# NICE EQUATIONS FOR NICE GROUPS

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SHREERAM S. ABHYANKAR\*

Mathematics Department, Purdue University, West Lafayette, IN 47907, USA e-mail: ram@cs.purdue.edu

#### ABSTRACT

Nice trinomial equations are given for unramified coverings of the affine line in nonzero characteristic p with PSL(m,q) and SL(m,q) as Galois groups. Likewise, nice trinomial equations are given for unramified coverings of the (once) punctured affine line in nonzero characteristic p with PGL(m,q) and GL(m,q) as Galois groups. Here m > 1 is any integer and q > 1 is any power of p.

# 1. Introduction

Given a bivariate polynomial

$$F = F(X, Y) = Y^{N} + \sum_{i=1}^{N} B_{i}(X)Y^{N-i}$$
 with  $B_{i}(X) \in k[X]$ 

where k is an algebraically closed field, let  $\operatorname{Disc}_Y(F) \in k[X]$  be the Y-discriminant of F; recall that by definition  $\operatorname{Disc}_Y(F)$  equals the Y-resultant  $\operatorname{Res}_Y(F, F_Y)$ of F and its Y-derivative  $F_Y$ . As observed by Galois (or maybe Riemann), assuming char k = 0 (where char stands for characteristic), if  $\operatorname{Disc}_Y(F)$  is a nonzero constant, i.e., if  $0 \neq \operatorname{Disc}_Y(F) \in k$ , then F factors into linear factors in Y, i.e.,  $F = \prod_{i=1}^N (Y - \Phi_i(X))$  with  $\Phi_i(X) \in k[X]$ . In terms of Galois theory this says that, assuming char k = 0, if  $\operatorname{Disc}_Y(F)$  is a nonzero constant then the Galois group  $\operatorname{Gal}(F, k(X))$  is the identity group; recall that if  $\operatorname{Disc}_Y(F) \neq 0$ then  $\operatorname{Gal}(F, k(X))$  is the permutation representation of the Galois group of the

<sup>\*</sup> This work was partly supported by NSF grant DMS 91-01424 and NSA grant MDA 904-92-H-3035. Baselund September 12, 1002 and in rewised form Japuary 11, 1004

Received September 12, 1993 and in revised form January 11, 1994

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splitting field of F over k(X) acting on the roots of F. Moreover, again assuming char k = 0, if  $\operatorname{Disc}_Y(F)$  has at most one root in k, then  $\operatorname{Gal}(F, k(X))$  is cyclic. In case of  $k = \mathbb{C}$  these assertions respectively follow from the facts that the plane  $\mathbb{R}^2 = \mathbb{C}$  is simply connected and the fundamental group of the punctured plane,  $\mathbb{R}^2 - a$  point, is cyclic. These assertions can also be deduced from the Riemann-Hurwitz genus formula (cf. [Ab3]) and upon letting  $G = \operatorname{Gal}(F, k(X))$ , in case of char  $k = p \neq 0$ , this deduction shows that if  $\operatorname{Disc}_Y(F)$  is a nonzero constant then G is a quasi-p group, i.e., G = p(G) where p(G) denotes the subgroup of G generated by all its p-Sylow subgroups, and if  $\operatorname{Disc}_Y(F)$  has at most one root then G is quasi-p by cyclic, i.e., G/p(G) is cyclic.

Everything in the above paragraph remains valid (cf. Result 4 on page 841 of [Ab1]) if we replace **discriminant points**, i.e., roots of  $\text{Disc}_Y(F)$  in k, by branch points of the equation F = 0 in k. To recall what is a branch point, given any value of X in k, say  $X = \lambda \in k$ , we can write  $F(X + \lambda, Y) =$  $f_1(X, Y)^{d_1} \dots f_h(X, Y)^{d_h}$  where  $d_1, \dots, d_h$  are positive integers and  $f_1(X, Y), \dots$ ,  $f_h(X, Y)$  are pairwise distinct monic irreducible polynomials of degrees  $e_1 >$  $0, \dots, e_h > 0$  in Y with coefficients in the (formal) power series ring k[[X]]; note that if  $\text{Disc}_Y(F) \neq 0$  then  $d_1 = \dots = d_h = 1$ . Now  $X = \lambda$  is a **branch point** if  $d_i e_i > 1$  for some i. By Hensel's Lemma (cf. [Ab3])  $f_i(0, Y) = (Y - \mu_i)^{e_1}$  for some  $\mu_i \in k$ . Since  $\text{Disc}_Y(F) = \text{Res}_Y(F, F_Y)$ , we also see that  $X = \lambda \in k$  is a discriminant point iff the equation  $F(\lambda, Y) = 0$  has less than N roots. It follows that every branch point is a discriminant point but not conversely, as seen by taking  $F = Y^N - X^N$  with  $1 < N \neq \text{char } k$  and  $X = \lambda = 0$ . Let us recall that a branch point  $X = \lambda$  is **tame** if  $e_1, \dots, e_h$  are all nondivisible by char k; otherwise it is **wild**.

If the equation F = 0 has no branch point in k, then we say that the equation F = 0 gives an unramified covering of the affine line  $L_k$  over k. If the equation F = 0 has no branch point in k other than possibly X = 0, then we say that the equation F = 0 gives an unramified covering of the (once) punctured affine line  $L_{k,1}$ . If the equation F = 0 has no branch point in k other than possibly X = 0, which is tame in case it is branched, then we say that the equation F = 0 gives a tamely unramified covering of the (once) punctured affine line  $L_{k,1}$ . Finally, if the polynomial F is irreducible in k(X)[Y] and the equation F = 0 gives ... covering ..., then we say that the equation F = 0 gives an irreducible ... covering ....

Contrary to the characteristic zero situation, in nonzero characteristic p, there are plenty of unramified coverings of  $L_k$  and  $L_{k,1}$ . Indeed, as conjectured in [Ab1], their Galois groups should span all quasi-p groups and all quasi-p by cyclic groups respectively.

To exhibit interesting examples of such coverings, henceforth we assume that k is an algebraically closed field with char  $k = p \neq 0$ , and we consider the trinomial

$$F^* = F^*(X, Y) = Y^n - aX^rY^t + bX^s$$

where

n > t > 0 and  $r \ge 0 \le s$  in  $\mathbb{Z}$  and  $a \ne 0 \ne b$  in k (for instance a = 1 = b).

We are particularly interested in the equation  $F^* = 0$  in the three cases: (1<sup>\*</sup>) n is nondivisible by p but n - t is divisible by p; (2<sup>\*</sup>) n is nondivisible by p but t is divisible by p; (3<sup>\*</sup>) n is divisible by p but t is nondivisible by p. We are especially interested in the three special cases: (1') s = 0 and (1<sup>\*</sup>) holds; (2') s = 0 and (2<sup>\*</sup>) holds; (3') r = 0 and s is divisible by t and (3<sup>\*</sup>) holds.

Now if (1\*) holds then  $F^* - t^{-1}YF_Y^* = bX^s$  and hence  $\operatorname{Disc}_Y(F^*) = b^*X^{s^*}$ with  $0 \neq b^* \in k$  and  $0 \leq s^* \in s\mathbb{Z}$  and therefore the equation  $F^* = 0$  gives an unramified covering of  $L_{k,1}$ . Likewise, if (2\*) holds then  $F_Y^* = nY^{n-1}$  and hence  $\operatorname{Disc}_Y(F^*) = b^*X^{s^*}$  with  $0 \neq b^* \in k$  and  $0 \leq s^* \in s\mathbb{Z}$  and therefore the equation  $F^* = 0$  gives an unramified covering of  $L_{k,1}$ . Finally, if (3\*) holds then  $F_Y^* = -taX^rY^{t-1}$  and hence  $\operatorname{Disc}_Y(F^*) = b^*X^{s^*}$  with  $0 \neq b^* \in k$  and  $0 \leq s^* \in \mathbb{Z}$ and therefore the equation  $F^* = 0$  gives an unramified covering of  $L_{k,1}$ .

It follows that in cases  $(1^*)$ ,  $(2^*)$  and  $(3^*)$ , the equation  $F^* = 0$  gives an unramified covering of  $L_{k,1}$ ; in these cases we let

$$G^* = \operatorname{Gal}(F^*, k(X)).$$

It also follows that in cases (1'), (2') and (3'), the equation  $F^* = 0$  gives an unramified covering of  $L_k$ . Cases (1') and (2') can be converted into each other by reciprocation, i.e., by sending Y to  $Y^{-1}$  and then multiplying by  $Y^n$ . In the 1957 paper [Ab1], the equation  $F^* = 0$  in cases (1') and (3') was written down (cf. Propositions 1 and 2 of [Ab1]) and it was suggested that the Galois groups of the corresponding unramified coverings of  $L_k$  be computed. In [Ab4], [Ab5], [Ab6], [Ab7], [APS], [AOS], [AY1] and [AY2], where cases (1') and (3') were respectively called bar and tilde, this was done for several values of n and t. Here we continue the project by doing it for a few more values.

In particular we shall prove the following Theorems 1.1 and 1.2 which further illustrate case (1<sup>\*</sup>). Recall that < and < denote subgroup and normal subgroup respectively. To avoid repetition, henceforth let q be any positive power of p, i.e.,  $q = p^u$  for some positive integer u.

THEOREM 1.1: Assume that  $n = 1 + q + \cdots + q^{m-1}$  where m > 1 is an integer. Also assume that  $t = 1 + q + \cdots + q^{\mu-1}$  where  $1 \le \mu < m$  is an integer with  $\operatorname{GCD}(n, t) = 1$  (for instance  $\mu = 1$  and t = 1). Then we have the following.

- (1.1.1) If  $s \in n\mathbb{Z}$  and r > s(n-t)/n (for instance if r > 0 = s), then the equation  $F^* = 0$  gives an irreducible unramified covering of the affine line  $L_k$  with Galois group  $G^* = PSL(m, q)$ .
- (1.1.2) If GCD(s, m, q-1) = 1 and r > s(n-t)/n (for instance if r = 1 = s), then the equation  $F^* = 0$  gives an irreducible tamely unramified covering of the punctured affine line  $L_{k,1}$  with Galois group  $G^* = PGL(m, q)$ .
- (1.1.3) If  $r \neq s(n-t)/n$ , then the equation  $F^* = 0$  gives an irreducible unramified covering of the punctured affine line  $L_{k,1}$  with  $PSL(m,q) < G^* < PGL(m,q)$ .

THEOREM 1.2: Assume that  $n = q^m - 1$  where m > 1 is an integer. Also assume that  $t = q^{\mu} - 1$  where  $1 \le \mu < m$  is an integer with GCD(n, t) = q - 1 (for instance  $\mu = 1$  and t = q - 1). Then we have the following.

- (1.2.1) If  $s \in n\mathbb{Z}$  and r > s(n-t)/n (for instance if r > 0 = s), then the equation  $F^* = 0$  gives an irreducible unramified covering of the affine line  $L_k$  with Galois group  $G^* = SL(m, q)$ .
- (1.2.2) If GCD(s, q-1) = 1 and r > s(n-t)/n (for instance if r = 1 = s), then the equation  $F^* = 0$  gives an irreducible tamely unramified covering of the punctured affine line  $L_{k,1}$  with Galois group  $G^* = GL(m, q)$ .

(1.2.3) If  $r \neq s(n-t)/n$ , then the equation  $F^* = 0$  gives an irreducible unramified covering of the punctured affine line  $L_{k,1}$  with  $SL(m,q) < G^* < GL(m,q)$ .

The proof of Theorem 1.1 will be a slight modification of the "ascending" proof of PSL(2,q) coverings given in Section 21 of [Ab4] (cf. Footnote 85 of page 122 of [Ab4]), the main difference being that in addition to the Zassenhaus-Feit-Suzuki Theorem (cf. pages 83 and 114 of [Ab4]) we shall also use Theorem I of the Cameron-Kantor paper [CaK]. Theorem 1.2 will be deduced from Theorem 1.1. Note that PGL(m,q) = GL(m,q)/(scalar matrices) and PSL(m,q) = SL(m,q)/(scalar matrices of determinant 1), where GL(m,q) is the group of all m by m matrices whose entries are in the field GF(q) of q elements and whose determinant is nonzero and SL(m,q) is the subgroup of GL(m,q) consisting of those matrices whose determinant is 1. Also note that PGL(m,q) and PSL(m,q) are regarded as permutation groups on an m-1 dimensional projective space over GF(q), and the cardinality of such a projective space is  $(q^m - 1)/(q - 1) = 1 + q + \cdots + q^{m-1}$ . Likewise, GL(m,q) and SL(m,q) are permutation groups on an m dimensional vector space over GF(q); alternatively GL(m,q) and SL(m,q) are regarded as permutation groups on the set of nonzero vectors in an m dimensional vector space over GF(q), and the cardinality of such a set is  $q^m - 1$ ; the first or the second meaning is taken when subgroups of GL(m,q) are regarded as Galois groups of degree  $q^m$  or  $q^m - 1$  respectively.

From the above Theorems 1.1 and 1.2, by "reciprocation" we shall deduce the following Theorems 1.3 and 1.4 which illustrate case  $(2^*)$ .

THEOREM 1.3: Assume that  $n = 1 + q + \dots + q^{m-1}$  where m > 1 is an integer. Also assume that  $t = q^{\mu} + q^{\mu+1} + \dots + q^{m-1}$  where  $1 \le \mu < m$  is an integer with  $\operatorname{GCD}(n,t) = 1$  (for instance  $\mu = 1$  and t = n-1). Then we have the following.

- (1.3.1) If  $s \in n\mathbb{Z}$  and r > s(n-t)/n (for instance if r > 0 = s), then the equation  $F^* = 0$  gives an irreducible unramified covering of the affine line  $L_k$  with Galois group  $G^* = PSL(m, q)$ .
- (1.3.2) If GCD(s, m, q 1) = 1 and r > s(n t)/n (for instance if r = 1 = s), then the equation  $F^* = 0$  gives an irreducible tamely unramified covering of the punctured affine line  $L_{k,1}$  with Galois group  $G^* = PGL(m, q)$ .
- (1.3.3) If  $r \neq s(n-t)/n$ , then the equation  $F^* = 0$  gives an irreducible unramified covering of the punctured affine line  $L_{k,1}$  with  $PSL(m,q) < G^* < PGL(m,q)$ .

THEOREM 1.4: Assume that  $n = q^m - 1$  where m > 1 is an integer. Also assume that  $t = q^m - q^{\mu}$  where  $1 \le \mu < m$  is an integer with GCD(n, t) = q - 1 (for instance  $\mu = 1$  and  $t = q^m - q$ ). Then we have the following.

- (1.4.1) If  $s \in n\mathbb{Z}$  and r > s(n-t)/n (for instance if r > 0 = s), then the equation  $F^* = 0$  gives an irreducible unramified covering of the affine line  $L_k$  with Galois group  $G^* = SL(m, q)$ .
- (1.4.2) If GCD(s, q-1) = 1 and r > s(n-t)/n (for instance if r = 1 = s), then

the equation  $F^* = 0$  gives an irreducible tamely unramified covering of the punctured affine line  $L_{k,1}$  with Galois group  $G^* = \operatorname{GL}(m,q)$ .

(1.4.3) If  $r \neq s(n-t)/n$ , then the equation  $F^* = 0$  gives an irreducible unramified covering of the punctured affine line  $L_{k,1}$  with  $SL(m,q) < G^* < GL(m,q)$ .

As an illustration of case  $(3^*)$  we shall prove the following Theorem 1.5, where we note that AGL(1, q) is the group of all affine transformations of the affine line over GF(q), i.e., the group of all permutations  $x \mapsto \alpha x + \beta$  of GF(q), with  $0 \neq \alpha \in GF(q)$  and  $\beta \in GF(q)$ .

THEOREM 1.5: Assume that n = q, t = q-1, r = 0 and s = 1. Then the equation  $F^* = 0$  gives an irreducible tamely unramified covering of the punctured affine line  $L_{k,1}$  with Galois group  $G^* = AGL(1,q)$ .

# 2. Some lemmas

Recall that || denotes cardinality, and [:] denotes index as well as field degree. Note that  $GF(q)^*$  and  $GF(q)^+$  respectively denote the underlying multiplicative and additive groups of GF(q).

TRANSITIVITY LEMMA 2.1: In this lemma (where we reprove and slightly generalize the double transitivity results of [Ab4] and [Ab7]), without assuming char k to be nonzero, but assuming GCD(n,t) = 1, we consider the two cases r-1=0=s and r=0=s-1 and in these two cases we let  $F^*$  be denoted by  $\overline{F}$ and  $\widetilde{F}$  respectively, and we take a subfield  $k_0$  such that k is an algebraic closure of  $k_0$  and the elements a and b belong to  $k_0$ . In other words, just in this lemma, let  $a \neq 0 \neq b$  be elements in a field  $k_0$  which need not be algebraically closed and whose characteristic may or may not be zero, let k be an algebraic closure of  $k_0$ , let n > t > 0 be integers with GCD(n, t) = 1, and consider the trinomials

$$\bar{F} = \bar{F}(X,Y) = Y^n - aXY^t + b$$

and

$$\widetilde{F} = \widetilde{F}(X, Y) = Y^n - aY^t + bX.$$

For every integer u > 0 let  $f_{u-1}(Y)$  be the monic polynomial of degree u-1 in Y with coefficients in  $k_0$  given by

$$f_{u-1}(Y) = Y^{-1}[(Y+1)^u - 1]$$

and consider the monic polynomials  $\overline{F}'$  and  $\widetilde{F}'$  of degree n-1 in Y with coefficients in  $k_0[X]$  given by

$$\bar{F}' = \bar{F}'(X,Y) = f_{n-1}(Y) - (1+bX^n)f_{t-1}(Y)$$

and

$$\widetilde{F}' = \widetilde{F}'(X,Y) = f_{n-1}(Y) - aX^{n-t}f_{t-1}(Y).$$

By the discriminant calculation on pages 103-105 of [Ab4] we have

$$\text{Disc}_{Y}(\bar{F}) = n^{n} b^{n-1} - (n-t)^{n-t} t^{t} a^{n} b^{t-1} X^{n}$$

and

$$\operatorname{Disc}_{Y}(\widetilde{F}) = n^{n} b^{n-1} X^{n-1} - (n-t)^{n-t} t^{t} a^{n} b^{t-1} X^{t-1}.$$

Consequently,  $\operatorname{Disc}_Y(\overline{F}) \neq 0 \neq \operatorname{Disc}_Y(\widetilde{F})$  and hence the Galois groups  $\overline{G} = \operatorname{Gal}(\overline{F}, k_0(X))$  and  $\widetilde{G} = \operatorname{Gal}(\widetilde{F}, k_0(X))$  are defined. Concerning these Galois groups we have the following.

- (2.1.1)  $\overline{G}$  and  $\widetilde{G}$  are transitive. Moreover,  $\operatorname{Disc}_Y(\overline{F}') \neq 0 \neq \operatorname{Disc}_Y(\widetilde{F}')$ , and  $\operatorname{Gal}(\overline{F}', k_0(X))$  and  $\operatorname{Gal}(\widetilde{F}', k_0(X))$  are the 1-point stabilizers of  $\overline{G}$  and  $\widetilde{G}$  respectively.
- (2.1.2)  $\overline{G}$  is doubly transitive.
- (2.1.3) If  $t \neq 1$  then  $\tilde{G}$  is doubly transitive. Likewise, if t = 1 and n is not a power of chark then  $\tilde{G}$  is doubly transitive.
- (2.1.4) If t = 1 and n is a power of char k and  $GF(n) \subset k_0$  and  $\alpha^{n-1} = a$  for some  $\alpha \in k_0$  then, (as proved in [Ab7]),  $\tilde{G} = GF(n)^+$  (and hence  $\tilde{G}$  is sharply 1-transitive and therefore it is not doubly transitive unless n = 2).
- (2.1.5) If t = n 1 and n is a power of chark and  $GF(n) \subset k_0$  then  $\tilde{G} = AGL(1, n)$ . [It will be observed in the proof that cases (2.1.4) and (2.1.5) go over into each other by "modified reciprocation" which exhibits the  $\tilde{G}$  of (2.1.4) as a normal subgroup of the  $\tilde{G}$  of (2.1.5) in a natural way so that the factor group is  $GF(n)^*$ ].
- (2.1.6) If t = 1 and n 1 is a positive power of char k and  $GF(n 1) \subset k_0$  then  $\tilde{G} = PGL(2, n 1)$ . [As will be explained in the proof, the  $\tilde{G}$  of (2.1.5) is the 1-point stabilizer of the  $\tilde{G}$  of (2.1.6)].
- (2.1.7) If n-1 is a positive power of chark and either t = 1 or t = n-1then, (as proved in [Ab4]),  $PSL(2, n-1) < \overline{G}$ , and if also  $k_0 = k$  then  $\overline{G} = PSL(2, n-1)$ .

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**Proof:**  $\overline{F}$  and  $\widetilde{F}$  are irreducible because they are linear in X. Therefore  $\overline{G}$  and  $\widetilde{G}$  are transitive. To find the 1-point stabilizers of  $\overline{G}$  and  $\widetilde{G}$  we use the twisted derivative method of throwing away roots as illustrated in Sections 18 to 21 of [Ab4]. By solving  $\overline{F} = 0$  we get  $X = a^{-1}Y^{n-t} + a^{-1}bY^{-t} \in k_0(Y)$  and hence upon letting

$$\bar{f}'(Y,Z) = Z^{-1}[\bar{F}(a^{-1}Y^{n-t} + a^{-1}bY^{-t}, Z+Y) - \bar{F}(a^{-1}Y^{n-t} + a^{-1}bY^{-t}, Y)]$$

we see that  $\operatorname{Disc}_Z(\overline{f'}(Y,Z)) \neq 0$  and  $\operatorname{Gal}(\overline{f'}(Y,Z), k_0(Y))$  is the 1-point stabilizer of  $\overline{G}$ . Likewise, by solving  $\widetilde{F} = 0$  we get  $X = -b^{-1}Y^n + b^{-1}aY^t \in k_0(Y)$  and hence upon letting

$$\tilde{f}'(Y,Z) = Z^{-1}[\tilde{F}(-b^{-1}Y^n + b^{-1}aY^t, Z + Y) - \tilde{F}(-b^{-1}Y^n + b^{-1}aY^t, Y)]$$

we see that  $\operatorname{Disc}_{Z}(\tilde{f}'(Y,Z)) \neq 0$  and  $\operatorname{Gal}(\tilde{f}'(Y,Z), k_{0}(Y))$  is the 1-point stabilizer of  $\tilde{G}$ . Upon letting  $F_{u-1}(Y,Z) = Z^{-1}[(Z+Y)^{u} - Y^{u}]$  for every integer u > 0, in view of the defining equations of  $\bar{F}$  and  $\tilde{F}$ , by the above two displayed equations we get

$$\bar{f}'(Y,Z) = F_{n-1}(Y,Z) - (Y^{n-t} + bY^{-t})F_{t-1}(Y,Z)$$

 $\operatorname{and}$ 

$$\tilde{f}'(Y,Z) = F_{n-1}(Y,Z) - aF_{t-1}(Y,Z)$$

By comparing the above two equations with the defining equations of  $\bar{F}'$  and  $\tilde{F}'$ , we get

$$\bar{F}'(Y,Z) = Y^{n-1}\bar{f}'(Y^{-1},Y^{-1}Z)$$

 $\operatorname{and}$ 

$$\widetilde{F}'(Y,Z) = Y^{n-1}\widetilde{f}'(Y^{-1},Y^{-1}Z).$$

Now by writing (X, Y) for (Y, Z) we see that  $\text{Disc}_Y(\overline{F}'(X, Y)) \neq 0 \neq$  $\text{Disc}_Y(\widetilde{F}'(X, Y))$ , and  $\text{Gal}(\overline{F}'(X, Y), k_0(X))$  and  $\text{Gal}(\widetilde{F}'(X, Y), k_0(X))$  are the 1-point stabilizers of  $\overline{G}$  and  $\widetilde{G}$  respectively. This completes the proof of (2.1.1).

Let  $\bar{\xi}(Y) = -bf_{t-1}(Y)$  and  $\bar{\eta}(Y) = f_{n-1}(Y) - f_{t-1}(Y)$ . Also let  $\tilde{\xi}(Y) = -af_{t-1}(Y)$  and  $\tilde{\eta}(Y) = f_{n-1}(Y)$ .

Since GCD(n,t) = 1, for any  $y \in k$  with  $y^n = 1 = y^t$  we must have y = 1. Therefore 1 is the only common root of  $Y^n - 1$  and  $Y^t - 1$  in k. It follows  $(Y-1)^{-1}(Y^n - 1)$  and  $(Y-1)^{-1}(Y^t - 1)$  are nonzero coprime polynomials in k[Y]. Therefore by applying the k-automorphism  $Y \mapsto Y + 1$  of k[Y] we see that  $f_{n-1}(Y)$  and  $f_{t-1}(Y)$  are nonzero coprime polynomials in k[Y]. It follows that  $\bar{\xi}(Y)$  and  $\bar{\eta}(Y)$  are nonzero coprime polynomials in k[Y]. It also follows that  $\tilde{\xi}(Y)$  and  $\tilde{\eta}(Y)$  are nonzero coprime polynomials in k[Y].

It is clear that if n is nondivisible by char k then  $(Y^n - 1)$  is devoid of multiple roots in k, and hence so is  $(Y-1)^{-1}(Y^n - 1)$ . On the other hand, if n is divisible by but not a power of char k then  $Y^n - 1 = (Y^{n''} - 1)^{n'}$  with integer n'' > 1nondivisible by char k and integer n' > 1 which is a power of char k, and we can take a root  $z \neq 1$  of  $Y^{n''} - 1$  in k and for any such root, in k[Y], the polynomial  $(Y-1)^{-1}(Y^n - 1)$  is divisible by  $(Y-z)^{n'}$  but not by  $(Y-z)^{1+n'}$ .

Since n > 1, by applying the k-automorphism  $Y \mapsto Y + 1$  of k[Y] we can find a monic polynomial  $\psi_n(Y) \in k[Y]$  of degree 1 (hence irreducible) such that upon letting  $n^*$  to be the largest integer for which  $\psi_n(Y)^{n^*}$  divides  $f_{n-1}(Y)$  in k[Y] we have that: if n is nondivisible by char k then  $n^* = 1$ , whereas if n is divisible by but not a power of char k then  $n^* =$  the highest power of char k which divides n; note that since GCD(n, t) = 1, in both the situations we have  $GCD(n-t, n^*) = 1$ ; also note that  $n^*$  is the largest integer for which  $\psi_n(Y)^{n^*}$  divides  $\tilde{\xi}(Y)\tilde{\eta}(Y)$  in k[Y]. Similarly, in case  $t \neq 1$ , we can find a monic polynomial  $\psi_t(Y) \in k[Y]$  of degree 1 (hence irreducible) such that upon letting  $t^*$  to be the largest integer for which  $\psi_t(Y)^{t^*}$  divides  $f_{t-1}(Y)$  in k[Y] we have that: if t is nondivisible by char kthen  $t^* = 1$ , whereas if t is divisible by but not a power of char k then  $t^* =$  the highest power of char k which divides t; note that since GCD(n, t) = 1, in both the situations we have  $GCD(n - t, t^*) = 1 = GCD(n, t^*)$ ; also note that  $t^*$  is the largest integer for which  $\psi_t(Y)^{t^*}$  divides  $\tilde{\xi}(Y)\tilde{\eta}(Y)$  in k[Y].

If t = 1 and n - t is a power of char k then clearly  $\bar{\eta}(Y) = Y^{n-2}(Y+1)$ and hence, in k[Y], the polynomial  $\bar{\xi}(Y)\bar{\eta}(Y)$  is divisible by (Y+1) but not by  $(Y+1)^2$ . Now upon letting  $(\bar{\phi}(Y), N) = (\psi_t(Y), t^*)$  or (Y+1, 1) according as  $t \neq 1$  and t is not a power of char k or t = 1 and n - t = is a power of char k, in both the situations we see that GCD(n, t) = 1 and  $\bar{\phi}(Y)$  is a nonconstant irreducible polynomial in k[Y] and N is the largest integer for which  $\bar{\phi}(Y)^N$  divides  $\bar{\xi}(Y)\bar{\eta}(Y)$  in k[Y], and therefore by taking  $(X, Y, n, 0, \bar{\xi}, \bar{\eta}, \bar{\phi}, N)$ for  $(Y, Z, \lambda, \mu, \xi, \eta, \phi_1, \nu_1)$  in the First Irreducibility Lemma on page 101 of [Ab4] we conclude that the polynomial  $\bar{F}'(X, Y)$  is irreducible in k(X)[Y] and hence also in  $k_0(X)[Y]$ . Consequently, in view of (2.1.1), we see that (1) if  $t \neq 1$  and t is not a power of char k then  $\overline{G}$  is doubly transitive, and also that

(2) if t = 1 and n - t is a power of char k then  $\overline{G}$  is doubly transitive.

Note that (1) implies that

(3) if  $1 < t \neq 0 \pmod{\operatorname{char} k}$  then  $\overline{G}$  is doubly transitive,

and (2) implies (the trivial assertion) that

(4) if n = 2 then  $\overline{G}$  is doubly transitive.

By reciprocation (i.e., by sending Y to  $Y^{-1}$ ) we see that  $\operatorname{Gal}(Y^n - aXY^t + b, k_0(X))$  and  $\operatorname{Gal}(Y^n - aXY^{n-t} + b, k_0(X))$  are isomorphic to each other, and  $\operatorname{GCD}(n, t) = 1$  implies that  $\operatorname{GCD}(n, n-t) = 1$  and either  $t \neq 0 \pmod{\operatorname{char} k}$  or  $n - t \neq 0 \pmod{\operatorname{char} k}$ ; therefore in view of (3) we see that

(5) if 1 < t < n-1 then  $\overline{G}$  is doubly transitive.

Again by reciprocation, in view of (1), we see that

(6) if  $n - t \neq 1$  and n - t is not a power of char k then  $\overline{G}$  is doubly transitive.

By (2), (4) and (6) we conclude that

(7) if t = 1 then  $\overline{G}$  is doubly transitive.

By reciprocation, in view of (7), we see that

(8) if t = n - 1 then  $\overline{G}$  is doubly transitive.

By (5), (7) and (8) we get (2.1.2).

Now  $\operatorname{GCD}(n,t) = 1$  implies that if n is a power of char k then t cannot be divisible by char k. Consequently, upon letting  $(\tilde{\phi}(Y), T) = (\psi_n(Y), n^*)$  or  $(\psi_t(Y), t^*)$ according as n is not a power of char k or  $t \neq 1$  and n is a power of char k, we see that  $\operatorname{GCD}(n-t,T) = 1$  and  $\tilde{\phi}(Y)$  is a nonconstant irreducible polynomial in k[Y] and T is the largest integer such that  $\tilde{\phi}(Y)^T$  divides  $\tilde{\xi}(Y)\tilde{\eta}(Y)$  in k[Y], and therefore by taking  $(X, Y, n-t, 0, \tilde{\xi}, \tilde{\eta}, \tilde{\phi}, T)$  for  $(Y, Z, \lambda, \mu, \xi, \eta, \phi_1, \nu_1)$  in the First Irreducibility Lemma on page 101 of [Ab4] we conclude that the polynomial  $\tilde{F}'(X, Y)$  is irreducible in k(X)[Y] and hence also in  $k_0(X)[Y]$ . Consequently, in view of (2.1.1), we see that  $(1^*)$  if  $t \neq 1$  then  $\tilde{G}$  is doubly transitive, and also that  $(2^*)$  if t = 1 and n is not a power of char k then  $\tilde{G}$  is doubly transitive. By  $(1^*)$  and  $(2^*)$  we get (2.1.3).

If t = 1, n is a power of char k,  $GF(n) \subset k_0$ , and  $\alpha^{n-1} = a$  for some  $\alpha \in k_0$ , then

$$\alpha^{-n}F(X,\alpha Y) = Y^n - Y + \alpha^{-n}bX$$

and hence by taking  $(n, \alpha^{-n}bX)$  for  $(p^m, x)$  in (7.1\*\*) of Section 7 of [Ab7] we get  $\tilde{G} = GF(n)^+$ ; since  $\tilde{G}$  is abelian, it must be sharply 1-transitive and hence it

cannot be doubly transitive unless n = 2. This proves (2.1.4).

To prove (2.1.5), for a moment assume that t = n-1 and n is a power of char kand  $GF(n) \subset k_0$ . Let

$$\widehat{F} = \widehat{F}(X,Y) = Y^n - aXY + X \quad \text{ and } \ \widehat{F}' = \widehat{F}'(X,Y) = Y^{n-1} - aX^n(aX-1)^{-1}.$$

Then

$$\widehat{F}(X,Y) = XY^n \widetilde{F}(b^{-1}X^{-1},Y^{-1})$$

and by solving  $\widehat{F} = 0$  we get  $X = Y^n (aY - 1)^{-1}$  and we have

$$\widehat{F}'(Y,Z) = Z^{-1}[\widehat{F}(Y^n(aY-1)^{-1},Z+Y) - \widehat{F}(Y^n(aY-1)^{-1},Y)].$$

Therefore  $\operatorname{Disc}_Y(\widehat{F}) \neq 0$  and  $\operatorname{Gal}(\widehat{F}, k_0(X)) = \widetilde{G}$ , and  $\operatorname{Disc}_Y(\widehat{F}') \neq 0$  and  $\operatorname{Gal}(\widehat{F}', k_0(X))$  is the 1-point stabilizer of  $\operatorname{Gal}(\widehat{F}, k_0(X))$ . By the First Irreducibility Lemma on page 101 of [Ab4] we see that  $\widehat{F}'$  is irreducible in  $k_0(X)[Y]$ . Moreover, since  $\operatorname{GF}(n) \subset k_0$ , we get  $\operatorname{Gal}(\widehat{F}', k_0(X)) = \operatorname{GF}(n)^*$ . Therefore  $\operatorname{Gal}(\widehat{F}', k_0(X))$  is sharply 1-transitive. Consequently  $\widetilde{G}$  is sharply 2-transitive and hence by Zassenhaus' Theorem (see page 78 of [Ab4])  $\widetilde{G} = \operatorname{AGLNF}(1, \Psi)$  for some finite near-field  $\Psi$ . Now the 1-point stabilizer of  $\operatorname{AGLNF}(1, \Psi)$  is the underlying multiplicative group  $\Psi^*$  of  $\Psi$ ; moreover  $\Psi^*$  is abelian if and only if  $\Psi$  is a field. Since the 1-point stabilizer of  $\widetilde{G}$  is abelian, we must have  $\widetilde{G} = \operatorname{AGL}(1, n)$ . This proves (2.1.5). To explain the bracketed remark in (2.1.5), note that  $X^n \widehat{F}(X^{1-n}, X^{-1}Y) = Y^n - aY + X$  and hence, by the Substitutional Principle on page 98 of [Ab4], we have  $\operatorname{Gal}(Y^n - aY + X, k_0(X)) \triangleleft \widetilde{G}$  with  $\widetilde{G}/\operatorname{Gal}(Y^n - aY + X, k_0(X)) = \operatorname{GF}(n)^*/M$  for some  $M \triangleleft \operatorname{GF}(n)^*$  and, assuming  $\alpha^{n-1} = a$  for some  $\alpha \in k_0$ , by (2.1.4) we get  $\operatorname{Gal}(Y^n - aY + X) = \operatorname{GF}(n)^+$  and therefore we must have M = 1.

To prove (2.1.6) and (2.1.7), henceforth assume that t = 1 and  $n - 1 = q = p^u$ with positive integer u and char  $k = p \neq 0$ . Now  $\tilde{F}' = Y^q + Y^{q-1} + 1 - aX^q$ and hence (either obviously or by the Substitutional Principle on page 98 of [Ab4]) we see that  $\tilde{G}' = \operatorname{Gal}(\tilde{F}', k_0(X)) = \operatorname{Gal}(Y^q + Y^{q-1} + 1 - aX, k_0(X)) =$  $\operatorname{Gal}(Y^q + Y^{q-1} + X, k_0(X))$  and therefore by (2.1.5) we get  $\tilde{G}' = \operatorname{AGL}(1, q)$ . Consequently  $\tilde{G}$  is sharply 3-transitive and hence by the Zassenhaus-Feit-Suzuki Theorem (see page 83 of [Ab4]) we see that either  $\tilde{G} = \operatorname{PGL}(2, q)$  or q is an even power of an odd prime p and  $\tilde{G} = \operatorname{PML}(2, q)$ . By the description given on page 163 of [HuB] we see that the 2-point stabilizer of PML(2,q) is nonabelian. Therefore we must have  $\tilde{G} = PGL(2,q)$ . In Section 21 of [Ab4] it is proved that

$$\operatorname{Gal}(Y^{q+1} - XY + 1, k(X)) = \operatorname{PSL}(2, q).$$

Since  $b^{-1}\bar{F}(a^{-1}b^{q/(q+1)}X, b^{1/(q+1)}Y) = Y^{q+1} - XY + 1$ , we get  $\operatorname{Gal}(\bar{F}, k(X)) = \operatorname{Gal}(Y^{q+1} - XY + 1, k(X))$ . Since  $k_0 \subset k$ , we also get  $\operatorname{Gal}(\bar{F}, k(X)) < \operatorname{Gal}(\bar{F}, k_0(X))$ . Therefore  $\operatorname{PSL}(2, q) = \operatorname{Gal}(\bar{F}, k(X)) < \bar{G}$ . Finally, by reciprocation we get  $\operatorname{Gal}(Y^{q+1} - aXY^q + b, k_0(X)) = \operatorname{Gal}(Y^{q+1} - ab^{-1}XY + b^{-1}, k_0(X)) = \bar{G}$ . This completes the proof of (2.1.6) and (2.1.7).

SYLOW SUBGROUP LEMMA 2.2: Let  $H < A_7$  with  $|H| \equiv 0 \pmod{14}$  where  $A_7$  is the alternating group on  $\{1, 2, ..., 7\}$ . Then a 2-Sylow subgroup P of H cannot be normal in H.

Proof: Since  $14 \equiv 0 \pmod{7}$ , H contains a seven cycle  $\sigma$ . Since  $14 \equiv 0 \pmod{2}$ , we have  $P \neq 1$ . Since the order of P is a power of 2, P is contained in a 2-Sylow subgroup Q of  $A_7$ . Let  $A_6^i$  be the alternating group on  $\{1, \ldots, i-1, i+1, \ldots, 7\}$ regarded as a subgroup of  $A_7$ , and let P' be a 2-Sylow subgroup of  $A_6^1$ . Since  $[A_7: A_6^1] = 7 \not\equiv 0 \pmod{2}$ , P' is a 2-Sylow subgroup Q' of  $A_7$ . Therefore  $Q = \tau^{-1}Q'\tau$  for some  $\tau \in A_7$ . Let  $j = \tau(1)$ . Then  $Q \subset A_6^j$ . Since  $P \neq 1$ , we can find  $\pi \in P$  and  $l \in \{1, 2, \ldots, 7\}$  such that  $\pi(l) \neq l$ . Since  $\sigma$  is a seven cycle, for some integer e we have  $\sigma^e(l) = j$ . It follows that  $(\sigma^{-1}\pi\sigma)(j) \neq j$ , and hence  $\sigma^{-1}\pi\sigma \notin A_6^j$ . Since  $P \subset Q \subset A_6^j$ , we get  $\sigma^{-1}\pi\sigma \notin P$ . Since  $\pi \in P$  and  $\sigma \in H$ , Pcannot be normal in H.

TRANSVECTION LEMMA 2.3: Given any integer m > 1, let  $\phi$ :  $GL(m,q) \rightarrow PGL(m,q)$  be the canonical epimorphism. Then for any H < GL(m,q) we have that:  $SL(m,q) < H \Leftrightarrow PSL(m,q) < \phi(H)$ .

Proof:  $\Rightarrow$  is obvious. To prove  $\Leftarrow$  let any  $H < \operatorname{GL}(m,q)$  with  $\operatorname{PSL}(m,q) < \phi(H)$ be given. We are going to use the well-known fact (cf. Theorem 9.2 on page 74 of [Suz]) that the transvections  $\{I + \lambda E_{ij} : i \neq j, \lambda \in \operatorname{GF}(q)\}$  generate  $\operatorname{SL}(m,q)$ , where I is the m by m identity matrix and  $E_{ij}$  is the m by m matrix with 1 in the (i, j)-th spot and zero elsewhere. Fix  $i \neq j$ , and let  $D = \{cI: 0 \neq c \in \operatorname{GF}(q)\}$ and  $D_{ij} = \{I + \lambda E_{ij}: \lambda \in \operatorname{GF}(q)\}$ . For all  $c, c', \lambda, \lambda'$  in  $\operatorname{GF}(q)$  we clearly have  $(cI + \lambda E_{ij})(c'I + \lambda' E_{ij}) = cc'I + (c\lambda' + c'\lambda)E_{ij}$ . It follows that  $D = \ker \phi < \operatorname{GL}(m,q)$  and  $D_{ij} < \operatorname{SL}(m,q)$ , and  $c \mapsto cI$  and  $\lambda \mapsto I + \lambda E_{ij}$  give isomorphisms Vol. 88, 1994

 $GF(q)^* \to D$  and  $GF(q)^+ \to D_{ij}$  respectively. Let

$$J_{ij} = \{ cI + \lambda E_{ij} \colon 0 \neq c \in \mathrm{GF}(q), \lambda \in \mathrm{GF}(q) \}.$$

Then  $J_{ij} = \{c[I + \lambda E_{ij}]: 0 \neq c \in GF(q), \lambda \in GF(q)\}$  and for all  $c, c', \lambda, \lambda'$  in GF(q)with  $c \neq 0 \neq c'$  we have  $(c[I + \lambda E_{ij}])(c'[I + \lambda' E_{ij}]) = cc'I + cc'(\lambda + \lambda')E_{ij}$ , and hence  $J_{ij} = DD_{ij} = D \times D_{ij} < GL(m,q)$  where  $D \times D_{ij}$  is internal direct product. Let  $\psi: J_{ij} \rightarrow D_{ij}$  be the projection map. Since |D| = q - 1 and  $|D_{ij}| = q$ , we have  $GCD(|D|, |D_{ij}|) = 1$ . Consequently by the General Subgroup Lemma (cf. [Ab8] or (4.19) on page 141 of [Su2]), every subgroup of  $J_{ij}$  whose image under  $\psi$ is  $D_{ij}$  must contain  $D_{ij}$ . Since ker  $\phi = \ker \psi$  and  $\phi(J_{ij}) < PSL(m,q) < \phi(H)$ , we get  $H \cap J_{ij} < J_{ij}$  with  $\psi(H \cap J_{ij}) = D_{ij}$ , and hence  $D_{ij} < (H \cap J_{ij})$ . Therefore  $D_{ij} < H$ . This being so for all  $i \neq j$ , we conclude that SL(m,q) < H.

COMPOSITE POLYNOMIAL LEMMA 2.4: Let V = V(Y), W = W(Y), E = E(Y)be monic polynomials of degrees v > 0, w > 0, e > 0 in Y with coefficients in a field K, respectively, such that  $\text{Disc}_Y(V) \neq 0$  and V(Y) = W(E(Y)). Let  $R_V$ and  $R_W$  be the roots of V and W in an algebraic closure  $\bar{K}$  of K, respectively. Then we have the following.

- (1)  $\operatorname{Disc}_{Y}(W) \neq 0$  (equivalently  $|R_{W}| = w$ ), and upon letting  $R_{W} = \{\Theta_{i}: 1 \leq i \leq w\}$  and  $\Lambda_{i} = \{S \in \overline{K}: E(S) = \Theta_{i}\}$  we have that  $R_{V} = \bigcup_{i=1}^{w} \Lambda_{i}$  is a disjoint partition of  $R_{V}$  with  $|R_{V}| = v = we$  and  $|\Lambda_{i}| = e$  for  $1 \leq i \leq w$ .
- (2) K(R<sub>V</sub>) ⊃ K(R<sub>W</sub>) where these are the respective splitting fields of V and W over K in K̄. Thinking of Gal(K(R<sub>V</sub>), K) and Gal(K(R<sub>W</sub>), K) as the group of all K-automorphisms of K(R<sub>V</sub>) and K(R<sub>W</sub>) respectively, for every g ∈ Gal(K(R<sub>V</sub>), K) we have g(K(R<sub>W</sub>)) = K(R<sub>W</sub>) and, upon letting g\* to be the bijection of K(R<sub>W</sub>) onto itself such that g\*(S) = g(S) for all S ∈ K(R<sub>W</sub>), we have g\* ∈ Gal(K(R<sub>W</sub>), K). Moreover g ↦ g\* gives the usual Galois theory group epimorphism whose kernel is Gal(K(R<sub>V</sub>), K(R<sub>W</sub>)).
- (3) Thinking of Gal(V, K) as a permutation group on R<sub>V</sub>, given any g ∈ Gal(K(R<sub>V</sub>), K) we have g(R<sub>V</sub>) = R<sub>V</sub> and, upon letting g<sub>V</sub> to be the permutation of R<sub>V</sub> such that g<sub>V</sub>(S) = g(S) for all S ∈ R<sub>V</sub>, we have g<sub>V</sub> ∈ Gal(V, K). Moreover g → g<sub>V</sub> gives a group isomorphism Gal(K(R<sub>V</sub>), K) → Gal(V, K) (which is the usual permutation representation).
- (4) Thinking of Gal(W, K) as a permutation group on  $R_W$ , given any  $g \in Gal(K(R_W), K)$  we have  $g(R_W) = R_W$  and, upon letting  $g_W$  to be the permutation of  $R_W$  such that  $g_W(S) = g(S)$  for all  $S \in R_W$ , we have  $g_W \in$

Gal(W, K). Moreover  $g \mapsto g_W$  gives a group isomorphism  $Gal(K(R_W), K) \to Gal(W, K)$  (which is the usual permutation representation).

- (5) Given any g ∈ Gal(K(R<sub>V</sub>), K) we have g(R<sub>W</sub>) = R<sub>W</sub> and upon letting g<sub>V,W</sub> to be the permutation of R<sub>W</sub> such that g<sub>V,W</sub>(S) = g(S) for all S ∈ R<sub>W</sub>, we have g<sub>V,W</sub> ∈ Gal(W, K). Moreover g → g<sub>V,W</sub> gives a group epimorphism Gal(K(R<sub>V</sub>), K) → Gal(W, K) whose kernel is Gal(K(R<sub>V</sub>), K(R<sub>W</sub>)).
- (6) Given any γ ∈ Gal(V, K), for every i ∈ {1,...,w} we have γ(Λ<sub>i</sub>) = Λ<sub>j</sub> for some j ∈ {1,...,w} and, upon letting γ' to be the permutation of R<sub>W</sub> such that γ'(Θ<sub>i</sub>) = Θ<sub>j</sub> for all i ∈ {1,...,w}, we have γ' ∈ Gal(W, K). Moreover γ ↦ γ' gives a group epimorphism Gal(V, K) → Gal(W, K) whose kernel is Gal(V, K(R<sub>W</sub>)).
- (7) For every  $g \in \text{Gal}(K(R_V), K)$  we have  $g_{V,W} = (g^*)_W = (g_V)'$ .
- (8) If  $E(Y) = Y^e$  then for some  $\Lambda \in K(R_V)$  we have  $\Lambda^e = (-1)^w V(0)$ .

Proof: We can first write  $W(Y) = \prod_{i=1}^{w} (Y - \Theta'_i)$  with  $\Theta'_i \in \overline{K}$ , and then we can write  $E(Y) - \Theta'_i = \prod_{j=1}^{e} (Y - \Lambda_{ij})$  with  $\Lambda_{ij} \in \overline{K}$ . Since V(Y) = W(E(Y)), we get  $V(Y) = \prod_{i=1}^{e} \prod_{i=1}^{w} (Y - \Lambda_{ij})$ . Since  $\operatorname{Disc}_Y(V) \neq 0$ , we must have  $\Lambda_{ij} \neq \Lambda_{i'j'}$  whenever  $(i, j) \neq (i', j')$ . This proves (1) with  $\Theta_i = \Theta'_i$  and  $\Lambda_i = \{\Lambda_{ij} \colon 1 \leq j \leq e\}$  for  $1 \leq i \leq w$ .

Since  $E(Y) \in K[Y]$  and  $\{E(S): S \in R_V\} = R_W$ , we get  $K(R_V) \supset K(R_W)$ and then the rest of (2) is obvious.

Items (3) to (5) are obvious. Items (6) and (7) follow from items (1) to (5).

If  $E(Y) = Y^e$  then upon letting  $\Lambda = \Lambda_{11} \cdots \Lambda_{w1}$  we get  $\Lambda \in K(R_V)$  with  $\Lambda^e = \Theta'_1 \cdots \Theta'_w = (-1)^w V(0)$  which proves (8).

PROJECTIVE SPACE LEMMA 2.5: In the situation of 2.4, assume that K is an overfield of GF(q). Also assume that  $E(Y) = Y^{q-1}$ , and assume that upon letting U(Y) = YV(Y) we have  $U = U(Y) = Y^{q^m} + \sum_{i=1}^m C_i Y^{q^{m-i}}$  where m > 1 is an integer and  $C_1, \ldots, C_m$  are elements in K with  $C_m \neq 0$ . Let  $R_U$  be the roots of U in  $\bar{K}$  and note that then  $R_U = \{0\} \cup R_V$  is a disjoint partition of  $R_U$ , and we have  $K(R_U) = K(R_V)$ . Let  $K(R_U)$  be equipped with the structure of a vector space over GF(q) acquired in virtue of its being an overfield of GF(q). Then we have the following.

(i)  $R_U$  is an m-dimensional vector subspace of  $K(R_U)$  and upon letting  $GL(R_U)$  to be the group of all vector space isomorphisms of  $R_U$ , which we regard as a permutation group on the nonzero vectors  $R_V$ , we have

 $\operatorname{Gal}(V, K) < \operatorname{GL}(R_U).$ 

- (ii) For an m-dimensional vector space D over GF(q), let  $D^* = D \setminus \{0\}$  be called an *m*-dimensional punctured vector space over GF(q), and recall that an (m-1)-dimensional projective space over GF(q) is a set  $\Delta$ together with a surjective map  $\psi: D^* \to \Delta$  such that the inverse images of the points of  $\Delta$  are exactly all the 1-dimensional punctured vector subspaces of  $D^*$ , and also recall that a projective transformation of  $\Delta$  is a permutation of  $\Delta$  induced by a vector space isomorphism of D and the set of all these permutations is the group  $PGL(\Delta)$ , and finally recall that this gives a canonical group epimorphism  $\phi$ :  $GL(D) \rightarrow PGL(\Delta)$  whose kernel is  $GF(q)^*$ . With all this in mind, we claim that  $\Lambda_1, \ldots, \Lambda_w$  are exactly all the distinct 1-dimensional punctured vector subspaces of the m-dimensional punctured vector space  $R_V$  over GF(q), and by putting  $\psi(S) = E(S) = S^{q-1}$  for all  $S \in R_V$  we get a surjective map  $\psi: R_V \to$  $R_W = \{\Theta_1 \dots, \Theta_w\}$  with  $\psi^{-1}(\Theta_i) = \Lambda_i$  for  $1 \leq i \leq w$  which makes  $R_W$  into an (m-1)-dimensional projective space over GF(q), and finally with this structure we have  $\phi(\text{Gal}(V, K)) = \text{Gal}(W, K) < \text{PGL}(R_W)$  where  $\phi: \operatorname{GL}(R_U) \to \operatorname{PGL}(R_W)$  is the canonical group epimorphism.
- (iii) Without assuming K to be an overfield of GF(q), for some  $\Lambda \in K(R_V)$  we have  $\Lambda^{q-1} = (-1)^{1+q+\dots+q^{m-1}}C_m$ .

Proof: Clearly U(Y + Z) = U(Y) + U(Z) and for every  $S \in GF(q)$  we have U(SY) = SU(Y). Therefore  $R_U$  is a vector subspace of  $K(R_U)$ . Since  $|R_U| = q^m = |GF(q)|^m$ , the dimension of the said subspace must be m. The rest of (i) now follows from (3) of Lemma 2.4.

Now a 1-dimensional punctured vector subspace of  $K(R_U)$  is a subset of  $K(R_U)$ of the form  $\{ST: 0 \neq S \in GF(q)\}$  with  $0 \neq T \in K(R_U)$ . Equivalently, it is a set of the form  $\{S \in \overline{K}: E(S) = T\} \subset K(R_U)$  with  $0 \neq T \in K(R_U)$ . Therefore (ii) follows from (i) and Lemma 2.4.

Finally, (iii) follows from (8) of Lemma 2.4.

COROLLARY 2.6: A part of (2.1.7) can be strengthened by saying that, in the situation of 2.1, if n-1 is a positive power of char k and  $GF(n-1) \subset k_0$  and either t = 1 or t = n-1 then  $PSL(2, n-1) < \overline{G} < PGL(2, n-1)$ .

Proof: Follows from (2.1.7) and part (ii) of Lemma 2.5.

### 3. Additive polynomials

Let K be a field of characteristic  $p \neq 0$ , let q be a positive power of p, let m > 1be an integer, let  $C_1, \ldots, C_m$  be elements in K with  $C_m \neq 0$ , and consider the polynomials

$$U = U(Y) = Y^{q^m} + \sum_{i=1}^m C_i Y^{q^{m-i}}$$

 $\operatorname{and}$ 

$$V = V(Y) = Y^{q^{m}-1} + \sum_{i=1}^{m} C_i Y^{q^{m-i}-1}$$

 $\operatorname{and}$ 

$$W = W(Y) = Y^{(q^m-1)/(q-1)} + \sum_{i=1}^m C_i Y^{(q^{m-i}-1)/(q-1)}$$

Here U is an **additive polynomial**, i.e., a polynomial such that U(Y + Z) = U(Y) + U(Z). Moreover, V is obtained by dividing U by Y, and W is obtained by substituting  $Y^{1/(q-1)}$  for Y in V. Clearly  $V + YV_Y = C_m = W - YW_Y$  with  $0 \neq C_m \in K$ , and hence  $\text{Disc}_Y(V) \neq 0 \neq \text{Disc}_Y(W)$ , and therefore Gal(V, K) and Gal(W, K) are well defined. Concerning these Galois groups we have the following.

PROPOSITION 3.1: For some  $\Lambda$  in the splitting field of V over K we have  $\Lambda^{q-1} = (-1)^{\nu}C_m$  where  $\nu = 1 + q + \cdots + q^{m-1}$ . Moreover, if  $\operatorname{GF}(q) \subset K$  then, in a natural manner, we may regard  $\operatorname{Gal}(V, K) < \operatorname{GL}(m, q)$  and  $\operatorname{Gal}(W, K) < \operatorname{PGL}(m, q)$  in such a manner that  $\phi(\operatorname{Gal}(V, K)) = \operatorname{Gal}(W, K)$  where  $\phi$ :  $\operatorname{GL}(m, q) \to \operatorname{PGL}(m, q)$  is the canonical epimorphism.

**Proof:** Let  $R_U$ ,  $R_V$  and  $R_W$  be the roots of U, V and W in an algebraic closure  $\bar{K}$  of K, respectively. Now everything follows from Lemma 2.5 by identifying  $GL(R_U)$  and  $PGL(R_W)$  with GL(m,q) and PGL(m,q) respectively.

As a consequence of Lemmas 2.1-2.3 and Proposition 3.1 we shall now prove the following.

PROPOSITION 3.2: Recall that k is an algebraically closed field of characteristic p, and let  $k_0$  be a subfield of k such that k is an algebraic closure of  $k_0$  and  $GF(q) \subset k_0$ . Assume that  $K = k_0(X)$ . Let  $1 \leq \mu < m$  be an integer such that  $GCD(\nu, \tau) = 1$  where  $\nu = 1 + q + \cdots + q^{m-1}$  and  $\tau = 1 + q + \cdots + q^{\mu-1}$ . Assume that  $C_{m-\mu} = -aX^{\rho}$  and  $C_m = bX^{\sigma}$  where a and b are nonzero elements in  $k_0$ , and  $\rho$  and  $\sigma$  are integers such that  $\rho \neq \sigma(\nu - \tau)/\nu$  (for instance  $\rho \neq 0 = \sigma$  or

 $\rho = 0 \neq \sigma$ ). Also assume that  $C_1 = \cdots = C_{m-\mu-1} = C_{m-\mu+1} = \cdots = C_{m-1} = 0$ . Then we have the following.

(3.2.1) We have  $PSL(m,q) < Gal(W,k(X)) < Gal(W,k_0(X)) < PGL(m,q)$  and we have

$$[\operatorname{Gal}(W,k(X))\colon\operatorname{PSL}(m,q)]\equiv 0\quad\left(\operatorname{mod}\, rac{\operatorname{GCD}(m,q-1)}{\operatorname{GCD}(\sigma,m,q-1)}
ight).$$

Moreover, if  $\sigma \in \nu \mathbb{Z}$  then  $\operatorname{Gal}(W, k(X)) = \operatorname{PSL}(m, q)$ . Likewise, if  $\operatorname{GCD}(\sigma, m, q-1) = 1$  then  $\operatorname{Gal}(W, k_0(X)) = \operatorname{PGL}(m, q)$ .

- (3.2.2) The polynomial W is irreducible in k(X)[Y], and X = 0 and X = ∞ are the only valuations of k(X)/k which are possibly ramified in the splitting field of W over k(X). Moreover, if ρ > σ(ν − τ)/ν and the valuation X = 0 of k(X)/k is ramified in the splitting field of W over k(X) then it is tamely ramified. Finally, if ρ > σ(ν − τ)/ν and σ ∈ νZ then X = ∞ is the only valuation of k(X)/k which is ramified in the splitting field of W over k(X).
- (3.2.3) We have  $\operatorname{SL}(m,q) < \operatorname{Gal}(V,k(X)) < \operatorname{Gal}(V,k_0(X)) < \operatorname{GL}(m,q)$  and we have  $[\operatorname{Gal}(V,k(X)) : \operatorname{SL}(m,q)] \equiv 0 \pmod{(q-1)}/\operatorname{GCD}(\sigma,q-1)$ . Moreover, if  $\sigma \in (q-1)\nu\mathbb{Z}$  then  $\operatorname{Gal}(V,k(X)) = \operatorname{SL}(m,q)$ . Likewise, if  $\operatorname{GCD}(\sigma,q-1) = 1$  then  $\operatorname{Gal}(V,k_0(X)) = \operatorname{GL}(m,q)$ .
- (3.2.4) The polynomial V is irreducible in k(X)[Y], and X = 0 and X = ∞ are the only valuations of k(X)/k which are possibly ramified in the splitting field of V over k(X). Moreover, if ρ > σ(ν-τ)/ν and the valuation X = 0 of k(X)/k is ramified in the splitting field of V over k(X) then it is tamely ramified. Finally, if ρ > σ(ν - τ)/ν and σ ∈ (q - 1)νZ then X = ∞ is the only valuation of k(X)/k which is ramified in the splitting field of V over k(X).

Proof: For a moment assume that  $\rho = 1$  and  $\sigma = 0$ . If m = 2 then by (2.1.7) we get  $\operatorname{Gal}(W, k(X)) = \operatorname{PSL}(2, q)$ . So for a moment also assume that m > 2. Then by Proposition 3.1 we have  $\operatorname{Gal}(W, k(X)) < \operatorname{PGL}(m, q)$ , and by (2.1.2) we see that  $\operatorname{Gal}(W, k(X))$  is 2-transitive. Now Theorem I of [CaK] says that: if G is a subgroup of the group  $\operatorname{\GammaL}(m, q)$  of semilinear transformations with m > 2 and if G is 2-transitive on the set of points of the projective space  $\operatorname{PG}(m-1,q)$ , then either  $\operatorname{SL}(m,q) < G$  or G is  $A_7$  inside  $\operatorname{SL}(4,2)$ . Therefore either  $\operatorname{PSL}(m,q) < \operatorname{Gal}(W, k(X))$  or (m,q) = (4,2) and  $\operatorname{Gal}(W, k(X)) = A_7(15)$ 

where  $A_7(15)$  is the representation of  $A_7$  as a transitive permutation group of degree 15. If (m,q) = (4,2) then  $\nu = 15$  and, because  $\text{GCD}(\nu,\tau) = 1$ , we have  $\tau = 1$  or 7; now (obviously or by Proposition 1 on page 831 of [Ab1]) the  $X = \infty$  valuation of k(X) splits into the valuations  $Y = \infty$  and Y = 0 of the root field of W over k(X) and their respective ramification exponents are 14 and 1 in case  $\tau = 1$ , and 8 and 7 in case  $\tau = 7$ ; in both the cases the order of an inertia group H of an extension of the X = 0 valuation to the splitting field of W over k(X) is divisible by 14; also the inertia group H has a unique 2-Sylow subgroup P which is hence normal in H; therefore by Lemma 2.2 we cannot have  $\text{Gal}(W, k(X)) = A_7(15)$ . Thus PSL(m,q) < Gal(W, k(X)) < PGL(m,q). Since  $W - YW_Y = b$ , we see that  $X = \infty$  is the only valuation of k(X)/k which is ramified in the splitting field of W and hence Gal(W, k(X)) is quasi-p. But no group between PSL(m,q) and PGL(m,q), other than PSL(m,q), can be quasi-p. Therefore we must have Gal(W, k(X)) = PSL(m,q). So we have shown that:

(1) If  $\rho = 1$  and  $\sigma = 0$  then Gal(W, k(X)) = PSL(m, q).

Now PSL(m, q) is a nonabelian simple group except when m = 2 and q = 2 or 3 in which cases it equals  $S_3$  and  $A_4$  respectively. Therefore, in view of Corollaries (3.3), (3.4) and (3.8) of the Substitutional Principle on pages 99 and 100 of [Ab4], by (1) we get that:

(2) If  $\rho \neq 0 = \sigma$  then  $\operatorname{Gal}(W, k(X)) = \operatorname{PSL}(m, q)$ .

In the general case, if  $\sigma \in \nu \mathbb{Z}$ , then upon letting  $\rho' = \rho - \sigma(\nu - \tau)/\nu$  we have  $0 \neq \rho' \in \mathbb{Z}$  and  $X^{-\sigma}W(X^{\sigma/\nu}Y) = Y^{\nu} - aX^{\rho'}Y^{\tau} + b$  and hence  $\operatorname{Gal}(W, k(X)) = \operatorname{Gal}(Y^{\nu} - aX^{\rho'}Y^{\tau} + b, k(X))$ . Therefore by (2) we see that:

(3) If  $\sigma \in \nu \mathbb{Z}$  then  $\operatorname{Gal}(W, k(X)) = \operatorname{PSL}(m, q)$ .

In the completely general case, upon letting  $\rho'' = \nu \rho$  and  $\sigma'' = \nu \sigma$  we have  $\rho'' \in \mathbb{Z}$  and  $\sigma'' \in \nu \mathbb{Z}$  with  $\rho'' \neq \sigma''(\nu - \tau)/\nu$  and hence, in view of Corollary (3.1) of the Substitutional Principle on page 99 of [Ab4], by (3) we get  $PSL(m,q) = Gal(Y^{\nu} - aX^{\rho''}Y^{\tau} + bX^{\sigma''}, k(X)) < Gal(W, k(X))$ . Since  $k_0 \subset k$ , we also have  $Gal(W, k(X)) < Gal(W, k_0(X))$ . Since  $GF(q) \subset k_0$ , by Proposition 3.1 we get  $Gal(W, k_0(X)) < PGL(m,q)$ . Thus:

(4)  $\operatorname{PSL}(m,q) < \operatorname{Gal}(W,k(X)) < \operatorname{Gal}(W,k_0(X)) < \operatorname{PGL}(m,q).$ 

- By (4) it follows that:
- (5) The polynomial W is irreducible in k(X)[Y].
- Since  $W YW_Y = bX^{\sigma}$ , we see that:

(6) X = 0 and  $X = \infty$  are the only valuations of k(X)/k which are possibly

ramified in the splitting field of W over k(X).

Since  $W - YW_Y = bX^{\sigma}$ , we also see that:

(7) If  $\rho > 0 = \sigma$  then  $X = \infty$  is the only valuation of k(X)/k which is possibly ramified in the splitting field of W over k(X).

If  $\rho > \sigma(\nu - \tau)/\nu$  and  $\sigma \in \nu \mathbb{Z}$  then upon letting  $\rho' = \rho - \sigma(\nu - \tau)/\nu$  we have  $0 < \rho' \in \mathbb{Z}$  and  $X^{-\sigma}W(X^{\sigma/\nu}Y) = Y^{\nu} - aX^{\rho'}Y^{\tau} + b$ . Hence by (7) we see that:

(8) If  $\rho > \sigma(\nu - \tau)/\nu$  and  $\sigma \in \nu \mathbb{Z}$  then  $X = \infty$  is the only valuation of k(X)/k which is ramified in the splitting field of W over k(X).

By (8) we see that if  $\rho > \sigma(\nu - \tau)/\nu$  then the valuation X = 0 of k(X)/k is unramified in the splitting field of  $Y^{\nu} - aX^{\nu\rho}Y^{\tau} + bX^{\nu\sigma}$  over k(X). From this it follows that:

(9) If  $\rho > \sigma(\nu - \tau)/\nu$  and the valuation X = 0 of k(X)/k is ramified in the splitting field of W over k(X) then it is tamely ramified.

In view of Proposition 3.1, by (4) we see that:

(10)  $\operatorname{SL}(m,q) < \operatorname{Gal}(V,k(X)) < \operatorname{Gal}(V,k_0(X)) < \operatorname{GL}(m,q).$ 

By (10) it follows that:

(11) The polynomial V is irreducible in k(X)[Y].

Since  $V + YV_Y = bX^{\sigma}$ , we see that:

(12) X = 0 and  $X = \infty$  are the only valuations of k(X)/k which are possibly ramified in the splitting field of V over k(X).

Since  $V + YV_Y = bX^{\sigma}$ , we also see that:

(13) If  $\rho > 0 = \sigma$  then  $X = \infty$  is the only valuation of k(X)/k which is ramified in the splitting field of V over k(X).

If  $\rho > \sigma(\nu - \tau)/\nu$  and  $\sigma \in (q-1)\nu\mathbb{Z}$  then upon letting  $\rho' = \rho - \sigma(\nu - \tau)/\nu$  we have  $0 < \rho' \in \mathbb{Z}$  and  $X^{-\sigma}V(X^{\sigma/((q-1)\nu)}Y) = Y^{(q-1)\nu} - aX^{\rho'}Y^{(q-1)\tau} + b$ . Hence by (13) we see that:

(14) If  $\rho > \sigma(\nu - \tau)/\nu$  and  $\sigma \in (q-1)\nu\mathbb{Z}$  then  $X = \infty$  is the only valuation of k(X)/k which is ramified in the splitting field of V over k(X).

By (14) we see that if  $\rho > \sigma(\nu - \tau)/\nu$  then the valuation X = 0 of k(X)/k is unramified in the splitting field of  $Y^{(q-1)\nu} - aX^{(q-1)\nu\rho}Y^{(q-1)\tau} + bX^{(q-1)\nu\sigma}$  over k(X). From this it follows that:

(15) If  $\rho > \sigma(\nu - \tau)/\nu$  and the valuation X = 0 of k(X)/k is ramified in the splitting field of V over k(X) then it is tamely ramified.

If  $\rho > \sigma(\nu - \tau)/\nu$  and  $\sigma \in (q - 1)\nu\mathbb{Z}$  then by (14) we see that  $\operatorname{Gal}(V, k(X))$  is quasi-p. But no group between  $\operatorname{SL}(m, q)$  and  $\operatorname{GL}(m, q)$ , other than  $\operatorname{SL}(m, q)$ , can

be quasi-p. Therefore, in view of (10), we conclude that:

(16) If  $\rho > \sigma(\nu - \tau)/\nu$  and  $\sigma \in (q-1)\nu\mathbb{Z}$  then  $\operatorname{Gal}(V, k(X)) = \operatorname{SL}(m, q)$ .

By Proposition 3.1 there exists an element X' in a splitting field of V over k(X) with  $X'^d = X$  where  $d = (q-1)/\operatorname{GCD}(\sigma, q-1)$ . Let  $V' = V'(Y) = Y^{(q-1)\nu} - aX'^{d\rho}Y^{(q-1)\tau} + bX'^{d\sigma}$ . Then k(X')/k(X) is a Galois extension whose Galois group is the cyclic group  $Z_d$  of order d, and we have  $\operatorname{Gal}(V', k(X')) \triangleleft \operatorname{Gal}(V, k(X))$  with  $\operatorname{Gal}(V, k(X))/\operatorname{Gal}(V', k(X')) = \operatorname{Gal}(k(X'), k(X))$ . By (10) we also have  $\operatorname{SL}(m, q) < \operatorname{Gal}(V', k(X')) \triangleleft \operatorname{Gal}(V, k(X)) < \operatorname{Gal}(V, k_0(X)) < \operatorname{GL}(m, q)$ . Therefore  $[\operatorname{Gal}(V, k(X)) : \operatorname{SL}(m, q)] \equiv 0 \pmod{d}$ . Thus:

(17)  $[\operatorname{Gal}(V, k(X)) : \operatorname{SL}(m, q)] \equiv 0 \pmod{(q-1)} \operatorname{GCD}(\sigma, q-1).$ 

Since  $\operatorname{GL}(m,q)/\operatorname{SL}(m,q) = Z_{q-1}$ , by (10) and (17) we see that:

(18) If  $\operatorname{GCD}(\sigma, q-1) = 1$  then  $\operatorname{Gal}(V, k_0(X)) = \operatorname{GL}(m, q)$ .

Since  $\operatorname{GL}(m,q)/\operatorname{SL}(m,q) = Z_{q-1}$  and  $\operatorname{PGL}(m,q)/\operatorname{PSL}(m,q) = Z_l$  with  $l = \operatorname{GCD}(m,q-1)$ , in view of Proposition 3.1, by (10) and (17) we see that:

(19)  $[\operatorname{Gal}(W, k(X)) : \operatorname{PSL}(m, q)] \equiv 0 \pmod{\operatorname{GCD}(m, q-1)/\operatorname{GCD}(\sigma, m, q-1)}.$ 

Since  $PGL(m,q)/PSL(m,q) = Z_l$ , by (4) and (19) we see that:

(20) If  $\operatorname{GCD}(\sigma, m, q-1) = 1$  then  $\operatorname{Gal}(W, k_0(X)) = \operatorname{PGL}(m, q)$ .

This completes the proof of the proposition.

From the above proposition, by reciprocation, we shall now deduce the following.

PROPOSITION 3.3: Recall that k is an algebraically closed field of characteristic p, and let  $k_0$  be a subfield of k such that k is an algebraic closure of  $k_0$  and  $GF(q) \subset k_0$ . Assume that  $K = k_0(X)$ . Let  $1 \leq \mu < m$  be an integer such that  $GCD(\nu, \tau^*) = 1$  where  $\nu = 1 + q + \cdots + q^{m-1}$  and  $\tau^* = q^{\mu} + q^{\mu+1} + \cdots + q^{m-1}$ . Let  $a^*$  and  $b^*$  be nonzero elements in  $k_0$ , and let  $\rho^*$  and  $\sigma^*$  be integers such that  $\rho^* \neq \sigma^*(\nu - \tau^*)/\nu$  (for instance  $\rho^* \neq 0 = \sigma^*$  or  $\rho^* = 0 \neq \sigma^*$ ). Let  $W^* = W^*(Y) = Y^{\nu} - a^* X^{\rho^*} Y^{\tau^*} + b^* X^{\sigma^*}$  and  $V^* = V^*(Y) = Y^{(q-1)\nu} - a^* X^{\rho^*} Y^{(q-1)\tau^*} + b^* X^{\sigma^*}$ . Then we have the following.

(3.3.1) We have

$$\operatorname{PSL}(m,q) < \operatorname{Gal}(W^*,k(X)) < \operatorname{Gal}(W^*,k_0(X)) < \operatorname{PGL}(m,q)$$

and we have

$$[\operatorname{Gal}(W^*, k(X)) : \operatorname{PSL}(m, q)] \equiv 0 \quad \left( \mod \frac{\operatorname{GCD}(m, q-1)}{\operatorname{GCD}(\sigma^*, m, q-1)} \right).$$

Moreover, if  $\sigma^* \in \nu \mathbb{Z}$  then  $\operatorname{Gal}(W^*, k(X)) = \operatorname{PSL}(m, q)$ . Likewise, if  $\operatorname{GCD}(\sigma^*, m, q-1) = 1$  then  $\operatorname{Gal}(W^*, k_0(X)) = \operatorname{PGL}(m, q)$ .

- (3.3.2) The polynomial W\* is irreducible in k(X)[Y], and X = 0 and X = ∞ are the only valuations of k(X)/k which are possibly ramified in the splitting field of W\* over k(X). Moreover, if ρ\* > σ\*(ν τ\*)/ν and the valuation X = 0 of k(X)/k is ramified in the splitting field of W\* over k(X) then it is tamely ramified. Finally, if ρ\* > σ\*(ν τ\*)/ν and σ\* ∈ νZ then X = ∞ is the only valuation of k(X)/k which is ramified in the splitting field of W\* over k(X).
- (3.3.3) We have  $\operatorname{SL}(m,q) < \operatorname{Gal}(V^*,k(X)) < \operatorname{Gal}(V^*,k_0(X)) < \operatorname{GL}(m,q)$  and we have  $[\operatorname{Gal}(V^*,k(X)): \operatorname{SL}(m,q)] \equiv 0 \pmod{(q-1)}/\operatorname{GCD}(\sigma^*,q-1))$ . Moreover, if  $\sigma^* \in (q-1)\nu\mathbb{Z}$  then  $\operatorname{Gal}(V^*,k(X)) = \operatorname{SL}(m,q)$ . Likewise, if  $\operatorname{GCD}(\sigma^*,q-1) = 1$  then  $\operatorname{Gal}(V^*,k_0(X)) = \operatorname{GL}(m,q)$ .
- (3.3.4) The polynomial V\* is irreducible in k(X)[Y], and X = 0 and X = ∞ are the only valuations of k(X)/k which are possibly ramified in the splitting field of V\* over k(X). Moreover, if ρ\* > σ\*(ν τ\*)/ν and the valuation X = 0 of k(X)/k is ramified in the splitting field of V\* over k(X) then it is tamely ramified. Finally, if ρ\* > σ\*(ν τ\*)/ν and σ ∈ (q 1)νZ then X = ∞ is the only valuation of k(X)/k which is ramified in the splitting field of V\* over k(X).

Proof: Let  $\tau = \nu - \tau^*$  and  $\rho = \rho^* - \sigma^*$  and  $\sigma = -\sigma^*$ . Now, since  $\operatorname{GCD}(\nu, \tau^*) = 1$ , we get  $\operatorname{GCD}(\nu, \tau) = 1$ , and since  $\rho^* \neq \sigma^*(\nu - \tau^*)/\nu$ , we get  $\rho \neq \sigma(\nu - \tau)/\nu$ . Clearly  $\operatorname{GCD}(\sigma^*, q - 1) = \operatorname{GCD}(\sigma, q - 1)$  and  $\operatorname{GCD}(\sigma^*, m, q - 1) = \operatorname{GCD}(\sigma, m, q - 1)$ . Also clearly:  $\rho^* > \sigma^*(\nu - \tau^*)/\nu \Leftrightarrow \rho > \sigma(\nu - \tau)/\nu$ . Likewise:  $\sigma^* \in \nu \mathbb{Z} \Leftrightarrow \sigma \in \nu \mathbb{Z}$ . Similarly:  $\sigma^* \in (q - 1)\nu \mathbb{Z} \Leftrightarrow \sigma \in (q - 1)\nu \mathbb{Z}$ . Let  $a = a^*b^{*-1}$  and  $b = b^{*-1}$ , and let W and V be as in Proposition 3.2. Then  $W(Y) = b^{*-1}X^{-\sigma^*}Y^{\nu}W^*(Y^{-1})$ and  $V(Y) = b^{*-1}X^{-\sigma^*}Y^{(q-1)\nu}V^*(Y^{-1})$ . Therefore Proposition 3.3 follows from Proposition 3.2.

Remark 3.4: We have deduced the "Likewise" in (3.2.1), and hence also the "Likewise" in (3.3.1), from the "Likewise" in (3.2.3). In case of m = 2, the "Likewise" in (3.2.1), and hence also the "Likewise" in (3.3.1), can be deduced directly from (2.1.6) by interposing the following material in the proof of Proposition 3.2 between (9) and (10):

For a moment suppose that m = 2 and  $\sigma \not\equiv 0 \pmod{2}$ . If p = 2 then

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PSL(2,q) = PGL(2,q) and hence by (4) we get  $Gal(W, k_0(X)) = PGL(2,q)$ . So also suppose that  $p \neq 2$ . Upon letting  $W' = W'(Y) = Y^{q+1} - aX^{q\rho}Y + bX^{q\sigma}$  we obviously have Gal(W', k(X)) = Gal(W, k(X)). Upon letting  $\sigma' = q\sigma - (q+1)\rho$  we have  $X^{-(q+1)\rho}W'(X^{\rho}Y) = Y^{q+1} - aY + bX^{\sigma'}$  and hence  $Gal(W', k(X)) = Gal(Y^{q+1} - aY + bX^{\sigma'}, k(X))$ . Clearly  $\sigma' \neq 0 \pmod{2}$  and [PGL(2,q): PSL(2,q)] = 2, and by (4) we have

$$\operatorname{PSL}(2,q) < \operatorname{Gal}(Y^{q+1} - aY + bX^{\sigma'}, k(X)) < \operatorname{PGL}(2,q),$$

and by (2.1.6) we have  $\operatorname{Gal}(Y^{q+1} - aY + bX, k(X)) = \operatorname{PGL}(2, q)$ ; therefore, in view of Corollary (3.1) of the Substitutional Principle on page 99 of [Ab4], we get  $\operatorname{Gal}(Y^{q+1} - aY + bX^{\sigma'}, k(X)) = \operatorname{PGL}(2, q)$ . Therefore  $\operatorname{Gal}(W, k(X)) = \operatorname{PGL}(2, q)$ , and hence by (4) we get  $\operatorname{Gal}(W, k_0(X)) = \operatorname{PGL}(2, q)$ . Thus: If m = 2 and  $\sigma \neq 0$ (mod 2) then  $\operatorname{Gal}(W, k_0(X)) = \operatorname{PGL}(2, q)$ .

# 4. Proof of Theorems 1.1 to 1.5

Theorems 1.1 and 1.2 follow from Proposition 3.2. Theorems 1.3 and 1.4 follow from Proposition 3.3. Theorem 1.5 follows from (2.1.5).

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