

**ON SELF-ADJOINT OPERATORS  
IN KREIN SPACES  
CONSTRUCTED BY CLIFFORD ALGEBRA  $\mathcal{Cl}_2$**

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**Abstract.** Let  $J$  and  $R$  be anti-commuting fundamental symmetries in a Hilbert space  $\mathfrak{H}$ . The operators  $J$  and  $R$  can be interpreted as basis (generating) elements of the complex Clifford algebra  $\mathcal{Cl}_2(J, R) := \text{span}\{I, J, R, iJR\}$ . An arbitrary non-trivial fundamental symmetry from  $\mathcal{Cl}_2(J, R)$  is determined by the formula  $J_{\vec{\alpha}} = \alpha_1 J + \alpha_2 R + \alpha_3 iJR$ , where  $\vec{\alpha} \in \mathbb{S}^2$ . Let  $S$  be a symmetric operator that commutes with  $\mathcal{Cl}_2(J, R)$ . The purpose of this paper is to study the sets  $\Sigma_{J_{\vec{\alpha}}}$  ( $\forall \vec{\alpha} \in \mathbb{S}^2$ ) of self-adjoint extensions of  $S$  in Krein spaces generated by fundamental symmetries  $J_{\vec{\alpha}}$  ( $J_{\vec{\alpha}}$ -self-adjoint extensions). We show that the sets  $\Sigma_{J_{\vec{\alpha}}}$  and  $\Sigma_{J_{\vec{\beta}}}$  are unitarily equivalent for different  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  and describe in detail the structure of operators  $A \in \Sigma_{J_{\vec{\alpha}}}$  with empty resolvent set.

**Keywords:** Krein spaces, extension theory of symmetric operators, operators with empty resolvent set,  $J$ -self-adjoint operators, Clifford algebra  $\mathcal{Cl}_2$ .

**Mathematics Subject Classification:** 47A55, 47B25, 47A57, 81Q15.

## 1. INTRODUCTION

Let  $\mathfrak{H}$  be a Hilbert space with inner product  $(\cdot, \cdot)$  and with non-trivial fundamental symmetry  $J$  (i.e.,  $J = J^*$ ,  $J^2 = I$ , and  $J \neq \pm I$ ).

The space  $\mathfrak{H}$  endowed with the indefinite inner product (indefinite metric)  $[\cdot, \cdot]_J := (J\cdot, \cdot)$  is called a Krein space  $(\mathfrak{H}, [\cdot, \cdot]_J)$ .

An operator  $A$  acting in  $\mathfrak{H}$  is called  $J$ -self-adjoint if  $A$  is self-adjoint with respect to the indefinite metric  $[\cdot, \cdot]_J$ , i.e., if  $A^*J = JA$ .

In contrast to self-adjoint operators in Hilbert spaces (which necessarily have a purely real spectrum), a  $J$ -self-adjoint operator  $A$ , in general, has spectrum which is only symmetric with respect to the real axis. In particular, the situation where  $\sigma(A) = \mathbb{C}$  (i.e.,  $A$  has empty resolvent set  $\rho(A) = \emptyset$ ) is also possible and it may

indicate on a special structure of  $A$ . To illustrate this point we consider a simple symmetric<sup>1)</sup> operator  $S$  with deficiency indices  $\langle 2, 2 \rangle$  which commutes with  $J$ :

$$SJ = JS.$$

It was recently shown [15, Theorem 4.3] that the existence at least one  $J$ -self-adjoint extension  $A$  of  $S$  with empty resolvent set *is equivalent* to the existence of an additional fundamental symmetry  $R$  in  $\mathfrak{J}$  such that

$$SR = RS, \quad JR = -RJ. \quad (1.1)$$

The fundamental symmetries  $J$  and  $R$  can be interpreted as basis (generating) elements of the complex Clifford algebra  $\mathcal{Cl}_2(J, R) := \text{span}\{I, J, R, iJR\}$  [11]. Hence, the existence of  $J$ -self-adjoint extensions of  $S$  with empty resolvent set *is equivalent* to the commutation of  $S$  with an arbitrary element of the Clifford algebra  $\mathcal{Cl}_2(J, R)$ .

In the present paper we investigate nonself-adjoint extensions of a densely defined symmetric operator  $S$  assuming that  $S$  commutes with elements of  $\mathcal{Cl}_2(J, R)$ . Precisely, we show that an arbitrary non-trivial fundamental symmetry  $J_{\vec{\alpha}}$  constructed in terms of  $\mathcal{Cl}_2(J, R)$  is uniquely determined by the choice of vector  $\vec{\alpha}$  from the unit sphere  $\mathbb{S}^2$  in  $\mathbb{R}^3$  (Lemma 2.1) and we study various collections  $\Sigma_{J_{\vec{\alpha}}}$  of  $J_{\vec{\alpha}}$ -self-adjoint extensions of  $S$ . Such a ‘flexibility’ of fundamental symmetries is inspired by the application to  $\mathcal{PT}$ -symmetric quantum mechanics [5], where  $\mathcal{PT}$ -symmetric Hamiltonians are not necessarily can be realized as  $\mathcal{P}$ -self-adjoint operators [1, 17]. Moreover, for certain models [11], the corresponding  $\mathcal{PT}$ -symmetric operator realizations can be interpreted as  $J_{\vec{\alpha}}$ -self-adjoint operators when  $\vec{\alpha}$  runs  $\mathbb{S}^2$ .

We show that the sets  $\Sigma_{J_{\vec{\alpha}}}$  and  $\Sigma_{J_{\vec{\beta}}}$  are unitarily equivalent for different  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  (Theorem 2.9) and describe properties of  $A \in \Sigma_{J_{\vec{\alpha}}}$  in terms of boundary triplets (subsections 2.4, 2.5).

Denote by  $\Xi_{\vec{\alpha}}$  the collection of all operators  $A \in \Sigma_{J_{\vec{\alpha}}}$  with empty resolvent set. It follows from our results that, as a rule, an operator  $A \in \Xi_{\vec{\alpha}}$  is  $J_{\vec{\beta}}$ -self-adjoint (i.e.,  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ ) for a special choice of  $\vec{\beta} \in \mathbb{S}^2$  which depends on  $A$ . In this way, for the case of symmetric operators  $S$  with deficiency indices  $\langle 2, 2 \rangle$ , the complete description of  $\Xi_{\vec{\alpha}}$  is obtained as the union of operators  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ ,  $\rho(A) = \emptyset$ ,  $\forall \vec{\beta} \in \mathbb{S}^2$  (Theorem 3.3). In the exceptional case when the Weyl function of  $S$  is a constant, the set  $\Xi_{\vec{\alpha}}$  increases considerably (Corollary 3.5).

The one-dimensional Schrödinger differential expression with non-integrable singularity at zero (the limit-circle case at  $x = 0$ ) is considered as an example of application (Proposition 3.6).

Throughout the paper,  $\mathcal{D}(A)$  denotes the domain of a linear operator  $A$ .  $A \upharpoonright_{\mathcal{D}}$  means the restriction of  $A$  onto a set  $\mathcal{D}$ . The notation  $\sigma(A)$  and  $\rho(A)$  are used for the spectrum and the resolvent set of  $A$ .

<sup>1)</sup> with respect to the initial inner product  $(\cdot, \cdot)$

## 2. SETS $\Sigma_{J_{\vec{\alpha}}}$ AND THEIR PROPERTIES

### 2.1. PRELIMINARIES

Let  $\mathfrak{H}$  be a Hilbert space with inner product  $(\cdot, \cdot)$  and let  $J$  and  $R$  be fundamental symmetries in  $\mathfrak{H}$  satisfying (1.1).

Denote by  $\mathcal{Cl}_2(J, R) := \text{span}\{I, J, R, iJR\}$  a complex Clifford algebra with generating elements  $J$  and  $R$ . Since the operators  $I, J, R$ , and  $iJR$  are linearly independent (due to (1.1)), an arbitrary operator  $K \in \mathcal{Cl}_2(J, R)$  can be presented as:

$$K = \alpha_0 I + \alpha_1 J + \alpha_2 R + \alpha_3 iJR, \quad \alpha_j \in \mathbb{C}. \quad (2.1)$$

**Lemma 2.1** ([15]). *An operator  $K$  defined by (2.1) is a non-trivial fundamental symmetry in  $\mathfrak{H}$  (i.e.,  $K^2 = I$ ,  $K = K^*$ , and  $K \neq I$ ) if and only if*

$$K = \alpha_1 J + \alpha_2 R + \alpha_3 iJR, \quad (2.2)$$

where  $\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 1$  and  $\alpha_j \in \mathbb{R}$ .

*Proof.* The reality of  $\alpha_j$  in (2.2) follows from the self-adjointness of  $I, J, R$ , and  $iJR$ . The condition  $\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 1$  is equivalent to the relation  $K^2 = I$ .  $\square$

**Remark 2.2.** The formula (2.2) establishes a one-to-one correspondence between the set of non-trivial fundamental symmetries  $K$  in  $\mathcal{Cl}_2(J, R)$  and vectors  $\vec{\alpha} = (\alpha_1, \alpha_2, \alpha_3)$  of the unit sphere  $\mathbb{S}^2$  in  $\mathbb{R}^3$ . To underline this relationship we will use the notation  $J_{\vec{\alpha}}$  for the fundamental symmetry  $K$  determined by (2.2), i.e.,

$$J_{\vec{\alpha}} = \alpha_1 J + \alpha_2 R + \alpha_3 iJR. \quad (2.3)$$

In particular, this means that  $J_{\vec{\alpha}} = J$  with  $\vec{\alpha} = (1, 0, 0)$  and  $J_{\vec{\alpha}} = R$  when  $\vec{\alpha} = (0, 1, 0)$ .

**Lemma 2.3.** *Let  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ . Then*

$$J_{\vec{\alpha}} J_{\vec{\beta}} = -J_{\vec{\beta}} J_{\vec{\alpha}} \quad \text{if and only if} \quad \vec{\alpha} \cdot \vec{\beta} = 0. \quad (2.4)$$

and

$$J_{\vec{\alpha}} + J_{\vec{\beta}} = |\vec{\alpha} + \vec{\beta}| J_{\frac{\vec{\alpha} + \vec{\beta}}{|\vec{\alpha} + \vec{\beta}|}} \quad \text{if} \quad \vec{\alpha} \neq -\vec{\beta}. \quad (2.5)$$

*Proof.* It immediately follows from Lemma 2.1 and identities (1.1), (2.3).  $\square$

### 2.2. DEFINITION AND PROPERTIES OF $\Sigma_{J_{\vec{\alpha}}}$

**1.** Let  $S$  be a closed densely defined symmetric operator with equal deficiency indices in the Hilbert space  $\mathfrak{H}$ . In what follows we suppose that  $S$  commutes with all elements of  $\mathcal{Cl}_2(J, R)$  or, that is equivalent,  $S$  commutes with  $J$  and  $R$ :

$$SJ = JS, \quad SR = RS \quad (2.6)$$

Denote by  $\Upsilon$  the set of all self-adjoint extensions  $A$  of  $S$  which commute with  $J$  and  $R$ :

$$\Upsilon = \{ A \supset S : A^* = A, \quad AJ = JA, \quad AR = RA \}. \quad (2.7)$$

It follows from (2.3) and (2.7) that  $\Upsilon$  contains self-adjoint extensions of  $S$  which commute with all fundamental symmetries  $J_{\vec{\alpha}} \in Cl_2(J, R)$ .

Let us fix one of them  $J_{\vec{\alpha}}$  and denote by  $(\mathfrak{H}, [\cdot, \cdot]_{J_{\vec{\alpha}}})$  the corresponding Krein space<sup>2)</sup> with the indefinite inner product  $[\cdot, \cdot]_{J_{\vec{\alpha}}} := (J_{\vec{\alpha}}\cdot, \cdot)$ .

Denote by  $\Sigma_{J_{\vec{\alpha}}}$  the collection of all  $J_{\vec{\alpha}}$ -self-adjoint extensions of  $S$ :

$$\Sigma_{J_{\vec{\alpha}}} = \{ A \supset S : J_{\vec{\alpha}}A^* = AJ_{\vec{\alpha}} \}. \quad (2.8)$$

An operator  $A \in \Sigma_{J_{\vec{\alpha}}}$  is a self-adjoint extension of  $S$  with respect to the indefinite metric  $[\cdot, \cdot]_{J_{\vec{\alpha}}}$ .

**Proposition 2.4.** *The following relation holds*

$$\bigcap_{\forall \vec{\alpha} \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}} = \Upsilon.$$

*Proof.* It follows from the definitions above that  $\Sigma_{J_{\vec{\alpha}}} \supset \Upsilon$ . Therefore,

$$\bigcap_{\forall \vec{\alpha} \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}} \supset \Upsilon.$$

Let  $A \in \bigcap_{\forall \vec{\alpha} \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}}$ . In particular, this means that  $A \in \Sigma_J$ ,  $A \in \Sigma_R$ , and  $A \in \Sigma_{iJR}$ . It follows from the first two relations that  $JA^* = AJ$  and  $RA^* = AR$ . Therefore,  $iJRA^* = iJAR = A^*iJR$ . Simultaneously,  $iJRA^* = AiJR$  since  $A \in \Sigma_{iJR}$ . Comparing the obtained relations we deduce that  $A^*iJR = AiJR$  and hence,  $A^* = A$ . Thus  $A$  is a self-adjoint operator and it commutes with an arbitrary fundamental symmetry  $J_{\vec{\alpha}} \in Cl_2(J, R)$ . Therefore,  $A \in \Upsilon$ . Proposition 2.4 is proved.  $\square$

Simple analysis of the proof of Proposition 2.4 leads to the conclusion that

$$\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}} \cap \Sigma_{J_{\vec{\gamma}}} = \Upsilon$$

for any three linearly independent vectors  $\vec{\alpha}, \vec{\beta}, \vec{\gamma} \in \mathbb{S}^2$ . However,

$$\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}} \supset \Upsilon, \quad \forall \vec{\alpha}, \vec{\beta} \in \mathbb{S}^2 \quad (2.9)$$

and the intersection  $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$  contains operators  $A$  with empty resolvent set (i.e.,  $\rho(A) = \emptyset$  or, that is equivalent,  $\sigma(A) = \mathbb{C}$ ). Let us discuss this phenomena in detail.

Consider two linearly independent vectors  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ . If  $\vec{\alpha} \cdot \vec{\beta} \neq 0$ , we define new vector  $\vec{\beta}'$  in  $\mathbb{S}^2$ :

$$\vec{\beta}' = \frac{\vec{\alpha} + c\vec{\beta}}{|\vec{\alpha} + c\vec{\beta}|}, \quad c = -\frac{1}{\vec{\alpha} \cdot \vec{\beta}}$$

<sup>2)</sup> We refer to [4, 10] for the terminology of the Krein spaces theory.

such that  $\vec{\alpha} \cdot \vec{\beta}' = 0$ . Then the fundamental symmetry

$$J_{\vec{\beta}'} = \frac{1}{|\vec{\alpha} + c\vec{\beta}'|} J_{\vec{\alpha}} + \frac{c}{|\vec{\alpha} + c\vec{\beta}'|} J_{\vec{\beta}} \quad (2.10)$$

anti-commutes with  $J_{\vec{\alpha}}$  (due to Lemma 2.3).

The operator

$$J_{\vec{\gamma}} = iJ_{\vec{\alpha}}J_{\vec{\beta}'} = \begin{vmatrix} J & R & iJR \\ \alpha_1 & \alpha_2 & \alpha_3 \\ \beta'_1 & \beta'_2 & \beta'_3 \end{vmatrix} \quad (2.11)$$

is a fundamental symmetry in  $\mathfrak{H}$  which commutes with  $S$ . Therefore, the orthogonal decomposition of  $\mathfrak{H}$  constructed by  $J_{\vec{\gamma}}$ :

$$\mathfrak{H} = \mathfrak{H}_+^{\gamma} \oplus \mathfrak{H}_-^{\gamma}, \quad \mathfrak{H}_+^{\gamma} = \frac{1}{2}(I + J_{\vec{\gamma}})\mathfrak{H}, \quad \mathfrak{H}_-^{\gamma} = \frac{1}{2}(I - J_{\vec{\gamma}})\mathfrak{H} \quad (2.12)$$

reduces  $S$ :

$$S = \begin{pmatrix} S_{\gamma+} & 0 \\ 0 & S_{\gamma-} \end{pmatrix}, \quad S_{\gamma+} = S \upharpoonright_{\mathfrak{H}_+^{\gamma}}, \quad S_{\gamma-} = S \upharpoonright_{\mathfrak{H}_-^{\gamma}}. \quad (2.13)$$

Since  $J_{\vec{\gamma}}$  anti-commutes with  $J_{\vec{\alpha}}$  (see (2.11)), the operator  $J_{\vec{\alpha}}$  maps  $\mathfrak{H}_{\pm}^{\gamma}$  onto  $\mathfrak{H}_{\mp}^{\gamma}$  and operators  $S_{\gamma+}$  and  $S_{\gamma-}$  are unitarily equivalent. Precisely,  $S_{\gamma-}x = J_{\vec{\alpha}}S_{\gamma+}J_{\vec{\alpha}}x$  for all elements  $x \in \mathcal{D}(S_{\gamma-})$ . This means that  $S_{\gamma+}$  and  $S_{\gamma-}$  have equal deficiency indices.<sup>3)</sup>

Denote

$$A_{\gamma} = \begin{pmatrix} S_{\gamma+} & 0 \\ 0 & S_{\gamma-}^* \end{pmatrix}, \quad A_{\gamma}^* = \begin{pmatrix} S_{\gamma+}^* & 0 \\ 0 & S_{\gamma-} \end{pmatrix}. \quad (2.14)$$

The operators  $A_{\gamma}$  and  $A_{\gamma}^*$  are extensions of  $S$  and  $\sigma(A_{\gamma}) = \sigma(A_{\gamma}^*) = \mathbb{C}$  (since  $S_{\gamma\pm}$  are symmetric operators), i.e., these operators *have empty resolvent set*.

**Theorem 2.5.** *Let  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  be linearly independent vectors. Then the operators  $A_{\gamma}$  and  $A_{\gamma}^*$  defined by (2.14) belong to  $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ .*

*Proof.* Assume that  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ , where  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  are linearly independent vectors. Then

$$J_{\vec{\alpha}}A = A^*J_{\vec{\alpha}}, \quad J_{\vec{\beta}}A = A^*J_{\vec{\beta}} \quad (2.15)$$

and hence,  $J_{\vec{\beta}'}A = A^*J_{\vec{\beta}'}$  due to (2.10). In that case

$$J_{\vec{\gamma}}A = iJ_{\vec{\alpha}}J_{\vec{\beta}'}A = iJ_{\vec{\alpha}}A^*J_{\vec{\beta}'} = iAJ_{\vec{\alpha}}J_{\vec{\beta}'} = AJ_{\vec{\gamma}},$$

where  $J_{\vec{\gamma}} = iJ_{\vec{\alpha}}J_{\vec{\beta}'}$  (see (2.11)) is the fundamental symmetry in  $\mathfrak{H}$ .

<sup>3)</sup> This also implies that the symmetric operator  $S$  commuting with  $Cl_2(J, R)$  may have only *even* deficiency indices.

Since  $A$  commutes with  $J_{\vec{\gamma}}$ , the decomposition (2.12) reduces  $A$  and

$$A = \begin{pmatrix} A_+ & 0 \\ 0 & A_- \end{pmatrix}, \quad A_+ = A \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}, \quad A_- = A \upharpoonright_{\mathfrak{H}_-^{\vec{\gamma}}}, \quad (2.16)$$

where  $S_{\gamma+} \subseteq A_+ \subseteq S_{\gamma+}^*$  and  $S_{\gamma-} \subseteq A_- \subseteq S_{\gamma-}^*$ . This means that

$$A^* = \begin{pmatrix} A_+^* & 0 \\ 0 & A_-^* \end{pmatrix}, \quad A_+^* = A^* \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}, \quad A_-^* = A^* \upharpoonright_{\mathfrak{H}_-^{\vec{\gamma}}}. \quad (2.17)$$

Since  $J_{\vec{\alpha}}$  anti-commutes with  $J_{\vec{\gamma}}$ , the operator  $J_{\vec{\alpha}}$  maps  $\mathfrak{H}_{\pm}^{\vec{\gamma}}$  onto  $\mathfrak{H}_{\mp}^{\vec{\gamma}}$ . Therefore, the first relation in (2.15) can be rewritten with the use of formulas (2.16) and (2.17) as follows:

$$J_{\vec{\alpha}}Ax = J_{\vec{\alpha}}(A_+x_+ + A_-x_-) = A_-^*J_{\vec{\alpha}}x_+ + A_+^*J_{\vec{\alpha}}x_- = A^*J_{\vec{\alpha}}x, \quad (2.18)$$

where  $x = x_+ + x_- \in \mathcal{D}(A)$ ,  $x_{\pm} \in \mathcal{D}(A_{\pm})$ .

The identity (2.18) holds for all  $x_{\pm} \in \mathcal{D}(A_{\pm})$ . This means that

$$J_{\vec{\alpha}}A_+ = A_-^*J_{\vec{\alpha}}, \quad J_{\vec{\alpha}}A_- = A_+^*J_{\vec{\alpha}}. \quad (2.19)$$

It follows from (2.10) and (2.11) that the fundamental symmetry  $J_{\vec{\beta}}$  anti-commutes with  $J_{\vec{\gamma}}$ . Repeating the arguments above for the second relation in (2.15) we obtain

$$J_{\vec{\beta}}A_+ = A_-^*J_{\vec{\beta}}, \quad J_{\vec{\beta}}A_- = A_+^*J_{\vec{\beta}}. \quad (2.20)$$

Thus an operator  $A$  belongs to  $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$  if and only if its counterparts  $A_+$  and  $A_-$  in (2.16) satisfy relations (2.19) and (2.20). In particular, these relations are satisfied for the cases when  $A_+ = S_{\gamma+}$ ,  $A_- = S_{\gamma-}^*$  and  $A_+ = S_{\gamma+}^*$ ,  $A_- = S_{\gamma-}$ . Hence, the operators  $A_{\vec{\gamma}}$ ,  $A_{\vec{\gamma}}^*$  defined by (2.14) belong to  $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ . Theorem 2.5 is proved.  $\square$

**Remark 2.6.** The operators  $A_{\vec{\gamma}}$  and  $A_{\vec{\gamma}}^*$  constructed above depend on the choice of  $\vec{\beta} \in \mathbb{S}^2$ . Considering various vectors  $\vec{\beta} \in \mathbb{S}^2$  in (2.10), (2.11), we obtain a collection of fundamental symmetries  $J_{\vec{\gamma}(\vec{\beta})}$ . This gives rise to a one-parameter set of different operators  $A_{\vec{\gamma}(\vec{\beta})}$  and  $A_{\vec{\gamma}(\vec{\beta})}^*$  with empty resolvent set which belong to  $\Sigma_{J_{\vec{\alpha}}}$ .

**Corollary 2.7.** Let  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  be linearly independent vectors and let (2.12) be the decomposition of  $\mathfrak{H}$  constructed by these vectors. Then, with respect to (2.12), all operators  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$  are described by the formula

$$A = \begin{pmatrix} A_+ & 0 \\ 0 & J_{\vec{\alpha}}A_+^*J_{\vec{\alpha}} \end{pmatrix}, \quad (2.21)$$

where  $A_+$  is an arbitrary intermediate extension of  $S_{\gamma+} = S \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}$  (i.e.,  $S_{\gamma+} \subseteq A_+ \subseteq S_{\gamma+}^*$ ).

*Proof.* If  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ , then the presentation (2.21) follows from (2.16) and the second identity in (2.19).

Conversely, assume that an operator  $A$  is defined by (2.21). Since  $J_{\vec{\alpha}}$  and  $J_{\vec{\beta}}$  anti-commute with  $J_{\vec{\gamma}}$ , they admit the presentations  $J_{\vec{\alpha}} = \begin{pmatrix} 0 & J_{\vec{\alpha}} \\ J_{\vec{\alpha}} & 0 \end{pmatrix}$  and  $J_{\vec{\beta}} = \begin{pmatrix} 0 & J_{\vec{\beta}} \\ J_{\vec{\beta}} & 0 \end{pmatrix}$  with respect to (2.12). Then, the operator equality  $J_{\vec{\alpha}}A = A^*J_{\vec{\alpha}}$  is established by the direct multiplication of the corresponding operator entries. The same procedure for  $J_{\vec{\beta}}A = A^*J_{\vec{\beta}}$  leads to the verification of relations

$$J_{\vec{\alpha}}J_{\vec{\beta}}A_+ = A_+J_{\vec{\alpha}}J_{\vec{\beta}}, \quad J_{\vec{\beta}}J_{\vec{\alpha}}A_+^* = A_+^*J_{\vec{\beta}}J_{\vec{\alpha}}. \quad (2.22)$$

To this end we recall that  $J_{\vec{\gamma}}$  commutes with  $A_+$  and

$$J_{\vec{\alpha}}J_{\vec{\beta}} = -\frac{1}{c}I - i\frac{|\vec{\alpha} + c\vec{\beta}|}{c}J_{\vec{\gamma}}$$

due to (2.10) and (2.11). Therefore,  $A_+$  commutes with  $J_{\vec{\alpha}}J_{\vec{\beta}}$  and the first relation in (2.22) holds. The second relation is established in the same manner, if we take into account that  $J_{\vec{\gamma}}$  commutes with  $A_+^*$  and  $J_{\vec{\beta}}J_{\vec{\alpha}} = -\frac{1}{c}I + i\frac{|\vec{\alpha} + c\vec{\beta}|}{c}J_{\vec{\gamma}}$ . Corollary 2.7 is proved.  $\square$

**Remark 2.8.** It follows from the proof that the choice of  $J_{\vec{\alpha}}$  in (2.21) is not essential and the similar description of  $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$  can be obtained with the help of  $J_{\vec{\beta}}$ .

2. Denote

$$W_{\vec{\alpha},\vec{\beta}} = \begin{cases} \frac{J_{\vec{\alpha}+\vec{\beta}}}{|\vec{\alpha}+\vec{\beta}|} & \text{if } \vec{\alpha} \neq -\vec{\beta}, \\ I & \text{if } \vec{\alpha} = -\vec{\beta}. \end{cases} \quad (2.23)$$

It is clear that  $W_{\vec{\alpha},\vec{\beta}}$  is a fundamental symmetry in  $\mathfrak{H}$  and  $W_{\vec{\alpha},\vec{\beta}} = W_{\vec{\beta},\vec{\alpha}}$  for any  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ .

**Theorem 2.9.** For any  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  the sets  $\Sigma_{J_{\vec{\alpha}}}$  and  $\Sigma_{J_{\vec{\beta}}}$  are unitarily equivalent and  $A \in \Sigma_{J_{\vec{\alpha}}}$  if and only if  $W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$ .

*Proof.* Since  $J_{-\vec{\alpha}} = -J_{\vec{\alpha}}$  (see (2.3)), the sets  $\Sigma_{J_{\vec{\alpha}}}$  and  $\Sigma_{J_{-\vec{\alpha}}}$  coincide and therefore, the case  $\vec{\alpha} = -\vec{\beta}$  is trivial.

Assume that  $A \in \Sigma_{J_{\vec{\alpha}}}$ ,  $\vec{\alpha} \neq -\vec{\beta}$  and consider the operator  $W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}$ , which we denote  $B$  for brevity. Taking into account that  $S$  commutes with  $J_{\vec{\alpha}}$  for any choice of  $\vec{\alpha} \in \mathbb{S}^2$ , we deduce from (2.23) that  $W_{\vec{\alpha},\vec{\beta}}S = SW_{\vec{\alpha},\vec{\beta}}$  and  $W_{\vec{\alpha},\vec{\beta}}S^* = S^*W_{\vec{\alpha},\vec{\beta}}$ . This means that  $Bx = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}x = W_{\vec{\alpha},\vec{\beta}}SW_{\vec{\alpha},\vec{\beta}}x = Sx$  for all  $x \in \mathcal{D}(S)$  and  $By = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}y = W_{\vec{\alpha},\vec{\beta}}S^*W_{\vec{\alpha},\vec{\beta}}y = S^*y$  for all  $y \in \mathcal{D}(B) = W_{\vec{\alpha},\vec{\beta}}\mathcal{D}(A)$ . Therefore,  $B$  is an intermediate extension of  $S$  (i.e.,  $S \subseteq B \subseteq S^*$ ).

It follows from (2.5) and (2.23) that

$$J_{\vec{\beta}}W_{\vec{\alpha},\vec{\beta}} = J_{\vec{\beta}}\frac{J_{\vec{\alpha}} + J_{\vec{\beta}}}{|\vec{\alpha} + \vec{\beta}|} = \frac{J_{\vec{\beta}}J_{\vec{\alpha}} + I}{|\vec{\alpha} + \vec{\beta}|} = \frac{J_{\vec{\beta}} + J_{\vec{\alpha}}}{|\vec{\alpha} + \vec{\beta}|}J_{\vec{\alpha}} = W_{\vec{\alpha},\vec{\beta}}J_{\vec{\alpha}}. \quad (2.24)$$

Using (2.15) and (2.24), we arrive at the conclusion that

$$J_{\vec{\beta}}B^* = J_{\vec{\beta}}W_{\vec{\alpha},\vec{\beta}}A^*W_{\vec{\alpha},\vec{\beta}} = W_{\vec{\alpha},\vec{\beta}}AJ_{\vec{\alpha}}W_{\vec{\alpha},\vec{\beta}} = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}J_{\vec{\beta}} = BJ_{\vec{\beta}}.$$

Therefore, condition  $A \in \Sigma_{J_{\vec{\alpha}}}$  implies that  $B = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$ . The inverse implication  $B \in \Sigma_{J_{\vec{\beta}}} \Rightarrow A = W_{\vec{\alpha},\vec{\beta}}BW_{\vec{\alpha},\vec{\beta}} \in \Sigma_{J_{\vec{\alpha}}}$  is established in the same manner. Theorem 2.9 is proved.  $\square$

**Remark 2.10.** Due to Theorem 2.9, for any  $J_{\vec{\alpha}}$ -self-adjoint extension  $A \in \Sigma_{J_{\vec{\alpha}}}$  there exists a unitarily equivalent  $J_{\vec{\beta}}$ -self-adjoint extension  $B \in \Sigma_{J_{\vec{\beta}}}$ . This means that, the spectral analysis of operators from  $\bigcup_{\alpha \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}}$  can be reduced to the spectral analysis of  $J_{\vec{\alpha}}$ -self-adjoint extensions from  $\Sigma_{J_{\vec{\alpha}}}$ , where  $\vec{\alpha}$  is a fixed vector from  $\mathbb{S}^2$ .

### 2.3. BOUNDARY TRIPLET AND WEYL FUNCTION

**1.** Let  $S$  be a closed symmetric operator with equal deficiency indices in the Hilbert space  $\mathfrak{H}$ . A triplet  $(\mathcal{H}, \Gamma_0, \Gamma_1)$ , where  $\mathcal{H}$  is an auxiliary Hilbert space and  $\Gamma_0, \Gamma_1$  are linear mappings of  $\mathcal{D}(S^*)$  into  $\mathcal{H}$ , is called a *boundary triplet of  $S^*$*  if the abstract Green identity

$$(S^*x, y) - (x, S^*y) = (\Gamma_1x, \Gamma_0y)_{\mathcal{H}} - (\Gamma_0x, \Gamma_1y)_{\mathcal{H}}, \quad x, y \in \mathcal{D}(S^*) \quad (2.25)$$

is satisfied and the map  $(\Gamma_0, \Gamma_1) : \mathcal{D}(S^*) \rightarrow \mathcal{H} \oplus \mathcal{H}$  is surjective [7, 9].

**Lemma 2.11.** *Assume that  $S$  satisfies the commutation relations (2.6) and  $J_{\vec{\gamma}}, J_{\vec{\gamma}} \in Cl_2(J, R)$  are fixed anti-commuting fundamental symmetries. Then there exists a boundary triplet  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  of  $S^*$  such that the formulas*

$$J_{\vec{\gamma}}\Gamma_j := \Gamma_jJ_{\vec{\gamma}}, \quad J_{\vec{\gamma}}\Gamma_j := \Gamma_jJ_{\vec{\gamma}}, \quad j = 0, 1 \quad (2.26)$$

correctly define anti-commuting fundamental symmetries  $J_{\vec{\gamma}}$  and  $J_{\vec{\gamma}}$  in the Hilbert space  $\mathcal{H}$ .

*Proof.* If  $S$  satisfies (2.6), then  $S$  commutes with an arbitrary fundamental symmetry  $J_{\vec{\gamma}} \in Cl_2(J, R)$  and hence,  $S$  admits the representation (2.13) for any vector  $\vec{\gamma} \in \mathbb{S}^2$ .

Let  $S_{\gamma+}$  be a symmetric operator in  $\mathfrak{H}_{\gamma+}^{\gamma}$  from (2.13) and let  $(N, \Gamma_0^+, \Gamma_1^+)$  be an arbitrary boundary triplet of  $S_{\gamma+}^*$ .

Since  $J_{\vec{\gamma}}$  anti-commutes with  $J_{\vec{\gamma}}$ , the symmetric operator  $S_{\gamma-}$  in (2.13) can be described as  $S_{\gamma-} = J_{\vec{\gamma}}S_{\gamma+}J_{\vec{\gamma}}$ . This means that  $(N, \Gamma_0^+J_{\vec{\gamma}}, \Gamma_1^+J_{\vec{\gamma}})$  is a boundary triplet of  $S_{\gamma-}$ .

It is easy to see that the operators

$$\Gamma_j f = \Gamma_j(f_+ + f_-) = \begin{pmatrix} \Gamma_j^+ f_+ \\ \Gamma_j^+ J_{\vec{\gamma}} f_- \end{pmatrix} \quad (2.27)$$

( $f = f_+ + f_- \in \mathcal{D}(S^*)$ ,  $f_{\pm} \in \mathcal{D}(S_{\gamma_{\pm}}^*)$ ) map  $\mathcal{D}(S^*)$  onto the Hilbert space

$$\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-, \quad \mathcal{H}_+ = \begin{pmatrix} N \\ 0 \end{pmatrix}, \quad \mathcal{H}_- = \begin{pmatrix} 0 \\ N \end{pmatrix}$$



and they form a boundary triplet  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  of  $S^*$  which satisfies (2.26) with

$$\mathcal{J}_{\bar{\tau}} = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \quad \mathcal{J}_{\bar{\gamma}} = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}. \tag{2.28}$$

It is clear that  $\mathcal{J}_{\bar{\tau}}$  and  $\mathcal{J}_{\bar{\gamma}}$  are anti-commuting fundamental symmetries in the Hilbert space  $\mathcal{H}$ . □

**Remark 2.12.** The fundamental symmetries  $\mathcal{J}_{\bar{\tau}}$  and  $\mathcal{J}_{\bar{\gamma}}$  are defined by (2.26) in a similar way that in [13], where symmetric operators commuting with involution has been studied.

**Remark 2.13.** Since  $J$  and  $R$  can be expressed as linear combinations of  $J_{\bar{\tau}}$ ,  $J_{\bar{\gamma}}$ , and  $iJ_{\bar{\tau}}J_{\bar{\gamma}}$ , formulas (2.26) imply that

$$\mathcal{J}\Gamma_j := \Gamma_j J, \quad \mathcal{R}\Gamma_j := \Gamma_j R, \quad j = 0, 1,$$

where  $\mathcal{J}$  and  $\mathcal{R}$  are anti-commuting fundamental symmetries in  $\mathcal{H}$ . Therefore, an arbitrary boundary triplet  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  of  $S^*$  with property (2.26) allows one to establish a bijective correspondence between elements of the initial Clifford algebra  $Cl_2(J, R)$  and its “image”  $Cl_2(\mathcal{J}, \mathcal{R})$  in the auxiliary space  $\mathcal{H}$ . In particular, for every  $J_{\bar{\alpha}} \in Cl_2(J, R)$  defined by (2.3),

$$\mathcal{J}_{\bar{\alpha}}\Gamma_j = \Gamma_j J_{\bar{\alpha}}, \quad j = 0, 1, \tag{2.29}$$

where  $\mathcal{J}_{\bar{\alpha}} = \alpha_1\mathcal{J} + \alpha_2\mathcal{R} + \alpha_3i\mathcal{J}\mathcal{R}$  belongs to  $Cl_2(\mathcal{J}, \mathcal{R})$ .

**2.** Let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S^*$ . The Weyl function of  $S$  associated with  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  is defined as follows:

$$M(\mu)\Gamma_0 f_\mu = \Gamma_1 f_\mu, \quad \forall f_\mu \in \ker(S^* - \mu I), \quad \forall \mu \in \mathbb{C} \setminus \mathbb{R}. \tag{2.30}$$

**Lemma 2.14.** Let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S^*$  with properties (2.26). Then the corresponding Weyl function  $M(\cdot)$  commutes with every fundamental symmetry  $\mathcal{J}_{\bar{\alpha}} \in Cl_2(\mathcal{J}, \mathcal{R})$ :

$$M(\mu)\mathcal{J}_{\bar{\alpha}} = \mathcal{J}_{\bar{\alpha}}M(\mu), \quad \forall \mu \in \mathbb{C} \setminus \mathbb{R}.$$

*Proof.* It follows from (2.3) and (2.6) that  $S^*J_{\bar{\alpha}} = J_{\bar{\alpha}}S^*$  for all  $\bar{\alpha} \in \mathbb{S}^2$ . Therefore,  $J_{\bar{\alpha}} : \ker(S^* - \mu I) \rightarrow \ker(S^* - \mu I)$ . In that case, relations (2.29) and (2.30) lead to  $M(\mu)\mathcal{J}_{\bar{\alpha}}\Gamma_0 f_\mu = \mathcal{J}_{\bar{\alpha}}\Gamma_1 f_\mu$ . Thus,  $\mathcal{J}_{\bar{\alpha}}M(\mu)\mathcal{J}_{\bar{\alpha}} = M(\mu)$  or  $M(\mu)\mathcal{J}_{\bar{\alpha}} = \mathcal{J}_{\bar{\alpha}}M(\mu)$ . □

#### 2.4. DESCRIPTION OF $\Sigma_{J_{\bar{\alpha}}}$ IN TERMS OF BOUNDARY TRIPLETS

**Theorem 2.15.** Let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S^*$  with properties (2.26) for a fixed anti-commuting fundamental symmetries  $J_{\bar{\tau}}, J_{\bar{\gamma}} \in Cl_2(J, R)$  and let  $J_{\bar{\alpha}}$  be an arbitrary fundamental symmetry from  $Cl_2(J, R)$ . Then operators  $A \in \Sigma_{J_{\bar{\alpha}}}$  coincide with the restriction of  $S^*$  onto the domains

$$\mathcal{D}(A) = \{f \in \mathcal{D}(S^*) : U(\mathcal{J}_{\bar{\alpha}}\Gamma_1 + i\Gamma_0)f = (\mathcal{J}_{\bar{\alpha}}\Gamma_1 - i\Gamma_0)f\}, \tag{2.31}$$

where  $U$  runs the set of unitary operators in  $\mathcal{H}$ . The correspondence  $A \leftrightarrow U$  determined by (2.31) is a bijection between the set  $\Sigma_{J_{\bar{\alpha}}}$  of all  $J_{\bar{\alpha}}$ -self-adjoint extensions of  $S$  and the set of unitary operators in  $\mathcal{H}$ .

*Proof.* An operator  $A$  is a  $J_{\vec{\alpha}}$ -self-adjoint extension of  $S$  if and only if  $J_{\vec{\alpha}}A$  is a self-adjoint extension of the symmetric operator  $J_{\vec{\alpha}}S$ . Since

$$(J_{\vec{\alpha}}S)^* = S^*J_{\vec{\alpha}} = J_{\vec{\alpha}}S^*, \quad (2.32)$$

the Green identity (2.25) can be rewritten with the use of (2.29) as follows:

$$(S^*J_{\vec{\alpha}}x, y) - (x, S^*J_{\vec{\alpha}}y) = (\mathcal{J}_{\vec{\alpha}}\Gamma_1x, \Gamma_0y)_{\mathcal{H}} - (\Gamma_0x, \mathcal{J}_{\vec{\alpha}}\Gamma_1y)_{\mathcal{H}}.$$

Recalling the definition of boundary triplet we conclude that  $(\mathcal{H}, \Gamma_0, \mathcal{J}_{\vec{\alpha}}\Gamma_1)$  is a boundary triplet of  $J_{\vec{\alpha}}S$ . Therefore [9, Chapter 3, Theorem 1.6], self-adjoint extensions  $J_{\vec{\alpha}}A$  of  $J_{\vec{\alpha}}S$  coincide with the restriction of  $(J_{\vec{\alpha}}S)^*$  onto

$$\mathcal{D}(J_{\vec{\alpha}}A) = \{f \in \mathcal{D}((J_{\vec{\alpha}}S)^*) : U(\mathcal{J}_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)f = (\mathcal{J}_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)f\}$$

where  $U$  runs the set of unitary operators in  $\mathcal{H}$  and the correspondence  $J_{\vec{\alpha}}A \leftrightarrow U$  is bijective. By virtue of (2.32),  $\mathcal{D}((J_{\vec{\alpha}}S)^*) = \mathcal{D}(S^*)$ . Hence,  $J_{\vec{\alpha}}$ -self-adjoint extensions  $A$  of  $S$  coincide with the restriction of  $S^*$  onto  $\mathcal{D}(J_{\vec{\alpha}}A)$  that implies (2.31).  $\square$

**Corollary 2.16.** *If  $A \in \Sigma_{J_{\vec{\alpha}}}$  and  $A \leftrightarrow U$  in (2.31), then the  $J_{\vec{\beta}}$ -self-adjoint operator  $B = W_{\vec{\alpha}, \vec{\beta}}AW_{\vec{\alpha}, \vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$  ( $\vec{\alpha} \neq -\vec{\beta}$ ) is determined by the formula*

$$B = S^* \upharpoonright \{g \in \mathcal{D}(S^*) : W_{\vec{\alpha}, \vec{\beta}}UW_{\vec{\alpha}, \vec{\beta}}(\mathcal{J}_{\vec{\beta}}\Gamma_1 + i\Gamma_0)g = (\mathcal{J}_{\vec{\beta}}\Gamma_1 - i\Gamma_0)g\},$$

where  $W_{\vec{\alpha}, \vec{\beta}} = \mathcal{J}_{\frac{\vec{\alpha} + \vec{\beta}}{|\vec{\alpha} + \vec{\beta}|}}$  is a fundamental symmetry in  $\mathcal{H}$ .

*Proof.* Let  $A \in \Sigma_{J_{\vec{\alpha}}}$ . Then  $B = W_{\vec{\alpha}, \vec{\beta}}AW_{\vec{\alpha}, \vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$  by Theorem 2.9 and, in view of Theorem 2.15,

$$B = S^* \upharpoonright \{g \in \mathcal{D}(S^*) : U'(\mathcal{J}_{\vec{\beta}}\Gamma_1 + i\Gamma_0)g = (\mathcal{J}_{\vec{\beta}}\Gamma_1 - i\Gamma_0)g\}, \quad (2.33)$$

where  $U'$  is a unitary operator in  $\mathcal{H}$ .

It follows from the definition of  $B$  that  $f \in \mathcal{D}(A)$  if and only if  $g = W_{\vec{\alpha}, \vec{\beta}}f \in \mathcal{D}(B)$ . Hence, we can rewrite (2.33) with the use of (2.24):

$$\begin{aligned} U'(\mathcal{J}_{\vec{\beta}}\Gamma_1 + i\Gamma_0)g &= U'W_{\vec{\alpha}, \vec{\beta}}(\mathcal{J}_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)f = \\ &= (\mathcal{J}_{\vec{\beta}}\Gamma_1 - i\Gamma_0)g = \\ &= W_{\vec{\alpha}, \vec{\beta}}(\mathcal{J}_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)f, \end{aligned} \quad (2.34)$$

where  $W_{\vec{\alpha}, \vec{\beta}}\Gamma_j = \Gamma_jW_{\vec{\alpha}, \vec{\beta}}$ ,  $j = 0, 1$  (cf. (2.29)).

It follows from (2.23) that  $W_{\vec{\alpha}, \vec{\beta}} = \mathcal{J}_{\frac{\vec{\alpha} + \vec{\beta}}{|\vec{\alpha} + \vec{\beta}|}}$  and hence,  $W_{\vec{\alpha}, \vec{\beta}}$  is a fundamental symmetry in  $\mathcal{H}$ . Comparing (2.34) with (2.31), we arrive at the conclusion that  $U' = W_{\vec{\alpha}, \vec{\beta}}UW_{\vec{\alpha}, \vec{\beta}}$ . Corollary 2.16 is proved.  $\square$

**Corollary 2.17.** *A  $J_{\vec{\alpha}}$ -self-adjoint operator  $A \in \Sigma_{J_{\vec{\alpha}}}$  commutes with  $J_{\vec{\beta}}$ , where  $\vec{\alpha} \cdot \vec{\beta} = 0$  if and only if the corresponding unitary operator  $U$  in (2.31) satisfies the relation*

$$\mathcal{J}_{\vec{\beta}}U = U^{-1}\mathcal{J}_{\vec{\beta}}. \quad (2.35)$$

*Proof.* Assume that  $\vec{\beta} \in \mathbb{S}^2$  and  $\vec{\alpha} \cdot \vec{\beta} = 0$ . Then  $J_{\vec{\beta}}J_{\vec{\alpha}} = -J_{\vec{\alpha}}J_{\vec{\beta}}$  due to Lemma 2.3. Since  $J_{\vec{\beta}}S^* = S^*J_{\vec{\beta}}$ , the commutation relation  $AJ_{\vec{\beta}} = J_{\vec{\beta}}A$  is equivalent to the condition

$$\forall f \in \mathcal{D}(A) \Rightarrow J_{\vec{\beta}}f \in \mathcal{D}(A). \tag{2.36}$$

Let  $f \in \mathcal{D}(S^*)$ . Recalling that  $\mathcal{J}_{\vec{\beta}}\Gamma_j = \Gamma_jJ_{\vec{\beta}}$ , we obtain

$$\begin{aligned} (\mathcal{J}_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)J_{\vec{\beta}}f &= -\mathcal{J}_{\vec{\beta}}(\mathcal{J}_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)f, \\ (\mathcal{J}_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)J_{\vec{\beta}}f &= -\mathcal{J}_{\vec{\beta}}(\mathcal{J}_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)f. \end{aligned}$$

Combining the last two relations with (2.31), we conclude that (2.36) is equivalent to the identity  $\mathcal{J}_{\vec{\beta}}U^{-1}\mathcal{J}_{\vec{\beta}} = U$ . Corollary 2.17 is proved.  $\square$

**Corollary 2.18.** *A  $J_{\vec{\alpha}}$ -self-adjoint operator  $A \in \Sigma_{J_{\vec{\alpha}}}$  belongs to the subset  $\Upsilon$  (see (2.7)) if and only if the corresponding unitary operator  $U$  in (2.31) satisfies the equality (2.35) for all  $\vec{\beta} \in \mathbb{S}^2$  such that  $\vec{\alpha} \cdot \vec{\beta} = 0$ .*

*Proof.* Since  $U$  satisfies (2.35) for all  $\vec{\beta} \in \mathbb{S}^2$  such that  $\vec{\alpha} \cdot \vec{\beta} = 0$ , the operator  $A \in \Sigma_{J_{\vec{\alpha}}}$  commutes with an arbitrary  $J_{\vec{\beta}}$  such that  $J_{\vec{\alpha}}J_{\vec{\beta}} = -J_{\vec{\beta}}J_{\vec{\alpha}}$  (due to Lemma 2.3 and Corollary 2.17). In particular, the fundamental symmetry  $J_{\vec{\gamma}} = iJ_{\vec{\alpha}}J_{\vec{\beta}}$  anti-commutes with  $J_{\vec{\alpha}}$  and hence,  $J_{\vec{\gamma}}A = AJ_{\vec{\gamma}}$ . On the other hand, since  $A \in \Sigma_{J_{\vec{\alpha}}}$ , we have  $J_{\vec{\alpha}}A = A^*J_{\vec{\alpha}}$  and

$$J_{\vec{\gamma}}A = iJ_{\vec{\alpha}}J_{\vec{\beta}}A = iJ_{\vec{\alpha}}AJ_{\vec{\beta}} = A^*iJ_{\vec{\alpha}}J_{\vec{\beta}} = A^*J_{\vec{\gamma}}.$$

Thus  $AJ_{\vec{\gamma}} = A^*J_{\vec{\gamma}}$  and hence,  $A = A^*$ . This means that the self-adjoint extension  $A \supset S$  commutes with all fundamental symmetries from the Clifford algebra  $\mathcal{Cl}_2(J, R)$ . Therefore,  $A \in \Upsilon$ .  $\square$

**Corollary 2.19.** *Let  $A \in \Sigma_{J_{\vec{\alpha}}}$  be defined by (2.31) with  $U = \mathcal{J}_{\vec{\gamma}}$ , where  $\vec{\gamma} \in \mathbb{S}^2$  is an arbitrary vector such that  $\vec{\alpha} \cdot \vec{\gamma} = 0$ . Then  $\sigma(A) = \mathbb{C}$ , i.e.,  $A$  has empty resolvent set.*

*Proof.* Taking into account that  $\mathcal{J}_{\vec{\alpha}}\mathcal{J}_{\vec{\gamma}} = -\mathcal{J}_{\vec{\gamma}}\mathcal{J}_{\vec{\alpha}}$  (since  $\vec{\alpha} \cdot \vec{\gamma} = 0$ ), we rewrite the definition (2.31) of  $A$ :

$$A = S^* \upharpoonright \{f \in \mathcal{D}(S^*) : \mathcal{J}_{\vec{\alpha}}(\mathcal{J}_{\vec{\gamma}} + I)\Gamma_1f = i(\mathcal{J}_{\vec{\gamma}} + I)\Gamma_0f\}. \tag{2.37}$$

Since relation (2.35) holds when  $U = \mathcal{J}_{\vec{\gamma}}$  and  $\vec{\beta} = \vec{\gamma}$ , the operator  $A \in \Sigma_{J_{\vec{\alpha}}}$  commutes with  $J_{\vec{\gamma}}$  (Corollary 2.17). Therefore (cf. (2.16)),

$$A = \begin{pmatrix} A_+ & 0 \\ 0 & A_- \end{pmatrix}, \quad A_+ = A \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}, \quad A_- = A \upharpoonright_{\mathfrak{H}_-^{\vec{\gamma}}} \tag{2.38}$$

with respect to the decomposition (2.12). Here  $S_{\gamma_+} \subseteq A_+ \subseteq S_{\gamma_+}^*$  and  $S_{\gamma_-} \subseteq A_- \subseteq S_{\gamma_-}^*$ , where  $S_{\gamma_{\pm}} = S \upharpoonright_{\mathfrak{H}_{\pm}^{\vec{\gamma}}}$ .

Denote  $\mathcal{H}_+^{\vec{\gamma}} = \frac{1}{2}(I + \mathcal{J}_{\vec{\gamma}})\mathcal{H}$  and  $\mathcal{H}_-^{\vec{\gamma}} = \frac{1}{2}(I - \mathcal{J}_{\vec{\gamma}})\mathcal{H}$ . Then

$$\mathcal{H} = \mathcal{H}_+^{\vec{\gamma}} \oplus \mathcal{H}_-^{\vec{\gamma}} \tag{2.39}$$

and  $(\mathcal{H}_\pm^\gamma, \Gamma_0, \Gamma_1)$  are boundary triplets of operators  $S_{\gamma_\pm}^*$  (due to (2.29) and Lemma 2.11).

Let  $f \in \mathcal{D}(S_{\gamma_+}^*)$ . Then  $\Gamma_j f \in \mathcal{H}_+^\gamma$ ,  $j = 0, 1$  and the identity in (2.37) takes the form

$$\mathcal{J}_{\bar{\alpha}} \Gamma_1 f = i \Gamma_0 f. \tag{2.40}$$

Since  $\mathcal{J}_{\bar{\alpha}} \mathcal{J}_{\bar{\gamma}} = -\mathcal{J}_{\bar{\gamma}} \mathcal{J}_{\bar{\alpha}}$ , the operator  $\mathcal{J}_{\bar{\alpha}}$  maps  $\mathcal{H}_+^\gamma$  onto  $\mathcal{H}_-^\gamma$ . Thus, (2.40) may only hold in the case where  $\Gamma_0 f = \Gamma_1 f = 0$ . Therefore, the operator  $A_+$  in (2.38) coincides with  $S_+^\gamma$ .

Assume now  $f \in \mathcal{D}(S_{\gamma_-}^*)$ . Then  $\Gamma_j f \in \mathcal{H}_-^\gamma$ ,  $j = 0, 1$  and the identity in (2.37) vanishes (i.e.,  $0 = 0$ ). This means that  $A_- = S_{\gamma_-}^*$ . Therefore,  $A = A_\gamma$ , where  $A_\gamma$  is defined by (2.14) and  $\sigma(A_\gamma) = \mathbb{C}$ .  $\square$

### 2.5. THE RESOLVENT FORMULA

Let  $\gamma(\mu) = (\Gamma_0 \upharpoonright_{\ker(S^* - \mu I)})^{-1}$  be the  $\gamma$ -field corresponding to the boundary triplet  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  of  $S^*$  with properties (2.26). Since  $\mathcal{J}_{\bar{\alpha}}$  maps  $\ker(S^* - \mu I)$  onto  $\ker(S^* - \mu I)$ , formula (2.29) implies

$$\gamma(\mu) \mathcal{J}_{\bar{\alpha}} = \mathcal{J}_{\bar{\alpha}} \gamma(\mu), \quad \forall \mu \in \mathbb{C} \setminus \mathbb{R}$$

for an arbitrary fundamental symmetry  $\mathcal{J}_{\bar{\alpha}} \in \mathcal{Cl}_2(J, R)$ .

Let  $A_0 = S^* \upharpoonright_{\ker \Gamma_0}$ . Then  $A_0$  is a self-adjoint extension of  $S$  (due to the general properties of boundary triplets [9]). Moreover, it follows from (2.7) and Remark 2.13 that  $A_0 \in \Upsilon$ .

**Proposition 2.20.** *Let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S^*$  with properties (2.26) and let  $A \in \Sigma_{\mathcal{J}_{\bar{\alpha}}}$  be defined by (2.31). Assume that  $A$  is disjoint with  $A_0$  (i.e.,  $\mathcal{D}(A) \cap \mathcal{D}(A_0) = \mathcal{D}(S)$ ) and  $\mu \in \rho(A) \cap \rho(A_0)$ , then*

$$(A - \mu I)^{-1} = (A_0 - \mu I)^{-1} - \gamma(\mu)[M(\mu) - T]^{-1} \gamma^*(\bar{\mu}), \tag{2.41}$$

where  $T = i \mathcal{J}_{\bar{\alpha}}(I + U)(I - U)^{-1}$  is a  $\mathcal{J}_{\bar{\alpha}}$ -self-adjoint operator in the Krein space  $(\mathcal{H}, [\cdot, \cdot]_{\mathcal{J}_{\bar{\alpha}}})$ .

*Proof.* Since  $A$  and  $A_0$  are disjoint, the unitary operator  $U$  which corresponds to the operator  $A \in \Sigma_{\mathcal{J}_{\bar{\alpha}}}$  in (2.31) satisfies the relation  $\ker(I - U) = \{0\}$ . This relation and (2.29) allow one to rewrite (2.31) as follows:

$$A = S^* \upharpoonright_{\{f \in \mathcal{D}(S^*) \mid T \Gamma_0 f = \Gamma_1 f\}}, \tag{2.42}$$

where  $T = i \mathcal{J}_{\bar{\alpha}}(I + U)(I - U)^{-1}$  is a  $\mathcal{J}_{\bar{\alpha}}$ -self-adjoint operator in the Krein space  $(\mathcal{H}, [\cdot, \cdot]_{\mathcal{J}_{\bar{\alpha}}})$  (due to self-adjointness of  $i(I + U)(I - U)^{-1}$ ). Repeating the standard arguments (see, e.g., [8, p.14]), we deduce (2.41) from (2.42).  $\square$

**Remark 2.21.** The condition of disjointness of  $A$  and  $A_0$  in Proposition 2.20 is not essential and it is assumed for simplifying the exposition. In particular, this allows one to avoid operators  $A$  with empty resolvent set (see Corollary 2.19 and relation

(2.37)) for which the formula (2.41) has no sense. In the case of an arbitrary  $A \in \Sigma_{J_{\vec{\alpha}}}$  with non-empty resolvent set, the formula (2.41) also remains true if we interpret  $T$  as a  $J_{\vec{\alpha}}$ -self-adjoint relation in  $\mathcal{H}$  (see [12, Theorem 3.22] for a similar result and [6] for the basic definitions of linear relations theory).

### 3. THE CASE OF DEFICIENCY INDICES $\langle 2, 2 \rangle$

In what follows, the symmetric operator  $S$  has deficiency indices  $\langle 2, 2 \rangle$ .

**1.** Let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S^*$  with properties (2.26) or, that is equivalent, with properties (2.29). Let us fix an arbitrary fundamental symmetry  $\mathcal{J}_{\vec{\gamma}} \in \mathcal{Cl}_2(\mathcal{J}, \mathcal{R})$  and consider the decomposition  $\mathcal{H} = \mathcal{H}_+^{\vec{\gamma}} \oplus \mathcal{H}_-^{\vec{\gamma}}$  constructed by  $\mathcal{J}_{\vec{\gamma}}$  (see (2.39)). Then the Weyl function  $M(\cdot)$  associated with  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  can be rewritten as

$$M(\cdot) = \begin{pmatrix} m_{++}(\cdot) & m_{+-}(\cdot) \\ m_{-+}(\cdot) & m_{--}(\cdot) \end{pmatrix}, \quad m_{xy}(\cdot) : \mathcal{H}_y^{\vec{\gamma}} \rightarrow \mathcal{H}_x^{\vec{\gamma}}, \quad x, y \in \{+, -\},$$

where  $m_{xy}(\cdot)$  are scalar functions (since  $\dim \mathcal{H} = 2$  and  $\dim \mathcal{H}_{\pm}^{\vec{\gamma}} = 1$ ).

According to Lemma 2.14,  $M(\cdot)$  commutes with every fundamental symmetry from  $\mathcal{Cl}_2(\mathcal{J}, \mathcal{R})$ . In particular,  $\sigma_j M(\cdot) = M(\cdot) \sigma_j$  ( $j = 1, 3$ ), where  $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  are Pauli matrices. This is possible only in the case

$$m_{+-}(\cdot) = m_{-+}(\cdot) = 0, \quad m_{++}(\cdot) = m_{--}(\cdot),$$

i.e.,

$$M(\cdot) = m(\cdot)E, \tag{3.1}$$

where  $m(\cdot) = m_{++}(\cdot) = m_{--}(\cdot)$  is a scalar function defined on  $\mathbb{C} \setminus \mathbb{R}$  and  $E$  is the identity  $2 \times 2$ -matrix.

Recalling that  $(\mathcal{H}_+^{\vec{\gamma}}, \Gamma_0, \Gamma_1)$  is a boundary triplet of  $S_{\gamma_+}^*$  (see the proof of Corollary 2.19) and taking into account the definition (2.30) of Weyl functions, we arrive at the conclusion that  $m(\cdot)$  is the Weyl function of  $S_{\gamma_+} = S \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}$  associated with boundary triplet  $(\mathcal{H}_+^{\vec{\gamma}}, \Gamma_0^+, \Gamma_1^+)$ .

The following statement is proved.

**Proposition 3.1.** *Let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S$  defined above. Then the Weyl function  $M(\cdot)$  is defined by (3.1), where  $m(\cdot)$  is the Weyl function of  $S_{\gamma_+}$  associated with boundary triplet  $(\mathcal{H}_+^{\vec{\gamma}}, \Gamma_0, \Gamma_1)$ . The function  $m(\cdot)$  does not depend on the choice of  $\vec{\gamma} \in \mathbb{S}^2$ .*

**2.** Let  $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$  be linearly independent vectors. According to Corollary 2.7 all operators  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$  are described by the formula (2.21). This means that spectra of these operators are completely characterized by the spectra of their counterparts  $A_+$  in (2.21).

The operator  $A_+$  is supposed to be an intermediate extension of  $S_{\gamma+}$ . Two different situations may occur: 1.  $A_+ = S_{\gamma+}$  or  $A_+ = S_{\gamma+}^*$ ; 2.  $A_+$  is a quasi-self-adjoint extension<sup>4)</sup> of  $S$ , i.e.,  $S_{\gamma+} \subset A_+ \subset S_{\gamma+}^*$ . In the first case, the operators  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$  have empty resolvent set (Theorem 2.5); in the second case, the spectral properties of  $A_+$  (and hence,  $A$ ) are well known (see, e.g., [3, Theorem 1, Appendix I]). Summing up, we arrive at the following conclusion.

**Proposition 3.2.** *Let  $S$  be a simple symmetric operator with deficiency indices  $\langle 2, 2 \rangle$  and  $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ . Then or  $\sigma(A) = \mathbb{C}$  or the spectrum of  $A$  consists of the spectral kernel of  $S$  and the set of eigenvalues which can have only real accommodation points.*

**3.** Denote by  $\Xi_{\vec{\alpha}}$  the collection of all operators  $A \in \Sigma_{J_{\vec{\alpha}}}$  with empty resolvent set:

$$\Xi_{\vec{\alpha}} = \{A \in \Sigma_{J_{\vec{\alpha}}} : \rho(A) = \emptyset\}$$

and by  $\Xi_{\vec{\alpha}, \vec{\beta}}$  the pair of two operators  $A_{\gamma(\vec{\beta})}$  and  $A_{\gamma(\vec{\beta})}^*$  with empty resolvent set which are defined by (2.14) for a fixed  $\vec{\alpha}$  and  $\vec{\beta}$ .

**Theorem 3.3.** *Assume that  $S$  is a symmetric operator with deficiency indices  $\langle 2, 2 \rangle$  and its Weyl function (associated with an arbitrary boundary triplet) differs from constant on  $\mathbb{C} \setminus \mathbb{R}$ . Then*

$$\Xi_{\vec{\alpha}} = \bigcup_{\forall \vec{\beta} \in \mathbb{S}^2, \vec{\alpha} \cdot \vec{\beta} = 0} \Xi_{\vec{\alpha}, \vec{\beta}}. \quad (3.2)$$

*Proof.* By Theorem 2.5,  $\Xi_{\vec{\alpha}} \supset \Xi_{\vec{\alpha}, \vec{\beta}}$  for all  $\vec{\beta} \in \mathbb{S}^2$  such that  $\vec{\alpha} \cdot \vec{\beta} = 0$ . Therefore,  $\Xi_{\vec{\alpha}} \supset \bigcup \Xi_{\vec{\alpha}, \vec{\beta}}$ .

In the case of deficiency indices  $\langle 2, 2 \rangle$  of  $S$ , the set  $\Xi_{\vec{\alpha}}$  of all  $J_{\vec{\alpha}}$ -self-adjoint extensions with empty resolvent set is described in [15]. We briefly outline the principal results.

Denote by  $\mathfrak{N}_{\mu} = \ker(S^* - \mu I)$ ,  $\mu \in \mathbb{C} \setminus \mathbb{R}$ , the defect subspaces of  $S$  and consider the Hilbert space  $\mathfrak{M} = \mathfrak{N}_i \dot{+} \mathfrak{N}_{-i}$  with the inner product

$$(x, y)_{\mathfrak{M}} = 2[(x_i, y_i) + (x_{-i}, y_{-i})],$$

where  $x = x_i + x_{-i}$  and  $y = y_i + y_{-i}$  with  $x_i, y_i \in \mathfrak{N}_i$ ,  $x_{-i}, y_{-i} \in \mathfrak{N}_{-i}$ .

The operator  $Z$  that acts as identity operator  $I$  on  $\mathfrak{N}_i$  and minus identity operator  $-I$  on  $\mathfrak{N}_{-i}$  is an example of fundamental symmetry in  $\mathfrak{M}$ . Other examples can be constructed due to the fact that  $S$  commutes with  $J_{\vec{\beta}}$  for all  $\vec{\beta} \in \mathbb{S}^2$ . This means that the subspaces  $\mathfrak{N}_{\pm i}$  reduce  $J_{\vec{\beta}}$  and the restriction  $J_{\vec{\beta}} \upharpoonright \mathfrak{M}$  gives rise to a fundamental symmetry in the Hilbert space  $\mathfrak{M}$ . Moreover, according to the properties of  $Z$  mentioned above,  $J_{\vec{\beta}}Z = ZJ_{\vec{\beta}}$  and  $J_{\vec{\beta}}Z$  is a fundamental symmetry in  $\mathfrak{M}$ . Therefore, the sesquilinear form

$$[x, y]_{J_{\vec{\beta}}Z} = (J_{\vec{\beta}}Zx, y)_{\mathfrak{M}} = 2[(J_{\vec{\beta}}x_i, y_i) - (J_{\vec{\beta}}x_{-i}, y_{-i})]$$

defines an indefinite metric on  $\mathfrak{M}$ .

<sup>4)</sup> This class includes self-adjoint extensions also.

According to the von-Neumann formulas, any closed intermediate extension  $A$  of  $S$  (i.e.,  $S \subseteq A \subseteq S^*$ ) is uniquely determined by the choice of a subspace  $M \subset \mathfrak{M}$ :

$$A = S^* \upharpoonright_{\mathcal{D}(A)}, \quad \mathcal{D}(A) = \mathcal{D}(S) \dot{+} M. \tag{3.3}$$

In particular,  $J_{\bar{\beta}}$ -self-adjoint extensions  $A$  of  $S$  correspond to *hypermaximal neutral subspaces  $M$  with respect to  $[\cdot, \cdot]_{J_{\bar{\beta}}Z}$* . This means that  $A \in \Sigma_{J_{\bar{\alpha}}} \cap \Sigma_{J_{\bar{\beta}}}$  if and only if the corresponding subspace  $M$  in (3.3) is *simultaneously hypermaximal neutral with respect to two different indefinite metrics  $[\cdot, \cdot]_{J_{\bar{\alpha}}Z}$  and  $[\cdot, \cdot]_{J_{\bar{\beta}}Z}$* .

Without loss of generality we assume that  $J_{\bar{\alpha}}$  coincides with  $J$  in (2.3), i.e.,  $\bar{\alpha} = (1, 0, 0)$ . Then fundamental symmetries  $J_{\bar{\beta}}$  which anti-commute with  $J$  have the form

$$J_{\bar{\beta}} = \beta_2 R + \beta_3 iJR, \quad \beta_2^2 + \beta_3^2 = 1. \tag{3.4}$$

To specify  $M$  we consider an orthonormal basis  $\{e_{++}, e_{+-}, e_{-+}, e_{--}\}$  of  $\mathfrak{M}$  which satisfies the relations

$$\begin{aligned} Ze_{++} &= e_{++}, & Ze_{+-} &= e_{+-}, & Ze_{-+} &= -e_{-+}, & Ze_{--} &= -e_{--}, \\ J_{\bar{\alpha}}e_{++} &= e_{++}, & J_{\bar{\alpha}}e_{+-} &= -e_{+-}, & J_{\bar{\alpha}}e_{-+} &= e_{-+}, & J_{\bar{\alpha}}e_{--} &= -e_{--}, \\ Re_{++} &= e_{+-}, & Re_{+-} &= e_{++}, & Re_{--} &= e_{-+}, & Re_{-+} &= e_{--}. \end{aligned} \tag{3.5}$$

The existence of this basis was established in [2] and it was used in [15, Corollary 3.2] to describe the collection of all  $M$  in (3.3) which correspond to  $J$ -self-adjoint extensions of  $S$  with empty resolvent set. Such a description depends on properties of Weyl function of  $S$ . In particular, if the Weyl function differs from the constant for a fixed boundary triplet, then this property remains true for Weyl functions associated with an arbitrary boundary triplet of  $S$ . Then, using relations (2.7)–(2.9) in [15], we deduce that the Straus characteristic function of  $S$  (see [18]) differs from the zero-function on  $\mathbb{C} \setminus \mathbb{R}$ . In this case, Corollary 3.2 in [15] says that a  $J$ -self-adjoint extension  $A$  has empty resolvent set if and only if the corresponding subspace  $M$  coincides with linear span  $M = \text{span}\{d_1, d_2\}$ , where  $d_1 = e_{++} + e^{i\gamma}e_{+-}$ ,  $d_2 = e_{--} + e^{-i\gamma}e_{-+}$ , and  $\gamma \in [0, 2\pi)$  is an arbitrary parameter.

The operator  $A$  will belong to  $\Sigma_{J_{\bar{\beta}}}$  if and only if the subspace  $M = \text{span}\{d_1, d_2\}$  turns out to be hypermaximal neutral with respect to  $[\cdot, \cdot]_{J_{\bar{\beta}}Z}$ . Since  $\dim M = 2$  and  $\dim \mathfrak{M} = 4$ , it suffices to check the neutrality of  $M$ . The last condition is equivalent to the relations

$$[d_1, d_2]_{J_{\bar{\beta}}Z} = 0, \quad [d_1, d_1]_{J_{\bar{\beta}}Z} = 0, \quad [d_2, d_2]_{J_{\bar{\beta}}Z} = 0.$$

Using (3.4), (3.5), and remembering the orthogonality of  $e_{\pm, \pm}$  in  $\mathfrak{M}$ , we establish that  $[d_1, d_2]_{J_{\bar{\beta}}Z} = 0$  for all  $\gamma \in [0, 2\pi)$ . The next two conditions are transformed to the linear equation

$$(\cos \gamma)\beta_2 - (\sin \gamma)\beta_3 = 0, \tag{3.6}$$

which has the nontrivial solution  $\beta_2 = \sin \gamma$ ,  $\beta_3 = \cos \gamma$  for any  $\gamma \in [0, 2\pi)$ . This means that an arbitrary  $J$ -self-adjoint extension  $A$  with empty resolvent set is also a  $J_{\bar{\beta}}$ -self-adjoint operator under choosing  $\beta_2$  and  $\beta_3$  in (3.4) as solutions of (3.6). Theorem 3.3 is proved.  $\square$

**Corollary 3.4.** *Let  $S$  be a symmetric operator with deficiency indices  $\langle 2, 2 \rangle$  and let  $(\mathcal{H}, \Gamma_0, \Gamma_1)$  be a boundary triplet of  $S^*$  with properties (2.26). If the Weyl function of  $S$  differs from constant on  $\mathbb{C} \setminus \mathbb{R}$ , then the set  $\Xi_{\vec{\alpha}}$  is described by (2.31) where  $U$  runs the set of all fundamental symmetries  $\mathcal{J}_{\vec{\beta}} \in \mathcal{Cl}_2(\mathcal{J}, \mathcal{R})$  such that  $\vec{\alpha} \cdot \vec{\beta} = 0$ .*

*Proof.* It follows from Corollary 2.19 and Theorem 3.3.  $\square$

Theorem 3.3 and Corollary 3.4 are not true when the Weyl function of  $S$  is a constant. In that case, the set  $\Xi_{\vec{\alpha}}$  of  $J_{\vec{\alpha}}$ -self-adjoint extensions increases considerably and  $\Xi_{\vec{\alpha}} \supset \bigcup \Xi_{\vec{\alpha}, \vec{\beta}}$ .

**Corollary 3.5.** *Let  $S$  be a simple symmetric operator with deficiency indices  $\langle 2, 2 \rangle$ . Then the following statements are equivalent:*

(i) *the strict inclusion*

$$\Xi_{\vec{\alpha}} \supset \bigcup_{\forall \vec{\beta} \in \mathbb{S}^2, \vec{\alpha} \cdot \vec{\beta} = 0} \Xi_{\vec{\alpha}, \vec{\beta}}$$

*holds,*

(ii) *the Weyl function  $M(\cdot)$  of  $S$  is a constant on  $\mathbb{C} \setminus \mathbb{R}$ ,*

(iii)  *$S$  is unitarily equivalent to the symmetric operator in  $L_2(\mathbb{R}, \mathbb{C}^2)$ :*

$$S' = i \frac{d}{dx}, \quad \mathcal{D}(S') = \{u \in W_2^1(\mathbb{R}, \mathbb{C}^2) : u(0) = 0\}. \quad (3.7)$$

*Proof.* Assume that the Weyl function  $M(\cdot)$  of  $S$  is a constant. By (3.1), the Weyl function  $m(\cdot)$  of  $S_{\gamma+} = S \upharpoonright_{\mathfrak{H}_{\gamma+}}$  is also constant. This means that the Straus characteristic function of the simple symmetric operator  $S_{\gamma+}$  with deficiency indices  $\langle 1, 1 \rangle$  is zero on  $\mathbb{C} \setminus \mathbb{R}$  (see the proof of Theorem 3.3). Therefore,  $S_{\gamma+}$  is unitarily equivalent to the symmetric operator  $S'_+ = i \frac{d}{dx}$ ,  $\mathcal{D}(S'_+) = \{u \in W_2^1(\mathbb{R}) : u(0) = 0\}$  in  $L_2(\mathbb{R})$  [16, Subsection 3.4].

Recalling the decomposition (2.13) of  $S$ , where the simple symmetric operator  $S_{\gamma-} = S \upharpoonright_{\mathfrak{H}_{\gamma-}}$  also has deficiency indices  $\langle 1, 1 \rangle$  and zero characteristic function, we conclude that  $S$  is unitarily equivalent to the symmetric operator  $S'$  defined by (3.7). This establishes the equivalence of (ii) and (iii).

Assume again that the Weyl function of  $S$  is a constant. Then the Straus characteristic function of  $S$  is zero. In that case, Corollary 3.2 in [15] yields that  $A \in \Xi_{\vec{\alpha}}$  if and only if the corresponding subspace  $M$  in (3.3) coincides with linear span

$$M = \text{span}\{d_1, d_2\}, \quad d_1 = e_{++} + e^{i(\phi+\gamma)}e_{+-}, \quad d_2 = e_{--} + e^{i(\phi-\gamma)}e_{-+}, \quad (3.8)$$

where  $\phi, \gamma \in [0, 2\pi)$  are *two arbitrary parameters*. Thus the set  $\Xi_{\vec{\alpha}}$  is described by two independent parameters  $\phi$  and  $\gamma$ .

Due to the proof of Theorem 3.3, the operator  $A \in \Xi_{\vec{\alpha}}$  belongs to the subset  $\Xi_{\vec{\alpha}, \vec{\beta}}$  if and only if the subspace  $M$  in (3.8) is neutral with respect to  $[\cdot, \cdot]_{\mathcal{J}_{\vec{\beta}}}$ . Repeating the argumentation above, we conclude that the neutrality of  $M$  is equivalent to the existence of nontrivial solution  $\beta_2, \beta_3$  of the system (cf. (3.6))

$$\begin{cases} \cos(\phi + \gamma)\beta_2 - \sin(\phi + \gamma)\beta_3 = 0, \\ \cos(\phi - \gamma)\beta_2 + \sin(\phi - \gamma)\beta_3 = 0. \end{cases} \quad (3.9)$$



The determinant of (3.9) is  $\sin 2\phi$ . Therefore, there are no nontrivial solutions for  $\phi \notin \{0, \frac{\pi}{2}, \pi, \frac{3\pi}{2}\}$ . This means the existence of operators  $A \in \Xi_{\vec{\alpha}}$  which, simultaneously, do not belong to  $\bigcup \Xi_{\vec{\alpha}, \vec{\beta}}$ . Thus, we establish the equivalence of (ii) and (i). Corollary 3.5 is proved.  $\square$

4. Consider the one-dimensional Schrödinger differential expression

$$l(\phi)(x) = -\phi''(x) + q(x)\phi(x), \quad x \in \mathbb{R}, \tag{3.10}$$

where  $q$  is an *even* real-valued measurable function that has a non-integrable singularity at zero and is integrable on every finite subinterval of  $\mathbb{R} \setminus \{0\}$ .

Assume in what follows that the potential  $q(x)$  is in the limit point case at  $x \rightarrow \pm\infty$  and is in the limit-circle case at  $x = 0$ . Denote by  $\mathcal{D}$  the set of all functions  $\phi(x) \in L_2(\mathbb{R})$  such that  $\phi$  and  $\phi'$  are absolutely continuous on every finite subinterval of  $\mathbb{R} \setminus \{0\}$  and  $l(\phi) \in L_2(\mathbb{R})$ . On  $\mathcal{D}$  we define the operator  $L$  as follows:

$$L\phi = l(\phi), \quad \forall \phi \in \mathcal{D}.$$

The operator  $L$  commutes with the space parity operator  $\mathcal{P}\phi(x) = \phi(-x)$  and with the operator of multiplication by  $(\text{sgn } x)I$ . These operators are anti-commuting fundamental symmetries in  $L_2(\mathbb{R})$ . Therefore,  $L$  commutes with elements of the Clifford algebra  $\mathcal{Cl}_2(\mathcal{P}, (\text{sgn } x)I)$ . However,  $L$  is not a symmetric operator.

Denote for brevity  $J_{\vec{\gamma}} = (\text{sgn } x)I$ . Then, the decomposition (2.12) takes the form  $L_2(\mathbb{R}) = L_2(\mathbb{R}_+) \oplus L_2(\mathbb{R}_-)$  and with respect to it

$$L = \begin{pmatrix} L_+ & 0 \\ 0 & \mathcal{P}L_+\mathcal{P} \end{pmatrix}, \quad L_+ = L \upharpoonright_{L_2(\mathbb{R}_+)}. \tag{3.11}$$

The operator  $L_+$  is the maximal operator for differential expression  $l(\phi)$  considered on semi-axes  $\mathbb{R}_+ = (0, \infty)$ . Denote by  $S_+$  the minimal operator generated  $l(\phi)$  in  $L_2(\mathbb{R}_+)$ . The symmetric operator  $S_+$  has deficiency indices  $\langle 1, 1 \rangle$ .

Let  $(\mathbb{C}, \Gamma_0^+, \Gamma_1^+)$  be an arbitrary boundary triplet of  $L_+ = S_+^*$  in  $L_2(\mathbb{R}_+)$ . Then, the boundary triplet  $(\mathbb{C}^2, \Gamma_0, \Gamma_1)$  determined by (2.27) with  $J_{\vec{\gamma}} = \mathcal{P}$  and  $N = \mathbb{C}$  is a boundary triplet of  $L = S^*$  in the space  $L_2(\mathbb{R})$ . Here  $S = \begin{pmatrix} S_+ & 0 \\ 0 & \mathcal{P}S_+\mathcal{P} \end{pmatrix}$  is the symmetric operator in  $L_2(\mathbb{R})$  (cf. (3.11)) with deficiency indices  $\langle 2, 2 \rangle$ .

Let  $J_{\vec{\alpha}}$  be an arbitrary fundamental symmetry from  $\mathcal{Cl}_2(\mathcal{P}, (\text{sgn } x)I)$ . By Theorem 2.15,  $J_{\vec{\alpha}}$ -self-adjoint extensions  $A \in \Sigma_{J_{\vec{\alpha}}}$  of  $S$  are defined as the restrictions of  $L$ :

$$A = L \upharpoonright \{f \in \mathcal{D} : U(J_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)f = (J_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)f\}, \tag{3.12}$$

where  $U$  runs the set of  $2 \times 2$ -unitary matrices. The operators  $A$  can be interpreted as  $J_{\vec{\alpha}}$ -self-adjoint operator realizations of differential expression (3.10) in  $L_2(\mathbb{R})$ .

Since the sets  $\Sigma_{J_{\vec{\alpha}}}$  are unitarily equivalent for different  $\vec{\alpha} \in \mathbb{S}^2$  (Theorem 2.9) one can set  $J_{\vec{\alpha}} = \mathcal{P}$  for definiteness.

**Proposition 3.6.** *The collection of all  $\mathcal{P}$ -self-adjoint extensions  $A \in \Sigma_{\mathcal{P}}$  with empty resolvent set coincides with the restrictions of  $L$  onto the sets of functions  $f \in \mathcal{D}$  satisfying the condition*

$$\begin{pmatrix} i \sin \theta & 1 - \cos \theta \\ 1 + \cos \theta & -i \sin \theta \end{pmatrix} \Gamma_1 f = i \begin{pmatrix} 1 + \cos \theta & -i \sin \theta \\ i \sin \theta & 1 - \cos \theta \end{pmatrix} \Gamma_0 f, \quad \forall \theta \in [0, 2\pi).$$

*Proof.* Since  $J_{\bar{\tau}} = \mathcal{P}$  and  $J_{\bar{\gamma}} = (\operatorname{sgn} x)I$ , relations (2.26), (2.28) mean that  $\sigma_1 \Gamma_j = \Gamma_j \mathcal{P}$  and  $\sigma_3 \Gamma_j = \Gamma_j (\operatorname{sgn} x)I$  ( $j = 0, 1$ ), where  $\sigma_1$  and  $\sigma_3$  are Pauli matrices. Therefore, the ‘image’ of the Clifford algebra  $\mathcal{Cl}_2(\mathcal{P}, (\operatorname{sgn} x)I)$  coincides with  $\mathcal{Cl}_2(\sigma_1, \sigma_3)$  in  $\mathbb{C}^2$  (see Remark 2.13).

The fundamental symmetries  $\mathcal{J}_{\bar{\beta}} \in \mathcal{Cl}_2(\sigma_1, \sigma_3)$  anti-commuting with  $\sigma_1$  have the form  $\mathcal{J}_{\bar{\beta}} = \beta_2 \sigma_2 + \beta_3 \sigma_3$ ,  $\beta_2^2 + \beta_3^2 = 1$ , where  $\sigma_2 = i\sigma_1 \sigma_3$ . Hence,

$$\mathcal{J}_{\bar{\beta}} = \begin{pmatrix} \beta_3 & -i\beta_2 \\ i\beta_2 & -\beta_3 \end{pmatrix} = \begin{pmatrix} \cos \theta & -i \sin \theta \\ i \sin \theta & -\cos \theta \end{pmatrix}, \quad \theta \in [0, 2\pi). \quad (3.13)$$

Here, we set  $\beta_3 = \cos \theta$  and  $\beta_2 = \sin \theta$  (since  $\beta_2^2 + \beta_3^2 = 1$ ). Applying Corollary 3.4 and rewriting (2.31) in the form (2.37) with  $\mathcal{J}_{\bar{\alpha}} = \sigma_1$ ,  $\mathcal{J}_{\bar{\gamma}} = \mathcal{J}_{\bar{\beta}}$  (here  $\mathcal{J}_{\bar{\beta}}$  is determined by (3.13)), we complete the proof of Proposition 3.6.  $\square$

**Remark 3.7.** To apply Proposition 3.6 for concrete potentials  $q(x)$  in (3.13) one needs only to construct a boundary triplet  $(\mathbb{C}^2, \Gamma_0, \Gamma_1)$  of  $L$  with the help of a boundary triplet  $(\mathbb{C}, \Gamma_0^+, \Gamma_1^+)$  of the differential expression (3.10) on semi-axis  $\mathbb{R}_+$  (see (2.27)). To do that one can use [14], where simple explicit formulas for operators  $\Gamma_j^+$  constructed in terms of asymptotic behavior of  $q(x)$  as  $x \rightarrow 0$  were obtained for great number of singular potentials.

### Acknowledgements

*The first author (S.K.) expresses his gratitude to the DFG (project AL 214/33-1) and JRP IZ73Z0 (28135) of SCOPES 2009–2012 for the support.*

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*Received: March 17, 2011.*

*Revised: September 15, 2011.*

*Accepted: September 19, 2011.*