## REVIEW ARTICLE -

# Lactobacillus paracasei subsp. paracasei F19: Survival, Ecology and Safety in the Human Intestinal Tract—A Survey of Feeding Studies within the PROBDEMO Project

R. Crittenden<sup>1</sup>, M. Saarela<sup>1</sup>, J. Mättö<sup>1</sup>, A.C. Ouwehand<sup>2</sup>, S. Salminen<sup>2</sup>, L. Pelto<sup>2</sup>, E.E. Vaughan<sup>3</sup>, W.M. de Vos<sup>3</sup>, A. von Wright<sup>4</sup>, R. Fondén<sup>5</sup> and T. Mattila-Sandholm<sup>1</sup>

From <sup>1</sup>VTT Biotechnology, PO Box 1500, 02044 VTT, Finland, the <sup>2</sup>Department of Biochemistry and Food Chemistry, University of Turku, FIN-20014, Finland, <sup>3</sup>Wageningen University, PO Box 8033, NL-6703, CT Wageningen, The Netherlands, <sup>4</sup>University of Kuopio, PO Box 1627, FIN-70211, Finland, <sup>5</sup>Arla Foods Innovation, SE-10546, Stockholm, Sweden

Correspondence to: Tiina Mattila-Sandholm, VTT, PO Box 1500, FIN-02044 VTT, Finland. Fax: +358 9 455 21 03; E-mail: tiina.mattila-sandholm@vtt.fi

Microbial Ecology in Health and Disease 2002; Suppl 3: 22-26

Lactobacillus paracasei F19 is an emerging probiotic strain that shows considerable promise for use in functional foods for intestinal health. In a multicentre European research project, human feeding trials provided an insight into the ability of this strain to survive gastric transit and transiently colonize the human intestinal tract. Analysis of the faecal microbiota in healthy human volunteers showed that a proportion of the subjects carried a strain indistinguishable from L. paracasei F19 naturally within their intestines. When consumed in different foods, L. paracasei F19 survived gastric transit in healthy infants, adults, and elderly subjects. The bacterium transiently colonized both the colonic lumen and mucosa. Molecular analysis of faecal and colonic biopsy samples from children fed the probiotic showed that L. paracasei F19 did not perturb the population dynamics of other major populations of bacteria in the intestinal microbiota. This strain was well tolerated by young children, healthy adults, adults with milk-hypersensitivity and elderly subjects infected with Helicobacter pylori. Key words: F19, Lactobacillus, microbiota, paracasei, probiotic, survival.

#### INTRODUCTION

Probiotics are live microorganisms included in foods to provide health benefits to consumers by positively contributing to the composition and activity of their intestinal microbiota (1). A number of bacteria from the genera *Lactobacillus* and *Bifidobacterium* have been identified as having characteristics necessary for probiotic action. These properties include technological parameters, survival during passage through the upper gastrointestinal tract, transient persistence in the intestinal tract, and proven safety for human consumption (2–4). Health benefits to humans are strain specific, with different probiotic organisms providing varying effects on health parameters such as immunomodulation and protection against intestinal infections (5).

Lactobacillus paracasei ssp. paracasei isolate F19 (Lactobacillus F19) is an emerging probiotic strain that is readily amenable to commercial manufacture and which retains viability and functionality in dairy products while provid-

ing good flavour and organoleptic properties (6). This strain has shown considerable promise during *in vitro* trials assessing its potential to survive gastric transit and to persist in the colonic environment (unpublished data). As part of a multicentre European project named PROB-DEMO (described in (7)), *L. paracasei* was included in human pilot studies to assess the ability of probiotics to survive intestinal transit and to examine their influence on the native microbiota of consumers. Subjects in the trials were also monitored for any deleterious effects resulting from probiotic consumption. The current paper outlines the results of trials involving *Lactobacillus* F19, focusing on its survival, ecology and safety in the human intestinal tract

#### **HUMAN FEEDING STUDIES**

Four pilot feeding studies assessing *Lactobacillus* F19 were conducted within the PROBDEMO project, involving volunteers from Finland and Sweden (Table I). A variety of

Human pilot studies involving Lactobacillus F19 conducted within the PROBDEMO project

|         | Subjects  | Probiotic delivery  | Trial design   |
|---------|---|---|--|
| Trial A | 61 healthy infants 1–1.5 years old<br>(Sweden) (12)                       | Gelatin capsules containing $1 \times 10^{10}$ CFU<br>Lactobacillus F19 in corn starch, or placebo (corn starch only)   | Randomized, double-blind, placebo controlled design. Two capsules per day for 3 weeks. Analysis of faecal samples for <i>Lactobacillus</i> F19 and other microflera components. DGGE analysis of faecal flora from five control and five treatment subjects                |
| Trial B | Five healthy adults (Finland)   | Fermented milk containing $1 \times 10^8$ CFU/g each of Lactobacillus F19, L. acidophilus, and B. longum  | Two daily doses of 200 m of fermented milk for 12 days. Faecal samples and taken initially and after 12 days of administration. Biopsy samples from the colonic mucosa taken after 12 days of administration. Analysis, of <i>Lactabacillus</i> F19 and total lactobacilli |
| Trial C | Five healthy adults and four milk-hypersensitive adults (Finland)         | Non-fermented milk containing $1 \times 10^6$ CFU/ml of <i>Lactobacillus</i> F19 (added to the milk as a freeze dried nowder)   | Single-blind, placebo controlled with cossover, two daily doses of 200 ml of milk for I week. Analysis of <i>Lactobacillus</i> F19 in faecal samples and other microflora components   |
| Trial D | 30 elderly subjects (>65 years) seropositive to <i>H. pylori</i> (Sweden) | Treatment-fermented milk containing mesophilic starter culture and 5×108 CFU/g of <i>Lactobacillus</i> F19. Placebo control-fermented milk containing mesophilic starter culture only | Randomized, double-blind, placebo controlled design. Consumption of 2 daily doses of 150 ml of fermented milk for 12 weeks. Analysis for Lactobacillus F19 and other microflora components in faecal samples   |

target groups were tested, ranging in age from infants to the elderly, and including both healthy subjects and individuals with mild health disorders. The probiotic delivery systems included capsules and milk containing freeze-dried *Lactobacillus* F19, and milk and yoghurt fermented by mesophilic or yoghurt cultures including *Lactobacillus* F19. In each case, samples of the product were tested to ensure delivery of viable and functionally active probiotic bacteria to the volunteers throughout the trials.

Prevalence of Lactobacillus F19 in the native intestinal microbiota of humans

The intestinal microbiota of humans is complex, containing more than 400 different species of bacteria and possibly many thousands of strains (8, 9). Modern molecular techniques such as PCR and restriction fragment length polymorphism (RFLP) analysis allow researchers to identify individual strains within the intestinal microbiota. Lactobacillus F19 was originally isolated from the small intestine of a human subject. This strain was not produced commercially at the time of the trials described in this report, and therefore, the subjects were not previously exposed to this strain in probiotic foods. Despite this, strains indistinguishable from Lactobacillus F19 using RAPD (randomly amplified polymorphic DNA) analysis were identified in the initial baseline sample (pre-administration) of one of the individuals in Trial B, and in three samples from one individual in the control group in Trial D. Therefore, Lactobacillus F19, or at least very closely related strains, are present in the native intestinal microbiota of a small proportion of individuals from Nordic countries. This enables further confidence in the strain's safety and its ability to persist in the intestinal tract of humans.

Earlier research investigating the diversity of strains of lactobacilli and bifidobacteria within humans failed to find common strains among 10 people, suggesting that individuals may harbour distinct populations of these bacteria within their intestinal tracts (10). In contrast, the PRODEMO studies suggest that strains closely related to *Lactobacillus* F19 are present in the intestinal tract of a small percentage of the population in northem Europe.

Survival of Lactobacillus F19 in the human intestinal tract

If a probiotic bacterium is to beneficially contribute to the activity of the intestinal microbiota it must successfully survive transit through the harsh gastric environment, and then tolerate bile released into the small intestine that can also reduce bacterial viability. Trials conducted using a sophisticated *in vitro* model of the intestinal tract at TNO in the Netherlands indicated that *Lactobacillus* F19 can be expected to survive intestinal transit (11). In order to confirm intestinal transit survival in humans, analysis of faecal samples was conducted prior to and following probiotic ingestion.

RAPD analysis using validated primers for the specific detection of Lactobacillus F19 in intestinal samples was developed in order to identify this strain among the myriad of strains present in the intestinal microbiota. In each of the four trials described in Table I, faecal samples were cultured for total lactobacilli using Rogosa agar and then colonies resembling Lactobacillus F19 were analysed using RAPD to confirm their identity. In all of the age groups tested, from 1-year-old infants to subjects > 85 years of age, Lactobacillus F19 could be identified in faecal samples following ingestion. During ingestion of the probiotic, Lactobacillus F19 was the numerically dominant Lactobacillus isolated in faecal samples (Table II). This confirmed that Lactobacillus F19 survived intestinal transit and could transiently colonize the intestinal tract of humans. When monitoring of faecal microbiota was continued following cessation of probiotic consumption in Trials A and D, six infants were colonized by Lactobacillus F19 2 weeks after consumption, and two elderly subjects remained colonized after 8 weeks (12, 13). This shows that Lactobacillus F19 can colonize the intestinal tract of some individuals for relatively long periods following the cessation of probiotic intake.

Adhesion to the intestinal mucosa is considered a desirable characteristic for potential probiotic bacteria, possibly aiding colonization and probiotic action including immunomodulation (14, 15). In Trial B, samples that represented the colonic lumen (faecal) and the colonic mucosa (biopsy) were collected in order to determine the intestinal location of the bacteria. Biopsy samples were washed prior to microbiological analysis so that only bacteria adhering to the mucosa were enumerated. Although in vitro studies using mucus from the intestinal mucosa have indicated that adhesion to intestinal mucus by *Lactobacillus* F19 was moderate to poor compared with other intestinal bacteria tested (16, 17), the results of Trial B (Table II) indicate that Lactobacillus F19 was both in the lumen and adhering to the mucosa of the colon following consumption. In contrast to most faecal samples, Lactobacillus F19 only dominated the Lactobacillus community on the mucosa in two of five volunteers. This may reflect colonization resistance by the indigenous mucosal microbiota of some individuals, or may be the normal distribution of *Lactobacillus* F19 within its niché in the colon. Mucosal biopsies taken from the ascending, transverse, and descending colon contained similar levels of *Lactobacillus* F19 (data not shown), demonstrating that it can colonize the length of the colon.

Trials involving adhesive and non-adhesive isogenic mutants of *Lactobacillus crispatus* showed that after ingestion by humans, the adhesive strain colonized the intestinal mucosa (biopsy samples) and persisted longer in the intestinal tract (18). The ability of *Lactobacillus* F19 to adhere to intestinal mucosa may provide it too with an advantage in colonizing the human intestinal tract.

#### Effects on other intestinal bacteria

One of the proposed benefits of probiotics is to prevent overgrowth or colonization by deleterious microorganisms within the intestinal tract following perturbations to the microbial ecosystem. It follows that it is undesirable for an exogenous probiotic to disturb the microbial balance in the intestinal tract of healthy individuals. Techniques such as PCR using universal bacterial primers, coupled with denaturing gradient gel electrophoresis (DGGE), allow visualization of the major groups of bacteria within the intestinal microbiota (19). Samples from Trial A (five from different individuals in the placebo group and five from the treatment group) were analysed before and after feeding. The subjects were young children, an age group for whom it is known that the composition of the intestinal microbiota is still developing and is relatively unstable (20). Some changes were observed in the microbiota composition in the individuals tested in Trial A (Fig. 1). However, none correlated with the consumption of *Lacto*bacillus F19, indicating that this probiotic does not disturb the balance of major population groups within the intestinal microbiota. A band for Lactobacillus F19 was not visible on the gel suggesting that, while Lactobacillus F19 dominated the luminal Lactobacillus population during treatment, it constituted less than 1% of the total bacterial

Table II

Numbers of total lactobacilli and the probiotic strain Lactobacillus F19 in faecal and colonic mucosal samples from five healthy adult humans prior to and following 12 days of consumption of the probiotic in yoghurt at approximately  $4 \times 10^{10}$  CFU/day

| Sample  | Total lactobacilli average CFU/g ( $\pm$ SD)                                | Lactobacillus F19 $^{\rm a}$<br>average CFU/g ( $\pm$ SD)              |
|---|---|--|
| Faeces prior to administration of the probiotic   | $2.2 \ (\pm 3.5) \times 10^6$   | None detected in four individuals. $1.2 \times 10^4$ in one individual |
| Faeces after 12 days of consumption of the probiotic<br>Colonic mucosal biopsy after 12 days of consumption of<br>the probiotic | 2.0 ( $\pm 1.3$ ) × 10 <sup>6</sup><br>0.9 ( $\pm 1.7$ ) × 10 <sup>5b</sup> | 1.3 $(\pm 1.5) \times 10^6$<br>0.9 $(\pm 1.7) \times 10^{4b}$          |

<sup>&</sup>lt;sup>a</sup> Strain specific RAPD PCR used to identify *Lactobacillus* F19 from colonies with a *L. paracasei* appearance.

<sup>&</sup>lt;sup>b</sup> CFU/biopsy samples (colonic mucosal section approximately 3 mm in diameter).

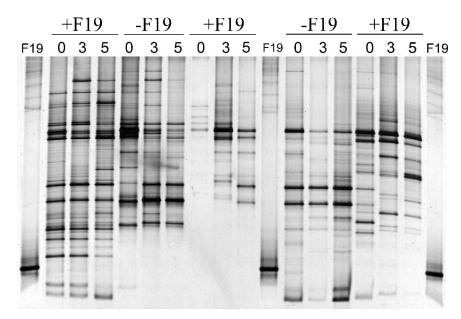


Fig. 1. DGGE profiles of PCR products obtained using primers 968-GCf and 1401r on total DNA isolated from faecal samples. The samples of individual children in Trial A, fed with L. paracasei F19 (F19) or placebo (P) were taken pre (0) and post-administration (3 and 5 weeks). The DGGE pattern of L. paracasei F19 is indicated (courtesy of GHJ Heilig, EE Vaughan, ADL Akkermans, WM de Vos).

population in the intestinal tract. Recently, specific PCR-DGGE has been developed for intestinal bifidobacteria and the *Lactobacillus* group (21, 22). Analysis of the specific *Lactobacillus* population in faecal samples of children indicated that it is rather unstable, like the dominant community (22). Furthermore, using this approach the *Lactobacillus* F19 strain was detected throughout the feeding period of children (Trial A), and again provided support for the natural presence of the *Lactobacillus* F19 strain within the intestinal community.

#### SAFETY OF Lactobacillus F19

Included in each of the trials were observations monitoring of potential side-effects of probiotic consumption. These included intestinal discomfort, increased flatulence, and changes in stool consistency and frequency. The trials included healthy subjects, adults with verified milk-hypersensitivity, and elderly people infected with Helicobacter pylori. No adverse effects of probiotic administration were observed in any of the pilot studies. The probiotic was well tolerated by all individuals (a total of 85 subjects consumed the probiotic) including those in Trial D, in which the probiotic was consumed daily for 3 months without adverse effects. The fact that no side-effects were detected in subjects ranging in age from 1 to > 85 years, and in healthy individuals and subjects suffering mild illnesses (milk-hypersensitivity and H. pylori infection) suggests that Lactobacillus F19 is a safe microbial food supplement. Adding weight to this argument are the strain's origin from the intestinal tract of a healthy human and its natural prevalence in a healthy human population.

#### **CONCLUSIONS**

Lactobacillus F19 is an emerging probiotic with good technological characteristics. It now has a proven ability to

survive gastric transit and to persist in the colonic environment of humans. This was achieved in subjects over a wide range of ages from the very young (1 year) to the very old (> 85 years), and with four different food delivery matrices.

It appears that *Lactobacillus* F19 is indigenous to the intestinal tract of a portion of the population in Finland in Sweden. This, combined with the absence of deleterious effects during the human feeding trials, even in subjects with underlying disorders, suggests that the strain is safe for use as a human probiotic. The way forward is open for further clinical testing of this strain to assess its efficacy in contributing to improved human health. As for all probiotics, identifying health benefits stemming from ingestion of *Lactobacillus* F19 against specific intestinal disorders, and determining their mechanisms of action are the pending research challenges.

#### **ACKNOWLEDGEMENTS**

The work described in this article was funded by the European Commission's 4th Framework Program project 'PROBDEMO': Demonstration of the Nutritional Functionality of Probiotic Foods, FAIR CT 96-1028.

### REFERENCES

- 1. Tannock GW. Probiotics: a Critical Review. Norfolk, UK: Horizon Scientific Press, 1999: 1.
- 2. Charteris WP, Kelly PM, Morelli L, Collins JK. Development and application of an *in vitro* methodology to determine the transit tolerance of potentially probiotic *Lactobacillus* and *Bifidobacterium* species in the upper human gastrointestinal tract. J Appl Microbiol 1998; 84: 759–68.
- Salminen S, von Wright A, Morelli L, Marteau P, Brassart D, de Vos WM, Fonden R, Saxelin M, Collins K, Mogensen G, Birkeland SE, Mattila-Sandholm T. Demonstration of safety of probiotics: a review. Int J Food Microbiol 1998; 44: 93–106.
- Tuomola E, Crittenden R, Playne M, Isolauri E, Salminen S. Quality assurance criteria for probiotic bacteria. Am J Clin Nutr 2001; 73: 293S–8S.

- Mattila-Sandholm T, Blum S, Collins JK, Crittenden R, de Vos W, Dunne C, Fondén R, Grenov G, Isolauri E, Kiely B, Marteau P, Morelli L, Ouwehand A, Reniero R, Saarela M, Salminen S, Saxelin M, Schiffrin E, Shanahan F, Vaughan E, von Wright A. Probiotics: towards demonstrating efficacy. Trends Food Sci Technol 1999; 10: 393-9.
- Saxelin M, Grenov B, Svensson U, Fondén R, Reniero R, Mattila-Sandholm T. The technology of probiotics. Trends Food Sci Technol 1999; 10: 387–92.
- Mattila-Sandholm T. The PROBDEMO project: demonstration of the nutritional functionality of probiotic foods. Trends Food Sci Technol 1999; 10: 385–6.
- 8. Mitsuoka T. Recent trends in research on intestinal flora. Bifidobacteria Microflora 1982; 1: 3–24.
- Tannock GW. A fresh look at the intestinal microflora. In: Tannock GW, ed. Probiotics: a Critical Review. Norfolk, UK: Horizon Scientific Press, 1999: 5–14.
- Kimura K, McCartney AL, McConnell MA, Tannock GW. Analysis of fecal populations of bifidobacteria and lactobacilli and investigation of the immunological responses of their human hosts to the predominant strains. Appl Environ Microbiol 1997; 63: 3394

  –8.
- 11. Miettinen M, Alander M, von Wright A, Vuopio-Varkila J, Marteau P, Huis in't Veld J, Mattila-Sandholm T. The survival of and cytokine induction by lactic acid bacteria after passage through a gastrointestinal model. Microb Ecol Health Dis 1998; 10: 141–7.
- Sullivan Å, Bennet R, Viitanen M, Palmgren A-C, Nord CE. Influence of *Lactobacillus* F19 on intestinal microflora in children and elderly persons and impact on *Helicobacter pylori* infections. Microb Ecol Health Dis 2002; 14(Suppl 3): 17–21.
- Sullivan Å, Palmgren A-C, Nord CE. Effect of *Lactobacillus paracasei* on intestinal colonisation of lactobacilli, bifidobacteria and *Clostridium difficile* in elderly persons. Anaerobe 2001; 7: 67–70.
- 14. Tuomola EM, Ouwehand AC, Salminen SJ. Human ileostomy glycoproteins as a model for small intestinal mucus to investi-

- gate adhesion of probiotics. Lett Appl Microbiol 1999; 28: 159-63.
- Blum S, Reniero R, Schiffrin EJ, Crittenden R, Mattila-Sandholm T, Ouwehand AC, Salminen S, von Wright A, Saarela M, Saxelin M, Collins K, Morelli L. Adhesion studies for probiotics: need for validation and refinement. Trends Food Sci Technol 1999; 10: 405–10.
- Kirjavainen PV, Ouwehand AC, Isolauri E, Salminen SJ. The ability of probiotic bacteria to bind to human intestinal mucus. FEMS Microbiol Lett 1998; 167: 185–9.
- Juntunen M, Kirjavainen PV, Ouwehand AC, Salminen SJ, Isolauri E. Adherence of probiotic bacteria to human intestinal mucus in healthy infants and during rotavirus infection. Clin Diagn Lab Immunol 2001; 8: 293-6.
- Cesena C, Morelli L, Alander M, Siljander T, Tuomola E, Salminen S, Mattila-Sandholm T, Vilpponen-Salmela T, von Wright A. *Lactobacillus crispatus* and its nonaggregating mutant in human colonization trials. J Dairy Sci 2001; 84: 1001–10.
- Vaughan EE, Heilig GHJ, Zoetendal EG, Satokari R, Collins JK, Akkermans ADL, de Vos WM. Molecular approaches to study probiotic bacteria. Trends Food Sci Technol 1999; 10: 400-4.
- Mackie RI, Sghir A, Gaskins HR. Developmental microbial ecology of the neonatal gastrointestinal tract. Am J Clin Nutr 1999; 69: 1035S-45S.
- Satokari RM, Vaughan EE, Akkermans ADL, Saarela M, de Vos WM. Bifidobacterial diversity in human feces detected by genus-specific PCR and denaturing gradient gel electrophoresis. Appl Environ Microbiol 2001; 67: 504-13.
- 22. Heilig GHJ, Zoetendal EG, Vaughan EE, Marteau P, Akkermans ADL, de Vos WM. Molecular diversity of *Lactobacillus* spp., and other lactic acid bacteria in the human intestine as determined by specific amplification of 16S ribosomal DNA, Applied and Environmental Microbiology 2002 Vol 68 January.