

Maps into projective spaces

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Abstract. We compute the cohomology of the Picard bundle on the desingularization $\tilde{J}^d(Y)$ of the compactified Jacobian of an irreducible nodal curve Y . We use it to compute the cohomology classes of the Brill–Noether loci in $\tilde{J}^d(Y)$.

We show that the moduli space M of morphisms of a fixed degree from Y to a projective space has a smooth compactification. As another application of the cohomology of the Picard bundle, we compute a top intersection number for the moduli space M confirming the Vafa–Intriligator formulae in the nodal case.

Keywords. Nodal curves; torsionfree sheaves; Picard bundle.

1. Introduction

Let Y be an integral nodal curve of arithmetic genus g , with m (ordinary) nodes as only singularities, defined over an algebraically closed field of characteristic 0. Let $\tilde{J}^d(Y)$ denote the compactified Jacobian of Y i.e., the space of torsion-free sheaves of rank 1 and degree d on Y . The generalized Jacobian $J^d(Y) \subset \tilde{J}^d(Y)$, the subset consisting of locally free sheaves, is the set of nonsingular points of $\tilde{J}^d(Y)$. There is a natural desingularization of $\tilde{J}^d(Y)$ (Proposition 12.1, p. 64 of [9])

$$h : \tilde{J}^d(Y) \rightarrow \tilde{J}^d(Y).$$

Let $\tilde{\theta}$ denote the pullback of the theta divisor (or the theta line bundle) on $\tilde{J}^d(Y)$ to $\tilde{J}^d(Y)$. Let $\tilde{\mathcal{P}}$ be the pullback to $\tilde{J}^d(Y) \times Y$ of the Poincaré sheaf \mathcal{P} on $\tilde{J}^d(Y) \times Y$. For $d \geq 2g - 1$, the direct image E_d of the Poincaré sheaf $\tilde{\mathcal{P}}$ is a vector bundle on $\tilde{J}^d(Y)$ called the degree d Picard bundle. Unlike in the case of a nonsingular curve, E_d is neither $\tilde{\theta}$ -stable nor ample [6]. However, as the following theorem shows, the Chern classes of this bundle are given by a formula exactly the same as that in the smooth case.

Theorem 1.1. *The total Segre class $s(E_d)$ of the Picard bundle E_d is*

$$s(E_d) = e^{\tilde{\theta}} \quad \text{and hence} \quad c(E_d) = e^{-\tilde{\theta}}.$$

We give a few applications of this theorem. The Brill–Noether scheme $B_Y(1, d, r) \subset \tilde{J}^d(Y)$ is the scheme whose underlying set is the set of torsion-free sheaves of rank 1 and

degree d on Y with at least r independent sections. The expected dimension of $B_Y(1, d, r)$ is given by the Brill–Noether number

$$\beta_Y(1, d, r) = g - r(r - d - 1 + g).$$

Let $\tilde{B}_Y(1, d, r) := h^{-1}B_Y(1, d, r) \subset \tilde{J}^d(Y)$ be the Brill–Noether locus in $\tilde{J}^d(Y)$. Since h is a finite surjective map, $B_Y(1, d, r)$ is nonempty if and only if $\tilde{B}_Y(1, d, r)$ is nonempty. Using Theorem 1.1, we compute the fundamental class of $\tilde{B}_Y(1, d, r)$ and use it to give an effective proof of the nonemptiness of $\tilde{B}_Y(1, d, r)$ for $\beta_Y(1, d, r) \geq 0$.

Theorem 1.2. *If $\tilde{B}_Y(1, d, r)$ is empty or if $\tilde{B}_Y(1, d, r)$ has the expected dimension $\beta_Y(1, d, r)$, then the fundamental class $\tilde{b}_{1,d,r}$ of $\tilde{B}_Y(1, d, r)$ coincides with*

$$b_{1,d,r} = \prod_{\alpha=0}^{r-1} \frac{\alpha!}{g - d + r - 1 + \alpha} \tilde{\theta}^{r(g-d+r-1)}.$$

COROLLARY 1.3

$B_Y(1, d, r)$ and $\tilde{B}_Y(1, d, r)$ are nonempty for $\beta_Y(1, d, r) \geq 0$.

For a fixed positive integer r , consider the direct sum of r copies of E_d ,

$$\mathcal{E} = \oplus_r E_d.$$

For $\tilde{L} \in \tilde{J}^d(Y)$ with $h(\tilde{L}) = L$, the fibre of $\mathbb{P}(\mathcal{E})$ is isomorphic to $\mathbb{P}(\oplus_r H^0(Y, L))$. A point in the fibre may be written as a class

$$(\tilde{L}, \bar{\phi}) = (\tilde{L}, \phi_1, \dots, \phi_r),$$

where

$$\tilde{L} \in \tilde{J}^d(Y), \phi_i \in H^0(Y, L) \quad \text{and} \quad (\phi_1, \dots, \phi_r) \neq (0, \dots, 0).$$

Let V_ϕ be the subspace of $H^0(Y, L)$ generated by ϕ_1, \dots, ϕ_r . Define

$$M := \{(\tilde{L}, \bar{\phi}) \in \mathbb{P}(\mathcal{E}) \mid L \text{ locally free, } V_\phi \text{ generates } L\}. \tag{1.1}$$

We show that M can be regarded as the moduli space of morphisms

$$Y \rightarrow \mathbb{P}^{r-1}$$

of degree d for $d \geq 2g - 1, d \geq 0, r \geq 2$.

Theorem 1.4.

- (1) *There exists a morphism F_M from $M \times Y$ to a \mathbb{P}^{r-1} -bundle over $M \times Y$ such that for any element $a \in M$, $F_M|_{a \times Y}$ determines a morphism $f_a : Y \rightarrow \mathbb{P}^{r-1}$ of degree d .*
- (2) *Given a scheme S and a morphism $F_S : S \times Y \rightarrow \mathbb{P}^{r-1}$ such that for any $s \in S$, the morphism $F_s : Y \rightarrow \mathbb{P}^{r-1}$ is of degree d , there is a morphism*

$$\alpha_S : S \rightarrow M$$

such that the base change of F_M by $\alpha_S \times id$ gives F_S .

Thus $\bar{M} := \mathbb{P}(\mathcal{E})$ can be regarded as a compactification of the moduli space of morphisms $Y \rightarrow \mathbb{P}^{r-1}$ of degree d .

Fixing a nonsingular point $t \in Y$, we may assume that the Poincaré bundle is normalized so that $\tilde{\mathcal{P}}|_{\tilde{J}^d(Y) \times t}$ is the trivial line bundle on $\tilde{J}^d(Y)$. Then we see that the restriction of F_M to $M \times t$ gives

$$F_t : M \rightarrow \mathbb{P}^{r-1}$$

defined by

$$F_t(\tilde{L}, \tilde{\phi}) = (\phi_1(t), \dots, \phi_r(t)).$$

Fixing a hyperplane H of \mathbb{P}^{r-1} , we get a Cartier divisor on M with the underlying set

$$X = X_H := \{(\tilde{L}, \tilde{\phi}) \in M \mid F_t((\tilde{L}, \tilde{\phi})) \in H\}.$$

One shows that there exists a variety $Z \subset \bar{M}$ such that $c_1(\mathcal{O}_{\bar{M}}(1)) = Z$ and $Z \cap M = X$. We define the top intersection number $\langle X^n \rangle$ of X in M as the top intersection number $Z^n[\bar{M}]$ of Z , n being the dimension of M .

Theorem 1.5.

$$\langle X^n \rangle = r^g.$$

In case Y is smooth, a formula for the top intersection number was given by Vafa [10] and Intriligator (eq. (5.5) of [8]). The formula was verified to be true by Bertram and others (Theorem 5.11 of [3]). Theorem 1.5 shows that the intersection number is the same in the nodal case.

2. Cohomology of the Picard bundle

2.1 Notation

Let Y be an integral nodal curve of arithmetic genus g with m (ordinary) nodes defined over an algebraically closed field of characteristic 0. Let y_1, \dots, y_m be the nodes of Y . We denote by X_k the curve with k nodes obtained by blowing up the nodes y_{k+1}, \dots, y_m , thus $Y = X_m$ and X_0 is the normalization of Y . Denote the normalization map by

$$p : X_0 \rightarrow Y.$$

Let $p^{-1}(y_k) = \{x_k, z_k\} \in X_0$ be the inverse image of the nodal point y_k in Y . By abuse of notation, we denote by the same x_k and z_k , the image of these points in X_j , for all $j < k$. Let $p_k : X_{k-1} \rightarrow X_k$ be the natural morphism obtained by identifying x_k and z_k to the single node y_k .

Let us denote by Y^o and X_0^o the smooth irreducible open subsets $Y - \{\cup_{j=1}^m y_j\}$ and $X_0 - \cup_{j=1}^m \{x_j, z_j\}$ respectively. Then one has $Y^o \cong X_0^o$. Note that X_0^o maps isomorphically onto an open subset X_k^o of X_k for each k . For $x \in X_0^o$, we use the same notation for x and its image in X_k^o for all k (if no confusion is possible).

Once and for all, fix a (sufficiently general) point $t \in Y^o$.

2.2 The cycles \tilde{W}_d and the nonsingular variety Bl^d

Let $\tilde{J}^d(Y)$ denote the compactified Jacobian i.e., the space of torsion-free sheaves of rank 1 and degree d on Y . It is a seminormal variety. The generalized Jacobian $J^d(Y) \subset \tilde{J}^d(Y)$, the subset consisting of locally free sheaves, is the set of nonsingular points of $\tilde{J}^d(Y)$. The compactified Jacobian $\tilde{J}^d(Y)$ has a natural desingularization

$$h : \tilde{J}^d(Y) \rightarrow \tilde{J}^d(Y).$$

It is a $\mathbb{P}^1 \times \cdots \times \mathbb{P}^1$ -bundle (m -fold product) over $J^d(X_0)$ (Prop. 12.1, p. 64 of [9], [4]). Since h is an isomorphism over $J^d(Y)$, the Jacobian $J^d(Y)$ is canonically embedded in $\tilde{J}^d(Y)$.

We have the Abel–Jacobi map

$$Y \rightarrow \tilde{J}^1(Y)$$

which is an embedding [1]. However, it does not extend to a morphism $S^d(Y) \rightarrow \tilde{J}^d(Y)$, where $S^d(Y)$ is the symmetric d -th power of Y . The problem being that, unlike in the smooth case, the tensor products of non-locally free sheaves on Y have torsion.

Certainly the restriction of the Abel–Jacobi map to Y^o extends to $S^d(Y^o)$ giving a morphism

$$f'_d : S^d(Y^o) \rightarrow J^d(Y)$$

defined by

$$[x_1, \dots, x_d] \mapsto \mathcal{O}_Y(x_1 + \cdots + x_d) \in J^d(Y).$$

Define cycles $\tilde{W}_d \subset J^d(Y) \subset \tilde{J}^d(Y)$ to be the closure of the image of f'_d in $\tilde{J}^d(Y)$ with the reduced scheme structure. In particular, \tilde{W}_{g-1} is a divisor. Define the theta divisor $\tilde{\theta}$ on $\tilde{J}^{g-1}(Y)$ as \tilde{W}_{g-1} . We identify \tilde{W}_d with its isomorphic image in $\tilde{J}^0(Y)$ under translation by $\mathcal{O}_Y(-dt)$.

In Theorem 3.1 of [5], we have proved the following generalization of the Poincaré formula. For $1 \leq d \leq g$, one has

$$\tilde{W}_{g-d} = \frac{\tilde{\theta}^d}{d!} \tag{2.1}$$

as cycles in $\tilde{J}^0(Y)$ modulo numerical equivalence.

We also identified \tilde{W}_d with the Brill–Noether locus $\tilde{B}_Y(1, d, 1)$ whose underlying set is

$$\tilde{B}_Y(1, d, 1) := \{N \in \tilde{J}^d(Y) \mid h^0(Y, h(N)) \geq 1\}.$$

We constructed in § 4.1 of [5] a nonsingular variety Bl^d and a morphism

$$\psi : Bl^d \rightarrow \tilde{J}^d(Y)$$

with image \tilde{W}_d . The morphism ψ is analogous to the natural morphism

$$\psi_0 : S^d(X_0) \rightarrow W_d(X_0) \subseteq J^d(X_0),$$

where $W_d(X_0) = B_{X_0}(1, d, 1)$. We define $Bl_0^d = S^d(X_0)$. The variety $Bl^d := Bl_m^d$ was constructed from Bl_0^d by induction on the number of nodes. For $k = 1, \dots, m$, we have divisors $\Sigma_{x_k} \cong S^{d-1}(X_0) \times x_k \subset S^d(X_0)$ and $\Sigma_{z_k} \cong S^{d-1}(X_0) \times z_k \subset S^d(X_0)$. Bl_1^d is obtained by blowing up $\Sigma_{x_1} \cap \Sigma_{z_1}$ in Bl_0^d . Inductively Bl_k^d is obtained by blowing up $D(x_k) \cap D(z_k)$ in Bl_{k-1}^d where $D(x_k)$ and $D(z_k)$ are respectively the proper transforms of Σ_{x_k} and Σ_{z_k} .

For $x \in Y^o$, let $\Sigma_x \subset S^d(X_0)$ be the divisor isomorphic to $S^{d-1}(X_0) \times x$ and D_x its proper transform in Bl^d . Let $[D_x]$ denote the class of D_x . Note that for $d \leq g$, $\psi : Bl^d \rightarrow \tilde{W}_d$ is a surjective birational morphism. Therefore, for the cycle $[D_x]^i$ of codimension i in Bl^d , the cycle $\psi_*[D_x]^i$ is of codimension i in \tilde{W}_d . Since \tilde{W}_d is of codimension $g - d$ in $\tilde{J}^d(Y)$, it follows that $\psi_*[D_x]^i$ is of codimension $g - d + i$ in $\tilde{J}^d(Y)$. In fact, we have the following explicit description of the latter cycle.

PROPOSITION 2.1

$$\psi_*[D_x]^i = \frac{\tilde{\theta}^{g-d+i}}{(g-d+i)!}.$$

Proof. We have a commutative diagram

$$\begin{array}{ccc} Bl^d & \xrightarrow{\psi} & \tilde{J}^d(Y) \\ \pi \downarrow & & \downarrow p' \\ Bl_0^d & \xrightarrow{\psi_0} & J^d(X_0). \end{array}$$

The fibre of ψ_0 over $L_0 \in J^d(X_0)$ is $F_{\psi_0} \cong \mathbb{P}(H^0(X_0, L_0))$, the space of 1-dimensional subspaces of $H^0(X_0, L_0)$. A point $\tilde{L} \in \tilde{J}^d(Y)$ corresponds to a tuple (L_0, Q_1, \dots, Q_m) where $L_0 \in J^d(X_0)$ and Q_j are 1-dimensional quotients of $(L_0)_{x_j} \oplus (L_0)_{z_j}$. One has $h(\tilde{L}) \subset p_*L_0$ and hence $H^0(Y, h(\tilde{L})) \subset H^0(Y, p_*L_0) \cong H^0(X_0, L_0)$. As in the proof of Proposition 4.3 of [5], it follows that the map $Bl^d \rightarrow Bl_0^d$ induces an injection of fibres $F_\psi \rightarrow F_{\psi_0}$. The fibre F_ψ of ψ over $\tilde{L} \in \tilde{J}^d(Y)$ is isomorphic to $\mathbb{P}(H^0(Y, h(\tilde{L})))$ (Proposition 4.3 of [5]) and the injection $F_\psi \rightarrow F_{\psi_0}$ is the canonical injection $H^0(Y, h(\tilde{L})) \subset H^0(X_0, L_0)$.

The elements of $S^d(X_0)$ can be identified with divisors on X_0 . For $x \in X_0^o$,

$$\Sigma_x = \{D \in S^d(X_0) \mid D = x + D', D' \in S^{d-1}(X_0)\}.$$

Equivalently, $\Sigma_x = \{D \in S^d(X_0) \mid D - x \geq 0\}$. Thus

$$\psi_0(\Sigma_x) = \{L_0 \in J^d(X_0) \mid L_0(-x) \in W_{d-1}(X_0)\}$$

is a translate of $W_{d-1}(X_0)$.

One has $F_{\psi_0} \cap \Sigma_x \cong H^0(X_0, L_0(-x))$ [3]. Hence

$$D_x \cap F_\psi = H^0(X_0, L_0(-x)) \cap H^0(Y, h(\tilde{L})) = H^0(Y, h(\tilde{L})(-x)).$$

It follows that $\psi(D_x)$ is an x -translate of $\tilde{W}_{d-1} \cong \tilde{B}_Y(1, d, 1)$. More generally, if x_1, \dots, x_i are general elements of Y^o , then one has $\psi(D_{x_1} \cap \dots \cap D_{x_i})$ is an $(\sum_{j=1}^i x_j)$ -translate of \tilde{W}_{d-i} . By generalized Poincaré formula on Y (equation (2.1), Theorem 3.8 of [5]), we have

$$[\tilde{W}_{d-i}] = \frac{\tilde{\theta}^{g-d+i}}{(g-d+i)!}.$$

Thus $\psi_*[D_{x_1} \cap \dots \cap D_{x_i}] = \tilde{\theta}^{g-d+i}/(g-d+i)!$ and hence

$$\psi_*[D_x]^i = \frac{\tilde{\theta}^{g-d+i}}{(g-d+i)!}$$

for all $x \in Y^o$. □

2.3 The Picard bundle

Recall that we have fixed a point $t \in Y^o$. There exists a Poincaré sheaf $\mathcal{P} \rightarrow \tilde{J}^d(Y) \times Y$ normalized by the condition that $\mathcal{P} \mid \tilde{J}^d(Y) \times t$ is the trivial line bundle on $\tilde{J}^d(Y)$ (see [7]). Let

$$\tilde{\mathcal{P}} \rightarrow \tilde{J}^d(Y) \times Y$$

be the pullback of \mathcal{P} to $\tilde{J}^d(Y) \times Y$. Then $\tilde{\mathcal{P}}$ is a family of torsion-free sheaves of rank 1 and degree d on Y parametrized by $\tilde{J}^d(Y)$ and $\tilde{\mathcal{P}} \mid \tilde{J}^d(Y) \times t$ is the trivial line bundle on $\tilde{J}^d(Y)$. Let ν (respectively, p_Y) denote the projections from $\tilde{J}^d(Y) \times Y$ to $\tilde{J}^d(Y)$ (respectively, Y).

For $d \geq 2g - 1$, the direct image E_d of the Poincaré sheaf $\tilde{\mathcal{P}}$ on $\tilde{J}^d(Y) \times Y$ is a vector bundle on $\tilde{J}^d(Y)$ called the degree d Picard bundle. It is a vector bundle of rank $d + 1 - g$.

PROPOSITION 2.2

For $d \geq 2g$, Bl^d is isomorphic to the projective bundle $\mathbb{P}(E_d)$.

Proof. We prove the result by induction on the number k of nodes. Recall that X_k denotes the curve with k nodes y_1, \dots, y_k . Let $g(X_k)$ be the genus of X_k . For each k and $d \in \mathbb{Z}$, let $J^d(X_k)$ be the Jacobian and $\tilde{J}^d(X_k)$ the compactified Jacobian of degree d on X_k . We have a \mathbb{P}^1 -bundle

$$\pi_k : \tilde{J}^d(X_k) \rightarrow \tilde{J}^d(X_{k-1}).$$

We identify $\tilde{J}^0(X_k)$ with $\tilde{J}^d(X_k)$ by the morphism $L \mapsto L(dt)$ for $L \in \tilde{J}^0(X_k)$ for all k . This also gives an identification of $\tilde{J}^0(X_k)$ with $\tilde{J}^d(X_k)$. Let $E_{d,k}$ be the Picard bundle on $\tilde{J}^d(X_k)$. Let Bl_k^d be the variety Bl^d corresponding to X_k .

For $k = 0$, set $Bl_0^d = S^d(X_0)$ and it is well-known that this symmetric product is isomorphic to $\mathbb{P}(E_{d,0})$ for $d \geq 2g(X_0)$. Now, by induction, we may assume that for $k \geq 1$, we have $\mathbb{P}(E_{d,k-1}) \cong Bl_{k-1}^d$. Hence

$$\pi_k^* \mathbb{P}(E_{d,k-1}) \cong Bl_{k-1}^d \times_{\tilde{J}^d(X_{k-1})} \tilde{J}^d(X_k) \subset Bl_{k-1}^d \times \tilde{J}^d(X_k).$$

There is an injective morphism $i_k : E_{d,k} \rightarrow \pi_k^* E_{d,k-1}$ (Proposition 5.1 of [6]) so that

$$\mathbb{P}(i_k E_{d,k}) \subset Bl_{k-1}^d \times \tilde{J}^d(X_k).$$

On the other hand, by the construction of Bl_k^d ,

$$Bl_k^d \subset Bl_{k-1}^d \times \tilde{J}^d(X_k).$$

In fact it is the closure of the graph of a rational map $\psi'_k : Bl_{k-1}^d \rightarrow \tilde{J}^d(X_k)$. We recall the definition of ψ'_k . There exists an open set $U_{k-1} \subset S^d(X_0)$ embedded in Bl_{k-1}^d (i.e. isomorphic to an open subset of Bl_{k-1}^d) such that ψ'_k is well-defined on U_{k-1} and is defined as follows: For $\sum_i p_i \in U_{k-1}$, one has $\psi'_k(\sum_i p_i) = (j, Q) \in \tilde{J}^d(X_k)$ where j corresponds to the line bundle $L = \mathcal{O}_{X_{k-1}}(\sum p_i)$, L has a unique (up to a scalar) section s with zero scheme $\sum_i p_i$ and Q is the quotient of $L_{x_k} \oplus L_{z_k}$ by the 1-dimensional subspace generated by $s(x_k) + s(z_k)$. The pair (j, Q) determines $j' = h(j, Q)$ and s gives a section s' of the line bundle L' corresponding to j' .

Recall that by the definition of the direct image, the elements of $E_{d,k}$ correspond to all the pairs (j', s') , $j' \in \tilde{J}^d(X_k)$, $s' \in H^0(X_k, L')$ where L' is the torsionfree sheaf corresponding to $h(j')$. Let $j = h(\pi_k(j'))$, $j \in \tilde{J}_{X_{k-1}}$. If L corresponds to j , then the injection $(i_k)_j$ corresponds to the inclusion $H^0(X_k, L') \subset H^0(X_{k-1}, L)$. It follows that $\mathbb{P}(i_k(E_{d,k})) \subset Bl_{k-1}^d \times \tilde{J}^d(X_k)$ contains the graph of ψ'_k and hence its closure Bl_k^d . Since Bl_k^d and $\mathbb{P}(i_k(E_{d,k}))$ are irreducible and of the same dimension, it follows that they coincide. Since both Bl_k^d and $\mathbb{P}(i_k(E_{d,k}))$ are nonsingular, the injective homomorphism i_k induces an isomorphism from $\mathbb{P}(E_{d,k})$ onto Bl_k^d . \square

Theorem 2.3 (Theorem 1.1). *The total Segre class $s(E_d)$ of the Picard bundle is*

$$s(E_d) = e^{\tilde{\theta}} \quad \text{and} \quad c(E_d) = e^{-\tilde{\theta}}.$$

Proof. Since $\tilde{\mathcal{P}}|_{\tilde{J}^d(Y) \times t} \cong \mathcal{O}_{\tilde{J}^d(Y)}$, the restriction of the evaluation map $ev : v^* v_* \tilde{\mathcal{P}} \rightarrow \tilde{\mathcal{P}}$ to $\tilde{J}^d(Y) \times t$ gives a surjective homomorphism

$$ev_t : v_* \tilde{\mathcal{P}} = E_d \rightarrow \mathcal{O}_{\tilde{J}^d(Y)}.$$

This defines a section s_t of $\mathcal{O}_{\mathbb{P}(E_d)}(1)$ whose zero set is the divisor

$$D_t = \{(\tilde{L}, \phi) \in \mathbb{P}(E_d) \mid \phi(t) = 0\}.$$

Thus we have

$$[D_t] = c_1(\mathcal{O}_{\mathbb{P}(E_d)}(1)). \tag{2.2}$$

By Proposition 2.1,

$$\psi_* [D_t]^{d-g+\ell} = \frac{\tilde{\theta}^\ell}{\ell!}. \tag{2.3}$$

By VII(4.3), p. 318 of [2], if $c(-E_d) := \frac{1}{c(E_d)}$ denotes the Segre class of E_d , then

$$c_l(-E_d) = \psi_*[D_t]^{d+1-g-1+l} = \psi_*[D_t]^{d-g+l}.$$

Hence by eq. (2.3), one has $c_l(-E_d) = \frac{\tilde{\theta}^l}{l!}$ and hence $c(-E_d) = e^{\tilde{\theta}}$. Thus

$$c(E_d) = e^{-\tilde{\theta}}.$$

□

3. Brill–Noether loci

The Brill–Noether scheme $B_Y(1, d, r) \subset \tilde{J}^d(Y)$ is the scheme whose underlying set is the set of torsion-free sheaves of rank 1 and degree d on Y with at least r independent sections. The expected dimension of $B_Y(1, d, r)$ is given by the Brill–Noether number

$$\beta_Y(1, d, r) = g - r(r - d - 1 + g).$$

Let $\tilde{B}_Y(1, d, r) := h^{-1}B_Y(1, d, r) \subset \tilde{J}^d(Y)$ be the Brill–Noether locus in $\tilde{J}^d(Y)$. Since h is a surjective map, $\tilde{J}^d(Y)$ is nonempty if and only if $\tilde{B}_Y(1, d, r)$ is nonempty. In this section, we compute the fundamental class of $\tilde{B}_Y(1, d, r) \in \tilde{J}^d(Y)$ using the Porteous’ formula. An application of this computation is an effective proof of nonemptiness of $\tilde{B}_Y(1, d, r)$ for $\beta_Y(1, d, r) \geq 0$ following VII, Theorem 4.4 of [2]. We note that since $\tilde{J}^d(Y)$ is a smooth algebraic variety, the Porteous’ formula is valid in the Chow ring of $\tilde{J}^d(Y)$ (II(4.2) of [2]). We recall the formula.

For a vector bundle V on a variety Z , one has $c_t(V) = 1 + c_1(V)t + c_2(V)t^2 + \dots$ and c_t extends to a homomorphism from the Grothendieck group $K(Z)$ to the multiplicative group of the invertible elements in the power series ring $H^*(Z)[[t]]$. Let $-V$ denote the negative of the class of V in $K(Z)$ and $V_1 - V_0$ the difference of the classes of V_1 and V_0 in $K(Z)$.

For a formal power series $a(i) = \sum_{-\infty}^{\infty} a_i t^i$, set

$$\Delta_{p,q}(a) = \det A,$$

where A is the matrix

$$\begin{pmatrix} a_p & \cdots & a_{p+q-1} \\ \cdot & \cdots & \cdot \\ \cdot & \cdots & \cdot \\ \cdot & \cdots & \cdot \\ a_{p-q+1} & \cdots & a_p \end{pmatrix}.$$

3.1 Porteous’ formula

Let V_0 and V_1 be holomorphic vector bundles of respective ranks n and m over a complex manifold Z and $\Psi : V_0 \rightarrow V_1$ a holomorphic mapping. Let $Z_k(\Psi)$ be the k -th degeneracy locus associated to Ψ . It is supported on the set

$$Z_k(\Psi) = \{z \in Z \mid \text{rank } \Psi_z \leq k\}.$$

Then if $Z_k(\Psi)$ is empty or has the expected dimension $\dim Z - (n - k)(m - k)$, the fundamental class z_k of $Z_k(\Psi)$ coincides with

$$\Delta_{m-k,n-k}(c_t(V_1 - V_0)) = (-1)^{(m-k)(n-k)} \Delta_{n-k,m-k}(c_t(V_0 - V_1)).$$

Theorem 3.1 (Theorem 1.2). *If $\tilde{B}_Y(1, d, r)$ is empty or if $\tilde{B}_Y(1, d, r)$ has the expected dimension $\beta_Y(1, d, r)$, then the fundamental class $\tilde{b}_{1,d,r}$ of $\tilde{B}_Y(1, d, r)$ coincides with*

$$b_{1,d,r} = \prod_{\alpha=0}^{r-1} \frac{\alpha!}{g - d + r - 1 + \alpha} \tilde{\theta}^{r(g-d+r-1)}.$$

Proof. Recall that $\tilde{\mathcal{P}}$ denotes the Poincaré sheaf on $\tilde{J}^d(Y) \times Y$ and $\nu : \tilde{J}^d(Y) \times Y \rightarrow \tilde{J}^d(Y)$, $p_Y : \tilde{J}^d(Y) \times Y \rightarrow Y$ denote the projections.

Fix a Cartier divisor E on Y of degree $m \geq 2g - d - 1$ and let $n = m + d - g + 1$. Then (as seen in section 5.1 of [5]) $\tilde{B}_Y(1, d, r) \subset \tilde{J}^d(Y)$ is the $(n - r)$ -th degeneracy locus of the morphism

$$\Psi : \tilde{V}_0 \rightarrow \tilde{V}_1,$$

where

$$\tilde{V}_0 := \nu_*(\tilde{\mathcal{P}} \otimes \tilde{p}_Y^* \mathcal{O}_Y(E)) \quad \text{and} \quad \tilde{V}_1 := \tilde{\nu}_*(\tilde{\mathcal{P}} \otimes \tilde{p}_Y^* \mathcal{O}_Y(E) |_{\tilde{p}_Y^{-1}(E)}).$$

The sheaves \tilde{V}_0 and \tilde{V}_1 are locally free sheaves of rank n and m respectively. The vector bundle \tilde{V}_1 is a direct sum of line bundles with the first Chern class 0 and so it has a trivial (total) Chern class. Hence by Porteous' formula, one has

$$\tilde{b}_{1,d,r} = \Delta_{g-d+r-1,r}(c_t(-V_0)).$$

By Theorem 1.1, $c(-V_0) = e^{\tilde{\theta}}$. Then

$$\tilde{b}_{1,d,r} = \Delta_{g-d+r-1,r}(e^{t\tilde{\theta}}).$$

By calculations exactly the same as those on page 320 of [2] (in the proof of VII, Theorem (4.4) of [2]), we finally have

$$\tilde{b}_{1,d,r} = \prod_{\alpha=0}^{r-1} \frac{\alpha!}{g - d + r - 1 + \alpha} \tilde{\theta}^{r(g-d+r-1)}.$$

□

COROLLARY 3.2 (Corollary 1.3)

$B_Y(1, d, r)$ and $\tilde{B}_Y(1, d, r)$ are nonempty for $\beta_Y(1, d, r) \geq 0$.

Proof. Note that $\beta_Y(1, d, r) = g - r(g - d + r - 1) \geq 0$ if and only if $g \geq r(g - d + r - 1)$ so that $b_{1,d,r}$ is nonzero if $\beta_Y(1, d, r) \geq 0$. The fundamental class $\tilde{b}_{1,d,r}$ of $\tilde{B}_Y(1, d, r)$ coincides with $b_{1,d,r}$ (by Theorem 1.2) and hence is nonzero for $\beta_Y(1, d, r) \geq 0$. Hence $\tilde{B}_Y(1, d, r)$ is nonempty for $\beta_Y(1, d, r) \geq 0$. It follows that $B_Y(1, d, r)$ is nonempty for $\beta_Y(1, d, r) \geq 0$. □

4. Maps from Y to \mathbb{P}^{r-1}

Assume that $d \geq 2g - 1$, $d \geq 0$, $r \geq 2$. Let $\mathcal{E} = \bigoplus_r E_d$ where E_d is the Picard bundle on $\tilde{J}^d(Y)$ defined in § 2.3. Let

$$u : \mathbb{P}(\mathcal{E}) \rightarrow \tilde{J}^d(Y)$$

be the projection map. For $\tilde{L} \in \tilde{J}^d(Y)$ with $h(\tilde{L}) = L$, the fibre of \bar{M} over \tilde{L} is isomorphic to $\mathbb{P}(\bigoplus_r H^0(Y, L))$. A point in the fibre may be written as a class $(\tilde{L}, \bar{\phi}) = (\tilde{L}, \phi_1, \dots, \phi_r)$ with $\tilde{L} \in \tilde{J}^d(Y)$, $\phi_i \in H^0(Y, L)$ and $(\phi_1, \dots, \phi_r) \neq (0, \dots, 0)$. Let V_ϕ be the subspace of $H^0(Y, L)$ generated by ϕ_1, \dots, ϕ_r . Let

$$M = \{(\tilde{L}, \bar{\phi}) \in \mathbb{P}(\mathcal{E}) \mid L \text{ locally free, } V_\phi \text{ generates } L\}.$$

The following theorem shows that M can be regarded as the moduli space of morphisms

$$Y \rightarrow \mathbb{P}^{r-1}$$

of degree d .

Theorem 4.1 (Theorem 1.4).

- (1) *There exists a morphism F_M from $M \times Y$ to a projective bundle on $M \times Y$ such that for any element $a \in M$, $F_M|_{a \times Y}$ gives a morphism $f_a : Y \rightarrow \mathbb{P}^{r-1}$ of degree d .*
- (2) *Given a scheme S and a morphism $F_S : S \times Y \rightarrow \mathbb{P}^{r-1}$ such that for any $s \in S$, the morphism $F_s = F_S|_{s \times Y} : Y \rightarrow \mathbb{P}^{r-1}$ is of degree d , there is a morphism*

$$\alpha_S : S \rightarrow M$$

such that the base change of F_M by α_S gives F_S .

Thus $\bar{M} := \mathbb{P}(\mathcal{E})$ may be regarded as a compactification of the moduli space of morphisms $Y \rightarrow \mathbb{P}^{r-1}$ of degree d .

Proof.

- (1) Over $\tilde{J}^d(Y) \times Y$, we have the evaluation map $ev_r : v^*v_*(\bigoplus_r \tilde{\mathcal{P}}) \rightarrow \bigoplus_r \tilde{\mathcal{P}}$. Pulling back to $\bar{M} \times Y$ by $u' = u \times Id_Y$ gives the map

$$u'^*e_{v_r} : u'^*v^*v_*(\bigoplus_r \tilde{\mathcal{P}}) \rightarrow u'^*(\bigoplus_r \tilde{\mathcal{P}}).$$

Its restriction to $M \times Y$ induces a map

$$e_M : \mathbb{P}(u'^*v^*v_*(\bigoplus_r \tilde{\mathcal{P}})) \rightarrow \mathbb{P}(u'^*(\bigoplus_r \tilde{\mathcal{P}})).$$

Note that the fibre of the bundle $u'^*v^*v_*(\bigoplus_r \tilde{\mathcal{P}})$ over $(\tilde{L}, \bar{\phi}, y)$ is $\bigoplus_r H^0(Y, L)$. By definition, $M = \mathbb{P}(v_*(\bigoplus_r \tilde{\mathcal{P}}))$. Hence $\mathbb{P}(u'^*v^*v_*(\bigoplus_r \tilde{\mathcal{P}})) \rightarrow M \times Y$ has a canonical section σ defined by $\sigma(\tilde{L}, \bar{\phi}, y) = (\phi_1, \dots, \phi_r)$. Then

$$F_M := e_M \circ \sigma : M \times Y \rightarrow \mathbb{P}(u'^*(\bigoplus_r \tilde{\mathcal{P}})) \cong \mathbb{P}((h \circ u) \times id_Y)^*(\bigoplus_r \tilde{\mathcal{P}}) \quad (4.1)$$

is the required morphism. To see this, note that the restriction of this composite morphism to $(\tilde{L}, \tilde{\phi}) \times Y$ gives $(\phi_1, \dots, \phi_r) \in \mathbb{P}(H^0(Y, L))$ and hence determines the morphism

$$f_{\tilde{L}, \tilde{\phi}} : Y \rightarrow \mathbb{P}^{r-1}$$

defined by

$$f_{\tilde{L}, \tilde{\phi}}(y) = (\phi_1(y), \dots, \phi_r(y)).$$

We remark that in case Y is a smooth curve, this map is the same as the pointwise map defined in Proposition 2.7 of [3].

(2) Let $F_S : S \times Y \rightarrow \mathbb{P}^{r-1}$ be a morphism such that for any $s \in S$, the morphism $F_s = F_S|_{s \times Y} : Y \rightarrow \mathbb{P}^{r-1}$ is of degree d . Let

$$N := F_S^*(\mathcal{O}_{\mathbb{P}^{r-1}}(1)).$$

Note that for all $s \in S$, $N_s = N|_{s \times Y}$ is a line bundle of degree d generated by global sections. The coordinate functions $z_i, i = 1, \dots, r$, on \mathbb{C}^r define sections z_i of $\mathcal{O}_{\mathbb{P}^{r-1}}(1)$. F_S gives sections $\Phi_i = F_S^*(z_i)$ of N such that $\Phi_i|_{s \times Y}, i = 1, \dots, r$, generate N_s of all s . Define

$$\begin{aligned} F'_S : S \times Y &\rightarrow \mathbb{P}(\oplus_r N), \\ F'_S(s, y) &:= (\Phi_1(s, y), \dots, \Phi_r(s, y)) \in \mathbb{P}(\oplus_r N_{s,y}). \end{aligned}$$

Since $N := F_S^*(\mathcal{O}_{\mathbb{P}^{r-1}}(1))$, we have a map $\beta_r : \mathbb{P}(\oplus_r N) \rightarrow \mathbb{P}(\oplus_r \mathcal{O}_{\mathbb{P}^{r-1}}(1))$ lying over F_S . One has $\beta_r((\Phi_i(s, y))_i) = (z_i(F_S(s, y)))_i$. Hence there is a commutative diagram

$$\begin{array}{ccc} \mathbb{P}(\oplus_r N) & \xrightarrow{\beta_r} & \mathbb{P}(\oplus_r \mathcal{O}_{\mathbb{P}^{r-1}}(1)) \\ \uparrow F'_S & & \downarrow \pi \\ S \times Y & \xrightarrow{F_S} & \mathbb{P}^{r-1} \end{array}$$

showing that F_S can be recovered from F'_S .

Let $\mathcal{P}' = \tilde{\mathcal{P}}|_{J^d(Y)}$. By the universal property of the Jacobian, the line bundle $N \rightarrow S \times Y$ defines a morphism $\alpha : S \rightarrow J^d(Y) \subset \tilde{J}^d(Y)$. One has $(\alpha \times id)^*\mathcal{P}' \cong N \otimes p_S^*N_1$, where N_1 is a line bundle on S . Thus

$$(\alpha \times id)^*(\oplus_r \mathcal{P}') \cong (\oplus_r N) \otimes p_S^*N_1. \tag{4.2}$$

By the projection formula, we have

$$\begin{aligned} \alpha^*(v_* \oplus_r \mathcal{P}') &\cong p_{S*}(\alpha \times id)^*(\oplus_r \mathcal{P}') \cong p_{S*}((\oplus_r N) \otimes p_S^*N_1) \\ &\cong (p_{S*}(\oplus_r N)) \otimes N_1. \end{aligned}$$

Thus $\alpha^*(\mathcal{E}) \cong (p_{S*}(\oplus_r N)) \otimes N_1$. We have

$$\alpha^*(\bar{M}) = \alpha^*(\mathbb{P}\mathcal{E}) = \mathbb{P}(\alpha^*\mathcal{E})$$

and hence

$$\alpha^*(\bar{M} |_{J^d(Y)}) \cong \mathbb{P}(p_{S*}(\oplus_r N)).$$

This gives the cartesian diagram

$$\begin{array}{ccc} \mathbb{P}(p_{S*}(\oplus_r N)) & \xrightarrow{\bar{\alpha}} & \bar{M} |_{J^d(Y)} \\ \downarrow & & \downarrow u \\ S & \xrightarrow{\alpha} & J^d(Y). \end{array}$$

The sections $\Phi_i \in H^0(S \times Y, N)$ give $\Phi \in \oplus_r H^0(S \times Y, N) \cong H^0(S, p_{S*}(\oplus_r N))$. Since N is generated by Φ_i 's, this gives a section $\bar{\phi}_S$ of $\mathbb{P}(p_{S*}(\oplus_r N))$ over S such that if

$$\alpha_S = \bar{\alpha} \circ \bar{\phi}_S, \text{ then } \alpha_S(S) \subset M.$$

Then $u \circ \alpha_S = \alpha$ and the isomorphism (4.2) implies that

$$(\alpha_S \times id)^*(u^* \oplus_r \mathcal{P}') = (\alpha \times id)^*(\oplus_r \mathcal{P}') \cong (\oplus_r N) \otimes p_S^*(N_1)$$

so that

$$(\alpha_S \times id)^*(\mathbb{P}(u^* \oplus_r \mathcal{P}')) = \mathbb{P}(\oplus_r N).$$

It follows that the family $F'_S : S \times Y \rightarrow \mathbb{P}(\oplus_r N)$ is the base change of the family $F_M : M \times Y \rightarrow \mathbb{P}(u^* \oplus_r \mathcal{P}')$ by $\alpha_S \times id$. As explained in the beginning, F'_S gives F_S .

This completes the proof of the theorem. □

We remark that the proof of Theorem 1.4 is valid for any integral curve Y with its (compactified) Jacobian irreducible.

4.1 Top intersection number

Recall that $u : \bar{M} \rightarrow \tilde{J}^d(Y)$ is a projective bundle so that

$$n = \dim \bar{M} = r(d + 1 - g) + \dim \tilde{J}^d(Y) - 1 = r(d + 1 - g) + g - 1.$$

Let $\mathcal{O}_{\bar{M}}(1) = \mathcal{O}_{\mathbb{P}(\oplus_r E_d)}(1)$ be the relative ample line bundle.

The restriction of F_M to $M \times t$ followed by the projection to \mathbb{P}^{r-1} gives

$$F_t : M \rightarrow \mathbb{P}(\oplus_r \mathcal{O}_M) = M \times \mathbb{P}^{r-1} \rightarrow \mathbb{P}^{r-1}$$

defined by

$$F_t(\tilde{L}, \tilde{\phi}) = (\phi_1(t), \dots, \phi_r(t)).$$

Fix a section $s \in H^0(\mathbb{P}^{r-1}, \mathcal{O}_{\mathbb{P}^{r-1}}(1))$. It determines a hyperplane H of \mathbb{P}^{r-1} . Then $F_t^* s \in H^0(M, F_t^* \mathcal{O}_{\mathbb{P}^{r-1}}(1))$ defines a Cartier divisor on M . The underlying set of the Cartier divisor is given by

$$X = X_H := \{(\tilde{L}, \tilde{\phi}) \in M \mid F_t((\tilde{L}, \tilde{\phi})) \in H\}.$$

Lemma 4.2. *There exists a variety $Z \subset \bar{M}$ such that $c_1(\mathcal{O}_{\bar{M}}(1)) = Z$ and $Z \cap M = X$.*

Proof. Restricting the evaluation map $ev : v_*v_*\tilde{\mathcal{P}} \rightarrow \tilde{\mathcal{P}}$ to $\tilde{J}^d(Y) \times t$ we get

$$ev_t : v_*\tilde{\mathcal{P}} \rightarrow (\tilde{\mathcal{P}})_t = \mathcal{O}_{\tilde{J}^d(Y)}.$$

Composing a projection (say 1st) $v_*(\oplus_r \tilde{\mathcal{P}}) \rightarrow v_*\tilde{\mathcal{P}}$ with this map ev_t gives the surjective homomorphism $v_*(\oplus_r \tilde{\mathcal{P}}) = \mathcal{E} \rightarrow \mathcal{O}_{\tilde{J}^d(Y)}$. This defines a section s_t of $\mathcal{O}_{\bar{M}}(1)$ whose zero set is

$$Z := Z(s_t) = \{(\tilde{L}, \tilde{\phi}) \mid \phi_1(t) = 0\}.$$

Then $Z \cap M = X_{H_1}$ where $H_1 = \{(z_1, \dots, z_r) \in \mathbb{P}^{r-1} \mid z_1 = 0\}$. □

DEFINITION 4.3

We define the top intersection number $\langle X^n \rangle$ of X in M as the intersection number

$$\langle X^n \rangle := Z^n[\bar{M}] = c_1(\mathcal{O}_{\bar{M}}(1))^n[\bar{M}].$$

Theorem 4.4. (Theorem 1.5).

$$\langle X^n \rangle = r^g.$$

Proof. By Lemma 4.2 and VII(4.3), p. 318 of [2] applied to the vector bundle $\mathcal{E} = \oplus_r E_d$, we have (for $u_* : H^n(\mathbb{P}\mathcal{E}) \rightarrow H^g(\tilde{J}^d(Y))$)

$$u_*Z^n = c_g(-\mathcal{E}) = s_g(\mathcal{E}),$$

where $s_g(\mathcal{E})$ is the g -th Segre class of \mathcal{E} . By Theorem 1.1, $s(\mathcal{E}) = e^{r\tilde{\theta}}$ so that

$$s_g(\mathcal{E}) = \frac{r^g \tilde{\theta}^g}{g!}.$$

By the generalized Poincaré formula (see eq. (2.1)), $\tilde{\theta}^g[\tilde{J}^d(Y)] = g!$ so that

$$s_g(\mathcal{E})[\tilde{J}^d(Y)] = r^g,$$

proving the theorem. □

4.2 The formulas of Vafa and Intriligator

Let X be a smooth curve (a compact Riemann surface). The formula for the top intersection number for the space of maps from X to projective spaces (and more generally for intersection numbers for the space of maps from X to Grassmannians) was given by Vafa and worked out in detail by Intriligator (eq. (5.5) of [8], [10]). The formula was verified to be true by Bertram *et al* (Theorem 5.11 of [3]) by showing that the top intersection number is r^g . Our Theorem 1.5 generalizes this to maps from nodal curves to projective spaces and shows that the top intersection number has the same value.

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