Velocity and Mass Functions of Galactic Halos: Evolution and Environment Dependence

Y. Sigad 1, T.S. Kolatt 1, J.S. Bullock 2, A.V. Kravtsov 2,3, A.A. Klypin 4, J.R. Primack 5, & A. Dekel 1

ABSTRACT

We study the distribution functions of mass and circular velocity for dark matter halos in N-body simulations of the ΛCDM cosmology, addressing redshift and environmental dependence. The dynamical range enables us to resolve subhalos and distinguish them from “distinct” halos. The mass function is compared to analytic models, and is used to derive the more observationally relevant circular velocity function. The distribution functions in the velocity range 100–500 km s\(^{-1}\) are well fit by a power-law with two parameters, slope and amplitude. We present the parameter dependence on redshift and provide useful fitting formulae. The amplitudes of the mass functions decrease with \(z\), but, contrary to naive expectation, the comoving density of halos of a fixed velocity \(\sim 200\) km s\(^{-1}\) actually increases out to \(z \sim 5\). This is because high-\(z\) halos are denser, so a fixed velocity corresponds to a smaller mass. The slope of the velocity function at \(z = 0\) is as steep as \(\sim -4\), and the mass and velocity functions of distinct halos steepen with increasing \(z\), while the functions of subhalos do not steepen with \(z\), and become even flatter at \(z > 2\). A simple observable prediction is that the slope of the velocity function of isolated galaxies is steeper than that of galaxies in groups by as much as unity, reflecting the density biasing of high-velocity halos. We confirm that the Press-Schechter approximation typically overestimates the halo mass function by a factor of \(\sim 2\), while modified approximations provide improved predictions.

Subject headings: cosmology: theory — dark matter — galaxies: formation — large-scale structure of universe

---

1Racah Institute of Physics, The Hebrew University, Jerusalem 91904, Israel
2Department of Astronomy, Ohio State University, Columbus, OH 43210
3Hubble Fellow
4Astronomy department, New Mexico State University, Box 30001, Dept. 4500, Las Cruces, NM 88003
5Physics Department, University of California, Santa Cruz
1. Introduction

Understanding the evolution of structure in the universe and its relation to initial conditions is a fundamental issue in cosmology. Galaxy formation involves physical processes such as star formation, supernovae feedback, and dust extinction which we still are far from understanding in full. On the other hand, the clustering of the collisionless dark-matter (DM) component is well understood. DM particles cluster into halos, which subsequently accrete more mass either by gradual infall or by merging with other halos. An accurate description of the distribution of DM halo mass (the mass function) and its temporal evolution are crucial for our understanding of the evolution of the luminous galaxies that reside in these halos.

A popular approximation to the mass function is provided by the Press-Schechter formalism (Press & Schechter 1974, hereafter PS). The initial density fluctuation field, smoothed on some comoving scale $R$, is assumed to evolve according to linear theory until it reaches a critical value, $\delta_c = 1.69$, at which time it is assumed to have collapsed and virialized. The critical value is determined by a linear extrapolation to the collapse time as set by the spherical collapse model. Many of the simplifying assumptions behind the PS formalism do not seem to be physically motivated, yet it turns out to predict with reasonable accuracy the mass function as seen in simulations; the neglected effects tend to cancel each other (Monaco 1998).

While being very useful for qualitative and semi-quantitative analyses, the accuracy of the PS approximation may not be enough when quantitative details are concerned. For example, it has been found based on simulations that for halo masses below $M = 10^{13} - 10^{14} h^{-1} M_\odot$ (depending on the specific cosmological model) the PS approximation overestimates the mass function by as much as a factor of $\sim 2$ (Gross et al. 1998; Lee & Shandarin 1999; Somerville et al. 2000). At the high-mass end, $M \gtrsim 10^{15} h^{-1} M_\odot$, the PS formalism underestimates the abundance of DM halos, especially at high redshift (e.g., Governato et al. 1999, Somerville et al. 2000). Improved approximations have been proposed in order to correct these inaccuracies, e.g., a modification of PS by Sheth and Tormen (1999, hereafter ST), practically replacing the spherical collapse model with an ellipsoidal collapse model (Sheth, Mo, & Tormen 2000). Lee & Shandarin (1998, hereafter LS) also use a non-spherical approach, based on the Zeldovich approximation (Zeldovich 1970), to improve the PS approximation.

The need for a more accurate description of the mass function is further highlighted by the development of a useful approach for studying galaxy formation and evolution based on semi-analytical models (Kauffmann et al. 1999; Somerville & Primack 1999; Baugh et al. 1999). Semi-analytical models try to circumvent the complications associated with baryonic processes by simplified prescriptions and artificially embedding galaxies within DM halos, which allows them to subsequently predict observable statistical quantities such as the galaxy luminosity function or the Tully-Fisher relation. Some of the important processes governing the evolution of galaxies in semi-analytical models are directly related to the DM clustering properties; for example, the mass function and merger histories of the halo populations are key ingredients in semi-analytical models (Kauffmann et al. 1999; Somerville & Kolatt 1999).
These need to be followed with a better accuracy than provided by the PS approximation. Furthermore, the PS formalism does not address substructure within halos. When two halos merge, the PS approximation immediately labels them as one virialized halo. However, high-resolution simulations (e.g., Klypin et al. 1999a, Ghigna et al. 1998) show that substructure does maintain its identity after merging into larger halos. This means that galactic halos are expected to survive tidal stripping for some time, with possible important consequences for collisions and starbursts (Kolatt et al. 1999, 2000).

An alternative to modeling the complicated physics needed to predict galaxy luminosities in individual halos is to calculate statistical properties such as the distribution function of the circular velocity of halos — the velocity function. By using observed luminosity-velocity relations, one can then relate the predicted velocity function to observational luminosity functions (Gonzales et al. 2000; Bullock et al. 2000b) and address halo number counts directly. In addition, because modeling luminosities of the high-redshift galaxies is even more uncertain than modeling the local population, the redshift evolution of the halo velocity function provides a much-needed, and more direct handle on the galaxy population than the corresponding mass function.

In order to obtain the velocity function we use a high-resolution simulation which has the force resolution and mass resolution necessary to determine the maximum circular velocities of halos, and is capable of resolving substructure within halos (Kravtsov, Klypin & Khokhlov 1997). The simulation is complemented by a halo finding algorithm which also classifies halos into nesting levels of halos within halos (Bullock et al. 2000a; Bullock 1999; see §2). Our halo finder/classifier (HFC) fits each halo by an NFW density profile (Navarro, Frenk & White 1996) and automatically assigns a circular velocity profile to each halo. For the purpose of comparing to observations, some of the halos are assumed to be galactic halos based on a simple prescription following basic observational constraints.

In §2 we present our method for extracting the mass (§2.1) and velocity (§2.2) functions for the different populations of halos (e.g., subhalos that reside in massive hosts, halos of galaxies in groups, etc.), while correcting for incompleteness in the halo-finding algorithm by an iterative procedure. In §2.3 we present a simple scheme for identifying “galactic” halos. In §3 we compare results for distinct halos to the PS, ST, and LS predictions, and extend our investigation to the mass and velocity functions of substructure as well. The results for halos and subhalos at $z = 0$ are discussed in §3.1, and their redshift evolution is presented in §3.2. Results for galactic halos in different environments are outlined in §3.3. We discuss our results and compare them to other studies in §4.

2. Method

Advances in numerical simulations of the collisionless DM component allow a dynamical range large enough for studying substructure within halos in a volume that approaches a fair cosmological sample of the galaxy distribution (Klypin et al. 1999a). Using an adaptive refinement tree code (Kravtsov, Klypin & Khokhlov 1997), we have simulated the currently
popular ΛCDM model within a comoving periodic box of $60 \, h^{-1}\text{Mpc}$, with a force resolution of $f_{\text{res}} \approx 2 \, h^{-1}\text{kpc}$ in the dense regions. The cosmological model is spatially flat, with matter and cosmological constant contributions of $\Omega_m = 0.3$ and $\Omega_\Lambda = 0.7$ at $z = 0$. The Hubble constant is $h = 0.7$ ($H_0 \equiv 100h \, \text{km\,s}^{-1}\text{\,Mpc}^{-1}$), and the fluctuation amplitude today is normalized by $\sigma_8 = 1.0$. The $256^3$ simulated particles imply a mass resolution of $m_p = 1.1 \times 10^9 \, h^{-1} M_\odot$.

Within this simulation it is possible to resolve halos inside halos to four levels down the hierarchy. This is done via a halo finder/classifier, based on the bound density maxima method (Klypin & Holtzman 1997), which has been specifically developed for the purpose of analyzing substructure. A detailed description of the HFC can be found in Bullock (1999) and in the appendix of Bullock et al. (2000a). Below are some of its basic ingredients that are relevant to the mass and velocity distribution functions.

A key feature of the HFC is that it models the radial density profile of each halo with a universal functional form. We use here the NFW profile:

$$\rho(r) = \frac{\rho_s}{(r/R_s)(1 + r/R_s)^2}, \quad (1)$$

where the two free parameters are an inner density $\rho_s$ and a characteristic scale length $R_s$. It is also convenient to define the virial radius of a halo by

$$R_{\text{vir}} = \left( \frac{3M_{\text{vir}}}{4\pi \Delta_{\text{vir}} \bar{\rho}} \right)^{1/3}, \quad (2)$$

where $M_{\text{vir}}$ is the virial mass, and virialization is defined by the mean density inside $R_{\text{vir}}$ being $\Delta_{\text{vir}}$ times the mean density $\bar{\rho}$. The value of the virial overdensity $\Delta_{\text{vir}}$ comes from the top-hat collapse model; it is about 200 for an Einstein-deSitter cosmology, and $\Delta_{\text{vir}} \approx 340$ at $z=0$ for the ΛCDM model simulated here. The corresponding virial velocity is defined by $V_{\text{vir}}^2 = GM_{\text{vir}}/R_{\text{vir}}$. The fitting procedure automatically provides errors for the parameters $\rho_s$ and $R_s$, which we then translate to errors in the other useful halo parameters such as $M_{\text{vir}}$ and $V_{\text{vir}}$.

The modeling of each halo by a smooth spherically-symmetric profile helps the identification of halos within halos. Halos at the top of the hierarchy (not within other halos) are termed “distinct”, while all other halos are termed “subhalos”. We only try to fit halos with modeled mass equivalent to at least 50 particles. As will be seen below (§2.1), the HFC finds halos with $M \gtrsim 2 \times 10^{11} h^{-1} M_\odot$ at almost 100% efficiency, while at lower masses the constructed halo catalogs gradually become incomplete. At $z=0$, a total of $\sim 8000$ DM halos are identified, 90% of which are distinct. Their numbers drop by approximately an order of magnitude for each level down the hierarchy.

In the following two subsections we present our method for reconstructing the mass and velocity functions of any subset of the halos identified by the HFC. An iterative procedure corrects for the incompleteness at small masses (§2.1). The velocity function is recovered in §2.2 based on the derived mass function and the NFW profile fit.
2.1. Derivation of the Mass Function

A simple count in bins of the halo population is prone to two main sources of systematic error. One is due to the incomplete efficiency of the HFC at masses below \( \sim 2 \times 10^{11} h^{-1} M_\odot \), which causes an undercount in the low-mass bins. The other is Malmquist bias due the low-mass cutoff imposed at 50 particles and the gradient in the mass function. In order to obtain an unbiased mass function, we pursue an iterative procedure as described in the following eight steps:

[1] The mass \( M \) is the modeled virial halo mass \( M_{\text{vir}} \). We first impose a mass cutoff corresponding to 50 particles, i.e., \( M_{\text{min}} \approx 5.5 \times 10^{10} h^{-1} M_\odot \), and then count the halos in bins of constant \( \log M \) width. The logarithmic bin size, which is about 0.4 but slightly varying from case to case, is determined such that we have about 15 bins in the available mass range. We denote these raw counts by \( N_j \). They are shown in Figure 1 for the case of distinct halos at \( z = 0 \).

[2] We evaluate the errors in each bin, \( \Delta N_j \), as the sum in quadrature of the Poisson error due to the finite number of halos in the bin and the error in the assignment of halos to bins because of the uncertainty in the halo mass as determined by the HFC. In order to evaluate the latter, we produce 20 synthetic halo catalogs in which we perturb each mass of the simulated sample by an amount drawn at random from a Gaussian distribution of width equal to the corresponding HFC error. We count the halos in bins for each perturbed catalog, and take the error corresponding to each bin to be the standard deviation over the 20 perturbed catalogs. The total errors, \( \Delta N_j \), are shown as error bars in Figure 1.

[3] We compute the completeness function of the HFC. It is assumed to depend only on the number of particles in the halo, namely \( M \), and not on redshift, or on whether the halo is a distinct halo or a subhalo. This assumption (which is validated a posteriori) allows us to evaluate the completeness only once, e.g., for distinct halos at \( z = 0 \), and use it as is for all others cases. We find that in an extended range below \( 10^{14} h^{-1} M_\odot \), the mass function resembles a power law, while it drops sharply only near the low-mass end where incompleteness effects are manifested. We therefore assume that the underlying mass function is a power law all the way down to \( M_{\text{min}} \) and determine this power law by a fit that uses the bins and errors determined above but excludes the first two bins near the minimum mass cutoff. The completeness in the \( j \)-th bin, \( C_j \), is defined by the ratio of the raw count \( N_j \) in that bin and the count corresponding to the power-law fit. The completeness function estimated this way is shown in the inset of Figure 1.

[4] The binned data corrected for incompleteness, \( N_j/C_j \), are fitted by a (temporary) Schechter function, according to which the number density of halos in the mass range \( (M, M + dM) \) is given by

\[
\frac{dn}{dM} = \Phi(M) \, dM = \Phi_* \bar{M}^\alpha e^{-\bar{M}} \, d\bar{M} , \quad \bar{M} \equiv M/M_* ,
\]  

(3)

or

\[
\frac{dn}{d\log M} = \phi(M) \, d(\log M) = \phi_* \bar{M}^\alpha e^{-\bar{M}} \, d(\log M) ,
\]  

(4)
where $\hat{\alpha} = \alpha + 1$ and $\phi_* = \Phi_* \ln 10$. The fit parameters are $\hat{\alpha}$, $\phi_*$, and $M_*$, and the minimum-$\chi^2$ fit procedure also provides the error in each one. This completes the preliminary stage of the analysis. Next, we correct the Schechter function for biases via an iterative procedure.

[5] Given an assumed “true” mass function in the $n$-th iteration $\phi_n$ (derived as above for the first iteration), we produce 20 mock catalogs of halo masses drawn at random from this Schechter distribution. We perturb the masses by a random deviant that is drawn from a Gaussian distribution of errors appropriate for that mass range, which we have derived before the iterations from the actual error distribution within the corresponding mass bin. We then bin each perturbed mock dataset and fit it by a Schechter function. A “biased” Schechter function $\phi_b$ is obtained by averaging the Schechter parameters over the 20 noisy mock catalogs (and the errors in the Schechter parameters are determined by the corresponding standard deviations over the mock catalogs). Thus, the Malmquist biases lead from a “true” $\phi_n$ to an “observed” $\phi_b$.

[6] To check how close we are to the desired solution, we pursue a comparison of the current model and data in the “observational” plane. For this, we multiply the biased Schechter counts by the completeness factors $C_j$, and obtain biased and incomplete model counts in bins, $\tilde{N_j}$. The measure used to evaluate convergence to the raw data is the weighted sum of residuals: $S = \sum_j (\tilde{N_j} - N_j)^2 / (\Delta N_j)^2$. Once we succeed in bringing $S$ to about unity, $\phi_n$ can be considered to be a good estimate of the unbiased mass function.

[7] If $S$ is smaller than in the previous iteration, then we correct our previous guess for the bias by:

$$\phi_{n+1} = (\phi_n/\phi_b) \phi_n ,$$ (5)

and go back to step [5] for the next iteration.

[8] When $S$ stops decreasing, we stop the iterations and adopt the current $\phi_n$ as our unbiased mass function. If $S$ is of order unity, this concludes our procedure.

We comment that the completeness function as estimated in step [3] is in very good agreement with the completeness function as estimated independently by Bullock (1999), based on a comparison of our halo population with the halos found by a straightforward halo-finding technique that does not model the halo profiles. This agreement provides strong evidence that the estimate of the completeness function is correct.

The use of the Schechter function for the mass function is motivated by the following two arguments. First, luminosity functions are known to be well-fit by the Schechter function, so if the mass-luminosity relation is roughly a power law, then the mass function is expected to be of a similar general form, with a power-law regime and a sharp drop at the large-mass end. Second, a similar function is predicted by the PS approximation when the standard deviation of the density field $\sigma(M)$ is assumed to be roughly a power law. We will see that our data is capable of determining only the two parameters of the power-law part, while $M_*$ is only weakly constrained, and we don’t even try to fine-tune the fit with any additional parameters.

Figure 1 shows the final, unbiased Schechter function (solid curve). Also shown are the
The mass function for distinct halos at \( z = 0 \). The raw counts \( N_j \) and their errors are shown by open circles with error bars. The unbiased Schechter function is marked by the solid curve, and the associated biased and incomplete counts \( \tilde{N}_j \) are shown as open pentagons; their proximity to the raw counts is a measure of our success in recovering an unbiased mass function. Also shown are the predictions of the Press-Schechter (dotted) and Sheth-Tormen (dashed) approximations.

Inset: The completeness function at the low-mass end.

corresponding biased and incomplete counts, \( \tilde{N}_j \) (open pentagons). The good agreement between these counts and the raw counts \( N_j \) is an indication for the success of our procedure in obtaining an unbiased result. The final value of \( S \) in this case is 1.25, which is indeed on the order of unity. The PS approximation overestimates the simulated mass function by a factor of \( \sim 2 \) for \( M < 5 \times 10^{13} h^{-1} M_\odot \), then seems to cross over and underestimate the mass function for \( M > 10^{14} h^{-1} M_\odot \). The ST approximation agrees with the simulated mass function to within 10% for \( M < 5 \times 10^{13} h^{-1} M_\odot \), and seems to underestimate the simulated mass function for \( M > 10^{14} h^{-1} M_\odot \). The simulated mass function at \( M > 10^{14} h^{-1} M_\odot \) carries a large error due to the small number of halos in this mass range, and therefore the apparent discrepancy of the approximations in this range is of low statistical significance.

Figure 2 compares the mass function for distinct halos and subhalos at \( z = 0.03 \) (which is the same as \( z = 0 \) for all practical purposes). The raw HFC data are also shown, with the apparent completeness turn-off at the two lowest mass bins. The PS and ST approximations should be compared to the distinct halos’ mass function. The success of the approximations is very similar to the \( z = 0 \) case.

The exponential turnoff set by \( M_* \) is not constrained very well. In fact, the error in \( M_* \) is typically larger than \( M_* \) itself. This is clearly demonstrated in the subhalo mass function.
Fig. 2.— Mass function for distinct halos (top) and for subhalos (bottom) at $z = 0.03$. Raw counts are marked by symbols with error bars. The curves are the unbiased Schechter-function fits. The Press-Schechter (dotted) and Sheth-Tormen (dashed) predictions for distinct halos are shown.

of Figure 2, where the last bin actually shows an apparent increase rather than a drop. The biased model counts are not shown in this figure; their consistency with the raw counts is similar to what we had in Figure 1, with a similar value of $S$.

2.2. Derivation of the Velocity Function

The HFC also provides for each halo an NFW maximum circular velocity, $V_{\text{max}}$, and one could straightforwardly compute a raw velocity function. However, it would be impossible to correct this velocity function for biases following a similar procedure to the one applied to the mass function, because the incompleteness of the HFC depends on mass, and there is no one to one correspondence between velocity and mass. We therefore adopt a different approach, where the biases are corrected at the mass-function level, and the velocity function is derived from the corrected mass function.

The conditional distribution of $V_{\text{max}}$ given $M$ is not approximated by a simple function and it strongly depends of redshift, so we choose to go from mass to velocity via the NFW “concentration” parameter $c \equiv R_{\text{vir}}/R_s$. We draw halo masses at random from the corrected Schechter mass distribution, and assign to each of them a value of $c$ drawn from
the conditional lognormal distribution

\[ P(c|M) = \frac{1}{\sqrt{2\pi \Delta}} \exp \left[ -\frac{(\log c - \langle \log c | M \rangle)^2}{2\Delta^2} \right], \tag{6} \]

where \( \Delta \) is the conditional standard deviation of \( \log c \) at a given \( M \). The values of \( \Delta \) and \( \langle \log c | M \rangle \) are adopted from Bullock et al. (2000a); they are different at different redshifts as well as for distinct halos and subhalos. The lognormal distribution assumed in (6) will be justified a posteriori. Given \( M \) and \( c \), the NFW profile is uniquely determined, and in particular

\[ V_{\text{max}} = 0.465 V_{\text{vir}}(M) \left[ c^{-1} \ln(1 + c) - (1 + c)^{-1} \right]^{-1/2}. \tag{7} \]

We then count the halos in bins of constant \( \log V_{\text{max}} \) width, and fit a power law.

One main uncertainty in comparing the raw halo velocity function to that of galaxies is the response of the halos to the dissipative contraction of gas inside them. The typical effect is of an increase in halo maximum circular velocity, both due to the direct gravitational force exerted by the disk and the contraction of the halo in response to the additional inwards pull by the formed disk. We estimate the change in the relation between \( M \) and \( V_{\text{max}} \) using the fitting formula of Mo, Mao, & White (1997, hereafter MMW), under the assumptions that (6) is valid, all halos have a spin parameter \( \lambda = 0.05 \), the specific angular momentum of the disk is equal to that of the dark matter, and the halo mass fraction which ends up in the disk is \( m_d = 0.04 \). The counts of the corrected \( V_{\text{max}} \) values are also well fit by a power law.

Figure 3 shows the velocity functions for distinct halos and for subhalos at \( z=0 \). Shown are the incomplete, raw counts as well as the corrected counts as derived from the unbiased Schechter mass function. The associated power-law fits are shown both before and after the correction for baryonic infall. Note how well this procedure corrects for the incompleteness at the low \( V_{\text{max}} \) end. This result is still based on the same completeness function derived in the previous section as a function of mass, and it thus confirms its validity as well as the validity of (6).

The velocity functions are clearly well fit by power laws across the whole available velocity range. We note, however, that a more realistic, semi-analytic treatment of disk masses \( m_d \) may modify the power-law shape at the low and high velocity ends (Gonzalez et al. 2000).

Also shown in Figure 3 is a simplistic, PS-based theoretical prediction for the velocity function, not corrected for baryonic infall. It refers to distinct halos, assuming that the mass function is given by the PS prediction, and that the mass and velocity are related via the same concentration parameter for all halos, determined by the average \( \langle \log c | M \rangle \). This approximation fails to reproduce the velocity function of the halos in our simulation by a factor of \( \sim 2 \) in amplitude and by a wrong slope.
2.3. Identification of “Galactic” Halos

In order to directly compare our simulation results with data based on observed galaxy rotation curves, we should identify a fraction of our HFC halos as “galactic” halos. We adopt the simplified assumption that every halo with mass $M < 10^{13} h^{-1} M_\odot$ hosts a galaxy. The mass cutoff is placed to exclude groups and clusters from the galaxy count. Figure 4 shows the two-point correlation function of these “galactic” halos; it is indeed in good agreement with the correlation function measured for galaxies in the APM survey (Baugh & Efstathiou 1993) for $V_{\text{max}} > 150$ km s$^{-1}$.

Further division into “galaxies” in groups and clusters versus isolated, field “galaxies” may yield interesting theoretical predictions that can be directly confronted with observations. We identify isolated galaxies with the distinct “galactic” halos that do not host subhalos, and grouped galaxies with the “galactic” halos that do contain subhalos or that are subhalos themselves. Observationally, the grouped galaxies are 40 to 60% of the total galaxy population (e.g., Ramella, Pisani & Geller 1997; Zabludoff & Mulchaey 1998). If we define a halo as a subhalo only if its center lies within the $R_{\text{vir}}$ of a larger halo (as done originally by Kolatt et al. 2000), then the above classification scheme yields only $\sim 15\%$ in

![Fig. 3.— Velocity function for distinct halos (circles, thick lines) and subhalos (triangles, thin lines) at $z=0$. Open symbols refer to the raw, incomplete counts, while filled symbols with error bars refer to the corrected counts derived from the mass function. The solid lines are the power-law fits to the corrected counts, while the dot-dashed lines are the fits to the counts corrected for baryonic infall. The dotted line is a prediction based on the Press-Schechter formalism, not corrected for baryonic infall.](image)
grouped “galaxies” at $z = 0$. When we relax the proximity requirement of subhalos and hosts to $3R_{\text{vir}}$, we obtain in the simulations a more reasonable fraction of $\sim 40\%$ in grouped “galaxies”. Indeed, the observer classification tends to include loose groups whose DM halos may not overlap.

For the two populations of “galaxies” we assign velocities according to the mass – concentration relation of distinct halos. This may introduce a small error in the case of grouped “galaxies”, which include some subhalos.

3. Results

3.1. Distinct Halos and Subhalos at $z=0$

Figure 2 shows the difference between the mass functions of distinct halos and subhalos at the present epoch.\footnote{We actually use $z=0.03$ rather that $z=0$ in order to compare neighboring redshift outputs (see §2.1) and to avoid redundancy with Figure 1.} Apart from the difference in normalization, the two populations seem to follow a similar power-law distribution, with a slope of $\alpha = -0.83 \pm 0.03$ for the distinct halos and $\alpha = -0.82 \pm 0.13$ for the subhalos. As mentioned above, the turnoff scale $M_*$
is not well constrained, with a large formal error that is mainly due to the large Poisson errors in the high-mass bins. The relative errors in the fit parameters grow only slightly with increasing $z$. For example, the relative error in the slope increases by $\sim 20\%$ between $z=0$ and $z=3$.

We fit the velocity function with a power law:

$$dn = \Psi(V_{\text{max}})dV_{\text{max}} = \Psi_* V_{\text{max}}^{\tilde{\beta}} dV_{\text{max}}, \quad (8)$$

or

$$dn = \psi(V_{\text{max}})d(\log V_{\text{max}}) = \psi_* V_{\text{max}}^{\tilde{\beta}} d(\log V_{\text{max}}), \quad (9)$$

with $\tilde{\beta} = \beta + 1$ and $\psi_* = \Psi_* \ln 10$. We do not attempt to fit a Schechter function because there is not even a hint for a break at large velocities; the weak signature of an exponential break seen in the mass function is completely erased in the velocity function by the scatter in the concentration parameter (eq. [6]).

Figure 3 shows that the main qualitative results of the mass function carry over to the velocity function, namely, an order-of-magnitude difference in the normalization but very similar slopes for distinct halos ($\tilde{\beta} = -2.82 \pm 0.03$) and subhalos ($\tilde{\beta} = -3.04 \pm 0.10$). This is despite the fact that the corresponding distributions of concentration parameter $[P(c|M)]$ are different. The fits are quite robust, with the relative errors in the slope and the normalization (defined at $V_{\text{max}} = 300 \text{ km s}^{-1}$) limited to a few percent, at all redshifts in the range 0-3.

The effect of applying the simple MMW baryonic infall prescription with $m_d = 0.04$ is a logarithmic shift of the halo population to larger velocities (as indicated by the arrows). The corresponding increase in normalization is a factor of $\sim 2$, both for distinct halos and subhalos. The change in slope, to $\tilde{\beta} = -2.79 \pm 0.04$ for distinct halos and $\tilde{\beta} = -2.92 \pm 0.09$ for subhalos, is statistically insignificant. This was not expected \textit{a priori} because the baryonic-infall correction depends on halo concentration $c$ (halos of higher $c$ are assumed to accrete more baryonic mass) and it is therefore expected to vary as a function of mass and velocity. Furthermore, the correction is virtually the same for distinct halos and subhalos, despite the fact that the relation between mass and concentration is somewhat different for these two populations (Bullock \textit{et al.} 2000a). A more sophisticated treatment of infall, which would take into account effects such as the lower fraction of baryons involved in forming the disk inside low-$V_{\text{max}}$ halos, may yield a change in shape (e.g., a slight turn-off at low velocities, see Gonzalez \textit{et al.} 2000).

### 3.2. Redshift Evolution of Distinct Halos and Subhalos

The mass functions for distinct halos at three different redshifts in the range 0 to 4 are shown in Figure 5. Shown for comparison are the predictions of the PS and ST models. At $z=0$, we recover the already known result (e.g., Gross 1997, Somerville \textit{et al.} 2000) that at low masses the PS approximation overestimates the mass function by a factor of $\sim 2$, while at high masses it is an underestimate. The ST approximation fares better in general, and
Fig. 5.— The fitted mass function for distinct halos in the simulations (solid) at three different redshifts (z=0, 2.3, 4, from top to bottom). The fits are truncated to span a mass range defined by bins for which $N_j/\Delta N_j > 2$. The approximations of PS (dotted) and ST (dashed) are shown for comparison.

especially at low masses; it is accurate to < 10% up to $M \approx 5 \times 10^{13} h^{-1} M_{\odot}$ at $z = 0$, and $M \approx 2 \times 10^{12} h^{-1} M_{\odot}$ at $z = 4$. The crossover mass, where PS agrees with the simulated mass function, shifts from $\sim 10^{14} h^{-1} M_{\odot}$ at $z=0$ to $\sim 10^{12} h^{-1} M_{\odot}$ at $z=4$. By comparison, the typical collapsing mass $M_*$, defined by $\sigma[M_*(z)] = 1.69$ (where $\sigma[M]$ is the linear $\text{rms}$ density fluctuation on a scale corresponding to mass $M$), is equal to $\sim 10^{13} h^{-1} M_{\odot}$ at $z=0$ and to $\sim 10^9 h^{-1} M_{\odot}$ at $z=4$.

The redshift evolution of the mass functions for both distinct halos and subhalos are displayed in Figure 6. We show the evolution of the Schechter fit parameters $\tilde{\alpha}$ and $\phi(M)$ at $M = 10^{12} h^{-1} M_{\odot}$. We present the normalization by the latter rather than by $\phi_*$ because the large scatter in $M_*$ induces a non-negligible scatter in $\phi_*$ ($M_*$ is determined by the value of $\phi$ at $M_*$), and because at this relatively low mass we have good statistics throughout the studied redshift range. The values of $M_*$ and $\phi_*$ are given in Table 1.

The top panels of Figure 6 show the evolution in the slope $\tilde{\alpha}$ of the mass function of distinct halos and subhalos. A marked difference is seen between the two kinds of halos. While the distinct-halo mass function steepens with redshift from $\tilde{\alpha} = -0.85 \pm 0.04$ at $z=0$ to $\tilde{\alpha} = -1.3 \pm 0.1$ at $z = 5$, the subhalo slope has a broad minimum of $\tilde{\alpha} = -0.9 \pm 0.15$ at $z \sim 1$ and it then rises to $\tilde{\alpha} = -0.5 \pm 0.15$ at $z=5$. A useful functional fit that traces this evolution is provided by the quadratic polynomial

$$\tilde{\alpha}(z) = a + b \tilde{z} + c \tilde{z}^2, \quad \tilde{z} \equiv \log(1+z).$$

(10)

these fits are shown in the figure, and the corresponding parameters $a$, $b$, and $c$ are listed in each panel.
Fig. 6.— Redshift evolution of the mass functions of distinct halos and subhalos. The top panels show the evolution in the slope of the mass function (left – distinct halos, circles; right – subhalos, triangles), and the bottom panels show the overall normalization at $M = 10^{12} h^{-1} M_{\odot}$. Functional fits to the redshift evolution are shown as solid lines, where $a$, $b$, and $c$ are the fit parameters (see text). Also shown are the Press–Schechter (dotted), Sheth–Tormen (dashed) and Lee–Shandarin (long-dashed) predictions (relevant only for distinct halos).

The evolution of the normalization of $\phi$ at $10^{12} h^{-1} \text{Mpc}$ is shown in the bottom panels of Figure 6. Despite the big difference in amplitude, the trends with redshift are similar for distinct halos and subhalos, both remaining roughly constant between $z = 0$ and $\sim 1$, and then gradually declining, by roughly an order of magnitude at $z=5$. Indeed, the functional fits to the two kinds of halos show a difference of $\sim 1$ in the offset $a$ and only 15% in the curvature $c$. The error in the normalization at $10^{12} h^{-1} \text{Mpc}$ is much smaller than the error in $\phi$. The error is the sum in quadrature of the random error associated with the count in the bin containing $M = 10^{12} h^{-1} \text{Mpc}$ and the systematic error estimated by the change in the value of the fitted function across the bin.

For distinct halos we show in comparison the evolution of the PS model predictions, fitted at each redshift by a Schechter mass function in the range $10^{11} h^{-1} M_{\odot} < M < 10^{15} h^{-1} M_{\odot}$. The PS approximation overestimates the normalization at $10^{12} h^{-1} M_{\odot}$ for $z < 2$ by a factor of $\approx 1.7$, while at $z=5$ it is an underestimate by a factor of $\approx 2$. The slope $\alpha$ is well approximated up to $z \sim 2.5$, but is underestimated at higher $z$ by as much as unity. The ST and LS approximations fare better than PS. They are quite similar, predicting accurately the slope up to $z \approx 3$. At $3 < z < 5$ both approximations predict a slope steeper by $\sim 20\%$ than what we find in the simulations. The ST and LS models also improve on PS by...
Fig. 7.— The conditional mass function: conditional probability density $P(M_{\text{sub}}|M_{\text{host}})$ at subhalo mass of $M_{\text{sub}} = 10^{12}h^{-1}M_\odot$. The host masses are divided into three mass bins: $M_{\text{host}} < 10^{13}h^{-1}M_\odot$ (small triangles) $10^{13}h^{-1}M_\odot < M_{\text{host}} < 10^{14}h^{-1}M_\odot$ (medium triangles), and $M_{\text{host}} > 10^{14}h^{-1}M_\odot$ (big triangles). The solid lines are linear fits to the data.

predicting the normalization (at $10^{12}h^{-1}M_\odot$) more accurately, to within 25% in the range $0 < z < 3$. The discrepancies in the predicted slope (at high $z$) and normalization (at low $z$) are small relative to the PS model, but are, nevertheless, statistically significant.

We are also able to investigate the properties of a conditional mass function, defined as the probability density $P(M_{\text{sub}}|M_{\text{host}})$ of finding a subhalo of mass $M_{\text{sub}}$ inside a host halo of mass $M_{\text{host}}$. The conditional mass function was derived along the lines of the prescription described in §2.1. The conditional mass functions were fit to a Schechter function at each $z$ output, and the fit parameters are given in Table 2. We have divided the host masses into three mass ranges bordered by $10^{13}$ and $10^{14}h^{-1}M_\odot$, and compared the conditional mass functions in these three ranges. The slopes of these functions are found to fluctuate in the range $-0.9 < \tilde{\alpha} < -0.6$, with no obvious correlation with the host mass. There is also no evidence for redshift evolution of the slopes (Table 2, second column), but note the large Poisson errors.

Figure 7 shows the conditional probability density $P(M_{\text{sub}}|M_{\text{host}})$ at $M_{\text{sub}} = 10^{12}h^{-1}M_\odot$, for the three ranges of $M_{\text{host}}$, as a function of redshift. The normalization here is by the

---

Not to be confused with the temporal conditional mass function, as in the extended PS formalism, which is the probability of a progenitor of mass $M_1$ at $z_1$ given a halo of mass $M_2$ at $z_2$ (e.g., Lacey & Cole 1993).
Fig. 8.— The redshift evolution of the velocity function for distinct halos (left panels) and subhalos (right panels): The top two panels show the evolution in the slope of the velocity function, and the bottom panels show the overall normalization at $V_{\text{max}} = 300 \text{ km s}^{-1}$. Functional fits to the redshift evolution are shown as solid lines, and $a$, $b$, and $c$ are the fit parameters (see text).

number of host halos (rather than by volume), so that an integration over all subhalo masses per given host-halo mass yields a probability of unity. The linear fits to the data exhibit very little evolution, in concordance with the results for the whole subhalo population. We see no evidence for $M_{\text{host}}$ dependence in the evolution of the subhalo mass function. This could be useful for constructing synthetic subhalo populations for semi-analytical simulations. Since host mass correlates strongly with the subhalo environment density (e.g., top-hat smoothed at $1.5h^{-1}\text{Mpc}$, Bullock et al. 2000a), Figure 7 also reflects the environment dependence of the subhalo mass function.

Figure 8 shows the evolution of the parameters characterizing the velocity functions for distinct halos and subhalos. Here, as for the mass function, the normalization is characterized by the value of the velocity function at a fixed velocity, of $300 \text{ km s}^{-1}$, rather than by $\psi_*$. The fit parameters $\tilde{\beta}$ and $\psi_*$ of equation (9) are listed in Table 3. We also provide fits to the evolution by the same quadratic polynomial as for the mass functions, equation (10). The errors are estimated as for the mass function; they are larger for subhalos because there are fewer of them.

The evolution of the slopes of the velocity functions is qualitatively similar to that of the mass function. This is not surprising since the $M - V_{\text{max}}$ relation at all redshifts is close to a power law, $M \propto V_{\text{max}}^{s}$, implying $\tilde{\beta}(z) \simeq s(z)\tilde{\alpha}(z)$. For distinct halos, $s = 3.4$ at low $z$. 

---

**Table 3:**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$a$</td>
<td>-2.88</td>
</tr>
<tr>
<td>$b$</td>
<td>-2.04</td>
</tr>
<tr>
<td>$c$</td>
<td>1.36</td>
</tr>
<tr>
<td>$a$</td>
<td>-3.31</td>
</tr>
<tr>
<td>$b$</td>
<td>-3.54</td>
</tr>
<tr>
<td>$c$</td>
<td>0.22</td>
</tr>
<tr>
<td>$a$</td>
<td>-2.92</td>
</tr>
<tr>
<td>$b$</td>
<td>-0.14</td>
</tr>
<tr>
<td>$c$</td>
<td>0.07</td>
</tr>
</tbody>
</table>
and it approaches $s = 3$ at high $z$ as typical concentration values fall. For subhalos, $s = 3.9$ at $z = 0$ and it again approaches $s = 3$ at high $z$ (Bullock et al. 2000a).

On the other hand, the normalizations of the velocity functions remain roughly constant with redshift, unlike the decrease shown by the mass functions between $z = 1$ and 5. This interesting behavior is a combination of two effects. First, ignoring the redshift dependence of $\Delta_{\text{vir}}$, the typical halo density increases with redshift roughly as $(1 + z)^3$, implying that halos of a given velocity correspond to objects of smaller masses at high $z$: $M(V_{\text{max}} = \text{const}) \propto (1 + z)^{-3/2}$. The increasing halo density with redshift is counteracted somewhat by the tendency of high-$z$ halos to be less concentrated (Bullock et al. 2000a). The net result is a very weak evolution in the comoving number density of halos of a given, high velocity. Since the slope of the velocity function becomes steeper at high $z$, the fact that the normalization at a fixed $V_{\text{max}} = 300 \text{ km s}^{-1}$ is roughly independent of redshift implies that low velocity ($V_{\text{max}} \lesssim 200 \text{ km s}^{-1}$) halos, and the galaxies that reside within them, are predicted to be more abundant at high redshift.

### 3.3. Isolated versus Grouped Galaxies

In this subsection we aim at a prediction that can be directly tested observationally. As described in §2.3, we crudely assume that each halo of mass smaller than $10^{13} h^{-1} M_{\odot}$ has a luminous galaxy in it; this includes both distinct halos and subhalos. We then divide the

![Fig. 9.— The velocity function for isolated galaxies (squares, short-dashed fits) and grouped galaxies (stars, long-dashed fits) at $z = 0$ and 1.35. Also shown for reference are the velocity functions of distinct halos and subhalos (solid and dotted lines).](image-url)
galaxy population into two classes, of isolated galaxies and grouped galaxies, containing at 
z = 0 about 60% and 40% of the galaxy population respectively.

Figure 9 compares the velocity functions of these two classes, at z = 0 and at z = 1.35. The two functions display significantly different slopes, with $\beta = -3.04 \pm 0.10$ and $-2.11 \pm 0.03$ for isolated and grouped galaxies at z = 0, and with $\beta = -3.44 \pm 0.08$ and $-2.43 \pm 0.05$ at z = 1.35. The ratio between the two slopes at these two redshifts is virtually the same, $\approx 0.7$. At z=3 (not shown), the difference between the slopes of the velocity functions is even larger: $\tilde{\beta} = -3.96 \pm 0.05$ and $-1.85 \pm 0.05$ respectively, a ratio $\approx 0.45$. It is worth mentioning that the fraction of grouped halos (according to the 3$R_{\text{vir}}$ criterion of §2.3) decreases from 40% at z = 0 and 1.35 to 30% at z = 3.

In general, the velocity functions are well fit by power laws. The exception is the velocity function of grouped galaxies at z=1.35, which drops more steeply at $V_{\text{max}} > 300$ km s$^{-1}$. This is an artifact of our imposed strict upper bound on galactic-halo masses at $10^{13} h^{-1} M_\odot$, which translates into a smoother drop in velocity due to the scatter in the mass-velocity relation (§2.2). The corresponding drop at z = 0 is pushed to higher velocities. In the real universe we may expect galactic halos more massive than $10^{13} h^{-1} M_\odot$, and therefore the drop may be unphysical and should be ignored in the power-law fit.

The predicted difference between the slopes of the velocity functions of isolated and grouped galactic halos reflects the “biasing” tendency for large halos to be more clustered; high-mass, high-velocity halos are more likely to have companions and to exist in groups than their low-mass, low-velocity counterparts. This relation carries over to luminous galaxies, if we assume that every halo that obeys our simple mass criterion hosts a galaxy. The predicted slope difference between groups and the field should be observable, both at low and high redshifts.

4. Discussion and Conclusions

We have studied the distributions of mass and maximum circular velocity of DM halos in a cosmological simulation of the ΛCDM model. The mass function is useful for semi-analytic modeling of galaxy formation, while the velocity function can be confronted with observations once a relation between halo velocity and disk rotation velocity is assumed. The high resolution allows us to address distinct halos as well as subhalos, at a range of redshifts, and to distinguish between field and grouped galactic halos.

We find that the Schechter function, and in particular its power-law behavior over a wide range below the characteristic mass $M_\ast$, is a good fit to the different halo distributions of mass and velocity, over the whole range studied in this simulation, and at all times monitored. This is once the incompleteness of the halo finder at small halos and the associated errors are properly accounted for. Each of these distribution functions is therefore characterized by a slope and an amplitude. The characteristic large scale where the function bends is not constrained properly because we do not sample enough large halos. At the low end,
we do not attempt to recover the velocity function below 100 km s$^{-1}$, and therefore cannot address possible deviations from a power-law at low velocities (Klypin et al. 1999b; Moore et al. 1999). At $z = 0$, for distinct halos, we find mass and velocity function slopes of $\alpha = -1.85 \pm 0.4$ and $\beta = -3.82 \pm 0.03$ in equation (3) and equation (8) respectively.

We measure the time evolution of the mass and velocity functions via the redshift dependence of the slope and amplitude parameters. The $z$ dependences are described for convenience by simple functional fits out to $z = 5$ [Figures 6 and 8], to be used in semi-analytic models of galaxy formation. The slope of the velocity function for distinct halos steepens with redshift like $\beta = -3.9 - 2.0\tilde{z} + 1.4\tilde{z}^2 \pm 0.2$, where $\tilde{z} \equiv \log(1 + z)$. A particular prediction that should be tested observationally is that the amplitude of the velocity function at fixed $V_{\text{max}} = 300$ km s$^{-1}$ hardly varies with redshift, for all types of halos. This implies that the number density of halos with $V_{\text{max}} \sim 200$ km s$^{-1}$ and below is actually predicted to increase slightly with increasing redshift, as opposed to the naive expectation based on the way the mass function evolves.

This prediction for the weak evolution of the velocity function can also be tested indirectly. For example, by combining this result with the observed evolution of the luminosity function of spiral galaxies, one can deduce the way the Tully-Fisher relation might evolve with redshift (cf. Gonzalez et al. 2000; Bullock et al. 2000b). To make this connection between the luminosity function and the velocity function using the Tully-Fisher relation, it will also be necessary to take into account the effects of baryonic infall. But this is important, since an inconsistency between this prediction and direct observations of Tully-Fisher evolution may question the validity of the ΛCDM model.

Most earlier cosmological simulations, and analytic approximations such as Press-Schechter, overlook substructure within halos, which is bound to play an important role in galaxy formation. Our simulations and halo finder enable a study of the hierarchical halo population within a cosmological volume. We find that the subhalo distributions can also be fitted by power laws, though the errors are larger because there is an order of magnitude fewer subhalos than distinct halos. At redshifts of order 2 and beyond, we find that the subhalo population evolves differently from the distinct halo population. While the slope of the distinct-halo functions becomes steeper with increasing redshift, the slope of the subhalo becomes flatter at higher redshifts. On the other hand, the amplitudes of the subhalo and distinct-halo functions decrease with increasing redshift in a similar way.

Several other simulations were used to study the evolution of substructure within individual clusters of galaxies (e.g., Ghigna et al. 1998, van den Bosch et al. 1999, Sensui et al. 2000, Okamoto & Habe 1999). The results of Okamoto & Habe (1999), in particular, are quite similar to what we find. They see very little evolution of the subhalo mass function in the range $0 < z < 2$, with a power-law slope $\tilde{\alpha} = \alpha + 1 = -0.6$. This similarity is despite the fact that they concentrate on a constrained realization of a 3-σ density peak within an $\Omega = 1$ SCDM model and their mass range is quite different.

For the purpose of semi-analytic modeling of galaxy formation, we also considered the substructure as a function of host-halo mass. We find that except for the natural “normal-
ization" trend (that more massive halos harbor a larger number of subhalos, see the study of the multiplicity function in Kolatt et al. 2000), there is only little evolution in the subhalo mass function for any given host-halo masses. These conditional mass functions can serve to improve the semi-analytical recipes for placing galaxies in simulated DM halos.

Our derived velocity function for distinct halos agrees with the preliminary independent analysis of the same simulation by Gottl¨ober et al. (1998). We improve on the preliminary analysis by correcting for small-mass incompleteness, placing meaningful error bars on the fit parameters, following the velocity function with higher time resolution and for a longer history (up to $z=5$), and, in particular, extending our investigation to subhalos, and studying environmental dependence. The derived velocity function for halos identified as galactic halos, which is similar to that of distinct halos, is also in good agreement with Klypin et al. (1999b), who identified galaxies in the same simulation, as well as in a simulation of higher resolution, complete down to $\approx 30$ km s$^{-1}$. They used a different halo finder and assigned circular velocities in a different way. They report a velocity-function slope which corresponds to $\beta = -2.75$ and $\log \psi_* \approx 4.84$, in good agreement with our values for distinct halos. This agreement is encouraging evidence for the robustness of our results.

One of our most interesting predictions to be tested observationally is that galactic halos in groups should have a significantly flatter velocity function than more isolated galactic halos. The implication is that galactic halos at the high velocity end should show a stronger tendency to reside in denser environments. A detailed comparison to observations must incorporate the relation between the halo velocity and that of the luminous galaxy, which we crudely assumed here to be identical. White, Tully & Davis (1988) and Mo & Lahav (1993) already found hints for a correlation between galaxy velocity and local galaxy density, which seem to be qualitatively consistent with our predicted trend. However, a proper quantitative comparison is yet to be done, treating effects of incompleteness as a function of velocity, and considering in particular the slope of the velocity function as a function of the environment. This comparison should be done with larger, more complete datasets, both at low and high redshifts.

High resolution N-body simulations accompanied by simple schemes for galaxy identification can thus provide a powerful tool for investigating galaxy formation, especially those aspects of the problem that are not yet properly addressed by semi-analytic modeling or by full hydro simulations. Future simulations of larger volumes, which will include more massive halos of cluster size, will permit better constraints on $M_*$. Better statistics will also mean a more accurate evaluation of the subhalo mass and velocity functions, especially the conditional mass function, which could give us a better handle on the relation between host halos and their internal substructure.

As a by product, our results can serve to evaluate analytic approximations of the mass function in the clustering process. At low redshift, we confirm earlier findings that the Press-Schechter approximation overestimates the true mass function by a factor of two at low masses, and underestimates it at high masses. This is in good agreement with Gross et al. (1998) and Gross (1997), and in qualitative agreement with Somerville et al. (2000)
and Lee & Shandarin (1999). This agreement between the simulations, using a variety of halo-finding algorithms, provides an additional confirmation for the robustness of our HFC in finding distinct halos. The latter differ somewhat in the value of the mass where the PS approximation coincides with the true mass function, probably due to the different cosmologies they investigated ($\Omega = 1$ SCDM and $\tau$CDM). We find that the approximations of PS as well as ST and LS overestimate the normalization of the mass function at $z < 3$ and underestimate the slope at $z > 3$, but the discrepancies between the simulation and the ST and LS predictions are much smaller than the deviations of the PS formalism. All three approximations predict a higher rate of evolution for the mass function than seen in the simulations.

Acknowledgments

The simulations were performed at NRL and NCSA. This work was supported by grants from the Israel Science Foundation at HU, the US-Israel Binational Science Foundation at HU and UCSC, and NASA and NSF at UCSC and NMSU. JSB was supported by NASA LST grant NAG5-3525 and NSF grant AST-9802568 at OSU. JRP gratefully acknowledges a Forchheimer Visiting Professorship at HU. Support to AVK was provided by NASA through Hubble Fellowship grant HF-01121.01-99A from the Space Telescope Science Institute, which is operated by the Association of Universities for Research in Astronomy, Inc., under NASA contract NAS5-26555.

REFERENCES

Bullock, J. S. 1999, Ph.D. dissertation, University of California, Santa Cruz

Gross, M. A. K. 1997, Ph.D. dissertation, University of California, Santa Cruz


Table 1: Parameter fits for the mass functions

<table>
<thead>
<tr>
<th>$z$</th>
<th>$\tilde{\alpha}$</th>
<th>$\log \phi^a$</th>
<th>$\log M^b_*$</th>
<th>$\tilde{\alpha}$</th>
<th>$\log \phi^a_*$</th>
<th>$\log M^b_*$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.00</td>
<td>$-0.85 \pm 0.04$</td>
<td>$-5.37 \pm 0.41$</td>
<td>$15.82 \pm 16.24$</td>
<td>$-0.72 \pm 0.10$</td>
<td>$-5.35 \pm 0.41$</td>
<td>$15.03 \pm 16.72$</td>
</tr>
<tr>
<td>0.25</td>
<td>$-0.86 \pm 0.04$</td>
<td>$-4.90 \pm 0.34$</td>
<td>$15.23 \pm 16.26$</td>
<td>$-0.80 \pm 0.10$</td>
<td>$-5.52 \pm 0.31$</td>
<td>$14.95 \pm 16.53$</td>
</tr>
<tr>
<td>0.70</td>
<td>$-0.93 \pm 0.06$</td>
<td>$-5.24 \pm 0.36$</td>
<td>$15.37 \pm 15.96$</td>
<td>$-0.89 \pm 0.16$</td>
<td>$-5.51 \pm 0.41$</td>
<td>$14.64 \pm 15.56$</td>
</tr>
<tr>
<td>1.35</td>
<td>$-1.00 \pm 0.05$</td>
<td>$-5.72 \pm 0.32$</td>
<td>$15.53 \pm 15.82$</td>
<td>$-0.92 \pm 0.15$</td>
<td>$-5.90 \pm 0.56$</td>
<td>$14.80 \pm 16.70$</td>
</tr>
<tr>
<td>1.99</td>
<td>$-1.06 \pm 0.05$</td>
<td>$-5.91 \pm 0.26$</td>
<td>$15.46 \pm 15.85$</td>
<td>$-0.85 \pm 0.11$</td>
<td>$-5.80 \pm 0.32$</td>
<td>$14.79 \pm 16.78$</td>
</tr>
<tr>
<td>2.95</td>
<td>$-1.16 \pm 0.06$</td>
<td>$-5.77 \pm 0.33$</td>
<td>$14.92 \pm 15.81$</td>
<td>$-0.94 \pm 0.18$</td>
<td>$-6.08 \pm 0.33$</td>
<td>$14.54 \pm 17.11$</td>
</tr>
<tr>
<td>4.00</td>
<td>$-1.32 \pm 0.09$</td>
<td>$-6.26 \pm 0.23$</td>
<td>$14.68 \pm 14.20$</td>
<td>$-0.65 \pm 0.08$</td>
<td>$-5.81 \pm 0.42$</td>
<td>$14.88 \pm 16.85$</td>
</tr>
<tr>
<td>4.92</td>
<td>$-1.29 \pm 0.09$</td>
<td>$-6.35 \pm 0.28$</td>
<td>$14.61 \pm 14.85$</td>
<td>$-0.46 \pm 0.15$</td>
<td>$-5.72 \pm 0.46$</td>
<td>$15.05 \pm 18.51$</td>
</tr>
</tbody>
</table>

$^a$ units of $[h^{-1}\text{Mpc}]^{-3}$

$^b$ units of $h^{-1}\text{M}_\odot$
Table 2: Conditional mass function fits

<table>
<thead>
<tr>
<th></th>
<th>$M_{\text{host}}^b &lt; 10^{13}$</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$z$</td>
<td>$\tilde{\alpha}$</td>
<td>$\log \phi^a_*$</td>
<td>$\log M^b_*$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.00</td>
<td>$-0.71 \pm 0.07$</td>
<td>$-5.52 \pm 0.37$</td>
<td>$14.92 \pm 16.08$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.08</td>
<td>$-0.80 \pm 0.09$</td>
<td>$-5.57 \pm 0.28$</td>
<td>$14.67 \pm 16.08$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.25</td>
<td>$-0.72 \pm 0.07$</td>
<td>$-5.52 \pm 0.39$</td>
<td>$14.88 \pm 16.35$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.41</td>
<td>$-1.06 \pm 0.12$</td>
<td>$-6.15 \pm 0.27$</td>
<td>$14.35 \pm 15.80$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.50</td>
<td>$-0.95 \pm 0.11$</td>
<td>$-6.07 \pm 0.35$</td>
<td>$14.63 \pm 16.07$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.70</td>
<td>$-0.87 \pm 0.09$</td>
<td>$-5.92 \pm 0.47$</td>
<td>$14.81 \pm 15.96$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.35</td>
<td>$-0.87 \pm 0.07$</td>
<td>$-5.92 \pm 0.26$</td>
<td>$14.82 \pm 15.97$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.99</td>
<td>$-0.66 \pm 0.09$</td>
<td>$-5.35 \pm 0.39$</td>
<td>$14.86 \pm 15.71$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.95</td>
<td>$-0.98 \pm 0.16$</td>
<td>$-6.03 \pm 0.51$</td>
<td>$14.36 \pm 17.39$</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>$10^{13} &lt; M_{\text{host}}^b &lt; 10^{14}$</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$z$</td>
<td>$\tilde{\alpha}$</td>
<td>$\log \phi^a_*$</td>
<td>$\log M^b_*$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.00</td>
<td>$-0.61 \pm 0.08$</td>
<td>$-5.45 \pm 0.21$</td>
<td>$14.99 \pm 16.70$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.08</td>
<td>$-0.68 \pm 0.09$</td>
<td>$-5.70 \pm 0.55$</td>
<td>$14.92 \pm 16.90$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.25</td>
<td>$-0.91 \pm 0.16$</td>
<td>$-5.90 \pm 0.52$</td>
<td>$14.50 \pm 16.58$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.41</td>
<td>$-0.69 \pm 0.08$</td>
<td>$-5.47 \pm 0.31$</td>
<td>$15.03 \pm 16.69$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.50</td>
<td>$-0.80 \pm 0.15$</td>
<td>$-6.03 \pm 0.48$</td>
<td>$14.76 \pm 16.69$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.70</td>
<td>$-0.58 \pm 0.07$</td>
<td>$-5.54 \pm 0.40$</td>
<td>$15.42 \pm 17.18$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.35</td>
<td>$-0.42 \pm 0.10$</td>
<td>$-6.00 \pm 0.55$</td>
<td>$16.58 \pm 17.32$</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>$M_{\text{host}}^b &gt; 10^{14}$</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$z$</td>
<td>$\tilde{\alpha}$</td>
<td>$\log \phi^a_*$</td>
<td>$\log M^b_*$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.00</td>
<td>$-0.65 \pm 0.11$</td>
<td>$-5.49 \pm 0.55$</td>
<td>$14.87 \pm 17.00$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.08</td>
<td>$-0.72 \pm 0.09$</td>
<td>$-5.80 \pm 0.53$</td>
<td>$14.96 \pm 16.57$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.25</td>
<td>$-0.64 \pm 0.12$</td>
<td>$-5.46 \pm 0.63$</td>
<td>$15.03 \pm 16.91$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.41</td>
<td>$-0.71 \pm 0.11$</td>
<td>$-5.94 \pm 0.52$</td>
<td>$14.90 \pm 17.13$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.50</td>
<td>$-0.59 \pm 0.17$</td>
<td>$-5.57 \pm 0.71$</td>
<td>$14.93 \pm 18.13$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0.70</td>
<td>$-0.70 \pm 0.10$</td>
<td>$-5.86 \pm 0.45$</td>
<td>$14.84 \pm 16.32$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.35</td>
<td>$-0.78 \pm 0.10$</td>
<td>$-5.96 \pm 0.34$</td>
<td>$14.74 \pm 16.38$</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

$^a$ units of $[h^{-1}\text{Mpc}]^{-3}$

$^b$ units of $h^{-1}M_\odot$
Table 3: Parameter fits for the velocity functions

<table>
<thead>
<tr>
<th>$z$</th>
<th>$\log \psi_a$</th>
<th>$\tilde{\beta}$</th>
<th>$\log \psi_a$</th>
<th>$\tilde{\beta}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.00</td>
<td>4.93 ± 0.06</td>
<td>-2.82 ± 0.03</td>
<td>4.22 ± 0.20</td>
<td>-3.04 ± 0.10</td>
</tr>
<tr>
<td>0.50</td>
<td>6.25 ± 0.08</td>
<td>-3.39 ± 0.04</td>
<td>6.96 ± 0.39</td>
<td>-4.16 ± 0.20</td>
</tr>
<tr>
<td>1.35</td>
<td>5.86 ± 0.09</td>
<td>-3.19 ± 0.04</td>
<td>4.40 ± 0.38</td>
<td>-3.00 ± 0.18</td>
</tr>
<tr>
<td>2.31</td>
<td>6.66 ± 0.11</td>
<td>-3.51 ± 0.05</td>
<td>4.03 ± 0.27</td>
<td>-2.84 ± 0.13</td>
</tr>
<tr>
<td>2.95</td>
<td>6.84 ± 0.07</td>
<td>-3.57 ± 0.03</td>
<td>3.02 ± 0.21</td>
<td>-2.66 ± 0.10</td>
</tr>
<tr>
<td>4.00</td>
<td>7.54 ± 0.10</td>
<td>-3.96 ± 0.05</td>
<td>0.76 ± 0.16</td>
<td>-1.62 ± 0.08</td>
</tr>
<tr>
<td>4.92</td>
<td>6.00 ± 0.26</td>
<td>-3.33 ± 0.12</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

$^a$ units of $[h^{-1}\text{Mpc}]^{-3}$