Artificial evolution of the morphology and kinematics in a flapping-wing mini-UAV

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Abstract

Birds demonstrate that flapping-wing flight (FWF) is a versatile flight mode, compatible with hovering, forward flight and gliding to save energy. This extended flight domain would be especially useful on mini-UAVs. However, design is challenging because aerodynamic efficiency is conditioned by complex movements of the wings, and because many interactions exist between morphological (wing area, aspect ratio) and kinematic parameters (flapping frequency, stroke amplitude, wing unfolding). Here we used artificial evolution to optimize these morpho-kinematic features on a simulated 1 kg UAV, equipped with wings articulated at the shoulder and wrist. Flight tests were conducted in a dedicated steady aerodynamics simulator. Parameters generating horizontal flight for minimal mechanical power were retained. Results showed that flight at medium speed (10–12 m s⁻¹) can be obtained for reasonable mechanical power (20 W kg⁻¹), while flight at higher speed (16–20 m s⁻¹) implied increased power (30–50 W kg⁻¹). Flight at low speed (6–8 m s⁻¹) necessitated unrealistic power levels (70–500 W kg⁻¹), probably because our simulator neglected unsteady aerodynamics. The underlying adaptation of morphology and kinematics to varying flight speed were compared to available biological data on the flight of birds.

(Some figures in this article are in colour only in the electronic version)

1. Introduction

In order to achieve sustained horizontal flight, human flying machines usually rely on a fixed wing and a powered propeller such as an airplane or on powered rotating wings like in helicopters. On the other hand, birds, bats and insects—i.e., actively flying animals—use flapping-wing flight (FWF) to produce the lift and thrust forces needed for forward flight. The reasons why biological and technical worlds have retained different solutions may be of both historical (e.g., contingency) and structural (e.g., material constraints) nature. In particular, it appears that

• Continually rotating mechanical joints—on which propellers and rotors are based in human technology— do not exist in animals at the macroscopic, morphological level. Skeletal joints would belong to the category of rotating joints, but the dependence on muscles and tendons as force and torque effectors limits their angular rotation. Hence, only *reciprocating* movements between skeleton

elements are possible in animals. As a consequence of the historical, contingent constraint of inheriting a musclebased activation system, propellers or rotating wings for active flight are beyond the reachable phenotypes of extant animals.

• Articulated, moving wings as necessitated by FWF are hard to design for human flight. On a man-lifting scale, with usual aeronautical materials such as wood, steel, aluminium or even newer composite materials, FWF is a tremendous aerodynamic, mechanical and structural challenge for current technology. Although several 'ornithopters' have been constructed in the last 100 years, even the most recent designs (DeLaurier 1999) remain marginally efficient compared to classical fixed wing or rotor designs. As a result, the great potential of FWF demonstrated by animals in terms of speed range or manoeuvrability, though attractive, remains beyond the achievable goals of today's human aerial transportation prospect.

Although FWF seems rather impractical on a man-lifting scale, which is also illustrated by the fact that extant flying animals rarely exceed 10 kg in mass, the recent technological field of unmanned aerial vehicles (UAVs) may find in FWF solutions to challenging flight dynamics problems. As small size is a determining factor, research efforts on FWF are mostly focused on micro-UAVs (insect to small bird sized: 1–100 g) and mini-UAVs (medium to large bird sized: 0.1–10 kg). At these sizes, FWF has the potential to allow unique flight dynamics abilities, as demonstrated by the performance of flying animals:

- FWF is versatile. Depending on specific size and weight, flying animals can hover, fly forward at varying speeds and glide or soar to save energy. These flight regimes are selected according to daily activities such as foraging, observation or migration. In many small species, both helicopter and airplane-like abilities are merged into a single, extended flight domain.
- Active articulated wings and asymmetrical flapping provide very high manoeuvrability, especially useful in obstructed spaces, as demonstrated by perching birds that fly among tree branches for example.
- Reciprocating wing movements allow flying animals to use favourable *unsteady aerodynamics*, at least during hovering and slow flight (Norberg 1990). For example, one well-known unsteady effects is the 'delayed stall', which can increase the airfoil maximal lift up to 50% when the wing's angle of attack is suddenly increased (Ellington 1984, Ellington *et al* 1996).

Drawing inspiration from natural flyers, one of the main interests in transposing FWF to small UAVs is the ability to obtain an extended flight-mode range. Namely, a hovering and very slow flight appears useful for exploration and observation in obstructed or urban areas, while a medium to high-speed horizontal flight at low energetic cost, which is not well achieved by helicopters, is necessary to cover large distances. Moreover, the ability to soar in ascending air currents is a supplementary key feature for saving energy.

Although the perspective of implementing these three flight modes on a single UAV is very attractive, the main drawback in using FWF, as mentioned above, is the high difficulty of designing a mechanically and aerodynamically functional flapping wing, because of the large number of interacting parameters such as morphological characteristics, degrees of freedom and kinematic data associated with the wing's parts.

In an attempt to overcome these difficulties, we used artificial evolution (AE) to explore the range of functional wing morphologies and kinematics for a simulated birdlike mini-UAV. AE is a 'trial and error' optimization method inspired by Darwinian natural selection. It may call upon several numerical optimization procedures such as 'genetic algorithms', 'evolution strategies' and others (Goldberg 1989), which are used in engineering, artificial intelligence and biology to solve complex problems (for an AE application to biological morphology, see de Margerie *et al* (2006)). Compared to classical knowledge-driven engineering methods, AE has the main advantage that it does not need a comprehensive high-level knowledge of the considered problem. In our case, only the basic laws of aerodynamics need to be implemented in a simulator, to make the generation of various FWF solutions possible. By selecting the best among such randomly generated solutions, by randomly recombining and mutating them, and by testing the 'offspring' solutions again, AE can generate satisfactory optimized solutions to intricate problems, with minimal initial knowledge. This can be useful for solving the FWF problem because one only needs to know rather general aerodynamic laws to test any AE-produced wing morphology, or any flapping movement, in a flight simulator.

The present work is part of the ROBUR project (Doncieux et al 2004) that aims at designing an outdoor bird-like mini-UAV of 1-2 m wingspan, with adaptive locomotion modes and abilities required for a true decisional autonomy such as obstacle avoidance (Muratet et al 2005), localization and mapping (Angeli et al 2006) and energy management (Barate et al 2006). Here, we focus on wing morphology and movements and use AE to find morphological and kinematic parameters providing flapping flight at minimal energetic cost. These parameters mainly include the wing area, the wing aspect ratio, the flapping frequency, the stroke amplitude and the angles of attack. As a first stepping stone, before later considering turning, ascending or aerobatic flight, we concentrated the present work on forward horizontal flight at varying speeds (6–20 m s⁻¹), for an approximately 1 kg birdlike UAV.

A few previous works have used AE to optimize FWF, but with notable differences from the present work. Salles and Schiele (2004), for instance, optimized the movement of a small rigid wing inspired by a hawkmoth's wing, manipulated at low Reynolds number by a robotic arm, using a genetic algorithm. Van Breugel and Lipson (2005) used an evolutionary algorithm to optimize the lift produced by a simulated 50-310 g four-wing ornithopter. Although not using AE, Rakotomamonjy et al (2004) used an optimization algorithm (nonlinear programming) on a neural network controlling the kinematics of a simulated 30 g micro-UAV, in order to maximize the lift forces. Beyond differences in the UAV's mass, our work differs from these previous studies in the fact that we simultaneously optimize the kinematics and the wing morphology (size and shape) of our UAV. To our knowledge, the work of Shim et al (2004) is the only other study evolving both the morphology and kinematics of bird- or bat-sized FWF UAVs. However, their optimization process does not consider energy consumption-their fitness criterion being a sum of flight speed and hovering time-and accordingly embeds their study in a different perspective tied to artificial life and virtual worlds and thus is less realistic and applicable to real UAVs than ours.

2. Methods

2.1. UAV morphology and kinematics

Freely inspired by bird morphology, our simulated UAV had two symmetrical wings and a central tail, as described in

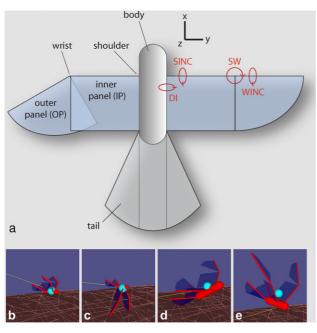


Figure 1. UAV morphology. (*a*) Wing panels and their degrees of freedom (DOFs); dihedral (DI), sweep (SW), shoulder incidence (SINC) and wrist incidence (WINC). (*b*)–(*e*) Four possible morphologies, for extreme values of the wing area (a_w) and the wing aspect ratio (λ_w). UAVs are displayed in flight, with random angular values on the four DOFs. The light-blue sphere indicates the position of the UAV's centre of gravity. The yellow line is the trajectory of the UAV's body. (*b*) $a_w = 0.1 \text{ m}^2$, $\lambda_w = 4.5$, wingspan = 0.67 m. (*c*) $a_w = 0.1 \text{ m}^2$, $\lambda_w = 10$, wingspan = 1 m. (*d*) $a_w = 0.4 \text{ m}^2$, $\lambda_w = 4.5$, wingspan = 1.34 m. (*e*) $a_w = 0.4 \text{ m}^2$, $\lambda_w = 10$, wingspan = 2 m.

figure 1. Each wing comprised an inner rectangular and an outer elliptic panel of equal spans, named IP and OP, respectively. Each wing had four degrees of freedom (DOF): rotation was possible in dihedral (x) and incidence (y) at the 'shoulder' joint, i.e. between body and IP, while rotations in sweep (z) and incidence (y) were allowed at the 'wrist' joint, i.e. between IP and OP. Rotation in sweep allowed the UAV to possibly retract its wings during the flapping stroke. A sweep angle implied that IP and OP partly overlap, hence entailing a decrease in the aerodynamically efficient wing area. This was implemented in our simulated UAV by deleting the overlapping panel areas. As dihedral movements only happened at the shoulder and sweep movements at the wrist, these two DOFs will be referred to as 'dihedral' (DI) and 'sweep' (SW). Likewise, the two incidence DOFs will be distinguished with the terms 'shoulder incidence' (SINC) and 'wrist incidence' (WINC).

The size, shape and movements of the wings were determined by 12 parameters which were allowed to vary—within the bracketed limits given below—during the AE optimization process. These parameters constituted the 'genome' of our UAV:

1. The wing area (a_w) [0.1–0.4 m²] was the 'size' parameter, i.e. the sum of areas of both wings fully extended (no sweep).

- 2. The wing aspect ratio (λ_w) [4.5–10] was the 'shape' parameter, expressing the ratio of wingspan—both wings included—to wing chord.
- 3. The flapping frequency (*f*) [1–10 Hz] was common to all DOFs—shoulder dihedral and incidence, wrist sweep and incidence—which were all controlled through sinusoidal functions.
- 4. The dihedral amplitude $(amp_{di}) [0^{\circ}-89^{\circ}]$ was the amount of *x* angular oscillation between body and wing. Upward and downward rotation ranges of the wings were symmetric (e.g. 89° upward and 89° downward, zero centred). The maximum value of 89° was chosen to prevent geometrical and numerical singularities at 90°.
- 5. The sweep amplitude (amp_{sw}) [0°–89°] was the maximal z angle between IP and OP leading edges. Contrary to dihedral, this range holds only backward, i.e. OP could not rotate frontward to IP in a 'negative sweep' configuration.
- 6. The sweep offset (off_{sw}) [0-500% of sinus period] determined the periodic offset between dihedral and sweep movements. A range of 500\%, instead of the theoretically sufficient 100%, allowed this parameter to possibly evolve near to a 100% value without encountering any boundary.
- 7. The shoulder incidence reference (ref_{sinc}) $[-20^{\circ}-20^{\circ}]$ is the default *y* angle between body and IP, around which the incidence sinusoidal oscillation occurred.
- 8. The shoulder incidence amplitude (amp_{sinc}) $[0^{\circ}-69^{\circ}]$ is the amount of *y* angular oscillation between body and IP.
- The shoulder incidence offset (off_{sinc}) [0–500%] is the periodic offset between dihedral and shoulder incidence movements.
- 10. The wrist incidence reference (ref_{winc}) [-20-20°] is the default y angle between IP and OP.
- 11. The wrist incidence amplitude $(amp_{winc}) [0^{\circ}-69^{\circ}]$ is the amount of y angular oscillation between IP and OP.
- 12. The wrist incidence offset (off_{winc}) [0-500%] is the periodic offset between dihedral and wrist incidence movements.

Based on the preceding parameters, the time-dependent kinematic laws of the angular variations in DOFs were

$$DI = amp_{di}\sin(2\pi ft)$$
(1)

$$SW = \operatorname{amp}_{sw}\left(\frac{1}{2} + \frac{1}{2}\sin(2\pi(ft + \operatorname{off}_{sw}))\right)$$
(2)

$$SINC = \operatorname{ref}_{\operatorname{sinc}} + \operatorname{amp}_{\operatorname{sinc}} \sin(2\pi (ft + \operatorname{off}_{\operatorname{sinc}}))$$
(3)

$$WINC = ref_{winc} + amp_{winc} \sin(2\pi (ft + off_{winc}))$$
(4)

with t being the simulated time in seconds.

The remaining features of the UAV may be inferred either from the evolving parameters just mentioned or from deliberate constraints such as the following:

The span (b_w) and inner chord (c_w) of each wing were direct geometrical outcomes of the wing area and aspect ratio:

$$b_w = \frac{\sqrt{\lambda_w a_w}}{2} \tag{5}$$

$$c_w = \frac{a_w}{b_w \left(1 + \frac{\pi}{4}\right)}.\tag{6}$$

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The body of the UAV was a cylinder with rounded tips (figure 1). Its length (l_b) was proportional to the wing chord, and its radius (r_b) was a function of the wing area, such that the body cross-section was proportional to the wing area:

$$l_b = \frac{4}{3}c_w \tag{7}$$

$$r_b = \frac{\sqrt{a_w}}{10}.\tag{8}$$

The tail area (a_t) was proportional to the wing area. The tail parts extending laterally beyond body sides were raised at 45° around the *x*-axis to provide some lateral stability to the UAV through a V-shaped tail surface:

$$a_t = \frac{a_w}{2}.$$
 (9)

Tail position relative to the body was not allowed to change and remained constant in all experiments. A control of the tail should further increase our artificial bird stability, but we preferred not to include one, to rely on passive stability as much as possible.

The masses of the UAV elements were determined as follows:

Considering that the wing mass would represent a significant part of the total mass in a real FWF UAV, and considering that we aim at designing an approximately 1 kg flyer, the body mass (m_b) was set to

$$m_b = 0.5 \text{ kg.}$$
 (10)

The wing mass $(m_w$, for both wings) depended on the wing area through an isometric relationship inspired by Greenewalt (1975). The tail mass (m_t) was estimated through a similar relationship:

$$m_w = 2(a_w)^{1.5} \tag{11}$$

$$m_t = (a_t)^{1.5}.$$
 (12)

The masses of wings and tail were concentrated in their respective leading edges and uniformly distributed along the span. The mass distribution within the body was such that the whole UAV's centre of gravity (CG) was located at about 25% of wing chord when the wings were fully extended.

The chosen ranges and laws of variation of our parameters can now be compared to biological data.

Starting from the hard constraint that the body of our UAV had a 0.5 kg mass, we found several species with similar masses in the data of Magnan (1922, in Greenewalt (1962)). These species, among which are Hooded Crow (*Corvus cornix*), Shearwater (*Calonectris diomedea*) or Marsh-Harrier (*Circus aeruginosus*), have wing areas ranging from 0.13 to 0.23 m², except some 'duck-model' fast-flying species such as Shoveler (*Anas clypeata*) or Coot (*Fulica atra*), which have wing areas as low as 0.06 m². Choosing a range of (0.1–0.4) for a_w covered the whole biological size range with the same mass, except the smallest, highly loaded duck-styled flyers, which can be considered as high-speed flight specialists, differing from our versatile UAV objective. As a direct outcome of the wing area, the wing loading of our UAV (the ratio of total mass to wing area) could range from 2.6 for maximal

 a_w to 5.7 kg m⁻² for minimal a_w . According to Greenewalt (1975, p 16), this range covers the natural wing loadings of all sampled groups, including raptors [*Falconiformes*], owls [*Strigiformes*], herons [*Ardeidae*] and bats of comparable masses, except the highly loaded ducks and shorebirds. In the latter groups, wing loading usually attains 6–10 kg m⁻², while Auks [*Alcidae*] can attain even higher wing loadings, up to 24 kg m⁻².

Concerning wing masses, relationship (11) was inspired from isometric biological data, but yielded slightly higher UAV wing masses compared to the above mentioned bird species, especially at higher wing areas. For example, we predicted $m_w = 0.22$ kg for a 0.23 m² wing area, whereas wings of a Marsh-Harrier are 0.14 kg according to Magnan. We chose to be rather conservative regarding this issue, as real articulated UAV wings will probably not equal natural performances regarding weight saving. We were also conservative when assuming a uniform wing mass distribution along the span of our UAV, rather than a decrease in mass towards the wing tips as is observed in birds, which reduces the inertial power required for flapping flight (Van den Berg and Rayner 1995).

According to Norberg (1990, p 173), the aspect ratio of birds weighting 0.75 kg—a rough estimation of the mean total mass of our UAV including body, wing and tail—averages 7.7. When individual species are considered in Magnan's data, λ ranges from 6 for Hooded Crow to 9 for Shearwater. We allowed AE to search for the optimal λ between 4.5 and 10. The lower boundary is imposed by our wing geometry for consistent panel overlap. The upper boundary at 10 was chosen to avoid generating high- λ virtual morphologies that would probably not be stiff or strong enough in reality. This precaution was necessary, as our mechanical model did not take structural resistance into account (see below).

Last, the range of frequency we allowed (1–10 Hz) is similar to biological data corresponding to the same mass (2–10 Hz; Norberg 1990 p 177).

Drawing inspiration from birds for setting the variation ranges of the morphology and kinematics of our UAV is deliberate. It does not warrant that the optimal FWF UAV will be found within these limits. It is definitely possible that a more efficient UAV design virtually exists beyond what nature has ever explored (especially using artificial construction materials). However, as one observes natural flyers' skills and versatility, it is obvious that an UAV exhibiting at least some of these features would already be a large step forward compared to current humanly designed flying machines. In a broader perspective, our deliberately biomimetic strategy falls within the scope of the 'animat approach', which aims at designing simulated animals or real robots whose structure and functionalities are inspired by current biological knowledge, in the hope that they will exhibit at least some of the versatile capacities of real animals. Rather than direct inspiration consisting of copying the morphology and movements of a single bird species, here we launch artificial evolution within a biologically informed search space, in order to obtain results that can be compared a posteriori to biological data for validation and analysis, e.g. evolutionary trends and comparative adaptations. Interestingly, some of the results

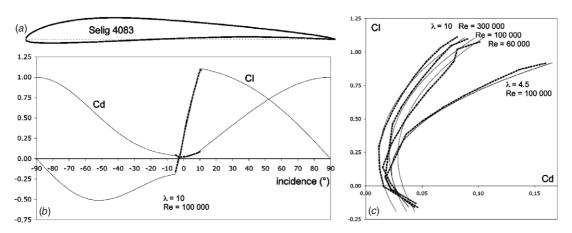


Figure 2. Lift and drag coefficients as computed by the FMFAW model (thin lines), compared to experimental measures on the Selig 4083 airfoil (corrected for aspect ratio effect, dotted lines). (*a*) Outline of the Selig 4083 airfoil. (*b*) Lift (Cl) and drag (Cd) coefficients of wing surface elements, as a function of angle of incidence. Although experimental data are only available in the 'common' incidence range (-5° to 10°), FMFAW estimates values for the whole 180° incidence range. (*c*) Effects of Reynolds number (*Re*) and aspect ratio (λ) variations on the polar lift–drag curve.

obtained in simulation, in a fully controlled environment (contrary to real-world experiments) may, in turn, appear useful to biologists to disentangle the complex biological adaptations such as those involved in FWF.

2.2. Flight simulation

To test the flight characteristics of the multiple FWF solutions generated by the AE algorithm in terms of wing size, shape and movements, a flight simulator using the air speed vector at local points of the wing and tail was needed to compute the generated aerodynamic forces. This vector was a composition of both the UAV speed and the speed induced by the wing stroke. Moreover, possibly high local angles of incidence and lateral drift due to sweep had to be accounted for.

We used a model specifically designed for flapping articulated wings (FMFAW, flight mechanics for flapping articulated wings), which has been described elsewhere (Druot 2004). This semi-empirical, quasi-steady-aerodynamics model considered that a wing was divided in a number of rigid flat quadrangular wing elements (WEL). In the present work, we divided each wing's IP into three coplanar WELs, and each OP into six coplanar WELs. At each time step (0.005 s in the present work) and for each WEL, the model estimated the local incident airspeed, and computed three cumulative aerodynamic forces: the leading edge lift, the parachute drag and the friction drag, as described in more details by Druot (2004). As the size and shape of our wings could vary, it was necessary that the model took the aspect ratio and the Reynolds number into account. As a conservative approach, the wing aspect ratio (λ_w) was accounted for by classical induced lift and drag formulae:

$$Cl_{\lambda} = Cl \frac{\lambda_w}{\lambda_w + 2}$$
(13)

$$Cd_{\lambda} = Cd + \frac{Cl^2}{\pi\lambda_w}$$
(14)

with Cl_{λ} , Cd_{λ} being the lift and drag coefficients corrected for the aspect ratio, and Cl, Cd uncorrected coefficients, i.e. at infinite aspect ratio. λ_w was common to all WELs, such that the whole wing's aspect ratio affected the performance of each WEL, whereas the wing movement effects on the effective aspect ratio were neglected for simplicity. Moreover, no particular loss of aerodynamic efficiency was assumed at the body/IP and IP/OP interfaces because it was uneasy to determine *a priori* what mechanical solution would be adopted for the real UAV's shoulder and wrist, and because we did not want the optimization process to depend on such matters initially.

The Reynolds number (*Re*) was assumed to have an effect on the friction drag coefficient in FMFAW (Cd_f). According to Norberg (1990), we assumed a dependence on $Re^{0.5}$ for laminar flow and on $Re^{0.2}$ for turbulent flow:

$$\operatorname{Cd}_{f} = \max(8 \ Re^{-0.5}; \ 0.2 \ Re^{-0.2}).$$
 (15)

Contrary to the aspect ratio, Re (and thus Cd_f) was considered as a 'local' variable, computed at each time step and for each WEL individually.

As a result of (15), the transition from laminar to turbulent flow happened in our model around $Re \ 2 \times 10^5$. As for other parameters in FMFAW, e.g., those setting the dependence of the lift coefficient on the angle of incidence, proportionality coefficients in (15) were chosen to have the closest possible fit with experimental data for a particular airfoil. We chose the Selig 4083 airfoil, which is an 8% thick, under-cambered airfoil designed for providing high lift and lift/drag ratio at low Reynolds number $(6 \times 10^4 - 2 \times 10^5)$. Its performances were measured experimentally in a wind tunnel for Re 6 \times 10⁴-3 \times 10⁵ (Selig 1997). Figure 2 compares these experimental curves with the outcome of FMFAW as used in the present work. Beyond providing useful experimental data to calibrate our model, we chose the Se 4083 for its affinities with wing airfoils in birds, in terms of shape, thickness, camber, maximum lift coefficient and Reynolds number range (Withers 1981).

Concerning the UAV tail, aerodynamic forces were calculated similarly to the wings, but assuming a symmetrical Naca 009 airfoil, and a 1.0 value for the aspect ratio. Finally, the body of the UAV was assumed to produce drag only, with a drag coefficient of 0.3 indexed on its frontal area (Norberg 1990, p 165).

Although the fit between FMFAW aerodynamic forces and experimental data at varying Reynolds number seems rather satisfactory (figure 2), FMFAW remains based on steady aerodynamics, and thus does not compute unsteady aerodynamics effects, nor interactions between UAV's parts. Thus the quantitative results of simulations must be interpreted with enough caution, especially at low flight speed, where unsteady effects, interactions and flight in disturbed air grow in importance. For this reason, we optimized our UAV using FMFAW for flight speeds ranging from 6 to 20 m s⁻¹, but not for lower flight speeds, nor for hovering flight.

Apart from FMFAW and flight mechanics, we used the Open Dynamics Engine (ODE, Smith 2006) to simulate the relative movements of each part of our articulated UAV and compute its flight trajectory. Body and wing parts, considered as not deformable solids, were attached using joints having the same DOFs as in figure 1. Sinusoidal angular movement of these four DOFS was obtained by producing enough torque at the joints to follow precisely the desired kinematic curve as dictated by the UAV's genome. High torques, possibly up to unrealistic values, were allowed to be produced at some joints if this was necessary to follow the 'genetic' kinematics against possibly strong external forces, i.e. weight and aerodynamic forces on wing panels. It was the role of evolution to find an adequate, realistic wing morphology and movement that minimized the torques at joints, and hence decreased the required mechanical power, while achieving forward flight at a given speed.

2.3. Evaluation, fitness and evolutionary algorithm

First, we briefly recall the general principles of artificial evolution, and how AE draws inspiration from natural random variation and Darwinian selection.

Each potential solution generated by AE is called an 'individual', as its characters are dictated by a genome (a chain of 12 floating point numbers in the present work), and expressed into a phenotype (specific morphology and kinematics) interacting with a simulated world (flight simulation). The 'fitness' of each individual relative to the chosen problem (forward horizontal flight) is measured during its lifetime (the duration of an evaluation) and determines its breeding success, i.e. the chance that its genome will be selected for creating a new individual possesses a high fitness and is selected for offspring production, its genome is copied, crossed with the genome of another selected individual, randomly mutated and then expressed into the offspring's phenotype, which is evaluated in turn.

In the present case, the fitness of each individual was assessed through a standardized test flight: the UAV was launched forward at an initial 300 m height, with a given initial horizontal speed which was constant for all individuals within an evolutionary 'run' (e.g. 10 m s^{-1}). The genetically determined kinematics of the individual were symmetrically applied at joints of both wings since the first time step of the evaluation and for 10 s—i.e. 2000 time steps—during which the UAV flew freely: no particular constraint was applied to its trajectory to 'help' it achieve a stable horizontal flight. At each time step of the evaluation, two variables were recorded in order to quantify the fitness of the individual:

- The distance (D) between the UAV's body and the ideal 'reference' trajectory, i.e. a horizontal path at the initial launch speed (e.g. 10 m s^{-1}).
- The instantaneous mechanical power (P) produced at the wings' joints (shoulders and wrists). This variable was computed as the sum, for all four joints, of the scalar product between the instantaneous torque (τ) and the instantaneous rotational speed (ω).

$$P = \sum_{i=1}^{4} |\vec{\tau}_i.\vec{\omega}_i|$$
(16)

with *i* referring to each individual joint.

Note that the power was counted positive regardless of the sign of the scalar product. This means that the torques produced to accelerate the instantaneous joint rotation and the torques used to slow down the rotation were assumed to have equivalent energetic costs. We chose this conservative hypothesis which maximized the power consumption, *a priori* assuming that the real UAV would probably not have an elastic energy storage capacity. Referring to assumptions in the biological literature about the power consumption of birds, some authors consider the acceleration only and ignore the decelerating power, although some other recommend to add both, as we did (Van den Berg and Rayner 1995).

At the end of the evaluation flight, the fitness of the individual was determined by two separate criteria, based on D and P, respectively: the maximal value attained by D during the 10 s—which quantified how far from its reference horizontal path the UAV's trajectory diverged, and the mean absorbed P—which measured the mechanical power cost of the achieved flapping movement, given the individual's size and shape:

$$Fitness = [-max(D); -mean(P)].$$
(17)

Both fitness parameters were negative, because selection in the evolutionary algorithm we used favours high fitness values, and because we aimed at reducing both the trajectory divergence and the power consumption.

The evolutionary algorithm we used (*epsilon-MOEA*; Deb *et al* 2005) is a multi-objective algorithm that takes into account the two just-mentioned fitness criteria simultaneously without merging them into one single fitness value as many other algorithms proceed. As an outcome, not a single but several individuals are considered as the 'best'. The selection scheme is based on the concept of 'domination': within the population, the best individuals are those which have fitness values such that no other individual has higher values on both fitness criteria. The individual is then declared 'non-dominated' (see figure 3). By this rule, many individuals in the

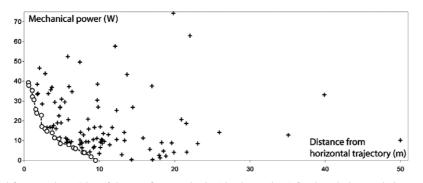


Figure 3. Population and fitness values. Plot of the two fitness criteria (absolute values) for the whole population, at an intermediary step of evolution (example of a 10 m s⁻¹ run after 10 000 generations). Each cross represents an individual, i.e. the phenotypic performance of a given genome (a combination of 12 parameter values). The 'best' individuals (i.e. 'non-dominated individuals' or 'Pareto front', see the text) are those in the bottom left corner (i.e. lower power consumption and lower departure from reference trajectory), represented as white dots. The next generation will consist of crossing the genome of one individual of the elite (white dot) with one individual of the remaining population (cross). If the offspring is better than both its parents, it will appear closer to the bottom left corner, hence making the Pareto front progress towards better performance.

population can be non-dominated, representing locally optimal compromises between fitness criteria. These individuals are called 'Pareto-optimal' solutions and constitute the most favoured individuals for offspring production. In epsilon-MOEA, Pareto-optimal individuals are placed in what is called an 'elite' group, from which one of the two parents implied in each offspring production is systematically chosen at random. The other parent is chosen among the population (see Deb et al (2005) for details). An important particularity of epsilon-MOEA, compared to some other multi-objective algorithms, is that individuals in the elite must differ from each other by some fitness increment: 0.1 m in trajectory divergence and 1.0 W in power consumption, in the present case. In other words, the 'Pareto front' of the population is interval-sampled. This prevents too much similarity between favoured genitor individuals within the elite, which often causes the premature convergence of evolution towards a local optimum.

The sequence of an evolutionary run was as follows:

- 1. 2000 individuals with randomly generated genomes were created and individually tested.
- 2. The best individuals, in the sense of the multi-objective fitness just exposed, were retained to constitute a first elite group, and the 100 next individuals, only dominated by the elite, constituted the root population for evolution. Other individuals were discarded.
- 3. An individual of the elite was randomly chosen for mating with another individual drawn from the population.
- 4. The two genomes were crossed to produce an offspring genome: each of the 12 parameters in the offspring genome was randomly chosen from one or the other parent.
- 5. The offspring genome was mutated, with a probability of 30% for each parameter: the corresponding value was randomly increased or decreased by some amount. This amount was randomly drawn from a normal distribution of mean 0 and variance 4% of the parameter-authorized range.
- 6. The offspring genome was expressed into a phenotype tested in the flight simulator, and its fitness values were measured.

- 7. If its fitness values made the offspring a non-dominated individual compared to individuals currently in the population and the elite, it joined the elite group. Otherwise, it was only placed in the 'regular' population, with the condition that it was able to replace a relatively worse individual. Otherwise, the offspring was discarded.
- 8. Steps 3–7 were repeated 50 000 times. In the following, we will refer to such a cycle as a *generation*.

At the end of the evolutionary run, the performance, as well as the morphological and kinematic parameters, of individuals in the final elite group was scrutinized.

In order to assess the influence of the flight speed on the evolutionary adaptation of wing size, shape and movement, we conducted separate evolutionary runs with 6, 8, 10, 12, 16 and 20 m s⁻¹ initial horizontal speeds. In all runs, only the flight speed was changed: all individuals had a 500 g body mass, and the same possible range of variation for other parameters. As AE is a stochastic optimization method implying many random draws in initial genome generation, as well as in the crossover and mutation processes, we felt it necessary to launch four duplicate runs per flight speed value in order to estimate how much the resulting adapted morphologies and kinematics converged (or diverged towards different local optima). Hence a total of 24 independent evolutionary runs were conducted initially, each one representing 52000 test flights. As a whole, this represented a total of 3500 virtual flight hours, and approximately 1500 h of computation on standard personal computers (2 GHz processor with 512 MB of RAM). In a second stage, some supplementary runs were launched for further analysis (see section 3).

3. Results and discussion

3.1. Progressive emergence of forward horizontal flight

Figure 4 shows the progression of the Pareto front ('elite') of the population through successive generations for one of the evolutionary runs aiming at a horizontal flight speed of 10 m s⁻¹. The randomly generated front of the initial

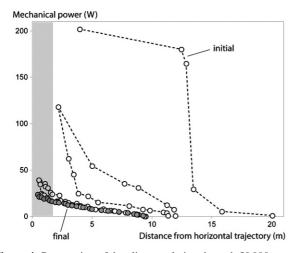


Figure 4. Progression of the elite population through 50 000 generations. For the same evolutionary run as in figure 3 (10 m s⁻¹ flight), the Pareto front of the population is plotted at 0 (initial random individuals), 500, 1000, 10 000, 20 000 and 50 000 generations. Within the final elite group (grey dots), only individuals departing less than 2 m from perfect horizontal flight (grey area) are retained for further analysis.

population already contained different compromise solutions to the horizontal FWF problem. On the right-hand part of the front were located individuals consuming little or no power, but departing significantly from the horizontal trajectory. These individuals consumed no power because they usually did not move their wings at all, or only through passive movements, i.e. caused by external forces only, and they may be assimilated to 'gliders'. As a consequence, they systematically lose height during the test flight, as quantified by their score on the distance to the reference trajectory criterion: 15-20 m departure from the horizontal 10 m s⁻¹ trajectory at the end of the 10 s flight. On the left-hand part of the initial front were individuals flying closer to a horizontal path (4 m departure), but at the cost of high power consumption, up to 200 W of mechanical power in that run. Such high power values were usually due to large wings, flapping at high frequency and with non-optimal incidence angles. Between these two extreme solutions were a few other non-dominated individuals with intermediate performances: better than pure gliders on trajectory departure, and better than the most active flappers on power consumption. After the first 500 generations, the Pareto front progressed significantly, meaning that some offspring were better than their parents and replaced them in the elite group. This was true for all types of solutions: gliders lose less height (12 m) and active flappers consumed less power (120 W). Better intermediate solutions were also found. Similarly, after 1000 offspring generations, the front progressed further, especially for intermediate solutions that became more numerous. Later in the evolution, after 10000 generations, the best glider attained a height loss reduced around 10 m, hence a glide ratio of approximately 10, since the reference trajectory for 10 s at 10 m s^{-1} was a 100 m horizontal path. On the other hand, the departure from horizontal trajectory was reduced to 0.6 m, for 40 W consumed. During the last 40 000 offspring generations, the evolutionary algorithm processed much slower, with the

generation of individuals decreasing the power consumption on the 'active flapper', left-hand side of the Pareto front (mechanical power finally dropped to 25 W), and little or no progress on the 'glider', right-hand side of the front, attaining limits of the airfoil's lift/drag ratio.

Evolutionary runs at other reference flight speeds (6, 8, 12, 16 and 20 m s⁻¹) displayed the same trends, though with varying power consumption values. There was an early emergence of glider solutions, which satisfied only one of the fitness criteria, and a more progressive evolution of active flappers and intermediate solutions towards lower power consumption and lower departure from horizontal flight.

At the end of each evolutionary run, after 50000 generations, we retained a few relevant individuals for further analysis of their morphological and kinematic parameters. We were only interested in horizontal flight, and not in glider optimization, despite the fact that evolution possibly used gliders as parents of active flappers, taking advantage of the multi-objective optimization scheme. Therefore, we tolerated a maximal departure of 2 m from horizontal flight over the 10 s flight (see figure 4). Only individuals satisfying this a posteriori constraint were analysed later in the study. Whether this relative tolerance on trajectory departure represents a significant bias on power consumption can be evaluated by considering that, in the worst case, a 2 m height loss for a 1 kg UAV represents 20 J of lost potential energy during 10 s, hence a power saving of the order of magnitude of a few watts, which remains tolerable compared to the optimized power levels attained at varying flight speeds (see section 3.2.1). Furthermore, small errors on altitude might also be due to the lack of pitch closed-loop control, a situation that implies a very accurate parameter tuning that could disappear in a closed-loop control system. All evolutionary runs, at all tested flight speeds, yielded individuals satisfying this constraint after 50 000 generations, though in variable number: fewer individuals succeeded in performing a sub-horizontal flight at the lowest (6 m s⁻¹) and highest (20 m s⁻¹) flight speed, compared to results obtained with intermediate speeds.

3.2. Morpho-kinematic adaptation to varying flight speeds: comparative analysis

Figures 5 presents the results of the 24 evolutionary runs we launched, i.e. four duplicate runs for six flight speeds. We plotted the performance and parameters of the horizontal active flappers at the end of evolution. As an outcome of the stochastic optimization process, variability between duplicate runs for the same flight speed existed, by varying amounts, depending on the variable considered. However, for most variables, there was a significant convergence between duplicate runs when compared to differences between runs at different flight speeds. In other words, the variability was low enough to identify and discuss comparative adaptations.

3.2.1. Power consumption (figures 5(a) and (b)). The lowest mechanical power for horizontal FWF of our 500 g bodied UAV, plus the mass of wings and tail (which depended on

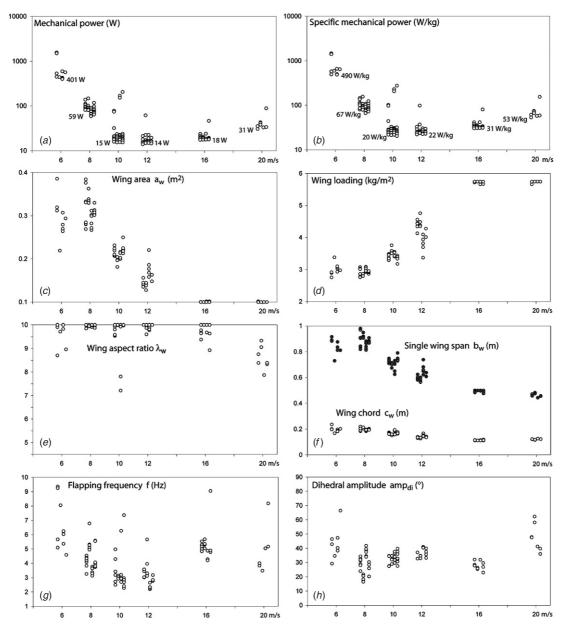
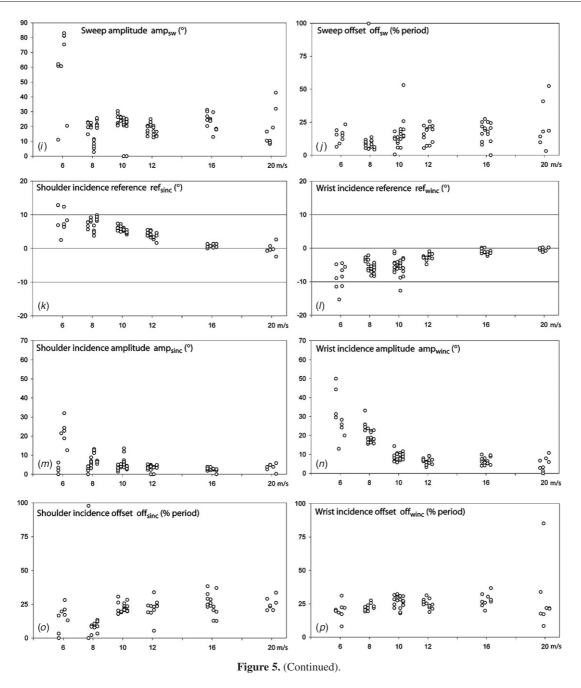


Figure 5. Results of morpho-kinematic adaptation to varying flight speed. The characters of the best individuals resulting from four duplicate evolutionary runs at each flight speed (6, 8, 10, 12, 16 and 20 m s⁻¹) are plotted (individuals generated during the same run are aligned vertically). For all genomic parameters, the vertical range of the graph equals the authorized search space during evolution.

individual morphology), approximated 15 W and was achieved at intermediate flight speeds (10, 12 m s⁻¹). At high speeds, i.e., 16 and 20 m s⁻¹, the minimal power to sustain flight increased to almost 20 and 30 W, respectively. On the other hand, at low speeds, the minimal power attained by the most efficient individuals reached much higher values of 60 W at 8 m s⁻¹ and 400 W at 6 m s⁻¹. These results suggest a general U-shaped curve for power consumption across flight speeds, which is consistent with biological models and measurements of FWF power (Rayner 1999). However, it should be noted that the curves in figure 5(*a*) are not directly comparable to biological power/speed curves, because biologists estimate power consumption at varying speeds for one species, for which only kinematics vary, through the flapping behaviour of a given bird. On the other hand, we present the results of (evolutionary) adaptation of both the morphology and kinematics to a given flight speed. Beyond the general U-shape trend, comparison of absolute power values with biological literature is tempting but somewhat hazardous, as our power values depend on many model parameters that are inevitably only partly biomimetic, for example airfoil characteristics. Moreover, empirical measurements of mechanical power in bird species have been conducted on smaller bird species, because of wind tunnel size constraints. With these restrictions in mind, we still can refer to the results of Dial *et al* (1997), who measured a minimum 9 W kg⁻¹ power (per kilogram



of bird mass) in the magpie (*Pica pica*). Tobalske *et al* (2003) measured a minimum of 17 W kg⁻¹ in the cockatiel (*Nymphicus hollandicus*) and 31 W kg⁻¹ in the ringed turtle dove (*Streptopelia risoria*). Our minimal value of 20 W kg⁻¹ after mass correction (figure 5(*b*)) falls among those biological values. However, maximal measured mechanical power consumption is 54 W kg⁻¹ in the dove (Tobalske *et al* 2003), a value that many of our optimized individuals exceed by a large amount, especially at low speed. Though the mass-specific power limit in birds is probably above the abovementioned values, as 80 W kg⁻¹ has been documented in some species during take-off (Askew *et al* 2001), it is clear that the power values we obtain at 6 m s⁻¹ are unrealistic at 400 or

500 W kg⁻¹. Technological considerations suggest that the mechanical power produced on a real UAV prototype would hardly exceed 200 W. This rather optimistic figure is obtained assuming a single, 150 g state-of-the-art electric motor (e.g. ModelMotors 2820/8), absorbing 400 W of electric power, and a global 50% efficiency for the whole flapping mechanism. Two main hypotheses can explain the unreasonable energetic levels we obtain for slow flapping flight:

- (i) Our UAV's DOFs are too restrictive compared to those of real birds and constrain the possible kinematics so much that slow flight cannot be performed efficiently.
- (ii) Our flight mechanics model does not take unsteady aerodynamics into account, and thus our candidate

individuals cannot use effects such as delayed stall to increase airfoil performance (Vogel 1994).

Since we obtain extreme power values only at low flight speed, and since unsteady aerodynamics are known to grow in relative importance at low flight speed, the second hypothesis is theoretically well grounded. As for the first hypothesis, it will be discussed later during the analysis of morphological and kinematic parameters (section 3.2.5).

3.2.2. Wing area (a_w) adaptation (figures 5(c) and (d)). The optimal wing area emerging from evolution depended greatly on the flight speed: the general trend was that a_w decreased with an increasing flight speed. As the mass of the UAV's body remained 0.5 kg, the adaptation of wing area implied an increase of wing loading for higher flight speeds, a wellknown relationship for all flying objects, as there is a physical proportionality relationship between the natural flight speed and the square root of wing loading (e.g. Norberg (1990)). At 6–8 m s⁻¹, the mean a_w was near 0.3 m². At 10 and 12 m s⁻¹, a_w decreased to approx. 0.2 and 0.15 m², respectively, i.e., to values approaching the natural wing areas of 500 g bodied birds such as Marsh-Harrier, Shearwater or Hooded Crow (Greenewalt 1962). At 16 and 20 m s⁻¹, evolution converged to the minimum allowed wing area value of 0.1 m², indicating that selection strongly favoured highly loaded individuals at these high speeds, mimicking a 'duck-like' adaptation. It should be noted that optimal individuals at the end of our evolutionary runs are necessarily 'specialists' of the flight speed at which they were selected, which is different in natural bird species, whose characters (e.g. wing loading) probably result from selective compromises over the whole flight speed range they practice. Whether wing areas values selected here at a given flight speed would remain functional at other flight speeds, by changing the wing movement only, is an issue dealt with later (section 3.5).

3.2.3. Wing aspect ratio (λ_w) adaptation (figures 5(e) and (f)). Evolution yielded high aspect ratio values at almost all tested flight speeds. The maximal ratio value of 10 was reached by most individuals at 6, 8, 10, 12 and 16 m s⁻¹. It is only at 20 m s⁻¹ that an optimal aspect ratio averaging 8.5 was obtained. A λ_w lower than 7 was never retained at the end of the evolutionary runs. High aspect ratios have the beneficial effect of decreasing the induced drag (equation (14)), and hence the forward thrust force that must be generated by flapping. However, for a given wing area, wings with a high aspect ratio have a lower chord and thus experience lower Reynolds number, which increases the airfoil friction drag (equation (15)). Optimal aspect ratio should theoretically result from a compromise between these contradictory effects. The optimal value therefore depends on the flight speed, the wing area and on the sensitivity of the airfoil performance to Reynolds number. Moreover, in FWF, the aspect ratio has other implications: more power will be needed to accelerate/decelerate a high aspect ratio wing, which has higher inertia around the shoulder joint. On the other hand, the flapping frequency would possibly be reduced

with a wing with a high aspect ratio, as wing tip velocity induced by flapping will be increased by higher wingspans, thus generating higher thrust forces. In the present case, with the specific characteristics of our UAV in terms of mass, area, airfoil and flight speeds, it appears that the optimal λ_w is around 10 or more, which is above values observed for similarly sized birds (7.7 on average; Norberg 1990). This difference has two main possible origins:

- (i) Our simulator did not take structural resistance into account, whereas a bird's fitness strongly depends on maintaining the integrity of its wing structure with a reasonable safety factor. In other words, depending on the material used, it is possible that high aspect ratio wings would bend or break during flapping at a high frequency. This is a first selective pressure towards low aspect ratio wings that is lacking in our study.
- (ii) Most importantly, we only selected our UAVs for forward flight. Thus no selective pressure was placed on manoeuvrability, on flight in obstructed areas (vegetation), or simply on wing folding for walks on the ground, which are factors that all favour the selection of lower aspect ratios in birds, at the expense of a slightly lower aerodynamic efficiency (Norberg 1990, 2002). Considering these limitations compared to natural conditions, it is not surprising that simulated evolution converged towards what can be considered as high aspect ratio 'open space flyers', somewhat analogous to marine bird species that are almost 100% occupied in flying, such as albatrosses and other *Diomedeidae* or *Procellaridae*.

3.2.4. Flapping frequency (f) and Dihedral amplitude (amp_{di}) adaptation (figures 5(g) and (h)). At intermediate speeds (10 and 12 m s⁻¹), the flapping stroke frequency was about 3 Hz. This value is in the lower biological range (2–10 Hz at this mass, Norberg 1990), which is not surprising given the long, seabird-like wings of our UAV: with this morphology, sufficient thrust can be generated with a low flapping frequency (Norberg, 1990, p 177). As a point of comparison, the Kelp gull (*Larus dominicanus*) has a 'natural' flapping frequency of 3.5 Hz (Pennycuick 1996), for mass and area (0.89 kg and 0.23 m²) characteristics comparable to those of our intermediate speed UAV. However, this species has a slightly lower aspect ratio of approximately 7.5.

Slow and fast flight both implied higher frequency values (closer to 5 Hz on average), which contribute to explain the observed increase in power consumption at those speeds. Concerning the stroke amplitude, there was a less clear adaptive trend, with most individuals presenting a dihedral amplitude in the 25° - 45° range, with some increase at the lowest and highest flight speeds, contributing further to the increase in mechanical power. As a whole, it seems that variations in both the stroke frequency and amplitude were implied in the adaptation of flapping kinematics to flight speed. However, the two variables did not vary similarly: for example, only frequency increased from 12 to 16 m s⁻¹ (with a slight decrease in stroke amplitude), whereas only amplitude increased from 16 to 20 m s⁻¹, suggesting that these variables exhibit a rather complex adaptive landscape. These

trends are unfortunately not easily comparable to intraspecific biological kinematic data because, in the present case, the wing area varied between flight speeds. Nevertheless, it should be mentioned that biological data show that flapping frequency depends on the flight speed in some species, while it remains fairly constant in others (Tobalske and Dial 1996, Park *et al* 2001).

3.2.5. Sweep amplitude (amp_{sw}) and offset (off_{sw}) adaptation (figures 5(i) and (j)). The potential usefulness-or worthlessness-of an articulated wing in a FWF UAV is an interesting issue that has not been directly addressed previously. Of course birds and bats have elbow and wrist and use these DOFs in flight, with the amount depending on species and flight speed (Tobalske and Dial 1996, Park et al 2001, Tobalske et al 2003). From an adaptationist, functionalist point of view, this suggests that an articulated wing may be aerodynamically useful. On the other hand, other factors constrain the presence of wing articulations in birds and bats: first of all, the heredity of a vertebrate limb organization plan is a historical, contingent constraint that questions the purely functional necessity of an articulated wing. For example, insect can fly efficiently without articulated wings. Moreover, an articulated wing may be beneficial to other functional aspects than forward flight, e.g., to increased manoeuvrability, and the simple biological necessity to fold wings on the ground represents a potentially strong natural selective pressure that is not necessarily relevant for an UAV. Hence it was interesting to test whether the presence of a wrist in our simulated wing was used by evolution for purely forward flight and to quantify its possible beneficial effects.

Figure 5(i) shows that the sweep of the outer panel was used by almost all optimized individuals, at all flight speeds. Between 8 and 20 m s⁻¹, the amplitude of the sweep did not vary much in a consistent manner and averaged 25°. A different pattern appeared at 6 m s^{-1} , as most individuals at this lowest speed used a much higher amount of sweep, attaining 60° -80°. Figure 5(*j*) shows how the sweep was synchronized with the dihedral: the sweep (SW) tended to have a 0-25% period offset compared to the dihedral (DI) which, according to equations (1) and (2), shows that a maximal sweep angle (i.e. adducted wing tips, minimal wingspan) was attained in the second half of the upstroke, whereas a zero sweep angle (i.e. fully extended wing) was attained in the second half of the downstroke. This is close to what is observed in birds, for which it has been usually reported that the maximal wingspan occurs at mid-downstroke, and minimal wingspan at midupstroke, which corresponds to a 25% value for offsw. The amount of wing retraction was globally less than in real birds: given our UAV morphology (figure 1), the ratio of minimal to maximal wingspan was 0.95 for 25° of sweep, 0.75 for 60° and 0.58 for 80° . Birds for which this same 'span ratio' variable has been measured in flight exhibit much lower values, usually below 0.5 (Tobalske and Dial 1996, Park et al 2001, Tobalske et al 2003). Moreover, most of these species (e.g. Barn Swallow [Hirundo rustica], Pigeon [Columba livia], Cockatiel [Nymphicus hollandicus]) have a tendency to retract their wings more at a higher speed, which is not observed in

the present case. As already noted, this discrepancy can partly result from the fact that our UAV's size changed between flight speeds, hence the wing area adaptation need not be achieved through partial wing folding as in real birds. It is also important to note that the bird species thus investigated are far from the 'seabird' morphotype, for which data on the span ratio are lacking. We speculate from personal observations that wing adduction in gulls and akin species is less pronounced than in pigeons for example. There are also structural reasons why our UAV retracts its wings rather modestly compared to birds. First, the wrist in our UAV cannot be adducted, as only the wingtips can. This constraint de facto limits the span ratio to a minimal value of 0.5. Second, as wrists cannot move forward or backward relative to the body, we considered the possibility that a strong sweep of the external panel would separate the lift centre of the wing from the centre of gravity of the UAV, and hence cause pitch torques and instability issues. However, this hypothesis is partly refuted by the fact that individuals at 6 m s^{-1} succeed in using up to 83° of sweep. It remains that the limitations we put on wing retraction, suggested by anticipated constraints on prototype construction, might indeed partly cause the very high power consumptions we obtained at low speed. This could indeed prevent our UAV from exploring some of the wing movements a bird can achieve, which are especially refined at low speeds, as illustrated and discussed in the biological literature mentioned herein. Although the wing retraction possibilities of our UAV were modest compared to those of birds and bats, it remains that the wrist sweep was almost systematically used, thus suggesting that it allowed the generation of more efficient aerodynamic forces. To test this idea further, we quantified the power gained from wrist movements (see section 3.4).

3.2.6. Shoulder and wrist incidence rotations (figures 5(k)-(p)).The shoulder incidence position (SINC) determines the angle between IP and body, whereas the wrist incidence position (WINC) determines the angle between OP and IP. Hence, the angle between OP and body results from the sum of SINC and WINC. Plots of reference angles versus flight speed (ref_{sinc}, ref_{winc}, figures 5(k) and (l)) show that IP tended to have a higher angle of incidence at low speed, but not OP: negative angles at the wrist tended to compensate the positive angles at the shoulder. Concerning the variation of the angle of incidence, its amplitude (amp_{sinc} , amp_{winc} , figures 5(m) and (n)) tended to increase with lower flight speeds, for both IP and OP, which is in agreement with kinematic data in birds which associate higher variations in the wing angle of attack with slow flights (Hedrick et al 2002). It is noteworthy that SINC and WINC values, being relative angles between body and wing panels, provide only indirect information on the aerodynamic angle of attack, which depends on the incident air speed induced by the flapping stroke, and on possible changes in the body tilt angle (see section 3.2.7). The offset between the incidence and dihedral oscillation (off_{sinc}, off_{winc}, figures 5(o)and (p) converged to values approaching 25% on average. In other words, a maximal positive incidence was attained at mid-upstroke, while a minimal incidence (often negative

Artificial evolution of the morphology and kinematics in a flapping-wing mini-UAV

	Flight speed (m s ⁻¹)					
	6	8	10	12	16	20
Fitness						
Mean $P(W)$	401	59	15	14	18	31
Max D(m)	1.11	1.95	1.98	1.77	1.80	1.48
Genome						
$a_w (\mathrm{m}^2)$	0.264	0.299	0.225	0.145	0.100	0.100
λ_w	10.0	10.0	10.0	10.0	9.6	8.4
f (Hz)	6.25	3.30	2.41	3.03	4.83	4.01
amp _{di} (°)	40.3	34.0	32.8	32.7	27.9	47.7
amp _{sw} (°)	75.4	10.8	25.0	20.0	30.2	16.6
$\operatorname{off}_{\mathrm{sw}}(\%)$	17.0	11.2	14.6	13.5	25.1	9.7
$\operatorname{ref}_{\operatorname{sinc}}(^{\circ})$	6.4	4.8	4.8	4.6	1.4	-0.7
amp_{sinc} (°)	18.8	11.1	4.3	3.2	3.1	3.9
off _{sinc} (%)	21.1	7.8	20.0	24.1	32.6	20.6
ref _{winc} (°)	-11.3	-5.4	-3.3	-2.8	-0.9	0.0
amp_{winc} (°)	24.2	16.3	7.3	7.1	6.2	6.7
off _{winc} (%)	22.3	25.6	27.2	24.5	23.7	33.9
Other information						
b_w (m)	0.81	0.87	0.75	0.60	0.49	0.46
c_w (m)	0.18	0.19	0.17	0.13	0.11	0.12
r_b (m)	0.05	0.05	0.05	0.04	0.03	0.03
Total span (m)	1.68	1.78	1.55	1.24	1.01	0.95
m_b (kg)	0.500	0.500	0.500	0.500	0.500	0.500
m_w (kg)	0.272	0.327	0.213	0.110	0.063	0.063
m_t (kg)	0.048	0.058	0.038	0.020	0.011	0.011
Total mass (kg)	0.820	0.885	0.751	0.630	0.574	0.574
Wing loading (kg m^{-2})	3.1	3.0	3.3	4.3	5.7	5.7
Specific power (W kg ⁻¹)	490	67	20	22	31	53

angles between body and wing panels) was attained at middownstroke. As a direct outcome, this pattern tends to maintain the wing airfoil at low angles of attack throughout the stroke, thus maximizing the lift/drag ratio (figure 2).

3.2.7. Analysis of aerodynamic forces in representative individuals. To investigate the respective aerodynamic role of inner/outer panels during downstroke and upstroke throughout the flight speed range, we plotted the aerodynamic forces generated by IP and OP along the flight path for one individual. We chose the most power-saving individual at each flight speed. As a consequence of the dual-objective optimization scheme, these individuals do not necessarily have the best performances in terms of horizontality of flight. In contrast, it turns out that the best individuals in terms of flight horizontality achieved their flight at the expense of power consumptions that were an order of magnitude higher, with much more variability between duplicate runs, than those of the six individuals selected here-clearly suggesting that they were much less aerodynamically efficient, and hence less interesting and representative of aerodynamic optimization. As a complementary illustration, we also produced inflight motion videos of these six individuals (available on http://animatlab.lip6.fr). Numerical values of all parameters for these individuals are presented in table 1.

A first remark concerns the trajectory and position of the body. It followed an oscillating path, ascending during downstroke and descending during downstroke. More interestingly, the body axis took a significantly tilted position at low speed (up to approx. 30° at 6 m s⁻¹), a tendency observed and measured with comparable amounts in birds (Tobalske and Dial 1996, Tobalske *et al* 2003).

Considering the forces generated by OP, it appeared that the force generation was almost fully concentrated during the downstroke, regardless of the flight speed. These downstroke OP forces had both vertical (upward) and horizontal (forward) components, showing that OP had both a lifting and a propulsive function. At the beginning of the upstroke, weak lifting forces were also produced, but shifted to weak downward forces later in the upstroke. As a whole, OP was almost inactive during the upstroke at all flight speeds. Interestingly, at 6 m s⁻¹, this asymmetric OP force pattern between downstroke and upstroke was achieved through a drastic variation in the relative air speed: the simultaneous effects of a high wrist sweep-causing backward retraction of the outer wing part during upstroke-and the body tilt angle produced an almost zero OP horizontal speed during upstroke, while the same panel was greatly accelerated during downstroke. At higher flight speed, such a velocity difference was not observed with comparable amounts, thus suggesting that the absence of OP upstroke forces was mainly caused by placing the OP airfoil at a non-lifting angle of attack.

Concerning IP, the force generation exhibited a different pattern. Forces were more evenly distributed among downand upstroke, and included a lift (upward) and a drag (backward) component. This showed that IP, contrary to OP,

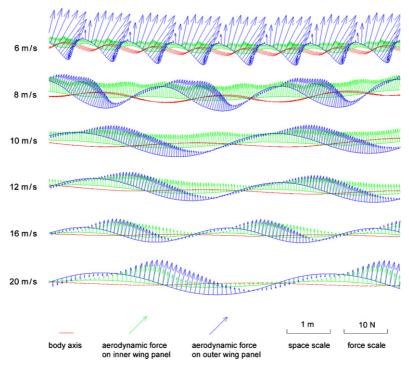


Figure 6. Aerodynamic forces on the wing panels of six optimized UAVs. The force vectors are summed over the 'wing elements' (WELs, see section 2.2) constituting a single (inner or outer) panel. The vectors' origins are on a line representing the trajectory of each panel's centre of area. Tail and fuselage forces are not represented for clarity. The flight direction is from left to right.

did not usually participate in the propulsion of the UAV. This IP force pattern changed somewhat at the highest speeds, with a weakening of upstroke forces, with relatively stronger forces generated during the downstroke and with a slight forward component of the generated force at mid-downstroke. As a whole, this IP force pattern at high speed was closer to the previously described OP force pattern.

The comparison of the mean force amplitude over the full stroke on OP and IP showed a clear OP domination at 6 m s⁻¹, and a more even repartition over the wingspan at other speeds. This is explained by the fact that the airflow is dominated by wing flapping at slow flight speeds (Hedrick *et al* 2002), and hence depends on the distance from the articulated dihedral joint.

Concerning the down-/upstroke repartition for the wing as a whole, more lifting forces and all propulsive forces were generated at the downstroke, at all flight speeds. This was mainly due to OP generating forces during downstroke only. However, according to what has been described above, this downstroke domination tend to be less obvious at intermediate speeds $(8-12 \text{ m s}^{-1})$ as lift produced by IP during upstroke took relatively higher importance. This is globally convergent with the results of Hedrick *et al* (2002) in the Dove and Cockatiel: these birds appear to have a more continuous lift generation at intermediate speeds (Hedrick *et al* 2002, Tobalske *et al* 2003). These significant variations in force generation modes across the flight speed range suggest that the limited kinematics of our UAV compared to real birds, which prevent very adducted upstrokes (e.g. 'feathered' upstroke, Tobalske and Dial 1996), still leave room for efficient adaptation in the aerodynamic flight regime.

3.3. Flight stability and robustness of the 'open-loop' kinematic control

Aside from pure energetic performance, the applicability of our optimized flapping flight kinematics to a real UAV prototype depends on its ability to generate a stable flight. Our UAV's wing movement control was truly 'open loop' in the present work, i.e. the UAV had no information on its flight variables and was not able to change its kinematics to correct its flight trajectory. For this reason, we anticipated that the UAV's trajectory, even after kinematic optimization, would necessarily diverge from horizontal flight after a short time, presumably because of pitch instability. Therefore, we *a priori* considered the optimized kinematics produced here as basic wing motion laws that would necessitate a supplementary, higher level 'closed-loop' controller to achieve flight stability in our UAV, like those described in Mouret *et al* (2007).

To verify this presumption, we extended to 60 s the flying time of the optimized individuals (table 1), instead of the 10 s of regular evaluation flight, in order to study the type of instability that might thus occur. Results are presented as flight trajectories in figure 7. Surprisingly, the longitudinal (pitch axis) stability was much better than expected, as any strong vertical trajectory divergence after the first 10 s of the evaluation time was never observed. Instead, despite the symmetric flight kinematics, we observed some lateral instability, i.e. the UAV progressively engaged into a

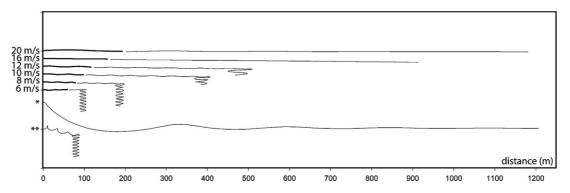


Figure 7. Flight trajectories (side views) of optimized UAVs during extended 60 s flights. Thick lines represent the 10 s initial evaluation flight. Thin lines represent the 50 s flight prolongation and demonstrate good longitudinal stability, but lesser lateral stability at low speed (spiral dive) of open-looped controlled FWF UAVs (see the text). (*) Trajectory of the individual optimized for 20 m s⁻¹, launched at a lower speed (6 m s⁻¹): horizontal flight at 20 m s⁻¹ is recovered. (**) Trajectory of the individual optimized for 6 m s⁻¹, launched at a higher speed (20 m s⁻¹): horizontal flight is not achieved, due to lateral instability.

descending spiral turn. This spiral occurred after a longer time at high flight speed, individuals flying at 16 and 20 m s⁻¹ being able to fly for 60 s without being affected. Even during these relatively longer forward flights, pitch stability was observed throughout, the sub-horizontal trajectory being maintained for the whole minute (i.e. 920 and 1200 m distances, respectively). Moreover, a few supplementary flight tests, with variable initial speeds on the same individual, demonstrated that some individuals were able to passively correct large discrepancies in flight speeds. For example, the individual optimized for 20 m s⁻¹ and launched at 6 m s⁻¹ was able to return to its horizontal 20 m s⁻¹ flight within a minute (figure 7). Unfortunately, the opposite test of launching the individual optimized for 6 m s⁻¹ at 20 m s⁻¹ was unsuccessful, as lateral instability soon occurred (figure 7).

It is probable that our initial choice of placing the CG at 25% of wing chord, where lift forces apply, and of providing the morphology with a large tail helped the UAV to achieve pitch stability, at least in gliding flight. However, the fact remains that most non-optimized flapping kinematics during evolutionary exploration had as a first consequence to destabilize the UAV and to place it on an erratic flight trajectory. In this perspective, it is an interesting result that optimized UAVs were finally able to achieve a reasonable amount of passive pitch stability in flight. This suggests that the necessarily superimposed closed-loop controller mentioned above will eventually have relatively little corrective work to do to provide long-term longitudinal—and lateral—stability.

3.4. Effect of wrist lock: usefulness of an articulated wing

Previously revealed results show that the wrist sweep was used by almost all optimized individuals. At 6 m s⁻¹, the sweep was used at its maximum, and force plots (figure 6) suggest that it allowed the wing tip to be accelerated during downstroke. However, the role of a relatively lower amount of sweep at higher speed was less obvious, and thus could appear *a priori* less important for flight performance. To test further the usefulness of a functional wrist, which would imply a more complex UAV prototype structure, we launched additional

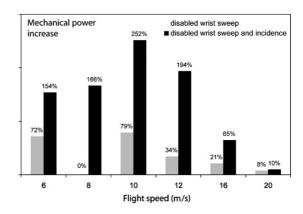


Figure 8. Effect of wrist lock on power consumption.

evolutionary runs in the same original conditions except that the wrist DOFs were disabled. We launched two runs at each flight speed with the wrist sweep locked (SW = 0°, i.e. wing fully extended), and two more runs at each flight speed with the wrist sweep and the wrist incidence locked (SW = 0° and WINC = 0°, i.e. wing fully extended and same incidence for IP and OP). After 50 000 generations for each of these 24 evolutionary runs, we compared the power consumption for the best horizontal flappers, with the same original 2 m tolerance in the departure from reference trajectory.

Results (figure 8) show that disabling the wrist sweep implied an 8–79% increase in power consumption, depending on flight speed, except at 8 m s⁻¹ where no power cost was observed. This surprising pattern at 8 m s⁻¹ is partly explained by the fact that the original individual at 8 m s⁻¹ used 11° of wrist sweep only, which affords the sweep-locked individual the possibility of attaining very similar kinematics. At other flight speeds, where the original sweep amplitude was 17° – 75° , locking the wrist sweep caused evolution to attain more costly kinematics, even when the original sweep amplitude was modest and its aerodynamic role unclear. For example, a 79% power increase at 12 m s⁻¹ resulted from preventing the original 25° sweep amplitude. The sweep's beneficial effect tends to be less important at high speed. Globally, these results suggest that a functional wrist is really useful even

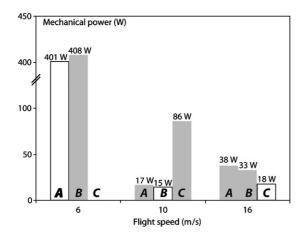


Figure 9. Readaptation of kinematics to different flight speeds. Three individual morphologies (A, B and C), initially optimized for different flight speed (6, 10 and 16 m s⁻¹, respectively, white bars), were used to re-evolve new kinematics (without changing the morphology) at other speeds (grey bars). No experiment converged with the C morphology at 6 m s⁻¹. See the text for the analysis of the consequences on power consumption.

in pure forward flight, at most flight speeds. Locking both the wrist sweep and the incidence rotations caused dramatic power increases at most speeds (10–252%). Stressing further the crucial role of an articulated wing for FWF, this finding demonstrates that much power can be saved by allowing different incidence angles between rigid wing panels. Such a variation in the incidence angle along the wingspan is well known in birds and bats (Norberg 1990, p 118), though achieved through a flexible wing surface composed of feathers or membrane, respectively, rather than through articulated rigid panels. It seems likely that we would get lower power consumption if we allowed a continuous twisting of our UAV wing, but this possibility generates additional technical complications if one wants to maintain an efficient airfoil (e.g. DeLaurier (1999)).

3.5. Evolution at fixed size and shape: readaptation of kinematics to different flight speeds

As already explained, evolving the wing size, the shape and the kinematics at a given flight speed probably tends to produce 'specialist' individuals, i.e. whose characters are well optimized for the considered flight speed, but non-optimal at any other regime. To evaluate the degree of specialization of the optimized individuals we previously obtained, we let the kinematics of some individuals evolve again at different flight speeds, while keeping the initial morphology (i.e. wing area and aspect ratio) unchanged. We performed 12 additional evolutionary runs: the morphology of the most power-saving individual at 6 m s⁻¹ (A) was used to re-evolve the kinematics at 10 and 16 m s^{-1} (two duplicate runs per flight speed). Similarly, the best morphology adapted for 10 m s^{-1} (B) was tested at 6 and 16 m s⁻¹, while new kinematics for the best morphology at 16 m s⁻¹ (C) were evolved at 6 and 10 m s⁻¹. Results in terms of minimal power consumption are presented in figure 9.

A first finding was that each of the three morphologies remained the most power-saving solutions at their original flight speeds, which supported the idea that separately evolving the kinematics on a constrained morphology has indeed a cost, as compared with the original choice of simultaneously evolving the wing morphology and kinematics. However, the performances of B at 6 m s⁻¹ and A at 10 m s⁻¹ were only a few watts above the original values. This is not surprising given that A and B had rather close wing area values (0.264 and 0.225 m², respectively) and hence similar wing loadings (3.10 and 3.34 kg m⁻²). Evolving the kinematics for A or B at 16 m s⁻¹, as compared to the high-speed specialist (C, 0.1 m² wing area), had a more obvious consequence since necessary power was approximately doubled. Aerodynamically, this cost is caused by the high drag forces exerted on a large wing at a high speed, as compared to a smaller wing. Biologically, this same energetic cost explains why gulls do not fly forward as fast as ducks. Most interesting was the fact that C was able to fly at 10 m s⁻¹ with a five-fold increased cost compared to B, and simply unable to fly at 6 m s⁻¹: evolution failed to find any kinematics generating a horizontal flight. The best individual in the two runs lost 8 m of height in 10 s. This result expresses the difficulty of generating much lift with a small wing and can be biologically illustrated by the tendency of ducks and similar species to refrain from flying slowly-though they can occasionally do it. As a whole, these tests showed that all individuals resulting from our optimization runs had not attained the same degree of specialization. Namely, whereas morphologies evolved initially for low to medium-speed flight could adapt their kinematics to fly faster, the opposite was not true: high-speed morphs could lose the ability to fly at lower flight speeds. Technically, this suggests that one would better choose A or B morphologies for a FWF UAV prototype rather than C, if the versatility in terms of the flight speed range is an objective.

4. Conclusions and perspectives

The proximal aim of the present work was to find optimal morphologies and kinematics for a flapping, articulatedwing mini-UAV. By using artificial evolution on a bird-like parametrized morphology, we were able to find morphological parameters and flapping stroke kinematics that achieve forward flight at medium speed $(10-12 \text{ m s}^{-1})$ for an estimated mechanical cost comparable to that of real birds: approximately 15 or 20 W kg⁻¹. These parameters correspond to a 0.2 m², high aspect ratio wing, flapping around 3 Hz, looking like a gull in many respects. Even more indicative is the fact that evolution also yielded angular variation laws for each articulation of this UAV (shoulder and wrist) capable of producing efficient lift and thrust forces throughout the flapping stroke with the chosen wing airfoil.

In a short-term perspective, we plan to test this morphology and its associated kinematics on a real UAV prototype, secured on a robotic arm (research in progress in the ROBUR project). We expect of course that real energy levels will differ somewhat from simulation predictions, and that some fine-tuning of kinematics will be necessary to correct for the inescapable simulation approximations.

Beyond medium-speed steady flight, the main interest in flapping-wing flight for an UAV is the potential to vary its flight speed by a large amount and to achieve special flight modes such as hovering or gliding. Though we could not cover the whole flight domain extensively in the present study, we already gained valuable information on the energetic consequences of speed variation. Flying at high speed (16–20 m s⁻¹) could be achieved at rather low cost (20–30 W) in a small UAV (0.1 m^2) , but with a loss of flight abilities at lower speeds. On the other hand, we observed that it was possible to readapt the kinematics of a larger UAV (0.2- 0.3 m^2), initially optimized for lower speed flight, to fly at high speed for a reasonable power cost $(30-40 \text{ W at } 16 \text{ m s}^{-1})$. This second strategy hence seems more promising in the perspective of a versatile real UAV.

Flying at low speed (6–8 m s⁻¹) appeared very costly, and unrealistic power consumption was attained at 6 m s⁻¹. Though the steady aerodynamics that were used in our simulation probably underestimated the lift production in slow flight, it is possible that our articulated morphology, as it stands, is inadequate for very slow or hovering flights, at least for a 1 kg UAV prototype. Even birds of this size hardly achieve hovering for more than a few seconds. At the other end of the flight domain, the fact that our UAV was able to achieve gliding flight with a decent sinking speed (around -1 m s^{-1}) is encouraging and suggests that biomimetic energysaving behaviours, through soaring in ascending air, are within reach of our UAV's abilities.

Another original result brought by this work concerns the usefulness of an articulated wing for flapping flight: even without considering turning or manoeuvrability issues, we already learned that the movement of the wrist may have a strong influence on energy saving in forward flight, especially at medium-speed flight: compared to monolithic wings, allowing sweep and incidence rotations of no more than 20° – 25° at mid-span can drastically reduce the power consumption of a FWF UAV, provided that these supplementary movements are well synchronized with the flapping stroke. In other words, this finding suggests that implementing an articulated wing on an UAV prototype might be worth the implied technical complications.

Finally, from a methodological point of view, we mainly relied on biological data to validate/analyse our results. This was facilitated by the fact that we initially constrained the evolutionary search space to biomimetic morphologies and kinematics, in agreement with the rationale of the animat approach. Although more efficient flapping-wing machines may exist outside these boundaries, we are convinced that discovering and analysing such non-biomimetic FWF solutions would probably have been much more difficult, as we could not have relied on zoological records as a helpful documented reference for a preliminary validation of our results before experiments on real prototypes. Conversely, some of our simulation results should be useful to biologists. Although being not as reliable as real-world measures, artificial evolution coupled with environment simulation may be considered as a very valuable, fully controlled experimental framework serving to test the effect of all sorts of constraints— physical, historical or developmental—on the course and outcome of adaptation of all sorts of biological characters. Such an evolutionary modelling approach should be especially useful for functional morphologists and biomechanicists (de Margerie *et al* 2006). As illustrated by the present work on FWF, many experiments that would be impossible in a wind tunnel may be relatively easily reproduced in simulation and yield original results, such as the following:

- Flapping-wing flight without the brain. Our flight experiments with purely open-looped kinematics demonstrate that flapping a wing efficiently does not necessarily compromise the passive gliding-flight stability, nor does a short forward flight necessarily require any stabilizing neural control. This kind of result, possibly reinforced by experiments on variable tail areas for example, could provide useful information on the degree of active stabilization the extant birds need to achieve in flight, and also produce valuable arguments on the morphological and neurological prerequisites for the emergence of flight in vertebrates.
- *Flapping-wing flight with a stiff wing.* We were able to independently lock some degrees of freedom in our morphology, which is hardly conceivable on a real bird in a wind tunnel, and to evaluate the consequences on the energetic cost of flapping flight after letting the UAV readapt its kinematics. It was thus demonstrated that even a limited sweep movement of the outer wing part can save a significant part of power consumption and that the ability to vary the incidence angle along the wingspan is a crucial feature of FWF at this size.

We are convinced that, as well as roboticists drawing helpful inspiration from zoological records and Darwinian evolution (Meyer and Guillot 2007), biologists interested in adaptation at a high integration level, such as organismal biologists or ecologists, can find new lines of evidence by using artificial evolution on modelled organisms. Although the structure of our study—notably the type of artificial evolution experiments that were conducted and the way the results were analysed was primarily aimed at helping to design an UAV, and not at yielding biologically relevant findings, we hope the present work still participates in demonstrating these reciprocating interests.

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