



# Temporal clustering of extreme climate events drives a regime shift in rocky intertidal biofilms

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**Abstract.** Research on regime shifts has focused primarily on how changes in the intensity and duration of press disturbances precipitate natural systems into undesirable, alternative states. By contrast, the role of recurrent pulse perturbations, such as extreme climatic events, has been largely neglected, hindering our understanding of how historical processes regulate the onset of a regime shift. We performed field manipulations to evaluate whether combinations of extreme events of temperature and sediment deposition that differed in their degree of temporal clustering generated alternative states in rocky intertidal epilithic microphytobenthos (biofilms) on rocky shores. The likelihood of biofilms to shift from a vegetated to a bare state depended on the degree of temporal clustering of events, with biofilm biomass showing both states under a regime of non-clustered (60 d apart) perturbations while collapsing in the clustered (15 d apart) scenario. Our results indicate that time since the last perturbation can be an important predictor of collapse in systems exhibiting alternative states and that consideration of historical effects in studies of regime shifts may largely improve our understanding of ecosystem dynamics under climate change.

**Key words:** abrupt changes; alternative states; biofilm; climate change; epilithic microphytobenthos; extreme events; regime shift; temporal clustering.

## INTRODUCTION

Ecosystems often display nonlinear responses to both gradual and abrupt changes in driving variables (e.g., temperature, nutrient loading), undergoing catastrophic transitions known as regime shifts (Scheffer et al. 2001, Scheffer and Carpenter 2003). Most theoretical and experimental work on regime shifts has focused on gradual changes in the intensity of a press disturbance (the driver variable), showing that many ecosystems can absorb such changes and maintain their current state up to a threshold beyond which they transition to an alternative, less desirable state (Petraitis and Dudgeon 2004, Dakos et al. 2008, Scheffer et al. 2012, Benedetti-Cecchi et al. 2015, Rindi et al. 2017). Only recently, ecologists have recognized the importance of temporal characteristics of press disturbances in regulating regime shifts. Ratajczak et al. (2017) showed that the duration of the perturbation is crucial for the onset of regime shifts in systems that respond slowly to external change and that exhibit strong coupling between past and present dynamics. In contrast, our understanding of the role of recurrent pulse events and how the history of

previous perturbations affects the susceptibility of ecosystems to undergo a regime shift is still limited.

Pulse events such as fires, the outbreak of natural enemies, and extreme climatic events have a great potential to induce regime shifts (Scheffer et al. 2001). In highly stochastic environments, species coexistence is promoted by the capacity of species to respond differentially to environmental fluctuations. Each population, then, is able to store the gains coming from good periods and use them to survive losses in bad periods, a phenomenon known as storage effect, which ultimately allows a community to maintain biodiversity (Chesson 2000). Pulse perturbations, however, may exceed tolerance limits of organisms, causing impairment of function or outright mortality of individuals (Schröder et al. 2005). If resting stages are also affected, pulse events may prevent species coexistence by disrupting storage effects. Pulse disturbances can also influence community dynamics and biodiversity by selectively removing community dominants, thereby freeing up resources for other species and reducing community's biotic resistance to invasive species (Walker et al. 2005, Mumby et al. 2011). Any of these changes may translate into a system being suddenly pushed beyond the unstable region separating the basins of attraction of the contrasting states, resulting in a regime shift (Scheffer et al. 2001).

The likelihood of a pulse perturbation to push a system into an alternative state depends upon its location with respect to the critical threshold; the more the system is close to the threshold, the higher is the likelihood of a

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transition (Folke et al. 2004, van der Bolt et al. 2018). Moreover, ecosystems are exposed to multiple, recurrent perturbations, so that the likelihood of a regime shift may also depend on the particular regime of disturbance the system has experienced (Paine et al. 1998). Specifically, the characteristics of a regime of pulse disturbances that may leave strong historical signatures on ecosystem dynamics include the nature, the order and the timing of occurrence of perturbations (Benedetti-Cecchi et al. 2015, Dantas et al. 2016, Dal Bello et al. 2017). Although alterations of disturbance scenarios are already receiving a great amount of attention in the ecological literature, how variation in the regime of pulse perturbations affects regime shifts has been largely neglected.

Extreme climatic events are becoming more common and severe as a consequence of climate change (Fischer and Knutti 2015), and they can induce abrupt transitions in terrestrial and aquatic ecosystems (Holmgren et al. 2006, Wernberg et al. 2016). There is general consensus that the effects of extreme events vary with their nature and temporal regimes (Benedetti-Cecchi et al. 2006, Mumby et al. 2011, Williams et al. 2011). Moreover, recent studies showed that changes in the temporal clustering of extreme events, that is the degree of separation between consecutive instances, can modulate ecological memory of microbial assemblages (Dal Bello et al. 2017) and regulate the onset of regime shifts in tropical ecosystems (Holmgren et al. 2013). Evaluating how different scenarios of extreme events can trigger a regime shift in systems with alternative states will be a crucial step to better understand the impact of climate change on ecosystems.

Here, we address this challenge using rocky intertidal epilithic microphytobenthos (biofilms) as model system. We focused on extreme events of temperature and sediment deposition after heavy rains, since these are major drivers of biofilm abundance and distribution (Thompson et al. 2004, Dal Bello et al. 2017). We used photosynthetic biofilms primarily because it is a tractable system for field experiments, being the result of the activity of fast-growing organisms, which display rapid responses to perturbations (Christofoletti et al. 2011). Moreover, we expected alternative states in biofilms due to stabilizing mechanisms that operate both at high and low values of biomass. High biomass values sustain high photosynthesis rates, which, in turn, support enhanced production of extracellular polymeric substances (EPS; Wulff et al. 2000, Wolfstein and Stal 2002). EPS, being the major components of the dense matrix in which microalgal cells are embedded, provide protection against stressful conditions, for example heat stress during low tides, and further boost photosynthesis and biomass accumulation (Flemming and Wingender 2010). This positive feedback can be eroded by processes that either remove biomass or degrade EPS, for example high temperatures, abrasion due to sediment scouring, and wave action (Decho 2000, Thompson et al. 2004). We propose that such losses trigger runaway changes, propelling the switch from a “vegetated” to a “bare” (or

“semi-bare”) state. The semi-bare state will then be maintained due to the uncoupling of photosynthesis and EPS production at low biofilm biomass values (Allee effect). Such feedback can work both ways: the more the biomass, the higher the growth and the less the biomass, the lower the growth. Positive feedback loops like this one may be responsible for the catastrophic effect of extreme events, similarly to what observed in microcosm experiments with yeasts populations, which show cooperative growth and a negative growth rate at low cells density (Dai et al. 2012).

We used a field experiment and a model to test for the presence of alternative states in rocky shore photosynthetic biofilms and to explore the underlying feedback mechanisms. The field experiment tested the hypothesis that series of extreme events of temperature and sediment deposition that differed in their degree of temporal clustering induced alternative states in biofilm assemblages. Multimodality in the frequency distribution of biofilm biomass (Scheffer et al. 2012, Sirota et al. 2013) and divergence in the temporal trajectories of experimental units belonging to the same treatment (Scheffer and Carpenter 2003, Schröder et al. 2005) are both indirect indications for the presence of alternative states, here a vegetated and a semi-bare state (Schröder 2009). Based on the results of a previous study (Dal Bello et al. 2017), we anticipate that the vegetated state would correspond to the biomass in the controls, while the semi-bare state would reflect reduced biofilm biomass in the clustered perturbation scenario. This is expected because extreme events clustered in time may push the system below a threshold biomass value, impairing the ability of biofilm to recover to the vegetated state. Moreover, we expect two modes in the non-clustered scenario, where two perturbations separated in time may be able to push some experimental units in the semi-bare state, while others, due to small initial differences in biomass, may remain in the vegetated state. To further explore the effects of temporal clustering of extreme events on biofilm biomass, we parametrized a simple model that incorporated the positive feedback between photosynthesis and EPS production through an Allee effect.

## MATERIALS AND METHODS

### *Study area*

The experiment was done along the coast of Calafuria, Italy (Livorno, 43°30' N, 10°19' E) between April and August 2013. The coast consists of gently sloping sandstone platforms with high-shore levels (0.3–0.5 m above mean low-level water) colonized by assemblages of barnacles interspersed among areas of seemingly bare rock, where photosynthetic biofilms develop. Biofilm assemblages at Calafuria include mainly cyanobacteria, with diatoms being less abundant (Maggi et al. 2017). The most important grazer at this height on the shore is the littorinid snail *Melaraphe neritoides* (L). During the

experiment, however, grazing pressure over biofilm assemblages was nearly absent (Dal Bello et al. 2017).

### *Experimental design*

Along Mediterranean rocky shores, thermally stressing periods of calm sea and high barometric pressure alternate with heavy rainfalls, the latter resulting in the deposition of sediments at tidal heights where photosynthetic biofilms develop (Airoldi 2003, Benedetti-Cecchi et al. 2006, Dal Bello et al. 2017). In order to mimic this pattern, we imposed different series of extreme events of warming and sediment deposition. A scenario characterized by non-clustered events was created by imposing two extreme disturbances 60 d apart, while two disturbances 15 d apart characterized the clustered condition. The non-clustered scenario was conceived to allow biofilm biomass to recover between the two events, while recovery was considered unlikely in the time window separating clustered events. Since biofilm is composed of fast-growing species with short generation time, an interval of 60 d was sufficiently long to allow recovery, and therefore, the two perturbations could be considered as separate events. For each level of clustering, we imposed all the possible combinations of warming and sediment deposition: two consecutive sediment deposition events, two consecutive extreme warming events, one extreme sediment deposition event followed by an extreme warming episode, an extreme warming event followed by an extreme sediment deposition episode. Extreme warming was obtained by artificially increasing air temperature over experimental plots using aluminum chambers equipped with stoves. The treatment consisted in maintaining the air temperature inside the chambers as close as possible to 32°C during the two hours corresponding to the peak in daily temperatures, which is around midday in all instances. The temperature chosen represents the 100-yr return time temperature for the months in which the experiment was performed (Katz et al. 2005). Procedural controls for artifacts (CA) were set up to control for the effects of shading on biofilm biomass due to the use of non-transparent heating chambers. CA plots were therefore kept in shaded conditions but without heating for the duration of the warming treatment by means of cardboard chambers. Sediment addition on experimental plots was used to simulate the effects of runoff after a heavy rainfall event. The treatment consisted of adding a 5 mm thick layer of sediment collected in situ and diluted in fresh water to produce the colloidal material that is naturally deposited on rocky shores after severe precipitation events. Three experimental plots were assigned to each combination of extreme events of disturbance. Three unmanipulated plots were used as controls (C), and six plots were used as procedure controls of artefacts (CA). Experimental plots were located 2–10 m apart and consisted of areas of substratum of 30 × 50 cm marked at their corners with raw plugs inserted into the rock for subsequent relocation.

### *Data collection and analyses*

Biofilm biomass was quantified indirectly by means of an image-based remote sensing technique that uses chlorophyll *a* (chl *a*) concentration as a proxy. Chlorophyll *a* was estimated from the ratio of reflectance at near-infrared (NIR) and red bands (ratio vegetational index, RVI) obtained by means of an IR-sensitive camera, following the method proposed by Murphy et al. (2006). NIR/red ratios are linked to the chlorophyll content in the rock by a linear relationship, calculated on the basis of laboratory chlorophyll *a* extractions from Calafuria sandstone cores (Dal Bello et al. 2015).

Experimental plots were monitored in time after the imposition of both experimental perturbations, with the non-clustered scenario sampled at days 70, 84, 108, and 133 and the clustered scenario sampled at days 81, 91, 109, and 138, counting from day 0 (i.e., when the experiment started and we imposed the first extreme of the non-clustered scenario) (see Appendix S1: Fig. S1). Controls were sampled also at days 5, 20, and 55, in addition to days 70, 84, 91, 108, and 133 (Appendix S1: Fig. S1). Once in the lab, each image was handled with a routine in ImageJ software to haphazardly select five subplots of 256 × 256 pixels and to provide a mean estimate of biofilm biomass for each of them.

The presence of alternative states was tested indirectly through the evaluation of multimodality in the frequency distribution of biofilm biomass (Scheffer et al. 2012, Sirota et al. 2013). The number of modes in the frequency distribution of biofilm biomass values was estimated at the first sampling date after the second perturbation event for both non-clustered and clustered scenario (days 70 and 81 from the start of the experiment, respectively), while we used data from the four dates after the second perturbation event to assess divergence among temporal trajectories of biofilm biomass. The number of modes has been identified with normal mixture modeling and model-based clustering using Mclust package in R (Scrucca et al. 2016). We used bootstrapping to calculate 95% confidence intervals. For each level of temporal clustering (control, clustered, and non-clustered), observations were resampled 999 times and modes were estimated. The 95% confidence intervals were calculated as 2.5th and 97.5th percentile of the vector of bootstrapped modes (Davison et al. 1997).

Another qualitative indicator for the presence of alternative states is the divergence of temporal trajectories of identically treated experimental units (Scheffer and Carpenter 2003). In particular, alternative state theory predicts that the final state of a system, vegetated, or semi-bare in our case, will depend on the initial position of the state variable with respect to a threshold: units with biofilm biomass above the threshold at the first sampling date will remain in the vegetated state, while units below that threshold will shift to the semi-bare state (Schröder et al. 2005). To test this, we adopted a binary classification technique commonly used in machine learning: given the value of biofilm biomass at the first sampling date

after both extreme events, the algorithm decides whether that particular unit will end up in the semi-bare (0) or in the vegetated state (1). In this case, the algorithm was a binomial generalized linear model that we fit to our data using the `glm` function in the R package `stats` (version 3.5.1; R Core Team 2018). We divided the data belonging to the non-clustered scenario into two groups: (1) a training set, consisting of 60% of data points, in which an experimental unit was classified as vegetated if its biomass was embraced in the confidence interval of the mean control biomass at the last sampling date or semi-bare otherwise, and (2) a testing set including the remaining 40% of the data. The training set was used to fit the binomial generalized linear model, whose accuracy was then tested over the testing set.

#### Model formulation and parameterization

We developed a simple mathematical model to explore whether different temporal regimes of temperature extremes could induce alternative states in biofilm biomass. We considered only one stressor variable since extreme warming and sediment deposition events have comparable effects on biofilm biomass (Dal Bello et al. 2017). The goal here was to assess biofilm dynamics under different temporal scenarios of temperature extremes and to test whether the degree of temporal clustering could generate alternative states. This model provided a qualitative benchmark with which to compare the experimental results.

We modeled the dynamics of biofilm using a simple growth equation describing changes of biofilm biomass ( $\mu\text{g chl } a/\text{cm}^2$ ) as a function of temperature and a loss equation, which reflects general processes leading to biofilm mortality (e.g., consumption by grazers and dislodgment by waves):

$$\frac{dB}{dt} = G(B) - F(B) + \sigma B \frac{dW}{dt} \quad (1)$$

where  $B$  is the biomass of biofilm ( $\mu\text{g chl } a/\text{cm}^2$ ),  $t$  is time, and  $T$  is mean air temperature ( $^{\circ}\text{C}$ ). The function  $G(B)$  is a logistic equation that describes the growth of biofilm biomass, in which the per capita growth rate varies as a function of mean air temperature ( $^{\circ}\text{C}$ ). The function  $F(B)$  describes the loss of biomass due to biological or physical disturbance. Due to the narrow amplitude of tides, intertidal organisms along Mediterranean coasts may be exposed to elevated desiccation stress due to prolonged periods of calm seas and high barometric pressure. In contrast, waves and rough sea conditions can keep intertidal organisms constantly wet, even during low tides (Benedetti-Cecchi et al. 2006). Frequent shocks to biofilm biomass due to such contrasting and rapidly changing weather conditions are represented in the model by the term  $\sigma B dW/dt$ , where  $BdW/dt$  is a Wiener white noise process with mean 0 and variance  $dt$  and  $\sigma$  is the scale parameter of the noise process, which was arbitrarily set to 0.04.

As anticipated before, the  $G(B)$  function is a logistic equation describing the growth of biofilm biomass

$$G(B) = r(T)B \left(1 - \frac{B}{K}\right) \quad (2)$$

where  $r(T)$  is a two-phase thermal performance curve modeling the variation of growth rate as a function of temperature and  $K$  is maximum biofilm biomass (Deutsch et al. 2008, Vasseur et al. 2014; Appendix S1: Fig. S2)

$$r(T) = \begin{cases} r_{\max} \left[1 - \frac{(T - T_{\text{opt}})}{T_{\text{opt}} - T_{\max}}\right]^2 & T \geq T_{\text{opt}} \\ r_{\max} \left[e^{-\left[\frac{(T - T_{\text{opt}})}{2\sigma_p}\right]^2}\right] & T < T_{\text{opt}} \end{cases} \quad (3)$$

where  $r_{\max}$  is the maximum growth rate of biofilm biomass,  $T$  is air temperature,  $T_{\text{opt}}$  is the mean air temperature at which the growth rate is maximum ( $r(T_{\text{opt}}) = r_{\max}$ ),  $T_{\max}$  is the temperature limit beyond which the growth rate becomes negative, and  $\sigma_p$  is a parameter controlling the rate of increase of growth rate in the ascending part of the curve. This relationship is in line with experimental evidence and observations that higher values of air temperature ( $^{\circ}\text{C}$ ) strongly decrease the growth rate of rocky intertidal biofilms (Sanz-Lázaro et al. 2015, Dal Bello et al. 2017).

The model included an Allee effect, implying a lower growth rate at low levels of biomass. We assumed that the mortality rate of biofilm increased below a certain value of biomass, due to the decrease in EPS production and the consequent increase in desiccation stress and reduction of protection against UV radiation (Potts 1999, Wulff et al. 2000, Wolfstein and Stal 2002)

$$F(B) = m_a B \left(\frac{h_A}{B + h_A}\right) \quad (4)$$

The loss term caused a net reduction of per capita growth rate at low biomass levels. This was achieved through a Monod equation with a half-saturation constant  $h_A$ , which defines the biomass level below which this loss term is halved.

#### Model parametrization and simulations

Parameters were estimated empirically by fitting the model to time series of biofilm biomass at the study site (Appendix S1: Table S1). On nine occasions between April and August 2013, we sampled six plots the same size as the experimental units ( $30 \times 50 \text{ cm}$ ) and biofilm biomass was evaluated as described in the previous section. Daily temperature data were obtained from Rete Mareografica Nazionale (ISPRA; data available online).<sup>3</sup>

<sup>3</sup> <http://www.mareografico.it>

Maximum likelihood parameter estimates were obtained with the `mle2` function of the `bmle` library in R, assuming lognormal errors (Bolker 2008). Predicted time series were obtained by integrating over time initial biofilm biomass. We used the `ode` function of R package `deSolve`, with backward differentiation formula (Soetaert et al. 2012). We used plot averages of biofilm biomass for this analysis because subplots within plots differed among dates, so only data aggregated at the plot level could be tracked through time (Appendix S1: Fig. S2). The interpolating function `aproxfun` in the R package `deSolve` was used to obtain temperature estimates at exact time points during the integration routine. Likelihood profiles were inspected to ensure that parameters were well defined.

To evaluate the effect of extreme climatic events in the model, we first generated a baseline condition where air temperature increased from 23° to 27.5°C, which resembled the increase in temperature observed during the experiment (data obtained by from Rete Mareografica Nazionale, ISPRA; see footnote 3). Moreover, to reproduce the variability in mean temperature similar to that observed over the study period, we superimposed to the temperature time series a white noise process with mean ( $\mu$ ) zero and standard deviation ( $\sigma$ ) equal to 1.5°C. Time series of air temperature were finally modified to integrate the maximum air temperature measured in the experimental warming session (aerial temperature of 32°C). As in the experiment, we produced two temporal patterns of extreme events, a clustered pattern in which we imparted two warming events separated by 15 d (day 76 and day 91) and a non-clustered scenario consisting of the same temperature extremes separated by 60 d (day 10 and day 70; Appendix S1: Fig. S1). We constructed a set of simulated time series for each scenario running Eq. 1 from 50 different initial conditions randomly selected from a normal distribution ( $\mu = 3.5$ ,  $\sigma = 0.5$ ), for 150 time-steps. Also, a third set of simulations without the imposition of extreme events was produced. Simulations were performed using an Euler-Murayama method with Ito calculus (Iacus 2009).

## RESULTS

Biofilm biomass exhibited two distinct states (Fig. 1). Biomass distribution in controls (no extreme events) was unimodal and centered on the value of 4.59  $\mu\text{g chl } a/\text{cm}^2$  (95% CI [4.23–4.97]), which identifies the vegetated state (Fig. 1a, d, see Table 1). The distribution of biomass in the clustered scenario was also unimodal but centered on a lower value (1.23  $\mu\text{g chl } a/\text{cm}^2$ ; 95% CI [1.08–1.38]), which identifies a semi-bare state (Fig. 1b, d and Table 1). Non-clustered event treatments showed instead bimodality (1.61  $\mu\text{g chl } a/\text{cm}^2$ ; 95% CI [1.25–1.91] and 4.38  $\mu\text{g chl } a/\text{cm}^2$ ; 95% CI [3.63–4.89]), with intermediate values of biofilm biomass (Fig. 1c, d and Table 1). Graphical scrutiny of the results suggests that warming and sediment deposition have similar effects on the distribution of biofilm biomass (Appendix S1: Fig. S3).

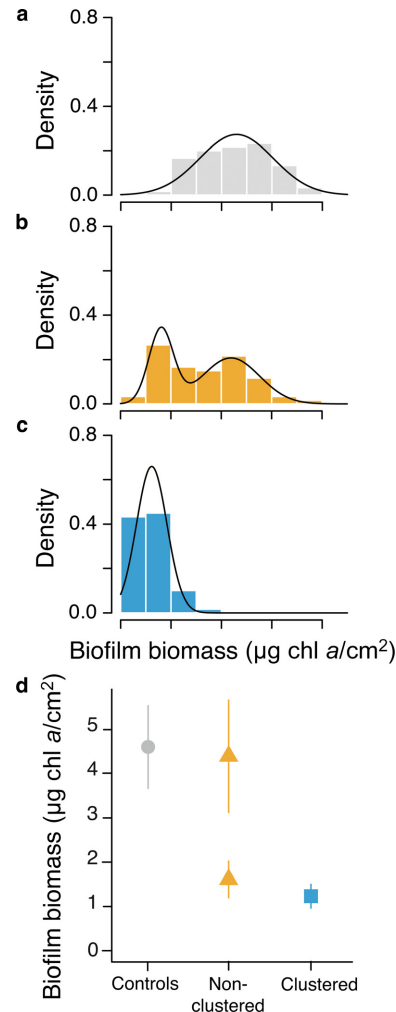


FIG. 1. Frequency distribution of biofilm biomass and probability density functions (solid lines) separately for (a) controls, (b) non-clustered events, and (c) clustered events treatments. In panel d, the modes for each experimental condition are shown together with bootstrapped 95% confidence intervals.

TABLE 1. BIC criterion of models with a different number of fitted density distributions (here we show the first four) for control, non-clustered, and clustered scenarios.

Modes	Controls (no extremes)	Non-clustered events	Clustered events
1	<b>223.9490</b>	238.5700	<b>118.1841</b>
2	231.3705	<b>231.1430</b>	120.7077
3	241.5501	243.4187	†
4	251.3868	249.2830	†

Note: The model with the smallest BIC (in boldface type) has the best fit.

†No convergence.

Inspection of the temporal trajectories of biofilm biomass revealed that, despite a slight decline, controls remained in the vegetated state during the course of the

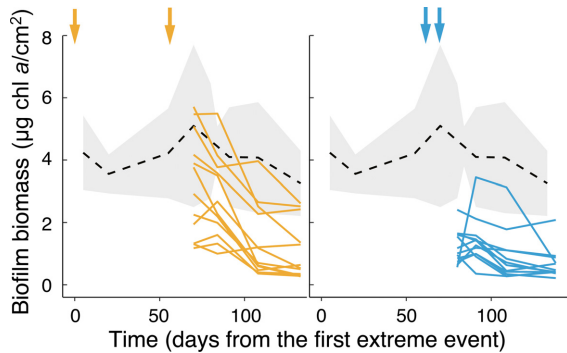


FIG. 2. Observed temporal trajectories of biofilm biomass under the non-clustered (left panel) and the clustered (right panel) scenarios of extreme climatic events, indicated as days from the first experimental perturbation. The control treatment is used for reference and is shown as 95% confidence interval region (light gray) and averaged temporal trajectory (black). Arrows indicate the timing of the perturbations for non-clustered (orange) and clustered (blue) events.

TABLE 2. Binomial generalized linear model on the final state of experimental units (semi-bare or vegetated state) as a function of the value of biofilm biomass at the first sampling date after both extreme events.

Parameter	Coefficient
Intercept	-8.46 (3.19)**
Biomass at the first sampling date	1.88 (0.71)**

Notes: An experimental unit is assigned to the vegetated state if its biomass value is embraced in the 95% confidence interval of the mean control biomass at the last sampling date; otherwise, it is classified as semi-bare state. SE is reported in parentheses. McFadden  $R^2 = 52\%$  (indicates the goodness of fit).

\*\* $P < 0.01$ .

study, while clustered treatments were consistently in the semi-bare state. The non-clustered scenario showed a divergent pattern, with some experimental units recovering to biomass values observed in controls and other units declining toward values measured in the clustered treatments (Fig. 2). In the non-clustered scenario, whether a unit recovered to the vegetated state or declined to the semi-bare state depended on its value of biomass at the first sampling date (Appendix S1: Fig S4). In particular, a unit increase in biofilm biomass increased the probability (log odds) to end up in the bare state by 1.88 (Table 2). Finally, the model predicted the final state of experimental units in the testing set with reasonable accuracy (AUC = 0.9, Appendix S1: Fig. S5).

The response of biofilm biomass to extreme events in the model was consistent with the experimental results (Fig. 3). In the non-clustered scenario, time series of biofilm biomass showed a marked divergent pattern, with some replicates recovering and others collapsing. This resulted in a bimodal frequency distribution, with one mode of  $\sim 0 \mu\text{g chl a/cm}^2$  and the other of  $\sim 3 \mu\text{g chl a/cm}^2$  (Fig. 3a). In the clustered scenario, instead, biofilm biomass collapsed, showing a unimodal pattern with a mode corresponding to  $\sim 0 \mu\text{g chl a/cm}^2$  (Fig. 3b). In the

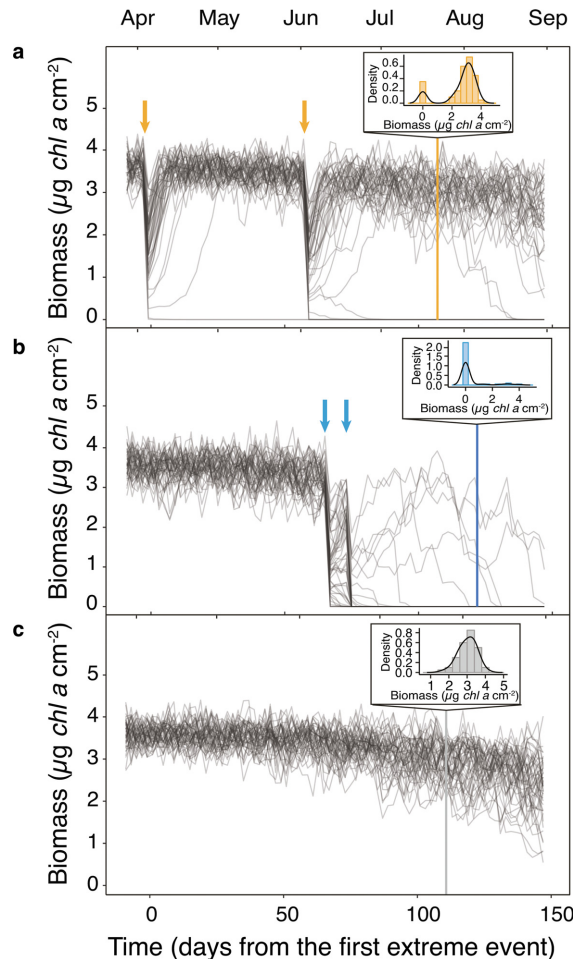


FIG. 3. Simulated temporal trajectories of biofilm biomass ( $\mu\text{g chl a/cm}^2$ ) for (a) non-clustered and (b) clustered warming regimes. In panel c, there are controls. Time series were computed from simulations with 50 replicates over a time span of 160 d for increasing mean air temperature from  $22^\circ$  to  $27^\circ\text{C}$ . Warming in the simulation mirrored the observed increase in temperature during the study period (data obtained from Rete Mareografica Nazionale ISPRA; see footnote 2). Down-facing arrows indicate the timing of perturbations. We simulated two temporal patterns of ECEs: a clustered pattern in which we imparted two warming events (aerial temperature of  $32^\circ\text{C}$ ) separated by 15 d, and a non-clustered scenario consisting of the same temperature extremes separated by 60 d. The initial periods of 10 d were excluded from the visualization to remove transient dynamics. The insets show the frequency distributions and probability density functions (solid lines) of biofilm biomass under non-clustered and clustered warming regimes calculated for the day indicated by the colored bar.

controls, biofilm biomass showed a slight decrease over time and a unimodal pattern in the frequency distribution, with a mode of  $\sim 3 \mu\text{g chl a/cm}^2$  (Fig. 3c). Although the model clearly produced a bimodal pattern, the frequencies distribution in the experiment did not exactly match the pattern produced by the simulation, with the experimentally observed modes slightly greater than the ones predicted by the model.

## DISCUSSION

Our findings suggest that the history of extreme events and the time since the last perturbation may affect the susceptibility of rocky intertidal photosynthetic biofilms to undergo a regime shift. The analysis of the frequency distribution of biofilm biomass indicated the occurrence of two alternative states under a regime of non-clustered extremes: a semi-bare state characterized by low biomass and a vegetated state where biomass was high, separated by an unstable range of biomass values. In contrast, clustered extremes induced the collapse of biofilm biomass precipitating the system in the semi-bare state.

Assessing multimodality in the frequency distribution of state variables has been often used as a qualitative flag to assess the consistency between empirical data and theoretical expectations of catastrophic transitions (Scheffer et al. 2012). Assessing whether a system shows alternative states also involves testing for the temporal random divergence of identically treated experimental units (Schröder et al. 2005). This implies that, in a bistable system strongly influenced by stochastic perturbations, some experimental units will tend to one state and others will converge toward the other state and the outcome depends on initial conditions. Yet, observing a state transition and lack of recovery following the application of pulse perturbations provides a stringent test for alternative states in natural systems (test for non-recovery; Suding et al. 2004, Schröder et al. 2005). Biofilm biomass in the clustered scenario exhibited a state transition toward the semi-bare state and a complete lack or recovery that persisted for two months following the imposition of extreme events. Our experimental results together with model simulations were consistent with these expectations, showing how experimental units with intermediated values of biomass followed divergent trajectories, culminating to either the semi-bare or the vegetated state in the non-clustered scenario.

Self-replacement, the capacity of an assemblage to maintain itself over time, is a proxy for stability of alternative states (Connell and Sousa 1983). Biofilm at our study site was mainly composed of cyanobacteria characterized by fast-growing species with short generation time (from days to weeks; Whitton and Potts 2012, Maggi et al. 2017). The persistence of the two alternative states for a time encompassing several generations of the species composing biofilm (two months in our study) suggests that the two alternative states may be considered stable *sensu* Connell and Sousa (1983). On the contrary, in our study, we did not investigate whether alternative states were locally stable, for instance, whether the semi-bare state recovered to a vegetated state upon the arrival of new individuals from the water column (Beisner et al. 2003). One approach would involve the application of a small perturbation (e.g., a small clearing) at each of the two contrasting states to test whether or not they returned to the original condition. Previous studies have shown that biofilm may

experience drastic changes in biomass and recover from apparently catastrophic transitions within a relatively short time scale (Alsterberg et al. 2007, Larson and Sundbäck 2012). Although we cannot entirely rule out that vegetated and the semi-bare state represent alternative transient states (*sensu* Fukami and Nakajima 2011), our results support the hypothesis that biofilm may shift from a vegetated to a semi-bare state in response to multiple pulses of temperature and sediment deposition.

Our results are important in light of the predicted increase in the frequency of extreme climatic events under climate change (IPCC 2013). The degree of temporal clustering of extremes is expected to increase, as signaled by increased variance in the interval of time between events in tropical ecosystems (Mumby et al. 2011, Holmgren et al. 2013), grasslands (Fuchslueger et al. 2016), and Mediterranean coastal areas (Volosciuk et al. 2016). Changes in temporal clustering can moderate the severity of ecological impacts caused by extreme events (Benedetti-Cecchi et al. 2006, Holmgren et al. 2006, Kreyling et al. 2011, Mumby et al. 2011) and modulate the ecological memory of natural systems (Dal Bello et al. 2017). Here, we highlight that the degree of temporal clustering of extremes may regulate the occurrence of regime shifts.

Exogenous periodic forces and seasonality may affect the ability of a natural system to respond to extreme events and, in general, to stochastic pulse perturbations. Our study shows that biofilm biomass decreased along the course of the experiment, from spring to summer. A similar decline in biofilm biomass has been described in other studies and likely reflects the effect of increasing temperature and light intensity (Nagarkar and Williams 1999, Jackson et al. 2010). Biofilm assemblages likely experienced progressively stressful conditions during the course of the experiment, which made them more susceptible to collapse as summer proceeded. As temperature increased during the experiment, the capacity of biofilm to recover from a temperature extreme drastically decreased, making it more susceptible to a subsequent perturbation. In agreement with these experimental results, the biofilm model indicated that seasonal warming amplified the impact of temporally clustered perturbations. When sudden perturbations occur in combination with unfavorable environmental conditions (e.g., higher summer temperatures), their compounded effects may have dramatic consequences. Such contingencies may, thus, play a pivotal role in determining the occurrence of tipping points and alternative states in natural systems.

Thermal buffering provided by conspecifics is a widespread facilitative mechanism in rocky intertidal communities (Stachowicz 2001). Biofilms should benefit from living at high density due to higher EPS production, which in turn enhances survival and boosts growth (Potts 1994, Steele et al. 2014). Our experimental results showed how extreme temperatures may push biofilm biomass toward a threshold level, below which growth rates can no longer compensate for increased mortality.

As shown in another study, EPS production decreases with declining growth rates of biofilm, hence increasing the risk of lethal damages due to enhanced thermal stress when a critical level of low biofilm biomass is reached (Wulff et al. 2000). At this point, the production of EPS becomes too low and it is no longer effective in protecting biofilm from stressful conditions. This mechanism generates feedbacks, so that the resulting loss of biomass further weakens the facilitative effect of EPS. Our experimental and model results support the view that the combined effect of greater mortality at low biomass (Allee effect), a mechanism that may reflect the reduction of EPS production, along with seasonal changes in aerial temperature markedly affect biofilm biomass temporal dynamics.

Biofilm assemblages consist of microscopic photosynthetic organisms and, despite their small size, they strongly contribute to the primary productivity of intertidal rocky shores (Thompson et al. 2004). A wealth of studies showed that changes in primary productivity affect higher trophic levels (Wernberg et al. 2016, Guo et al. 2017, but see Liess et al. 2015 for a counter example). Since fast-growing microbial populations are an important component of primary producers in virtually all ecosystems, increasing temporal clustering of extreme events will likely have pervasive impacts on food webs, altering biological interactions and affecting the stability of whole ecosystems. Our results should therefore prompt new studies investigating the cascading effects of regime shifts in primary producer communities.

Current research on regime shifts has mainly focused on investigating how gradual changes in ecological drivers precipitate natural systems into undesirable, alternative states. Only recently, ecological research turned its attention to the examination of the effects of other types of disturbances, such as recurrent pulse events. Here, we show that ecosystem dynamics can be largely affected by extreme events, with the likelihood of a regime shift primarily depending on the time separating consecutive events. However, further work is needed to determine the generality of these results to better understand and predict ecosystem dynamics in a rapidly changing world.

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