A stable backbone for the fungi

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Fungi are abundant in the biosphere. They have fascinated mankind as far as written history goes and have considerably influenced our culture. In biotechnology, cell biology, genetics, and life sciences in general fungi constitute relevant model organisms. Once the phylogenetic relationships of fungi are stably resolved individual results from fungal research can be combined into a holistic picture of biology. However, and despite recent progress[1-3], the backbone of the fungal phylogeny is not yet fully resolved. Especially the early evolutionary history of fungi[4-6] and the order or below-order relationships within the ascomycetes remain uncertain. Here we present the first phylogenomic study for a eukaryotic kingdom that merges all publicly available fungal genomes and expressed sequence tags (EST) to build a data set comprising 128 genes and 146 taxa. The resulting tree provides a stable phylogenetic backbone for the fungi. Moreover, we present the first formal supertree based on 161 fungal taxa and 128 gene trees. The combined evidences from the trees support the deep-level stability of the fungal groups towards a comprehensive natural system of the fungi. They indicate that the classification of the fungi, especially their alliance with the Microsporidia, requires careful revision. Our analysis is also an inventory of present day sequence information for the fungi. It provides insights into which phylogenenetic conclusions can and which cannot be drawn from the current data and may serve as a guide to direct further sequencing initiatives. Together with a comprehensive animal phylogeny[7], we provide the second of three pillars to understand the evolution of the multicellular eukaryotic kingdoms, fungi, metazoa, and plants, in the past 1.6 billion years[8].

Molecular data have proven useful to complement, and sometimes overrule, morphological evidences in attempts to re-classify the fungi[3]. However, the evolutionary backbone of the fungi could not yet be resolved with confidence. This is mainly due to a limited gene sampling[2] bearing the possibility of a biased view on evolutionary relationships[9]. Especially the widely used rDNA and its spacers are problematic[10-13] since their complex mode of evolution is not sufficiently taken into account by the current models. Alternatively, a larger set of genes had been used, but the analyses then were confined to few taxa with sequenced genomes[14, 15]. This substantially increased branch support values, however it was to the cost of bearing the risk of misleading conclusions on phylogenetic relationships due to insufficient taxon sampling[16]. Recently, EST data were proven useful for phylogenetic studies[7, 17, 18]. This wealth of data has only been recently tapped for fungi[19] and bears tremendous potential for the resolution of unstable fungal branches.

To arrive at a stable and refined phylogeny for the fungi, we maximized taxon and gene sampling by merging data from 63 completely sequenced fungi and 104 fungal EST projects. We screened these sequences for presence of orthologs to 1,035 evolutionary conserved protein coding nuclear genes with well-supported orthology from animals to fungi. 128 genes (Supplementary Table 2) and 146 taxa resulted in a data matrix with only 33% missing data. From the resulting concatenated multiple sequence alignment (supermatrix) a maximum likelihood (ML) tree and a Bayesian tree was inferred (Fig. 1, Fig. 2, Supplementary Fig. 1).

Most branches show a very high statistical support with a mean of 95% for the bootstrap probabilities (BP) and 0.98 for the Bayesian posterior probabilities (BPP). Only in four cases, at the base of Ophiostomatales-Sordariales-Diaporthales, the Dikarya-Mucoromycotina-Glomeromycota clade, within Hypocreaceae, and at the

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base of the Agaricomycetes the branching pattern remained unresolved in the ML

tree. Bayesian tree inference resolved all but the basal agaricomycete phylogeny.

In a second approach, we computed a supertree based on 128 gene trees

(Supplementary Fig. 2). With the exception of the Agaricomycetes and Hypocreaceae

all polytomies of the ML tree were resolved. The combined evidence from three

analyses allows sound conclusions on the evolutionary relationships of the major

fungal clades (Fig. 1).

The trees support the monophyly of fungi, metazoa and green plants as well as

the monophyly of the opisthokonts. The Microsporidia, however, currently classified

as fungi[2, 4], are nested within the Mycetozoa and Amoebozoa. A number of biological

features specific to fungi, *e.g.* chitinous cell walls, and hyphal or yeast growth forms,

are not seen with the Microsporidia. Since these organisms are obligate parasites,

fungal characteristics could have been secondarily lost during their adaptation to

parasitism. However, a placement of the Microsporidia within fungi is not supported

by any of our phylogenomic analyses, and long branch attraction artifacts most likely

do not play a role. This supports the earlier view that Microsporidia are not derived

fungi but protozoa[20] for which secondary loss of fungal characteristics has not to be

postulated (see supplementary online information for further discussion).

Within the fungi, the monophyletic group of neocallimastigomycetes,

blastocladiomycetes and chytridiomycetes (BP: 100, BPP: 0.98) split first from the

backbone. This puts a new complexion of the early evolution of fungi. We conclude

that the Neocallimastigomycota and the Blastocladiomycota[2, 21] have to be withdrawn

as distinct phyla and subsumed as subphyla (suffixed with -mycotina) within the

Chytridiomycota.

The Entomophthoromycotina[3] are well separated from the earlier branching

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Chytridiomycota and are placed outside of the remaining fungi in the ML and Bayesian trees (BP: 68, BPP: 0.98). The Glomeromycota split next from the fungal backbone in the Bayesian tree (BPP: 1). The extended taxon sampling in our complementary MRP supertree shows the Entomophthoromycotina and Glomeromycota each as monophyletic. However, the current data do not allow to confidently attach them to the phylogenetic backbone of the fungi. Whether or not the Glomeromycota have to be included into the zygomycetes or are a separate phylum, as suggested by the MRP-supertree and the Bayesian analysis, remains open. The ongoing *Glomus* genome sequencing initiative[22] will help to elucidate this point. The monophyletic Mucoromycotina are the sister group of the Dikarya. Within the well-supported Dikarya consisting of Basidiomycota and Ascomycota, the latter subdivide into three sub-phyla: Taphrinomycotina, Saccharomycotina and Pezizomycotina. The Taphrinomycotina split off first as a monophyletic clade in the ML and Bayesian analysis. The monophyletic *Saccharomyces* complex has experienced difficulties in morphological classification in the past. Our data suggest a revision of that group (Fig. 2).

Within the Pezizomycotina, all classes are monophyletic and their phylogeny is well resolved. ML/Supertree and Bayesian tree disagree only in the phylogenetic position of the Dothideomycetes. The supertree analysis indicates that the majority of genes lend independent support for the placement of the Dothideomycetes as sister to the Sordariomycetes/Leothiomycetes. Finally, we note that four taxa with unclear systematic position were confidently placed in our trees: *Thermomyces* groups within the order Eurotiales, *Glomerella spec.* and *Verticillium dahliae* representing the Phyllacorales are placed as sister to the Hypocreales, and *Amorphotheca resinae* is associated with the Leotiomycetes. A fifth taxon, *Geomyces pannorum*, that has been

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originally described to belong to the Onygenales, is now placed within the Leotiomycetes. Some of these placements have been seen individually in studies restricted to individual parts of the ascomycete tree [23-25], but so far had not been generally recognized. Based on our analysis, a re-classification of the five species is proposed. The supertree provides further hints on the systematic position of individual taxa. For example, *Oidiodendron maius,* formerly described as *Ascomycota incerta sedis*, was placed within the Leotiomycetes. This fungus forms ericoid mycorrhizae like the leotiomycete genus *Hymenoscyphus*. The similar lifestyle and morphology lends further biological support to our placement of *Oidiodendron* within the Leotiomycetes.

The Basidiomycota clade shows the rust fungi (Pucciniomycotina) and the Agaricomycotina each as a monophyletic group. The placement of the smut fungi (Ustilaginomycotina) could only be solved with confidence by the Bayesian analysis that indicates the smuts as sister taxon to the Agaricomycotina (BPP: 1). The Agaricomycotina comprise the well supported Tremellomycetes and Agaricomycetes. At the base of the Agaricomycetes the branch lengths are short and the phylogenetic signal is not sufficient to allow a resolution of the branching pattern with the present data. A re-computation of the Basidiomycota subtree with an adapted data set gave no further improvement (Supplementary Fig. 2).

The difficulties in resolving the early splits of the basidiomycote phylogeny suggest that this part of the fungal tree is more bush-like, *i.e.,* the corresponding speciation events occurred in close succession. Exemplified for the Ustilaginomycotina (Supplementary discussion) we show that increasing the number of genes for the maximum likelihood phylogeny reconstruction to more than 1,200 resolves their position as sister taxon to the Agaricomycotina. However, a closer look

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reveals a picture that resembles a problematic case in the animal phylogeny, the phylogenetic position of *Caenorhabditis elegans.* This particular taxon serves as a paragon of how limited taxon sampling paired with long external branches can result in statistically excellently supported – but presumably wrong – phylogenetic conclusions[16]. A definite phylogenetic placement of the Ustilaginomycotina must, therefore, await a better taxon sampling of this fungal sub-phylum (see supplementary information). Presumably the same applies to two more fungal phyla/sub-phyla that are currently represented only by a single taxon (Fig. 1).

Our taxon sampling is biased towards species with whole genome sequences or large EST sets available. This causes the scarce presence or even absence of some groups that are currently not considered commercial, medical, or scientific models. We encourage the fungal community to start EST sequencing projects for taxa that have been ignored so far, but are representative for missing and highly unique clades, e.g. the ascomycete *Neolecta*, the lichen *Lecanora* or the zygomycetes *Endogone* and *Kickxella*.

The biology of fungi is full of complexities. Classifications of the Mycota based on morphological characters have suffered, for instance, from the problem to assign sexual and asexual stages of a fungus to one species and from convergent evolution. Exemplified for the Ascomycota we analyze the evolution of the spore dispersal machinery as a phylogenetic informative morphological character complex. The presence of fruiting bodies is a derived character within the Ascomycota with Taphrinomycotina and Saccharomycotina lacking any ascomatal structures. Within the Pezizomycotina, fruiting body types are polymorphic (Fig. 3). Of the fruiting bodies, apothecial forms are found to be basal. Perithecia, cleistothecia, and also pseudothecia are therefore derived character states. The independent occurrence of

cleistothciea and pseudothecia is a result of convergent evolution. Similar difficulties arise with other characteristics of the spore release machinery limiting its usefulness in elucidating the evolutionary relationships among the individual classes of the Pezizomycotina (see supplementary online information for further discussion).

The stable phylogenetic backbone represents a major advance towards resolving the evolutionary history of fungi. It comprises the fundament to build the multiple, fascinating scenarios necessary to advance knowledge for applied purposes, e.g. to forecast fungal groups with high potential of natural compounds or to raise production levels in biotechnologically important fungi depending on similar regulatory mechanisms conserved in evolution. A well resolved phylogeny of the fungi will provide insight into the evolution of their peculiar features, e.g. fruiting body development, ecological impact, or even allow new insights into the evolution of multicellularity.

METHODS SUMMARY

All available (as of July 2008) Expressed Sequence Tags (ESTs) from fungi and annotated gene sets from all fungal genome sequences were downloaded from the public databases (Supplementary Table 1). Overlapping ESTs from the same taxon were clustered into contigs. Two sets of evolutionary conserved genes (core-orthologs) were identified from two selections of completely sequenced fungal and metazoan genomes. The sequences in each core-ortholog cluster were aligned and converted into a Hidden Markov Model. The core-ortholog cluster were then extended with sequences from further taxa using a combination of a Hidden Markov Model based search followed by a reciprocal BLAST search (HaMStR). Ortholog cluster were then individually aligned with MAFFT[26]. Phylogenetic trees were computed from the concatenated alignments (supermatrix) with RaXML[27] and with PhyloBayes[28]. Alternatively, gene trees were computed from the individual alignments with RaXML and were used for Matrix Representation with Parsimony supertree reconstruction[29, 30].

Figure 1 The phylogenetic backbone of the fungi

The backbone of the phylogeny as inferred from two supermatrix approaches

(maximum likelihood, Bayesian) and a supertree approach. Triangles denote clades

represented by at least two taxa in the supermatrix analyses (size not drawn to scale).

Branch support is given as bootstrap probability (supermatrix)/Bayesian posterior

probability (supermatrix)/bootstrap probability (supertree). * denotes 100% support, -

denotes 'not resolved', *!* denotes different branching pattern, *n.a.* denotes clades that

are represented by a single taxon in the supermatrix approaches. Branch lengths are

taken from the Bayesian tree, dashed branches are not drawn to scale.

Figure 2 The phylogeny of the fungal kingdom

The deep-level maximum likelihood phylogeny of the fungi. Branch support values

represent bootstrap probabilities where * denotes 100% support. Branches with a

higher line weight have at least 95% Bayesian posterior probability. Names of taxa

incerta sedis are written in purple, names of taxa positioned different to their

systematic description are written in orange.

Figure 3 Distribution of fruiting body types in the

Pezizomycotina.

Subtree of the maximum likelihood tree shown in Figure 2 with clades collapsed on

the order level. *Amorphotheca resinae* is not associated to an order. *Geomyces*

pannorum is described as *Onygenales* but requires re-classification. Fruiting body

morphology is given next to the taxon names. *A* = Apothecia, *C* = Cleistothecia, *Ps* =

Pseudothecia, *P* = Perithecia, *unkn** = unknown

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Author information All sequence data as well as the alignments are available at

http://www.deep-phylogeny.org/fungi. Reprints and permissions information is

available at npg.nature.com/reprintsandpermissions. Correspondence should be

addressed to I.E. (ingo.ebersberger@univie.ac.at).

Pezizomycotina

Saccharomycotina

Taphrinomycotina

Ascomycota

Pucciniomycotina

Ustilaginomycotina

Agaricomycotina

Basidiomycota

Mucoromycotina

Glomeromycotina

Entomophthoromycotina

Zygomycota/
Glomeromycota/
Entomophthoromycota

Blastocladiomycotina

Chytridiomycotina

Neocallimastigomycotina

Chytridiomycota

Metazoa

Viridiplantae

Oomycetes

Mycetozoa

Amoebozoa

Microsporidia

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82/96/!

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//98

!/*/!

//98

68/98/!

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n.a/n.a./97

97/*/98

n.a/n.a/*

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/98/

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/99/

//*

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*/93/95

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Helotiales
Leotiomycetes
Erysiphales

BOTRYTIS CINEREA (118)
SCLEROTINIA SCLEROTIORUM (127)
Amorphotheca resinae (119)
Blumeria graminis f. sp. hordei (69)
Geomyces pannorum (118)

Ophiostomatales
Ophiostoma clavigerum (87)
Ophiostoma piliferum (109)

Sordariales
Neurospora crassa (112)
Corynascus heterothallicus (111)
CHAETOMIUM GLOBOSUM (124)
Chaetomium cupreum (69)
PODOSPORA ANSERINA (125)

Diaporthales
Cryphonectria parasitica (104)

Phyllachorales
MAGNAPORTHE GRISEA (125)
Glomerella cingulata (72)
Glomerella graminicola (61)
Verticillium dahliae (84)

Sordariomycetes
FUSARIUM VERTICILLIOIDES (126)
Gibberella moniliformis (128)
Fusarium oxysporum f. sp. melonis (55)
Fusarium oxysporum f. sp. cucumerinum (72)
FUSARIUM OXYSPORUM (125)
FUSARIUM GRAMINEARUM (126)
Gibberella zeae (120)
Fusarium sporotrichioides (55)
NECTRIA HAEMATOCOCCA (126)
HYPOCREA JECORINA (122)
HYPOCREA VIRENS (115)
Trichoderma stromaticum (50)
Trichoderma longibrachiatum (42)
Trichoderma viride (42)
Trichoderma harzianum (110)
Trichoderma atroviride (95)
Trichoderma aureoviride (36)
Trichoderma asperellum (67)
Cordyceps bassiana (114)
Neotyphodium lolii (78)
Metarhizium anisopliae (71)

Hypocreales

Pleosporales
Dothideomycetes
PHAEOSPHAERIA NODORUM_SN15 (121)
STAGONOSPORA NODORUM (126)
Leptosphaeria maculans (47)
PYRENOPHORA TRITICI (126)
Alternaria brassicicola (62)

Capnodiales
Cercospora zeae-maydis (100)
MYCOSPHAERELLA FIJIENSIS (122)
MYCOSPHAERELLA GRAMINICOLA (126)
Aureobasidium pullulans (111)

Onygenales
AJELLOMYCES CAPSULATUS (119)
PARACOCCIDIOIDES BRASILIENSIS (123)
COCCIDIOIDES IMMITIS (123)
COCCIDIOIDES POSADASII (124)
UNCINOCARPUS REESII (120)
Trichophyton rubrum (126)
ASCOSPHAERA APIS (97)

Eurotiomycetes

Eurotiales
ASPERGILLUS FISCHERI (126)
ASPERGILLUS FUMIGATUS (127)
ASPERGILLUS CLAVATUS (125)
ASPERGILLUS ORYZAE (112)
ASPERGILLUS FLAVUS (124)
ASPERGILLUS TERREUS (122)
ASPERGILLUS NIGER (121)
ASPERGILLUS NIDULANS (124)

Pezizales
Pezizomycetes
Orbiliales
Orbiliomycetes
Thermomyces lanuginosus (100)
Tuber borchii (84)
Monacrosporium haptotylum (44)

Saccharomycetales
Saccharomycetes
CANDIDA ALBICANS (120)
CANDIDA TROPICALIS (103)
LODDEROMYCES ELONGISPORUM (111)
CANDIDA PARAPSILOSIS (104)
PICHIA STIPITIS (123)
PICHIA GUILLIERMONDII (106)
DEBARYOMYCES HANSENII (122)
CLAVISPORA LUSITANIAE (101)
SACCHAROMYCES BAYANUS (116)
Saccharomyces pastorianus (68)
SACCHAROMYCES CEREVISIAE (128)
SACCHAROMYCES PARADOXUS (118)
SACCHAROMYCES MIKATAE (122)
SACCHAROMYCES KUDRIAVZEVII (119)
SACCHAROMYCES CASTELLII (123)
CANDIDA GLABRATA (119)
VANDERWALTOZYMA POLYSPORA (125)
KLUYVEROMYCES WALTII (109)
SACCHAROMYCES KLUYVERI (117)
ASHBYA GOSSYPII (123)
KLUYVEROMYCES LACTIS (122)
YARROWIA LIPOLYTICA (111)

Schizosaccharomycetales
Schizosaccharomycetes
Pneumocystidales
Pneumocystidomycetes
Taphrinales
Taphrinomycetes
SCHIZOSACCHAROMYCES JAPONICUS (121)
Schizosaccharomyces pombe (81)
Pneumocystis carinii f. sp. carinii (42)
Taphrina deformans (90)
Saitoella complicata (84)

Pucciniales
Pucciniomycetes
Uromyces appendiculatus (105)
Uromyces viciae-fabae (37)
PUCCINIA GRAMINIS F. SP. TRITICI (118)
Phakopsora pachyrhizi (97)

Sporidiobolales
Leucosporidiales
Microbotryomycetes
Ustilaginales
Ustilaginomycetes
SPOROBOLOMYCES ROSEUS (122)
Leucosporidium scottii (99)
USTILAGO MAYDIS (121)

Polyporales
Corticiales
Antrodia cinnamomea (97)
POSTIA PLACENTA (114)
Trametes versicolor (93)
PHANEROCHAETE CHRYSOSPORIUM (120)

Agaricales
Agaricomycetes
Hebeloma cylindrosporum (95)
LACCARIA BICOLOR (117)
Coprinopsis cinerea (119)
Lentinula edodes (107)
Pleurotus ostreatus (54)
Schizophyllum commune (38)

Gloeophyllales
Boletales
Agaricales
Gloeophyllum trabeum (111)
Paxillus involutus (91)
Heterobasidion annosum (58)

Tremellales
Tremellomycetes
Filobasidiella neoformans (116)
Filobasidiella neoformans var. neoformans (125)
Filobasidiella laurentii (108)
Cunninghamella bainieri (35)
Cunninghamella elegans (112)
PHYCOMYCES BLAKESLEEANUS (126)
RHIZOPUS ORYZAE (126)

Glomeromycetes
Glomus intraradices (54)
Zoophthora radicans (71)

Blastocladiomycetes
Allomyces macrogynus (97)
Blastocladiella emersonii (116)

Chytridiomycetes
BATRACHOCHYTRIUM DENDROBATIDIS (125)
Spizellomyces punctatus (70)
Neocallimastigomycetes
Neocallimastix patriciarum (55)

PEZIZOMYCOTINA
SACCHARO-MYCOTINA
TAPHRINO-MYCOTINA
PUCCINIO-MYCOTINA
USTILAGINO-MYCOTINA
AGARICO-MYCOTINA
MUCOROMYCOTINA
GLOMEROMYCOTINA
ENTOMOPHTHOROMYCOTINA
BLASTOCLADIOMYCOTINA
CHYTRIDIOMYCOTINA
NEOCALLIMASTIGOMYCOTINA

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Helotiales

Amorphotheca resinae

Erysiphales

unkn* Geomyces pannorum

Ophiostomatales

Sordariales

Diaportales

Phyllacorales

Hypocreales

Ps P Pleosporales

Capnodiales

Ps C Onygenales

Eurotiales

Pezizales

Orbiliales

SACCHAROMYCOTINA

0.1

Leotiomycetes

Sordariomycetes

Dothideomycetes

Eurotiomycetes

Pezizomycetes

Orbiliomycetes