Finding the CP-Violating Higgs Bosons at $e^+e^-$ Colliders

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Abstract

We discuss a general two-Higgs-doublet model with CP violation in the Higgs sector. In general, the three neutral Higgs fields of the model all mix and the resulting physical Higgs bosons have no definite CP properties. We derive a new sum rule relating Yukawa and Higgs–$Z$ couplings which implies that a neutral Higgs boson cannot escape detection at an $e^+e^-$ collider if it is kinematically accessible in $Z$+Higgs, $b\bar{b}$+Higgs and $t\bar{t}$+Higgs production, irrespective of the mixing angles and the masses of the other neutral Higgs bosons. We also discuss modifications of the sum rules and their phenomenological consequences in the case when the two-doublet Higgs sector is extended by adding one or more singlets.
1 Introduction

Despite the spectacular successes of high-energy physics (e.g., precision tests of the Standard Model), the origins of mass and of CP violation still remain mysteries from both the experimental and the theoretical points of view. Models of mass generation by electroweak symmetry breaking driven by elementary scalar dynamics predict the existence of one or more physical Higgs bosons. The minimal model is a one-doublet Higgs sector as employed in the Standard Model (SM), which gives rise to fermion masses and to a single physical CP-even Higgs scalar boson, $h_{\text{SM}}$. But, a Higgs boson has yet to be observed. Regarding CP, there is only one solid experimental signal of CP violation, namely $K^0_L \rightarrow \pi^+\pi^-$ decay [1].

The classical method for incorporating CP violation into the SM is to make the Yukawa couplings of the Higgs boson to quarks explicitly complex, as built into the Kobayashi-Maskawa mixing matrix [2] proposed more than two decades ago. However, CP violation could equally well be partially or wholly due to other mechanisms. The possibility that CP violation derives largely from the Higgs sector itself is particularly appealing. Even the simple two-Higgs-doublet model (2HDM) extension of the one-doublet SM Higgs sector provides a much richer framework for describing CP violation; in the 2HDM, spontaneous and/or explicit CP violation is possible in the scalar sector [3].

The CP-conserving (CPC) version of the 2HDM has received considerable attention, especially in the context of the minimal supersymmetric model (MSSM) [4]. It predicts the existence of two neutral CP-even Higgs bosons ($h^0$ and $H^0$, with $m_{h^0} \leq m_{H^0}$), one neutral CP-odd Higgs ($A^0$) and a charged Higgs pair ($H^\pm$). However, in a general 2HDM with CP-violation (CPV) in the scalar sector, the three electrically neutral Higgs fields mix and the physical mass eigenstates, $h_i$ ($i = 1, 2, 3$), have undefined CP properties.

The absence of any $e^+e^- \rightarrow Zh_{\text{SM}}$ signal in LEP1 data (where the $Z$ is virtual) and LEP2 data (where the $Z$ is real) translates into a lower limit on $m_{h_{\text{SM}}}$ which has been increasing as higher energy data becomes available. The latest analysis of four LEP experiments at $\sqrt{s}$ up to 189 GeV implies $m_{h_{\text{SM}}}$ greater than 87.5 GeV (ALEPH), 94.1 GeV (DELPHI), 95.5 GeV (L3), 94.0 GeV (OPAL) [6]. The negative results of Higgs boson searches at LEP can be formulated as restrictions on the parameter space of the 2HDM and more general Higgs sector models. As has been shown in Ref. [7], the sum rules for the Higgs–$Z$ boson couplings derived in the CP-conserving 2HDM can be generalized to the CP-violating case to yield a sum rule [see Eq. (14)] that requires at least one of the $Zzh_i$, $ZZh_j$ and $Zh_ih_j$ ($any \ i \neq j, \ i, j = 1, 2, 3$) couplings to be substantial in size. Very roughly, this implies that if there are two light Higgs bosons with $m_{h_i} + m_{h_j}$, $m_{h_i} + m_Z$ and $m_{h_j} + m_Z$ all sufficiently below $\sqrt{s}$, then at least one will be observable. A recent

\footnote{However, with soft-supersymmetry CP-violating phases, the $h^0$, $H^0$ and $A^0$ will mix beyond the Born approximation [5].}
analysis of LEP data shows that the 95% confidence level exclusion region in the $(m_{h_i}, m_{h_j})$ plane that results from the general sum rule is quite significant [8].

It is also appropriate to consider the implications of the precision LEP and Tevatron electroweak data for the general 2HDM. In the context of the SM, $m_{h_{\text{SM}}} \leq 260 \text{ GeV}$ is required for $\Delta \chi^2 \leq (1.64)^2$ (corresponding to 95% CL for a one-sided distribution) [9]. In the 2HDM, any neutral Higgs boson with significant $ZZh_i$ coupling ($g_{WWh}/g_{ZZh}$ is the same as in the SM) contributes to $\Delta \rho$ an amount given by $\frac{g_{ZZh}}{g_{ZZh_{\text{SM}}}}^2$. In the absence of additional contributions to $\Delta \rho$, the SM limit roughly converts to the requirement that at least one of the neutral $h_i$ must have mass below 260 GeV and have substantial $ZZh_i$ coupling. However, if the Higgs bosons of the 2HDM are not all degenerate, there can be additional positive contributions to $\Delta \rho$ which compensate an enhanced negative contribution to $\Delta \rho$ (by virtue of larger $m_{h_i}$) from the diagrams involving the $ZZh$ and $WWh$ couplings. Very roughly [4], substantial extra contributions arise when there is a (neutral) $h_i$ with $|m_{h_i} - m_{H^{\pm}}|$ and $g_{h_i H^{\pm} W^-}$ both large or when there is a neutral $h_i - h_j$ pair with $|m_{h_i} - m_{h_j}|$ and $g_{h_i h_j Z}$ both large. In the MSSM, one is protected against such situations by the natural ‘decoupling’ limit of the model. In the general 2HDM, significant extra positive $\Delta \rho$ contributions are possible in a general scan over model parameters. Thus, constraints from the precision data are complicated and will not be directly implemented here.

In this paper, we consider the 2HDM in the context of higher energy $e^+e^-$ linear colliders ($\sqrt{s} \sim 350 - 1600 \text{ GeV}$). The general question we wish to address is whether we are guaranteed to see any neutral Higgs boson that is light. Two scenarios give cause for concern.

- First, the precision electroweak suggestion of a light $h_i$ with significant $ZZh_i$ coupling could prove correct, in which case the $h_i$ will be seen in $e^+e^- \rightarrow Z^* \rightarrow Zh_i$ Higgs-strahlung production. However, it could happen that there are actually two light Higgs bosons. We denote the second by $h_j$. There are then two possibilities allowed by the above-mentioned sum rule [Eq. (14)]. (a) If the $h_i$ observed in $Zh_i$ does not have full strength $ZZh_i$ coupling then either the $Zh_i h_j$ or $ZZh_j$ coupling (or both) must be substantial and $h_j$ will be observable in the $h_i h_j$ or $Zh_j$ final state (or both) provided $m_{h_i} + m_{h_j} < \sqrt{s} - \Delta$ and $m_{h_j} + m_Z < \sqrt{s} - \Delta'$, where $\Delta$ and $\Delta'$ generically represent the subtractions from the absolute kinematic limits due to backgrounds, efficiencies and finite luminosity. (b) If the $h_i$ has full strength $ZZh_i$ coupling, then the sum rule guarantees that the $Zh_i h_j$ and $Zh_j$ couplings vanish and, therefore, the $h_j$ will not be discovered via Higgs-strahlung $(Zh_j)$ or pair $(h_i h_j)$ production.

- A second, and even worse scenario, is the following. It could happen that there is only one light $h_i$ but model parameters conspire so that it has a $ZZh_i$
The primary result of the present paper is the derivation of new sum rules that relate the Yukawa and Higgs-Z couplings of the 2HDM [see Eq. (17)] in such a way as to guarantee that any $h_i$ that is sufficiently light ($m_{h_i} + 2m_t < \sqrt{s} - \Delta$) will be observable regardless of the mixing structure of the neutral Higgs boson sector and independent of the masses of the other Higgs bosons. Very roughly, this new sum rule implies that if the Higgs-strahlung cross section for $h_i$ is small because of small $ZZh_i$ coupling, then the cross section for either $b\bar{b}h_i$ or $t\bar{t}h_i$ (dominated by Higgs radiation from the final state fermions) will be large enough to be detected.

We shall also discuss the extension of these sum rules to the two-doublet plus one-singlet CP-violating model. We find that there is no guarantee that a single light Higgs boson will be observable. However, the extended sum rules do imply that if there are three light (as defined above) Higgs bosons, then at least one will be observable via production in association with $b\bar{b}$ or $t\bar{t}$.

Before proceeding, it should be emphasized that our results make no assumption as to the nature of the model at energies above the Higgs boson masses. As shown in Ref. [10], demanding perturbativity for all couplings up to a scale of order the Planck mass places strong constraints on the spectrum of those Higgs bosons that have substantial $ZZ$ coupling. These constraints are such that the next generation of $e^+e^-$ collider would be able to see $Zh$ production for at least one Higgs boson or collection of Higgs bosons. Our focus here is on results that apply purely as a result of the structure of the low-energy Higgs sector model.

The paper is organized as follows. In Section 2, we outline how CP violation arises in the 2HDM and give the general forms of the $ZZ$-Higgs, $Z$-Higgs-Higgs, and Higgs Yukawa couplings in terms of the matrix specifying the mixing of the neutral Higgs bosons. In Section 3, we present the crucial sum rules for these couplings. In Section 4, we specify the existing experimental constraints that we require be satisfied as we scan over Higgs masses and mixing parameters. Numerical results for $Zh_1h_2$, $b\bar{b}h_1$ and $t\bar{t}h_1$ cross sections resulting from the scan over 2HDM parameter space are presented and discussed in Section 5. In Section 6, we extend the sum rules to the case of the two-doublet plus one-singlet Higgs sector and outline implications. Concluding remarks are given in Section 7. The Appendix presents the detailed cross section formula for the $e^+e^- \rightarrow f \bar{f} h_i$ process allowing for Higgs boson mixing and CP violation.

## 2 The two-Higgs-doublet model with CP violation

The 2HDM of electroweak interactions contains two SU(2) Higgs doublets denoted by $\Phi_1 = (\phi_1^+, \phi_1^0)$ and $\Phi_2 = (\phi_2^+, \phi_2^0)$ and is defined by Yukawa couplings and the
Higgs potential. The most general renormalizable scalar potential for the model has the following form:

\[ V(\Phi_1, \Phi_2) = V_{\text{symm}}(\Phi_1, \Phi_2) + V_{\text{soft}}(\Phi_1, \Phi_2) + V_{\text{hard}}(\Phi_1, \Phi_2) \]  
(1)

\[ V_{\text{symm}}(\Phi_1, \Phi_2) = -\mu_1^2 \Phi_1^\dagger \Phi_1 - \mu_2^2 \Phi_2^\dagger \Phi_2 + \lambda_1 (\Phi_1^\dagger \Phi_1)^2 + \lambda_2 (\Phi_2^\dagger \Phi_2)^2 + \lambda_3 (\Phi_1^\dagger \Phi_1)(\Phi_2^\dagger \Phi_2) \]

\[ + \lambda_4 |\Phi_1^\dagger \Phi_2|^2 + \frac{1}{2} \left[ \lambda_5 (\Phi_1^\dagger \Phi_2)^2 + \text{h.c.} \right] \]

\[ V_{\text{soft}}(\Phi_1, \Phi_2) = -\mu_{12} \Phi_1^\dagger \Phi_2 + \text{h.c.} \]

\[ V_{\text{hard}}(\Phi_1, \Phi_2) = \frac{1}{2} \lambda_6 (\Phi_1^\dagger \Phi_1)(\Phi_2^\dagger \Phi_2) + \frac{1}{2} \lambda_7 (\Phi_1^\dagger \Phi_2)(\Phi_2^\dagger \Phi_2) + \text{h.c.} \]

If both of the two Higgs boson doublets couple to up- or to down-type quarks (or to both types), flavor changing neutral currents (FCNC) are generated at tree level. To avoid FCNC, it is customary to impose a discrete \( Z_2 \) symmetry under which \( \Phi_2 \rightarrow -\Phi_2 \), \( u_{iR} \rightarrow -u_{iR} \) and the other fields are unchanged. Then, \( \Phi_2 \) couples only to up-type quarks and \( \Phi_1 \) couples only to down-type quarks and leptons. The resulting invariant fermion-Higgs Yukawa interactions can be written in the form

\[ \mathcal{L}_Y = -(\bar{u}_i, \bar{d}_i) \Gamma_{ij} \Phi_2 u_{jR} - (\bar{u}_i, \bar{d}_i) \Gamma_{ij} \Phi_1 d_{jR} - (\bar{\nu}_i, \bar{e}_i) \Gamma_{ij} \Phi_1 e_{jR} + \text{h.c.}, \]  
(3)

where \( i, j \) are generation indices and \( \Phi_2 \) is defined as \( i\sigma_2 \Phi_2^* \). Only the first term \( V_{\text{symm}}(\Phi_1, \Phi_2) \) in Eq. (2) is symmetric under \( Z_2 \). However, if the \( Z_2 \) symmetry is broken only softly (that is by operators of dimension 3 and less) then renormalizability is preserved [12] and FCNC effects remain small. The unique soft-breaking term is that appearing in \( V_{\text{soft}}(\Phi_1, \Phi_2) \). The dimension 4 terms contained in \( V_{\text{hard}}(\Phi_1, \Phi_2) \) break the \( Z_2 \) symmetry in a hard way and therefore cannot be accepted.\(^{22}\)

The 2HDM Higgs sector can exhibit either explicit or spontaneous CP violation. CP violation is explicit if there is no choice of phases such that all the potential parameters are real. CP violation is said to be spontaneous if the potential minimum is such that one of the two vacuum expectation values is complex, even though all the potential parameters can be chosen to be real. If only \( V_{\text{symm}} \) is present then neither explicit nor spontaneous CP violation can be present in the Higgs sector [11]. In fact, when FCNC are suppressed by imposing \textit{exact} \( Z_2 \) symmetry, one must introduce a third Higgs doublet in order to allow for CP violation in the Higgs sector. However, both explicit and spontaneous CP violation in the 2HDM become possible even if the \( Z_2 \) symmetry is only broken softly. The CP violation

\(^{22}\)If \( V_{\text{hard}} \) is present, there is no argument for dropping the FCNC Yukawa terms which are also of dimension 4.
will be explicit in $V_{symm} + V_{soft}$ if $\text{Im}(\mu_{i2}^* \lambda_5) \neq 0$. When $\text{Im}(\mu_{i2}^* \lambda_5) = 0$, spontaneous CP violation can arise as follows. Without loss of generality, the phase of $\Phi_1$ can be chosen such that its vacuum expectation value is real and positive, $<\Phi_1> = v_1/\sqrt{2}$ (with $v_1 > 0$), and the phase of $\Phi_2$ such that the $\lambda_5$ coupling is real and positive. Then, the second Higgs doublet will have a complex vacuum expectation value, $<\Phi_2> = v_2 e^{i\theta}/\sqrt{2}$ ($v_2 > 0$ by convention),\footnote{In this normalization $v \equiv \sqrt{v_1^2 + v_2^2} = 2mw/g = 246 \text{ GeV}$.} provided

$$\left| \frac{\mu_{12}^2}{2\lambda_5 v_1 v_2} \right| < 1,$$

(4)

since, then, the minimum of the potential occurs for \[13\]

$$\cos \theta = \frac{\mu_{12}^2}{2\lambda_5 v_1 v_2}. \quad (5)$$

Therefore, the 2HDM with Higgs potential given by $V_{soft} + V_{symm}$ is a very attractive and simple model in which to explore the implications of CP violation in the Higgs sector.

After $SU(2) \times U(1)$ gauge symmetry breaking, one combination of neutral Higgs fields, $\sqrt{2}(c_\beta \text{Im} \phi_1^0 + s_\beta \text{Im} \phi_2^0)$, becomes a would-be Goldstone boson which is absorbed in giving mass to the $Z$ gauge boson. (Here, we use the notation $s_\beta \equiv \sin \beta$, $c_\beta \equiv \cos \beta$, where $\tan \beta = v_2/v_1$.) The same mixing angle, $\beta$, also diagonalizes the mass matrix in the charged Higgs sector. If either explicit or spontaneous CP violation is present, the remaining three neutral degrees of freedom, $(\varphi_1, \varphi_2, \varphi_3) \equiv \sqrt{2}(\text{Re} \phi_1^0, \text{Re} \phi_2^0, s_\beta \text{Im} \phi_1^0 - c_\beta \text{Im} \phi_2^0)$

(6)

are not mass eigenstates. The physical neutral Higgs bosons $h_i \ (i = 1, 2, 3)$ are obtained by an orthogonal transformation, $h = R \varphi$, where the rotation matrix is given in terms of three Euler angles $(\alpha_1, \alpha_2, \alpha_3)$ by

$$R = \begin{pmatrix} 
 c_1 & -s_1 & s_1s_2 \\
 s_1c_2 & c_1c_2c_3 - s_2s_3 & -c_1s_2c_3 - c_2s_3 \\
 s_1s_3 & c_1c_2s_3 + s_2c_3 & -c_1s_2s_3 + c_2c_3 
\end{pmatrix}, \quad (7)$$

where $s_i \equiv \sin \alpha_i$ and $c_i \equiv \cos \alpha_i$. Without loss of generality, we assume $m_{h_1} \leq m_{h_2} \leq m_{h_3}$.

As a result of the mixing between real and imaginary parts of neutral Higgs fields, the Yukawa interactions of the $h_i$ mass-eigenstates are not invariant under CP. They are given by:

$$\mathcal{L} = h_i \bar{f} (S_i^f + iP_i^f \gamma_5) f$$

(8)

where the scalar ($S_i^f$) and pseudoscalar ($P_i^f$) couplings are functions of the mixing angles. For up-type quarks we have

$$S_i^u = -\frac{m_u}{v s_\beta} R_{i2}, \quad P_i^u = -\frac{m_u}{v s_\beta} c_\beta R_{i3}, \quad (9)$$

$$\frac{\mu_{12}^2}{2\lambda_5 v_1 v_2} < 1,$$
and for down-type quarks one finds

\[ S^d_i = - \frac{m_d}{v c_{3\beta}} R_{i1}, \quad P^d_i = - \frac{m_d}{v c_{3\beta}} s_{3\beta} R_{i3}, \]

(10)

and similarly for charged leptons. For large \( \tan \beta \), the couplings to down-type fermions are typically enhanced over the couplings to up-type fermions.

In the following analysis we will also need the couplings of neutral Higgs and \( Z \) bosons; they are given by

\[ g_{ZZh_i} \equiv g m_Z \frac{C_i}{c_W} = \frac{g m_Z}{c_W} (s_{3\beta} R_{i2} + c_{3\beta} R_{i1}) \] (11)

\[ g_{Zh_i h_j} \equiv g c_W \frac{C_{ij}}{2 c_W} = \frac{g}{2 c_W} (w_i R_{j3} - w_j R_{i3}) \] (12)

\[ g_{ZZh_i h_j} \equiv g^2 c_W \frac{X_{ij}}{2 c_W} = \frac{g^2}{2 c_W} \sum_{k=1}^{3} R_{ik} R_{jk} \] (13)

where \( w_i = s_{3\beta} R_{i1} - c_{3\beta} R_{i2} \), \( c_W = \cos \theta_W \), \( g \) is the SU(2) gauge coupling constant and \( m_Z \) denotes the \( Z \)-boson mass. In the case of the 2HDM, \( X_{ij} = \delta_{ij} \) by virtue of the orthogonality of \( R \) and its \( 3 \times 3 \) dimensionality; in particular, the \( ZZh_i h_j \) coupling is not suppressed by mixing angles.

The CP-conserving limit can be obtained as a special case: \( \alpha_2 = \alpha_3 = 0 \). Then, if we take \( \alpha_1 = \pi/2 - \alpha \), \( \alpha \) is the conventional mixing angle that diagonalizes the mass-squared matrix for \( \sqrt{2} \text{Re} \phi_0^1 \) and \( \sqrt{2} \text{Re} \phi_0^2 \). The resulting mass eigenstates are \( h_1 = -h^0 \), \( h_2 = H^0 \) and \( \sqrt{2} (s_{3\beta} \text{Im} \phi_0^1 - c_{3\beta} \text{Im} \phi_0^2) = -A^0 \), where \( h^0 \), \( H^0 \) (\( A^0 \)) are the CP-even (CP-odd) Higgs bosons defined earlier for the CPC 2HDM.

## 3 Sum rules for the Higgs boson couplings

As discussed earlier, we wish to determine whether or not the additional freedom in Higgs boson couplings in the general CP-violating 2HDM (by tuning the mixing angles one can suppress certain couplings) is sufficient to jeopardize our ability to find light neutral Higgs bosons. We will show that the unitarity of \( R_{ij} \) implies a number of interesting sum rules for the Higgs couplings which prevent the hiding of any neutral Higgs boson that is sufficiently light to be kinematically accessible (a) in Higgs-strahlung and Higgs pair production, or (b) Higgs-strahlung and \( b\bar{b} \) + Higgs and \( t\bar{t} \) + Higgs.

a) Let us first recall the sum rule for Higgs–\( Z \) couplings that requires at least one of the \( ZZh_i \), \( ZZh_j \) and \( Zh_i h_j \) (\( \text{any } i \neq j, i, j = 1, 2, 3 \)) couplings to be substantial in size [7], namely

\[ C_i^2 + C_j^2 + C_{ij}^2 = 1 \] (14)
where $i \neq j$ are any two of the three possible indices. The power of Eq. (14) with $i, j = 1, 2$ for LEP physics derives from two facts: it involves only two of the neutral Higgs bosons; and the experimental upper limit on any one $C_i^2$ derived from $e^+e^- \rightarrow Zh_i$ data is very strong — $C_i^2 \lesssim 0.1$ for $m_{h_i} \lesssim 70$ GeV. Thus, if $h_1$ and $h_2$ are both below about 70 GeV in mass, then Eq. (14) requires that $C_{12}^2 \sim 1$, whereas for such masses the limits on $e^+e^- \rightarrow h_1h_2$ from LEP2 data require $C_{12}^2 \ll 1$. As a result, there cannot be two light Higgs bosons even in the general CP-violating case; the excluded region in the $(m_{h_1}, m_{h_2})$ plane that results from a recent analysis by the DELPHI Collaboration can be found in Ref. [8].

At a higher energy $e^+e^-$ collider, Eq. (14) will have many possible applications. If no Higgs boson is discovered in Higgs-strahlung or Higgs pair production, Eq. (14) will imply that at least one of $m_{h_i} + m_{h_j}$, $m_{h_i} + m_Z$ and $m_{h_j} + m_Z$ must be $> \sqrt{s} - \Delta$ for any choice of $i$ and $j$. However, as noted earlier, this does not preclude the possibility that there is a light $h_i$ with $m_{h_i} + m_Z < \sqrt{s} - \Delta$ but with small $ZZh_i$ coupling. More likely, the precision electroweak suggestion will turn out to be correct and at the $e^+e^-$ collider we will find at least one Higgs boson in $e^+e^- \rightarrow Zh_i$ production (note that $h_i$ need not be the lightest neutral Higgs boson) and measure its $C_i$ with good accuracy. If the observed $h_i$ has $C_i \sim 1$, then Eq. (14) implies that any other $h_j$ must have small $ZZh_j$ and $Zh_ih_j$ couplings and will not be observable in Higgs-strahlung or Higgs pair production (in association with the observed $h_i$). If the measured $C_i$ is substantially smaller than 1, then Eq. (14) implies that either $e^+e^- \rightarrow h_ih_j$ or $e^+e^- \rightarrow Zh_j$ would have a substantial rate for any sufficiently light $h_j$ ($j \neq i$). If a second $h_j$ has not been detected, we would then conclude that $m_{h_j} > \min[\sqrt{s} - m_{h_i} - \Delta, \sqrt{s} - m_Z - \Delta]$ for the other two $j \neq i$ neutral Higgs bosons.

b) If even one of the three processes, $Zh_1$, $Zh_2$ (Higgs-strahlung) and $h_1h_2$ (pair production), is beyond the collider’s kinematical reach, the sum rule in Eq. (14) is not sufficient to guarantee $h_1$ or $h_2$ discovery. For example, suppose that $h_1h_2$ production is not kinematically allowed. Eq. (14) can be satisfied by taking $C_{12} \sim 1$ and $C_{1,2} \sim 0$. For these choices, $Zh_1$ and $Zh_2$ production would be suppressed and unobservable (even if kinematically allowed) because of small $C_1$ and $C_2$, respectively. However, we find that the Yukawa and $ZZ$ couplings of any one Higgs boson also obey sum rules which require that at least one of these couplings has to be sizable; i.e. if $C_i \sim 0$ at least one $h_i$ Yukawa coupling must be large. Thus, if an $h_i$ is sufficiently light, its detection will be possible, irrespective of the neutral Higgs sector mixing.

To derive the relevant sum rules, it is convenient to introduce rescaled cou-

\footnote{Another interesting sum rule reads $C_{ij}^2 = C_k^2$ for $(i,j,k)$ being any permutation of (1,2,3).}
plings
\[ \hat{S}^f_i = \frac{S^f_i v}{m_f}, \quad \hat{P}^f_i = \frac{P^f_i v}{m_f}, \quad (15) \]

where \( f = t, b \). Using Eqs. (9) and (10), one finds:
\[ (\hat{S}^t_i)^2 + (\hat{P}^t_i)^2 = \left( \frac{\cos \beta}{\sin \beta} \right)^2 \left[ R^2_{13} + R^2_{22}/\cos^2 \beta \right] ; \quad (16) \]
\[ (\hat{S}^b_i)^2 + (\hat{P}^b_i)^2 = \left( \frac{\sin \beta}{\cos \beta} \right)^2 \left[ R^2_{13} + R^2_{11}/\sin^2 \beta \right] . \quad (16) \]

Using the unitarity of \( R_{ij} \), these can be written as:
\[ (\hat{S}^t_i)^2 + (\hat{P}^t_i)^2 = \left( \frac{\cos \beta}{\sin \beta} \right)^2 \left[ 1 + \frac{C_i}{\cos^2 \beta} (2 \hat{S}^b_i \cos^2 \beta + C_i) \right] ; \]
\[ (\hat{S}^b_i)^2 + (\hat{P}^b_i)^2 = \left( \frac{\sin \beta}{\cos \beta} \right)^2 \left[ 1 + \frac{C_i}{\sin^2 \beta} (2 \hat{S}^t_i \sin^2 \beta + C_i) \right] . \quad (17) \]

From Eq. (17), we see that if a light Higgs boson \( h_i \) has suppressed coupling to \( ZZ \), \( C_i \rightarrow 0 \), then \((\hat{S}^t_i)^2 + (\hat{P}^t_i)^2 \) for the top and bottom quark rescaled couplings behaves as \( \cot^2 \beta \) and \( \tan^2 \beta \), respectively. If \( C_i = \pm 1 \), i.e. full strength \( ZZ h_i \) coupling, one finds that \((\hat{S}^t_i)^2 + (\hat{P}^t_i)^2 \rightarrow 1 \), for both the top and the bottom quark couplings, in the limit of either very large or very small \( \tan \beta \). More generally, combining the two sum rules, as written in Eq. (16), and using unitarity again, we find
\[ \sin^2 \beta [(\hat{S}^t_i)^2 + (\hat{P}^t_i)^2] + \cos^2 \beta [(\hat{S}^b_i)^2 + (\hat{P}^b_i)^2] = 1 \quad (18) \]

independently of \( C_i \). Eq. (18) implies that the Yukawa couplings to top and bottom quarks cannot be simultaneously suppressed. As the earlier examples show, the relative weighting is a sensitive function of both \( \tan \beta \) and \( C_i \). In some sense, the most pessimistic case for measuring the Yukawa couplings is \(|C_i| = 1\) in that it forbids significant enhancement for either the top or the bottom Yukawa couplings — both are SM-like in the limit of large or small \( \tan \beta \). Still, Eq. (18) guarantees that, with sufficient integrated luminosity, determination of at least one of the two Yukawa couplings will be possible for any \( h_i \) kinematically accessible in \( t\bar{t} h_i \) (as well as \( b\bar{b} h_i \)) production.

The above makes it apparent that the complete Higgs hunting strategy at \( e^+e^- \) colliders, and at hadron colliders as well, should include not only the Higgs-strahlung process and Higgs pair production but also the Yukawa processes \(^{\#6}\) with

\(^{\#5}\) For obvious reasons we consider the third generation of quarks. Similar expressions hold for lighter generations.

\(^{\#6}\) The importance of the Yukawa processes in the context of a CP conserving 2HDM for large \( \tan \beta \) has been stressed in the past many times [14, 15].
Higgs radiation off top and bottom quarks. Details of this strategy at a future $e^+e^-$ collider are discussed in Section 5.

For definiteness, in what follows we will consider the high luminosity option that has been examined in the context of the TESLA collider design, for which one expects $L = 500 \text{ fb}^{-1} \text{yr}^{-1}$ at $\sqrt{s} = 500 \text{ GeV}$ [16]. Since in the numerical analysis we will include constraints on the model parameters that result from the current experimental limits, we first briefly discuss the experimental data that will be taken into account.

4 Experimental constraints

For given Higgs boson masses, we must consider all non-redundant values of the mixing angles $\alpha_i$. Existing data already exclude certain configurations of masses and angles, see e.g. [7, 8]. We will follow the method used in Ref. [7], with updated experimental input. The constraints that we impose on the mixing angles are as follows:

- The $C_i^2$ are restricted by non-observation of Higgs-strahlung events at LEP1 and LEP2. We take the limits presented in Fig. 16 of Ref. [17] for the case when no $b$-tagging has been used. By doing this, we avoid potential problems concerning the dependence of the Higgs-$b\bar{b}$ and Higgs-$\tau^+\tau^-$ branching ratios on the mixing angles.\footnote{Looking for radiation off the tau leptons in the case of large $\tan \beta$ may also help.}

- The contribution to the total $Z$-width from $Z \to Z^* h_i \to f \bar{f} h_i$ (summed over $i = 1, 2, 3$) and $Z \to h_i h_j$ (summed over $i, j = 1, 2, 3 : i > j$) is required to be below $7.1 \text{ MeV}$; see Ref. [18].

- For any given values of $(m_1, m_2)$ and the $\alpha_i$, we calculate the number of expected events in the processes $e^+e^- \to h_1 h_2 \to b\bar{b}b\bar{b} + b\bar{b}\tau^+\tau^-$ at the LEP2 energies $\sqrt{s} = 133, 161, 170, 172, 183 \text{ GeV}$ using the corresponding integrated luminosities $L = 5.2, 10.0, 1.0, 9.4, 54 \text{ pb}^{-1}$, assuming efficiency $\epsilon = .52$ in the individual $b\bar{b}b\bar{b}$ and $b\bar{b}\tau^+\tau^-$ channels. Our calculations take into account the mixing-angle dependence of the Higgs-boson branching ratios to $b\bar{b}$ or $\tau^+\tau^-$.\footnote{We thank F. Richard for discussions on this point.} If the probability of observing zero events (after summing the rates for all energies) is below 5%, the set of masses and mixing angles is assumed to be excluded.

\footnotetext[7]{Looking for radiation off the tau leptons in the case of large $\tan \beta$ may also help.}
\footnotetext[8]{We thank F. Richard for discussions on this point.}
\footnotetext[9]{In the previous analysis [7] the SM branching ratios for the Higgs boson decays were used. We find, however, that our final results for cross sections are nearly insensitive to this modification.}
5 Higgs boson production in $e^+e^-$ colliders

As we argued above, in $e^+e^-$ collisions production of light neutral Higgs boson(s) can proceed via three important mechanisms: (a) bremsstrahlung off the $Z$ boson, $e^+e^- \rightarrow Zh_1$, (b) Higgs pair production, $e^+e^- \rightarrow h_1h_2$, and (c) the Yukawa processes with Higgs radiation off a heavy fermion line in the final state, $e^+e^- \rightarrow f\bar{f}h_1$. The Yukawa processes are particularly important if (a) is dynamically suppressed by the mixing and (b) is kinematically forbidden.

In order to treat the three processes on the same footing, we will discuss the production of $h_1$ in association with heavy fermions:

$$ e^+e^- \rightarrow f\bar{f}h_1. $$

(19)

Feynman diagrams for processes (a) and (b) contribute to this final state when $Z \rightarrow f\bar{f}$ and $h_2 \rightarrow f\bar{f}$, respectively. If $|C_1|$ is not too near 1, Eqs. (9,10) imply that radiation diagrams (c) are enhanced when the Higgs boson is radiated off top quarks for small $\tan\beta$ and off bottom quarks or $\tau$ leptons for large values of $\tan\beta$.

Since all fermion and Higgs boson masses in the final state must be kept nonzero, the formulae for the cross section are quite involved. For the CPC case, they can be read off from Ref. [15]. In the CPV case, they are more complicated due to mixing of all neutral Higgs bosons. Therefore, for completeness, we will present the formula for the cross section. Let $Q_f$ denote the electric charge, $N_c$ the number of colors, $a_f$ and $v_f$ the axial and vector $Z$ charges of the fermion $f$ normalized as

$$ a_f = \frac{2I_f^L}{4s_Wc_W}, \quad v_f = \frac{2I_f^L - 4Q_fs_W^2}{4s_Wc_W}, $$

(20)

with $I_f^L = \pm 1/2$ being the weak isospin of the left-handed fermions. The total cross section for the process (19) can be written as follows:

$$ \sigma = \int dx_1dx_2N_c\frac{\sigma_0}{4\pi} \left\{ q_e^2q_f^2 + 2q_eq_fv_ev_f(1-z) \left\{ \frac{(v_e^2 + a_e^2)(v_f^2 + a_f^2)}{(1-z)^2 + z\gamma_z} \right\} (G_1 + F_1) + \frac{a_e^2 + v_e^2}{(1-z)^2 + z\gamma_z} \left[ a_f^2(G_2 + F_2 + G_3 + G_4 + G_5 + G_6) + v_f^2(G_4 + G_6) + \frac{1}{16s_W^2c_W^2}(G_7 + F_3) + \frac{a_f}{4s_Wc_W}(F_4 + G_8) \right] + q_fq_ev_ev_f(1-z) \left( G_6 \right) \right\}, $$

(21)

where $\sigma_0 = 4\pi\alpha^2/3s$ is the standard normalization cross section. Here, $\sqrt{s}$ is the total c.m. energy, $x_{1,2} = 2E_{1,2}\sqrt{s}$ are the reduced energies of fermions in the final state and $z = m_Z^2/s$, $\gamma_z = \Gamma_Z^2/s$ are the reduced mass and width of the $Z$ boson,
respectively. The functions $G_i$ and $F_i$ are given in the Appendix: $G_{1,2}$ and $F_{1,2}$ arise from squaring graphs where $h_1$ is radiated from the fermion; $G_{3,4}$ arise from squaring $Z \rightarrow Zh_1$ graphs; $G_{5,6}$ arise from interference between fermion-radiation and $Zh_1$ graphs; the remaining $G$’s and $F$’s involve Higgs pair production graphs and their interference with fermion-radiation and $Zh_1$ graphs.

If the coupling of the $h_1$ to the $Z$ boson is not dynamically suppressed, i.e. $C_1$ is substantial, then the Higgs-strahlung process, $e^+e^- \rightarrow Zh_1$, will be sufficient to find it. In the opposite case, our focus in this paper, one has to consider the other processes (b) and/or (c), for which the sum rules (14) and (17) will imply that the neutral Higgs boson(s), if kinematically accessible, will be produced at a comfortably high rate at a high luminosity future linear $e^+e^-$ collider. Below we will consider two situations: (i) two light Higgs bosons, and (ii) one light Higgs boson.

(i) $m_{h_1} + m_{h_2}, m_{h_1} + m_Z, m_{h_2} + m_Z < \sqrt{s}$:

If the Higgs-strahlung processes are suppressed by mixing angles, $C_1, C_2 \ll 1$, then from Eq. (14) it follows that Higgs pair production is at full strength, $C_{12} \sim 1$. In particular, we will retain only those configurations of angles and masses for which, at a given value of $\sqrt{s}$, the total numbers of $e^+e^- \rightarrow Zh_1$ and (separately) $Zh_2$ events are both less than 50 for an integrated luminosity of 500 fb$^{-1}$. In Fig. 1 we show contour plots for the minimum value of the pair production cross section, $\sigma(e^+e^- \rightarrow h_1h_2)$, as a function of Higgs boson masses at $\sqrt{s} = 350, 500, 800$ and 1600 GeV. With integrated luminosity of 500 fb$^{-1}$, a large number of events (large enough to allow for selection cuts and experimental efficiencies) is predicted for all the above energies over a broad range of Higgs boson masses. If 50 events before cuts and efficiencies prove adequate, one can probe reasonably close to the kinematic boundary defined by requiring that $m_{h_1} + m_Z, m_{h_2} + m_Z$ and $m_{h_1} + m_{h_2}$ all be less than $\sqrt{s}$.

(ii) $m_{h_1} + m_Z < \sqrt{s}, m_{h_1} + m_{h_2}, m_{h_2} + m_Z > \sqrt{s}$:

In this case, if $C_1$ is small the sum rules (17) imply that Yukawa couplings may still allow detection of the $h_1$. We illustrate this in Fig. 2 by plotting the minimum and maximum values of $\sigma(e^+e^- \rightarrow f\bar{f}h_1)$ for $f = t, b$ as a function of the Higgs boson mass, where the scan over the mixing angles $\alpha_1, \alpha_2$ and $\alpha_3$ at a given $\tan \beta$ is constrained by present experimental constraints and by the requirement that fewer than 50 $Zh_1$ events are predicted for $\sqrt{s} = 500$ GeV and $L = 500$ fb$^{-1}$. [The results are essentially independent of $m_{h_2}$ (and $m_{h_3}$) for $m_{h_1} + m_{h_2} > \sqrt{s}$.] For comparison, the full lines are the cross sections for $e^+e^- \rightarrow f\bar{f}A^0$ in the CPC model with $m_{A^0} = m_{h_1}$. From Fig. 2, we conclude that if $m_{h_1}$ is not large there will be sufficient events in either the $b\bar{b}h_1$ or the $t\bar{t}h_1$ channel (and perhaps both) to allow its discovery. Clearly the most pessimistic scenario is one with $1 \lesssim \tan \beta \lesssim 10$ and minimal $t\bar{t}h_1$.
cross sections; taking 50 events (before cuts and efficiencies) as the criteria, and assuming \(L = 500 \text{ fb}^{-1}\) at \(\sqrt{s} = 500\text{ GeV}\), one could only detect an \(h_1\) with \(C_1 \sim 0\) if \(m_{h_1} \lesssim 70\text{ GeV}\) (just as for the \(A^0\) in the CPC model). A \(\sqrt{s} = 1\text{ TeV}\) machine would considerably extend this mass reach.

Several points are worth noting:

- For a given \(\tan\beta\) value, the \(C_1 \sim 0\) cross sections of Fig. 2 exhibit two important features. (a) The minimal and maximal \(b\bar{b}h_1\) cross sections are almost equal, for a given \(\tan\beta\) value, and are essentially the same as the \(b\bar{b}A^0\) cross section in the CP-conserving two-doublet model. (b) The minimal \(t\bar{t}h_1\) cross section and the \(t\bar{t}A^0\) cross section are essentially equal.

- That the \(C_1 \sim 0\) cross sections should be related to the \(A^0\) cross sections is not altogether surprising given that in the limit of \(C_1 \rightarrow 0\) the \(h_1\) behaves like the \(A^0\) in that it decouples from \(ZZ\). However, to understand why (for \(C_1 \sim 0\)) the minimal and maximal \(h_1\) cross sections and the \(A^0\) cross section are all numerically essentially the same in the \(b\bar{b}\) final state, despite the fact that the \(h_1\) possesses non-zero \(S\) and \(P\) Yukawa couplings (and therefore is not a genuine pseudoscalar) requires more discussion. First, we note that, for \(C_1 \rightarrow 0\), Eq. (17) implies

\[
(\hat{S}^t_i)^2 + (\hat{P}^t_i)^2 \rightarrow (\hat{P}^t_i)^2, \quad (\hat{S}^b_i)^2 + (\hat{P}^b_i)^2 \rightarrow (\hat{P}^b_i)^2,
\]

where \(P^t, b\) are the \(t\) and \(b\) couplings of the \(A^0\) in the CP-conserving version of the 2HDM. Second, we note that in Eq. (21) only \(G_{1,2} = (S^f_i)^2 g_{1,2}\) and \(F_{1,2} = (P^f_i)^2 f_{1,2}\) [where \(g_{1,2}\) and \(f_{1,2}\) are functions of kinematic variables only, defined by Eqs. (34) and (35) in the Appendix] remain non-zero as \(C_1 \rightarrow 0\) (see Appendix), implying in rough notation:

\[
\frac{d\sigma(e^+e^- \rightarrow \bar{t}t h_1)}{d\phi} \sim (S^f_i)^2 (Ag_1 + Bg_2) + (P^f_i)^2 (Af_1 + Bf_2),
\]

where \(A, B, f_{1,2}\) and \(g_{1,2}\) are all positive and \(\phi\) denotes a point in phase space. Thirdly, it is easily verified that \(g_1 - f_1\) and \(g_2 - f_2\) are both of order \(m_f^2/s\) and thus differ very little in the case of the \(b\bar{b}\) final state. As a result, inserting the \(C_1 \rightarrow 0\) limit of Eq. (22) into Eq. (21) [or Eq. (23)] implies that the minimal and maximal values of \(\sigma(e^+e^- \rightarrow \bar{t}t h_1)\) are essentially the same and that both are very nearly equal to \(\sigma(e^+e^- \rightarrow b\bar{b}A^0)\).

- Next, we would like to understand why the minimum \(\bar{t}t h_1\) cross section is obtained by taking \(S^t_i \sim 0\), equivalent to [see Eq. (22)] \((P^t_i)^2 \sim (P^A_i)^2\). Referring to Eq. (23), we see that this will be the case if \(\int (Ag_1 + Bg_2)d\phi > \int (Af_1 + Bf_2)d\phi\), as is easily verified.
We note that the minimum cross section values would be altered if the scan over the $\alpha_i$ is not restricted by requiring small $C_{1i}$. In particular, if one observes $Z h_1$ events and finds $|C_1| \sim 1$, then, as outlined earlier, both $(\hat{S}_1^e)^2 + (\hat{P}_1^e)^2$ and $(\hat{S}_1^b)^2 + (\hat{P}_1^b)^2$ will be of order unity, approaching 1 exactly if $\tan \beta$ is either very large or very small. This implies minimum cross sections values similar to the $\tan \beta = 1$ $f_f A^0$ cross sections. Thus, at a $\sqrt{s} = 500$ GeV machine with integrated luminosity of order $L = 500$ fb$^{-1}$, it would almost certainly not be possible to use $b \bar{b} h_1$ production to measure the $h_1$'s $b \bar{b}$ coupling and, if $m_{h_1}$ is significantly above 70 GeV, it would also be difficult to measure its $t \bar{t}$ Yukawa coupling. Of course, increasing the $\sqrt{s}$ will extend the range of $m_{h_1}$ for which the $t \bar{t} h_1$ process will have a useful rate.

We note that, even if $L = 500$ fb$^{-1}$ cannot be achieved in a single year of operation at $\sqrt{s} \sim 500$ GeV, one can envision accumulating such an integrated luminosity over a period of several years. For $\sqrt{s} \sim 1$ TeV and above, our results may be conservative given that the $e^+ e^-$ collider will very probably be designed to have a yearly integrated luminosity that scales with energy like $s$.

6 The two-doublet + one-singlet (2D1S) Higgs sector model

We do not go into the details of the most general Higgs potential for the 2D1S model, but simply state the well-known fact that explicit or spontaneous CP violation is entirely possible for a 2D1S Higgs sector. The primary change relative to the formalism given for the two-doublet model is that the $R$ matrix is extended to a $5 \times 5$ matrix. The formulae for the couplings of a given physical eigenstate $h_i$ to $Z Z$ and to the quarks remain unchanged relative to the two-doublet case, being entirely determined by $R_{i1}$, $R_{i2}$ and $R_{i3}$ in the basis where

\[
(\varphi_1, \varphi_2, \varphi_3, \varphi_4, \varphi_5) \equiv \sqrt{2}(Re \phi_1^0, Re \phi_2^0, s_\beta \text{Im} \phi_1^0 - c_\beta \text{Im} \phi_2^0, Re N, \text{Im} N), \tag{24}
\]

with $N$ being the singlet Higgs field. In general, the only constraints on the parameters of the model are that $R$ must, as before, be an orthogonal matrix and the masses-squared of the physical Higgs eigenstates must be non-negative. Physically, this means that we can have two light Higgs bosons that reside entirely within the singlet sector and therefore do not couple to either quarks or gauge bosons. As a result, one can only guarantee discovery of a neutral Higgs boson if at least three of the five physical states are light. Further, we shall show that this guarantee is possible only by employing the Yukawa radiation processes. No statement will be possible for just one or two light Higgs bosons.
We begin by focusing on the generalization of the Yukawa sum rules to the 2D1S case. Starting from Eq. (16) (which still applies), one finds

\[
\sin^2 \beta [(\hat{S}_l^t)^2 + (\hat{P}_l^t)^2] + \cos^2 \beta [(\hat{S}_l^b)^2 + (\hat{P}_l^b)^2] = R_{i1}^2 + R_{i2}^2 + R_{i3}^2 \equiv R_i^2, \tag{25}
\]

where \( R_i^2 \) is a measure of the extent to which \( h_i \) resides in the two-doublet portion of the Higgs sector. We will refer to \( R_i^2 \) as the two-doublet content of \( h_i \). In the 2HDM model \( R_i^2 = 1 \) \((i = 1, 2, 3)\) was automatic by virtue of the orthogonality of \( R \) and its \( 3 \times 3 \) dimensionality. However, in the present case \( R_i^2 = R_{i4}^2 + R_{i5}^2 \) could be zero if the \( h_i \) Higgs boson resides entirely in the singlet sector \((R_{i4}^2 + R_{i5}^2 = 1)\). We only know that after summing over all the physical Higgs bosons we must get the full two-doublet content: \( \sum_{i=1}^{5} R_i^2 = 3 \). Results analogous to the \( C_i = 0 \) limits of Eqs. (17) can also be obtained. For \( C_i = 0 \),

\[
(\hat{S}_l^t)^2 + (\hat{P}_l^t)^2 = \left( \frac{\cos \beta}{\sin \beta} \right)^2 R_i^2, \quad (\hat{S}_l^b)^2 + (\hat{P}_l^b)^2 = \left( \frac{\sin \beta}{\cos \beta} \right)^2 R_i^2. \tag{26}
\]

Note that both could be zero for a pure singlet \( h_i \). Summing over two Higgs bosons does not help, since both Higgs could reside entirely in the singlet sector. However, if we sum over three Higgs bosons (we use \( i = 1, 2, 3 \) in what follows), one finds

\[
\sum_{i=1,2,3} R_i^2 = 1 + (R_{44}^2 + R_{45}^2 + R_{54}^2 + R_{55}^2) \geq 1. \tag{27}
\]

In the worst case, \( R_{44}^2 = R_{45}^2 = R_{54}^2 = R_{55}^2 = 0 \), i.e. the singlet Higgs field \( N \) is entirely contained in the three light Higgs bosons. The two most important implications of these results are the following.

1. Eq. (25) implies that our ability to observe a Yukawa radiation process and measure either the \( b\bar{b} \) or the \( t\bar{t} \) Yukawa coupling of a Higgs boson \( h_i \) is determined by its two-doublet content, \( R_i^2 \). For substantial two-doublet content, and \( m_{h_i} + 2m_t < \sqrt{s} - \Delta \), we are guaranteed that at least one of these two Yukawa couplings will be measurable.

2. If there are three light Higgs bosons (light being defined by \( m_{h_i} + 2m_t < \sqrt{s} - \Delta \)), and two have small Yukawa couplings, then Eq. (27) implies that at least one of the Yukawa couplings of the third will be large enough to detect the Higgs boson in association with \( b\bar{b} \) or \( t\bar{t} \).

Of course, the Yukawa couplings (squared) could be apportioned more or less equally among the three light Higgs bosons, in which case observation of a Yukawa radiation process of any one of the three would require substantially more luminosity than if the two-doublet content resides primarily in just one of the three.
The generalization to more singlets is clear. Each singlet field introduces two more physical neutral Higgs bosons. At least $1+2N_{\text{singlet}}$ of the neutral Higgs bosons must be light in order to guarantee that $\sum_{i=1}^{1+2N_{\text{singlet}}} R_i^2 \geq 1$, implying definite opportunity for observing at least one in $t\bar{t}h_i$ or $b\bar{b}h_i$ associated production.

Let us now consider the $Zh_i$ and $h_ih_j$ processes. We wish to determine how many of the 2D1S neutral Higgs bosons must be light in order that we are guaranteed to find at least one in either Higgs-strahlung or Higgs pair production. The crucial ingredient for obtaining the necessary sum rule is the unitarity sum rule for $ZZ \rightarrow h_ih_j$ as given in the Appendix of Ref. [19]. In applying this sum rule it is crucial to note that the $ZZ$-Higgs-Higgs coupling only receives contributions from the fields in the doublet sector. Thus, in the basis defined by Eq. (24), these interactions have the form $ZZ(\varphi_1^2 + \varphi_2^2 + \varphi_3^2)$ times the standard $g^2/(2c_W^2)$ factor. There are no $ZZ\varphi_4^2$ or $ZZ\varphi_5^2$ interactions. After diagonalizing, the $ZZh_ih_j$ coupling coefficient is given [see Eq. (13)] by

$$X_{ij} \equiv R_{i1}R_{j1} + R_{i2}R_{j2} + R_{i3}R_{j3}.$$  

In particular, $X_{ii} = R_{2i}^2$, the two-doublet content of $h_i$ defined earlier. Using our present notation, Eq. (A18) of Ref. [19] becomes

$$C_i C_j + \sum_{k \neq i} C_{ik} C_{jk} = X_{ij},$$  

which for $i = j$ yields

$$C_i^2 + \sum_{k \neq i} C_{ik}^2 = R_i^2. \tag{29}$$  

Let us define

$$W_{1234} \equiv C_1^2 + C_2^2 + C_3^2 + C_4^2 + C_{12}^2 + C_{13}^2 + C_{14}^2 + C_{23}^2 + C_{24}^2 + C_{34}^2. \tag{30}$$

Using Eq. (29) and summing over $i = 1, 2, 3, 4$ and over $i = 1, 2, 3, 4, 5$, one obtains

$$W_{1234} + \sum_{i,j=1,\ldots,5: i > j} C_{ij}^2 = \sum_{i=1}^4 R_i^2 = 3 - R_5^2, \tag{31}$$

$$\sum_{i=1}^5 C_i^2 + 2 \sum_{i,j=1,\ldots,5: i > j} C_{ij}^2 = \sum_{i=1}^5 R_i^2 = 3, \tag{32}$$

respectively, where we also used $C_{ik}^2 = C_{ki}^2$. Unitarity for $ZZ \rightarrow ZZ$ scattering and for other vector boson $VV \rightarrow VV$ processes requires that $\sum_{i=1,5} C_i^2 = 1$. Inserting this into Eq. (32) implies that $\sum_{i,j=1,\ldots,5: i > j} C_{ij}^2 = 1$. Inserting this latter result into Eq. (31) yields $W_{1234} = 2 - R_5^2$ which must be $\geq 1$ by virtue of the fact that $R_5^2 \leq 1$ is required by orthogonality of $R$. In words, $W_{1234} \geq 1$ implies that if there are four Higgs bosons that are sufficiently light that all the $Zh_i$ and $h_ih_j$ production processes are kinematically allowed (and not significantly phase-space suppressed), then at least one of these Higgs bosons must be seen in Higgs-strahlung or a pair of Higgs bosons must be seen in pair production. Three light Higgs bosons are
not enough. In particular, analogous procedures to those sketched above yield the result
\[ W_{123} \equiv C^2_1 + C^2_2 + C^2_3 + C^2_{12} + C^2_{13} + C^2_{23} = \sum_{i=1}^{3} R^2_i - 1 + C^2_{45}. \]  
(33)

Since we are only guaranteed that \( \sum_{i=1}^{3} R^2_i \geq 1 \) and since \( C_{45} \) could be quite small even when \( \sum_{i=1}^{3} R^2_i = 1 \), there is no lower bound to \( W_{123} \) and we cannot be certain of finding at least one Higgs boson in Higgs-strahlung or Higgs pair production in the case that only three are light. \(^{210}\) Thus, if only three neutral Higgs bosons of the 2D1S model are light, searching for the Yukawa radiation processes is required in order to guarantee that we will find at least one.

Once again, the generalization of the above considerations to a CP-violating Higgs sector with one-doublet and more than one singlet is obvious. At least \( 2 + 2N_{\text{singlet}} \) of the neutral Higgs bosons must be light in order to be certain that at least one of them will be produced at a significant rate in either Higgs-strahlung or Higgs pair production.

7 Discussion and conclusions

We have derived a crucial new sum rule, Eq. (17), relating the Yukawa and Higgs-ZZ couplings of a general CP-violating two-Higgs-doublet model. This sum rule has two important implications. First, it says that if the \( ZZh \) coupling of a neutral Higgs boson is small, then its \( t\bar{t}h \) or \( b\bar{b}h \) Yukawa coupling must be substantial. This means that any one of the three neutral Higgs bosons that is light enough to be produced in \( e^+e^- \to t\bar{t}h \) (implying that \( e^+e^- \to Zh \) and \( e^+e^- \to b\bar{b}h \) are also kinematically allowed) will be found at an \( e^+e^- \) linear collider of sufficient luminosity. In particular, if mixing angles and Higgs masses are such that a light Higgs boson cannot be observed via the \( Zh \) Higgs-strahlung process, then it is guaranteed to be found via Yukawa-coupling-induced radiation from top or bottom quarks. Second, for an \( h \) that is observed in the \( Zh \) final state but also light enough to be seen in \( t\bar{t}h \) and, by implication, \( b\bar{b}h \), this same sum rule can be used to show that measurement of at least one of its third-family Yukawa couplings will be possible (the required luminosity depending on the amount of phase space suppression in the \( t\bar{t}h \) channel). Of course, in the experimental analysis one must be careful to not exclude the Yukawa radiation processes by placing restrictive invariant mass constraints on the \( f\bar{f} \) system, e.g., \( M_{f\bar{f}} \sim m_Z \).

We have also extended to high energies the quantitative analysis of a previously derived sum rule, Eq. (14). This latter sum rule implies that if any two of the three neutral Higgs bosons of the CP-violating 2HDM are light enough that \( Zh_1, Zh_2 \) and \( h_1h_2 \) production are all kinematically allowed (and not phase space suppressed), then at least one of these processes will be observable, regardless of the mixing

\(^{210}\)Note: these results correct the erroneous result for this case given in Ref. [7].
structure of the neutral Higgs sector. For planned luminosities, the predicted cross sections are such that discovery of one or both of the Higgs bosons will be possible even rather close to the relevant kinematic boundary in the $m_{h_1} - m_{h_2}$ mass plane.

We have also considered the general CP violating two-doublet + one-singlet Higgs sector model. In this case, we find that if only one or two of the neutral Higgs bosons are light then both could be primarily singlet and, therefore, undetectable in Higgs-strahlung, Higgs pair production and Yukawa radiation processes. However, there are two important guarantees. (a) If there are three light neutral Higgs bosons, then we are guaranteed to detect at least one in Yukawa radiation processes. (b) If there are four light neutral Higgs bosons we are guaranteed to detect one or two in Higgs-strahlung or Higgs pair production; but, there is no such guarantee for just three light Higgs bosons. Guarantee (a) requires that all $t\bar{t}h_i$ ($i = 1, 2, 3$) (and by implication all $b\bar{b}h_i$) processes have substantial phase space. Guarantee (b) requires that all four $h_i$ be light enough that the $Zh_i$ and $h_ih_j$ ($i, j = 1, 2, 3, 4$, $i \neq j$) processes all have substantial phase space. Thus, for extensions of the two-doublet Higgs sector that include one or more singlet Higgs fields, it could happen that observation of a Higgs boson at an $e^+e^-$ collider of limited energy will only be possible by looking for Higgs production in association with bottom and top quarks.

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Appendix

Consider production of the Higgs boson $h_i$ in association with a fermion pair $f\bar{f}$ in $e^+e^-$ collisions, i.e. $e^+e^- \rightarrow f\bar{f}h_i$. Note that the diagram with Higgs pair production requires summation over virtual Higgs bosons $h_j$ and $h_k$, where $i, j, k$ are permutations of $1, 2, 3$. The differential cross section is given by Eq. (21) with $F_i$ and $G_i$ as given below.

For a short hand notation, we introduce $h_j = m^2_{h_j}/s$, $\gamma_j = \Gamma^2_{h_j}/s$, ($j=1,2,3$) and $f = m^2_f/s$. The reduced energy of the observed Higgs boson $h_i$ is denoted by $x = 2E_{h_i}/\sqrt{s} = 2 - x_1 - x_2$; we also define $x_{12} = (1 - x_1)(1 - x_2)$. In the formulae below, $Z$ and $h_j$ widths are included in terms corresponding to $Z$ and $h_j$ decay to the $f\bar{f}$ pair.
The functions $G_1$ and $G_2$ describe the $h_1$ Higgs boson radiation off the fermions due to the scalar couplings,

$$G_1 = \frac{(S_i^f)^2}{4\pi x_{12}} \left[ x^2 - h_i(\frac{x^2}{x_{12}} + 2(x - 1 - h_i)) + 2f \left( 4(x - h_i) + \frac{x^2}{x_{12}}(4f - h_i + 2) \right) \right],$$

$$G_2 = -\frac{2(S_i^f)^2}{4\pi x_{12}} \left[ x_{12}(1 + x) - h_i(x_{12} + 8f + 2x - 2h_i) \right.$$

$$\left. + 3fx \left( \frac{x}{3} + 4 + \frac{x}{x_{12}}(4f - h_i) \right) \right].$$

(34)

whereas the $F_1$ and $F_2$ terms arise from the pseudoscalar couplings,

$$F_1 = \frac{(P_i^f)^2}{4\pi x_{12}} \left[ x^2 - h_i(\frac{x^2}{x_{12}}(1 + 2f) + 2x - 2 - 2h_i) \right],$$

$$F_2 = \frac{2(P_i^f)^2}{4\pi x_{12}} \left[ (2h_i - x_{12})(1 + x - h_i) - 2h_i(1 + 2f) - \frac{f x^2}{x_{12}}(x_{12} - 3h_i) \right].$$

(35)

The terms $G_3$ and $G_4$ account for the emission of the Higgs boson (only its $CP = 1$ component) from the $Z$-boson line:

$$G_3 = \frac{2g_{ZZh_i}^2}{4\pi(p^2 + z \gamma_z)} \left[ f(4h_i - x^2 - 12z) + \frac{f}{z}(4h_i - x^2)(x - 1 - h_i + z) \right],$$

$$G_4 = \frac{2g_{ZZh_i}^2}{4\pi(p^2 + z \gamma_z)} [h_i + x_{12} + 2 - 2x + 4f],$$

(36)

where the reduced propagator of the off-shell $Z$-boson has been denoted by $p = x - 1 - h_i + z$.

The interference between the radiation amplitudes off the fermion and the $Z$-boson lines is included in the $G_5$ and $G_6$ terms: $^{211}$

$$G_5 = \frac{S_i^f g_{ZZh_i}}{4\pi} \frac{4x_{12}m_f}{x_{12}m_Z} \frac{p}{p^2 + z \gamma_z} \left[ (x_{12} - h_i)(x - 1 - h_i) \right.$$

$$\left. + f(12z - 4h_i + x^2) - 3zh_i + 6zx_{12}/x \right],$$

$$G_6 = \frac{S_i^f g_{ZZh_i}}{4\pi} \frac{4x_{12}m_f}{x_{12}m_Z} \frac{p}{p^2 + z \gamma_z} \left[ x(h_i - 4f - 2) - 2x_{12} + x^2 \right].$$

(37)

Finally, the contributions from the Higgs pair $h_i h_j$ and $h_i h_k$ production diagrams (with subsequent $h_j$ and $h_k$ decays to fermion pairs) and from their interference with the $h_i$ radiation off the fermion and the $Z$-boson lines are collected in $^{211}$Due to a different convention regarding the sign of the $g_{ZZH}$ coupling, our $G_5$ and $G_6$ have opposite signs to those in Eq. (9) of [15].

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$G_7$, $G_8$, $F_3$ and $F_4$ as follows:\footnote{We correct some typos in [15]. The last term for $G_7$ in Eq. (16) of [15] should have the opposite sign, i.e. $-2a_f g_{ffH}$ should read $+2a_f g_{ffH}$. In Eq. (18), an overall factor of 4 multiplying $F_3$ is missing and $g_{ffA}$ should read $g_{ffH}$, and for $F_4$ a factor of 2 is missing. We thank S. Dawson and M. Spira for help in clarifying this point.}

\[
F_3 = \frac{1}{2\pi} (x - 1 - h_i + 4f)(4h_i - x^2) \left( \frac{P^j_k C^j_k u_j + P^j_i C^j_i u_k}{(u^j_2 + h_j \gamma_j)(u^k_2 + h_k \gamma_k)} \right),
\]

\[
F_4 = -\frac{P^j_i}{\pi} \left[ \frac{S^j_i C^j_i u_j}{u^j_2 + h_j \gamma_j} + \frac{S^j_k C^j_k u_k}{u^k_2 + h_k \gamma_k} \right]
\times \frac{x}{x_{12}} \left[ (x_{12} - h_i)(1 - x + h_i) + f(4h_i - x^2) \right],
\]

\[
G_7 = \frac{4h_i - x^2}{2\pi} \left[ (x - 1 - h_i) \left( \frac{P^j_k C^j_k u_j + P^j_i C^j_i u_k}{(u^j_2 + h_j \gamma_j)(u^k_2 + h_k \gamma_k)} \right) \right.
\]
\[+ 4s_W c_W \frac{2m_f}{m_Z} a_f g_{fZH} \left( \frac{P^j_j C^j_j u_j}{u^j_2 + h_j \gamma_j} + \frac{P^j_k C^j_k u_k}{u^k_2 + h_k \gamma_k} \right),
\]

\[
G_8 = \frac{S^j_i}{\pi} \left[ \frac{P^j_j C^j_j u_j}{u^j_2 + h_j \gamma_j} + \frac{P^j_k C^j_k u_k}{u^k_2 + h_k \gamma_k} \right]
\times \frac{x}{x_{12}} \left[ (x_{12} - h_i)(x - 1 - h_i) - f(4h_i - x^2) \right].
\]

In the above expressions, terms of order $\gamma_i$, ($i=1,2,3$) in the numerator have been neglected. The scaled propagator of the virtual Higgs boson $h_j$ has been abbreviated by (for the virtual $h_k$ boson, replace $j \rightarrow k$)

\[
u_j = x - 1 - h_i + h_j
\]

If the Higgs and $Z$ boson widths are neglected then the above expressions reduce to those given in Ref. [15] with the exception that our $G_7 + 4s_W c_W a_f G_8$ becomes $G_7$ of [15].

References


See the first paper of Ref. [3].


Figure 1: Contour lines for $\min[\sigma(e^+e^- \rightarrow h_1h_2)]$ as functions of Higgs boson masses for the indicated $\sqrt{s}$ values. In scanning over mixing angles $\alpha_i$, we respect the experimental constraints listed in Section 4, and we assume that at any $\sqrt{s}$ the number of $e^+e^- \rightarrow Zh_1$ or $Zh_2$ events is less than 50 for total luminosity $L = 500 \text{ fb}^{-1}$. The contour lines are plotted for $\tan \beta = 0.5$; the plots are virtually unchanged for larger values of $\tan \beta$. The contour lines overlap in the inner corner of each plot as a result of excluding mass choices inconsistent with experimental constraints from LEP2 data.
Figure 2: The minimal and maximal values (after requiring fewer than 50 $Zh_1$ events for $L = 500$ fb$^{-1}$) of the cross sections for $e^+e^- \to b\bar{b}h_1$ (a) and $e^+e^- \to t\bar{t}h_1$ (b) are plotted for $\sqrt{s} = 500$ GeV. For a given value of $\tan\beta$, the same type of line (closely spaced dots for $\tan\beta = 0.1$, widely spaced dots for $\tan\beta = 1$, dashes for $\tan\beta = 10$) is used for the minimal and maximal values of the cross sections. Solid lines denote cross sections for $e^+e^- \to f\bar{f}A^0$ in the CP-conserving limit of the general 2HDM with $m_{A^0} = m_{h_1}$. In the case of $b\bar{b}h_1$, the minimal and maximal values of the cross sections are almost the same and are almost hidden by the $A^0$ curves with the same $\tan\beta$ value. In the case of $t\bar{t}$, the minimal cross section curves are almost hidden by the $A^0$ curves with the same $\tan\beta$ value. Masses of the remaining Higgs bosons are assumed to be 1000 GeV.