

## ARTS AND HUMANITIES LITERATURE: BIBLIOMETRIC CHARACTERISTICS OF CONTRIBUTIONS BY TURKISH AUTHORS

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## **ARTS AND HUMANITIES LITERATURE: BIBLIOMETRIC CHARACTERISTICS OF CONTRIBUTIONS BY TURKISH AUTHORS**

### **Abstract**

Scholarly communication in arts and humanities differs from that in the sciences. Arts and humanities scholars rely primarily on monographs as a medium of publication whereas scientists consider articles that appear in scholarly journals as the single most important publication outlet. The number of journal citation studies in arts and humanities is therefore limited. In this paper we investigate the bibliometric characteristics of 507 arts and humanities journal articles written by authors affiliated with Turkish institutions and indexed in the *Arts & Humanities Citation Index (A&HCI)* between the years 1975-2003. Journal articles constituted more than 60% of all publications. One-third of all contributions were published during the last four years (1999-2003) and appeared in 16 different journals. An overwhelming majority of contributions (91%) were written in English and 83% of them had single authorship. Researchers based at Turkish universities produced 90% of all publications. Two-thirds of references in publications were to monographs. The median age of all references was 12 years. Eighty percent of publications authored by Turkish arts and humanities scholars were not cited at all while the remaining 20% (or 99 publications) were cited 304 times (an average of three citations per publication). Self-citation ratio was 31%. Two-thirds of the cited publications got cited for the first time within two years of their publications.

### **1. Introduction**

Garfield (1980a) points out that research and scholarly communication in sciences differ in many respects from that in the arts and humanities. "In contrast to scientists' study of the physical universe, humanists are concerned with those *human* achievements which make up our cultural heritage. The works of art, religion, and philosophy which comprise this legacy are not superseded as scientific works are." (p. 42, italics are original). Humanistic scholarship is "cumulative and least susceptible to obsolescence" (Stern, 1983, p. 205). Garfield (1980a, p. 43) notes that "...humanities scholars are less compulsive about the literature than scientists

are” and gives an example: If a scientist discovered, say, the structure of DNA, and published an article about it, there is nothing other scientists can do about it whereas if a music scholar published a monograph on Bach, “you do not burn your manuscript. You know that no one (including yourself) will ever be able to say the last word about Bach and his music” (p. 43). He also notes that arts and humanities scholars prefer books over journal articles as medium of publication and that “the citation tradition in the humanities is not as strong as it is in the sciences. An art historian may not formally cite such works as *Guernica* or the *Mona Lisa*. A literary critic would not cite Shakespeare everytime he mentions *Hamlet*” (p. 44).

Garfield has first envisioned the use of citations listed in the bibliographies of articles to make connections between citing and cited works and later founded the Institute for Scientific Information (ISI, now Thomson Scientific) which has been publishing the well-known and widely used *Science Citation Index (SCI)*, the *Social Science Citation Index (SSCI)* and the *Arts & Humanities Citation Index (A&HCI)* since then. Citation indexes list documents that have been cited and the sources of these citations. Citation indexes are also useful for information retrieval and literature search. They can help researchers find relevant publications, keep up-to-date with relevant research, and eliminate the duplication of prior research. They are also used to evaluate the productivity of researchers, institutions or nations and the relative importance of works in question by providing various citation statistics (journal impact factors, immediacy index, obsolescence rates, and so on). In line with the increasing number of publications, *SCI* covers over 5900 science and technical journals while *SSCI* lists the contents of 1700 social sciences journals today. The *A&HCI*, which forms the basis of this study, covers over 1130 leading arts and humanities journals representing the fields of literature, art, architecture, archaeology, music, religion, classics, philosophy, history, dance, folklore, film, TV, radio, linguistics, philology, and theater (ISI, 2004a; Garfield 1980a, p. 44; İnönü, 2003).

Garfield (1980a) describes in detail how they developed *A&HCI* for the arts and humanities scholars, its indexing principles, and the difference between *A&HCI* and other citation indexes. *A&HCI* indexes not only the journal articles but also “reviews of books, theater, opera, music and dance performances, recordings, and arts shows....editorials, interviews, discussions, notes, letters, bibliographies, chronologies, corrections, errata, original poetry, short stories, essays, plays, and book excerpts” (p. 45). It “also covers individually selected,

relevant items from over 7,000 science and social sciences journals” since 1979 (ISI, 2004b; Garfield, 1980a, p. 45).

The citation indexing of arts and humanities publications is somewhat different from that of sciences and social sciences. As such publications may contain reproductions of paintings or music scores, or mention novels and dance performances, without actually formally citing those works, they are treated by ISI indexers as “implicit” citations and indexed accordingly (Garfield, 1980a, p. 47). When a citing article includes a work of art, a portion of a musical score, or contains an implicit citation not listed in its bibliography or footnotes, *A&HCI* displays the abbreviations of “ILL”, “MUS” and “IMP”, respectively, as the cited volume (ISI, 2004c, Stern, 1983, p. 200). ISI indexers also add new terms (in parentheses) to the original source titles “that clarify, add meaning or supply search access points that would otherwise not exist.” Such “title enhancements,” as they are called, are a unique feature of *A&HCI* (ISI, 2004d).

## 2. Literature Review

The availability of ISI’s citation indexes made it possible to carry out a wide range of bibliometric and scientometric studies based on bibliometric characteristics of publications and references therein. Some of these works deal with scientific productivities of countries (Haiqi & Yuhua 1997; İnönü & Kurnaz 2002; Jacobs & Ingwersen 2000; Moed 2002; Moed, De Bruin & Van Leeuwen 1995; Ortiz-Rivera, Sanz Casado & Suárez-Balseiro 2000; Osareh & Wilson 2000; Uzun & Özel 1996; Wilson & Osareh 2003). Others make comparisons between countries in terms of their contributions to the world’s overall scientific productivity (Braun, Glänzel & Grupp 1995a, 1995b; Garfield 1983). The great majority of citation analysis studies concentrate on scholarly articles that appear in science and social science journals whereas relatively fewer citation studies are carried out in arts and humanities. The main reason for this is that different scientific disciplines have somewhat different scholarly communication modes and that citations serve different functions.

Arts and humanities scholars “prefer to work alone, and ... do their research on their own” (Buchwald, 1999). They publish books predominantly, whereas books as a medium of scholarly communication are neglected by scientists (Hicks, 2004, p. 474). Hicks (2004) reviewed the bibliometric studies of social sciences and humanities and found that scholars in

these disciplines publish in four distinct literatures (journal articles, books, national literatures, and non-scholarly literature), each serving a different purpose. As Hicks suggests, the citation behaviors of scientists, social scientists and arts and humanities scholars are also different. "In the humanities, referencing is archival (de Solla Price, 1970) and citation counts accumulate at a geological rate from the perspective of policy makers" (Hicks, 2004, p. 473).

Books as a medium of publication play a more important role in the humanities and social sciences (e.g., Garfield, 1980a, 1982a). Lindholm-Romantschuk and Warner (1996) studied the diffusion of ideas in the humanities and social sciences through citation analysis in monographic publications. They selected a sample of 116 single-authored monographs from philosophy, sociology and economics published between 1973 and 1990 and identified citations to them through *A&HCI* and *SSCI*. They also identified citations to the journal articles written by the very same authors within a period of five years starting with the publication year of the monograph of each author. They hypothesized that "[i]n selected disciplines the scholarly monograph has a greater impact (as indicated by subsequent citations) than journal articles" (p. 394). They analyzed the data set and found that scholarly monographs generate 7.7 times more citations than journal articles written by the same authors in philosophy, 2.6 times in sociology, and 2.4 times in economics (p. 395). Such findings indicate that "...social and human sciences tend to have different communication patterns from the natural, physical and mathematical sciences. For instance, they can be less formalized in their communication patterns and monographic publication may have greater significance" (Lindholm-Romantschuk and Warner, 1996, p. 391).

Similar findings were reported by other researchers as well. Stern (1983) found that about 80% of the references contained in source articles on literary movements and creative writings were to books while about 15% to journal articles (p. 207). This indicates that literary scholars make use of monographs much more often than journal articles. Heinzkill (1980, p. 355) studied the distribution of 9556 citations contained in articles published in English literary journals and obtained similar results (75% to books, 20% to journal articles, and 5% to other material). Cullars (1992, p. 334) found that citations to journal articles in the fine arts literature constitute 23.6% of all citations while monographs do 60.6% and manuscripts 14.8%. "Broadus (1971) found that books received about 60-70% of all citations in disciplines in the humanities

like music, philology, and fine arts, 30-60% in various social sciences, and below 10% in some natural sciences" (cited in Nederhof, Zwaan, De Bruin and Dekker, 1989, p. 424). Hicks (2004) cited several studies in which it was found that books received more citations than that of journal articles in various disciplines of social sciences. Yet books are not fully covered by citation indexes, making evaluation of such works more difficult (Hicks, 2004, p. 473). Although books constitute "a relatively small percentage of output, they account for a substantial proportion of citations in the SSCI –as much as 40%. Indicators built from SSCI indexed material –journal articles and citations to them– will miss the 40% of citations received by books" (Hicks, 2004, p. 474). Therefore, performance evaluation studies based on the citation characteristics of publications in the arts and humanities and social sciences tend to be less reliable than those of sciences.

Nederhof et al. (1989) compared the use of bibliometric indicators in the humanities and social sciences. They studied the publications of Dutch scholars in the humanities (social history, general linguistics, general literature, Dutch literature and Dutch language) and social and behavioral sciences (experimental psychology, anthropology, and public administration) to test the hypotheses that (a) books are more important as a medium of publication; and, (b) scholars write mainly for local public in these disciplines. They found that humanities scholars publish scholarly articles as well as popularizing articles which serve to enlighten the public.

Book reviews are also important in the arts and humanities. Journals contain several book reviews. In her dissertation work, Lindholm-Romantschuk (1994) studied "an elite sample of scholarly monographs published by university presses 1971-1990" and found that "book reviews were significant indicators of scholarly communication and that they can be successfully used to trace flows of information within and across knowledge domains" (cited in Lindholm-Romantschuk & Warner, 1996, p. 391). Stern (1983, p. 203) also observed that book reviews make up the large proportion of the literature of literary scholarship, indicating the "book-oriented nature" of the field.

*A&HCI* indexes book reviews contained in the arts and humanities journals. Garfield (1982a) notes that book reviews constitute more than 40% of the articles indexed in *A&HCI*, although they get, on the average, much fewer citations than journal articles. A journal article gets cited, on the average, more than 18 times whereas a book review does 1.4 times (Garfield

1982a, p. 6). Hicks (2004, p. 476) found "little overlap between books that were reviewed and cited. When asked, historians responded that reading a book is the best way to evaluate it, followed by reading a review and then by number of citations."

Nederhof and Zwaan (1991) studied if some journals can be considered "core" in the humanities. They found that many humanities fields are characterized by "core" journals similar to journals in science and engineering. They noted that the use of citation-based assessment of literature in nationally-oriented subjects or in languages other than English may not be the best option as they are not internationally oriented fields. Heinzkill (1980) found that some journals can be considered "core" in English literature and noted that "50 percent of the citations came from 19 journals, 61 percent came from 33 journals, yet the distribution of citations did not produce the Bradford curve" (p. 357). The Bradford curve "describes how the literature on a particular subject is scattered or distributed in the journals" (Garfield, 1980b, p. 5).

Heinzkill (1980) also found that the recency of articles is not as important in English literature as is in the sciences. "The past ten years satisfied about 40 percent of the journal demand while 71 percent of the citations were satisfied by journals published since 1945. . . . By contrast, in the sciences 70-90 percent of the journal use is of journals appearing within the past ten years" (Heinzkill, 1980, p. 360). Similarly, fine arts journals obsolesce much more slowly than those in the sciences and social sciences (Cullars, 1992, p. 338). Cullars concluded that:

The highly interdisciplinary nature of scholarship in the fine arts suggests that any attempt to build a core library collection of fine arts sources based mainly on citation data would be a misguided endeavor. The very wide chronological span of cited sources further suggests that the weeding of older materials is more problematic than in many other disciplines and that retrospective purchases should be encouraged (Cullars, 1992, p. 341).

As scholarly communication patterns and citation behaviors of arts and humanities scholars tend to differ from those of scientists and social scientists, performance evaluation studies based on citation analysis in these fields have been questioned. The majority of professors in the humanities in Germany thought that "problem of quality cannot be tackled with

quantitative methods" (Finkenstaedt, 1980, p. 410). Finkenstaedt (1980) pointed out that some disciplines in the humanities such as regional studies, local history and teacher training do not address an international audience and thus "have a limited range as far as output and impact are concerned, but it would be ridiculous to rate such subjects lower than the sciences" (p. 414). He emphasized the need for "a more comprehensive study of the overall citation behaviour in the humanities" (p. 415) and noted that "[i]n the humanities we cannot expect a natural survival of the fittest" (Finkenstaedt, 1980, p. 416).

Problems of citation analysis are well known. MacRoberts and MacRoberts (1989) cited no less than seven major problems (biased citations and self-citations, omissions, variations in citation rate related to type of publication, nationality, time period, size and type of specialty are among them). In a more recent study, Nederhof, Luwel and Moed (2001) assessed the quality of scholarly journals in linguistics by means of non-citation-based measures, which, they thought, provide "an alternative to research performance assessment based exclusively on ISI citation indices" (p. 264). Because they thought that the ISI-based citation measures cannot be used successfully in nationally-oriented subjects or in languages other than English, they decided to use a questionnaire to identify the quality scholarly journals in the humanities disciplines by asking 295 scholars in linguistics. The sample was selected from among all the linguistics scholars world-wide. They found that: "a large part of the publications deal with nationally oriented topics rather than with 'cosmopolitan' topics as in the natural and life sciences; frequent use is made of national language(s) rather than English; an important part of the publication occurs in local outlets rather than in internationally refereed journals; journals are less important than in natural and life sciences; coverage of journals in ISI's Citation Indices is unsatisfactory" (Nederhof et al., 1989, p. 241-42).

Hérubel and Buchanan (1994) published a selective and annotated bibliography on citation studies in the humanities and social sciences which covers journal articles, theses, proceedings, book chapters and monographs.

Citation-based performance evaluation studies have also been carried out in Turkey. Using mainly ISI's citation indexes, Turkey's contribution to the world's scholarly literature in sciences, medicine and social sciences has been studied and compared with other countries within the last 15 years (Denkel, Kâğıtçıbaşı, Pak & Pamuk 1996, 1999; Garfield, 1991; Gülgöz,



Yedekçioğlu & Yurtsever, 2002; Tonta, 2000; Tonta & İlhan, 1997; Uzun, 1996, 1998; Yurtsever, Gülgöz, Yedekçioğlu & Tonta, 2001, 2002). Although these works provide information about Turkey's contribution to the world literature and give bibliometric features of publications, no research has so far been carried out on bibliometric characteristics of publications authored by the arts and humanities scholars affiliated with Turkish institutions.

### 3. Method

This study aims to investigate the performance of the Turkish arts and humanities scholars by carrying out a bibliometric study (number of authors, authors' affiliations, journals, etc.) and a citation analysis of their publications that appeared between 1975 and 2003. The following research questions were addressed:

1. What is the distribution of publication types (monographs, journal articles, book reviews, etc.) authored by Turkish arts and humanities scholars?
2. In which journals do Turkish arts and humanities scholars publish more often?
3. Is there a core list of journals that publish contributions by the Turkish arts and humanities scholars?
4. Is the single authorship prevalent in the arts and humanities publications?
5. What types of sources (monographs, journal articles, book reviews, etc.) get cited more often in the arts and humanities literature?
6. What is the median age (half-life) of the cited references in the arts and humanities publications?
7. What percentage of publications authored by Turkish arts and humanities scholars gets cited, how often, and by what types of publications?
8. How long does it take for publications authored by Turkish arts and humanities scholars to get their first citations?

The ISI's *A&HCI* database, available through Thomson's Web of Science (WoS), was used to identify publications. In this study, the term "publication" is defined, unless otherwise indicated, as journal articles, book reviews, meeting abstracts, notes, etc. that were authored by the arts and humanities scholars affiliated with Turkish institutions and included in the *A&HCI* database. The term "contribution" was sometimes used interchangeably with "publication"

throughout the text. Similarly, "arts and humanities scholars affiliated with Turkish institutions" were sometimes loosely referred to as "Turkish authors" or "Turkish scholars". To identify publications in the database, an online search was performed on November 12, 2003 using the "address" field that is available. In order to obtain reliable data, different forms (including abbreviations) of Turkish addresses in different languages (e.g., "Turkey," "Türkei," "Turquie," "Turkish") were entered in the address field. A total of 507 such publications were identified. Each record provided information about the author name(s), author address(es), journal name, publication year, language, document type, number of references included in the publication, and the number of citations that the publication received as of November 12, 2003. Separate files were created for author names, journal names, and author addresses of all the 507 publications. Addresses that belonged to the same institution but entered in different forms or in different languages (e.g., "Bogazici Univ" and "Bosphorus University" refer to the same university, the former being in Turkish) were treated as the same and classified accordingly. As the address field of the *A&HCI* database was used to identify publications, a few foreign authors who at the time worked for Turkish universities or research centers were identified during the search. If the foreign author was the sole contributor of a publication, such cases were excluded from the final count. The affiliation addresses of the first authors and joint authors were counted separately and the institutions were credited accordingly. The SPSS (Statistical Package for Social Sciences) and Microsoft Excel were used to evaluate the data.

The distribution of publication types (monographs, journal articles, book reviews, etc.) and the number of contributing authors were identified along with the journal names that contained the highest number of publications authored by Turkish arts and humanities scholars. References contained in the arts and humanities source publications were analyzed so as to find out the distribution of cited publications by type (e.g., monographs, journal articles). The median age of cited references (half-life) for each publication authored by Turkish arts and humanities scholars was also calculated. The term "half-life" is defined as "the time during which one-half of the currently active literature was published" (Line, 1970, p. 46). Publications of the Turkish arts and humanities scholars that were cited at least once in the literature were identified along with self-citations. The time span between the publication date and the first citation date was calculated for each publication that received at least one citation.

#### 4. Findings

As indicated earlier, there were 507 publications indexed in the *A&HCI* between the years 1975-2003. This figure constitutes approximately a mere 0.016% of the total publications in the arts and humanities literature of the world for the same period.<sup>1</sup> According to the data gathered by the Turkish Academic Network and Information Center, Turkey ranked 73<sup>rd</sup> in 1975 in the world in the arts and humanities literature and 36<sup>th</sup> in the year 2002 (TÜBİTAK, 2003). Figure 1 shows the gradual rise of Turkey's average rank in five-year periods (except the last period that provides the average of four years, i.e. 2000-2003).

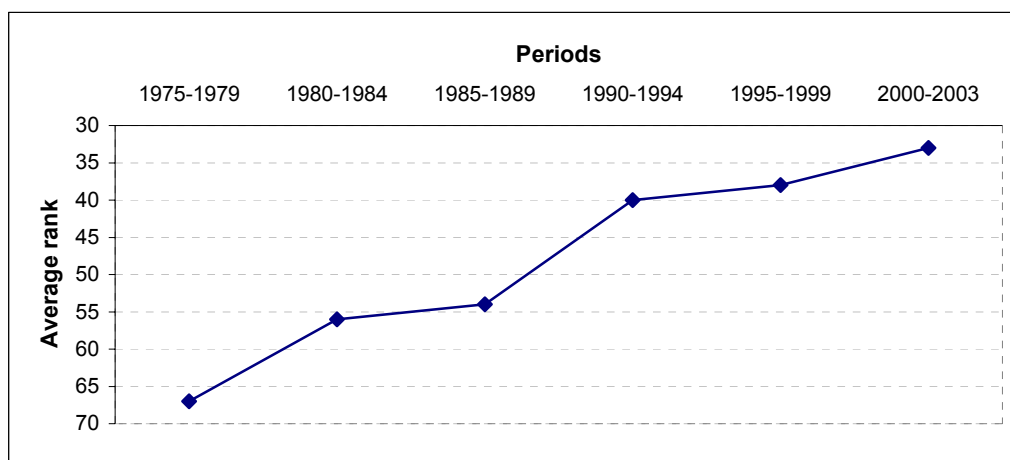


Figure 1. Average rank of Turkey in the world's arts and humanities literature (1975-2003)

Figure 2 displays the number of arts and humanities publications contributed by authors based in Turkey. The number of publications did not increase much until 1990s. A total of 100 publications were identified during the first 15 years (1975-1989), constituting only 20% of the total number of publications in this study. Yet the number of publications has more than quadrupled (407) within the last 14 years (1990-2003), making up the remaining 80% of all publications under review. Consequently, the contribution of Turkish authors to the world's annual arts and humanities literature has increased from 0.046% in 1996 to 0.079% in 1999 and to 0.090% in 2002 (Tonta & İlhan, 1997, p. 68; TÜBİTAK, 2003). Although the annual output seems to be rather low, the relatively high increase in the number of publications in the last decade or so is encouraging. The decision of the Turkish Higher Education Council to have

<sup>1</sup> At the time of our search, the *A&HCI* database had indexed a total of 3,087,703 publications.

publications listed in the *A&HCI* as one of the promotion criteria for scholars working for universities, along with the modest monetary support of the Turkish Academy of Sciences to such scholars, seems to have had a positive impact on the increase of the number of arts and humanities publications in 1990s.

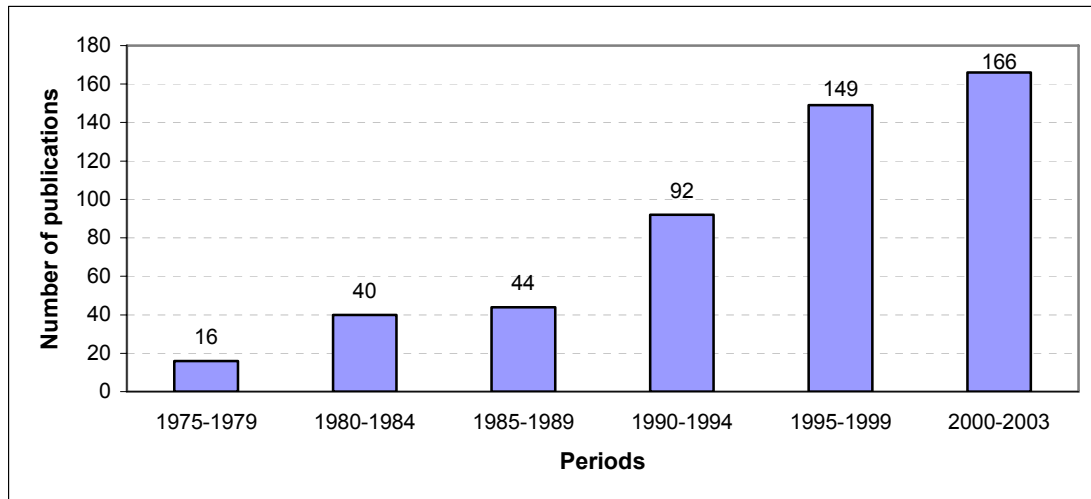


Figure 2. Number of publications with Turkish addresses in the *A&HCI* database ( $N = 507$ )

The distribution of publications by their types is given in Table 1. Journal articles constituted 62.7% of all publications while book reviews made up an additional 22.7% of all publications. Publications classified under the “Other” category (theater reviews, film reviews, art exhibit reviews, poetry, editorial materials, letters, corrections, additions, fiction, creative prose, and biographical items) constituted the remaining 6.7% of all publications. The great majority of all publications (91%) were written in English. German (4.3%), French (3.1%) and Russian (1%) followed the English language. Heinzkill (1980, p. 361) reported similar results for cited items in English literary journal articles (91% were in English).

Table 1. Distribution of publications by types ( $N = 507$ )

Publication type	N	%
Article	318	62.7
Book Review	115	22.7
Meeting Abstract	26	5.1
Note	14	2.8
Others	34	6.7
Total	507	100.0

In an earlier study Tonta and İlhan (1997, p. 70) found that the percentage of journal articles contributed by social scientists affiliated with Turkish institutions and indexed in *SSCI* was 76% while book reviews was a mere 6%. Figures in Table 1 suggest that book reviews are indeed a more important type of publication in the arts and humanities than that in social sciences, verifying the findings of Garfield (1982a), Stern (1983), and Lindholm-Romantschuk and Warner (1996) as regards the “book-oriented nature” of arts and humanities.

Book reviews as a publication type may not necessarily reflect the real productivity of the Turkish arts and humanities scholars due to language, availability and accessibility of the reviewed items. It is likely that *A&HCI* would prefer to index book reviews written for books published in English language rather than in other languages. In addition to the language barrier, arts and humanities scholars based in Turkey would be in a disadvantageous position to obtain these books in a timely manner to review and then submit their reviews to scholarly journals. For instance, we identified 98 book reviews in *A&HCI* written by authors affiliated with Turkish institutions between 1994-2001. Yet, 36 of those book reviews were written by foreign scholars affiliated with Turkish institutions. An additional 20 book reviews were written by Talat Sait Halman, an eminent professor, author and poet. In other words, a total of 42 book reviews (an average of four book reviews per year) were contributed by the remaining Turkish arts and humanities scholars. Compare this with the figures for Wales, a small country speaking both Welsh and English. We identified 2822 book reviews written by Welsh scholars for the same period.<sup>2</sup>

Book reviews on the other hand are not as heavily weighted as that of original journal articles in the Turkish academic system, which may further limit the number of such contributions by Turkish arts and humanities scholars.

Publications authored by Turkish arts and humanities scholars appeared in 230 different journals. More than half the publications were published in 40 different journals while the remaining half appeared in 190 journals. There were 20 journals publishing five or more contributions by Turkish authors. Some 38% (190 publications) of all publications appeared in those 20 journals listed in Table 2.

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<sup>2</sup> Figures reported here were obtained from the *A&HCI* database. The search was performed on November 12, 2004.

Table 2. Journals publishing five or more contributions by Turkish authors

Journal name	N	%
American Journal of Archaeology	34	6.7
World Literature Today	26	5.1
Antiquity	12	2.4
Muslim World	10	2.0
American Studies International	9	1.8
Journal of Economic History	9	1.8
Asian Folklore Studies	8	1.6
International Journal of Middle East Studies	8	1.6
Degres-Revu� de Synthes� A Orientation Semiologique	8	1.6
Bulgarian Historical Review-Revu� Bulgare D'Histoire	7	1.4
Journal of Archeological Science	7	1.4
Arts of Asia	7	1.4
Theatre Journal	7	1.4
Journal of American History	6	1.2
Journal of Pragmatics	6	1.2
Comparative Studies in Society and History	6	1.2
TLS-The Times Literary Supplement	5	1.0
Journal of Near Eastern Studies	5	1.0
Archaeometry	5	1.0
Journal of the Society of Architectural Historians	5	1.0

The most recurrent journal was *American Journal of Archaeology*, publishing 34 contributions by Turkish authors. This journal is followed by *World Literature Today*, *Antiquity*, and *Muslim World*, respectively. Almost a quarter (124) of all publications were published in the first nine journals.

The distribution of the number of publications that appeared in journals and indexed in *A&HCI* is given in Table 3 and Figure 3. The first one-third (33.5% to be exact) of all publications appeared in 16 different arts and humanities journals whereas the next one-third did in 59 different journals and the last one-third appeared in 155 different journals. The number of journals in which only one contribution by Turkish authors appeared amounted to 61.3% (or 141) of all journal titles. It appears that the scatter of publications by Turkish arts and humanities scholars does not conform to the traditional Bradford curve, suggesting that a "core literature is less clearly delineated" (Hicks, 2004, p. 473).

Table 3. The number of publications by Turkish authors that appeared in the arts and humanities journals

Number of journals	Number of publications	Total number of publications	Cumulative number of journals	Cumulative percentage of all publications
1	34	34	1	6.7
1	26	26	2	11.8
1	12	12	3	14.2
1	10	10	4	16.2
2	9	18	6	19.7
3	8	24	9	24.5
4	7	28	13	30.0
3	6	18	16	33.5
4	5	20	20	37.5
11	4	44	31	46.2
16	3	48	47	55.6
42	2	84	89	72.2
141	1	141	230	100.0
Total	230	507		

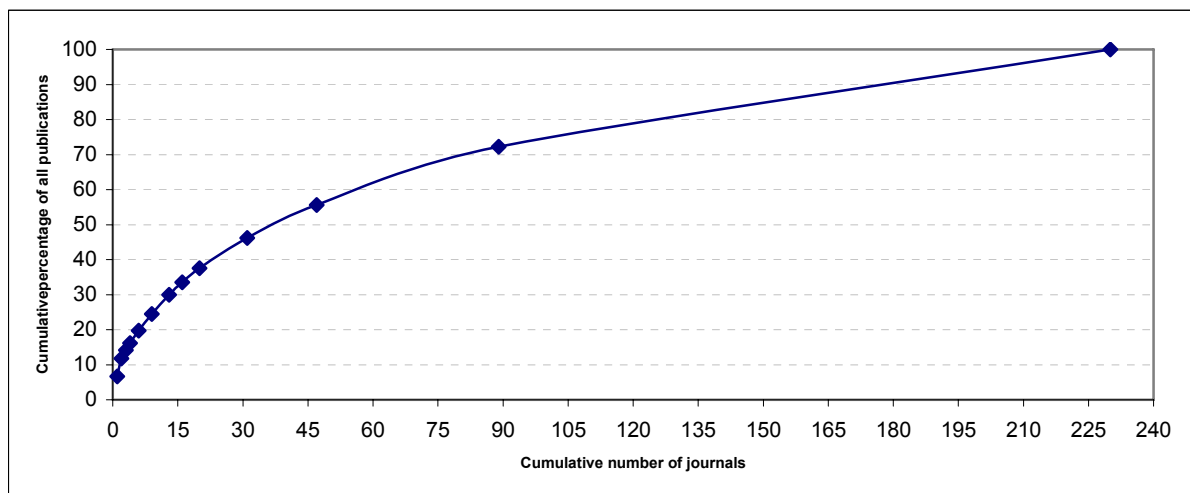


Figure 3. Distribution of the number of publications by Turkish authors that appeared in the arts and humanities journals

The great majority (83%) of publications had single authorship. The general tendency is towards single authorship. This shows that, contrary to researchers in biomedicine or physics in which multiple authorship is the norm, the arts and humanities scholars like solitary work and they do their own research (Buchwald, 1999).

Publications with multiple authors constituted only 17% of all publications. There were 53 publications with two authors, 15 with three authors, and seven with four authors. Only nine publications had five or more authors. The highest number of contributors to a single publication was 10. Nevertheless, we observed a small tendency towards multiple authorship in the arts

and humanities literature. The percentage of publications with multiple authors was 12% in the second half of 1970s whereas the ratio rose to 22% in recent years. The average number of authors per publication rose from 1.19 to 1.37. This increase may perhaps be interpreted as a sign of a more collaborative and project-oriented research that is taking place in the arts and humanities fields in recent years. Yet the figures of multiple authorship rate and average number of authors per publication in the arts and humanities were indeed quite low compared to, say, those of biomedical publications (95% multiple authorship rate and an average of 4.1 authors per publication) authored by Turkish scientists (Tonta, 2000).

The total number of different authors contributing to 507 publications was 422 (Table 4). Ten percent of all publications were produced by the five most prolific authors while a quarter of all publications were produced by 24 different authors. Three-fourths (318) of all authors contributed to the literature with only a single publication, which amounted to 47.7% of all publications. The overwhelming majority (90%) of authors were affiliated with Turkish universities. In fact, more than half (57%) of the contributing authors came from Bilkent, Bosphorus and the Middle East Technical Universities (Table 5).

Table 4. Distribution of publications by number of contributing authors.

Number of authors	Number of different publications they contributed to	Cumulative number of authors	Cumulative percentage of all publications
1	20	1	3.0
1	15	2	5.2
2	11	4	8.5
1	10	5	10.0
1	8	6	11.2
2	7	8	13.3
4	6	12	16.9
6	5	18	21.3
6	4	24	25.0
22	3	46	34.9
58	2	104	52.3
318	1	422	100.0



Table 5. The most prolific universities in *A&HCI*

The name of the university	N	%
Bilkent	141	26.6
Bosphorus	94	17.7
METU	68	12.8
Ankara	34	6.4
İstanbul	31	5.9
ITU	18	3.4
Hacettepe	16	3.0
Others	128	24.2
Total	530	100.0

Note: The total is 530, rather than 507, due to multiple authorships.

The total number of references included in 507 publications was 9789 (average per publication being 19). More than half of all publications listed eight or more references. Ten percent of the arts and humanities publications contained no references at all. Journal articles contained relatively more references (average being 26 references). More than half of all articles cited 20 or more references while 5% did not cite any references at all. Journal articles comprising more than 60% (or 318) of all publications contained more than 85% (or 8327) of all references.

References listed in the 331 source publications (almost 60% of all publications) that appeared between 1994 and 2003 were analyzed to find out if monographs or journal articles were cited more heavily by the Turkish arts and humanities scholars. An analysis of the 70% (or 6826) of all references contained in 331 source publications showed that two-thirds (or 4525) of references were to monographs and other publications while one-third (2301) were to journal articles. Six review articles contained 20% (or 466) of all references to journal articles, which decreases the weight of the impact of journal articles even further (i.e., 27% of all references). These figures clearly indicate that monographs have much greater importance in the arts and humanities literature, further reinforcing the findings of previous studies that we reported earlier (e.g., Broadus, 1971; Heinzkill, 1980; Stern, 1983; Cullars, 1992).

The publication years of references contained in the arts and humanities sources ranged between 1549 and 2003.<sup>3</sup> Half the references were 12 years old or younger (Figure 4). The number of sources that were cited in the same year as they were published was only 50 (or 0.6% of all references). References that were two years old or younger constituted about 9% of

<sup>3</sup> Some 14% of all references contained in the arts and humanities source publications lacked publication dates.

all references in the source publications. Arts and humanities sources that were three or four years old were the most heavily cited ones by the Turkish scholars. Sources that were five years old or younger constituted 24% of all references while 26 years old or younger ones did 75%.

Our findings indicate that publications in the arts and humanities tend to get aged more slowly than that in sciences and social sciences (see also Heinzkill, 1980, and Cullars, 1992). In other words, arts and humanities publications continue to get cited long after they were published, a finding that should be taken into account by collection development librarians when they “de-select” sources for off-campus storage or for disposal.

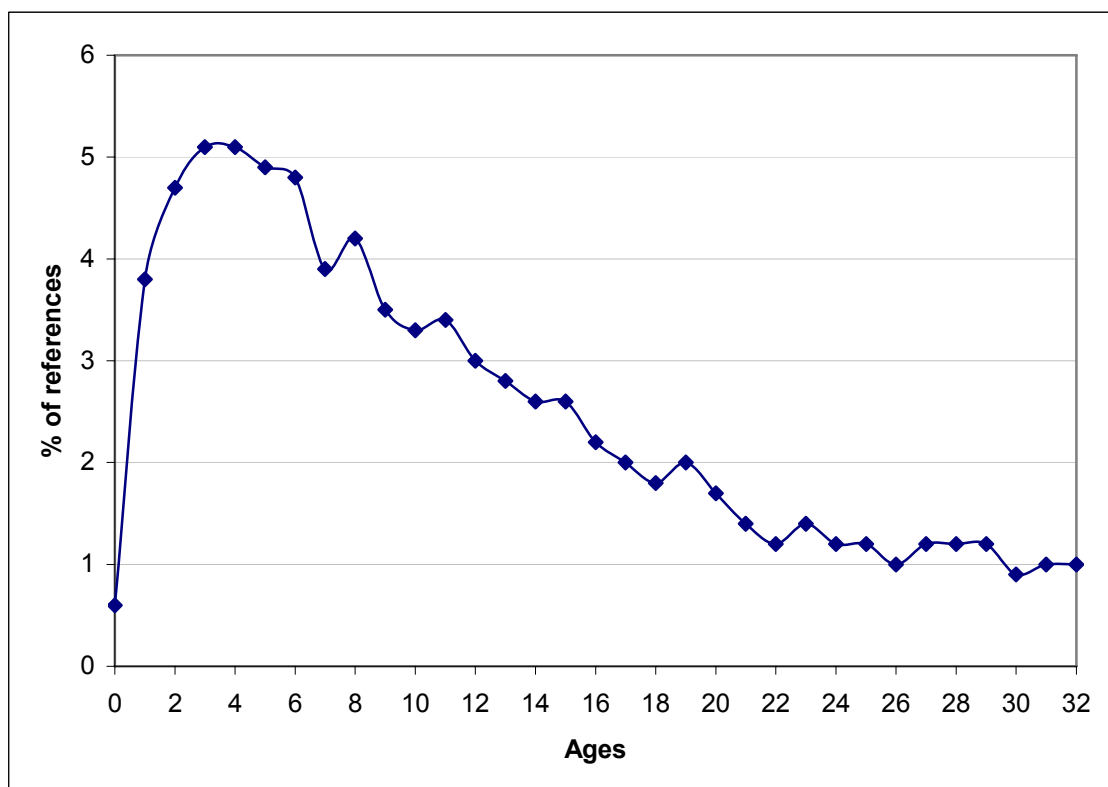


Figure 4. Ages (in years) of the references contained in the arts and humanities source publications (not all years are included) ( $N = 8390$ )

The impact of publications that were authored by the Turkish arts and humanities scholars was analyzed by checking the “Times cited” field that is available in the *A&HCI* database. As of November 12, 2003, we found that more than 80% (or 408) of all publications by Turkish authors received no citations at all (Table 6). The rest (99 or 19.5% of all publications) were cited at least once. The most frequently cited publication was cited 22 times.

The number of publications that received 7 or more citations was only 10. Journal articles appear to have a slightly higher probability of receiving any citations, as more than a quarter of all journal articles were cited at least once.

Table 6. Citation characteristics of publications contributed by Turkish authors

Number of citations	Journal articles		Monographs and others (e.g. book reviews, notes)		Total	
	N	%	N	%	N	%
0	234	73.6	174	92.1	408	80.5
1	42	13.2	11	5.8	53	10.5
2	11	3.5	0	0.0	11	2.2
3	7	2.2	1	0.5	8	1.6
4	6	1.9	1	0.5	7	1.4
5	4	1.3	1	0.5	5	1.0
6	5	1.6	0	0.0	5	1.0
≥ 7	9	2.8	1	0.5	10	2.0
Total	318	100.1	189	99.9	507	100.2

*Note:* Percentages may not equal 100% due to rounding.

A similar study investigating the citation rates of Turkish social scientists within a time span of 30 years (1970-1999) showed that 49% of publications received no citations at all (Gülgöz, Yedekçioğlu & Yurtsever, 2002, p. 113). It appears that the contributions of Turkish social scientists have a higher probability of receiving any citations than those of Turkish arts and humanities scholars.

The distribution of 99 publications that were authored by Turkish arts and humanities scholars and cited at least once is as follows: 84 journal articles, 5 notes, 4 meeting abstracts, 4 review articles, and 2 book reviews. Ninety-nine publications were cited a total of 304 times. Journal articles received the overwhelming majority (89%) of all citations, followed by notes (5%) and reviews (4%) (Figure 5). The self-citation ratio was 31%. Journal articles, review articles and notes were cited, on the average, about three times while book reviews and meeting abstracts were cited once.

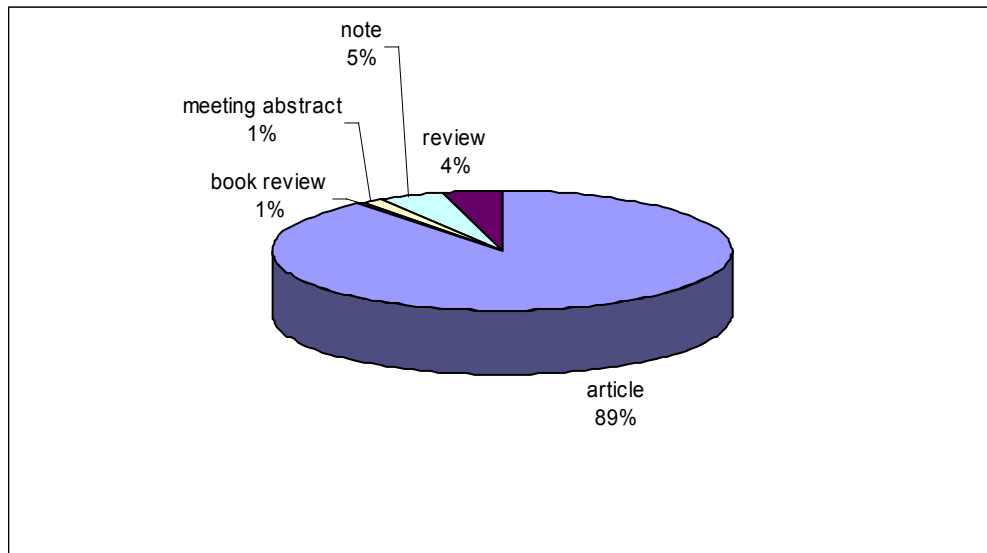


Figure 5. Distribution of citations by publication types (# of publications = 99, # of citations = 304)

Table 7 shows the number of years (ages) that cited publications received their first citations. Some 11% of publications were cited within the year of their publications while 29% were cited after one year, and an additional 23% after two years they were published. More than one-third of all publications that received any citations were not cited within the first two years after they were published. Ninety percent of all cited publications received their first citations within the six years after they were published. A few publications received their first citations as long as 15 years after they appeared in print. Some publications were naturally cited more than once, and the time-span between publication dates and citation dates was even longer than 15 years. For example, three publications were cited after 21, 23 and 25 years of their publications.

Table 7. Ages of first citations ( $N = 99$ )

Ages	N	%	Cumulative percentage of all cited publications
0	11	11.1	11.1
1	29	29.3	40.4
2	23	23.2	63.6
3	7	7.1	70.7
4	6	6.1	76.8
5	9	9.1	85.9
6	4	4.0	89.9
7	1	1.0	90.9
8	3	3.0	93.9
9	1	1.0	94.9
10	2	2.0	97.0
11	1	1.0	98.0
12	1	1.0	99.0
15	1	1.0	100.0
Total	99	100.0	

De Solla Price (1970) noted long ago that scientific disciplines differ in terms of the percentages of references to the relatively recent (i.e. 5 years old) publications (known as the Price Index). Glänzel (1996) advised that citation-based indicators be interpreted cautiously in social sciences because “a decade long citation window would be needed to capture the slow accumulation of citations” (Hicks, 2004, p. 474). This is even more important in the arts and humanities because it takes usually much longer for a publication to get cited. The percentages that we obtained clearly indicate that a two-year window used by ISI to calculate the impact of a given publication (in sciences as well as in social sciences and humanities) is not enough for arts and humanities publications. More than one-third of all cited publications get cited after two years of their publication dates. Our findings strongly suggest that the citation-based performance evaluation of the arts and humanities scholars would be unfair and put them in a disadvantageous position in comparison with their colleagues in the sciences and social sciences.

In addition, we have investigated which journals have accommodated the most frequently cited publications. We found that publications appeared in seven (*World Literature Today*, *Degres-Revué de Synthesé A Orientation Semiologique*, *Bulgarian Historical Review-Revué Bulgare D’Histoire*, *Arts of Asia*, *Theatre Journal*, *TLS*, *Journal of the Society of Architectural Historians*) of the 20 journals presented in Table 2 received no citations at all. On the other hand, seven publications that appeared in the *Journal of Archeological Science* received a total of 36 citations, an average of 5.14 citations per publication. This is followed by

the *Antiquity* and *Archaeometry* with average citation rates of 2.75 and 2.20 citations per publication, respectively. The average citation rates of the remaining 10 journals were between 0.11 and 1.38. It appears that publications in archeology enjoyed relatively higher number of citations. The most frequently cited article (22 times) appeared in the *Journal of Field Archaeology*. If citation rates are of any significance, these findings seem to indicate that Turkish archeologists have been quite prolific in the international literature and that their contributions have been relatively well regarded by their colleagues.

## 5. Discussion

Our findings indicate that the characteristics of the arts and humanities literature are rather different than those of sciences and social sciences. It seems clear that care should be exercised when using citation data for performance evaluation of arts and humanities scholars.

Although the criteria (i.e., timeliness of publication; English language titles, abstracts and keywords; peer review; international diversity; and citation analysis) for adding the sources into citation indexes including *A&HCI* are the same, the number of journals being published and hence being indexed by ISI in different fields varies. Currently, *A&HCI* has only 1132 arts and humanities journals indexed while *SSCI* has 1805, *SCI* has 3733 and *SCI-Expanded* has 6357 journals indexed in their respective fields (<http://www.isinet.com/journals/>). Some 91 journal titles are indexed by both *A&HCI* and *SSCI*. *A&HCI* indexes some 50,000 articles annually whereas *SCI* does more than one million articles. Consequently, it takes much longer for an article in the arts and humanities “to attract a meaningful number of citations” (ISI, 2005a). Moreover, *A&HCI*'s coverage of non-Western and non-English literature is rather scanty. Almost two-thirds of all journals indexed in *A&HCI* are of North American and UK origin. Very few journals published outside the United States and Europe get indexed by *A&HCI*, “which tends to deflate their citation counts” (Garfield, 1982b, p. 7).

ISI acknowledges that citation data in the arts and humanities are much less useful in journal evaluations due to the fact that citations do not necessarily follow predictable patterns:

Citations to an article on the 19th Century Romantic novel, for example, may accrue slowly at first, and then slacken, fluctuating over time in cycles consistent with scholars' varying interest in the topic. In addition, Arts and Humanities

journal articles reference non-journal sources heavily (e.g., books, musical compositions, works of art and literature) (ISI, 2005a).

Earlier we have discussed the importance of books and book reviews in the arts and humanities literature, and provided detailed data as regards the types of material cited along with their ages. Line (1979) obtained similar findings for references contained in journals and monographs in social sciences and alluded to the fact that “monographs may be used as a vehicle for new knowledge in the social sciences” (p. 282), which is equally applicable to monographs in the arts and humanities. Yet ISI treats non-journal sources cited in the arts and humanities journal articles somewhat differently and considers more than 70% of them as “noncitable” items ( “noncitable” items constitute 22% of all items in *SCI* and 46% in *SSCI*) (Jacsó, 2001, p. 591). In other words, more than 70% of the items indexed in *A&HCI* are not taken into account when calculating the impact factors for *A&HCI* journals. The existence of comparatively more “noncitable” items in the arts and humanities journal articles may also be interpreted as an indication of the fact that arts and humanities fields usually lack core journals. Furthermore, less than 20% of citations in social sciences and humanities journal articles match against an ISI journal citation database, while a “journal in molecular biology will have rates in excess of 90%” (Garfield, 1979, p. 32). To put it differently, ISI fails to index the contents of a considerable number of arts and humanities journals, which can be verified through the Web of Science: very few references at the end of articles listed in *A&HCI* tend to have “live” links to other journal titles.

Garfield thought at the beginning that *A&HCI* would “revolutionize scholarship” and maintained that “[b]eing cited is already considered an important mark of scholarship in the humanities, so there is reason to believe that scholars in that area will find formal citation measurements more acceptable” (Garfield, 1979, p. 240-241). Garfield even planned to publish the *Journal Citation Reports* (*JCRs*) for *A&HCI* in the early days (Garfield, 1980a, p.55; Garfield, 1982b, p. 6). *JCRs* provide quantifiable statistical data about journal titles and enable users to sort data by various fields such as journal impact factor and cited half-life (ISI, 2005b). Garfield (1980a, p.55) hoped that *JCRs* for *A&HCI* would “help librarians build or prune collections” in the arts and humanities fields. *JCRs* for *A&HCI* had never been materialized, however. It was realized that citation characteristics of arts and humanities journal articles were quite different

than those of sciences and social sciences. For instance, less than 10% of all citations in the art journals were to the current literature and thus their “immediacy index” was quite low compared to much higher percentages recorded in the sciences and social sciences (60%-70% and 46.5%, respectively) (Garfield, 1979, p. 72). One is tempted to speculate that ISI had after all decided not to publish *JCRs* for *A&HCI* in view of the potential problems with regards to using *JCRs* as a tool for journal and performance evaluation purposes in the arts and humanities.

It follows from the above discussion then that *A&HCI* may not be the most appropriate source to use to evaluate the performance of Turkish arts and humanities scholars. Nor can their performance be measured based solely on the number of articles or book reviews they write or the number of citations their contributions are likely to receive in the arts and humanities journal literature.

## 6. Conclusion

Turkey’s contribution to the world’s arts and humanities literature has increased significantly during the recent years. The quantitative increase in Turkey’s contribution to the arts and humanities literature can be attributed partly to the increasing awareness by the academic environment of the significance of international publications (especially journal articles) due to the Turkish Higher Education Council’s regulations put forward in mid-1990s, partly to the encouragement of the Turkish Academy of Sciences, and partly to the increase in the number of academic personnel who, at certain stages of their career, have studied abroad.

That more than 60% of contributions by the arts and humanities scholars based mainly in Turkish universities were in the form of journal articles is encouraging. On the other hand, a very few book reviews were contributed by the Turkish authors. Contributions by Turkish scholars were published in a relatively few journal titles, although it is difficult to identify a “core” journal list in the arts and humanities literature. The single authorship was prevalent (83%). A quarter of all publications were authored by a relatively few (24) prolific scholars while the remaining 75% were contributed to by 398 different scholars. References to monographs constituted two-thirds of all references contained in the bibliographies of arts and humanities publications. The median age of all references was 12 years. Publications in the arts and



humanities get cited over longer periods of time and age more slowly than that in the sciences and social sciences. The great majority of publications authored by the Turkish arts and humanities scholars seem to have been neglected by other scholars and they received no citations. Contributions by Turkish scholars received just over 300 citations (one-third of which were self-citations) in almost 30 years. The average number of citations per journal article was just over 3 citations, although the Turkish archeologists seem to have enjoyed higher citation rates than their colleagues publishing in other subject specialties. Almost two-thirds of the cited publications get their first citations within the first two years of their publication. Citation-based performance measures in the arts and humanities literature should be used with caution, as citations tend to accumulate in a longer period of time. Library and information centers should keep longer runs of back issues of arts and humanities journals, as they tend to get cited long after they were published.

Our work illustrates that monographs are the primary medium of scholarly communication and publications tend to obsolesce more slowly in the arts and humanities. Publications are scattered over a relatively large number of journals. More contributions from the arts and humanities scholars based in Turkey are required. These contributions should be published in the journals with high citation impact factors so as to elicit more citations. This is especially important because only one-fifth of all publications authored by Turkish arts and humanities scholars were ever cited by other researchers while one-third of those were self-citations. Further research is needed to create a profile of the Turkish arts and humanities literature based on bibliometric and citation characteristics of such contributions.

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