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SPECTRAL INVARIANCE, K-THEORY ISOMORPHISM
AND AN APPLICATION
TO THE DIFFERENTIAL STRUCTURE OF C^* -ALGEBRAS

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ABSTRACT. The notion of spectral invariance of a locally convex $*$ -algebra is defined by constructing the enveloping C^* -algebra and is characterized. It is shown that the spectral invariance induces K-theory isomorphism at a general level. As an application the differential structure of C^* -algebras is studied.

KEYWORDS: *Differential geometry, algebraic geometry.*

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1. INTRODUCTION

Recent developments in non-commutative geometry ([11]) demand the search for, and the investigations of, smooth structures associated with a C^* -algebra \mathcal{B} , usually (but not always) manifested as dense $*$ -subalgebras \mathcal{A} of \mathcal{B} ([9]). Differential seminorms provide a general mean to construct a differential structure associated with a dense subalgebra \mathfrak{A} of \mathcal{B} ([9]). The differential Fréchet $*$ -algebra \mathfrak{A}_τ and the differential Banach $*$ -algebra \mathfrak{A}_T defined by a differential $*$ -seminorm on \mathfrak{A} are generally not subalgebras of \mathcal{B} , though there exist surjective $*$ -homomorphisms $\mathfrak{A}_\tau \rightarrow \mathcal{B}$, $\mathfrak{A}_T \rightarrow \mathcal{B}$. Now besides completeness in an appropriate locally convex $*$ -algebra topology, spectral invariance and closure under appropriate functional calculus have been recognized as important attributes of smooth subalgebras ([9]). One says that \mathcal{A} is spectrally invariant in \mathcal{B} if $\forall x \in \mathcal{A}$, $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{\mathcal{B}}(x)$. This is known to imply the K-theory isomorphism $K_*(\mathcal{B}) = K_*(\mathcal{A})$. We aim to discuss spectral invariance and the closure under holomorphic functional calculus in a situation where there is a natural homomorphism from \mathcal{A} to \mathcal{B} instead of inclusion with a view to understand the structure of the differential algebras \mathfrak{A}_τ and \mathfrak{A}_T .

In fact, spectral invariance of a locally m -convex algebra \mathcal{A} in its homomorphic image has been considered in [19] to give a short proof of the assertion that $M_n(\mathcal{A})$ is local if \mathcal{A} is local and Fréchet, whereas the spectral invariance of a Banach $*$ -algebra \mathcal{A} in its enveloping C^* -algebra has been considered in [3] to discuss the discretized version of CCR algebras. First we shall characterize the spectral invariance by the spectrality of submultiplicative $*$ -seminorms or of C^* -seminorms on a pseudo-complete locally convex $*$ -algebra \mathcal{A} in which every element is bounded in a natural sense ([1]). A submultiplicative $*$ -seminorm p on \mathcal{A} is said to be *spectral* if $\gamma_{\mathcal{A}}(x) \leq p(x)$ for each $x \in \mathcal{A}$, where $\gamma_{\mathcal{A}}(x)$ is the spectral radius of x in \mathcal{A} . If there exists a non-zero continuous spectral submultiplicative $*$ -seminorm (respectively C^* -seminorm) on \mathcal{A} , then \mathcal{A} is said to be *spectral* (respectively *C^* -spectral*). The spectral invariance of \mathcal{A} is defined as follows: Let $\text{CRep}(\mathcal{A})$ be the family of all non-zero (automatically, bounded ([5])) continuous $*$ -representations of \mathcal{A} . Suppose $\text{CRep}(\mathcal{A}) \neq \emptyset$, then a C^* -seminorm $|\cdot|_u$ on \mathcal{A} called a *Gelfand-Naimark C^* -seminorm* is defined by

$$|x|_u = \sup\{\|\pi(x)\| : \pi \in \text{CRep}(\mathcal{A})\}, \quad x \in \mathcal{A}$$

and the C^* -algebra $E(\mathcal{A})$ obtained by completion of the normed C^* -algebra $\mathcal{A}/\ker|\cdot|_u$ is called an *enveloping C^* -algebra*. If $\text{CRep}(\mathcal{A}) \neq \emptyset$ and $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{E(\mathcal{A})}(j(x))$ for each $x \in \mathcal{A}$, where j is a natural map of \mathcal{A} onto $\mathcal{A}/\ker(|\cdot|_u)$, then \mathcal{A} is said to be *spectral invariant*. We define \mathcal{A} to be *local* (or closed under the holomorphic functional calculus (of $E(\mathcal{A})$)) if given $x \in \mathcal{A}$ and a function f holomorphic on $\text{Sp}_{E(\mathcal{A})}(j(x))$, there exists $y \in \mathcal{A}$ such that $f(j(x)) = j(y)$. This refines the usual notion of local subalgebras ([19]). By Lemma 1.2 of [19], if \mathcal{A} is a Fréchet subalgebra of an m -convex Fréchet Q -algebra \mathcal{B} (in particular, of a C^* -algebra \mathcal{B}), then \mathcal{A} is closed under the holomorphic functional calculus of \mathcal{B} if and only if \mathcal{A} is spectrally invariant in \mathcal{B} . Here we shall incorporate this at the generality of the present paper where \mathcal{A} is not a subalgebra of a C^* -algebra \mathcal{B} , but there exists the continuous $*$ -homomorphism $j : \mathcal{A} \rightarrow E(\mathcal{A}) = \mathcal{B}$. In Theorem 2.11, it is shown that \mathcal{A} is spectral and hermitian ($\text{Sp}_{\mathcal{A}}(x) \subset \mathbb{R}$ for each $x^* = x \in \mathcal{A}$) if and only if \mathcal{A} is C^* -spectral if and only if \mathcal{A} is spectrally invariant if and only if \mathcal{A} is local and $\text{rad}\mathcal{A} = \text{srad}\mathcal{A}$ (the strong radical of \mathcal{A}). Speaking the proofs roughly, suppose \mathcal{A} is spectral and hermitian, then $s_{\mathcal{A}}$ defined by $s_{\mathcal{A}}(x) \equiv \gamma_{\mathcal{A}}(x^*x)^{1/2}$, $x \in \mathcal{A}$, becomes a continuous spectral C^* -seminorm on \mathcal{A} , that is, \mathcal{A} is C^* -spectral. The converse is trivial. Suppose that \mathcal{A} is C^* -spectral, that is, there exists a non-zero continuous spectral C^* -seminorm p on \mathcal{A} . Then it can be shown that $p = |\cdot|_u = s_{\mathcal{A}}$, which implies that \mathcal{A} is spectrally invariant. The necessary and sufficient condition of the spectral invariance of \mathcal{A} and of the locality of \mathcal{A} and $\text{rad}\mathcal{A} = \text{srad}\mathcal{A}$ is based on the holomorphic functional calculus in pseudo-complete locally convex algebras ([1]).

It is also known that the spectral invariance plays an important role for the structure theory and for the representation theory of locally convex $*$ -algebras ([6], [7]) and so in Theorem 2.15 we shall characterize the spectral invariance by the properties of $*$ -representations (the existence of spectral $*$ -representations, the dilation property of $*$ -representations etc.) though they are not used in this paper.

In Section 3 we shall consider the K-theory isomorphisms of Fréchet $*$ -algebras and the differential structure of a C^* -algebra as applications of Theorem 2.11. Given a dense $*$ -subalgebra \mathcal{A} of a C^* -algebra \mathcal{B} , the significance of the

spectral invariance of \mathcal{A} in \mathcal{B} lies in the fact that it induces K-theory isomorphism $K_*(\mathcal{A}) = K_*(\mathcal{B})$ (Chapter III, Appendix C, [11]). This can be extended to more general Fréchet $*$ -algebras applying Theorem 2.11 and the K-theory for Fréchet algebras developed by Phillips ([15]). In Theorem 3.1, it is shown that if \mathcal{A} is a Fréchet locally m -convex $*$ -algebra in which each element is bounded, then the spectral invariance of \mathcal{A} implies the K-theory isomorphisms $K_*(\mathcal{A}) \simeq K_*(E(\mathcal{A}))$. As an application of Theorems 2.11 and 3.1, we investigate the properties of the C^* -spectrality and the spectral invariance of a Fréchet $*$ -algebra defined by a differential seminorm. Let \mathcal{A} be a C^* -algebra and \mathfrak{A} a dense $*$ -subalgebra of \mathcal{A} . Given a differential $*$ -seminorm $T \sim (T_k)_0^\infty$ on \mathfrak{A} in the sense of [9], let $p_k(x) = \sum_{i=0}^k T_i(x)$. Then $(p_k)_0^\infty$ is a separating increasing sequence of submultiplicative $*$ -seminorms. Let τ be a locally convex $*$ -algebra topology on \mathfrak{A} defined by $(p_k)_0^\infty$. The completion \mathfrak{A}_τ of \mathfrak{A} with respect to τ is a Fréchet $*$ -algebra which is an inverse limit $\varprojlim \mathfrak{A}_{(k)}$ of the Banach $*$ -algebras $\mathfrak{A}_{(k)}$ obtained by the completion of \mathfrak{A} with respect to p_k . Let \mathcal{B} denote $\mathfrak{A}_{(k)}$ or \mathfrak{A}_τ . In Theorem 3.3, it is shown that \mathcal{B} is a C^* -spectral and spectral invariant hermitian Q -algebra such that $E(\mathcal{B}) = \mathcal{A}$ and $K_*(\mathcal{B}) = K_*(\mathcal{A}) = K_*(\mathfrak{A}_{(k)})$ for all k .

2. SPECTRAL INVARIANCE

We begin with the basic definitions and properties about locally convex $*$ -algebras. For more details refer to [1] and [2]. The term locally convex $*$ -algebra means a $*$ -algebra \mathcal{A} equipped with a topology τ such that

- (i) $\mathcal{A}[\tau]$ is a Hausdorff locally convex space;
- (ii) the multiplication of \mathcal{A} is separately continuous;
- (iii) the involution on \mathcal{A} is continuous.

We may essentially restrict our considerations in this paper to the case in which \mathcal{A} has an identity $\mathbb{1}$ by considering the adjunction $\mathcal{A}_\mathbb{1}$ of an identity if \mathcal{A} has no identity. Henceforth it will be assumed, without further mention that \mathcal{A} has an identity $\mathbb{1}$.

Let \mathcal{A} be a locally convex $*$ -algebra. An element x of \mathcal{A} is *bounded* if, for some non-zero $\lambda \in \mathbb{C}$, the set $\{(\lambda^{-1}x)^n : n \in \mathbb{N}\}$ is bounded. The set of all bounded elements of \mathcal{A} is denoted by \mathcal{A}_0 . We write \mathcal{B} for the collection of all absolutely convex, bounded and closed subsets B of \mathcal{A} such that $\mathbb{1} \in B$ and $B^2 \subset B$. For each $B \in \mathcal{B}$, let $\mathcal{A}[B]$ denote the subspace of \mathcal{A} generated by B . Then $\mathcal{A}[B] = \{\lambda x : \lambda \in \mathbb{C}, x \in B\}$ and the equation: $\|x\|_B = \inf\{\lambda > 0 : x \in \lambda B\}$ defines a norm on $\mathcal{A}[B]$, which makes $\mathcal{A}[B]$ a normed algebra. If $\mathcal{A}[B]$ is complete for each $B \in \mathcal{B}$, then \mathcal{A} is said to be *pseudo-complete*. We remark that if \mathcal{A} is sequentially complete, then \mathcal{A} is pseudo-complete. Throughout this paper we consider only a locally convex $*$ -algebra \mathcal{A} with $\mathcal{A} = \mathcal{A}_0$.

We define the spectrum $\text{Sp}_\mathcal{A}(x)$ and the spectral radius of x in \mathcal{A} as follows:

$$\text{Sp}_\mathcal{A}(x) = \{\lambda \in \mathbb{C} : \exists (\lambda \mathbb{1} - x)^{-1} \text{ in } \mathcal{A}\}, \quad r_\mathcal{A}(x) = \sup\{|\lambda| : \lambda \in \text{Sp}_\mathcal{A}(x)\}.$$

Then it is known in [1] that

$$\begin{aligned}
 \gamma_{\mathcal{A}}(x) &= \beta(x) \equiv \inf\{\lambda > 0 : \{(\lambda^{-1}x)^n : n \in \mathbb{N}\} \text{ is bounded}\} \\
 (2.1) \quad &= \sup\{\overline{\lim}_{n \rightarrow \infty} |f(x^n)|^{\frac{1}{n}} : f \in \mathcal{A}'\} \\
 &= \sup\{\overline{\lim}_{n \rightarrow \infty} p(x^n)^{\frac{1}{n}} : p \in P\},
 \end{aligned}$$

where \mathcal{A}' is the dual space of \mathcal{A} and P is a family of seminorms which define the topology.

DEFINITION 2.1. A (continuous) seminorm p on \mathcal{A} is said to be *spectral* if $\gamma_{\mathcal{A}}(x) \leq p(x)$ for each $x \in \mathcal{A}$.

An element x of \mathcal{A} is said to be *quasi-regular* if $(\mathbb{1} - x)$ has the inverse belonging to \mathcal{A} . Let \mathcal{A}^{qr} be the set of all quasi-regular elements of \mathcal{A} .

By Lemma 4.1 of [5]) we have the following

LEMMA 2.2. *Let \mathcal{A} be pseudo-complete and p a seminorm on \mathcal{A} . Then the following statements are equivalent:*

- (i) p is spectral;
- (ii) $\{x \in \mathcal{A} : p(x) < 1\} \subset \mathcal{A}^{\text{qr}}$.

A locally convex $*$ -algebra \mathcal{A} is said to be *Q-algebra* if \mathcal{A}^{qr} is open.

By Theorem 4.2 of [5] we have the following

LEMMA 2.3. *Let \mathcal{A} be a pseudo-complete locally convex $*$ -algebra. Consider the following statements:*

- (i) \mathcal{A} has continuous quasi-inverse, that is, there exists a neighbourhood U of 0 such that $U \subset \mathcal{A}^{\text{qr}}$ and the quasi-inverse $x \rightarrow x^q$ is continuous at 0;
- (ii) \mathcal{A} is a Q-algebra;
- (iii) there exists a continuous spectral seminorm on \mathcal{A} .

Then the following implications hold: (i) \Rightarrow (ii) \Leftrightarrow (iii).

In particular, if \mathcal{A} has jointly continuous multiplication, then (i), (ii) and (iii) are equivalent.

We next define the notions of C^* -spectrality, spectral invariance and stability of locally convex $*$ -algebra. A seminorm p on a (locally convex) $*$ -algebra \mathcal{A} is said to be a m^* -seminorm (respectively a C^* -seminorm) if it is $*$ -submultiplicative, that is, $p(xy) \leq p(x)p(y)$ and $p(x^*) = p(x)$, $\forall x, y \in \mathcal{A}$ (respectively $p(x^*x) = p(x)^2$, $\forall x \in \mathcal{A}$). Let p be a m^* -seminorm on \mathcal{A} . Then $\mathcal{N}_p \equiv \ker p = \{x \in \mathcal{A} : p(x) = 0\}$ is a $*$ -ideal of \mathcal{A} and the quotient space $\mathcal{A}/\mathcal{N}_p$ is a normed $*$ -algebra equipped with the multiplication $(x + \mathcal{N}_p)(y + \mathcal{N}_p) \equiv xy + \mathcal{N}_p$, the involution $(x + \mathcal{N}_p)^* \equiv x^* + \mathcal{N}_p$ and the norm $\|x + \mathcal{N}_p\|_p \equiv p(x)$. We denote by \mathcal{A}_p the Banach $*$ -algebra which is the completion of $\mathcal{A}/\mathcal{N}_p$. In particular, if p is a C^* -seminorm on \mathcal{A} , then \mathcal{A}_p is a C^* -algebra.

LEMMA 2.4. *Let p be a (continuous) m^* -seminorm on a locally convex $*$ -algebra \mathcal{A} . Then the following statements are equivalent:*

- (i) p is spectral;
- (ii) $\gamma_{\mathcal{A}}(x) = \lim_{n \rightarrow \infty} p(x^n)^{\frac{1}{n}}, \forall x \in \mathcal{A}$;
- (iii) $\gamma_{\mathcal{A}}(x) = \gamma_{\mathcal{A}_p}(x_p), \forall x \in \mathcal{A}$, where $x_p \equiv x + \mathcal{N}_p$;
- (iv) $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{\mathcal{A}_p}(x_p), \forall x \in \mathcal{A}$.

In particular, if p is a C^ -seminorm on \mathcal{A} , then the above statements (i)–(iv) are equivalent to*

- (v) $\gamma_{\mathcal{A}}(x) = p(x), \forall x \in \mathcal{A}_h \equiv \{a \in \mathcal{A} : a^* = a\}$.

Proof. (i) \Rightarrow (iv) Let $x \in \mathcal{A}$. It is clear that $\text{Sp}_{\mathcal{A}_p}(x_p) \subset \text{Sp}_{\mathcal{A}}(x)$. We show the converse. Take an arbitrary $\lambda \in \mathbb{C}$ such that $(\lambda \mathbb{1}_p - x_p)^{-1}$ exists in \mathcal{A}_p . Since \mathcal{A}_p is the completion of the normed $*$ -algebra $\mathcal{A}[\mathcal{N}_p]$, there exists an element y of \mathcal{A} such that $\|\mathbb{1}_p - (\lambda \mathbb{1}_p - x_p)y_p\|_p = p(\mathbb{1} - (\lambda \mathbb{1} - x)y) < 1$ and $\|\mathbb{1}_p - y_p(\lambda \mathbb{1}_p - x_p)\|_p = p(\mathbb{1} - y(\lambda \mathbb{1} - x)) < 1$, which implies by the spectrality of p that $(\lambda \mathbb{1} - x)y$ and $y(\lambda \mathbb{1} - x)$ are invertible. Hence, $(\lambda \mathbb{1} - x)$ is invertible, and so $\lambda \notin \text{Sp}_{\mathcal{A}}(x)$. Thus we have $\text{Sp}_{\mathcal{A}}(x) \subset \text{Sp}_{\mathcal{A}_p}(x_p)$.

- (iv) \Rightarrow (iii) This is trivial.
- (iii) \Rightarrow (ii) This follows from the equalities:

$$\gamma_{\mathcal{A}}(x) = \gamma_{\mathcal{A}_p}(x_p) = \lim_{n \rightarrow \infty} \|x_p^n\|_p^{\frac{1}{n}} = \lim_{n \rightarrow \infty} p(x^n)^{\frac{1}{n}}, \quad x \in \mathcal{A}.$$

(ii) \Rightarrow (i) This follows from the submultiplicativity of p . Suppose p is a C^* -seminorm on \mathcal{A} . Then the equivalence of (ii) and (v) is clear. \blacksquare

DEFINITION 2.5. A locally convex $*$ -algebra \mathcal{A} is said to be *spectral* (respectively *C^* -spectral*) if there exists a non-zero continuous spectral m^* -seminorm (respectively C^* -seminorm) on \mathcal{A} .

We define the Gelfand-Naimark C^* -seminorm $|\cdot|_u$ on \mathcal{A} and the enveloping C^* -algebra $E(\mathcal{A})$ of \mathcal{A} . We state the definition of $*$ -representation of \mathcal{A} . Let \mathcal{D} be a dense subspace in a Hilbert space \mathcal{H} and $\mathcal{L}^\dagger(\mathcal{D})$ the set of all linear operators X in \mathcal{H} with the domain \mathcal{D} for which $X\mathcal{D} \subset \mathcal{D}$, $\mathcal{D}(X^*) \supset \mathcal{D}$ and $X^*\mathcal{D} \subset \mathcal{D}$. Then $\mathcal{L}^\dagger(\mathcal{D})$ is a $*$ -algebra under the usual operations and the involution $X \mapsto X^\dagger \equiv X^*[\mathcal{D}]$. A $*$ -homomorphism π of \mathcal{A} into $\mathcal{L}^\dagger(\mathcal{D})$ satisfying $\pi(\mathbb{1}) = I$ is a *$*$ -representation* of \mathcal{A} on \mathcal{H} with domain \mathcal{D} , and then we write \mathcal{D} and \mathcal{H} by $\mathcal{D}(\pi)$ and \mathcal{H}_π , respectively. For more details refer to [16] and [18]. If $\pi(x) \in \mathcal{B}(\mathcal{H}_\pi)$ for each $x \in \mathcal{A}$, equivalently $\mathcal{D}(\pi) = \mathcal{H}_\pi$, then π is said to be *bounded*. By Corollary 3.13 from [5] we have the following

LEMMA 2.6. *Every $*$ -representation π of \mathcal{A} is bounded and $\|\pi(x)\| \leq s_{\mathcal{A}}(x) \equiv \gamma_{\mathcal{A}}(x^*x)^{1/2}$ for each $x \in \mathcal{A}$.*

It is natural to consider unbounded $*$ -representations for general locally convex $*$ -algebras, but by Lemma 2.6 it is here sufficient to consider only bounded $*$ -representations. We denote by $\text{CRep}(\mathcal{A})$ the family of all continuous (automatically, bounded by Lemma 2.6) $*$ -representations of \mathcal{A} .

DEFINITION 2.7. If $\text{CRep}(\mathcal{A}) \neq \emptyset$, then \mathcal{A} is said to be *representable*.

We remark that even a Banach $*$ -algebra is not necessarily representable (Example 37.16, [10]). Suppose \mathcal{A} is representable. By Lemma 2.6, a C^* -seminorm on \mathcal{A} is defined by

$$|x|_u = \sup\{\|\pi(x)\| : \pi \in \text{CRep}(\mathcal{A})\}, \quad x \in \mathcal{A},$$

and it is said to be the *Gelfand-Naimark C^* -seminorm* on \mathcal{A} . The C^* -algebra $\mathcal{A}_{|\cdot|_u}$ constructed from the C^* -seminorm $|\cdot|_u$ is said to be an *enveloping C^* -algebra* of \mathcal{A} and denoted by $E(\mathcal{A})$. The natural map $j : x \in \mathcal{A} \mapsto x + \mathcal{N}_{|\cdot|_u} \in E(\mathcal{A})$ is a $*$ -homomorphism.

DEFINITION 2.8. If \mathcal{A} is representable and $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{E(\mathcal{A})}(j(x))$ for each $x \in \mathcal{A}$, then \mathcal{A} is said to be *spectrally invariant*.

The family $C^*N(\mathcal{A})$ of all C^* -seminorms on \mathcal{A} is a partially ordered family with order $r_1 \leq r_2$ defined by $r_1(x) \leq r_2(x), \forall x \in \mathcal{A}$.

LEMMA 2.9. *Let r be a spectral C^* -seminorm on \mathcal{A} . Then $r = s_{\mathcal{A}}$ and r is the largest element in the partially ordered family $C^*N(\mathcal{A})$. Thus, a spectral C^* -seminorm is unique. Further, if r is continuous then \mathcal{A} is representable and $r = |\cdot|_u$.*

Proof. It follows from Lemma 2.4, (v) that $r(h) = r_{\mathcal{A}}(h)$ for $\forall h \in \mathcal{A}_h$, which implies that $r = s_{\mathcal{A}}$. Thus, a spectral C^* -seminorm is unique. Let $p \in C^*N(\mathcal{A})$. Then it follows that $q \equiv \max(r, p) \in C^*N(\mathcal{A})$ and q is spectral. By the uniqueness of a spectral C^* -seminorm we have $q = r$, which implies that r is the largest in $C^*N(\mathcal{A})$. Suppose that r is continuous. Then the continuous $*$ -representation π_r of \mathcal{A} is defined by $\pi_r(x) = \Pi_r(x + N_r), x \in \mathcal{A}$, where Π_r is a faithful $*$ -representation of the C^* -algebra \mathcal{A}_r on a Hilbert space. Hence it follows that \mathcal{A} is representable and

$$r(x) = \|\pi_r(x)\| \leq \left\| \bigoplus_{\pi \in \text{CRep}(\mathcal{A})} \pi(x) \right\| = |x|_u$$

for all $x \in \mathcal{A}$. On the other hand, since r is the largest in $C^*N(\mathcal{A})$, we have $|\cdot|_u \leq r$. Thus, we have $r = |\cdot|_u$. This completes the proof. ■

We define the locality of \mathcal{A} .

DEFINITION 2.10. \mathcal{A} is said to be *local* if for $x \in \mathcal{A}$ and a function f holomorphic on $\text{Sp}_{E(\mathcal{A})}(j(x))$, there exists $y \in \mathcal{A}$ such that $f(j(x)) = j(y)$.

This refines the usual definition of local subalgebras ([19]).

The spectral invariance of \mathcal{A} can be characterized by the $(C^*$ -)spectrality and the locality of \mathcal{A} as follows:

THEOREM 2.11. *The following statements are equivalent:*

- (i) \mathcal{A} is spectrally invariant;
- (ii) \mathcal{A} is C^* -spectral;
- (iii) \mathcal{A} is spectral and hermitian;
- (iv) \mathcal{A} is local and $\text{rad } \mathcal{A} = \text{srad } \mathcal{A}$.

Proof. The equivalence of (i) and (ii) follows from Lemmas 2.4 and 2.9.

(ii) \Rightarrow (iii) This is trivial.

(iii) \Rightarrow (ii) We can show in a slight change of proof of Theorem 41.7 from [10] that $s_{\mathcal{A}}$ is a spectral C^* -seminorm on \mathcal{A} . We first show the Ptak inequality:

$$(2.2) \quad \gamma_{\mathcal{A}}(a) \leq s_{\mathcal{A}}(a), \quad \forall a \in \mathcal{A}.$$

In fact, take an arbitrary $a \in \mathcal{A}$ such that $s_{\mathcal{A}}(a) < 1$. Since $\mathcal{A} = \mathcal{A}_0$ and \mathcal{A} is pseudo-complete, there exists $B^* = B \in \mathcal{B}$ such that a^*a is an element of the Banach $*$ -algebra $\mathcal{A}[B]$. By Proposition 12.11 of [10] there is a unique element x of $\mathcal{A}[B]_h$ such that $2x - x^2 = a^*a$ and $\gamma_{\mathcal{A}[B]}(x) < 1$. Since $\gamma_{\mathcal{A}}(x) \leq \gamma_{\mathcal{A}[B]}(x) < 1$, it follows that $h \equiv \mathbb{1} - x$ is invertible and $\mathbb{1} - a^*a = h^2$. Since $(\mathbb{1} + a^*)(\mathbb{1} - a) = h\{\mathbb{1} + h^{-1}(a^* - a)h^{-1}\}h$, $ih^{-1}(a^* - a)h^{-1} \in \mathcal{A}_h$ and \mathcal{A} is hermitian, it follows that $(\mathbb{1} + h^{-1}(a^* - a)h^{-1})$ is invertible, which implies that $\mathbb{1} - a$ is left invertible. Similarly, $\mathbb{1} - a$ is right invertible. Hence $1 \notin \text{Sp}_{\mathcal{A}}(a)$ and so $\gamma_{\mathcal{A}}(a) < 1$. Thus we have $\gamma_{\mathcal{A}}(a) \leq s_{\mathcal{A}}(a)$. We next show the inequalities:

$$(2.3) \quad \gamma_{\mathcal{A}}(hk) \leq \gamma_{\mathcal{A}}(h)\gamma_{\mathcal{A}}(k), \quad \forall h, k \in \mathcal{A}_h$$

$$(2.4) \quad s_{\mathcal{A}}(xy) \leq s_{\mathcal{A}}(x)s_{\mathcal{A}}(y), \quad \forall x, y \in \mathcal{A}.$$

In fact, since \mathcal{A} is spectral, there exists a continuous m^* -seminorm p on \mathcal{A} such that

$$(2.5) \quad \gamma_{\mathcal{A}}(x) \leq p(x), \quad \forall x \in \mathcal{A}.$$

Take arbitrary $h, k \in \mathcal{A}_h$. Then we have

$$\begin{aligned} \gamma_{\mathcal{A}}(hk) &\leq \gamma_{\mathcal{A}}(kh^2k)^{\frac{1}{2}} && \text{by 2.2} \\ &= \gamma_{\mathcal{A}}(h^2k^2)^{\frac{1}{2}} \\ &\leq \gamma_{\mathcal{A}}(h^{2^n}k^{2^n})^{\frac{1}{2^n}} && \text{by repeating this} \\ &\leq p(h^{2^n})^{\frac{1}{2^n}}p(k^{2^n})^{\frac{1}{2^n}} && \text{by 2.5} \\ &= \gamma_{\mathcal{A}}(h)\gamma_{\mathcal{A}}(k), && \text{by 2.1} \end{aligned}$$

which implies immediately the inequality (2.4). We can prove the same way as Theorem 41.7 in [10] that $s_{\mathcal{A}}$ is a seminorm on \mathcal{A} . It is clear that $s_{\mathcal{A}}(x)^2 = s_{\mathcal{A}}(x^*x)$, $\forall x \in \mathcal{A}$. Thus $s_{\mathcal{A}}$ is a spectral C^* -seminorm on \mathcal{A} . Further, it follows from (2.5) that $s_{\mathcal{A}}$ is continuous. Thus, \mathcal{A} is C^* -spectral.

(i) \Rightarrow (iv) Assume (i). Then $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{E(\mathcal{A})}(j(x))$ ($\equiv \mathcal{K}$) for an arbitrary fixed $x \in \mathcal{A}$, and $\mathcal{K} \subset \mathbb{C}$ is compact. Let f be a function holomorphic on an open set U containing \mathcal{K} . Let Γ be a rectifiable Jordan curve in $U \setminus \mathcal{K}$ enclosing \mathcal{K} . Put $z = j(x)$. Then by the holomorphic functional calculus in $E(\mathcal{A})$,

$$(2.6) \quad f(z) = \frac{1}{2\pi i} \int_{\Gamma} f(\lambda)(\lambda I - z)^{-1} d\lambda = \frac{1}{2\pi i} \int_{\Gamma} j(f(\lambda)(\lambda \mathbb{1} - x)^{-1}) d\lambda.$$

Now $\mathcal{A} = \mathcal{A}_0 = \cup\{\mathcal{A}[\mathbb{B}] : \mathbb{B} \in \mathcal{B}\}$, and by the pseudo-completeness of \mathcal{A} , each $(\mathcal{A}[\mathbb{B}], \|\cdot\|_{\mathbb{B}})$ is a Banach algebra. Also, by Proposition 5.1 of [1], there exists $\mathbb{B} \in \mathcal{B}$ such that both the resolvent $R_\lambda = (\lambda\mathbb{1} - x)^{-1}$, $\forall \lambda \in \Gamma$, and $f(x) = \frac{1}{2\pi i} \int_{\Gamma} f(\lambda)(\lambda\mathbb{1} - x)^{-1} d\lambda$ exist in $\mathcal{A}[\mathbb{B}]$ in the sense of the norm convergence in $\mathcal{A}[\mathbb{B}]$. Consider the following diagram:

$$\begin{array}{ccc} (\mathcal{A}[\mathbb{B}], \|\cdot\|_{\mathbb{B}}) & & \\ \text{id} \downarrow & \searrow \tilde{j} & \\ (\mathcal{A}, \tau) & \xrightarrow{j} & (E(\mathcal{A}), \|\cdot\|). \end{array}$$

Here τ is a given topology of \mathcal{A} . The map $\text{id} : (\mathcal{A}[\mathbb{B}], \|\cdot\|_{\mathbb{B}}) \rightarrow (\mathcal{A}, \tau)$ is continuous. Also \mathcal{A} is C^* -spectral. Let p be a continuous spectral C^* -seminorm on \mathcal{A} . Let τ' be the topology defined by τ and p . Then (\mathcal{A}, τ') is a Q -algebra, and the map $\text{id} : (\mathcal{A}[\mathbb{B}], \|\cdot\|_{\mathbb{B}}) \rightarrow (\mathcal{A}, \tau')$ is also continuous. Since j is a $*$ -homomorphism from the Q -algebra (\mathcal{A}, τ') to the C^* -algebra $E(\mathcal{A})$, j is τ' -continuous. It follows that the map $\tilde{j} = j[\mathcal{A}[\mathbb{B}]$ is $\|\cdot\|_{\mathbb{B}}$ -continuous. This is used in (2.6) to show that

$$f(z) = j\left(\frac{1}{2\pi i} \int_{\Gamma} f(\lambda)(\lambda\mathbb{1} - x)^{-1} d\lambda\right).$$

Thus we have $f(j(x)) = j(f(x))$. Also \mathcal{A} is hermitian and C^* -spectral. Then for all $x \in \mathcal{A}$,

$$\gamma_{\mathcal{A}}(x) \leq p(x) = |x|_u = s_{\mathcal{A}}(x).$$

Hence, $\text{srad } \mathcal{A} \subset \text{rad } \mathcal{A} \subset \text{srad } \mathcal{A}$. Therefore we have $\text{rad } \mathcal{A} = \text{srad } \mathcal{A}$.

(iv) \Rightarrow (i) Assume that (iv) holds. It is clear that $\text{Sp}_{\mathcal{A}}(x) \supset \text{Sp}_{E(\mathcal{A})}(j(x))$ for each $x \in \mathcal{A}$. Let $\lambda \notin \text{Sp}_{E(\mathcal{A})}(j(x))$. Then $R_\lambda = (\lambda I - j(x))^{-1} \in E(\mathcal{A})$. The function $f(\mu) = (\lambda - \mu)^{-1}$ is holomorphic on a neighborhood of the closed set $\text{Sp}_{E(\mathcal{A})}(j(x))$ and $R_\lambda = f(j(x))$. Hence by (vi), there exists $y \in \mathcal{A}$ such that $(\lambda I - j(x))^{-1} = f(j(x)) = j(y)$, and so $j(y(\lambda\mathbb{1} - x)) = j((\lambda\mathbb{1} - x)y) = I$. This implies that $\lambda \notin \text{Sp}_{j(\mathcal{A})}(j(x))$. Thus we have $\text{Sp}_{j(\mathcal{A})}(j(x)) \subset \text{Sp}_{E(\mathcal{A})}(j(x)) \subset \text{Sp}_{j(\mathcal{A})}(j(x))$. Hence the $*$ -subalgebra $j(\mathcal{A})$ is spectrally invariant in the C^* -algebra $E(\mathcal{A})$, and so $j(\mathcal{A})$ is hermitian. Since $\text{rad } \mathcal{A} = \text{srad } \mathcal{A}$ by the assumption, it follows that $j(\mathcal{A}) = \mathcal{A}/\text{rad } \mathcal{A}$ and $j(x) = x + \text{rad } \mathcal{A}$. Hence we have $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{j(\mathcal{A})}(x + \text{rad } \mathcal{A}) = \text{Sp}_{E(\mathcal{A})}(j(x))$. Thus (i) follows. This completes the proof. ■

We give an example of a C^* -spectral locally convex $*$ -algebra.

EXAMPLE 2.12. The Schwartz spaces $\mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n)$ and $\mathcal{D}(\mathbb{R}^n \times \mathbb{R}^n)$ equipped with the Volterra convolution and the involution:

$$(f \circ g)(x, y) = \int_{\mathbb{R}^n} f(x, z)g(z, y) dz \quad \text{and} \quad f^*(x, y) = \overline{f(y, x)}$$

are C^* -spectral. In fact, let $f \in \mathcal{D}(\mathbb{R}^n \times \mathbb{R}^n)$. We put

$$[\pi_0(f)\varphi](x) = \int_{\mathbb{R}^n} f(x, y)\varphi(y) dy, \quad \varphi \in \mathcal{D}(\mathbb{R}^n).$$

Then we can show that $\pi_0(f)$ can be extended to a bounded linear operator $\pi(f)$ on $L^2(\mathbb{R}^n)$ and π is a continuous bounded $*$ -representation of $\mathcal{D}(\mathbb{R}^n \times \mathbb{R}^n)$ on $L^2(\mathbb{R}^n)$. We show that the continuous C^* -seminorm r on $\mathcal{D}(\mathbb{R}^n \times \mathbb{R}^n)$ defined by $r(f) = \|\pi(f)\|$, $f \in \mathcal{D}(\mathbb{R}^n \times \mathbb{R}^n)$ is spectral. By the simple calculation we have

$$f^{[n]} \equiv \overbrace{f \circ \dots \circ f}^n = \left(\int_{\mathbb{R}^n} f(x, x) dx \right)^{n-1} f, \quad n \in \mathbb{N}$$

and

$$\left| \int_{\mathbb{R}^n} f(x, x) dx \right| < 1 \quad \text{if } r(f) < 1,$$

which implies that r is spectral. Similarly, we can show that the Schwartz space $\mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n)$ is also C^* -spectral.

Next we shall consider to characterize the spectral invariance of \mathcal{A} by the notions different from (ii), (iii) and (iv) in Theorem 2.11.

We define the spectrality of $*$ -representations and the stability of \mathcal{A} .

DEFINITION 2.13. A continuous $*$ -representation π of \mathcal{A} is said to be *spectral* if $\text{Sp}_{\mathcal{A}}(x) = \text{Sp}_{C^*(\pi)}(\pi(x))$ for each $x \in \mathcal{A}$, where $C^*(\pi)$ is a C^* -algebra generated by $\pi(\mathcal{A})$.

DEFINITION 2.14. If for any closed $*$ -subalgebra \mathcal{B} of \mathcal{A} , any continuous $*$ -representation π of \mathcal{B} on a Hilbert space \mathcal{H}_π admits a dilation to a continuous $*$ -representation $\tilde{\pi}$ of \mathcal{A} on a Hilbert space $\mathcal{H}_{\tilde{\pi}}$ in the sense that there exist a Hilbert space $\mathcal{H}_{\tilde{\pi}}$ containing \mathcal{H}_π as a closed subspace and a continuous $*$ -representation $\tilde{\pi}$ of \mathcal{A} on $\mathcal{H}_{\tilde{\pi}}$ such that $\pi(x) = \tilde{\pi}(x)|_{\mathcal{H}_\pi}$ for each $x \in \mathcal{B}$, \mathcal{A} is said to be *stable*.

THEOREM 2.15. *The following statements are equivalent:*

- (i) \mathcal{A} is spectrally invariant;
- (ii) \mathcal{A} is spectral and stable;
- (iii) there exists a spectral continuous $*$ -representation of \mathcal{A} into bounded linear operators on a Hilbert space;
- (iv) every algebraically irreducible representation of \mathcal{A} on a vector space is similar to an algebraically irreducible continuous bounded operator $*$ -representation on a pre-Hilbert space;
- (v) every algebraically irreducible representation of \mathcal{A} on a vector space extends to an irreducible $*$ -representation of the C^* -algebra $E(\mathcal{A})$ on a Hilbert space.

Proof. The equivalence of (i), (ii) and (iii) is shown similarly to Theorem 6.10, Proposition 6.12 and Theorem 6.8 in [7], and Theorem 1.6 in [6].

(i) \Rightarrow (iv) and (i) \Rightarrow (v) Assume (i). Let $\pi : \mathcal{A} \mapsto \mathcal{L}(V)$ be an algebraically irreducible representation of \mathcal{A} on a vector space V . Here $\mathcal{L}(V)$ is the algebra of all linear operators on the vector space V . Let $v \neq 0$ in V , $\mathfrak{N} = \{x \in \mathcal{A} : \pi(x)v = 0\}$. Then $\pi(\mathcal{A})v = V$, and \mathfrak{N} is a maximal modular left ideal of \mathcal{A} . We define a representation $\sigma : \mathcal{A} \mapsto \mathcal{L}(\mathcal{A}/\mathfrak{N})$ by $\sigma(x)(y + \mathfrak{N}) = xy + \mathfrak{N}$. Then we show that

$$(2.7) \quad \pi \text{ is similar to } \sigma.$$

Indeed, the similarity is implemented by the bijective linear map $U : \mathcal{A}/\mathfrak{N} \mapsto V$, $U(y + \mathfrak{N}) = \pi(y)v$ satisfying $U\sigma(x)\xi = \pi(x)U\xi$, $\forall \xi \in \mathcal{A}/\mathfrak{N}$, $\forall x \in \mathcal{A}$. We show

that there exists a pure state f on the enveloping C^* -algebra $E(\mathcal{A})$ such that π_f is an extension of π in the sense that there exists an injection $W : V \mapsto \mathcal{H}_f$ with dense range such that

$$(2.8) \quad \pi_f(j(x))W\xi = W\pi(x)\xi, \quad x \in \mathcal{A}, \xi \in V.$$

This is proved as follows. Since \mathfrak{N} is a maximal left ideal of \mathcal{A} , we have $\mathfrak{N} \cap \mathcal{A}^r = \varphi$, where \mathcal{A}^r is the set of all regular elements of \mathcal{A} . By the assumption (i), $j(\mathfrak{N}) \cap E(\mathcal{A})^r = \varphi$. Now $E(\mathcal{A})$ being a C^* -algebra, $E(\mathcal{A})^r$ is an open set in $E(\mathcal{A})$. Hence $\overline{j(\mathfrak{N})}$ ($=$ closure in $E(\mathcal{A})$) is a proper subset of $E(\mathcal{A})$, and so

$$(2.9) \quad \overline{j(\mathfrak{N})} \text{ is a closed left ideal of } E(\mathcal{A})$$

and there exists a maximal left ideal \mathfrak{M} of $E(\mathcal{A})$ containing $\overline{j(\mathfrak{N})}$. Since $E(\mathcal{A})$ is a C^* -algebra, \mathfrak{M} is closed, and by p. 56 of [12] there exists a pure state f on $E(\mathcal{A})$ such that $\mathfrak{M} = \mathcal{N}_f \equiv \{x \in E(\mathcal{A}) : f(x^*x) = 0\}$, and by p. 53 of [12] the pre-Hilbert $\mathcal{H}_f \equiv E(\mathcal{A})/\mathcal{N}_f$ is complete. Since $j(\mathfrak{N}) \subset \mathcal{N}_f$, we can define a linear map $\tilde{j} : \mathcal{A}/\mathfrak{N} \mapsto \mathcal{H}_f$ by

$$\tilde{j}(x + \mathfrak{N}) = \tilde{j}(x) + \mathcal{N}_f, \quad x \in \mathcal{A}.$$

Since $\overline{j(\mathfrak{N})}$ is a left ideal of $E(\mathcal{A})$ and $j(\mathcal{A})$ is dense in $E(\mathcal{A})$, it follows that $j^{-1}(\overline{j(\mathfrak{N})}) \neq \mathcal{A}$. Thus $j^{-1}(\overline{j(\mathfrak{N})})$ is a proper left ideal of \mathcal{A} containing \mathfrak{N} . By the maximality of \mathfrak{N} we have $\mathfrak{N} = j^{-1}(\overline{j(\mathfrak{N})})$. Further, $j^{-1}(\mathcal{N}_f)$ is a proper left ideal of \mathcal{A} . In fact, suppose $j^{-1}(\mathcal{N}_f) = \mathcal{A}$. Then, $f(j(x)^*j(x)) = 0$ for all $x \in \mathcal{A}$, and so $f(j(x)) = 0$ by the Cauchy-Schwartz inequality. Since f is continuous and $j(\mathcal{A})$ is dense in $E(\mathcal{A})$, we have $f = 0$, which is contradiction. Hence $j^{-1}(\mathcal{N}_f) \neq \mathcal{A}$. Since $\mathfrak{N} = j^{-1}(\overline{j(\mathfrak{N})}) \subset j^{-1}(\mathcal{N}_f) \neq \mathcal{A}$, it follows from the maximality of \mathfrak{N} that $\mathfrak{N} = j^{-1}(\overline{j(\mathfrak{N})}) = j^{-1}(\mathcal{N}_f)$, which implies that \tilde{j} is an injection. Here we put $W = \tilde{j} \circ U^{-1}$. Then W is an injection from V onto a dense subspace of \mathcal{H}_f satisfying (2.8). We put $g = f \circ j$. Then g is a pure state on \mathcal{A} satisfying

$$g(y^*x^*xy) \leq |x|_u^2 g(y^*y), \quad \forall x, y \in \mathcal{A}.$$

Hence, π_g is continuous. Further, since $\mathfrak{N} \subset \mathcal{N}_g \subset j^{-1}(\mathcal{N}_f) \neq \mathcal{A}$, it follows from the maximality of \mathfrak{N} that $\mathfrak{N} = \mathcal{N}_g = j^{-1}(\mathcal{N}_f)$, which implies that the restriction π_g° of π_g to the pre-Hilbert space $\mathcal{A}/\mathcal{N}_g$ coincides with σ . Hence it follows from (2.7) that π is similar to the algebraically irreducible continuous bounded $*$ -representation π_g° of \mathcal{A} on a pre-Hilbert space $\mathcal{A}/\mathcal{N}_g$. We have thus shown that (i) \Rightarrow (iv) and (i) \Rightarrow (v) hold.

(iv) \Rightarrow (i) Let $x \in \mathcal{A}$. This follows from

$$\begin{aligned}
 \mathrm{Sp}_{\mathcal{A}}(x) &= \bigcup \{ \mathrm{Sp}(\pi(x)) : \pi \text{ is an algebraically irreducible representation} \\
 &\quad \text{of } \mathcal{A} \text{ on a vector space} \} \\
 &\quad \text{(by [17], Theorem 2.2.9)} \\
 &= \bigcup \{ \mathrm{Sp}(\pi(x)) : \pi \text{ is an algebraically irreducible continuous} \\
 &\quad \text{bounded } * \text{-representation on a pre-Hilbert space} \} \\
 &\quad \text{(by assumption (iv))} \\
 &\subset \bigcup \{ \mathrm{Sp}(\pi(x)) : \pi \text{ is a topologically irreducible continuous bounded} \\
 &\quad * \text{-representation on a pre-Hilbert space} \} \\
 &= \bigcup \{ \mathrm{Sp}(\sigma(j(x))) : \sigma \text{ is a topologically irreducible } * \text{-representation} \\
 &\quad \text{of } E(\mathcal{A}) \text{ on a Hilbert space} \} \\
 &= \bigcup \{ \mathrm{Sp}(\sigma(j(x))) : \sigma \text{ is an algebraically irreducible } * \text{-representation} \\
 &\quad \text{on a Hilbert space} \} \\
 &\quad \text{(by Kadison's transitivity in the } C^* \text{-algebra } E(\mathcal{A}) \text{ ([12]))} \\
 &= \mathrm{Sp}_{E(\mathcal{A})}(j(x)) \\
 &\subset \mathrm{Sp}_{\mathcal{A}}(x).
 \end{aligned}$$

This completes the proof. \blacksquare

Some comments on the relevance of Theorems 2.11 and 2.15 are in order. At the level of general $*$ -algebras, Theorems 2.11 and 2.15 supplements Theorem 1.6 in [6] and Theorem 6.10 in [7]. At the level of Banach $*$ -algebras, it supplements Corollary 2.7 in [6]. Further, it follows from Theorems 2.11 and 2.15 that a Banach $*$ -algebra \mathcal{A} is hermitian if and only if every algebraically irreducible representation of \mathcal{A} on a vector space extends to a topologically irreducible $*$ -representation of $E(\mathcal{A})$ on a Hilbert space. This is a non-commutative analogue of the well known result that a commutative Banach $*$ -algebra \mathcal{A} is hermitian if and only if $\varphi(x^*) = \overline{\varphi(x)}$ for all $x \in \mathcal{A}$, for all complex homomorphisms φ on \mathcal{A} ([10], Theorem 35.3). Also, Theorem 4.7.11 from [17] implies that if \mathcal{A} is hermitian, then any $*$ -representation of a closed $*$ -subalgebra \mathcal{B} of \mathcal{A} dilates to a $*$ -representation of \mathcal{A} . In Theorem 2.15 (ii) implies (v) provides a converse of this.

3. K-THEORY ISOMORPHISM AND APPLICATION TO DIFFERENTIAL STRUCTURE OF C^* -ALGEBRAS

We begin with considering the K-theory isomorphisms of Fréchet $*$ -algebras as an application of Theorem 2.11.

THEOREM 3.1. *Let \mathcal{A} be a Fréchet locally m -convex $*$ -algebra in which each element is bounded. Let \mathcal{A} be spectrally invariant. Then the K-theory isomorphisms $K_*(\mathcal{A}) \cong K_*(E(\mathcal{A}))$ hold.*

Proof. Let $(p_n)_{n=1}^\infty$ be a sequence of submultiplicative $*$ -seminorms defining the given topology τ of \mathcal{A} . We have $\mathcal{A} = \mathcal{A}_0$. Assume that \mathcal{A} is spectrally invariant. By Theorem 2.11, \mathcal{A} is hermitian and C^* -spectral. Let q be a continuous spectral C^* -seminorm on \mathcal{A} . By Lemma 2.9, $q = s_{\mathcal{A}} = |\cdot|_u$. By Lemma 2.3, (\mathcal{A}, τ) is a Fréchet Q -algebra. Notice that $\text{rad } \mathcal{A} = \text{srad } \mathcal{A}$. Let $\mathcal{A}_q = \mathcal{A}/\text{rad } \mathcal{A}$, which is a dense $*$ -subalgebra of the C^* -algebra $E(\mathcal{A})$ and is a Fréchet Q -algebra in the quotient topology τ_q . The C^* -norm $|\cdot|_u$ of $E(\mathcal{A})$ is spectral. Thus \mathcal{A}_q is spectrally invariant in $E(\mathcal{A})$. By Corollary 7.9 in [15], $K_*(\mathcal{A}_q) = K_*(E(\mathcal{A}))$. The maps

$$\mathcal{A} \xrightarrow{j} \mathcal{A}_q \xrightarrow{\text{id}} E(\mathcal{A})$$

induces the $*$ -homomorphisms for each $n \in \mathbb{N}$,

$$M_n(\mathcal{A}) \xrightarrow{j_n} M_n(\mathcal{A}_q) = [M_n(\mathcal{A})]_q \rightarrow M_n(E(\mathcal{A})) = E(M_n(\mathcal{A})).$$

By the spectral invariance of \mathcal{A} in \mathcal{A}_q , $j(\text{inv}(\mathcal{A})) = \text{inv}(\mathcal{A}_q)$. Let $\text{inv}_0(\cdot)$ denote the principle component of $\text{inv}(\cdot)$. Since \mathcal{A} and also \mathcal{A}_q are Fréchet Q -algebras, $\text{inv}_0(\mathcal{A})$ (respectively $\text{inv}_0(\mathcal{A}_q)$) is the subgroup generated by the range $\exp \mathcal{A}$ (respectively $\exp(\mathcal{A}_q)$) of the exponential function. This gives $j(\text{inv}_0(\mathcal{A})) = \text{inv}_0(\mathcal{A}_q)$, and in view of the spectral invariance of $M_n(\mathcal{A})$ in $M_n(\mathcal{A}_q)$ via the map j_n , analogous arguments give $j_n(\text{inv}_0(M_n(\mathcal{A}))) = \text{inv}_0(M_n(\mathcal{A}_q))$. Now the surjective group homomorphisms

$$\text{inv}(M_n(\mathcal{A})) \rightarrow \text{inv}(M_n(\mathcal{A}_q)) \rightarrow \text{inv}(M_n(\mathcal{A}_q))/\text{inv}_0(M_n(\mathcal{A}_q))$$

give the isomorphism of groups

$$\text{inv}(M_n(\mathcal{A}_q)) \setminus \text{inv}_0(M_n(\mathcal{A}_q)) \cong \text{inv}(M_n(\mathcal{A})) \setminus \text{inv}_0(M_n(\mathcal{A})).$$

Hence by the definition of K_1 ,

$$(3.1) \quad K_1(\mathcal{A}) = \lim_{n \rightarrow \infty} \frac{\text{inv}(M_n(\mathcal{A}))}{\text{inv}_0(M_n(\mathcal{A}))} \cong \lim_{n \rightarrow \infty} \frac{\text{inv}(M_n(\mathcal{A}_q))}{\text{inv}_0(M_n(\mathcal{A}_q))} = K_1(\mathcal{A}_q).$$

Further, for \mathcal{B} to be \mathcal{A} or \mathcal{A}_q , the suspension of \mathcal{B} is

$$S\mathcal{B} = \{f \in C([0, 1], \mathcal{B}) : f(0) = f(1) = 0\} \cong C_0(\mathbb{R}, \mathcal{B}).$$

We use the Bott periodicity theorem $K_0(\mathcal{B}) \cong K_1(S\mathcal{B})$ to show that $K_0(\mathcal{A}) \cong K_0(\mathcal{A}_q)$. We have $\text{rad}(S\mathcal{A}) \cong \text{rad } C_0(\mathbb{R}, \mathcal{A}) \cong C_0(\mathbb{R}, \text{rad } \mathcal{A})$, hence

$$\begin{aligned} S\mathcal{A}_q &\cong C_0(\mathbb{R}, \mathcal{A}_q) = C_0(\mathbb{R}, \mathcal{A}/\text{rad } \mathcal{A}) \\ &\cong C_0(\mathbb{R}, \mathcal{A})/C_0(\mathbb{R}, \text{rad } \mathcal{A}) \cong S\mathcal{A}/\text{rad } S\mathcal{A}. \end{aligned}$$

Hence by applying (3.1) to $S\mathcal{A}$,

$$\begin{aligned} K_0(\mathcal{A}_q) &\cong K_1(S\mathcal{A}_q) \cong K_1(S\mathcal{A}/\text{rad } S\mathcal{A}) \\ &\cong K_1(S\mathcal{A}) \cong K_0(\mathcal{A}). \end{aligned}$$

Therefore we have $K_*(\mathcal{A}) = K_*(\mathcal{A}_q)$. This completes the proof of Theorem 3.1. ■

In [9], Blackadar and Cuntz have developed an abstract theory of differential structure in a C^* -algebra based on the notion of differential seminorm. Next we investigate the properties of C^* -spectrality and spectral invariance of the Fréchet algebra defined by a differential seminorm as a typical application of Theorem 2.11 and Theorem 3.1.

Let \mathfrak{A} be a $*$ -algebra and $\|\cdot\|$ a C^* -seminorm on \mathfrak{A} . Let $\mathcal{A} = (\mathfrak{A}, \|\cdot\|)^\sim$ be the Hausdorff completion of \mathfrak{A} . Following [9], a map $T : \mathfrak{A} \rightarrow l^1(\mathbb{N})$ is said to be a *differential seminorm* on \mathfrak{A} if $T(x) = (T_k(x))_0^\infty \in l^1(\mathbb{N})$ satisfies the following (i)–(iv):

- (i) $T(x) \geq 0$, i.e. $T_k(x) \geq 0$ for $\forall x, \forall k$.
- (ii) $T(x+y) \leq T(x) + T(y)$ for $\forall x, y \in \mathfrak{A}$; $T(\lambda x) = |\lambda|T(x)$ for $\forall \lambda \in \mathbb{C}$, $\forall x \in \mathfrak{A}$.
- (iii) $T(xy) \leq T(x)T(y)$ (convolution) for $\forall x, y \in \mathfrak{A}$, i.e. for $\forall k \in \mathbb{N}$ we have $T_k(xy) \leq \sum_{i+j=k} T_i(x)T_j(y)$.
- (iv) There exists some constant $c > 0$ such that $T_0(x) \leq c\|x\|$ for $\forall x \in \mathfrak{A}$.

By (ii) each T_k is a seminorm. We say that T is a *differential $*$ -seminorm* if further

- (v) $T_k(x^*) = T_k(x)$ for $\forall x \in \mathfrak{A}$, $\forall k \in \mathbb{N}$.

T is said to be a *differential norm* if $T(x) = 0$ implies $x = 0$, i.e. $(T_k)_0^\infty$ is a separating family of seminorms. Following [9], the total seminorm of T is $T_{\text{tot}}(x) = \sum_{k=0}^\infty T_k(x)$, $x \in \mathfrak{A}$. Throughout this section we assume that T is a differential $*$ -norm. Then T_{tot} is a $*$ -norm. Let $\mathfrak{A}_T = (\mathfrak{A}, T_{\text{tot}})^\sim$ be the completion of \mathfrak{A} with respect to T_{tot} . \mathfrak{A}_T is a Banach $*$ -algebra. We construct a Fréchet $*$ -algebra as follows. For each $k \in \mathbb{N}$, we put $p_k(x) = \sum_{i=0}^k T_i(x)$, $x \in \mathfrak{A}$. Then each p_k is a submultiplicative $*$ -seminorm. On \mathfrak{A} , we have

$$p_0 \leq p_1 \leq p_2 \leq \cdots \leq p_k \leq p_{k+1} \leq \cdots,$$

and $(p_k)_0^\infty$ is a separating family of submultiplicative $*$ -seminorms on \mathfrak{A} . Let τ be a locally convex $*$ -algebra topology defined on \mathfrak{A} by $(p_k)_0^\infty$. We denote $\mathfrak{A}_\tau = (\mathfrak{A}, \tau)^\sim$ the completion of \mathfrak{A} with respect to τ and $\mathfrak{A}_{(k)} = (\mathfrak{A}, p_k)^\sim$ the completion of \mathfrak{A} with respect to p_k . \mathfrak{A}_τ is a Fréchet $*$ -algebra and $\mathfrak{A}_{(k)}$ is a Banach $*$ -algebra. Then we have

$$\mathfrak{A}_T = b(\mathfrak{A}_\tau) : \text{the bounded part of } \mathfrak{A}_\tau = \{x \in \mathfrak{A}_\tau : T_{\text{tot}}(x) = \sup_n p_n(x) < \infty\}.$$

By the definitions, there exist continuous surjective $*$ -homomorphisms $\varphi_k : \mathfrak{A}_{(k)} \rightarrow \mathfrak{A}$, $\varphi : \mathfrak{A}_\tau \rightarrow \mathfrak{A}$. Notice that even if each p_k is a norm on \mathfrak{A} , p_k need not be a norm on \mathfrak{A}_τ . Also, the identity map $\mathfrak{A} \rightarrow \mathfrak{A}$ extends uniquely as continuous surjective $*$ -homomorphism $\varphi_k : \mathfrak{A}_{(k+1)} \rightarrow \mathfrak{A}_{(k)}$ such that

$$\mathfrak{A}_{(0)} \xleftarrow{\varphi_0} \mathfrak{A}_{(1)} \xleftarrow{\varphi_1} \mathfrak{A}_{(2)} \xleftarrow{\varphi_2} \mathfrak{A}_{(3)} \leftarrow \cdots$$

is a dense inverse limit sequence of Banach $*$ -algebras. Hence by the abstract Mittag-Laffer theorem (e.g. [18]), the projections $\mathfrak{A}_\tau = \varprojlim \mathfrak{A}_{(k)} \rightarrow \mathfrak{A}_{(k)}$ have dense ranges. We summarize above discussion in the following.

PROPOSITION 3.2. *Let T be a differential $*$ -norm on a C^* -normed algebra $(\mathfrak{A}, \|\cdot\|)$. The following hold:*

- (i) \mathfrak{A}_τ is a Fréchet $*$ -algebra and $\mathfrak{A}_\tau = \varinjlim \mathfrak{A}_{(k)}$;
- (ii) the projections $\mathfrak{A}_\tau \rightarrow \mathfrak{A}_{(k)}$ have dense ranges;
- (iii) if $T_0(x) = c\|x\|$ for $\forall x \in \mathfrak{A}$, then $\mathfrak{A}_T = b(\mathfrak{A}_\tau)$ is dense in \mathfrak{A}_τ .

Proof. (i) and (ii) were shown in the above. We prove (iii) only. Since each p_k is a norm, \mathfrak{A} is dense in $\mathfrak{A}_{(k)}$ for $\forall k$. Hence if $\pi_k : \mathfrak{A}_\tau \rightarrow \mathfrak{A}_{(k)}$ be the projections, then $\overline{\pi_k(\mathfrak{A}_T)} = \mathfrak{A}_{(k)}$ by above. Hence \mathfrak{A}_T is dense in $\bigcap_k \pi_k^{-1}(\overline{\pi_k(\mathfrak{A}_T)}) = \bigcap_k \pi_k^{-1}(\mathfrak{A}_{(k)}) = \mathfrak{A}_\tau$. Therefore \mathfrak{A}_T is dense in \mathfrak{A}_τ . This completes the proof. ■

We define

$$\begin{aligned} \mathcal{I}_k &= \{x \in \mathfrak{A}_{(k)} : \varphi_k(x) = 0\}, \\ \mathcal{I} &= \{x \in \mathfrak{A}_\tau : \varphi(x) = 0\}, \\ \mathcal{I}_{\text{tot}} &= \{x \in \mathfrak{A}_T : \varphi_T(x) = 0\}, \end{aligned}$$

where $\varphi_T = \varphi|_{\mathfrak{A}_T}$.

THEOREM 3.3. *Let $(\mathfrak{A}, \|\cdot\|)$ be a C^* -normed algebra and \mathcal{A} the completion of $(\mathfrak{A}, \|\cdot\|)$. Let \mathcal{B} denote $\mathfrak{A}_{(k)}$ or \mathfrak{A}_τ with respective topologies. The following hold:*

- (i) \mathcal{B} is a hermitian Q -algebra;
- (ii) $E(\mathcal{B}) = \mathcal{A}$;
- (iii) \mathcal{B} is C^* -spectral and spectrally invariant;
- (iv) $K_*(\mathcal{B}) = K_*(\mathcal{A}) = K_*(\mathfrak{A}_{(k)})$ for all k .

Proof. We have the following diagram:

$$\begin{array}{ccccc} \mathfrak{A}_{(k)} & \xrightarrow{j} & E(\mathfrak{A}_{(k)}) & & \\ \uparrow & \searrow \varphi_k & \downarrow & & \\ \mathfrak{A} & \hookrightarrow & \mathcal{A} & & \\ \downarrow & \nearrow \varphi & \uparrow & & \\ \mathfrak{A}_\tau & \longrightarrow & E(\mathfrak{A}_\tau) & & \\ \text{id} \uparrow & & \uparrow & & \\ \mathfrak{A}_T & \longrightarrow & E(\mathfrak{A}_T) & & \end{array}$$

Case 1. Assume that T is of finite order, say n , so that $T_i(x) = 0$ for $\forall x \in \mathfrak{A}$, $\forall i > n$. Then $T_{\text{tot}} = p_n$. Hence $\mathfrak{A}_\tau = \mathfrak{A}_{(n)}$ is a Banach $*$ -algebra denoted by \mathfrak{A}_T . Since $\mathcal{I} = \ker \varphi = \{z \in \mathfrak{A}_T : T_0(z) = 0\}$, $\mathcal{I}^n = \{0\}$ by [9]. Then \mathcal{I} is a nilideal, hence $\mathcal{I} \subset \text{rad } \mathfrak{A}_T$. Thus $\psi : \mathfrak{A}_T/\mathcal{I} \rightarrow \mathfrak{A}_T/\text{rad } \mathfrak{A}_T$, $\psi(x + \mathcal{I}) = x + \text{rad } \mathfrak{A}_T$, is a well defined $*$ -homomorphism. By standard Banach algebra arguments, for any $z \in \mathfrak{A}_T$,

$$\text{Sp}_{\mathfrak{A}_T}(z) = \text{Sp}_{\mathfrak{A}_T/\text{rad } \mathfrak{A}_T}(z + \text{rad } \mathfrak{A}_T) = \text{Sp}_{\mathfrak{A}_T/\mathcal{I}}(z + \mathcal{I}) = \text{Sp}_{\text{Image } \varphi}(\varphi(z)).$$

Now let $\mathcal{K} = \mathfrak{A}_T/\mathcal{I}$, and let $\tilde{\varphi} : \mathcal{K} \rightarrow \mathcal{A}$ be the surjective $*$ -homomorphism induced by $\varphi : \mathfrak{A}_T \rightarrow \mathcal{A}$. Since T is of finite order, T_{tot} is analytic (p. 264, [9]), hence the

quotient norm α on \mathcal{K} is also analytic (p. 264, [9]). Further (\mathcal{K}, α) is a Banach algebra and is dense in \mathcal{A} via $\tilde{\varphi}$. Since $(\mathfrak{A}, \|\cdot\|)$ is assumed to be a C^* -normed algebra, Proposition 3.12 in [9] applies showing that \mathcal{K} is spectrally invariant in \mathcal{A} via $\tilde{\varphi}$. This with above equalities implies that $\text{Sp}_{\mathfrak{A}_T}(z) = \text{Sp}_{\mathcal{A}}(\varphi(z))$ for $\forall z \in \mathfrak{A}_T$.

Now let $|z| = \|\varphi(z)\|$ with $z \in \mathfrak{A}_T$ be the C^* -seminorm induced by φ on \mathfrak{A}_T . Then $|\cdot|$ is continuous in T_{tot} . Further, let q be any C^* -seminorm on \mathfrak{A}_T , and let $\pi_q : \mathfrak{A}_T \rightarrow \mathcal{B}(\mathcal{H})$ be the $*$ -representation defined by q identifying $(\mathfrak{A}_T/\ker q, \|\cdot\|_q)^\sim$ with an operator algebra on an appropriate Hilbert space \mathcal{H} . Then for $\forall z \in \mathfrak{A}_T$,

$$\begin{aligned} q(z)^2 &= q(z^*z) = \|\pi_q(z)^*\pi_q(z)\| = \gamma_{\mathcal{B}(\mathcal{H})}(\pi_q(z)^*\pi_q(z)) \\ &\leq \gamma_{\text{Image } \varphi}(\pi_q(z^*z)) \leq \gamma_{\mathfrak{A}_T}(z^*z) = \gamma_{\mathcal{A}}(\varphi(z)^*\varphi(z)) \\ &= \|\varphi(z)\|^2 = |z|^2. \end{aligned}$$

It follows that $|\cdot|$ is the greatest C^* -seminorm on \mathfrak{A}_T (the Gelfand-Naimark pseudo-norm) and $\text{rad } \mathfrak{A}_T = \ker \varphi$. Hence $E(\mathfrak{A}_T) = \mathcal{A}$.

Case 2. Let $T = (T_i)_0^\infty$ be not necessarily of finite order. For each $k \in \mathbb{N}$, let ${}^{(k)}T' = (T_0, T_1, T_2, \dots, T_k, 0, 0, \dots)$ which is a differential $*$ -seminorm of order k , for which ${}^{(k)}T'_{\text{tot}} = p_k$, hence $\mathfrak{A}_{({}^{(k)}T'_{\text{tot}})} = (\mathfrak{A}, p_k)^\sim = \mathfrak{A}_{(k)}$. By the Case 1, $\mathfrak{A}_{(k)}$ is spectrally invariant in \mathcal{A} via φ_k and $E(\mathfrak{A}_{(k)}) = \mathcal{A}$. Thus $\mathfrak{A}_{(k)}$ is a hermitian Banach $*$ -algebra and $E(\mathfrak{A}_{(k)}) = C^*(\mathfrak{A}_{(k)}) = \mathcal{A}$. Therefore the C^* -seminorm induced on $\mathfrak{A}_{(k)}$ by φ_k is a spectral C^* -seminorm. Now $\mathfrak{A}_\tau = \varprojlim_k \mathfrak{A}_{(k)}$. Hence $\text{Sp}_{\mathfrak{A}_\tau}(z) = \bigcup_k \text{Sp}_{\mathfrak{A}_{(k)}}(\varphi(z))$ for $\forall z \in \mathfrak{A}_\tau$, and $E(\mathfrak{A}_\tau) = \varprojlim_k E(\mathfrak{A}_{(k)}) = \mathcal{A}$. Thus \mathfrak{A}_τ is spectrally invariant in \mathcal{A} via φ , $\text{rad } \mathfrak{A}_\tau = \ker \varphi$ and \mathfrak{A}_τ is a hermitian Q -algebra. That \mathfrak{A}_τ is a Q -algebra follows from the fact that on \mathfrak{A}_τ , the C^* -seminorm $|\cdot|$ induced by the complete C^* -norm $\|\cdot\|$ on \mathcal{A} is spectral, because for any $z \in \mathfrak{A}_\tau$,

$$\gamma_{\mathfrak{A}_\tau}(z) = \gamma_{\mathcal{A}}(\varphi(z)) \leq \|\varphi(z)\| = |z|,$$

and also from the fact that $|\cdot| \leq$ the Fréchet topology on \mathfrak{A}_τ . We also have, for $\forall z \in \mathfrak{A}_\tau$,

$$\text{Sp}_{\mathfrak{A}_\tau}(z) = \text{Sp}_{\mathfrak{A}_{(k)}}(\pi_k(z)) = \text{Sp}_{\mathcal{A}}(\varphi(z)) = \text{Sp}_{\mathcal{A}}(\varphi_k(z)).$$

This prove (i) and (ii). Then Theorem 2.11 and Theorem 3.1 imply (iii) and (iv). This completes the proof. ■

It ought to be true, in the notations of Theorem 3.3, that \mathcal{B} is closed under the C^∞ -functional calculus of \mathcal{A} in the sense that given $h = h^*$ in \mathcal{B} and a C^∞ -function f on $\text{Sp}_{\mathcal{A}}(\varphi(h))$, there exists $y \in \mathcal{B}$ such that $f(\varphi(h)) = \varphi(y)$. However, we leave it open.

As shown in the proof of Theorem 3.3, we have the following

COROLLARY 3.4. *The following equalities hold:*

- (i) $\text{rad } \mathfrak{A}_{(k)} = \text{rad } \mathfrak{A}_{(k)}$;
- (ii) $\text{rad } \mathfrak{A}_\tau = \text{rad } \mathfrak{A}_\tau$.

Following [9], a seminorm α on \mathfrak{A} is *closable* if for any sequence (x_k) in \mathfrak{A} such that $\|x_k\| \rightarrow 0$ as $k \rightarrow \infty$, $\alpha(x_k) \rightarrow 0$ as $k \rightarrow \infty$.

COROLLARY 3.5. Suppose $T_0(x) = c\|x\|$ for $\forall x \in \mathfrak{A}$. The following hold:

(i) The following statements are equivalent:

- (1) T is closable (in the sense that each T_k is closable);
- (2) $\mathcal{I} = \{0\}$;
- (3) $\mathfrak{A}_\tau \subset \mathcal{A}$;
- (4) \mathfrak{A}_τ is semisimple.

In this case, $\{\mathfrak{A}_{(k)}\}$ is an increasing sequence of Banach $*$ -algebras and $\mathfrak{A}_\tau =$

$$\bigcap_{k=0}^{\infty} \mathfrak{A}_{(k)}.$$

(ii) If T is closable, then T_{tot} is closable.

(iii) $\mathcal{I} \subset \text{rad } \mathfrak{A}_\tau$.

Proof. (i) It is clear that p_k is closable if and only if $\mathcal{I}_k = \{0\}$ if and only if $\mathfrak{A}_{(k)} \subset \mathcal{A}$ for $\forall k$. From this it follows that T is closable if and only if $\mathcal{I} = \{0\}$ if and only if $\mathfrak{A}_\tau \subset \mathcal{A}$. That \mathfrak{A}_τ is semisimple if and only if $\mathfrak{A}_\tau \subset \mathcal{A}$ we shall prove using (iii).

(iii) Let $x \in \mathcal{I}$. Then $x \in \mathfrak{A}_\tau$ and $\varphi(x) = 0$. Hence there exists a sequence $(x_n) \subset \mathfrak{A}$ such that $x_n \rightarrow x$ in τ . Therefore for all $k \in \mathbb{N}$, $p_k(x_n - x) \rightarrow 0$. Hence $\pi_k(x_n) \rightarrow \pi_k(x)$ in $\mathfrak{A}_{(k)}$. Then

$$\widetilde{T}_0(\pi_k(x)) = \lim_n T_0(\pi_k(x_n)) = \lim_n T_0(x_n) = c \lim_n \|x_n\| = c\|\varphi(x)\| = 0,$$

where \widetilde{T}_0 is the extension of T_0 to \mathfrak{A}_τ . Hence for $\forall k$, $\pi_k(x) \in \mathcal{I}_k \subset \text{rad } \mathfrak{A}_{(k)}$. Therefore $x \in \bigcap_k \pi_k^{-1}(\text{rad } \mathfrak{A}_{(k)}) \subset \text{rad } \mathfrak{A}_\tau$. Thus we have $\mathcal{I} \subset \text{rad } \mathfrak{A}_\tau$.

(i) We prove \mathfrak{A}_τ is semisimple if and only if $\mathfrak{A}_\tau \subset \mathcal{A}$. Let \mathfrak{A}_τ be semisimple. Then $\text{rad } \mathfrak{A}_\tau = \{0\}$. Hence $\mathcal{I} = \{0\}$ and so $\mathfrak{A}_\tau \subset \mathcal{A}$. Conversely let $\mathfrak{A}_\tau \subset \mathcal{A}$. Then $(\mathfrak{A}_\tau)^- = \mathcal{A}$. Now \mathfrak{A}_τ is a hermitian Fréchet Q -algebra having $E(\mathfrak{A}_\tau) = \mathcal{A}$. Hence $\text{Rep } \mathfrak{A}_\tau = \text{Rep } \mathcal{A}$ for $*$ -representations. Therefore $\text{srad } \mathfrak{A}_\tau = \mathfrak{A}_\tau \cap \text{srad } \mathcal{A} = \{0\}$ as \mathcal{A} is a C^* -algebra. Hence $\text{rad } \mathfrak{A}_\tau = \{0\}$ as $\text{rad } \mathfrak{A}_\tau \subset \text{srad } \mathfrak{A}_\tau$. Thus \mathfrak{A}_τ is semisimple.

(ii) follows from the definition. This completes the proof. ■

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