed et al., 2012). Similarly, studies in pregnant women showed decreased blood glucose and less cases of gestational diabetes upon treatment with probiotics (Laitinen et al., 2009; Luoto et al., 2010). Laitinen et al. concluded that even in a normoglycemic population a dietary intervention with probiotics may improve blood glucose control (Laitinen et al., 2009). Our study in healthy lean and obese subjects with normal glucose tolerance did not show alterations of blood glucose or HbA1c, which may be due to the fact that we studied healthy subjects. However, our probiotic intervention improved the incretin and insulin serection even in this normoglycemic study population.

## *FFA levels seem unaffected by L. reuteri administration*

Insulin sensitivity, insulin concentrations and glucose tolerance, are interrelated and each of these parameters may be modulated by changes in FFA concentrations (Newsholme et al., 2007; Reaven, 1988; Savage et al., 2007). Obese subjects, often exhibit elevated plasma FFA levels which may inhibit insulin-stimulated glucose uptake in the periphery. In nondiabetic humans FFA may stimulate insulin secretion and compensate for the FFA-mediated peripheral and hepatic insulin resistance (Boden, 2003; Boden, 1997). It has been postulated that G-protein-coupled receptors (GPRs) like GPR40, GPR120, whose endogenous ligands have been identified as long-chain free fatty acids (LCFA) (Hara et al., 2009; Hirasawa et al., 2005; Ichimura et al., 2012), mediate at least in part the observed increase in insulin secretion compensating for insulin resistance (Nagasumi et al., 2009; Kebede et al., 2008; Lan et al., 2008; Vettor et al., 2008). Consequently, if increased insulin secretion cannot be maintained, FFA effects cannot be counteracted and may lead to increased hepatic glucose production and reduced skeletal muscle glucose uptake as early signs of insulin resistance (Reaven, 1988).

In the present study, FFA concentrations were reduced by the glucose administration during OGTT, likely due to the increased insulin levels. There were no alterations of fasting and glucose-stimulated FFA concentrations due to intervention. Thus, *L. reuteri* modulates insulin secretion and gut peptide concentrations most likely without measurable FFA-mediated effects on whole body and hepatic insulin resistance.

It has been recognised that the GPRs contribute to the sensing of numerous metabolites such as fatty acids. Accordingly, it has been demonstrated that GPR40 and GRP120, who are mainly responsive to LCFA, are highly expressed by the K- and L-cells, and thereby could stimulate the GLP-1 and GIP secretion (Tanaka et al., 2008; Hirasawa et al., 2005). Thus, the digestion of TG to FFA is potentially involved in the induction of GLP-1 and GIP secretion (Parker et al., 2010). A study in mice by Edfalk et al. showed that GPR40 modulates FFA-stimulated insulin secretion from β-cells not only directly but also indirectly via regulation of incretin secretion (Edfalk et al., 2008).

In addition, related receptors like GPR41 and GPR43, responsive to short-chain fatty acids (SCFA), have been demonstrated to be expressed on L-cells as well (Tazoe et al., 2008) (Karaki et al., 2006) and may stimulate SCFA-triggered GLP-1 secretion at least *in vitro* (Tolhurst et al., 2012). This is of interest, since SCFA are products of bacterial fermentation of dietary fibre in the gut. Thus, it has been shown that SCFAs tend to increase the release of GLP-1 and PYY from the isolated perfused colon of rodents (Plaisancie et al., 1995; Longo et al., 1991).

In our clinical trial it has been demonstrated that ingestion of the probiotic strain *L. reuteri* increased GLP-1, insulin and C-peptide secretion, without affecting FFA concentrations in glucose-tolerant human subjects, this suggests that other metabolic mechanisms might be involved. However, in our project we did not measure GPRs expression and did not distinguish between LCFA and SCFA, as it was not the primary aim, but in further studies this possible mechanism needs to be investigated. When administration of probiotic strains is able to increase endogenous GLP-1 release from L-cells triggered by FFA, and this subsequently resulted in incretin-mediated insulin release, this may become an attractive alternative therapeutic strategy. Since the gut hormones not only affect gut physiology, but also glucose homeostasis, appetite and lipid metabolism. However, further studies are required to evaluate the therapeutic potential of this approach.

#### *L. reuteri administration does not alter systemic and hepatic insulin sensitivity*

Intrahepatocellular lipid accumulation correlates negatively with whole body and hepatic insulin sensitivity as demonstrated by impaired suppression of endogenous glucose production and decreased hepatic glycogen synthesis during hyperinsulinemic clamps (Krssak et al., 2004a; Bays et al., 2004). However, in our study there was no impact of *L. reuteri* administration on hepatic and peripheral insulin sensitivity as well as hepatocellular and myocellular lipid content. The only other study investigating effects of the consumption of *L. acidophilus* NCFM for four weeks on insulin sensitivity in a heterogeneous study population of 54 males with type 2 diabetes, or impaired or normal glucose tolerance, revealed preserved insulin sensitivity, when compared to the placebo group (Andreasen et al., 2010a). in this study, there was considerable variability among study participants and it was not reported whether those with an increase of insulin sensitivity were diabetic or normoglycemic. Only in the latter case there would be a difference to our observations with glucose-tolerant subjects. Since, in our study glucose-tolerant subjects remained stable in systemic and hepatic insulin sensitivity.

Interestingly, Vrieze et al. recently investigated the effects of allogeneic fecal transplantation of lean donors on insulin sensitivity in 9 obese subjects with metabolic syndrome. They reported that allogeneic fecal transfer from lean donors to obese subjects improved peripheral insulin sensitivity, but had no effect on hepatic insulin sensitivity, body weight, and resting energy expenditure (Vrieze et al., 2012). Whereas this study reported an improvement of whole body insulin sensitivity, our results showed that whole body and hepatic insulin sensitivity as measured by hyperinsulinemic-euglycemic clamp with isotopic dilution remained unaltered upon intervention.

### *Impact of L. reuteri on fatty liver disease*

As previous studies reported controversial results of probiotic treatment on fatty liver diseases. At least one study in humans suggested beneficial effects of probiotics on liver disease such as non-alcoholic fatty liver disease (NAFLD) and alcoholic liver cirrhosis (Loguercio et al., 2005), while in an open labelled pilot trial on 4 adult human subjects with NAFLD, all 4 subjects showed a significant increase in liver fat at the end of the intervention, with 3 of the 4 subjects exhibiting an increase of liver fat of more than 3% (Solga et al., 2008). Therefore, we carefully investigated the liver for potential side effects associated with ingestion of *L. reuteri.* In our study, the hepatocellular and myocellular lipid content of all participants remained unchanged, possibly due to the fact that we studied healthy subjects or maybe explained by a different treatment regimen as compared to the other studies.

In a study with rats, Wagnerberger et al. showed protective effects against the onset of fructose-induced NAFLD by *Lactobacillus casei* Shirota administration. In this study the protective effects of the probiotic strain seem to be mediated via a mechanism involving Tolllike receptor (TLR)-4 signalling in the liver (Wagnerberger et al., 2012). Since it is known that the stimulation of TLR-4 by the microbial component LPS induces a proinflammatory response (Lu et al., 2008; Kawai and Akira, 2006; Takeda and Akira, 2004), the results of Wagnerberger et al. suggest that the beneficial effects of the *Lactobacillus* strain are mediated either by a protection against the increased translocation of bacterial endotoxin or by attenuating the effects of endotoxin at the level of the liver (Wagnerberger et al., 2012). As in our study with healthy subjects the hepatocellular lipid content and the LPS concentrations were stable, we may conclude that the beneficial effects on the liver by *Lactobacillus* strains have their impact at the early stages of associated disease of NAFLD.

## *Intervention resulted in preserved concentrations of systemic inflammatory markers, oxidative stress and endotoxin*

A cytokine panel of pro-inflammatory (IL-6, IL-8, IL-1β, IFN-γ, TNF-α, MCP-1, MIP-1β and regulatory (IL-10, IL1ra) cytokines and chemokines was assessed to investigate changes on the systemic inflammatory status following the intervention. As expected, lean subjects showed lower concentrations of hsCRP, IL-1ra, MCP-1, TNF-alpha compared to obese subjects, but surprisingly the detected cytokines and the TNF-α/IL-1ra ratio remained unaffected by the intervention. Four of the measured cytokines (IFN-γ, IL-6, IL-1β, and IL-10) were below the detection limit before and after the intervention, suggesting very low concentration of these in the systemic circulation, unaffected by intervention. This was against our expectations, since the cytokines IFN-γ, IL-6, IL-1β, and IL-10, are usually well detectable, even though in low concentrations in healthy subjects. While several other studies reported beneficial effects of probiotics on the inflammatory status (Rodes et al., 2013; Sun et al., 2013; Asemi et al., 2011; Boirivant and Strober, 2007; Calcinaro et al., 2005; Hakansson and Molin, 2011) as well as with regard to the ability to affect the systemic and mucosal immune system, intervention with probiotics seemed to be an accepted tool to modulate immune reactivity (McCarthy et al., 2003; Menard et al., 2004; Klaenhammer et al., 2012; Bischoff and Köchling, 2012; Jirillo et al., 2012; Lomax and Calder, 2009). The lack of alterations of systemic inflammation observed in our study may be due to the fact that we investigated healthy lean and obese subjects. *L. reuteri* intervention in subjects with type 2 diabetes might lead to different results, since patients with type 2 diabetes are associated with higher levels of systemic IL-1ra, IL-6 and TNF-α cytokines (Pham et al., 2011; Carstensen et al., 2010).

We also searched for changes of the inflammatory markers as consequence of the effects of the ingestion of *L. reuteri* on the gut peptides. An increase of GLP-2 secretion has been reported to enhance the expression of tight junctions in the intestinal wall, leading to decreased gut permeability and reduced leakage of endotoxin. These considerations lead to the assumption that circulating endotoxin levels are thought to be associated with gut permeability (Cani et al., 2009b; Pendyala et al., 2012; Erridge et al., 2007; Cani et al., 2007b; Cani et al., 2008a). It has been suggested that low-grade metabolic inflammation driven by endotoxin translocation due to increased intestinal permeability is the proposed mechanism by which the microbiota may contribute to systemic inflammation in obesity (Caesar et al., 2010; Delzenne and Cani, 2011; Hotamisligil, 2006; Li and Hotamisligil, 2010). Strains of *Lactobacillus spp.* may have the capability to improve the intestinal integrity in rodents, which may diminish the LPS-leakage from the gut to the circulation, and thereby reduce the systemic concentrations of inflammatory markers such as cytokines (Mao et al.,

1996; Luyer et al., 2005; Paturi et al., 2008). In our study however, LPS and systemic cytokine concentrations remained stable.

In an open-label randomized pilot trial, Leber et al. showed that the probiotic strain *L. casei*  did not affect the gut permeability, but rather lead to a slight increase of the LPS-binding protein (LBP) and the hsCRP levels after three months of treatment (Leber et al., 2012). Interestingly, we detected a positive correlation of LPS with BMI before *L. reuteri* administration that was lost after intervention suggestive of potential changes of LPS translocation from the gut to the systemic circulation.

### *Effects of L. reuteri on intestinal permeability*

Increased intestinal permeability is associated with increased translocation of endotoxins released from the gut microbiota into the systemic circulation. Further, in animal models a link between increased plasma endotoxin levels, and obesity and insulin resistance has been described (Cani et al., 2007a; Vajro et al., 2013; Scaldaferri et al., 2012; Teixeira et al., 2012).

However, the integrity of the intestinal barrier *in vivo* is difficult to measure and as already mentioned in the section 'material and method' there was no thoroughly evaluated and validated assay available to measure changes on a physiological level of intestinal permeability (A. Fasano, personal communication). Therefore, the conclusion that the detected tendency of reduced LPS translocation from the gut to systemic circulation is associated with changes in the intestinal permeability is highly speculative and needs to be addressed in further studies. These clinical trials should take the potential changes in the intestinal permeability by Lactobacillus (Leber et al., 2012) into account and focus on additional validated surrogate parameter along with systemic endotoxins levels.

Up to now, Ouwehand et al. reported that the ingestion of *Lactobacillus acidophilus* NCFM in combination with lactitol over 2 weeks altered the levels of Spermidine, a marker for an improved mucosal integrity and intestinal motility in healthy elderly subjects (Ouwehand et al., 2009). In contrast, in an open-label randomized pilot trial, Leber et al. showed that the probiotic strain *L. casei* does not affect the gut permeability in patients with metabolic disorders (Leber et al., 2012). Thus, these initial but conflicting evidence, whether the beneficial effects of probiotic microorganisms on intestinal barrier as reported in animal models (Wagnerberger et al., 2012; O'Hara and Shanahan, 2007; Iacono et al., 2011) and *in vitro* (Nissen et al., 2009) also occur in human subjects needs to be addressed in further clinical trials.

### *Effects of probiotic modulation of gut microbiota on adipokines*

The two adipokines leptin and adiponectin are secreted by the white adipose tissue that has the capability to regulate insulin sensitivity (Xie et al., 2008) and participate in whole-body metabolism by affecting energy balance and glucose metabolism (Soodini, 2004; Lee et al., 2011; Morton and Schwartz, 2011). In obese mice, the modulation of gut microbiota improves leptin sensitivity, glucose homeostasis, and enteroendocrine cell activity (Everard et al., 2011). In addition, leptin has also been found to stimulate GLP-1 release from the human and rodent L-cells, and this effect is abolished in leptin-resistant diet-induced obese mice (Anini and Brubaker, 2003).

Further, leptin reduces intestinal mucosal adherence and translocation of bacteria in rodents, since in the absence of leptin, mice are obese and dramatically inflamed in the mesenteric adipose tissue (Amar et al., 2011). Lipopolysaccharide, derived from the gut bacteria, contributes to systemic inflammation and may contribute to impaired insulin sensitivity in humans. Whether leptin might be mechanistically involved in the LPS-mediated systemic inflammation derived from the gut microbiota and thereby contributes to impaired insulin sensitivity in human subjects needs to be investigated. In our clinical trial, leptin correlated positively with LPS in lean and obese subjects, and this association persisted after adjustment for BMI. Furthermore, leptin correlated inversely with intestinotrophic GLP-2, peripheral insulin sensitivity and positively with pro-inflammatory cytokines. Further, our study in healthy lean and obese subjects with normal glucose tolerance showed that ingestion of *L. reuteri* did not affect leptin and adiponectin, body mass, glucose tolerance or insulin sensitivity.

# *L. reuteri administration is associated with constant body weight and resting energy expenditure*

Although our study failed to detect physiological consequences like improved insulin sensitivity, glucose tolerance and body weight, of an increased incretin, insulin and C-peptide response in the *L. reuteri* treated group, these cannot be excluded. With a treatment duration of 4 weeks, our study was not designed to test for long-term effects. In this context, it is noteworthy that two trials of long-term probiotic ingestion did observe decreased body weight and fat mass. Chang et al. found reduced body weight and BMI upon 8 week of intervention with a probiotic yogurt supplemented with a mixture of different bacterial strains in 101 healthy non-obese Korean subjects (Chang et al., 2011). Kadooka et al. reported a regulation of abdominal adiposity and body weight lowering effects by probiotics in 87 adults with tendencies of obesity (Kadooka et al., 2010).

The body weight of lean and obese subjects included in our study remained stable upon treatment with *L. reuteri* or placebo. Likewise, resting energy expenditure (REE), measured by indirect calorimetry, remained stable. Furthermore, the REE and body weight before and after intervention showed an inverse intra-individual correlation with the AUCs of GLP-1 and GLP-2. These findings suggest that obese subjects have lower incretin concentrations compared to lean subjects during the glucose tolerance test. Differences with regard to *L. reuteri* treatment, however, have not been detected concerning to REE, neither in lean nor in obese subjects.

## *Strengths and limitations of the study*

The limitations of our study were the small size of the cohort and the rather short intervention period and did not investigate patients with diagnosed diabetes. However, in contrast to other studies in the field, in which healthy and diseased subjects were analysed together, we investigated healthy, non-diabetic subjects and performed detailed metabolic characterization. We used strongly validated methods including the gold standard the hyperinsulinemic-euglycemic clamp test with isotopic dilution to assess tissue specific insulin sensitivity and substrate oxidation, the OGTT to determine the glucose tolerance, OGIS, and detailed calculations on insulin sensitivity and β-cell function.

One hypothesis is, that bacterial fermentation in the gut deliver SCFA, that may in turn modulate the release of gut hormones controlling insulin release and appetite (Tolhurst et al., 2012; Tarini and Wolever, 2010; Freeland et al., 2010). Short chain fatty acids play an important role in the development of type 2 diabetes, since it has been shown that butyrateproducing bacteria are decreased in patients with type 2 diabetes and subjects with metabolic disorders (Qin et al., 2012; Vrieze et al., 2012). As we did not investigate SCFA, this is one limitation of our study and it remains unclear, whether SCFA could be responsible for the changes of insulin secretion and incretin concentrations observed in our study. The measured FFA and triglycerides did not change upon intervention in our study cohort. However, the incretin effect was improved by the intervention which may reflect the known effects of gut microbiota and their fermentative end products, the SCFA.

The strength of our clinical trial is that we showed for the first time, that probiotic *L. reuteri* administration increased glucagon like peptides, insulin and C-peptide responses in glucosetolerant human subjects suggestive of an incretin-mediated insulin release, however without improvement of glucose homeostasis and insulin sensitivity.

### *Conclusion*

Subjects who ingested the probiotic strain *L. reuteri* or placebo showed good compliance, which was confirmed by treatment adherence and the detection of *L. reuteri* in the fecal samples of those subjects who received *L. reuteri*, while samples of subjects receiving placebo remained negative. The intake of *L. reuteri* was positively associated with *L. reuteri* containing feces and harmless changes in gut microbiota composition. Ingestion of *L. reuteri* showed no side effects, and was well tolerated.

As expected, lean and obese non-diabetic subjects differed with regard to body weight, whole body insulin sensitivity, hepatocellular lipid content and LPS concentrations before the intervention. The ingestion of *L. reuteri* over four weeks changed neither body mass and composition, nor ectopic fat content in particular liver fat content. Further, *L. reuteri* did not affect whole body and hepatic insulin sensitivity, systemic inflammation or oxidative stress but increased the release of C-peptide, insulin, and the gut hormones GLP-1 and GLP-2 in lean and obese glucose tolerant subjects.

These alterations of increased release of C-peptide, insulin, and the gut hormones GLP-1 and GLP-2 were detectable in non-diabetic humans after a rather short treatment period of only four weeks. Whether the moderate effects are continuable or enlarged after longer treatment periods remains to be addressed in long-term intervention studies.

Compared to findings from the literature, our study showed no effects of the ingestion of *L. reuteri* on peripheral and hepatic insulin sensitivity or related parameters such as body mass, ectopic fat content, and systemic inflammation or oxidative stress. But the increased insulin, C-peptide and glucagon-like peptides concentrations, suggest that the intervention has metabolic effects, even detectable in this small cohort of glucose tolerant subjects. Therefore, a more pronounced effect may be detectable in a more homogenous study group or a group with impaired glucose tolerance.

The observations implicate that there is a great potential of the administration of probiotics as a simple and efficient strategy to develop preventive and therapeutic approaches for type 2 diabetes. Thus, probiotic-mediated insulin secretion could serve as a novel therapeutic tool, which might be helpful in patients with type 2 diabetes or subjects with impaired glucose tolerance. However, further studies are required to establish, whether long-term treatment with *L. reuteri* or treatment of humans with overt diabetes mellitus will yield results that are of clinical benefit for the subjects with diabetes mellitus.

## *Outlook*

The composition of the gut microbiota may affect various disorders like obesity, disturbed glucose homeostasis including insulin resistance and diabetes. The identification of different enterotypes is one way to look at and to classify people. Based on these findings, modulation of the gut microbiota can be considered as an option to prevent and treat diseases. To date the use of prebiotics and probiotics is one tool for educating the immune system and to prevent the development of metabolic disorders. These results are mainly established in animal models and many questions remain to be answered specially for human subjects.

The many reported heterogeneous findings may be attributed to factors such as different strains and dosages of probiotics, clinical characteristics of participants, duration of treatment period, sample size and study design. The present study demonstrates in a first step, that ingestion of *L. reuteri* modulates the secretion of insulin, C-peptide and the gut hormones GLP-1 and GLP-2 in humans, whereas no effects were observed on insulin sensitivity and parameters influencing insulin sensitivity such as body mass, ectopic fat content, and systemic inflammation or oxidative stress.

Since the modulation of gut microbiota and successional gut hormones not only affect gut physiology, but also glucose homeostasis, appetite and fat metabolism, the idea of administration of probiotic strains, who are able to increase incretin mediated insulin release would be an attractive area for drug development. The understanding on molecular mechanisms would facilitate this process. Therefore we have to think now more deeply how we can change the microbiome.

A personalized gut microbiota management may be an option for the prevention or even treatment of obesity, metabolic disorders and diabetes. However, how an efficient modulation of the gut microbiota of the host either by transplantation, administration of pre-, pro- or synbiotics or substitution with artificial optimized gut microbiota is optimally achieved needs to be investigated in further studies.

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