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# TWISTED ACTIONS OF CATEGORICAL GROUPS SAIKAT CHATTERJEE, AMITABHA LAHIRI, AND AMBAR N. SENGUPTA

ABSTRACT. We develop a theory of twisted actions of categorical groups using a notion of semidirect product of categories. We work through numerous examples to demonstrate the power of these notions. Turning to representations, which are actions that respect vector space structures, we establish an analog of Schur's lemma in this context. Keeping new terminology to a minimum, we concentrate on examples exploring the essential new notions introduced.

### 1. Introduction

A categorical group is comprised of a pair of groups, one of which serves as the set of objects of a category and the other as the set of morphisms. Categorical groups reflect symmetries of geometric structures that also have fruitful formulations in the language of objects and morphisms. Such geometric structures are the subject of study in a large and growing body of literature [Abbaspour and Wagemann, 2012, Baez, 2002, Baez and Schreiber, 2007, Baez and Wise, 2012, Bartels, 2004, Chatterjee et al., 2010, Chatterjee et al., 2014, Pfeiffer, 2003, Martins and Picken, 2010, Schreiber and Waldorf, 2007, Schreiber and Waldorf, 2008, Viennot, 2012], to name a few. A natural direction of inquiry is the theory of representations of these structures; works in this direction include [Baez et al., 2012, Barrett and Mackaay, 2006, Crane and Sheppeard, 2003, Elgueta, 2007, Yetter, 2003]. However, the theory is at an early enough stage that even what should constitute a representation of a categorical group is not definitively settled.

In this paper we proceed in a flexible way, focusing more on *actions* of categorical groups and exploring numerous examples, many of which involve some vector space structure as well. We introduce a key procedure: forming a *semidirect product* of two given categories using a certain *twisting map* and we present numerous examples of this construction. We then *explore actions of categorical groups that involve a twisting* in the way morphisms are composed. Again we work through several examples, devoting section 7 entirely to a detailed development of an example that is motivated by the physical context of fields transforming under the Poincaré group. Finally, turning to the narrowest sense of a representation of a categorical group on a categorical vector space, we prove results

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showing that such representations have very special properties that uniquely determine them from their behavior on some subspaces.

A categorical group  $\mathbf{G}$ , as we explain in greater detail in section 2, is comprised of an object group  $\operatorname{Obj}(\mathbf{G})$ , a morphism group  $\operatorname{Mor}(\mathbf{G})$ , along with source and target homomorphisms  $s, t : \operatorname{Mor}(\mathbf{G}) \longrightarrow \operatorname{Obj}(\mathbf{G})$ . Thus in  $\operatorname{Mor}(\mathbf{G})$  there is both a *multiplication* operation  $(k_2, k_1) \mapsto k_2 k_1$  and a *composition* of morphisms  $(k', k) \mapsto k' \circ k$  (when defined). In our point of view an *action* of  $\mathbf{G}$  on a category  $\mathbf{C}$  should involve both an action of  $\operatorname{Obj}(\mathbf{G})$  on  $\operatorname{Obj}(\mathbf{C})$  and an action of  $\operatorname{Mor}(\mathbf{G})$  on  $\operatorname{Mor}(\mathbf{C})$ . Thus, in particular,

$$(k_2k_1)f = k_2(k_1f) \tag{1.1}$$

for all  $k_1, k_2 \in Mor(\mathbf{G})$  and  $f \in Mor(\mathbf{C})$ . One might further specify a behavior of the compositions

$$(k_2 f_2) \circ (k_1 f_1),$$
 (1.2)

for  $f_1, f_2 \in Mor(\mathbf{C})$ . The simplest such specification would be to require that this be equal to

$$(k_2 \circ k_1)(f_2 \circ f_1).$$
 (1.3)

A richer extension of this idea is to require that the composition (1.2) be equal to

$$(\eta(k_2, f_1) \circ k_1)(f_2 \circ f_1),$$
 (1.4)

where  $\eta(k_2, f_1)$  reflects a twisting of  $k_2$  with respect to  $f_1$ . Alternatively, one could require that the twisting act on  $f_2$ , with (1.2) being equal to

$$(k_2 \circ k_1) (\eta(k_1, f_2) \circ f_1).$$
 (1.5)

We will explore the choices (1.3) and (1.4). The  $\eta$ -twist in (1.4) is easier to understand when the k's are written on the right:

$$(f_2k_2) \circ (f_1k_1) = (f_2 \circ f_1) (\eta(k_2, f_1) \circ k_1), \tag{1.6}$$

where the  $\eta$ -twist shows up as a price to pay for moving  $f_1$  to the left past  $k_2$ , there being no 'price' in the case (1.3). For consistency of conventions we write the k's to the left of the f's and then (1.6) becomes (1.4). This simple extension of (1.3) leads to some remarkably rich examples.

We begin in section 2 with a summary of categorical groups, including some examples of interest for us. In section 3 we introduce the notion of *action of a categorical group* on a category (following up on the requirement (1.3) mentioned above); no vector space structure is involved in this. We show how a double category arises from such an action. In section 4 we use crossed modules, which are more concrete renditions of categorical groups, to explore an example of an action arising from a traditional representation of the object group on a vector space. Next, in section 5, we introduce a central notion of this work: the *semidirect product of two categories* obtained by using a map  $\eta$  (motivated by (1.4) above). We present several examples in detail. In section 6 we turn to the study of twisted actions, these being actions that satisfy the  $\eta$ -twist condition (1.4) for the behavior of composition; we prove a double category result. Section 7 is devoted to a detailed study of a complex example that involves more than one twist and is motivated by the context of fields transforming under a symmetry group that is a semidirect product (such as the Poincaré group). In section 8 we study twisted representations, by which we mean twisted actions on vector categories, these being categories that involve some vector space structure and linearity; we introduce a notion of irreducibility for such representations in this context and prove an analog of Schur's Lemma (Theorem 8.1). Finally, in section 9 we study representations on categorical vector spaces, these being the vector space analogs of categorical groups, and show that they have very special properties.

In the course of developing our theory we obtain several categories, constructed from familiar settings involving groups or function spaces, that have interesting structure and where even the fact that they form categories is sometimes unexpected. We have kept new terminology to a minimum, focusing on the essential new ideas which we develop through detailed examples.

# 2. Categorical groups in summary

In this section we summarize some essential facts about categorical groups. The reader may consult our earlier work [Chatterjee et al., 2014, sec. 4] for a more systematic presentation that includes proofs. Other works include [Awody, 2006, Baez and Schreiber, 2007, Barrett and Mackaay, 2006, Bartels, 2004, Forrester-Barker, 2002, Kamps et al., 1982, Kelly, 1982, Mac Lane 1971, Porter, 1981, Whitehead, 1949].

By a *categorical group* we mean a category  $\mathbf{K}$  along with a bifunctor

$$\otimes: \mathbf{K} \times \mathbf{K} \longrightarrow \mathbf{K} \tag{2.1}$$

such that both  $Obj(\mathbf{K})$  and  $Mor(\mathbf{K})$  are groups under the operation  $\otimes$  (on objects and on morphisms, respectively). The term *strict 2-group* is also widely used instead of categorical group.

Sometimes we shall write ab instead of  $a \otimes b$ . The functor  $\otimes$  carries the identity morphism  $(1_a, 1_b)$ , where  $1_x : x \longrightarrow x$  denotes the identity morphism at x, to the identity morphism  $1_{ab}$ :

$$1_a 1_b = 1_{ab}$$

Taking a to be the identity element e in  $Obj(\mathbf{K})$ , it follows that

$$l_e$$
 is the identity element in the group Mor(**K**). (2.2)

As a very special case of this we see that if  $Obj(\mathbf{K})$  and  $Mor(\mathbf{K})$  are additive abelian groups then

$$1_0 = 0,$$
 (2.3)

where 0 on the left is in  $Obj(\mathbf{V})$  and the 0 on the right is in  $Mor(\mathbf{V})$ .

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The functoriality of  $\otimes$  implies the 'exchange law':

$$(g'f') \circ (gf) = (g' \circ g)(f' \circ f) \tag{2.4}$$

for all  $f, f', g, g' \in Mor(\mathbf{K})$  for which the composites  $g' \circ g$  and  $f' \circ f$  are defined.

Furthermore, for any morphisms  $f: a \longrightarrow b$  and  $h: b \longrightarrow c$  in **K**, the composition  $h \circ f$  can be expressed in terms of the product operation (written as juxtaposition) in **K**:

$$h \circ f = f \mathbf{1}_{b^{-1}} h = h \mathbf{1}_{b^{-1}} f. \tag{2.5}$$

For a proof see, for example, [Chatterjee et al., 2014, Prop. 4.1]. In particular,

$$hk = h \circ k = kh \qquad \text{if } t(k) = s(h) = e. \tag{2.6}$$

There is a useful alternative formulation [Chatterjee et al., 2014, Prop. 4.2] of the notion of categorical group. If  $\mathbf{K}$ , with operation  $\otimes$ , is a categorical group then the source and target maps

$$s, t : Mor(\mathbf{K}) \longrightarrow Obj(\mathbf{K})$$

are group homomorphisms, and so is the identity-assigning map

$$\operatorname{Obj}(\mathbf{K}) \longrightarrow \operatorname{Mor}(\mathbf{K}) : x \mapsto 1_x.$$

Conversely, if **K** is a category for which both  $Obj(\mathbf{K})$  and  $Mor(\mathbf{K})$  are groups, s, t, and  $x \mapsto 1_x$  are homomorphisms, and the exchange law (2.4) holds then **K** is a categorical group.

An even more concrete formulation is obtained by realizing that for a categorical group  $\mathbf{G}$ , the morphism group  $\operatorname{Mor}(\mathbf{G})$  is isomorphic to a semidirect product:

$$\operatorname{Mor}(\mathbf{G}) \simeq H \rtimes_{\alpha} G : \phi \mapsto \left(\phi \mathbb{1}_{s(\phi)^{-1}}, s(\phi)\right), \qquad (2.7)$$

where

$$G = \operatorname{Obj}(\mathbf{G}) \text{ and } H = \ker(s : \operatorname{Mor}(\mathbf{G}) \longrightarrow \operatorname{Obj}(\mathbf{G})),$$
 (2.8)

and

$$\alpha: G \longrightarrow \operatorname{Aut}(H)$$

is the homomorphism given by

$$\alpha(g)(h) = 1_q h 1_{q^{-1}}.$$
(2.9)

The target map  $t : Mor(\mathbf{G}) \longrightarrow Obj(\mathbf{G})$  is given by

$$t(h,g) = \tau(h)g, \tag{2.10}$$

where  $\tau : H \longrightarrow G$  is a homomorphism, corresponding to the restriction of t to ker s. Multiplication in Mor(**G**) corresponds to the semidirect product group product on  $H \rtimes_{\alpha} G$  given by:

$$(h_2, g_2)(h_1, g_1) = (h_2 \alpha(g_2)(h_1), g_2 g_1)$$
(2.11)

and composition corresponds to the operation

$$(h',g') \circ (h,g) = (h'h,g), \quad \text{if } g' = \tau(h)g.$$
 (2.12)

The homomorphisms  $\alpha$  and

$$\tau: H \longrightarrow G: \phi \mapsto t(\phi),$$

satisfy the Peiffer identities [Peiffer, 1949]:

$$\tau(\alpha(g)h) = g\tau(h)g^{-1}$$

$$\alpha(\tau(h))(h') = hh'h^{-1}$$
(2.13)

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for all  $g \in G$  and  $h \in H$ . These conditions ensure that the crucial exchange law (2.4) holds. A system

$$(G, H, \alpha, \tau),$$

where G and H are groups,  $\alpha : G \longrightarrow \operatorname{Aut}(H)$  and  $\tau : H \longrightarrow G$  are homomorphisms satisfying (2.13), is called a *crossed module* [Whitehead, 1949, sec 2.9] and [Peiffer, 1949]. Conversely, a crossed module  $(G, H, \alpha, \tau)$  gives rise to a categorical group **G** with

$$\operatorname{Obj}(\mathbf{G}) = G \text{ and } \operatorname{Mor}(\mathbf{G}) = H \rtimes_{\alpha} G,$$

$$(2.14)$$

and

$$s(h,g) = g \text{ and } t(h,g) = \tau(h)g \text{ for all } h \in H \text{ and } g \in G.$$
 (2.15)

When working with Lie groups, it is natural to require that the source, targets, and identity-assigning maps are smooth. Thus a *categorical Lie group* is a category  $\mathbf{K}$  along with a functor  $\otimes$  as above, such that  $Mor(\mathbf{K})$  and  $Obj(\mathbf{K})$  are Lie groups, and the maps  $s, t, and x \mapsto 1_x$  are smooth homomorphisms. The corresponding crossed module involves Lie groups and smooth homomorphisms.

**Example CG1.** For any group K we can construct a categorical group  $\mathbf{K}_0$  by taking K as the object set and requiring there be a unique morphism  $a \longrightarrow b$  for every  $a, b \in K$ . At the other extreme we have the discrete categorical group  $\mathbf{K}_d$  whose object set is K but whose morphisms are just the identity morphisms.

**Example CG2.** Let H be an abelian group, G any group, and  $\alpha : G \longrightarrow \operatorname{Aut}(H)$  is a homomorphism. Then a categorical group is obtained using the crossed module  $(G, H, \alpha, \tau)$  where  $\tau : H \longrightarrow G : v \mapsto e$  is the constant map carrying all elements of H to the identity element of G. A useful if somewhat trivial case is when G is the trivial group. A case of greater interest is obtained from the group of affine automorphisms of a vector space V. For this we take G to be GL(V), the usual group of invertible linear maps  $V \longrightarrow V$ , and H to be V itself, viewed as the group of translations on V. We take

$$\alpha: G = GL(V) \longrightarrow \operatorname{Aut}(V)$$

to be the inclusion map in the sense that for every  $g \in G$  we take  $\alpha(g) : V \longrightarrow V$  to be the mapping g itself (with V being viewed just as an abelian group under addition, the elements of  $\operatorname{Aut}(V)$  are the addition-preserving bijections  $V \longrightarrow V$  and so, for a general field of scalars, an element of  $\operatorname{Aut}(V)$  might not preserve multiplication by scalars). Then the group of morphisms of this categorical group is

$$V \rtimes_{\alpha} GL(V),$$

which is the group of affine automorphisms of the vector space V. In the case where the field is  $\mathbb{R}$  or  $\mathbb{C}$ , and V is equipped with a metric, we also have the categorical group for which H = V and G is the orthogonal or unitary group for V. More generally, let R be a representation of a group G on a vector space V. Taking  $\alpha : G \longrightarrow \operatorname{Aut}(V)$  to be given by R we obtain a categorical group whose object group is G and morphism group is  $V \rtimes_R G$ . Since  $\tau$  is trivial, the source and target maps coincide:

$$s(h,g) = t(h,g) = g.$$
 (2.16)

We note that the group *product* on the morphisms is given by

$$(v_2, g_2)(v_1, g_1) = (v_2 + g_2 v_1, g_2 g_1)$$

$$(2.17)$$

which is the same as composition of the affine transforms of V given by  $x \mapsto g_i v + v_i$  (in other words, the group product on the morphisms is the same as the group product in  $\operatorname{Aut}(V)$ ). Categorical groups of this type have been used widely in the literature; see for example [Baez et al., 2012, Crane and Sheppeard, 2003, Pfeiffer, 2003].

# 3. Actions and Double Categories

A representation of a group G on a finite-dimensional vector space V is given by a mapping

$$\rho: K \times V \longrightarrow V: (k, v) \mapsto \rho(k, v) = \rho(k)v,$$

such that each  $\rho(k) \in \text{End}(V)$ , and  $\rho(kk') = \rho(k)\rho(k')$  for all  $k, k' \in K$ . On what type of object should a categorical group be represented? A natural choice would be a categorical vector space (defined analogously to a categorical group). We will study such representations in section 9 and show that they have very special features.

Let us note also a more abstract view of representations. Instead of the group K let us consider the category  $\mathbf{G}(K)$ , which has just one object and the morphisms are the elements of the group K, with composition being given by the binary group operation. Instead of the vector space V let us consider a category  $\mathbf{Vec}(V)$  which, again, has just one object, and whose morphisms are the endomorphisms of V, with composition being given by the usual composition of endomorphisms. Then the representation  $\rho$  of K on Vis equally well described by a functor

$$\mathbf{G}(K) \longrightarrow \mathbf{Vec}(V).$$

An extension of this point of view leads to a notion of representations of 2-groups on 2-categories; for this see, for example, [Baez et al., 2012]. Our approach will be quite different.

By an *action* of a categorical group  $\mathbf{G}$  on a category  $\mathbf{V}$  we mean a functor

$$\rho: \mathbf{G} \times \mathbf{V} \longrightarrow \mathbf{V},\tag{3.1}$$

such that

$$\rho\left(k',\rho(k,f)\right) = \rho(k'k,f) \tag{3.2}$$

for all  $k, k' \in Mor(\mathbf{G})$  and all  $f \in Mor(\mathbf{V})$ , and

$$\rho(1_e, f) = f \tag{3.3}$$

for all  $f \in Mor(\mathbf{V})$ , with  $1_e \in Mor(\mathbf{G})$  being the identity morphism of the identity element  $e \in Obj(\mathbf{G})$ . We will often write the effect of applying  $\rho$  simply by juxtaposition:

$$ax \stackrel{\text{def}}{=} \rho(a, x). \tag{3.4}$$

Let us now unravel the details of the structure that lies behind the compact description of an action we have just seen.

Using the fact that  $\rho$  is a functor we have

$$\rho\left(s(1_e), s(1_v)\right) = s\left(\rho(1_e, 1_v)\right) = s(1_v) \quad \text{for all } v \in \mathrm{Obj}(\mathbf{V}),$$

which means

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$$\rho(e, v) = v \quad \text{for all } v \in \text{Obj}(\mathbf{V}).$$
(3.5)

Taking  $k' = 1_a$ ,  $k = 1_b$  and  $f = 1_v$ , where  $a, b \in \text{Obj}(\mathbf{G})$  and  $v \in \text{Obj}(\mathbf{V})$ , in (3.2) we have

$$\rho(1_a, \rho(1_b, 1_v)) = \rho(1_{ab}, 1_v),$$

and then considering the sources of both sides we conclude that

$$\rho\left(a,\rho(b,v)\right) = \rho(ab,v). \tag{3.6}$$

Thus the conditions on  $\rho$  imply that  $\rho$  gives an action of the group  $Mor(\mathbf{G})$  on the set  $Mor(\mathbf{V})$  and also of the group  $Obj(\mathbf{G})$  on the set  $Obj(\mathbf{V})$ .

The conditions on  $\rho$  go well beyond simply the requirement that it specify actions of the groups  $Obj(\mathbf{G})$  and  $Mor(\mathbf{G})$ . That  $\rho$  is a functor also says something about the interaction of compositions of morphisms:

$$\rho(k_2 \circ k_1, f_2 \circ f_1) = \rho(k_2, f_2) \circ \rho(k_1, f_1)$$
(3.7)

for all  $k_2, k_1 \in Mor(\mathbf{G})$  for which the composition  $k_2 \circ k_1$  is defined and all  $f_2, f_1 \in Mor(\mathbf{V})$ for which  $f_2 \circ f_1$  is defined. Stated in simpler notation this reads

$$(k_2 \circ k_1)(f_2 \circ f_1) = (k_2 f_2) \circ (k_1 f_1).$$
(3.8)

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A special case of interest is when  $\mathbf{V}$  is a category whose object set is equipped with a vector space structure. In this case we might be interested in the case where the map

$$\rho(a, \cdot) : \operatorname{Obj}(\mathbf{V}) \longrightarrow \operatorname{Obj}(\mathbf{V}) : v \mapsto \rho(a, v)$$

is linear for each  $a \in \text{Obj}(\mathbf{G})$ . When  $Mor(\mathbf{V})$  is also equipped with a vector space structure the corresponding condition would be linearity of

$$\rho(k, \cdot) : \operatorname{Mor}(\mathbf{V}) \longrightarrow \operatorname{Mor}(\mathbf{V}) : f \mapsto \rho(k, f)$$

for all  $k \in Mor(\mathbf{G})$ . Needless to say other structures may also be incorporated; for example, if  $Obj(\mathbf{G})$  is a Lie group and  $Obj(\mathbf{V})$  is a Hilbert space we might require that  $\rho$  be continuous.

**Example RG1.** Let G be any group, and let  $\mathbf{G}_0$  be the categorical group with object set G, with a unique morphism  $a \longrightarrow b$ , written as (b, a), for every  $a, b \in G$ . Let V be a vector space and  $\mathbf{V}_0$  the category whose object set is V, with a unique arrow from  $v_1$  to  $v_2$ , for every  $v_1, v_2 \in V$ . The law of composition is the natural one:  $(v_3, v_2) \circ (v_2, v_1) = (v_3, v_1)$ . We note that  $\operatorname{Mor}(\mathbf{V}) \simeq V^2$  is a vector space. Now if  $\rho$  is a representation of G on V, then it defines a representation of  $\mathbf{G}_0$  on  $\mathbf{V}_0$  by

$$(a, v) \mapsto \rho(a, v)$$

$$((b, a); (v_2, v_1)) \mapsto (\rho(b, v_2), \rho(a, v_1)),$$

$$(3.9)$$

for any  $a, b \in G$  and  $v_1, v_2 \in V$ .

Our objective in the remainder of this section is to show that an action of a categorical group gives a 'double category' over  $\mathbf{V}$ . Terminology and description of this notion, originally introduced by Ehresmann, varies in the literature; a very compact, if somewhat opaque, definition is provided in [Mac Lane 1971, p. 44]: a double category is a set that is the set of morphisms of two categories such that the two composition laws obey an exchange law of the form given below in (3.13).

By a *double category*  $\mathbf{C}_{(2)}$  over a category  $\mathbf{C}$  we mean a category for which:

(a) the objects of  $C_{(2)}$  are the morphisms of C:

$$\operatorname{Obj}(\mathbf{C}_{(2)}) = \operatorname{Mor}(\mathbf{C});$$

(b) the morphisms of  $C_{(2)}$  are the arrows of a second category  $C_{(2)}^h$ :

$$\operatorname{Mor}(\mathbf{C}_{(2)}) = \operatorname{Mor}(\mathbf{C}_{(2)}^{h});$$

and

(c) the composition operation in the category  $\mathbf{C}_{(2)}^{h}$  and the composition operation in the category  $\mathbf{C}_{(2)}$  satisfy the conditions (h1) and (h2) stated below.

Before stating conditions (h1) and (h2) we adopt some notational and terminological conventions:

(i) a morphism of  $C_{(2)}$  will be displayed as a 'vertical' arrow

$$u_{1} - s(F) \longrightarrow u_{2}$$

$$F \downarrow$$

$$u'_{1} - t(F) \longrightarrow u'_{2},$$

$$(3.10)$$

where the source s(F) and the target t(F), both being objects of  $\mathbf{C}_{(2)}$ , are morphisms of  $\mathbf{C}$ ;

(ii) the composition of morphisms in  $\mathbf{C}_{(2)}$  will be denoted

$$(G, F) \mapsto G \circ F$$

and may be called *vertical composition* in light of the display convention in (i);

(iii) the composition of morphisms in  $\mathbf{C}^{h}_{(2)}$  will be called *horizontal composition* and denoted

$$(G, F) \mapsto G \circ_h F.$$

The two composition laws, vertical and horizontal, are required to satisfy the following conditions:

(h1) The horizontal composite  $G \circ_h F$  is defined if and only if both the composites  $s(G) \circ s(F)$  and  $t(G) \circ t(F)$  are defined in Mor(**C**) and then the source and target of  $G \circ_h F$  in **C**<sub>(2)</sub> are:

$$s(G \circ_h F) = s(G) \circ s(F) \text{ and } t(G \circ_h F) = t(G) \circ t(F), \tag{3.11}$$

as illustrated by the diagram:

$$u_{1} \longrightarrow s(F) \longrightarrow u_{2} \longrightarrow s(G) \longrightarrow u_{3} \qquad u_{1} \longrightarrow s(G \circ_{h} F) \longrightarrow u_{3}$$

$$F \downarrow \qquad G \downarrow \qquad = \qquad G \circ_{h} F \downarrow$$

$$u'_{1} \longrightarrow t(F) \longrightarrow u'_{2} \longrightarrow t(G) \longrightarrow u'_{3} \qquad u'_{1} \longrightarrow t(G \circ_{h} F) \longrightarrow u'_{3}$$

$$(3.12)$$

(h2) The exchange law

$$(G' \circ G) \circ_h (F' \circ F) = (G' \circ_h F') \circ (G \circ_h F)$$
(3.13)

holds in the diagram



for all morphisms F, G, F', and G' in  $\mathbf{C}_{(2)}$  for which the sources and targets are related as illustrated.

3.1. PROPOSITION. An action  $\rho$  of a categorical group **G** on a category **V** yields a double category over **V** whose morphisms are the elements

$$(k, f) \in \operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{V}),$$

with source and target being given by:

$$s(k, f) = f$$
 and  $t(k, f) = \rho(k)f.$  (3.15)

Composition of morphisms is given by

$$(k', f') \circ (k, f) = (k'k, f), \tag{3.16}$$

whenever f' = kf, and horizontal composition is given by

$$(k_2, f_2) \circ_h (k_1, f_1) = (k_2 \circ k_1, f_2 \circ f_1), \tag{3.17}$$

whenever the compositions on the right are defined.

PROOF. The horizontal composition specified by (3.17) is a genuine composition law for morphisms in the sense that it is the composition law in the product category  $\mathbf{G} \times \mathbf{V}$ , where source and target maps given by

$$s_h(k, f) = (s(k), s(f))$$
 and  $t_h(k, f) = (t(k), t(f))$ 

It is readily checked that the composition (3.16) has the correct behavior with regard to source and target maps, and is associative. Furthermore, for each  $f \in Mor(\mathbf{V})$ , the element  $(1_e, f)$  is the identity morphism  $f \longrightarrow f$ , where  $1_e \in Mor(\mathbf{G})$  is the identity element in the morphism group.

It remains only to verify the exchange law. On one hand we have

$$((k'_{2}, f'_{2}) \circ (k'_{1}, f'_{1})) \circ_{h} ((k_{2}, f_{2}) \circ (k_{1}, f_{1}))$$

$$= (k'_{2}k'_{1}, f'_{1}) \circ_{h} (k_{2}k_{1}, f_{1})$$

$$= ((k'_{2}k'_{1}) \circ (k_{2}k_{1}), f'_{1} \circ f_{1})$$

$$= ((k'_{2} \circ k_{2})(k'_{1} \circ k_{1}), f'_{1} \circ f_{1})$$

$$= ((k'_{2} \circ k_{2})(k'_{1} \circ k_{1}), f'_{1} \circ f_{1})$$

$$(3.18)$$

(using the exchange law (2.4)),

and on the other

$$\begin{pmatrix} (k'_2, f'_2) \circ_h (k_2, f_2) \end{pmatrix} \circ \begin{pmatrix} (k'_1, f'_1) \circ_h (k_1, f_1) \end{pmatrix} = (k'_2 \circ k_2, f'_2 \circ f_2) \circ (k'_1 \circ k_1, f'_1 \circ f_1) = \begin{pmatrix} (k'_2 \circ k_2) (k'_1 \circ k_1), f'_1 \circ f_1 \end{pmatrix},$$

in agreement with the last line in (3.18).

#### 4. Crossed modules and representations

In this section we will use the crossed module  $(G, H, \alpha, \tau)$  structure for a categorical group **G** (as discussed for (2.8)) to construct an action of a categorical group **G** from a representation of the underlying object group  $G = \text{Obj}(\mathbf{G})$ .

Let V be a vector space and  $\operatorname{End}(V)$  be the set of endomorphisms of V. Let us define a category **V**, whose object set is V and a morphism is given by an ordered pair (f, v), where  $f \in \operatorname{End}(V)$  and  $v \in V$ . Source and targets are given by

$$s(f, v) = v \quad \text{and} \quad t(f, v) = f(v), \tag{4.1}$$

and composition of morphisms is given by

$$(f', v') \circ (f, v) = (f' \circ f, v),$$
(4.2)

defined when v' = f(v).

Let  $\mathcal{D}$  be a representation of G on a vector space V:

$$\mathcal{D}: G \longrightarrow \mathrm{End}(V): g \mapsto \mathcal{D}_g.$$

Composing with the homomorphism  $\tau : H \longrightarrow G$  gives a representation T of H on V, given by

$$T_h = \mathcal{D}_{\tau(h)},\tag{4.3}$$

for every  $h \in H$ . Now we construct a functor

 $\rho : \mathbf{G} \times \mathbf{V} \longrightarrow \mathbf{V}.$ 

On objects it is given by

$$\rho(g)u = \mathcal{D}_g(u).$$

On morphisms it is given by

$$\rho : \operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{V}) \longrightarrow \operatorname{Mor}(\mathbf{V})$$

$$\begin{pmatrix} (h,g), (u,f) \end{pmatrix} \mapsto \begin{pmatrix} T_h \mathcal{D}_g f \mathcal{D}_g^{-1}, \mathcal{D}_g(u) \end{pmatrix}$$

$$= \left( \mathcal{D}_{g'} f \mathcal{D}_g^{-1}, \mathcal{D}_g u \right),$$
(4.4)

for all  $g \in G, h \in H, u \in V$  and  $f \in End(V)$ , with  $g' = \tau(h)g = t(h,g)$ ; we have used

$$T_h \mathcal{D}_g = \mathcal{D}_{g'}.\tag{4.5}$$

Diagrammatically put, the action of  $\rho(h,g)$  on (u, f) may be described by

$$u_{1} \xrightarrow{\rho(h,g)} f \xrightarrow{\rho(h,g)} u_{2}$$

$$\mathcal{D}_{g}u_{1} \xrightarrow{\mathcal{D}_{g'}} f \mathcal{D}_{g}^{-1} \xrightarrow{\mathcal{D}_{g'}} u_{2}$$

$$(4.6)$$

We show now that  $\rho$  is indeed a functor and provides an action of **G**.

4.1. PROPOSITION. Let **G** be the categorical group associated with a crossed module  $(G, H, \alpha, \tau)$ . Let **V** be the category with  $Obj(\mathbf{V}) = V$ , a vector space, and for which a morphism  $u_1 \longrightarrow u_2$  is given by  $(u_1, f)$ , where  $f \in End(V)$  and  $u_2 = f(u_1)$ . The composition is as in (4.2). Let  $\mathcal{D}$  be a representation of G on V. Then  $(g, u) \mapsto \mathcal{D}_g u$  and  $\rho$  as defined in (4.4) give an action of **G** on **V**.

**PROOF.** For notational simplicity we shall write  $a \cdot x$  for  $\rho(a)x$ , where a and x may be objects or morphisms. Moreover, composition of endomorphisms of V will also be written as juxtaposition, as already done in (4.4). The arguments below can be understood by consulting the diagram:

$$u_{1} \xrightarrow{\qquad f_{1} \xrightarrow{\qquad } u_{2} \xrightarrow{\qquad f_{2} \xrightarrow{\qquad } u_{3}}} u_{3} \qquad (4.7)$$

$$u_{1} \xrightarrow{\qquad (h_{1},g_{1})} \downarrow \xrightarrow{\qquad (h_{2},g_{2})} \downarrow$$

$$\mathcal{D}_{g_{1}}u_{1} \xrightarrow{\qquad } \mathcal{D}_{g_{2}}f_{1}\mathcal{D}_{g_{1}}^{-1} \xrightarrow{\qquad } \mathcal{D}_{g_{2}}u_{2} \xrightarrow{\qquad } \mathcal{D}_{g_{3}}f_{2}\mathcal{D}_{g_{2}}^{-1} \xrightarrow{\qquad } \mathcal{D}_{g_{3}}u_{3}$$

Using (2.11), (2.12) and (4.2) it is a straightforward verification that, whenever well defined,

$$\begin{pmatrix} (h_2, g_2) \circ (h_1, g_1) \end{pmatrix} \cdot \begin{pmatrix} (f_2, u_2) \circ (f_1, u_1) \end{pmatrix} = (h_2 h_1, g_1) \cdot (f_2 \circ f_1, u_1) & \text{from (2.12) and (4.2)} \\ = (T_{h_2 h_1} \mathcal{D}_{g_1} f_2 f_1 \mathcal{D}_{g_1}^{-1}, \mathcal{D}_{g_1}(u_1)), & \text{by (4.4)}, \end{cases}$$

which agrees with

$$\begin{pmatrix} (h_2, g_2) \cdot (f_2, u_2) \end{pmatrix} \circ \begin{pmatrix} (h_1, g_1) \cdot (u_1, f_1) \end{pmatrix} = \begin{pmatrix} T_{h_2} \mathcal{D}_{g_2} f_2 \mathcal{D}_{g_2}^{-1}, \mathcal{D}_{g_2} u_2 \end{pmatrix} \circ \begin{pmatrix} T_{h_1} \mathcal{D}_{g_1} f_1 \mathcal{D}_{g_1}^{-1}, \mathcal{D}_{g_1} u_1 \end{pmatrix} = \begin{pmatrix} T_{h_2} \mathcal{D}_{g_2} f_2 \mathcal{D}_{g_2}^{-1} T_{h_1} \mathcal{D}_{g_1} f_1 \mathcal{D}_{g_1}^{-1}, \mathcal{D}_{g_1} u_1 \end{pmatrix}$$

because

$$\begin{aligned} T_{h_2} \mathcal{D}_{g_2} f_2 \mathcal{D}_{g_2}^{-1} T_{h_1} \mathcal{D}_{g_1} f_1 \mathcal{D}_{g_1}^{-1} &= T_{h_2} \mathcal{D}_{g_2} f_2 \mathcal{D}_{g_2}^{-1} \mathcal{D}_{\tau(h_1)g_1} f_1 \mathcal{D}_{g_1}^{-1} \\ &= T_{h_2} \mathcal{D}_{\tau(h_1)g_1} f_2 f_1 \mathcal{D}_{g_1}^{-1} \\ &= T_{h_2 h_1} \mathcal{D}_{g_1} f_2 f_1 \mathcal{D}_{g_1}^{-1}. \end{aligned}$$

Hence  $\rho$  is a functor. Next, we check that  $\rho$  is a representation. Consider  $(h_1, g_1), (h_2, g_2) \in H \times G$  that are composable as elements of Mor(**G**); this means

$$g_2 = \tau(h_1)g_1.$$

Then

$$((h_2, g_2)(h_1, g_1)) \cdot (f, u) = (h_2 \alpha(g_2)(h_1), g_2 g_1) \cdot (f, u) = (T_{h_2 \alpha(g_2)(h_1)} \mathcal{D}_{g_2 g_1} f \mathcal{D}_{g_2 g_1}^{-1}, \mathcal{D}_{g_2 g_1} u)$$

which agrees with

$$(h_2, g_2) \cdot \left[ (h_1, g_1) \cdot (f, u) \right] = (h_2, g_2) \cdot \left( T_{h_1} \mathcal{D}_{g_1} f \mathcal{D}_{g_1}^{-1}, \mathcal{D}_{g_1} u \right) = \left( T_{h_2} \mathcal{D}_{g_2} T_{h_1} \mathcal{D}_{g_1} f \mathcal{D}_{g_1}^{-1} \mathcal{D}_{g_2}^{-1}, \mathcal{D}_{g_2} \mathcal{D}_{g_1} u \right),$$

on using the Peiffer identity  $\tau(\alpha(g_2)(h_1)) = g_2\tau(h_1)g_2^{-1}$  noted earlier in (2.13).

# 5. Twists and semidirect products of categories

In this section we introduce the notion of *semidirect product* or  $\eta$ -twisted product of categories. It should be noted here that the namesake of semidirect product of categories was introduced in [Steinberg, 1999]. However our definition of semidirect product is different both in approach and motivation. Our primary motivation comes from the discussion in the Introduction in the context of (1.4).

Let  $\mathbf{A}$  and  $\mathbf{B}$  be categories. Let

$$\eta: \operatorname{Mor}(\mathbf{A}) \times \operatorname{Mor}(\mathbf{B}) \longrightarrow \operatorname{Mor}(\mathbf{A})$$

be a map satisfying the following conditions:

1. For any  $k \in \text{Hom}(a_1, a_2)$  and  $f \in \text{Hom}(b_1, b_2)$ ,

$$\eta(k, f) \in \operatorname{Hom}(a_1, a_2), \tag{5.1}$$

where  $a_1, a_2 \in \text{Obj}(\mathbf{A}), b_1, b_2 \in \text{Obj}(\mathbf{B}).$ 

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2. The map  $\eta$  behaves as a right action: for any  $k \in Mor(\mathbf{A})$  and composable  $f_2, f_1 \in Mor(\mathbf{B})$ :

$$\eta(k, f_2 \circ f_1) = \eta(\eta(k, f_2), f_1)$$

$$\eta(k, 1_b) = k$$
(5.2)

where  $1_b$  is the identity morphism  $b \longrightarrow b$  for any  $b \in \text{Obj}(\mathbf{B})$ .

3. For any  $f \in Mor(\mathbf{B})$  and composable  $k_1, k_2 \in Mor(\mathbf{A})$ 

$$\eta(k_2 \circ k_1, f) = \eta(k_2, f) \circ \eta(k_1, f)$$

$$\eta(1_a, f) = 1_a$$
(5.3)

for every object  $a \in \text{Obj}(\mathbf{A})$ .

5.1. PROPOSITION. Let **A** and **B** be categories and  $\eta : \operatorname{Mor}(\mathbf{A}) \times \operatorname{Mor}(\mathbf{B}) \longrightarrow \operatorname{Mor}(\mathbf{A})$ satisfy conditions 1-3 listed above. Then  $\eta$  specifies a category  $\mathbf{A} \rtimes_{\eta} \mathbf{B}$  that has the following description:

$$Obj(\mathbf{A} \rtimes_{\eta} \mathbf{B}) := Obj(\mathbf{A}) \times Obj(\mathbf{B})$$

$$Mor(\mathbf{A} \rtimes_{\eta} \mathbf{B}) := Mor(\mathbf{A}) \times Mor(\mathbf{B})$$
(5.4)

$$Mor(\mathbf{A} \rtimes_{\eta} \mathbf{B}) := Mor(\mathbf{A}) \times Mor(\mathbf{B}), \tag{5.5}$$

target and source maps are the obvious ones, and the composition law is given by

$$(k_2, f_2) \circ_{\eta} (k_1, f_1) := (\eta(k_2, f_1) \circ k_1, f_2 \circ f_1).$$
(5.6)

We call  $\mathbf{A} \rtimes_{\eta} \mathbf{B}$  the *semidirect product* of the categories  $\mathbf{A}$  and  $\mathbf{B}$  with respect to  $\eta$ . In the special case  $\eta : (k, f) \mapsto k$  the semi-direct product reduces to the ordinary (direct) product.

PROOF. Condition (5.1) ensures that composition in the right hand side of (5.6) is well defined. To verify associativity, consider composable morphisms  $(k_3, f_3)$ ,  $(k_2, f_2)$  and  $(k_1, f_1)$  in  $\mathbf{A} \rtimes_{\eta} \mathbf{B}$ ; then

$$((k_3, f_3) \circ_{\eta} (k_2, f_2)) \circ_{\eta} (k_1, f_1) = (\eta(k_3, f_2) \circ k_2, f_3 \circ f_2) \circ_{\eta} (k_1, f_1)$$

$$= (\eta(\eta(k_3, f_2) \circ k_2, f_1) \circ k_1, f_3 \circ f_2 \circ f_1)$$
(5.7)

and

$$(k_3, f_3) \circ_\eta \left( (k_2, f_2) \circ_\eta (k_1, f_1) \right) = (k_3, f_3) \circ_\eta \left( \eta(k_2, f_1) \circ k_1, f_2 \circ f_1 \right) = \left( \eta(k_3, f_2 \circ f_1) \circ \eta(k_2, f_1) \circ k_1, f_3 \circ f_2 \circ f_1, \right).$$

Conditions (5.2) and (5.3) imply that the right hand sides of (5.7) and (5.8) are equal. These conditions also clearly imply that  $(1_a, 1_b) : (a, b) \longrightarrow (a, b)$  is the identity morphism associated with  $(a, b) \in \text{Obj}(\mathbf{A} \times \mathbf{B})$ , where  $a \in \text{Obj}(\mathbf{A})$  and  $b \in \text{Obj}(\mathbf{B})$ . **Example SD1.** A homomorphism  $\alpha : G_2 \longrightarrow \operatorname{Aut}(G_1) : b \mapsto \alpha_b$ , where  $G_1$  and  $G_2$  are groups, specifies a semidirect product group  $G_1 \rtimes_{\alpha} G_2$  given by the group product

$$(a_2, b_2)(a_1, b_1) = (a_2 \alpha_{b_2}(a_1), b_2 b_1)$$

for any  $a_1, a_2 \in G_1$ ,  $b_1, b_2 \in G_2$ . Let  $\mathbf{G}_1$  be the usual single-object category associated with the group  $G_1$ , and  $\mathbf{G}_2$  be likewise for  $G_2$ ; in particular,  $\operatorname{Mor}(\mathbf{G}_j) = G_j$ . Then  $G_1 \rtimes_{\alpha} G_2$ naturally defines the semidirect product category  $\mathbf{G}_1 \rtimes_{\eta_{\alpha}} \mathbf{G}_2$ , where

$$\eta_{\alpha}: G_1 \times G_2 \longrightarrow G_1: (a, b) \mapsto \alpha_b(a).$$

Conversely, given a semidirect product between two single object categories we recover the semidirect product of groups.

**Example SD2.** Let us again consider the crossed module  $(G, H, \alpha, \tau)$  and the corresponding categorical group **G**. Suppose morphisms (h, g), (h', g) have the same source and target elements. Thus  $\tau(h)g = \tau(h')g$ ; hence h and h' must be related as h' = ah, for some  $a \in \ker(\tau)$ . Now let V be a vector space. Define a single object category  $\mathbf{C}(V)$ , the single object being V and a morphism of  $\mathbf{C}(V)$  being any element of  $\operatorname{Aut}(V)$ , the set of all invertible endomorphisms of V; thus:

$$\operatorname{Obj}(\mathbf{C}(V)) = \{V\}, \quad \operatorname{Mor}(\mathbf{C}(V)) = \operatorname{Aut}(V).$$

Let  $\mu$ : Aut $(V) \longrightarrow \text{Ker}(\tau) \subset H$  be a homomorphism. Then we obtain a homomorphism Aut $(V) \longrightarrow \text{Aut}(H)$  given by conjugation

$$(\mu(f))(h) := \mu(f)^{-1}h\mu(f) := \mu(h, f),$$

where  $\mu(f) \in \text{Ker}(\tau)$ . We will now define a semidirect product between the categories **G** and **C**(V). Define a map

$$\eta : \operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{C}(V)) \longrightarrow \operatorname{Mor}(\mathbf{G})$$

given by

$$((h,g); f) \mapsto (\mu(h,f),g) \in H \rtimes_{\alpha} G \simeq \operatorname{Mor}(\mathbf{G}),$$

where  $g \in G, h \in H$  and  $f \in Mor(\mathbf{C}(V)) = Aut(V)$ . Both  $(\mu(h, f), g)$  and (h, g) have source g; they also have a common target:

$$\tau\left(\mu(h,f)\right)g = \tau\left(\mu(f)^{-1}\right)\tau(h)\tau\left(\mu(f)\right)g = \tau(h)g$$

because  $\mu(f)$  is assumed to be in ker  $\tau$ . Next we check that

$$\eta((h,g); f_2 \circ f_1) = \left(\mu(f_2 f_1)^{-1} h \mu(f_2 f_1), g\right)$$

agrees with

$$\eta\Big(\eta\big((h,g);\,f_2\big),f_1\Big) = \eta\Big(\big(\mu(f_2)^{-1}h\mu(f_2),g\big);\,f_1\Big)$$

$$= \Big(\mu(f_1)^{-1}\mu(f_2)^{-1}h\mu(f_2)\mu(f_1),\,g\Big),$$
(5.8)

and, with  $g' = \tau(h)g$ , we have

$$\eta((h',g')\circ(h,g);f) = \eta((h'h,g);f) \quad (\text{using } (2.12))$$

$$= \left(\mu(f)^{-1}h'h\mu(f),g\right)$$

$$= \eta((h',g');f)\circ\eta((h,g);f).$$
(5.9)

Hence  $\eta$  satisfies conditions (5.1)–(5.3). By Proposition 5.1 it defines a semidirect product category  $\mathbf{G} \rtimes_{\eta} \mathbf{C}(V)$ . The composition, stated explicitly, is

$$\begin{pmatrix} (h_2, g_2); f_2 \end{pmatrix} \circ_\eta \begin{pmatrix} (h_1, g_1); f_1 \end{pmatrix} = \begin{pmatrix} \eta ((h_2, g_2), f_1) \circ (h_1, g_1); f_2 \circ f_1 \end{pmatrix}$$

$$= \begin{pmatrix} (\mu(f_1)^{-1}h_2\mu(f_1)h_1, g_1); f_2 \circ f_1 \end{pmatrix}$$

$$(5.10)$$

**Example VGG**. Let  $\alpha : G \longrightarrow \text{End}(V)$  be a representation of a group G on a vector space V over some field. Then, with V viewed as an additive group, we have a crossed module

 $(G, V, \alpha, \tau),$ 

where the target map  $\tau$  is the constant map  $\tau : V \longrightarrow G : v \mapsto e$ . We have discussed this in Example CG2 in section 2. The object group of this categorical group is G and the morphism group is the semi-direct product  $V \rtimes_{\alpha} G$ . There is another example we can construct out of this. Consider the category  $\mathbf{V}$ , whose object set is the one-element set  $\{V\}$  and whose morphism set is V, with composition being given by addition of vectors. Next consider the categorical group  $\mathbf{G}_0$ , whose object group is G and a unique morphism (b, a) goes from each (source)  $a \in G$  to each (target)  $b \in G$ . Consider next the map

$$\eta: V \times (G \times G) \longrightarrow V: \left(v, (g_2, g_1)\right) \mapsto \alpha(g_1 g_2^{-1}) v = g_1 g_2^{-1} v.$$

We write  $\alpha(q)w$  as qw, and verify that

$$\eta \Big( \eta \big( v, (g_3, g_2) \big), (g_2, g_1) \Big) = \eta \Big( g_2 g_3^{-1} v, (g_2, g_1) \Big) \\ = g_1 g_2^{-1} g_2 g_3^{-1} v = g_1 g_3^{-1} v \\ = \eta \Big( v, (g_3, g_2) \circ (g_2, g_1) \Big)$$

and

$$\eta(v', (g_2, g_1)) \circ \eta(v, (g_2, g_1)) = g_2 g_1^{-1} v' + g_2 g_1^{-1} v$$
  
=  $g_2 g_1^{-1} (v' + v)$   
=  $\eta(v' \circ v, (g_2, g_1)),$ 

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for all  $g_1, g_2, g_3 \in G$  and all  $v, v' \in V$ . Moreover,

$$\eta(v, (g, g)) = v$$
  
$$\eta(0, (g_2, g_1)) = 0$$

for all  $v \in V$  and all  $g, g_1, g_2 \in G$ . Thus  $\eta$  satisfies the conditions (5.1), (5.2),(5.3). The composition law of morphisms for the semidirect product category  $\mathbf{V} \rtimes_{\eta} \mathbf{G}_0$  is

$$\begin{pmatrix} w, (g_3, g_2) \end{pmatrix} \circ \begin{pmatrix} v, (g_2, g_1) \end{pmatrix} = \begin{pmatrix} \eta (w, (g_2, g_1)) + v, (g_3, g_1) \end{pmatrix}$$

$$= \begin{pmatrix} g_1 g_2^{-1} w + v, (g_3, g_1) \end{pmatrix}.$$

$$(5.11)$$

**Example 1D.** Let G be a group,  $\alpha : G \longrightarrow \text{End}(H)$  a representation on a finite dimensional complex vector space H, and  $\lambda_0$  a one-dimensional representation of H; we write  $\alpha(g)h$  simply as gh. Let **G** be the categorical group whose object group is G, the morphism group is  $H \times_{\alpha} G$ , source and targets are given by

$$s(h,g) = t(h,g) = g$$

and composition by

$$(h_2, g) \circ (h_1, g) = (h_1 + h_2, g).$$
 (5.12)

Consider

$$\eta_0: V \times (H \rtimes_\alpha G) \longrightarrow V: (v; h, g) \mapsto \lambda_0(g^{-1}h)v.$$
(5.13)

We note that  $\eta_0(0; h, g) = 0$  and  $\eta_0(v; 0, e) = v$ . Working with  $g_2 = g_1$ ,

and

$$\eta_0(v_2; h, g) \circ \eta_0(v_1; h, g) = \lambda_0(g^{-1}h)v_2 + \lambda_0(g^{-1}h)v_1$$
  
=  $\lambda_0(g^{-1}h)(v_2 + v_1)$   
=  $\eta_0(v_2 \circ v_1; h, g).$ 

Thus  $\eta_0$  specifies a semidirect product  $\mathbf{V} \rtimes_{\eta_0} \mathbf{G}$ , where  $\mathbf{V}$  is the category with one object and with morphism group being the additive group of V.

It has been pointed out to us by the referee that in the preceding example  $\lambda_0$  need not be 1-dimensional.

#### 6. Semidirect products and twisted actions

In this section we develop a notion of a 'twisted action' of a categorical group on a category, the twist arising from an  $\eta$ -map as discussed in the preceding section.

Let  $\mathbf{V}$  be a category,  $\mathbf{G}$  a categorical group, and suppose

$$\eta : \operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{V}) \longrightarrow \operatorname{Mor}(\mathbf{G})$$

satisfies conditions (5.1)–(5.3). By Proposition 5.1 there is a semidirect product category  $\mathbf{G} \rtimes_{\eta} \mathbf{V}$ . The composition law for morphisms is

$$(k_2, f_2) \circ_\eta (k_1, f_1) = (\eta(k_2, f_1) \circ k_1, f_2 \circ f_1)$$
(6.1)

whenever the right side is well-defined. In this section we will assume, furthermore, that

$$\eta(k1_a, f) = \eta(k, f)1_a$$

$$\eta(1_ck, f) = 1_c \eta(k, f)$$
(6.2)

for all  $k \in Mor(\mathbf{G})$ ,  $a, c \in Obj(\mathbf{G})$ , and  $f \in Mor(\mathbf{V})$ . Then for any  $k_1 : a \longrightarrow b$  and  $k_2 : c \longrightarrow d$  in  $Mor(\mathbf{G})$  and any  $f \in Mor(\mathbf{V})$ ,

$$\eta(k_{2}k_{1}, f) = \eta((k_{2} \circ 1_{c})(1_{b} \circ k_{1}), f)$$

$$= \eta((k_{2}1_{b}) \circ (1_{c}k_{1}), f)$$

$$= \eta(k_{2}1_{b}, f) \circ \eta(1_{c}k_{1}, f) \quad (\text{using } (5.3))$$

$$= [\eta(k_{2}, f)1_{b}] \circ [1_{c}\eta(k_{1}, f)]$$

$$= [\eta(k_{2}, f) \circ 1_{c}][1_{b} \circ \eta(k_{1}, f)]$$

$$= \eta(k_{2}, f)\eta(k_{1}, f).$$
(6.3)

Thus  $\eta$  respects not only the composition law in Mor(**G**) but also the group product operation.

By an *action* of **G** associated to  $\eta$ , or an  $\eta$ -twisted action of **G**, we mean a functor

$$\rho: \mathbf{G} \rtimes_{\eta} \mathbf{V} \longrightarrow \mathbf{V} \tag{6.4}$$

for which

$$\rho(1_e, f) = f \tag{6.5}$$

for all  $f \in Mor(\mathbf{V})$ , with e being the identity element in  $Obj(\mathbf{G})$ , and

$$\rho(k_2, \rho(k_1, f)) = \rho(k_2 k_1, f) \tag{6.6}$$

for all  $k_1, k_2 \in Mor(\mathbf{G})$  and  $f \in Mor(\mathbf{V})$ . As seen earlier in the context of (3.5) and (3.6) we then have

$$\rho(e, v) = v$$
  

$$\rho(a, \rho(b, v)) = \rho(ab, v)$$

for all  $a, b \in \text{Obj}(\mathbf{G})$  and all  $v \in \text{Mor}(\mathbf{V})$ .

The key new idea built into this notion is encoded in the requirement that  $\rho$  be also a functor; this implies that

$$\rho(k_2, f_2) \circ \rho(k_1, f_1) = \rho\left(\eta(k_2, f_1) \circ k_1, f_2 \circ f_1\right)$$
(6.7)

for all  $k_1, k_2 \in Mor(\mathbf{G})$ , and  $f_1, f_2 \in Mor(\mathbf{V})$  for which the composites  $k_2 \circ k_1$  and  $f_2 \circ f_1$  are defined. Dropping the explicit reference to  $\rho$ , this becomes:

$$(k_2 \cdot f_2) \circ (k_1 \cdot f_1) = (\eta(k_2, f_1) \circ k_1) \cdot (f_2 \circ f_1), \tag{6.8}$$

where  $a \cdot x$  means  $\rho(a, x)$ .

For the special case  $\eta = \Pr_1 : (k, \phi) \mapsto k$  we recover actions as defined in (3.1. The distinction between this simplest case and the general semidirect product is expressed in the functoriality of  $\rho$ , as explicitly given through (6.7).

The following is the analog of Proposition 3.1:

6.1. PROPOSITION. Suppose  $\rho : \mathbf{G} \rtimes_{\eta} \mathbf{V} \longrightarrow \mathbf{V}$  is an action associated to  $\eta$ , where  $\mathbf{G}$  is a categorical group,  $\mathbf{V}$  is a category,  $\eta$  satisfies the relations (6.2) as well as

$$\eta(k, k'f) = \eta(k, f) \tag{6.9}$$

for all  $k, k' \in Mor(\mathbf{G})$  and all  $f \in Mor(\mathbf{V})$ . Then there is a double category over  $\mathbf{V}$  whose arrows are the elements  $(k, f) \in Mor(\mathbf{G}) \times Mor(\mathbf{V})$ , with source and target given by

$$s(k, f) = f$$
 and  $t(k, f) = \rho(k, f),$  (6.10)

composition given by

$$(k', f') \circ (k, f) = (k'k, f), \tag{6.11}$$

whenever  $f' = \rho(k')f$ , and horizontal composition given by the semidirect product composition

$$(k_2, f_2) \circ_h (k_1, f_1) = (k_2, f_2) \circ_\eta (k_1, f_1)$$
(6.12)

whenever the composition on the right is defined.

We note that  $\circ_h$  is a genuine composition law in a category, and source and target maps in this category are given by

$$s_h(k, f) = (s(k), s(f))$$
 and  $t_h(k, f) = (t(k), t(f))$ 

as in (3.1). We shall denote the double category specified in this Proposition by

$$\Delta_{\rho}^{\eta}(\mathbf{V}).$$

The relation (6.9) expresses an invariance of  $\eta$  with respect to  $\rho$ .

**PROOF.** First let us check that the source and target of horizontal composition  $\circ_h$  behave correctly. We have

$$s_h[(k_2, f_2) \circ_h (k_1, f_1)] = s_h (\eta(k_2, f_1) \circ k_1, f_2 \circ f_1)$$
  
=  $(s(k_1), s(f_1))$   
=  $s_h(k_1, f_1)$ 

and

$$t_h [(k_2, f_2) \circ_h (k_1, f_1)] = t_h (\eta(k_2, f_1) \circ k_1, f_2 \circ f_1)$$
  
=  $(t(\eta(k_2, f_1) \circ k_1), t(f_2 \circ f_1))$   
=  $(t(k_2), t(f_2))$   
=  $t_h(k_2, f_2).$ 

The source and target maps for the composition law  $\circ$  also behave correctly as we now verify. We have

$$s[(k', f') \circ (k, f)] = s(k'k, f)$$
  
= f  
= s(k, f)

and

$$t[(k', f') \circ (k, f))] = \rho(k'k)f$$
  
=  $\rho(k')(\rho(k)f)$   
=  $\rho(k')f'$   
=  $t(k', f').$ 

We need now only verify the exchange law. On one hand we have

$$[(k'_{2}, f'_{2}) \circ (k_{2}, f_{2})] \circ_{h} [(k'_{1}, f'_{1}) \circ (k_{1}, f_{1})]$$

$$= (k'_{2}k_{2}, f_{2}) \circ_{h} (k'_{1}k_{1}, f_{1})$$

$$= (\eta(k'_{2}k_{2}, f_{1}) \circ (k'_{1}k_{1}), f_{2} \circ f_{1})$$

$$(6.13)$$

and on the other

$$\begin{pmatrix} (k'_2, f'_2) \circ_h (k'_1, f'_1) \end{pmatrix} \circ ((k_2, f_2) \circ_h (k_1, f_1)) \\ = (\eta(k'_2, f'_1) \circ k'_1, f'_2 \circ f'_1) \circ (\eta(k_2, f_1) \circ k_1, f_2 \circ f_1) \\ = ((\eta(k'_2, f'_1)\eta(k_2, f_1)) \circ (k'_1k_1), f_2 \circ f_1),$$

and so, noting that  $f'_1 = k_1 f_1$  and using the identities (6.3) and (6.9) satisfied by  $\eta$  and comparing with (6.13), we see that the exchange law holds.

Let  $(\eta_1, \rho_1, \mathbf{V}_1)$  and  $(\eta_2, \rho_2, \mathbf{V}_2)$  be actions of a categorical group **G** on categories  $\mathbf{V}_1$  and  $\mathbf{V}_2$ , respectively. By a *morphism* 

$$\mathbf{F}: (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$$

we mean a functor  $\mathbf{F} : \mathbf{V}_1 \longrightarrow \mathbf{V}_2$  that intertwines both  $\eta_j$  and  $\rho_j$  in the sense that the following two diagrams commute:

$$\operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{V}_{1}) \xrightarrow{\eta_{1}} \operatorname{Mor}(\mathbf{G}) \qquad (6.14)$$

$$\downarrow^{\operatorname{Id}_{\mathbf{G}} \times \mathbf{F}} \qquad \operatorname{Id}_{\mathbf{G}} \downarrow$$

$$\operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{V}_{2}) \xrightarrow{\eta_{2}} \operatorname{Mor}(\mathbf{G})$$

and

$$\mathbf{G} \rtimes_{\eta_{1}} \mathbf{V}_{1} \xrightarrow{\rho_{1}} \mathbf{V}_{1} \qquad (6.15)$$

$$\downarrow^{\mathrm{Id}_{\mathbf{G}} \times \mathbf{F}} \qquad \mathbf{F} \downarrow \qquad (6.15)$$

$$\mathbf{G} \rtimes_{\eta_{2}} \mathbf{V}_{2} \xrightarrow{\rho_{2}} \mathbf{V}_{2}$$

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In (6.14) arrows are set maps, and in (6.15) arrows are functors. Thus the functor **F** must be 'compatible' with maps  $\eta_1$  and  $\eta_2$ . In this context we make the following observation.

6.2. LEMMA. If diagram (6.14) commutes then  $Id_{\mathbf{G}} \times \mathbf{F}$  is a functor.

PROOF. Let  $k \in Mor(\mathbf{G})$  and  $f \in Mor(\mathbf{V}_1)$ . Then the commutation of the diagram (6.14) implies

$$\eta_2(k, \mathbf{F}(f)) = \eta_1(k, f). \tag{6.16}$$

At the morphism and object level  $Id_{\mathbf{G}} \times \mathbf{F}$  is defined as usual:

$$Id_{\mathbf{G}} \times \mathbf{F} : (k, f) \mapsto (k, \mathbf{F}(f))$$
$$Id_{\mathbf{G}} \times \mathbf{F} : (g, v) \mapsto (g, \mathbf{F}(v)).$$

For composable morphisms (k', f') and (k, f) in  $\mathbf{G} \rtimes_{\eta_1} \mathbf{V}_1$  we have, by the definition of the semidirect product structure in (5.6),

$$(k', f') \circ_{\eta_1} (k, f) := (\eta_1(k', f) \circ k, f' \circ f).$$

Then we have

$$(\mathrm{Id}_{\mathbf{G}} \times \mathbf{F})(k', f') \circ_{\eta_2} (\mathrm{Id}_{\mathbf{G}} \times \mathbf{F})(k, f) = (\eta_2(k', \mathbf{F}(f)) \circ k, \mathbf{F}(f') \circ \mathbf{F}(f)) = (\eta_1(k', f) \circ k, \mathbf{F}(f' \circ f)) (using (6.16)) = (\mathrm{Id}_{\mathbf{G}} \times \mathbf{F})(\eta_1(k', f) \circ k, f' \circ f) = (\mathrm{Id}_{\mathbf{G}} \times \mathbf{F})((k', f') \circ_{\eta_1}(k, f)),$$

which shows that  $\mathrm{Id}_{\mathbf{G}} \times \mathbf{F}$  is a functor.

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Recall the invariance relation (6.9):

$$\eta_1(k, \rho_1(k', f_1)) = \eta_1(k, f_1),$$

for all  $k, k' \in Mor(\mathbf{G})$  and all  $f_1 \in Mor(\mathbf{V}_1)$ , that was needed in the double category result Proposition 6.1. We observe that this relation is preserved by morphisms; for, on using the commutativity in the diagrams (6.14) and (6.15), we have then

$$\eta_2(k, \rho_2(k', f_2)) = \eta_2(k, f_2), \tag{6.17}$$

for all  $k, k' \in Mor(\mathbf{G})$  and all  $f_2 \in Mor(\mathbf{V}_2)$  in the image of  $\mathbf{F}$ .

Representations of 2-groups on 2-categories are studied in [Baez et al., 2012]. Their definitions and development are different from ours. However, similar considerations arise there as well regarding relationships between different representations. In their framework such relationships are expressed through 1-intertwiners and their higher counterparts, called 2-intertwiners. In our framework, the corresponding notions are functors between twisted actions and natural transformations between such functors.

Let  $\mathbf{F} : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$  be a morphism between two actions of a categorical group  $\mathbf{G}$ . Let  $\Delta_{\rho}^{\eta}(\mathbf{V})$  be the double category defined (in Proposition 6.1) by the representation  $(\eta, \rho)$  on  $\mathbf{V}$ . Then the morphism  $\mathbf{F} : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$  induces a kind of functor between the double categories  $\Delta_{\rho_1}^{\eta_1}(\mathbf{V}_1) \longrightarrow \Delta_{\rho_2}^{\eta_2}(\mathbf{V}_2)$ . We explain this fully in:

6.3. PROPOSITION. Let  $\mathbf{F} : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$  be a morphism between actions  $(\eta_1, \rho_1)$  and  $(\eta_2, \rho_2)$  of a categorical group  $\mathbf{G}$  on categories  $\mathbf{V}_1$  and  $\mathbf{V}_2$  respectively. Let  $\Delta_{\rho_1}^{\eta_1}(\mathbf{V}_1)$  and  $\Delta_{\rho_2}^{\eta_2}(\mathbf{V}_2)$  be the respective double categories over  $\mathbf{V}_1$  and  $\mathbf{V}_2$  defined by  $(\eta_1, \rho_1)$  and  $(\eta_2, \rho_2)$  respectively. Then  $\mathbf{F}$  induces a mapping  $\mathbf{F}_*$ , both on objects and on morphisms,

$$\Delta_{\rho_1}^{\eta_1}(\mathbf{V}_1) \longrightarrow \Delta_{\rho_2}^{\eta_2}(\mathbf{V}_2)$$

and  $\mathbf{F}_*$  carries vertical composition to vertical composition and horizontal composition to horizontal composition.

**PROOF.** Recall that  $\mathbf{F}$  is a functor

$$\mathbf{F}:\mathbf{V}_{1}\longrightarrow\mathbf{V}_{2}.$$

An object of  $\Delta_{\rho_1}^{\eta_1}(\mathbf{V}_1)$  is a morphism  $f: v_1 \longrightarrow v'_1$  in Mor $(\mathbf{V}_1)$ . We define  $\mathbf{F}_*$  on objects by

$$\mathbf{F}_*(f) = \mathbf{F}(f).$$

Next consider a morphism of  $\Delta_{\rho_1}^{\eta_1}(\mathbf{V}_1)$ . This is of the form

$$(k, f_1) \in \operatorname{Obj}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{V}_1).$$

We define  $\mathbf{F}_*$  on morphisms by

$$\mathbf{F}_{*}(k, f_{1}) = (k, \mathbf{F}(f_{1})). \tag{6.18}$$

Then for vertical composition we have

$$\mathbf{F}_{*}((k', f_{1}') \circ (k, f_{1})) = \mathbf{F}_{*}(k'k, f_{1}) = (k'k, \mathbf{F}(f_{1})) = \mathbf{F}_{*}(k', f_{1}') \circ \mathbf{F}_{*}(k, f_{1})$$

where, for the last step, we note that the composition  $\mathbf{F}_*(k', f_1') \circ \mathbf{F}_*(k, f_1)$  is meaningful because the target of  $\mathbf{F}_*(k, f_1)$  is

$$\rho_2(k, \mathbf{F}(f_1)),$$

which, by the commutative diagram (6.15), is equal to  $\mathbf{F}(\rho_1(k, f_1))$ , which is the same as  $\mathbf{F}(f'_1)$ , the source of  $\mathbf{F}_*(k', f'_1)$ .

Next we consider the effect of  $\mathbf{F}_*$  on horizontal composition. Suppose (k, f) and (k', f') are morphisms of  $\Delta_{\rho_1}^{\eta_1}(\mathbf{V}_1)$  for which the composite  $(k', f') \circ_h (k, f)$  is defined; this means that the composite  $f' \circ f$  is defined and also  $k' \circ k$  is defined. (Our goal at this point is to show first that the composite  $\mathbf{F}(k', f') \circ_h \mathbf{F}(k, f)$  is defined.) Hence  $\mathbf{F}_*(f') \circ \mathbf{F}_*(f)$  is defined and, moreover,

$$\mathbf{F}(\rho_1(k',f')) \circ \mathbf{F}(\rho_1(k,f)) = \rho_2(k',\mathbf{F}(f')) \circ \rho_2(k,\mathbf{F}(f))$$

$$= \rho_2(\eta_2(k',\mathbf{F}(f)) \circ k,\mathbf{F}(f' \circ f))$$
(6.19)

is also defined since  $\eta_2(k', \mathbf{F}(f))$ , having the same source and target as k', is composable with k. Thus, both the composites

$$\mathbf{F}(f') \circ \mathbf{F}(f)$$

and

$$\mathbf{F}\big(\rho_1(k',f')\big) \circ \mathbf{F}\big(\rho_1(k,f)\big)$$

are defined. Hence the horizontal composite

$$\mathbf{F}_*(k',f') \circ_h \mathbf{F}_*(k,f)$$

is defined. Computing the effect of  $\mathbf{F}_*$  on horizontal composition we have:

$$\mathbf{F}_{*}(k',f') \circ_{h} \mathbf{F}_{*}(k,f) = (k',\mathbf{F}(f')) \circ_{h} (k,\mathbf{F}(f))$$

$$= \left(\eta_{2}(k',\mathbf{F}(f)) \circ k,\mathbf{F}(f' \circ f)\right)$$

$$= \left(\eta_{1}(k',f) \circ k,\mathbf{F}(f' \circ f)\right)$$
(using (6.16))
$$= \mathbf{F}_{*}((k',f') \circ_{h} (k,f)).$$

Thus  $\mathbf{F}_*$  preserves both horizontal and vertical compositions.

Let  $\mathbf{F}_1 : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$  and  $\mathbf{F}_2 : (\eta_2, \rho_2, \mathbf{V}_2) \longrightarrow (\eta_3, \rho_3, \mathbf{V}_3)$  be two morphisms as described above. Then there is clearly a natural composition

$$\mathbf{F}_2 \circ \mathbf{F}_1 : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_3, \rho_3, \mathbf{V}_3),$$

and it is readily checked that this composition is associative. The identity functor  $\mathrm{Id}_{\mathbf{V}}$ :  $\mathbf{V} \longrightarrow \mathbf{V}$  yields a morphism  $\mathrm{Id}_{\mathbf{V}} : (\eta, \rho, \mathbf{V}) \longrightarrow (\eta, \rho, \mathbf{V})$ .

6.4. PROPOSITION. There is a category  $\operatorname{Rep}(\mathbf{G})$  whose objects are twisted actions of a given categorical group  $\mathbf{G}$  given by means of semidirect products with categories and whose morphisms are the morphisms between such actions.

If  $\mathbf{F}, \mathbf{F}', \mathbf{F}'' : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$  and  $\omega : \mathbf{F} \Rightarrow \mathbf{F}', \omega' : \mathbf{F}' \Rightarrow \mathbf{F}''$  are natural transformations then there is a composite natural transformation  $\omega' \circ \omega : \mathbf{F} \Rightarrow \mathbf{F}''$  given by

$$(\omega' \circ \omega)(v) := \omega'(v) \circ \omega(v) \qquad \forall v \in \mathrm{Obj}(\mathbf{V}_1).$$
(6.21)

Now suppose

$$\mathbf{F}_1, \mathbf{F}_1' : (\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2), \\ \mathbf{F}_2, \mathbf{F}_2' : (\eta_2, \rho_2, \mathbf{V}_2) \longrightarrow (\eta_3, \rho_3, \mathbf{V}_3)$$

are morphisms, and  $\omega_1 : \mathbf{F}_1 \Rightarrow \mathbf{F}'_1, \, \omega_2 : \mathbf{F}_2 \Rightarrow \mathbf{F}'_2$  are a pair of natural transformations; then a natural transformation  $\omega_2 \circ_H \omega_1 : \mathbf{F}_2 \circ \mathbf{F}_1 \Rightarrow \mathbf{F}'_2 \circ \mathbf{F}'_1$  is specified by

$$(\omega_{2} \circ_{H} \omega_{1})(v) := \mathbf{F}_{2}'((\omega_{1}(v))) \circ \omega_{2}(\mathbf{F}_{1}(v))$$
(6.22)  
or equivalently  
$$:= \omega_{2}(\mathbf{F}_{1}'(v)) \circ \mathbf{F}_{2}((\omega_{1}(v))), \quad \forall v \in \mathrm{Obj}(\mathbf{V}_{1}).$$

#### 7. An example with multiple twists

In this section we construct an example of twists and representations motivated by the Poincaré group  $SO(1,3) \rtimes \mathbb{R}^4$ . This group, being of great interest, has been studied by others, for example [Baez, 2002, Baez et al., 2012, Baez and Wise, 2012, Baratin and Wise, 2009, Crane and Sheppeard, 2003, Pfeiffer, 2003], in its role as a categorical group. Our study is entirely different in substance and flavor, and our objective is primarily to demonstrate how  $\eta$ -maps can be constructed in such natural but complex examples.

In what follows one could take G to be the universal cover  $SL(2, \mathbb{C})$  of the proper orthochronous Lorentz group and H to be  $\mathbb{R}^4$ . The vector space V used below can be thought of as a 'spinorial' space on which a representation S of  $SL(2, \mathbb{C})$  is given.

Let  $\alpha : G \longrightarrow \text{End}(H)$  be a representation, where, as usual, G is the object group of a categorical Lie group **G**, and H is a real vector space. We write  $\alpha$  as juxtaposition:

$$gh \stackrel{\mathrm{def}}{=} \alpha(g)h.$$

Then (as in Example CG2) we can form a categorical Lie group  $\mathbf{G}$ , associated with the Lie crossed module

$$(G, H, \alpha, \tau),$$

where  $\tau$  is trivial; thus the object group is  $\text{Obj}(\mathbf{G}) = G$  and the morphism group is  $\text{Mor}(\mathbf{G}) = H \rtimes_{\alpha} G$ . Composition of morphisms is given by (5.12); explicitly,

$$(h_2, g_2)(h_1, g_1) = (h_2 + g_2 h_1, g_2 g_1)$$

$$(h_2, g) \circ (h_1, g) = (h_2 + h_1, g).$$

$$(7.1)$$

Let  $S: G \longrightarrow \operatorname{End}(V)$  be a representation of G on a complex vector space V, and M a manifold on which there is a smooth left action of G (we can think of M as either  $\mathbb{R}^4$ or a hyperboloid of the form  $\{p \in \mathbb{R}^4 : \langle p, p \rangle_L = E^2\}$  for some fixed  $E \in [0, \infty)$ , with  $\langle \cdot, \cdot \rangle_L$  being the Lorentz metric). Now suppose that we have a character (one-dimensional representation)  $\lambda_p$  of H, such that  $(p, h) \mapsto \lambda_p(h)$  is smooth and

$$\lambda_{gp}(h) = \lambda_p(g^{-1}h) \tag{7.2}$$

for all  $p \in M$ ,  $h \in H$  and  $g \in G$  (we think of the case  $\lambda_p(h) = e^{i\langle p,h \rangle_L}$ ). Let

 $\Psi$ 

be the vector space of all smooth maps  $M \longrightarrow V$  (in the physical context, such a map is a matter field in momentum space). We define an action  $\tilde{S}$  of  $H \rtimes_{\alpha} G$  on  $\Psi$  by:

$$[(h,g)\cdot\psi](p) \stackrel{\text{def}}{=} [\tilde{S}(h,g)\psi](p) \stackrel{\text{def}}{=} \lambda_p(h)S(g)\psi(g^{-1}p)$$
(7.3)

for all  $(h,g) \in H \rtimes_{\alpha} G$ ,  $p \in M$ , and  $\psi \in \Psi$ . We verify that this gives a representation: for any  $(h_1, g_1), (h_2, g_2) \in H \rtimes_{\alpha} G$  and  $p \in M$  we have

$$\begin{split} [(h_2, g_2) \big( (h_1, g_1) \cdot \psi \big)](p) &= \lambda_p(h_2) S(g_2) \big( (h_1, g_1) \cdot \psi \big)](g_2^{-1} p) \\ &= \lambda_p(h_2) S(g_2) S(g_1) \lambda_{g_2^{-1} p}(h_1) \psi(g_1^{-1} g_2^{-1} p) \\ &= \lambda_p(h_2) \lambda_p(g_2 h_1) S(g_2 g_1) \psi \Big( (g_2 g_1)^{-1} p \Big) \\ &= \lambda_p(h_2 + g_2 h_1) S(g_2 g_1) \psi \Big( (g_2 g_1)^{-1} p \Big) \\ &= \Big( [(h_2, g_2)(h_1, g_1)] \psi \Big)(p). \end{split}$$
(7.4)

Restricting to G, viewed as a subgroup of  $H \rtimes_{\alpha} G$ , gives a representation of G on V specified by

$$(\tilde{S}(g)\psi)(p) = (g \cdot \psi)(p) = S(g)\psi(g^{-1}p).$$
 (7.5)

We fix a basepoint

 $p_0 \in M$ .

We now construct a category  $\Psi_{p_0}$  whose object set is V and whose morphisms (other than the identities) are of the form  $(h, g; \psi) \in (H \rtimes_{\alpha} G) \times \Psi$ , with source and target given by

$$s(h,g,\psi) = \psi(gp_0) \quad \text{and} \quad t(h,g,\psi) = \lambda_{gp_0}(h)\psi(gp_0).$$
(7.6)

We define composition of morphisms by

$$(h_2, g_2, \psi_2) \circ (h_1, g_1, \psi_1) = (g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1), \tag{7.7}$$

assuming that the source of  $(h_2, g_2, \psi_2)$  is the target of  $(h_1, g_1, \psi_1)$ . The target of the morphism on the right in (7.7) is

$$\begin{aligned} \lambda_{g_1p_0} \big( g_1 g_2^{-1} h_2 + h_1 \big) \psi_1(g_1 p_0) &= \lambda_{g_2p_0}(h_2) \lambda_{g_1p_0}(h_1) \psi_1(g_1 p_0) \\ &= \lambda_{g_2p_0}(h_2) \psi_2(g_2 p_0) \\ &= t(h_2, g_2, \psi_2), \end{aligned}$$

as it should be. For associativity we compute:

$$(h_3, g_3, \psi_3) \circ ((h_2, g_2, \psi_2) \circ (h_1, g_1, \psi_1)) = (h_3, g_3, \psi_3) \circ (g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1)$$
(7.8)  
=  $(g_1 g_3^{-1} h_3 + g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1),$ 

and

$$\begin{pmatrix} (h_3, g_3, \psi_3) \circ (h_2, g_2, \psi_2) \end{pmatrix} \circ (h_1, g_1, \psi_1) &= (g_2 g_3^{-1} h_3 + h_2, g_2, \psi_2) \circ (h_1, g_1, \psi_1) \\ &= (g_1 g_2^{-1} (g_2 g_3^{-1} h_3 + h_2) + h_1, g_1, \psi_1),$$

which agrees with (7.8).

We introduce an identity morphism for each object by fiat. This makes  $\Psi_{p_0}$  a category. We form the semidirect product

$$\Psi_1 = \mathbf{V} \rtimes_{\eta_1} \Psi_{p_0}, \tag{7.9}$$

where

$$\eta_1 : \operatorname{Mor}(\mathbf{V}) \times \operatorname{Mor}(\Psi_{p_0}) \longrightarrow \operatorname{Mor}(\mathbf{V}) : (v, h, g, \psi) \mapsto \lambda_{gp_0}(h)v,$$
(7.10)

with  $p_0 \in M$  being the fixed basepoint we have used above; we specify the action on identity morphisms by

$$\eta_1(v, 1_w) = v \tag{7.11}$$

for all  $v, w \in V$ . Before verifying that  $\eta_1$  has the required properties for an  $\eta$ -map let us state the nature of the category  $\Psi_1$ . The object and morphism sets for  $\Psi_1$  are

$$Obj(\Psi_1) = \{V\} \times V \simeq V.$$

$$Mor(\Psi_1)' = V \times (H \times G \times \Psi),$$
(7.12)

where in the second line we have left out the identity morphisms. Source and targets are given by

$$s(v; h, g, \psi) = s(h, g, \psi) = \psi(gp_0)$$

$$s(v; 1_w) = w$$

$$t(v; h, g, \psi) = t(h, g, \psi) = \lambda_{gp_0}(h)\psi(gp_0)$$

$$t(v; 1_w) = w.$$
(7.13)

Composition of morphisms is given by

$$(v_2; f_2) \circ (v_1; f_1) = (\eta_1(v_2, f_1) + v_1; f_2 \circ f_1).$$
(7.14)

More explicitly,

$$(v_2; h_2, g_2, \psi_2) \circ (v_1; h_1, g_1, \psi_1) = (\lambda_{g_1 p_0}(h_1)v_2 + v_1; g_1 g_2^{-1}h_2 + h_1, g_1, \psi_1)$$
(7.15)  

$$(v_2; f_2) \circ (v; 1_w) = (v_2 + v; f_2)$$
  

$$(v; 1_w) \circ (v_1; f_1) = (\eta(v, f_1) + v_1; f_1),$$

assuming that the compositions on the left have appropriate matching source and targets.

We turn now to verifying that  $\eta_1$  satisfies the required properties. We have

$$\eta_1 \Big( \eta_1 \big( v, (h_2, g_2, \psi_2) \big), (h_1, g_1, \psi_1) \Big) = \eta_1 \Big( \lambda_{g_2 p_0} (h_2) v, (h_1, g_1, \psi_1) \Big) \\ = \lambda_{g_1 p_0} (h_1) \Big( \Big( \lambda_{g_2 p_0} (h_2) v \Big) \Big) \\ = \lambda_{g_1 p_0} (h_1 + g_1 g_2^{-1} h_2) v$$

which agrees with

$$\begin{aligned} \eta_1 \big( v, (h_2, g_2, \psi_2) \circ (h_1, g_1, \psi_1) \big) &= \eta_1 \big( v, (g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1) \big) \\ &= \lambda_{g_1 p_0} (h_1 + g_1 g_2^{-1} h_2) v. \end{aligned}$$

Next,

$$\eta_1(v_2, (h, g, \psi)) \circ \eta_1(v_1, (h, g, \psi)) = \lambda_{gp_0}(h)v_2 + \lambda_{gp_0}(h)v_1 = \lambda_{gp_0}(h)(v_2 + v_1) = \eta_1(v_2 \circ v_1, (h, g, \psi)).$$

As for the behavior of  $\eta_1$  with respect to identity morphisms we have, in addition to (7.11),

$$\eta_1(0,f) = 0$$

for all  $f \in Mor(\Psi_{p_0})$ .

We now work with the categorical group whose object set is G and whose morphism set is  $V \rtimes_S G$ , with source, target, and  $\alpha$ -map given by

$$\begin{split} s(v,g) &= t(v,g) &= g \\ \alpha(g)v &= S(g)v. \end{split}$$

Let us denote this categorical group by

$$\mathbf{V} \times_S \mathbf{G}_d, \tag{7.16}$$

where  $\mathbf{G}_d$  is the discrete category with object set G. Product and composition are given by

$$(v_2;g) \circ (v_1;g) = (v_2 + v_1;,g)$$

$$(v_2,g_2)(v_1,g_1) = (v_2 + g_2v_1;g_2g_1).$$

$$(7.17)$$

(Note that we are not viewing  $\mathbf{V} \times_S \mathbf{G}_d$  as an  $\eta$ -twisted product.) We have

$$[(v_2', g_2)(v_1', g_1)] \circ [(v_2, g_2)(v_1, g_1)] = (v_2' + g_2v_1' + v_2 + g_2v_1, g_2g_1)$$

and

$$(v'_2 + v_2, g_2)(v'_1 + v_1, g_1) = (v'_2 + v_2 + g_2v'_1 + g_2v_1, g_2g_1)$$

We define

$$\eta_{2} : \operatorname{Mor}(\mathbf{V} \times_{S} \mathbf{G}_{d}) \times \operatorname{Mor}(\mathbf{\Psi}_{1}) \longrightarrow \operatorname{Mor}(\mathbf{V} \times_{S} \mathbf{G}_{d})$$

$$((v', g'), (v; h, g, \psi)) \mapsto (\lambda_{gp_{0}}(h)v', g')$$

$$(7.18)$$

and

$$\eta_2((v',g'),1_a) = (v',g'). \tag{7.19}$$

Before proceeding further let us note the behavior of  $\eta_2$  with respect to the other identity morphisms (0, g'):

$$\eta_2((0,g'),f) = (0,g'), \tag{7.20}$$

for all  $g' \in G$  and all  $f \in Mor(\Psi_1)$ .

We now check that  $\eta_2$  satisfies the conditions we have required of  $\eta$ -maps. Let

$$f_1 = (v_1; h_1, g_1, \psi_1)$$
 and  $f_2 = (v_2; h_2, g_2, \psi_2).$ 

Then

$$f_2 \circ f_1 = \left(\lambda_{g_1 p_0}(h_1)v_2 + v_1; g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1\right).$$
(7.21)

We have

$$\eta_2 \big( \eta_2 \left( (v, g), f_2 \right), f_1 \big) = \eta_2 \big( \big( \lambda_{g_2 p_0}(h_2) v, g \big), (v_1; h_1, g_1, \psi_1) \big) \\ = \big( \lambda_{g_1 p_0}(h_1) \lambda_{g_2 p_0}(h_2) v, g \big) = \big( \lambda_{g_1 p_0}(h_1 + g_1 g_2^{-1} h_2) v, g \big) \\ = \eta_2 \Big( (v, g), \big( \lambda_{g_1 p_0}(h_1) v_2 + v_1; g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1 \big) \Big) \\ = \eta_2 \big( (v, g), f_2 \circ f_1 \big).$$

Next, working with  $g'_2 = g'_1 \in G$ , we have

$$\eta_2((v'_2, g'_2), (v; h, g, \psi)) \circ \eta_2(v'_1, g'_1), (v; h, g, \psi)) = (\lambda_{gp_0}(h)v'_2, g'_2) \circ (\lambda_{gp_0}(h)v'_1, g'_1) = (\lambda_{gp_0}(h)(v'_2 + v'_1), g'_1) = \eta_2((v'_2, g'_2) \circ (v'_1, g'_1), (v; h, g, \psi))$$

The condition (6.2) also holds for  $\eta_2$ .

We are going to construct a representation  $\rho$  of the categorical group  $\mathbf{V} \times_S \mathbf{G}_d$  on  $\Psi_1$  with the twist  $\eta_2$ :

$$\rho: (\mathbf{V} \times_S \mathbf{G}_d) \rtimes_{\eta_2} \mathbf{\Psi}_1 \longrightarrow \mathbf{\Psi}_1.$$

Recall that

$$Obj(\mathbf{V} \times_S \mathbf{G}_d) = G$$
$$Obj(\mathbf{\Psi}_1) = V.$$

At the level of objects we specify  $\rho$  by

$$\rho: G \times V \longrightarrow V: (g, v) \mapsto gv. \tag{7.22}$$

The morphisms of the two categories are

$$\operatorname{Mor}(\mathbf{V} \times_{S} \mathbf{G}_{d}) = V \rtimes_{S} G$$
$$\operatorname{Mor}(\mathbf{\Psi}_{1})' = V \times (H \times G \times \Psi).$$

where, as before, we have omitted the identity morphisms in the second line. At the level of morphisms we define first

$$\rho : (V \rtimes_S G) \times \operatorname{Mor}(\Psi_1) \longrightarrow \operatorname{Mor}(\Psi_1) \quad (7.23)$$

$$((v',g'),(v;h,g,\psi)) \mapsto (g'v - v';g'h,g'g,g' \cdot \psi),$$

where

$$g' \cdot \psi = \tilde{S}(g)\psi, \tag{7.24}$$

as given in (7.5). Next for the action on morphisms of the type  $(v, 1_w)$ , where  $v, w \in V$ , we set

$$\rho(v',g')(v,1_w) = (g'v - v',1_{g'w}) \tag{7.25}$$

for all  $(v', g') \in V \times G$ . We will use the notation

$$ax \stackrel{\text{def}}{=} \rho(a, x),$$

for a an object (or morphism) of  $\mathbf{V} \times_S \mathbf{G}_d$  and x an object (or morphism) of  $\Psi_1$ .

For Mor( $\mathbf{V} \rtimes_S \mathbf{G}_d$ ) source and target are the same for each morphism (v, g), both being g. The source of  $(g'v - v'; g'h, g'g, g' \cdot \psi)$  is

$$s(g'v - v'; g'h, g'g, g' \cdot \psi) = (g' \cdot \psi)(g'gp_0) = g'\psi(g'^{-1}g'gp_0) = s(v', g')s(v; h, g, \psi).$$

For targets we have

$$t(g'v - v'; g'h, g'g, g' \cdot \psi) = g'\lambda_{gp_0}(h)\psi(gp_0)$$
  
$$= \lambda_{g'gp_0}(g'h)(g' \cdot \psi)(g'gp_0)$$
  
$$= \lambda_{gp_0}(h)g'\psi(gp_0)$$
  
$$= t(v', g')t(v; h, g, \psi).$$

Applying (v'', g'') after applying (v', g') to (7.23) we obtain

$$(v'',g'')[(v',g')(v;h,g,\psi)] = (g''g'v - g''v' - v'';g''g'h,g''g'g,g''g'\cdot\psi),$$
(7.26)

which is the same as applying

$$(v'',g'')(v',g') = (v'' + g''v',g''g')$$

to  $(v; h, g, \psi)$ . The same holds for  $[(v'', g'')(v', g')](v, 1_w)$ :

$$[(v'',g'')(v',g')](v,1_w) = (v''+g''v',g''g')(v,1_w) = (g''g'v-v''-g''v',1_{g''g'w}) (v'',g'')[(v',g')(v,1_w)] = (v'',g'')(g'v-v',1_{g'w}) = (g''g'v-g''v'-v'',1_{g''g'w})$$

We continue with the notation

$$f_1 = (v_1; h_1, g_1, \psi_1)$$
 and  $f_2 = (v_2; h_2, g_2, \psi_2).$ 

Then

$$\rho(v'_{2},g'_{2})f_{2} \circ \rho(v'_{1},g'_{1})f_{1}$$

$$= (g'_{2}v_{2} - v'_{2};g'_{2}h_{2},g'_{2}g_{2},g'_{2} \cdot \psi_{2}) \circ (g'_{1}v_{1} - v'_{1};g'_{1}h_{1},g'_{1}g_{1},g'_{1} \cdot \psi_{1})$$

$$= \left(\lambda_{g'_{1}g_{1}p_{0}}(g'_{1}h_{1})(g'_{2}v_{2} - v'_{2}) + g'_{1}v_{1} - v'_{1}; \\ (g'_{1}g_{1})(g'_{2}g_{2})^{-1}g'_{2}h_{2} + g'_{1}h_{1},g'_{1}g_{1},g'_{1} \cdot \psi_{1}\right)$$

$$(7.27)$$

Let us compare this with

$$\begin{pmatrix} \eta_2 \left( (v'_2, g'_2), f_1 \right) \circ (v'_1, g'_1) \end{pmatrix} \cdot \left( (v_2, f_2) \circ (v_1, f_1) \right) \\ = \left( \lambda_{g_1 p_0}(h_1) v'_2 + v'_1; g'_1 \right) \cdot \left( \lambda_{g_1 p_0}(h_1) v_2 + v_1, g_1 g_2^{-1} h_2 + h_1, g_1, \psi_1 \right) \\ = \left( g'_1 \left( \lambda_{g_1 p_0}(h_1) v_2 + v_1 \right) - \lambda_{g_1 p_0}(h_1) v'_2 - v'_1; g'_1 (g_1 g_2^{-1} h_2 + h_1), g'_1 g_1, g'_1 \cdot \psi_1 \right).$$

This is identical with the last expression in (7.27) except for one term but that term is also in agreement upon noting that  $g'_1 = g'_2$  in order for the composition  $\eta_2((v'_2, g'_2), f_1) \circ (v'_1, g'_1)$  to be defined.

Next we work with (7.27) for the case  $f_2 = (v; 1_w)$  where  $v \in V$  and  $w \in V = \text{Obj}(\Psi_1)$ , and

$$f_1 = (v_1; F_1) = (v_1; h_1, g_1, \psi_1)$$

as before, with the composite  $f_2 \circ f_1$  defined. Then

$$f_2 \circ f_1 = \left(\lambda_{g_1 p_0}(h_1)v + v_1; h_1, g_1, \psi_1\right)$$
(7.28)

then, using the composition law from (7.14) and the obvious notation  $g'_1F_1$ ,

$$\rho(v'_{2},g'_{2})f_{2} \circ \rho(v'_{1},g'_{1})f_{1} = (g'_{2}v - v'_{2};1_{g'_{2}w}) \circ (g'_{1}v_{1} - v'_{1};g'_{1}h_{1},g'_{1}g_{1},g'_{1} \cdot \psi_{1})$$

$$= (\eta_{1}(g'_{2}v - v'_{2};g'_{1}h_{1},g'_{1}g_{1},g'_{1} \cdot \psi_{1}) + g'_{1}v_{1} - v'_{1};g'_{1}F_{1})$$

$$= (\lambda_{g'_{1}g_{1}p_{0}}(g'_{1}h_{1})(g'_{2}v - v'_{2}) + g'_{1}v_{1} - v'_{1};g'_{1}F_{1}),$$
(7.29)

and, on the other hand,

$$\rho \big( \eta_2(v'_2, g'_2; f_1) \circ (v'_1, g'_1) \big) (f_2 \circ f_1) = \rho \big( \lambda_{g_1 p_0}(h_1) v'_2 + v'_1, g'_1) (f_2 \circ f_1)$$

$$= \big( g'_1 \lambda_{g_1 p_0}(h_1) v + g'_1 v_1 - \lambda_{g_1 p_0}(h_1) v'_2 - v'_1; g'_1 F_1 \big),$$
(7.30)

which agrees with the last expression in (7.29) because  $g'_1 = g'_2$ , since the composition  $(v'_2, g'_2) \circ (v'_1, g'_1)$  is needed to be well-defined. We omit the lengthy but entirely routine verifications of other similar conditions for the cases involving the identity morphisms.

The twist  $\eta_2$  satisfies the invariance relation (6.9) with respect to the representation  $\rho$ , as may be verified by computation.

#### 8. Twisted representations on vector categories

In this section we study actions on categories that have some vector space structure built in.

By a vector category we mean a category whose object and morphism sets are equipped with vector space structures in such a way that the source and target maps are linear, as is the identity assigning map  $x \mapsto 1_x$ . (This is different from the notion of 'linear category' discussed in [Baez et al., 2012].)

By a representation  $\rho$  of **G** on a vector category **V**, associated with an  $\eta$ -map  $\eta$ : Mor(**G**) × Mor(**V**)  $\longrightarrow$  Mor(**G**), we mean an action  $\rho$ , associated with  $\eta$ , for which the map

$$\rho(g) : \operatorname{Obj}(\mathbf{V}) \longrightarrow \operatorname{Obj}(\mathbf{V}) : v \mapsto \rho(g, v)$$

and the map

$$\rho(k) : \operatorname{Mor}(\mathbf{V}) \longrightarrow \operatorname{Mor}(\mathbf{V}) : f \mapsto \rho(k, f)$$

are linear for every object g and every morphism k of **G**. We shall also call such a representation an  $\eta$ -twisted representation, and refer to

$$(\eta, \rho, \mathbf{V})$$

as a twisted representation of  $\mathbf{G}$ .

In developing the notion of irreducible representations of categorical groups we need to be careful in handling the role of morphisms that have 0 as both target and source. This issue is absent in traditional representation theory.

Let us define the *trivial subcategory*  $O_V$  of a vector category V to be the vector category whose only object element is 0 and the morphism set is Hom(0, 0):

$$\operatorname{Obj}(\mathbf{O}_{\mathbf{V}}) = \{0\} \qquad \operatorname{Mor}(\mathbf{O}_{\mathbf{V}}) = \operatorname{Hom}(0,0).$$

We define a *proper vector subcategory* of  $\mathbf{V}$  to be a subcategory other than  $\mathbf{V}$ ,  $\mathbf{O}_{\mathbf{V}}$  and  $\mathbf{O}$  (the trivial subcategory with only the 0 object and its identity morphism).

It is readily verified that an  $\eta$ -twisted representation  $\rho$  of a categorical group **G** on **V**, restricts to yield a representation of **G** on **O**<sub>V</sub>. With this in mind, we formulate the following definition of reducible representations. Let **G** be a categorical group and **V** a vector category. Let  $\rho$  be an  $\eta$ -twisted representation of **G** on **V**. We say that  $\rho$  is *reducible* if there exists a proper vector subcategory **U** of **V** such that the restriction of  $(\eta, \rho)$  to **U** defines a representation of **G** on **U**. In this case,

$$\rho|_{\mathbf{U}}: \mathbf{G} \ltimes_{\eta|_{\mathbf{U}}} \mathbf{U} \longrightarrow \mathbf{U}$$

$$(8.1)$$

is a representation, where  $\eta|_{\mathbf{U}}$  denotes the restriction of  $\eta$  to  $\operatorname{Mor}(\mathbf{U}) \subset \operatorname{Mor}(\mathbf{V})$ ,

$$\eta|_{\mathbf{U}}: \operatorname{Mor}(\mathbf{G}) \times \operatorname{Mor}(\mathbf{U}) \longrightarrow \operatorname{Mor}(\mathbf{G}).$$

The representation  $\rho$  is *irreducible* if it is not reducible.

We say that a functor

$$\mathbf{F}: \mathbf{V} \longrightarrow \mathbf{W},$$

where **V** and **W** are vector categories, is *linear* if it induces linear maps both on the object spaces and on the morphism spaces. A functor  $\mathbf{F} : \mathbf{V} \longrightarrow \mathbf{W}$  is a *zero functor* if  $\text{Im}(\mathbf{F}) \subset \mathbf{O}_{\mathbf{W}}$ . Note that the image of a zero functor at the level of morphisms is not necessarily  $\{0\}$ .

Suppose V is a vector category, and  $V_0$  is a subcategory which is a subspace of V both for objects and for morphisms. Then we would like to define a vector category

$$\mathbf{V}/\mathbf{V}_0$$

whose object and morphism spaces are the corresponding quotient spaces. However, composition of morphisms would fail to be well-defined since there is no reason why  $(f + f_0) \circ (h + h_0)$  should equal  $f \circ h$  modulo  $Mor(\mathbf{V}_0)$  when  $f_0, h_0 \in Mor(\mathbf{V}_0)$ . However,

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as we shall see in our next result the quotient category is well-defined and useful in some cases.

We turn now to Schur's lemma for irreducible representations of categorical groups on vector categories. There are subtle but important differences between this result and the traditional Schur's lemma for group representations, where the interaction between morphisms and objects is absent.

8.1. THEOREM. Suppose  $(\eta_1, \rho_1, \mathbf{V}_1)$  and  $(\eta_2, \rho_2, \mathbf{V}_2)$  are irreducible twisted representations of a categorical group  $\mathbf{G}$  on vector categories  $\mathbf{V}_1$  and  $\mathbf{V}_2$ , respectively. Let  $\mathbf{F}$  :  $(\eta_1, \rho_1, \mathbf{V}_1) \longrightarrow (\eta_2, \rho_2, \mathbf{V}_2)$  be a morphism of representations, given by a linear functor  $\mathbf{F} : \mathbf{V}_1 \longrightarrow \mathbf{V}_2$ . Then one of the following hold:

- 1. **F** is a zero functor, or
- 2. there is a well-defined quotient category  $\mathbf{V}_1/\mathbf{O}_{\mathbf{V}_1}$  and  $\mathbf{F}$  induces a functor

$$\mathbf{V}_1 / \mathbf{O}_{\mathbf{V}_1} \longrightarrow \mathbf{V}_2$$

that is an isomorphism both on objects and on morphisms, or

3. F is an isomorphism.

**PROOF.** The functor  $\mathbf{F}$  induces linear maps

$$\operatorname{Obj}(\mathbf{V}_1) \longrightarrow \operatorname{Obj}(\mathbf{V}_2)$$
 and  $\operatorname{Mor}(\mathbf{V}_1) \longrightarrow \operatorname{Mor}(\mathbf{V}_2)$ .

Consider the category

$$\mathbf{L} = \ker \mathbf{F},\tag{8.2}$$

whose objects are those objects in  $\mathbf{V}_1$  that are mapped by  $\mathbf{F}$  to  $0 \in \mathrm{Obj}(\mathbf{V}_2)$  and whose morphisms are those morphisms in  $\mathbf{V}_1$  that are mapped to the morphism  $0 \in \mathrm{Mor}(\mathbf{V}_2)$ . Let us verify that  $\mathbf{L}$  is in fact a category: composites of morphisms in  $\mathbf{L}$  are morphisms in  $\mathbf{L}$  and for each object  $a \in \mathrm{Obj}(\mathbf{L})$  the identity morphism  $1_a$  is in  $\mathrm{Mor}(\mathbf{L})$ . Since, by definition of a vector category, the mapping  $x \mapsto 1_x$  is linear, we have  $0 = 1_0$ , the identity map at the zero object  $0 \in \mathrm{Obj}(\mathbf{V}_2)$ ; so if  $f : a \longrightarrow b$  and  $g : b \longrightarrow c$  are morphisms in  $\mathbf{L}$ then

$$\mathbf{F}(g \circ f) = \mathbf{F}(g) \circ \mathbf{F}(f) = 1_0 \circ 1_0 = 1_0 = 0, \tag{8.3}$$

and so  $g \circ f \in Mor(\mathbf{L})$ . Next, if  $a \in Obj(\mathbf{L})$  then

$$\mathbf{F}(1_a) = 1_{\mathbf{F}(a)} = 1_0 = 0, \tag{8.4}$$

and so  $1_a \in Mor(\mathbf{L})$ .

The map  $\eta_1$  restricts to a map

$$\mathbf{G} \times \mathbf{L} \longrightarrow \mathbf{G}.$$

We will now show that  $\rho$  restricts to a functor

$$\mathbf{G} \rtimes_{\eta_1} \mathbf{L} \longrightarrow \mathbf{L}.$$

Since  $\mathbf{F}$  is a morphism of representations we have

$$\mathbf{F} \circ \rho_1 = \rho_2 \circ (\mathrm{Id}_{\mathbf{G}} \times \mathbf{F}) \tag{8.5}$$

(by the commuting diagram (6.15)). Applying this to the object  $(g, v) \in \text{Obj}(\mathbf{G}) \times \text{Obj}(\mathbf{V}_1)$ , where  $\mathbf{F}(v) = 0$ , we see that

$$\mathbf{F}\Big(\rho_1(g,v)\Big) = \rho_2(g,0) = 0,$$

and so the object space of  $\mathbf{L}$  is stable under the action of  $Obj(\mathbf{G})$  through the representation  $\rho_1$ . Similarly,  $\rho_1(k)$  maps  $Mor(\mathbf{L})$  into itself, for every  $k \in Mor(\mathbf{G})$ . Thus,  $\rho_1$  restricts to an action of  $\mathbf{G}$  on the subcategory  $\mathbf{L}$ . Since  $\rho_1$  is irreducible it follows that  $\mathbf{L}$  is  $\mathbf{V}_1$  or  $\mathbf{O}_{\mathbf{V}_1}$  or the zero category  $\mathbf{O}$ . In the first case the functor  $\mathbf{F}$  would be a zero functor.

Let us assume then that  $\mathbf{F}$  is not a zero functor. In this case  $\mathbf{L}$  is the trivial subcategory  $\mathbf{O}$  in  $\mathbf{V}_1$  or  $\mathbf{L} = \mathbf{O}_{\mathbf{V}_1}$ :

$$\mathbf{L} = \mathbf{O} \quad \text{or} \quad \mathbf{L} = \mathbf{O}_{\mathbf{V}_1}. \tag{8.6}$$

Let  $f, h \in Mor(\mathbf{V}_1)$  for which the composite  $f \circ h$  is defined. Let  $f_0, h_0 \in Mor(\mathbf{L})$ . Then  $f + f_0$  has the same source and target as f, and the same holds for  $h + h_0$  and h, and so  $(f + f_0) \circ (h + h_0)$  is defined. Moreover,

$$\mathbf{F}((f+f_0) \circ (h+h_0)) = \mathbf{F}(f+f_0) \circ \mathbf{F}(h+h_0)$$
  
=  $\mathbf{F}(f) \circ \mathbf{F}(h)$  (because  $f_0, h_0 \in \operatorname{Mor}(\mathbf{L})$ )  
=  $\mathbf{F}(f \circ h),$ 

and so

$$(f+f_0)\circ(h+h_0)-f\circ h\in \operatorname{Mor}(\mathbf{L}).$$

Hence the composition of morphisms in  $V_1$  descends to a well-defined composition law on

$$\operatorname{Mor}(\mathbf{V}_1)/\operatorname{Mor}(\mathbf{L}).$$

Furthermore, as is seen more easily, the source and target maps on  $\mathbf{V}_1$  induce well-defined corresponding maps

$$\operatorname{Mor}(\mathbf{V}_1)/\operatorname{Mor}(\mathbf{L}) \longrightarrow \operatorname{Obj}(\mathbf{V}_1) = \operatorname{Obj}(\mathbf{V}_1)/\operatorname{Obj}(\mathbf{L}).$$

Thus we obtain a well-defined vector category

 $V_1/L$ 

and a functor

$$\mathbf{F}_*: \mathbf{V}_1/\mathbf{L} \longrightarrow \mathbf{V}_2: \begin{cases} v \mapsto \mathbf{F}(v) & \text{for } v \in \mathrm{Obj}(\mathbf{V}_1) \\ f + \mathrm{Mor}(\mathbf{L}) \mapsto \mathbf{F}(f) & \text{for } f \in \mathrm{Mor}(\mathbf{V}_1) \end{cases},$$
(8.7)

whose image is the same as  $Im(\mathbf{F})$ . The latter is a  $\rho_2$ -invariant subcategory of  $\mathbf{V}_2$  and therefore is either  $\mathbf{O}_{\mathbf{V}_2}$  or  $\mathbf{V}_2$  itself. Since we have assumed that the original functor  $\mathbf{F}$  is not a zero functor, its image is, in fact, all of  $\mathbf{V}_2$ . To conclude let us note that  $\mathbf{V}_1/\mathbf{L}$  is just  $\mathbf{V}_1$  if  $\mathbf{L} = \mathbf{O}$ , and it is  $\mathbf{V}_1/\mathbf{O}_{\mathbf{V}_1}$  if  $\mathbf{L} = \mathbf{O}_{\mathbf{V}_1}$ .

#### 9. Representations on categorical vector spaces

The narrowest type of category of interest on which one might consider representations of categorical groups is a categorical vector space. By this we mean a category  $\mathbf{V}$  for which both object set and morphism set are equipped with vector space structures over a given field  $\mathbb{F}$ , the target and source maps are linear as is the identity assigning map  $x \mapsto 1_x$ , and the operations of addition and multiplication by scalar are functorial in the sense that if  $f, f', g, g' \in \operatorname{Mor}(\mathbf{V})$ , for which  $f' \circ f$  and  $g' \circ g$  are defined then

$$(f' + g') \circ (f + g) = f' \circ f + g' \circ g$$

$$(\lambda f') \circ f = \lambda (f' \circ f) = f' \circ (\lambda f)$$

$$(9.1)$$

for all  $\lambda \in \mathbb{F}$ .

Thus a categorical vector space is a very special type of vector category: composition and the vector operations are intertwined through the relation (9.1). In this section we work out the structure of categorical vector spaces and the nature of (untwisted) representations on them.

Our first result, formulated in a way that focuses only on the additive abelian group structure of the object and morphism groups of a categorical vector space, demonstrates how special the structure of a categorical vector space is.

9.1. PROPOSITION. Suppose  $\mathbf{V}$  is a categorical group for which both object and morphism groups are abelian. Then  $Mor(\mathbf{V})$  is isomorphic to the ordinary product:

$$Mor(\mathbf{V}) \simeq W \times V, \tag{9.2}$$

where V is the vector space  $\operatorname{Obj}(\mathbf{V})$  and  $W = \ker s$  is the kernel of the source homomorphism  $s : \operatorname{Mor}(\mathbf{V}) \longrightarrow \operatorname{Obj}(\mathbf{V})$ . The source and target maps are given on  $W \times V$ by

$$s(w,v) = v$$
 and  $t(w,v) = \tau_0(w) + v,$  (9.3)

where

$$\tau_0: W \longrightarrow V \tag{9.4}$$

is a homomorphism. Moreover, composition of morphisms corresponds on  $W \times V$  to the operation

$$(w_2, v_2) \circ (w_1, v_1) = (w_2 + w_1, v_1), \tag{9.5}$$

defined when  $v_2 = \tau_0(w_1) + v_1$ . The identity morphism at any  $v \in V$  is given by (0, v), and the inverse of a morphism (w, v) is  $(-w, \tau_0(w) + v)$ .

**PROOF.** As discussed earlier in the context of (2.7),

$$Mor(\mathbf{V}) \simeq W \rtimes_{\alpha} V \tag{9.6}$$

where

$$\alpha: V \times W \longrightarrow W: (v, w) \longrightarrow \alpha(v)(w) = \alpha_v(w)$$

is a mapping for which each  $\alpha_v$  is an automorphism of the group W. Source and target maps are given by

$$s(w, v) = v$$
 and  $t(w, v) = \tau_0(w) + v,$  (9.7)

where, as we saw in (2.10),  $\tau_0$  is the restriction of t to W:

$$\tau_0 = t | W. \tag{9.8}$$

The binary operation for the semidirect product  $W \rtimes_{\alpha} V$  is given by

$$(w_2, v_2) \oplus (w_1, v_1) = (w_2 + \alpha_{v_2}(w_1), v_2 + v_1).$$
(9.9)

Thus  $W \rtimes_{\alpha} V$  is abelian if and only if

$$(w_2 + \alpha_{v_2}(w_1), v_2 + v_1) = (w_1 + \alpha_{v_1}(w_2), v_1 + v_2)$$

for all  $v_1, v_2 \in V$  and  $w_1, w_2 \in W$ . Comparing the first components, and setting  $w_2 = 0$  and  $v_1 = 0$  we have as necessary condition:

 $\alpha_v(w) = w$ 

for all  $v \in V$  and  $w \in W$ . This means that  $\alpha_v$  is the identity map on W for all v, which is equivalent to the group operation (9.9) being given by

$$(w_2, v_2) \oplus (w_1, v_1) = (w_2 + w_1, v_2 + v_1).$$
 (9.10)

This condition is obviously also sufficient to ensure that  $W \rtimes_{\alpha} V$  is abelian. In this case the semidirect product  $W \rtimes_{\alpha} V$  is just the ordinary product  $W \times V$ :

$$W \rtimes_{\alpha} V = W \times V.$$

We note that  $(0, v_2) \circ (w_1, v_1) = (w_1, v_1)$  when  $v_2 = t(w_1, v_1)$ , and  $(w_2, v_2) \circ (0, v_1) = (w_2, v_1)$  when  $v_2 = t(0, v_1) = v_1$ . It follows then that the identity morphism at any v is (0, v). Next for a morphism  $(w_1, v_1)$  we have

$$(-w_1, v_1') \circ (w_1, v_1) = (0, v_1),$$

where  $v'_1 = \tau_0(w_1) + v_1$ , and

$$(w_1, v_1) \circ (-w_1, v_1') = (0, v_1')$$

because  $\tau_0(-w_1) + v'_1 = v_1$ ; thus the inverse of  $(w_1, v_1)$  is  $(-w_1, v_1)$ .

Now we specialize to a categorical vector space  $\mathbf{V}$ . Writing the isomorphism (9.6) as given in (2.7) explicitly, using the additive notation, we have

$$\operatorname{Mor}(\mathbf{V}) \longrightarrow W \rtimes_{\alpha} V : f \mapsto (f + 1_{-s(f)}, s(f)).$$

$$(9.11)$$

Linearity of the source map s and of the identity-assigning map  $a \mapsto 1_a$  imply then that the isomorphism in (9.11) is an *isomorphism of vector spaces*. The mapping  $\tau_0$  given in Proposition 9.1 above is then a *linear* map

$$\tau_0: W \longrightarrow V.$$

Consider a representation (without any twist)  $\rho$  of **G** on **V**; we use notation G, H, and V, W as above. Thus **G** is associated to the crossed module  $(G, H, \alpha, \tau)$ . To keep the notation simple, we denote the representation of  $K = \operatorname{Mor}(\mathbf{G})$  on W as well as the representation of  $G = \operatorname{Obj}(\mathbf{G})$  on V by  $\rho$ . We also denote all target and source maps by t and s, respectively, both as maps  $\operatorname{Mor}(\mathbf{G}) \longrightarrow \operatorname{Obj}(\mathbf{G})$  and in their more concrete renditions as maps  $G \rtimes_{\alpha} H \longrightarrow G$ . We will write  $\rho(k)f$  as kf when the intended meaning is clear.

Let us first see how  $\rho$  introduces a representation of the group K on the vector space W. To this end we observe that when an element  $k \in K$  acts on an element of the form  $(w, 0) \in W \times V$ , the source of the resulting element is

$$s[\rho(k)(w,0)] = \rho(s(k))s(w,0) = \rho(s(k))0 = 0.$$

This means that the subspace  $W \times \{0\}$  of  $W \times V$  is invariant under the representation  $\rho$  of K. Thus  $\rho$  produces a representation, which we denote by  $\rho_0$ , of K on W:

$$\rho(k)w = \rho_0(k)w \quad \text{for all } w \in W \text{ and } k \in K.$$
(9.12)

To see how this interacts with the representation  $\rho$  of  $G = Obj(\mathbf{G})$  on V we compute

$$t(\rho_0(k)w) = t[\rho(k)w] = \rho(t(k))t(w) = \rho(t(k))\tau_0(w).$$
(9.13)

For the interaction of  $\rho_0$  and the composition of morphisms in K, let us recall that

$$\rho: \mathbf{G} \times \mathbf{V} \longrightarrow \mathbf{V}$$

is a functor. Using this and the definition of  $\rho_0$  in (9.12) we have:

$$\begin{aligned} \left( \rho_0(k_2 \circ k_1)w, 0 \right) &= \rho(k_2 \circ k_1)(w, 0) \\ &= [\rho(k_2 \circ k_1)][(w, 0) \circ (0, 0)] \\ &= [\rho(k_2)(w, 0)] \circ [\rho(k_1)(0, 0)] \\ &= (\rho_0(k_2)w, 0) \circ (0, 0) \\ &= (\rho_0(k_2)w, 0) \end{aligned}$$

$$(9.14)$$

for any  $k_1, k_2 \in K = Mor(\mathbf{G})$  for which the composite  $k_2 \circ k_1$  exists and all  $w \in W$ . This is a very strong restriction on  $\rho_0$ . In fact, taking  $k_1 = k : a \longrightarrow b$  and  $k_2 = 1_b$ , the identity morphism at b, we have

$$\rho_0(k) = \rho_0(1_{t(k)}). \tag{9.15}$$

Thus  $\rho_0$  is completely determined by the representation of G on W given by

$$G \longrightarrow \operatorname{End}(W) : b \mapsto \rho_0(1_b).$$
 (9.16)

We denote this representation of G by  $\rho_0$ :

$$\rho_0(b) \stackrel{\text{def}}{=} \rho_0(1_b).$$
(9.17)

To summarize, we have proved:

9.2. PROPOSITION. Let **G** be a categorical group, with source map s and target map t, and let  $(G, H, \alpha, \tau)$  be the associated crossed module. Suppose  $\rho$  is a representation of **G** on a categorical vector space **V**. Let  $V = \text{Obj}(\mathbf{V})$ ,  $W = \ker s$ , and  $\tau_0 : W \longrightarrow V$  be the restriction of the target map to W. Then there is a representation  $\rho_0$  of G on W such that

$$\rho(k)w = \rho_0(t(k))w \quad \text{for all } w \in W \text{ and } k \in K, \tag{9.18}$$

and  $\rho_0$  intertwines with  $\rho|G$  through the map  $\tau_0: W \longrightarrow V$ :

$$\tau_0(\rho_0(k)w) = \rho(t(k))\tau_0(w) \quad \text{for all } k \in K \text{ and } w \in W.$$
(9.19)

The very special conditions that  $\rho$  must of necessity satisfy can be explored further. Let us recall from (2.3) that the zero element in Mor(**V**) is 1<sub>0</sub>:

 $0 = 1_0$ ,

and that since  $\rho$  is a functor it carries identity morphisms to identity morphisms:

$$\rho(1_g, 1_v) = 1_{gv}.$$
(9.20)

for all  $g \in \text{Obj}(\mathbf{G})$  and  $v \in \text{Obj}(\mathbf{V})$ . For any morphism  $f : v \longrightarrow w$  in Mor( $\mathbf{V}$ ),  $\rho(1_g, f)$  has source gv and target gw while  $\rho(1_g, f^{-1})$  has source gw and target gv; then:

$$1_{gv} = \rho(1_g, f^{-1} \circ f) = \rho(1_g \circ 1_g, f^{-1} \circ f) = \rho(1_g, f^{-1}) \circ \rho(1_g, f)$$
(9.21)

and

$$1_{gw} = \rho(1_g, f \circ f^{-1}) = \rho(1_g \circ 1_g, f \circ f^{-1}) = \rho(1_g, f) \circ \rho(1_g, f^{-1}).$$
(9.22)

Hence

$$1_g f^{-1} = \left(1_g f\right)^{-1}.$$
 (9.23)

We can combine this with the very special relation (9.15)

$$\rho_0(k) = \rho_0(1_{t(k)})$$

to extract more information on the nature of  $\rho$ .

If  $f = (w, v) \in W \oplus V \simeq \operatorname{Mor}(\mathbf{V})$  has source s(f) = v in the range of  $\tau_0$  then we can express f as a composite using two elements in W:

$$f = (f \circ f_0) \circ f_0^{-1},$$

where  $f_0 = (w_v, 0) \in W$  and  $w_v$  being such that  $\tau_0(w_v) = v$ , and  $f \circ f_0$  is also in W because its source is 0. Then

$$\rho(k,f) = \rho(k,f \circ f_0) \circ \rho(1_b, f_0^{-1}) = \rho(k,f \circ f_0) \circ [\rho(1_b, f_0)]^{-1}$$
(9.24)

where b = t(k). We recall from (9.15) that

$$\rho(k, f \circ f_0) = \rho(1_{t(k)}, f \circ f_0) = \rho_0(b)(f \circ f_0), \\
\rho(1_b, f_0) = \rho_0(b)f_0.$$

Our conclusion then is:

the values  $\rho(k, f)$ , when  $s(f) \in t(W)$ , are uniquely determined by the representation  $\rho_0$  of G on W.

The value of  $\rho(k, f)$  for  $f: v \longrightarrow w$  is also uniquely determined by the restriction of the representation  $\rho$  of G to  $\operatorname{Hom}(0,0) \subset \operatorname{Mor}(\mathbf{V})$  and the value  $\rho(k, f')$  for any one  $f' \in \operatorname{Hom}(v, w)$ . This is seen by writing f as f' + (f - f') and noting that  $f - f' \in \operatorname{Hom}(0, 0)$ .

Thus categorical vector spaces and representations of categorical groups on them have a very special structure.

# 10. Concluding summary

In this paper we have studied actions of categorical groups on categories. The distinction between such actions and traditional group actions lies in the behavior of compositions of morphisms, a structure not present in ordinary groups. We have introduced a notion of a twisted action in this context, allowing for a richer range of behaviors of composition in relation to the action. For linear actions on categories with vector space structures we have proved a version of Schur's lemma and studied representations on categorical vector spaces. To illustrate the ideas we have developed several examples in detail.

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