

# On algebraic points in the plane near smooth curves

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## 1 Introduction

Despite major results on the distribution of rational numbers on the real line there remain a number of deep problems. Some of them can be found in the monographs of Cassels and Schmidt [1, 2]. The problem of counting integer points is a classical topic in number theory and there are various related problems like the Gauss circle problem or the problem number of divisors of natural numbers bounded by some big number [3, 4]. Some facts on counting integer points in multidimensional domains can be found in [5]. During the last 20 years considerable progress has been made concerning the number of points with rational coordinates near smooth curves by Beresnevich and Vilani [6, 7] insofar as the lower and upper bounds that have been obtained are of the same order.

In the present paper we introduce a method, which allows us to obtain bounds for the number of points with algebraic coordinates lying in a given domains of a Euclidean space. We consider algebraic points in the plane, but part of our results can be generalized to higher dimensional spaces.

Let  $P \in \mathbb{Z}[x]$  be of the form

$$P(x) = P_n(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0, \quad (1)$$

$$H = H(P) = \max_{1 \leq j \leq n} |a_j|, \quad \deg P = n.$$

Let  $\mu A$  be the Lebesgue measure of a measurable set  $A \subset \mathbb{R}^2$ , and  $|I|$  the length of an interval  $I \subset \mathbb{R}$ . In what follows  $c, c(n), c_1, c_2, \dots$  stand for some positive constants depending on  $n$  only. Let  $Q > Q_0(n)$ , where  $Q_0$  is a sufficiently large number. We will use the Vinogradov symbols  $f \ll g$  which means that  $f \leq cg$ . The notation  $B \asymp D$  means  $D \ll B \ll D$ .

For some arbitrary positive constants  $\mu_1, \mu_2$  consider a rectangle

$$\Pi_1 = I_1 \times I_2 = [a_1, b_1] \times [a_2, b_2] \subset [-\frac{1}{2}, \frac{1}{2}] \subset \mathbb{R}^2$$

such that

$$\Pi_1 \cap \{|x - y| \leq 0.1\} = \emptyset \quad (2)$$

and

$$|I_1| = b_1 - a_1 = Q^{-\mu_1}, \quad |I_2| = b_2 - a_2 = Q^{-\mu_2}.$$

Note that the lengths of  $I_1$  and  $I_2$  are small provided that  $\mu_1 > 0, \mu_2 > 0$  and  $Q$  is sufficiently large.

Suppose that  $\alpha_1, \alpha_2, \dots, \alpha_k$  denote  $k$  real roots of  $P, 1 \leq k \leq n$ .

We introduce the class of polynomials

$$\mathbf{P}_n(Q) = \{P_n \in \mathbb{Z}[x] : \deg P = n, n \geq 3, a_n \gg H(P), H(P) \leq Q\}. \quad (3)$$

The condition  $|a_n| \gg H$  implies that the roots of  $P(x)$  are bounded, see Sprindzuk [8].

Let  $K_n(\Pi_1, Q)$  be the set of points  $(\alpha_i, \alpha_j), 1 \leq i < j \leq k$ , such that

- (i)  $(\alpha_i, \alpha_j)$  are real roots of  $P \in \mathbf{P}_n(Q)$ ,
- (ii)  $(\alpha_i, \alpha_j) \in \Pi_1$ .

*Remark.* Condition (ii) excludes the coincidence of the roots  $\alpha_1$  and  $\alpha_2$ .

The aim of this paper is to estimate the cardinality of  $K_n(\Pi_1, Q)$ .

**Theorem 1** *Let  $0 < \mu_i < \frac{1}{2}, i = 1, 2$ . Then*

$$\#K_n(\Pi_1, Q) \gg Q^{n+1-\mu_1-\mu_2}. \quad (4)$$

*Remark.* Consider  $J_1 \times J_2 = [\frac{1}{3} - Q^{-1-\varepsilon}, \frac{1}{3} + Q^{-1-\varepsilon}] \times [\frac{1}{4} - Q^{-1-\varepsilon}, \frac{1}{4} + Q^{-1-\varepsilon}]$ , where  $\varepsilon > 0$ . Suppose that, on the contrary, that there is a polynomial  $T \in \mathbf{P}_n(Q)$  such that a pair of its roots  $(\alpha_1, \alpha_2)$  belongs to  $J_1 \times J_2$  and  $T$  is coprime to  $P(x) = (3x - 1)(4x - 1) = 12x^2 - 7x + 1$ . The last assumption implies that  $|R(T, P)| \geq 1$ , where  $R(T, P)$  is the resultant of  $T(x)$  and  $P(x)$ . Since the roots of  $T(x)$  are bounded, we have

$$\begin{aligned} 1 \leq |R(T, P)| &= 12^n a_n^2 \prod_{i=1}^n \left| \frac{1}{3} - \alpha_i \right| \prod_{j=1}^n \left| \frac{1}{4} - \alpha_j \right| = \\ &= 12^n a_n^2 \left| \alpha_1 - \frac{1}{3} \right| \left| \alpha_2 - \frac{1}{4} \right| \prod_{i \neq 1} \left| \frac{1}{3} - \alpha_i \right| \prod_{j \neq 2} \left| \frac{1}{4} - \alpha_j \right| \ll \\ &\ll Q^2 Q^{-1-\varepsilon} Q^{-1-\varepsilon} = Q^{-2\varepsilon}. \quad (5) \end{aligned}$$

The inequality (5) yields a contradiction if  $Q$  is sufficiently large.

This remark shows that Theorem 1 cannot be considerably improved. It won't hold for  $\max_j \mu_j > 1$ . Improvements are possible for intervals  $I_1, I_2$  only that don't contain algebraic numbers of small degree and height.

*Corollary.* Let  $f(x)$  be a continuous function on the interval  $I = [a, b]$  and let

$$\mathcal{L}(Q, \lambda) = \{(x, y) : x \in I, |y - f(x)| < Q^{-\lambda}\}, \quad 0 < \lambda < \frac{1}{2}. \quad (6)$$

Then there are at least  $c(n)Q^{n+1-\lambda}$  algebraic points such that  $(\alpha_1, \alpha_2) \in \mathcal{L}(Q, \lambda)$ .

*Proof of the corollary.* The set  $\mathcal{L}(Q, \lambda)$  represents a strip containing the curve  $y = f(x)$ . Its width equals  $2Q^{-\lambda}$ ,  $0 < \lambda < \frac{1}{2}$ . Let us split an interval  $[a, b]$  into equal parts of length at most  $Q^{-\lambda}$  choosing points

$$x_0 = a, \quad x_1 = x_0 + Q^{-\lambda}, \quad \dots, \quad x_j = x_{j-1} + Q^{-\lambda}, \quad \dots, \quad x_s = x_0 + sQ^{-\lambda},$$

where  $\lambda \leq 1$ . Furthermore, inscribe rectangles of size  $Q^{-\lambda} \times c(n)Q^{-\lambda}$  into every rectangle

$$\{(x, y) : |x - \frac{x_i + x_{i+1}}{2}| \leq \frac{1}{2}Q^{-\lambda}, |y - f(x)| < \frac{1}{2}Q^{-\lambda}\}.$$

By Theorem 1, every such rectangle contains at least  $c(n)Q^{n+1-2\lambda}$  algebraic points  $(\alpha_1, \alpha_2)$ . Collecting the algebraic in all rectangles we obtain

$$\#\mathcal{L}(Q, \lambda) \cap \mathbb{A}_n \gg c(n)Q^{n+1-\lambda}.$$

■

The proof of Theorem 1 is based on the construction of special polynomials  $P(t) \in \mathbf{P}_n(Q)$  such that

1.  $|P(x)|$  and  $|P(y)|$  are small,
2.  $|P'(x)|$  and  $|P'(y)|$  are comparable with  $H(P)$ ,

where  $(x, y) \in B_1 \subset \Pi_1$  and  $\mu B_1 > \frac{1}{2}\mu\Pi_1$ .

Let  $\bar{c} = (c_1, c_2, c_3, c_4)$  and  $\bar{v} = (v_1, v_2)$  denote positive vectors. Let  $M_n(\bar{c}, Q)$  denote the set of points  $\bar{x} \in \Pi_1$  such that the following system

$$\begin{cases} |P(x)| < c_1 Q^{-v_1}, \\ |P(y)| < c_2 Q^{-v_2}, \\ |P'(x)| < c_3 Q, \\ |P'(y)| < c_4 Q, \\ v_1 + v_2 = n - 1 \end{cases} \quad (7)$$

has a solution  $P(t) \in \mathbb{Z}[t] \setminus \{0\}$ .

**Theorem 2** Assume that  $c_1 c_2 \min(c_3, c_4) < 2^{-n-38} n^{-2}$  and  $\max(c_1, c_2, c_3, c_4) \leq 1$ . Then

$$\mu M_n(\bar{c}, Q) < \frac{1}{4} |I_1| |I_2|. \quad (8)$$

To prove Theorem 2 we impose an extra condition on  $P$ . We consider only irreducible polynomials. This condition is not very restrictive and leads to an equivalent problem as shown in Sprindzuk and Bernik [8, 9].

## 2 Auxiliary statements

This section contains several lemmas that will be used in the proof of Theorem 2.

In what follows  $\mathcal{P}_n(Q)$  denotes the class of irreducible polynomials  $P(t)$  with  $H(P) \leq Q$  such that (7) holds. Furthermore, let  $\tilde{\mathcal{P}}_n(H)$  be the subclass of  $\mathcal{P}_n(H)$  consisting of polynomials  $P$  with  $H(P) = H$ .

For each polynomial  $P \in \tilde{\mathcal{P}}_n(H)$  with roots  $\alpha_1, \alpha_2, \dots, \alpha_n$ , we pick a pair of roots  $\alpha_i$  and  $\alpha_j$ ,  $i \neq j$ . Throughout for convenience, we shall write  $\alpha_1$  instead of  $\alpha_i$  and  $\beta_1$  instead of  $\alpha_j$ . Furthermore, we order the other roots of  $P$  with respect to the distance from the roots  $\alpha_1$  and  $\beta_1$

$$\begin{aligned} |\alpha_1 - \alpha_2| &\leq |\alpha_1 - \alpha_3| \leq \dots \leq |\alpha_1 - \alpha_n|, \\ |\beta_1 - \beta_2| &\leq |\beta_1 - \beta_3| \leq \dots \leq |\beta_1 - \beta_n|. \end{aligned} \quad (9)$$

Obviously, in (9), the set  $\beta_1, \beta_2, \dots, \beta_n$  is a permutation of the roots  $\alpha_1, \alpha_2, \dots, \alpha_n$ . Denote

$$S(\alpha_1) = \{x \in \mathbb{R} : |x - \alpha_1| = \min_{1 \leq j \leq n} |x - \alpha_j|\},$$

$$S(\beta_1) = \{x \in \mathbb{R} : |x - \beta_1| = \min_{1 \leq j \leq n} |x - \beta_j|\}.$$

We will consider now the system of inequalities (7) for  $x \in S(\alpha_1)$  and  $y \in S(\beta_1)$ .

**Lemma 1** (see [8]) *If  $|a_n| \gg H$  then for any  $i$ ,  $1 \leq i \leq n$ ,*

$$|\alpha_i| < c.$$

**Lemma 2** *Let  $P \in \tilde{\mathcal{P}}_n(H)$  and  $x \in S(\alpha_1)$ . Then*

$$|x - \alpha_1| \leq n \frac{|P(x)|}{|P'(x)|},$$

$$|x - \alpha_1| \leq 2^{n-1} |P(x)| |P'(\alpha_1)|^{-1}, \quad (10)$$

$$|x - \alpha_1| \leq \min_{2 \leq j \leq n} (2^{n-j} |P(x)| |P'(\alpha_1)|^{-1} \prod_{k=2}^j |\alpha_1 - \alpha_k|)^{\frac{1}{j}}.$$

The first inequality in (10) immediately follows from the identity  $|P'(x)| |P(x)|^{-1} = |\sum_{i=1}^n \frac{1}{x - \alpha_i}|$  and the inequalities  $|x - \alpha_1| \leq |x - \alpha_j|$ ,  $j = 2, \dots, n$ . The remaining inequalities were proved in Sprindzuk and Bernik [8, 10].

Let  $\varepsilon > 0$  be sufficiently small, and let  $N = N(n) > 0$  be sufficiently large fixed numbers. Write  $\varepsilon_1 = \varepsilon N^{-1}$ , and  $T = [\varepsilon_1]^{-1}$ .

Using (9) define numbers  $\rho_{1,j}$  and  $\rho_{2,j}$  ( $2 \leq j \leq n$ ) by setting

$$\begin{aligned} |\alpha_1 - \alpha_j| &= H^{-\rho_{1j}}, \quad \rho_{1,n} \leq \dots \leq \rho_{12}, \\ |\beta_1 - \beta_j| &= H^{-\rho_{2j}}, \quad \rho_{2,n} \leq \dots \leq \rho_{22}. \end{aligned} \quad (11)$$

By Lemma 1 the roots  $\alpha_j$  are bounded. Then the inequalities (9) and (11) imply  $\rho_{i,j} > -\frac{\varepsilon_1}{2}$ .

For every polynomial there are uniquely determined integral vectors  $(k_2, k_3, \dots, k_n)$  and  $(l_2, l_3, \dots, l_n)$  such that the inequalities

$$\begin{aligned} (k_j - 1)T^{-1} &\leq \rho_{1j} < k_j T^{-1}, \quad 0 \leq k_n \leq \dots \leq k_2, \\ (l_j - 1)T^{-1} &\leq \rho_{2j} < l_j T^{-1}, \quad 0 \leq l_n \leq \dots \leq l_2 \end{aligned}$$

hold. Furthermore, define

$$q_i = T^{-1} \sum_{m=i+1}^n k_m, \quad r_i = T^{-1} \sum_{m=i+1}^n l_m, \quad 1 \leq i \leq n-1.$$

Consider  $\cup_{H=1}^{\infty} \tilde{\mathcal{P}}_n(H)$ . Using results of Sprindzuk [8], the number of possible vectors  $\bar{k} = (k_2, k_3, \dots, k_n)$  and  $\bar{l} = (l_2, l_3, \dots, l_n)$  is finite.

Thus, all polynomials  $P \in \tilde{\mathcal{P}}_n(H)$  corresponding to the same pair of vectors  $\bar{s} = (\bar{k}, \bar{l})$  can be grouped together into a class  $\mathcal{P}_n(H, \bar{s})$ .

**Lemma 3 (see Bernik [10])** *Let  $P \in \tilde{\mathcal{P}}_n(H, \bar{s})$ . Then we have*

$$\begin{aligned} H^{1-q_1} &\leq |P'(\alpha_1)| < H^{1-q_1+(n-1)\varepsilon_1}, \\ H^{1-r_1} &\leq |P'(\beta_1)| < H^{1-r_1+(n-1)\varepsilon_1}, \end{aligned}$$

and for any  $k$ ,  $2 \leq k \leq n$ ,

$$\begin{aligned} |P^{(k)}(\alpha_1)| &\ll H^{1-q_k+k(n-1)\varepsilon_1} \\ |P^{(k)}(\beta_1)| &\ll H^{1-r_k+k(n-1)\varepsilon_1}. \end{aligned}$$

**Lemma 4** Let  $\delta, K_0, \eta_1, \eta_2 \in \mathbb{R}_+$ . Furthermore, let  $P_1, P_2 \in \mathbb{Z}[x]$  be two relatively prime polynomials of degree at most  $n$  with  $\max(H(P_1), H(P_2)) \leq K$  and  $K > K_0(\delta)$ . Let  $J_1$  and  $J_2$  denote intervals with  $|J_1| = K^{-\eta_1}$ ,  $|J_2| = K^{-\eta_2}$ . If there exist numbers  $\tau_1, \tau_2 > 0$  such that for all  $(x, y) \in J_1 \times J_2$

$$\begin{aligned} \max(|P_1(x)|, |P_2(x)|) &< K^{-\tau_1}, \\ \max(|P_1(y)|, |P_2(y)|) &< K^{-\tau_2}, \end{aligned}$$

then

$$\tau_1 + \tau_2 + 2 + 2 \max(\tau_1 + 1 - \eta_1, 0) + 2 \max(\tau_2 + 1 - \eta_2, 0) < 2n + \delta.$$

For the proof see Bernik [11].

*Remark.* Actually, a stronger result holds, namely

$$\tau_1 + \tau_2 + 2 + 2 \max\left(\sum_{k=1}^{\infty} \tau_1 + 1 - \eta_1, 0\right) + 2 \max\left(\sum_{k=1}^{\infty} \tau_2 + 1 - \eta_2, 0\right) < 2n + \delta.$$

When we apply Lemma 4 we will usually choose parameters  $\tau_1, \tau_2, \eta_1, \eta_2$  satisfying

$$\tau_1 = k_2 T^{-1} + q_1 - 1, \quad \tau_2 = l_2 T^{-1} + r_1 - 1, \quad \eta_1 = k_2 T^{-1}, \quad \eta_2 = l_2 T^{-1}.$$

Thus, if the difference between, say,  $l_2 T^{-1}$  and  $r_1$  is larger, then the result of Lemma 4 will be stronger. Therefore, without loss of generality, we can assume that  $k_2 T^{-1} = q_1$ ,  $l_2 T^{-1} = r_1$ , and  $q_j = r_j = 0$  for  $j \geq 2$ .

### 3 Proof of Theorem 2

First, we consider a special case of system (7) when  $|P'(x)|, |P'(y)|$  are bounded below. Let us remind that  $x \in S(\alpha_1)$  and  $y \in S(\beta_1)$ .

**Proposition 1.** Let  $v > \frac{1}{2}$  denote a constant and let  $M_{n,1}(\bar{c}, Q)$  denote the set of solutions  $(x, y) \in I_1 \times I_2$  of the system

$$\begin{cases} |P(x)| \leq c_1 Q^{-v_1}, \\ |P(y)| \leq c_2 Q^{-v_2}, \\ Q^v < |P'(x)| < c_3 Q, \\ Q^v < |P'(y)| < c_4 Q. \end{cases} \quad (12)$$

Then

$$\mu M_{n,1}(\bar{c}, \bar{v}, Q) < \frac{1}{8} |I_1| |I_2|.$$

Now estimates for  $|P'(x)|$  and  $|P'(y)|$  provide estimates for  $|P'(\alpha_1)|$  and  $|P'(\beta_1)|$ .

By the first inequality in (10) for any  $x \in S(\alpha_1)$  and  $y \in S(\beta_1)$ , we have

$$\begin{aligned} |x - \alpha_1| &< n|P(x)||P'(x)|^{-1} < c_1 n Q^{-v_1-v}, \\ |y - \beta_1| &< n|P(y)||P'(y)|^{-1} < c_2 n Q^{-v_2-v}. \end{aligned} \quad (13)$$

The Mean Value Theorem yields

$$\begin{aligned} P'(x) &= P'(\alpha_1) + P''(\xi_1)(x - \alpha_1) \quad \text{for some } \xi_1 \in (\alpha_1, x), \\ P'(y) &= P'(\beta_1) + P''(\xi_2)(y - \beta_1) \quad \text{for some } \xi_2 \in (\beta_1, y). \end{aligned}$$

Obviously, we have  $|P''(\xi_1)(x - \alpha_1)| \ll Q^{1-v_1-v}$ ,  $|P''(\xi_2)(y - \beta_1)| \ll Q^{1-v_2-v}$ . Thus, for sufficiently large  $Q$  we obtain

$$\begin{aligned} \frac{3}{4}Q^v &\leq \frac{3}{4}|P'(x)| < |P'(\alpha_1)| < \frac{4}{3}|P'(x)| \leq \frac{4}{3}c_3 Q, \\ \frac{3}{4}Q^v &\leq \frac{3}{4}|P'(y)| < |P'(\beta_1)| < \frac{4}{3}|P'(y)| \leq \frac{4}{3}c_4 Q. \end{aligned} \quad (14)$$

By (14) and Lemma 2, we have

$$\begin{aligned} |x - \alpha_1| &< \frac{4}{3}n|P(x)||P'(\alpha_1)|^{-1}, \\ |y - \beta_1| &< \frac{4}{3}n|P(y)||P'(\beta_1)|^{-1}. \end{aligned} \quad (15)$$

Let  $\sigma_x(P)$ ,  $\sigma_y(P)$  denote the sets of solutions of (15) for  $x$  and  $y$ , respectively. Let  $\Pi_2(P) = \sigma_x(P) \times \sigma_y(P)$ . Clearly, all solutions  $(x, y) \in S(\alpha_1) \times S(\beta_1)$  of the system (12) are contained in  $\Pi_2(P)$ .

We introduce the intervals

$$\begin{aligned} \sigma_{1x}(P) &: |x - \alpha_1| < c_5 Q^{-\gamma} |P'(\alpha_1)|^{-1}, \\ \sigma_{1y}(P) &: |y - \beta_1| < c_5 Q^{-\gamma} |P'(\beta_1)|^{-1}, \end{aligned} \quad (16)$$

where values of positive constants  $\gamma$  and  $c_5$  will be specified below. Assign  $\Pi_3(P) = \sigma_{1x}(P) \times \sigma_{1y}(P)$ .

Now we shall estimate the values of  $P$  and  $P'$  on the intervals  $\sigma_{1x}(P)$  and  $\sigma_{1y}(P)$ . For the sake of simplicity we shall consider  $P(y)$  and  $P'(y)$  on  $\sigma_{1y}(P)$  only. The Mean Value Theorem yields

$$\begin{aligned} P(y) &= P'(\beta_1)(y - \beta_1) + \frac{1}{2}P''(\xi_3)(y - \beta_1)^2 \quad \text{for some } \xi_3 \in (\beta_1, y), \\ P'(y) &= P'(\beta_1) + P''(\xi_4)(y - \beta_1) \quad \text{for some } \xi_4 \in (\beta_1, y). \end{aligned} \quad (17)$$

By (14) and (16), the second terms of  $P(y)$  and  $P'(y)$  may be estimated as follows

$$\begin{aligned} \left| \frac{1}{2}P''(\xi_3)(y - \beta_1)^2 \right| &\ll Q^{1-2\gamma-2v}, \\ |P''(\xi_4)(y - \beta_1)| &\ll Q^{1-\gamma-v}. \end{aligned} \quad (18)$$

From (17) and (18) we get

$$\begin{aligned} |P(y)| &< \frac{4}{3}c_5Q^{-\gamma}, \\ |P'(y)| &< \frac{5}{3}c_4Q. \end{aligned} \quad (19)$$

Similarly, for  $P(x)$  and  $P'(x)$  on interval  $\sigma_{1x}(P)$  we obtain

$$\begin{aligned} |P(x)| &< \frac{4}{3}c_5Q^{-\gamma}, \\ |P'(x)| &< \frac{5}{3}c_3Q. \end{aligned} \quad (20)$$

Fix the vector  $\bar{b} = (a_n, \dots, a_3)$  of coefficients of  $P(x)$ . The polynomials  $P \in \tilde{\mathcal{P}}_n(H, \bar{s})$  with the same vector  $\bar{b}$  form a subclass  $\mathcal{P}(\bar{b})$ .

Without loss of generality, we may assume that  $a_n > 0$ . Otherwise multiply the polynomial by  $-1$  which does not change the system (7). Every coefficient  $a_j$ , ( $3 \leq j \leq n-1$ ) may take at most  $(2Q+1)$  values. Thus we have  $\#\mathcal{P}(\bar{b}) \leq Q(2Q+1)^{n-3}$ . For convenience, note that  $\#\mathcal{P}(\bar{b}) \leq 2^{n-1}Q^{n-2}$ .

We consider two types of rectangles  $\Pi_3(P)$ . One type of rectangle  $\Pi_3(P_1)$  with  $P_1 \in \mathcal{P}(\bar{b})$  is called *inessential* if there is another rectangle  $\Pi_3(P_2)$  with  $P_2 \in \mathcal{P}(\bar{b})$  such that

$$\mu(\Pi_3(P_1) \cap \Pi_3(P_2)) \geq 0.5 \mu(\Pi_3(P_1)). \quad (21)$$

The other type of rectangle  $\Pi_3(P_1)$  and is called *essential*. It satisfies: for any  $P_2 \in \mathcal{P}(\bar{b})$  different from  $P_1$

$$\mu(\Pi_3(P_1) \cap \Pi_3(P_2)) < 0.5 \mu(\Pi_3(P_1)).$$

**The case of essential rectangles.** Summing the measures of rectangles for all polynomials in  $\mathcal{P}(\bar{b})$ , we obtain

$$\sum_{P \in \mathcal{P}(\bar{b})} \mu \Pi_3(P) \leq 2|I_1| \times |I_2|. \quad (22)$$

Combining the definitions of  $\sigma_{1x}(P)$ ,  $\sigma_{1y}(P)$ ,  $\sigma_x(P)$ ,  $\sigma_y(P)$  (see (15),(16)), we get

$$\begin{aligned} \mu\sigma_x(P) &< \frac{4}{3}nc_1c_5^{-1}Q^{-v_1+\gamma}\mu\sigma_{1x}(P), \\ \mu\sigma_y(P) &< \frac{4}{3}nc_2c_5^{-1}Q^{-v_2+\gamma}\mu\sigma_{1y}(P). \end{aligned} \quad (23)$$

Let us estimate the measure of the union of  $\Pi_2(P)$  for all polynomials



selected above.

$$\begin{aligned}
\sum_{P \in \mathcal{P}(\bar{b})} \mu \Pi_2(P) &= \sum_{P \in \mathcal{P}(\bar{b})} \mu \sigma_x(P) \times \mu \sigma_y(P) < \\
&< \sum_{P \in \mathcal{P}(\bar{b})} 2n^2 c_1 c_2 c_5^{-2} Q^{-v_1 - v_2 + 2\gamma} \mu \sigma_{1x}(P) \times \mu \sigma_{1y}(P) = \\
&= 2n^2 c_1 c_2 c_5^{-2} Q^{-v_1 - v_2 + 2\gamma} \sum_{P \in \mathcal{P}(\bar{b})} \mu \Pi_3(P) < \\
&< 4n^2 c_1 c_2 c_5^{-2} Q^{-v_1 - v_2 + 2\gamma} |I_1| |I_2|. \quad (24)
\end{aligned}$$

Summing over  $\bar{b}$ , we get

$$\sum_{\bar{b}} \sum_{P \in \mathcal{P}(\bar{b})} \mu \Pi_2(P) < 2^{n+1} n^2 c_1 c_2 c_5^{-2} Q^{n-2-v_1-v_2+2\gamma} |I_1| |I_2|.$$

Taking into account  $v_1 + v_2 = n - 1$ , and writing  $\gamma = \frac{1}{2}$ , we obtain

$$\sum_{\bar{b}} \sum_{P \in \mathcal{P}(\bar{b})} \mu \Pi_2(P) < 2^{n+1} n^2 c_1 c_2 c_5^{-2} |I_1| |I_2|. \quad (25)$$

Given  $c_5^2 = 2^{n+5} n^2 c_1 c_2$ , the estimate in (25) does not exceed  $2^{-4} |I_1| |I_2|$ .

**The case of inessential rectangles.**

Define  $R(t) = P_2(t) - P_1(t) = b_2 t^2 + b_1 t + b_0$ . Without loss of generality, assume  $b_2 \geq 0$ . Obviously,  $R(t)$  is not identically zero. The Conditions (19), (20), and  $P_1, P_2 \in \mathcal{P}(\bar{b})$  imply

$$\begin{aligned}
|R(x)| &= |b_2 x^2 + b_1 x + b_0| < 3c_5 Q^{-\gamma}, \\
|R'(x)| &= |2b_2 x + b_1| < 3c_3 Q, \\
|R(y)| &= |b_2 y^2 + b_1 y + b_0| < 3c_5 Q^{-\gamma}, \\
|R'(y)| &= |2b_2 y + b_1| < 3c_4 Q.
\end{aligned} \quad (26)$$

Let  $\alpha$  and  $\beta$  denote roots of the polynomial  $R(x)$  with  $\deg R = 2$ . By inequalities (26) for  $|R(x)|$ ,  $|R(y)|$ , and Lemma 2, we can estimate

$$|x - \alpha| < 6c_5 Q^{-\gamma} |R'(\alpha)|^{-1}, \quad (27)$$

$$|y - \beta| < 6c_5 Q^{-\gamma} |R'(\beta)|^{-1}. \quad (28)$$

By (2), if  $|\alpha - \beta| < 0.08$ , we arrive at a contradiction for sufficiently large  $Q$

$$0, 1 < |x - y| \leq |x - \alpha| + |y - \beta| + |\alpha - \beta| < 0, 09.$$

Thus  $|\alpha - \beta| \geq 0.08$  and

$$|R'(\alpha)| = |R'(\beta)| = b_2|\alpha - \beta| > 0.08b_2. \quad (29)$$

Suppose  $c_4 = \min(c_3, c_4)$ . Applying the Mean Value Theorem on the interval  $\sigma_{1y}$ , we obtain

$$R'(y) = R'(\beta) + R''(\xi_5)(y - \beta) \quad \text{for some } \xi_5 \in [\beta, y].$$

Since  $|R''(\xi_5)(y - \beta)| < 24c_5Q^{1-\gamma}|R'(\beta)|^{-1}$ , if  $|R'(\beta)|^2 > 48c_5Q^{1-\gamma}$ , then

$$|R'(\beta)| < 2|R'(y)| < 6c_4Q. \quad (30)$$

The estimate (30) follows from the inequalities (14). This implies that **the number of possible**  $b_2$  is bounded by

$$\#b_2 < 75c_4Q. \quad (31)$$

Suppose that  $I_1 = [d_1, d_2]$ ,  $I_2 = [f_1, f_2]$ , and  $|I_2| \geq |I_1|$ .

First let us assume that  $|I_1| = |I_2| = Q^{-\mu_1}$ . The point  $-\frac{b_1}{2b_2}$  is the maximum of the parabola  $z = b_2x^2 + b_1x + b_0$ . It is easy to verify that this point lies inside the interval  $[\frac{d_1+d_2}{2}, \frac{f_1+f_2}{2}]$ . The conditions  $x \in I_1 \subset [-\frac{1}{2}, \frac{1}{2}]$ ,  $y \in I_2 \subset [-\frac{1}{2}, \frac{1}{2}]$  imply

$$\#b_1 \leq 2b_2Q^{-\mu_1} + 2 = 2b_2|I_1| + 2 \quad (32)$$

and  $|b_1| \leq |b_2|$ .

Now assume  $|I_1| > |I_2|$ . Divide  $I_2$  into  $m = \lceil \frac{|I_2|}{|I_1|} \rceil + 1$  intervals  $J_i$  such that  $J_i \leq |I_1|$  where  $1 \leq j \leq m$ . Similarly, for every pair  $x \in I_1$  and  $y \in J_i$  we obtain an upper bound for  $\#b_1$  similar to (32). Summing (32) over  $j$  gives the following exact estimate of **the number of possible**  $b_1$

$$\#b_1 \leq (2b_2|I_1| + 2)(|I_2||I_1|^{-1} + 1) \leq 4b_2|I_2|. \quad (33)$$

Suppose now that (26) holds for some  $R_1 = b_2x^2 + b_1x + b_0$ . If we take  $R_2 = b_2x^2 + b_1x + b_0 + 1$  we may shift the argument by  $\Delta x$ , i.e.,

$$1 = R_2(x) - R_1(x) = R_1(x + \Delta x) - R_1(x) = R'(\xi_6)\Delta x \quad \text{for some } \xi_6 \in [x, x + \Delta x].$$

If  $x + \Delta x \in I_1$ , then  $\xi \in I_1$ . For a fixed pair  $(b_2, b_1)$  the estimate for the derivative in (26) can be improved, namely

$$|R'(\xi_6)| = |2b_2\xi_6 + b_1| \leq 2|b_2|\frac{1}{2} + |b_1| \leq 2|b_2|.$$

Summarizing, we conclude that

$$\Delta = |R'(\xi_6)|^{-1} \geq \frac{1}{2}|b_2|^{-1}.$$

This means that **the number of possible values of  $b_0$**  is at most

$$\#b_0 \leq |I_1||\Delta|^{-1} < 2|b_2||I_1|. \quad (34)$$

By Lemma 2 and the estimates  $|R'(\alpha)| > 2^{-4}b_2$ ,  $|R'(\beta)| > 2^{-4}b_2$  from (26), we obtain

$$|x - \alpha| < 2^8 c_5 Q^{-\gamma} b_2^{-1}$$

and

$$|y - \beta| < 2^8 c_5 Q^{-\gamma} b_2^{-1}.$$

Thus, the measure of the intersection  $\Pi_3(P_1) \cap \Pi_3(P_2)$  is less than  $2^{18} c_5^2 b_2^{-2} Q^{-2\gamma}$ . If  $\gamma = \frac{1}{2}$ , then the measure of the inessential rectangle is less than

$$2^{19} c_5^2 b_2^{-2} Q^{-1}. \quad (35)$$

Using the estimates for  $b_0, b_1, b_2$  from (31), (33), (34), we may sum (35) over  $(b_0, b_1, b_2)$ , and get

$$\sum_{b_2} \sum_{b_1} \sum_{b_0} \mu \Pi_3(P) < 2^{29} \min(c_3, c_4) c_5^2 |I_1| |I_2|. \quad (36)$$

For  $c_5 = 2^{n+5} n^2 c_1 c_2$  the estimate in (36) says

$$2^{n+34} n^2 c_1 c_2 \min(c_3, c_4) |I_1| |I_2|.$$

Given  $c_1 c_2 \min(c_3, c_4) < 2^{-n-38} n^{-2}$ , this bound is smaller than  $2^{-4}$ . Thus, we proved that

$$\mu M_{n1}(\bar{c}, Q) < \frac{1}{8} |I_1| |I_2|. \quad (37)$$

□

The remaining part of the proof strongly depends on the structures of  $\bar{q}$ ,  $\bar{r}$  (they were introduced in the Auxiliary Statements) and on their relations with the degrees  $v_1, v_2$ . In all of these statements below the measure tends to zero as  $Q \rightarrow \infty$ . The constants  $c_1, c_2, c_3, c_4$ , and others no longer play a significant role and will be replaced by the Vinogradov symbol  $\ll$  in the remaining part of the paper.

Introduce a new subclass of polynomials as follows:

$$\mathcal{P}^t = \mathcal{P}^t(\bar{q}, \bar{r}) = \bigcup_{2^t \leq H < 2^{t+1}} \tilde{\mathcal{P}}(H, \bar{q}, \bar{r}).$$

In order to proceed we need one more definition.

A polynomial  $P \in \tilde{\mathcal{P}}(H, \bar{q}, \bar{r})$  is called  $(i_1, i_2)$ -linear, where  $i_1 = 0, 1$  and  $i_2 = 0, 1$ , according to the ordering between  $q_1 + k_2 T^{-1}$  and  $v_1 + 1$ ,  $r_1 + l_2 T^{-1}$  and  $v_2 + 1$ . For example,  $(0, 0)$ -linearity means that the following system holds:

$$\begin{aligned} q_1 + k_2 T^{-1} &< v_1 + 1, \\ r_1 + l_2 T^{-1} &< v_2 + 1. \end{aligned} \tag{38}$$

$(0, 1)$ -linearity means  $(<, \geq)$  inequalities in the system above,  $(1, 1)$ -linearity means  $(\geq, \geq)$ , and so on. The most important case are the  $(1, 1)$  and  $(0, 0)$ -linearities. Denote

$$d_1 = q_1 + r_1, \quad d_2 = (k_2 + l_2)T^{-1}.$$

We will consider polynomials  $P \in \mathcal{P}^t$  such that  $H \asymp Q$ . The main differences between 0- and 1-linearity will be finding proper estimates of the differences  $|x - \alpha_1|$  and  $|y - \beta_1|$  when applying Lemma 2. We use the first estimate in (13) for 0-linearity and the second estimate in (13) for 1-linearity.

**Proposition 2.** *Let  $M_{n,2}(\bar{c}, \bar{v}, Q)$  denote the set of  $(x, y) \in I_1 \times I_2$  such that the system of inequalities*

$$\begin{cases} |P(x)| \ll Q^{-v_1}, \\ |P(y)| \ll Q^{-v_2} \end{cases} \tag{39}$$

holds for  $(1, 1)$ -linearity. Then

$$\mu M_{n,2}(\bar{c}, \bar{v}, Q) < \frac{1}{32} |I_1| |I_2|. \tag{40}$$

*Proof.*

$(1, 1)$ -linearity implies  $d_1 + d_2 \geq n + 1$ . By Lemmas 2 and 3,

$$\begin{cases} |x - \alpha_1| \ll Q^{-\frac{v_1+1}{2} + \frac{q_1}{2} + (n-1)\varepsilon_1}, \\ |y - \beta_1| \ll Q^{-\frac{v_2+1}{2} + \frac{r_1}{2} + (n-1)\varepsilon_1}. \end{cases} \tag{41}$$

Suppose  $\rho_1 = \frac{v_1 - q_2 + 1}{2}$ . Let us divide the interval  $I_1$  into equal subintervals  $I_i$ , where  $|I_i| = Q^{-\rho_1 + \varepsilon}$ . Similarly, suppose  $\rho_2 = \frac{v_2 - r_2 + 1}{2}$  and divide  $I_2$  into equal subintervals  $I_j$ , where  $|I_j| = Q^{-\rho_2 + \varepsilon}$ .

Then the number of rectangles  $I_i \times I_j$  does not exceed

$$c(n) Q^{\frac{1}{2}(v_1 + v_2 + 2) - q_2 - r_2 - 2\varepsilon} |I_1| |I_2| = c(n) Q^{\frac{1}{2}(n+1) - q_2 - r_2 - 2\varepsilon} |I_1| |I_2|. \tag{42}$$

Choose rectangles  $I_i \times I_j$  that contain not more than one solution  $P$  of system (39). From (41) and (42) it follows that the measure of the solution set of (39) does not exceed

$$c(n)Q^{-2\varepsilon+2(n-1)\varepsilon_1}|I_1||I_2| < \frac{1}{64}|I_1||I_2|. \quad (43)$$

Let us show that the case where (39) holds for at least two polynomials leads to a contradiction. Using a Taylor expansion on  $I_i$  and  $I_j$ , we obtain

$$P_1(x) = P'(\alpha_1)(x - \alpha_1) + \frac{1}{2}P''(\alpha_1)(x - \alpha_1)^2 + \sum_{j=3}^n (j!)^{-1}P^{(j)}(\alpha_1)(x - \alpha_1)^j,$$

$$P_1(y) = P'(\beta_1)(y - \beta_1) + \frac{1}{2}P''(\beta_1)(y - \beta_1)^2 + \sum_{j=3}^n (j!)^{-1}P^{(j)}(\beta_1)(y - \beta_1)^j.$$

Similarly we obtain an expansion for  $P_2$ . The above estimates of  $|x - \alpha_1|$ ,  $|y - \beta_1|$ , and the estimates for the derivatives that follow from Lemma 3 lead to the following inequalities:

$$\begin{cases} |P_1(x)| \ll Q^{-v_1+(n-1)\varepsilon_1+2\varepsilon}, \\ |P_1(y)| \ll Q^{-v_2+(n-1)\varepsilon_1+2\varepsilon}, \\ |P_2(x)| \ll Q^{-v_1+(n-1)\varepsilon_1+2\varepsilon}, \\ |P_2(y)| \ll Q^{-v_2+(n-1)\varepsilon_1+2\varepsilon}. \end{cases} \quad (44)$$

Since  $P_1$  and  $P_2$  are irreducible they have no common roots. Thus, we can apply Lemma 4 to obtain

$$\tau_1 + 1 = v_1 - (n-1)\varepsilon_1 - 2\varepsilon, \quad 2(\tau_1 + 1 - \eta_1) = v_1 + 1 + q_2 + 2(n-1)\varepsilon_1 - 4\varepsilon,$$

$$\tau_2 + 1 = v_2 - (n-1)\varepsilon_1 - 2\varepsilon, \quad 2(\tau_2 + 1 - \eta_2) = v_2 + 1 + r_2 + 2(n-1)\varepsilon_1 - 4\varepsilon,$$

and in the left side of the inequality in Lemma 4 we get

$$2v_1 + 2v_2 + 4 - 12\varepsilon - 6(n-1)\varepsilon_1 = 2n + 2 - 12\varepsilon - 6(n-1)\varepsilon_1.$$

The right-hand side of this inequality then becomes  $2n + \delta$ . Given  $\varepsilon, \varepsilon_1$ , we obtain a contradiction to Lemma 4 when  $\delta < 0.5$ .  $\square$

Now let consider the case of  $(0, 0)$ -linearity. Suppose that  $n + 0.1 < d_1 + d_2 < n + 1$ , namely

$$\begin{cases} q_1 + k_2T^{-1} \leq v_1 + 1, \\ r_1 + l_2T^{-1} \leq v_2 + 1, \\ d_1 + d_2 > n + 0.1. \end{cases} \quad (45)$$

**Proposition 3.** *Let  $M_{n,3}(\bar{c}, \bar{v}, Q)$  denote the set of  $(x, y) \in I_1 \times I_2$  such that (39) holds together with (45). Then*

$$\mu M_{n,3}(\bar{c}, \bar{v}, Q) < \frac{1}{32} |I_1| |I_2|. \quad (46)$$

Proposition 3 can be proved in a similar manner. When (45) holds the first estimate is sharper than the second one in (13).

Again divide the rectangle  $I_1 \times I_2$  into equal rectangles  $I_i \times I_j$ , where  $|I_i| = Q^{-\rho_3 + \varepsilon}$ ,  $|I_j| = Q^{-\rho_4 + \varepsilon}$  and  $\rho_3 = k_2 T^{-1}$ ,  $\rho_4 = l_2 T^{-1}$ . Then the number of rectangles  $I_i \times I_j$  does not exceed

$$c(n) Q^{(k_2 + l_2) T^{-1} - 2\varepsilon} |I_1| |I_2|. \quad (47)$$

Again choose rectangles  $I_i \times I_j$  such that there are no solutions or there is at most one solution  $P$  of the system (39) with an extra condition (45). By Lemma 2, we have for fixed a polynomial  $P(t)$

$$\begin{cases} |x - \alpha_1| \ll Q^{-v_1 - 1 + q_1 + (n-1)\varepsilon_1}, \\ |y - \beta_1| \ll Q^{-v_2 - 1 + r_1 + (n-1)\varepsilon_1}. \end{cases}$$

Their product gives us an upper estimate for the measure of  $\{(x, y) : x \in S(\alpha_1), y \in S(\beta_1)\}$ . Multiplying it by (47), we get the following upper estimate for the measure of the solution set:

$$c(n) Q^{-v_1 - v_2 - 2 + (k_2 + l_2) T^{-1} + q_1 + r_1 - 2\varepsilon + 2(n-1)\varepsilon_1} |I_1| |I_2| \ll Q^{-\varepsilon} |I_1| |I_2| < \frac{1}{32} |I_1| |I_2|.$$

Assume that there are at least two solutions in the rectangle  $I_1 \times I_2$ . Again using a Taylor expansion of  $P$  and estimating its summands from above we obtain

$$\begin{cases} |P_1(x)| \ll Q^{1 - q_1 - k_2 T^{-1} + (n-1)\varepsilon_1 - \varepsilon}, \\ |P_1(y)| \ll Q^{1 - r_1 - l_2 T^{-1} + (n-1)\varepsilon_1 - \varepsilon}, \\ |P_2(x)| \ll Q^{1 - q_1 - k_2 T^{-1} + (n-1)\varepsilon_1 - \varepsilon}, \\ |P_2(y)| \ll Q^{1 - r_1 - l_2 T^{-1} + (n-1)\varepsilon_1 - \varepsilon}. \end{cases} \quad (48)$$

By Lemma 4 for

$$\tau_1 + 1 = q_1 + k_2 T^{-1} - (n-1)\varepsilon_1 - \varepsilon, \quad 2(\tau_1 + 1 - \eta_1) = 2q_1 - 2(n-1)\varepsilon_1 - 2\varepsilon,$$

$$\tau_2 + 1 = r_1 + l_2 T^{-1} - (n-1)\varepsilon_1 - \varepsilon, \quad 2(\tau_2 + 1 - \eta_2) = 2r_1 - 2(n-1)\varepsilon_1 - 2\varepsilon,$$

we get the following left-hand side for the inequality in Lemma 4

$$3q_1 + k_2 T^{-1} + 3r_1 + l_2 T^{-1} - 6(n-1)\varepsilon_1 - 6\varepsilon. \quad (49)$$

But  $k_2T^{-1} \leq q_1$ ,  $l_2T^{-1} \leq r_1$ , and (45) implies that the expression in (49) is at least

$$\begin{aligned} 2(d_1 + d_2) - 6\varepsilon - 6(n-1)\varepsilon_1 &\geq 2(v_1 + v_2) + 3.6 - 6\varepsilon - 6(n-1)\varepsilon_1 = \\ &= 2n + 0.2 - 6\varepsilon - 6(n-1)\varepsilon_1. \end{aligned}$$

Given  $\varepsilon$ ,  $\varepsilon_1$ , we obtain a contradiction to Lemma 4 when  $\delta < 0.1$ . Now let us consider the case of  $(0, 0)$ -linearity for

$$n - 0.3 < d_1 + d_2 \leq n + 0.1 \quad (50)$$

**Proposition 4.** *Let  $M_{n,4}(\bar{c}, \bar{v}, Q)$  denote the set of  $(x, y) \in I_1 \times I_2$  such that (38), (39) hold together with (50). Then*

$$\mu M_{n,4}(\bar{c}, \bar{v}, Q) < \frac{1}{32} |I_1| |I_2|. \quad (51)$$

*Proof.*

Let us divide the rectangle  $I_1 \times I_2$  into equal rectangles  $I_i \times I_j$ , where  $|I_i| = Q^{-k_2T^{-1}-\gamma_1}$ ,  $|I_j| = Q^{-l_2T^{-1}-\gamma_1}$  for some  $\gamma_1 > 0$  that will be specified below. Let us choose those rectangles where the system (39) has at least  $c(n)Q^{\theta_1}$  solutions in polynomials  $P(t)$  for some  $\theta_1 \geq 0$ . Estimate the measure of  $A_1 = \{(x, y) : (x, y) \in I_i \times J_j\}$ , which satisfies (39).

$$\begin{aligned} \mu A_1 &\ll Q^{-v_1-1+q_1-v_2-1+r_1+k_2T^{-1}+l_2T^{-1}+2\gamma_1+\theta_1} |I_1| \times |I_2| \ll \\ &\ll Q^{\theta_1-n-1+d_1+d_2+2\gamma_1} |I_1| |I_2|. \end{aligned}$$

When

$$\theta_1 < n + 1 - d_1 - d_2 - 2\gamma_1$$

the statement of Proposition 4 can be easily verified.

Consider now the opposite inequality

$$\theta_1 \geq u_1 = n + 1 - d_1 - d_2 - 2\gamma_1. \quad (52)$$

By (50),  $\theta_1 > 0$  for  $\gamma_1 \leq 0.4$ .

Similarly to (48), estimate  $P_l(t)$ ,  $l = 1, 2$ , in  $I_i \times J_j$ . We obtain

$$|P_l(x)| \ll Q^{1-q_1-k_2T^{-1}-\gamma_1+(n-1)\varepsilon_1}, \quad (53)$$

$$|P_l(y)| \ll Q^{1-r_1-l_2T^{-1}-\gamma_1+(n-1)\varepsilon_1}. \quad (54)$$

Apply Lemma 4 to  $P_1(t)$  and  $P_2(t)$  with following parameters

$$\begin{aligned}\tau_1 + 1 &= q_1 + k_2 T^{-1} + \gamma_1 - (n-1)\varepsilon_1, \\ 2(\tau_1 + 1 - \eta_1) &= 2q_1 - 2(n-1)\varepsilon_1, \\ \tau_2 + 1 &= r_1 + l_2 T^{-1} + \gamma_1 - (n-1)\varepsilon_1, \\ 2(\tau_2 + 1 - \eta_2) &= 2r_1 - 2(n-1)\varepsilon_1.\end{aligned}$$

By Lemma 4 and (50), the inequality

$$2(d_1 + d_2) + 0.8 - 6(n-1)\varepsilon_1 < 2n + \delta \quad (55)$$

leads to a contradiction.  $\square$

Consider now the next case when

$$n - 0.55 < d_1 + d_2 \leq n - 0.3. \quad (56)$$

**Proposition 5.** *Let  $M_{n,5}(\bar{c}, \bar{v}, Q)$  denote the set of  $(x, y) \in I_1 \times I_2$  such that (38), (39) hold together with (56). Then*

$$\mu M_{n,5}(\bar{c}, \bar{v}, Q) < \frac{1}{32} |I_1| |I_2|. \quad (57)$$

*Proof.*

The proof of Proposition 5 is similar to the proof of Proposition 4. Let us divide the rectangle  $I_1 \times I_2$  into equal rectangles  $I_i \times I_j$ , where  $|I_i| = Q^{-k_2 T^{-1} - \gamma_2}$ ,  $|I_j| = Q^{-l_2 T^{-1} - \gamma_2}$  for some  $\gamma_2 > 0$ . Similarly, we introduce a constant  $\theta_2 \geq 0$  and a set  $A_2$ . When  $\theta_2 < n + 1 - d_1 - d_2 - 2\gamma_2$  holds, then Proposition 4 can be easily proved. So consider

$$\theta_2 \geq u_2 = n + 1 - d_1 - d_2 - 2\gamma_2. \quad (58)$$

By (56), we can choose  $\gamma_2 = 0.6$  in (58). Similarly to (53), estimate  $P_l(t)$ ,  $l = 1, 2$  in newly constructed rectangles  $I_i \times J_j$ . Applying Lemma 4, we obtain an inequality similar to (55)

$$2(d_1 + d_2) + 1.2 - 6(n-1)\varepsilon_1 < 2n + \delta.$$

Since (56) and  $\delta < 0.05$ , the inequality leads to a contradiction.  $\square$

Let

$$2 < d_1 + d_2 \leq n - 0.55. \quad (59)$$

**Proposition 6.** *Let  $M_{n,6}(\bar{c}, \bar{v}, Q)$  denote the set of  $(x, y) \in I_1 \times I_2$  such that (38), (39) hold together with (59). Then*

$$\mu M_{n,6}(\bar{c}, \bar{v}, Q) < \frac{1}{32} |I_1| |I_2|. \quad (60)$$



Proof.

The start of the proof is similar to the proofs of Propositions 4 and 5. We divide the rectangle  $I_1 \times I_2$  into equal rectangles  $I_i \times I_j$ , where  $|I_i| = Q^{-k_2 T^{-1}}$ ,  $|I_j| = Q^{-l_2 T^{-1}}$ . Similarly, we introduce the constant  $\theta_3 \geq 0$  and the set  $A_3$ . When  $\theta_3 < n + 1 - d_1 - d_2$  holds the proof of Proposition 6 is obvious. Consider now

$$\theta_3 \geq u_3 = n + 1 - d_1 - d_2 \geq 1.45. \quad (61)$$

We can rewrite  $u_3$  as

$$u_3 = [u_3] + \{u_3\}, \quad [u_3] \geq 1.$$

Expanding  $P_l(t)$  and  $P'_l(t)$  on intervals  $I_j$  and  $J_i$  into a Taylor series and estimating its terms above, we obtain

$$\begin{cases} |P(x)| \ll Q^{1-q_1-k_2 T^{-1}}, \\ |P'(x)| \ll Q^{1-q_1}, \\ |P(y)| \ll Q^{1-r_1-l_2 T^{-1}}, \\ |P'(y)| \ll Q^{1-r_1}. \end{cases} \quad (62)$$

Since there are at most  $c(n)Q^{[u_3]+\{u_3\}}$  polynomials  $P(t)$  that belong to  $I_j \times J_i$ , then, by Dirichlet's principle, there are at least  $K = c(n)Q^{\{u_1\}}$  polynomials with equal coefficients of  $t^n, t^{n-1}, \dots, t^{n-[u_3]+1}$ .

Now we construct further polynomials with degree at most  $n - [u_3]$

$$R_{j-1}(t) = P_j(t) - P_1(t) \quad j = 2, \dots, K.$$

By (62) for  $R_i(f)$ ,  $i = 1, \dots, K - 1$ , we have

$$\begin{cases} |R_i(x)| \ll Q^{1-q_1-k_2 T^{-1}+(n-1)\varepsilon_1}, \\ |R'(x)| \ll Q^{1-q_1}, \\ |R_i(y)| \ll Q^{1-r_1-l_2 T^{-1}+(n-1)\varepsilon_1}, \\ |R'(y)| \ll Q^{1-r_1}, \\ \deg R_i \leq n - [u_3] = d_1 + d_2 + \{u_3\} - 1. \end{cases} \quad (63)$$

We apply Lemma 4 to the two polynomials  $R_{s_1}(t)$  and  $R_{s_2}(t)$ . This results in a contradiction when  $\{u_3\} \leq 0.7$ .

Thus assume that  $\{u_3\} > 0.7$ . Again we divide the rectangle  $I_1 \times I_2$  into equal rectangles  $I_i \times I_j$ , where  $|I_i| = Q^{-k_2 T^{-1}-\gamma_3}$ ,  $|I_j| = Q^{-l_2 T^{-1}-\gamma_3}$  for some  $\gamma_3 > 0$  such that  $2\gamma_3 \leq \{u_3\}$ . If the number of polynomials in these

rectangles is  $c(n)Q^{\theta_3}$  and  $\theta_3 < u_3 = n + 1 - d_1 - d_2 - 2\gamma_3$  then Proposition 6 can be easily proved. When

$$\theta_3 \geq u_3 = n + 1 - d_1 - d_2 - 2\gamma_3 = [u_3] + \{u_3\}n - 2\gamma_3$$

one can obtain (63) with an approximation of  $|R_i(x)|$  and  $|R_i(y)|$  of the type  $1 - q_1 - k_2T^{-1} - \gamma_3 + (n-1)\varepsilon_1$  and  $1 - r_1 - l_2T^{-1} - \gamma_3 + (n-1)\varepsilon_1$  respectively. Applying Lemma 4 to the pair of coprime polynomials, we get

$$2(d_1 + d_2) - 6(n-1)\varepsilon_1 + 2\gamma_3 < 2(d_1 + d_2) - 2 + 2\{u_4\} + \delta$$

that leads to a contradiction for  $\gamma_3 = \frac{\{u_4\}}{2}$  and  $\delta = 0.1$ .  $\square$

Let us show how the theorem can be proved for the cases of (1, 0) and (0, 1)-linearity. Since both proofs are absolutely similar we will demonstrate the method for (1, 0)-linearity only.

**Proposition 7.** *Let  $M_{n,7}(\bar{c}, \bar{v}, Q)$  denote the set of  $(x, y) \in I_1 \times I_2$  such that (39) hold together with*

$$\begin{cases} q_1 + k_2T^{-1} > v_1 + 1, \\ r_1 + l_2T^{-1} \leq v_2 + 1. \end{cases} \quad (64)$$

Then

$$\mu M_{n,7}(\bar{c}, \bar{v}, Q) < \frac{1}{32}|I_1||I_2|.$$

Proof.

Again divide the rectangle  $I_1 \times I_2$  into rectangles  $I_i \times I_j$ , where  $|I_i| = Q^{-\frac{v_1 - q_2 + 1}{2} + \varepsilon}$ ,  $|I_j| = Q^{-l_2T^{-1} + \varepsilon}$ . We replace the second inequality in (64) by

$$v_2 + 0.5 < r_1 + l_2T^{-1} \leq v_2 + 1. \quad (65)$$

Consider the rectangles  $I_i \times I_j$  which contain no more than one polynomial  $P(t)$ . Fix such a polynomial  $P(t)$ . Then the solution of (39) belongs to the rectangle

$$\begin{cases} |x - \alpha| \ll Q^{-\frac{v_1 + 1 - q_2}{2}}, \\ |y - \beta| \ll Q^{-v_2 - 1 + r_1}. \end{cases} \quad (66)$$

Multiplying the estimates (66), we sum them over all rectangles  $I_i \times I_j$ . Thus we get the estimate of the kind  $c(n)Q^{-\varepsilon}|I_1||I_2|$  that proves Proposition 7. If there are at least two polynomials such that belong to  $I_i \times I_j$ , then we expand them into Taylor series. We get

$$|P_i(x)| \ll Q^{-v_1 + (n-1)\varepsilon_1 + 2\varepsilon},$$

$$|P_i(y)| \ll Q^{1-r_1-l_2T^{-1}}.$$

Apply Lemma 4 with

$$\begin{aligned}\tau_1 &= v_1 + 1 - 2\varepsilon - (n-1)\varepsilon_1, \\ 2(\tau_1 + 1 - \eta_1) &= v_1 + 1 + q_2 - 2\varepsilon - 2(n-1)\varepsilon_1, \\ \tau_2 + 1 &= r_1 + l_2T^{-1} - \varepsilon, \\ 2(\tau_2 + 1 - \eta_2) &= 2r_1.\end{aligned}$$

Then,

$$2v_1 + 2 + l_2T^{-1} + 3r_1 + q_2 - 3(n-1)\varepsilon_1 - 4\varepsilon < 2n + \delta. \quad (67)$$

However, by (65), we have  $l_2T^{-1} + 3r_1 > 2v_2 + 1$ , and the left side in (67) is larger than  $2n + 1 - 5\varepsilon$ . Thus, for  $\delta < 0.5$  we arrive at a contradiction.

The final part of the proof is similar to the proof of the  $(0, 0)$ -linearity. We omit the above estimate in (65) until we can use Dirichlet's principle, which results in polynomials of lower degree.  $\square$

The case  $r_1 < \frac{1}{2}$  and  $r_1 < \frac{1}{2}$  is considered in Proposition 1. It remains to consider polynomials such that

$$1 \leq d_1 + d_2 \leq 2 \quad (68)$$

holds. Here as in Proposition 1 we can pass to first degree polynomials which lead to a contradiction with (3) or to the second degree polynomials. For this case Theorem 1 was proved in Proposition 1.

Combining the results of all Propositions, we finally get

$$\mu M_n(\bar{c}, \bar{v}, Q) \leq \sum_{j=1}^7 \mu M_{n,j}(\bar{c}, \bar{v}, Q) \leq \frac{1}{4} |I_1| |I_2|,$$

concluding the proof of Theorem 2.  $\blacksquare$

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