1TreeRipper: towards a fully automated optical tree

2recognition software

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13

14Abstract

$15 {\rm Background}$

16Relationships between species, genes and genomes have been printed as trees for over a 17century. Whilst this may have been the best format for exchanging and sharing phylogenetic 18hypotheses during the 20th century, the worldwide web now provides faster and automated 19ways of transferring and sharing phylogenetic knowledge. However, novel software is needed 20to defrost these published phylogenies for the 21st century.

21Results

22TreeRipper is a command line c++ program for the fully-automated recognition of 23multifurcating phylogenetic trees. The program accepts a range of input image formats (PNG, 24JPG/JPEG, GIF, TIFF or PDF). Then follows a number of cleaning steps to detect lines, 25remove node labels, patch-up broken lines and corners and detect line edges. The edge contour 26is then determined to detect the branch length, tip label positions and the topology of the tree. 27Optical Character Recognition (OCR) is used to convert the tip labels into text with the freely 28available tesseract-ocr software. 32% of images meeting the prerequisites for TreeRipper were 29successfully recognised, the largest tree had 115 leaves.

30Conclusions

31Despite the diversity of ways phylogenies have been illustrated making the design of a fully 32automated tree recognition software difficult, TreeRipper is a step towards automating the 33digitization of past phylogenies. We also provide a dataset of 100 tree images and associated 34tree files for training and/or benchmarking future software.

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36Background

37In 1859, Darwin produced one of the first illustrations of a phylogenetic tree, notably this was
38the only figure included in *The Origin of Species*. Since, biologists have used trees to depict
39the relationships between organisms, genes and genomes. The number of studies depicting
40phylogenies exploded (see Figure 1) with the development of the polymerase chain reaction
41technique and journals were created specifically for publishing the molecular phylogenies
42generated by researchers (e.g., Molecular Phylogenetics and Evolution established in 1992).
43Whilst in the early years of molecular phylogenetics, embedding illustrations into manuscripts
44might have been the most appropriate way to disseminate knowledge, this has resulted in the
45locking up of phylogenetic hypotheses into the pages of journals and books without an easy
46way to access this information.

47Currently, the construction of the relationships between the 1.8 million currently estimated 48species largely depends on the unprecedented growth of molecular sequence data and this 49makes GenBank the most accessible source of comparative data for most taxa in the tree of life 50. Whilst more sequence data, more powerful computers and improved phylogenetic 51reconstruction algorithms will enable researcher to generate up-to-date phylogenies from the 52raw data in the future, past phylogenetic inferences will remain central to guiding researchers 53towards studying poorly supported relationships and under-sampled lineages. They are also 54central for studying the effects of new phylogenetic methodologies and new and larger datasets 55.

56Not all phylogenetically informative data are confined to sequence databases. TreeBASE is a 57very valuable repository in that respect as it holds morphological or genetic data with the 58associated published phylogeny . However, as few publishers require submission to TreeBASE 59as a pre-requisite for publication, a large number of phylogenies remain embedded as images in 60published articles. Indeed, the rapid growth of published phylogenies is not matched by the 61availability of those trees in databases (see Figure 1 in).

62The idea of using a program to convert a tree image into a computer-readable representation of 63that tree was first implemented in TreeThief which required the user to trace a tree by clicking 64on each of its nodes in turn. The latter program is only available for the discontinued operating 65system Mac OS 9. Laubach and von Haeseler provided a conceptual advance with a semi-66automatic program called TreeSnatcher that has recently been updated . 67Here, we will review the way researchers present their phylogenies, demonstrate the feasibility 68of a fully automated tree recognition software and provide a dataset of tree images and 69associated tree files for training and/or benchmarking future programs.

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71Implementation

72The current version of TreeRipper opens tree-image files in the formats PNG, JPG/JPEG, GIF,

73TIFF or PDF.

74	•	The tree needs to have the root on the left and leaves on the right.
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- Horizontal branches.
- The tree constitutes a dark foreground on a light homogenous background (no
- background boxes or shading).
- The tree must be bi- or multifurcating (not a network)
- The inner nodes are branching points between lines and have no circles, rectangles, etc.

80 inscribed.

• Tip branches must have branch lengths greater than 0.

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83TreeRipper is written in c++ using a set of Standard Template Library algorithms provided by 84Magick++. The image is first converted to black and white and rescaled so that horizontal lines 85are on average 2 pixels thick. The image is cleaned by removing a series of patterns such as 86black pixels surrounded by a box of white pixels and horizontal lines that are not connected to 87vertical lines. Lines and corners are then patched up before the contour is traced and the 88topology detected. The locations of branch tips are then used to crop the tip labels from the 89original image. Tip labels are converted to text using the freely available tesseract-ocr prgram. 90The steps in the program are depicted in Figure 2.

91Results and Discussion

92We downloaded 322 images which had phylogen* or supertree in their caption from 249 93articles published in the Open Access journal BMC Evolutionary Biology between 1997 and 942009. Only eleven out of these 249 articles have submitted their alignment and tree files to 95TreeBASE. All images were visually inspected to check whether the image met the 96prerequisites. Twenty-four images were not phylogenies, 26 were represented as radial tree 97layouts, 8 as polar tree layouts and 5 as cladograms. Of those represented with a rectangular 98tree layout, 40 had background boxes, 31 had lines intersecting branches or branches drawn 99with dotted or dashed lines, 32 had circles or boxes as nodes, 6 were illustrated over multiple 100pages, 4 had triangles as tip leaves, 3 had leaves with zero branch lengths. A further 29 would 101need some form of pre-processing (rotating or splitting into component images). Of the 298 102images of phylogenies downloaded only 114 (38%) would meet the prerequisites for this 103program, which are very similar to those of the semi-automatic recognition software 104TreeSnatcher . This small proportion of the total phylogenetic images illustrates the plethora of 112

105ways trees are currently represented in one journal alone. Of the 114 phylogenies that meet the 106prerequisites, the topologies of 37 trees (i.e., 32%) were successfully recognized by TreeRipper 107without any prior processing. The largest phylogeny successfully recognised had 115 leaves. 108We do not review the accuracy of the OCR here as it has been done elsewhere (see). 109The successfully recognised tree images along with a further 63 images manually converted to 110tree files are provided as supplementary material in NEXUS, Newick and phyloXML formats 111(Additional file 1) for training and/or benchmarking future programs.

113**Conclusions**

114Although the program has a high failure rate, it is the first step towards an automated approach 115for optical tree recognition and proves the feasibility of an approach which might permit us to 116defrost published phylogenetic hypotheses. We are unlikely to ever be able to create an 117application that recognises all possible trees due to the sheer diversity of ways phylogenies 118have been illustrated but at the very least, this program could be used for automating tree 119recognition of large sets of tree images before using manual conversion or semi-automated 120programs like TreeSnatcher.

121As phylogenetics enters a third phase of growth with the advent of next-generation sequencing,122one hopes that the work of future phylogenetists will be published in a format that will enable123the digital curation and preservation of their hard work.

125Availability and requirements

126Project name: TreeRipper (automated phylogeny recognition from images)

127Project home page: https://code.google.com/p/treeripper/

128Programming language: C++

129Prerequisites

130Tesseract-OCR licensed with the Apache 2.0 License except the tesseractTrainer.py, which is

131licensed with GPL: http://code.google.com/p/tesseract-ocr

132Imagemagick, license is compatible with the GPL: <u>http://www.imagemagick.org/</u>

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134Authors' contributions

135JH developed the idea, wrote the code, tested the software and drafted the manuscript.

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142**References**

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146Figures

$147 \mbox{Figure 1}$ - Percentage of articles with phylogeny* in the title

148The percentage of articles with $phylogen^*$ in the title out of the total number of publication for

149each year since 1980 from PubMed.

$150\mbox{Figure 2}$ - Architecture of the software design for TreeRipper

151The input image is scaled, node labels are removed, branches are smoothed and corners

152patched-up, the contour is detected. Tips locations are used to determine leaf label boxes for

153which the text is recognised using Tesseract. TreeRipper summarizes the tree topology and 154labels in a text file and an SVG file which shows the contours.

155 Additional files

$156\mbox{Additional}$ file 1 – Tree images and associated newick file

157Set of images and associated nexus tree file as a zip file.

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