

1 **MVA-NP+M1 vaccine activates mucosal M1-specific T cell immunity and tissue-**  
2 **resident memory T cells in human nasopharynx-associated lymphoid tissue**

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12 *Short title: vaccine induced mucosal T cell immunity*

13

14 **Summary:** MVA-NP+M1 vaccine activates a substantial increase in anti-influenza M1-  
15 specific T cells including fast-reacting tissue-resident memory T cells in human nasopharynx  
16 mucosal tissue. MVA-NP+M1 is therefore a promising mucosal vaccine candidate with great  
17 potential for immediate local protection against influenza re-infection.

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28 **Footnote:**

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37 publication.

38 *Conflict of interest:* Sarah Gilbert is an inventor on patents covering MVA-NP+M1, filed and  
39 owned by the University of Oxford, and is a co-founder of and consultant to Vaccitech, a  
40 University of Oxford spin-out company which is undertaking advanced clinical development  
41 of viral vectored influenza vaccines.

42 **Abstract**

43 Increasing evidences support a critical role of CD8<sup>+</sup> T cell immunity against influenza.  
44 Activation of mucosal CD8<sup>+</sup>T cells, particularly tissue-resident memory T(T<sub>RM</sub>) cells  
45 recognizing conserved epitopes would mediate rapid and broad protection. Matrix protein  
46 1(M1) is a well-conserved internal protein. We studied the capacity of Modified Vaccinia  
47 Ankara-vectored vaccine expressing nucleoprotein(NP) and M1(MVA-NP+M1) to activate  
48 M1-specific CD8<sup>+</sup> T cell response including T<sub>RM</sub> cells in nasopharynx-associated lymphoid  
49 tissue(NALT) from children and adults. Following MVA-NP+M1 stimulation, M1 was  
50 abundantly expressed in adenotonsillar epithelial cells and B cells. MVA-NP+M1 activated  
51 marked IFN- $\gamma$ -secreting T cell response to M1 peptides. Using tetramer staining, we showed  
52 the vaccine activated a marked increase in M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cells in tonsillar  
53 mononuclear cells (MNC) of HLA-matched individuals. We also demonstrated MVA-NP+M1  
54 activated a substantial increase in T<sub>RM</sub> cells exhibiting effector memory T cell phenotype.  
55 Upon recall antigen recognition, M1-specific T cells rapidly undergo cytotoxic degranulation,  
56 release granzyme B and pro-inflammatory cytokines, leading to target cell killing.  
57 Conclusion: MVA-NP+M1 elicits a substantial M1-specific T cell response including T<sub>RM</sub> cells  
58 in NALT, demonstrating its strong capacity to expand memory T cell pool exhibiting effector  
59 memory T cell phenotype, therefore offering great potential for rapid and broad protection  
60 against influenza reinfection.

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63 **Key words:** Influenza, T cell immunity, vaccine, antigen-specific T cell, tissue-resident  
64 memory T cells (T<sub>RM</sub>), nasopharynx-associated lymphoid tissue, cytotoxic T cell.

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## 69 **Introduction**

70 Influenza still causes widespread morbidity and mortality, despite the available vaccines.  
71 Current influenza vaccines predominantly induce subtype-specific antibodies towards  
72 hemagglutinin (HA). As HA continuously mutates, vaccine composition needs to be updated  
73 every year, and vaccine efficacy varies considerably depending upon how well the vaccine  
74 strains match circulating viruses[1]. There is a need for more effective vaccines that confer  
75 broad immunity against influenza including those with potential to cause pandemics.

76 Although neutralizing HA-specific antibodies are considered the major protective  
77 responses[2], increasing evidence supports an important role for CD8<sup>+</sup> T cell-mediated  
78 immunity. In individuals experimentally infected with influenza, virus-specific cytotoxic T cell  
79 killing reduced virus shedding in absence of specific antibodies[3]. Pre-existing cytotoxic  
80 CD8<sup>+</sup> T cells were associated with decreased disease severity in patients infected with  
81 pandemic H1N1 virus[4].

82 The majority of influenza virus-specific CD8<sup>+</sup> T cells recognize epitopes shared among virus  
83 subtypes, including internal antigens nucleoprotein(NP) and matrix protein 1(M1)[5, 6],  
84 which are highly conserved with over 90% homology among different strains[7]. M1 protein  
85 plays a pivotal role in influenza virus replication[8, 9]. Activation of these T cell responses  
86 would mediate a broadly cross-reactive protection[10]. A number of novel T cell-based  
87 influenza vaccines are being developed[11], including Modified Vaccinia Ankara virus  
88 (MVA)-vectored vaccines[12-14]. MVA-NP+M1 is one of the promising vaccine candidates,  
89 showing activation of antigen-specific T cell responses in peripheral blood following  
90 parenteral immunization[15, 16].

91 Tissue-resident memory T cells( $T_{RM}$ ) reside in tissues and provides rapid response against  
92 re-infections at body surfaces[17].  $T_{RM}$  are anatomically positioned to quickly respond to  
93 local infection. Animal models showed  $T_{RM}$  made critical contributions to protective immunity  
94 against local challenges which was much more effective than recirculating memory T cells  
95 [18-20]. A vaccine strategy that enables establishment and/or expands mucosal  $T_{RM}$  would

96 have enormous potential for immediate protection against reinfection, offering more effective  
97 disease control [21].

98 Since influenza virus infects through nasopharyngeal mucosa, local intranasal vaccine  
99 delivery that activates cross-reactive mucosal T cell immunity including T<sub>RM</sub> offers an  
100 attractive strategy. Intranasal live attenuated influenza vaccine(LAIV) were shown to induce  
101 local and systemic antibodies and T cell immunity in children[22-24]. Aerosol delivery of a  
102 candidate universal influenza vaccine induced local cellular responses associated with  
103 partial protection against heterosubtypic influenza A in pigs[25]. Intranasal immunization  
104 relies on local nasopharynx-associated lymphoid tissue(NALT) to induce T and B cell  
105 responses. Adenotonsillar tissues are major components of human NALT known to be  
106 important induction sites for immunity against respiratory pathogens[26-28].

107 We previously demonstrated cross-reactive memory B cell responses were primed following  
108 2009 pdmH1N1 infection[29] and activation of NP-specific T cell response by MVA-NP+M1  
109 in human NALT[30]. As M1 contains major immuno-dominant CD8<sup>+</sup> T cell epitopes and  
110 HLA-A2 is among the most common HLA alleles(20-30%)[31], we examined HLA-A2  
111 restricted M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cell responses in adenotonsillar tissue following MVA-  
112 NP+M1 stimulation. We show MVA-NP+M1 elicits marked increases in M1-specific CD8<sup>+</sup> T  
113 cells including T<sub>RM</sub> that exhibit rapid degranulation and target cell killing upon recall antigen  
114 recognition.

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## 116 **Methods**

### 117 ***Patients and samples***

118 Tonsillar tissues and peripheral blood samples were obtained from immune-competent  
119 children and adults (age 2-34 years) undergoing tonsillectomy due to upper airway  
120 obstruction. Tissue samples were obtained from Alder Hey Children's Hospital and Aintree

121 University Hospital in Liverpool, UK. Demographic information of studied patients was  
122 summarized in Table 1. Patients who had any known immunodeficiency were excluded.  
123 Grossly inflamed tonsillar tissues were also excluded. Ethical approval was obtained (REC  
124 No: 14/SS/1058) and informed consent was obtained in all cases.

### 125 ***Vaccines and peptides***

126 MVA-NP+M1 is Modified Vaccinia Ankara (MVA) virus expressing NP and M1 from  
127 A/Panama/2007/99 as a fusion protein joined by a seven amino acid linker, from Vaccinia  
128 p7.5 early/late promoter. MVA-wt was non-recombinant MVA used as a vector control. 9-  
129 mer conserved peptides of influenza M1 (BEI resources)(Table 2) were reconstituted in 50%  
130 acetonitrile or Dimethyl Sulfoxide(DMSO) following manufacturer's instruction. 10 or 11  
131 peptides were pooled at a concentration of 0.1 mg/ml per peptide. M1<sub>58-66</sub>(GILGFVFTL)(IBA  
132 GmbH) was reconstituted in DMSO(50%) at final concentration 1 mg/ml.

### 133 ***Fluorescence-labeled antibodies and M1-tetramer***

134 The following fluorescence-labeled antibodies were used in flow-cytometry including those  
135 to HLA-A2, CD19, CD3, CD11c, CD123, CD8, CD69, granzymeB, CD107a, IFN $\gamma$ , TNF $\alpha$ , IL-  
136 2, CD20, CD38, CD27, IgD, CCR7, CD45RA- and CD103 (BD Bioscience or Biolegend).  
137 Anti-M1 antibody(abcam) was conjugated with PE using LYNX conjugation(Bio-rad) for  
138 measuring M1 protein expression. HLA-A02\*01-GILGFVFTL(M1<sub>58-66</sub>)-PE tetramer(MBL),  
139 termed as "M1-Tm" was used for staining M1-specific CD8<sup>+</sup> T cells.

### 140 ***Cell isolation***

141 Tonsillar mononuclear cells (MNC) were isolated using density gradient centrifugation as  
142 described previously[32, 33]. Tonsillar MNC were resuspended in RPMI-1640 containing  
143 HEPES, L-glutamine, 10% heat-inactivated fetal bovine serum(FBS), 100 U/ml penicillin and  
144 100  $\mu$ g/ml streptomycin(Gibco), termed as "complete RPMI medium". MNC were screened  
145 for HLA-A2 type by flow-cytometry.

146 ***Measurement of M1 expression in tonsillar MNC***

147 Tonsillar MNC were stimulated with either MVA-NP+M1 at 1.0 multiplicity of infection (MOI)  
148 and incubated for 18-20 hours. MNC were stained for epithelial cell markers including pan-  
149 cytokeratin and epithelial cellular adhesion molecule(EpCAM), and  
150 CD19/CD4/CD11c/CD123, followed by intracellular staining for M1 expression using anti-  
151 M1 antibody. B cell subsets were determined by fluorescence staining and identified as  
152 memory(CD19<sup>+</sup>CD20<sup>+</sup>CD38<sup>-</sup>CD27<sup>+</sup>IgD<sup>-</sup>), naïve(CD19<sup>+</sup>CD20<sup>+</sup>CD38<sup>-</sup>IgD<sup>+</sup>CD27<sup>-</sup>) and  
153 germinal center(GC) B cells (CD19<sup>+</sup>CD20<sup>+</sup>CD38<sup>+</sup>)[34].

154 ***Cell stimulation for T cell assays***

155 Tonsillar MNC were co-cultured with either MVA-NP+M1 or MVA-wt at  $1 \times 10^5$  pfu/ml. Cell  
156 culture in complete RPMI medium was supplemented with 2% autologous human plasma  
157 (aHP). Tonsillar MNC were incubated for 7 days before any further experiments. Non-HLA  
158 typed tonsillar MNC were used for pooled-peptides stimulation and IFN- $\gamma$  ELISPOT assay,  
159 whereas MNC from HLA-A2+ individuals were used for M1-specific CD8<sup>+</sup> T cell response  
160 by tetramer staining.

161 ***IFN- $\gamma$  ELISPOT***

162 At day-7 following culture, MVA-NP+M1-stimulated cells were rested in RPMI for 2 days  
163 followed by IFN- $\gamma$  ELISPOT assay(eBioscience). ELISPOT plate(Millipore) was coated with  
164 anti-IFN- $\gamma$  antibody overnight.  $2 \times 10^5$  cells stimulated with M1 peptide pools(10  $\mu$ g/ml per  
165 peptide) were seeded in plate wells. Cells without stimulation were as negative control, and  
166 cells stimulated with SEB (BEIResources) as positive control. The plate was incubated for  
167 24 hours, followed by addition of anti-IFN- $\gamma$  detection antibody and Avidin-horseradish  
168 peroxidase. Spots were developed by adding 3-amino-9-ethyl carbazole(Sigma) and  
169 counted by EliSpot Reader.

170 ***Detection of M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cells and T<sub>RM</sub> cells***

171 For flow-cytometric analysis of M1-Tm<sup>+</sup> CD8<sup>+</sup> T cells and their phenotypes in tonsillar tissue,  
172 freshly isolated tonsillar MNC, or MNC following co-incubation with M1<sub>58-66</sub> peptide for 2 days  
173 (to expand M1-Tm<sup>+</sup> cells) were stained with HLA-A02\*01-M1<sub>58-66</sub>-PE tetramer. HLA-A02\*01  
174 control tetramers including HLA-A02\*01-HPV16 E7(-YMLDLQPET) and HLA-A02\*01-  
175 negative control tetramer (-ALAAAAAAV)(MBL) were used. The specific detection of M1-  
176 Tm<sup>+</sup> cells in tonsillar MNC was confirmed by positive staining in CD8<sup>+</sup> T cells only by M1-  
177 Tm tetramer, and negative staining by control tetramers in MNC following M1-peptide  
178 stimulation(data not shown). Tonsillar MNC were also co-cultured with MVA-NP+M1,  
179 followed by analysis of M1-Tm<sup>+</sup> cells. For detection of M1-specific T<sub>RM</sub>, in addition to the  
180 above, MNC were co-stained with anti-CD103, -CD69, -CD45RA and -CCR7 antibodies.

181 ***Measurement of T cell proliferation***

182 Tonsillar MNC were labeled with Carboxyfluorescein succinimidyl ester(CFSE, 5 $\mu$ M)  
183 (Invitrogen)[35]. CFSE-labeled cells were resuspended in RPMI supplemented with 2%aHP  
184 before stimulation with 1x10<sup>5</sup> pfu/ml of MVA-NP+M1 for 5 days. Cells were then stained for  
185 CD8 and M1-Tm, followed by flow-cytometry.

186 ***Detection of CD107a expression and intracellular cytokines***

187 Following 7-day MVA-NP+M1 stimulation, tonsillar MNC were pulsed with 0.25  $\mu$ g/ml M1<sub>58-</sub>  
188 <sub>66</sub> peptide and co-cultured with anti-CD107a antibody in the presence of brefeldin A and  
189 monensin(eBioscience). Cells were collected and stained for CD8 and M1-Tm, and  
190 intracellular cytokines followed by flow-cytometry.

191 ***Cytotoxic killing assay***

192 Isolated CD8<sup>+</sup> T cells following MVA-NP+M1 stimulation were co-cultured with M1<sub>58-66</sub>-  
193 pulsed B cells as described previously[36]. Briefly, autologous B cells were isolated from  
194 cryopreserved tonsillar MNC and incubated overnight with 40ng/ml recombinant IFN- $\gamma$



195 (Peprotech). B cells were then labeled with either 0.02  $\mu\text{M}$ (T<sub>low</sub>) or 0.2  $\mu\text{M}$  (T<sub>high</sub>) of CFSE for  
196 15 min. T<sub>low</sub> were pulsed with 5  $\mu\text{g}/\text{ml}$  M1<sub>58-66</sub> for 45 min. Both T<sub>low</sub> and T<sub>high</sub> were adjusted  
197 to  $2 \times 10^5$  cells/ml and mixed at ratio 1:1. For effector cells, isolated CD8<sup>+</sup> T cells following  
198 stimulation were adjusted to  $4-10 \times 10^6$  cells/ml before 2-fold serial dilutions were made (1:1  
199 to 1:32). CD8<sup>+</sup> T cells were then co-cultured at different ratios with mixed T<sub>low</sub> and T<sub>high</sub> cells  
200 for 6 hours. Mixed T<sub>low</sub> and T<sub>high</sub> cells only (without CD8<sup>+</sup> T cells) were cultured as negative  
201 control. Cells were harvested and stained with LIVE/DEAD Far red (Invitrogen) for 30 min  
202 before staining for CD8 and M1-Tm.

### 203 ***Flow cytometry***

204 Fluorescence-labeled cells were analyzed using BD FACScalibur with CellQuest or Celesta  
205 with FACS DiVa (BD) and analyzed using FlowJo 8.7 software.

### 206 ***Statistical analysis***

207 For two-group comparisons, based on normality of data, parametric paired-t test,  
208 nonparametric Wilcoxon matched-pairs signed rank test and nonparametric Mann-Whitney  
209 test were performed using GraphPad Prism.  $p < 0.05$  was considered as statistically  
210 significant.

211

## 212 **Results**

### 213 ***M1 antigen was highly expressed in NALT following MVA-NP+M1 stimulation***

214 To determine whether M1 antigen was expressed in tonsillar cells following MVA-NP+M1  
215 stimulation, we examined M1 expression in tonsillar MNC by intracellular M1 staining. As  
216 shown in Figure 1a and 1b, following stimulation, M1 was abundantly expressed in tonsillar  
217 epithelial cells (Mean $\pm$ SEM:  $34.5 \pm 3.2\%$ ) and B cells( $35.2 \pm 7.55\%$ ), but only a small number  
218 of T cells( $2.3 \pm 0.6\%$ ). Among B cells, M1 expression was detected in memory( $55.8 \pm 2.2\%$ ),  
219 naïve( $48.7 \pm 2.5\%$ ), and germinal center(GC) B cells( $22.7 \pm 0.9\%$ ) respectively(data not

220 shown). Among tonsillar dendritic cells(DC), M1 expression was shown in myeloid  
221 DC( $21.2\pm 3.2\%$ ) and plasmacytoid DC( $22.0\pm 7.1\%$ )(Figure 1b). As a control, no M1  
222 expression was detected in any cell types following MVA vector only stimulation.

223 ***MVA-NP+M1 elicited mucosal M1-specific T cell responses.***

224 Having shown abundant M1 expression in tonsillar MNC, we investigated whether MVA-  
225 NP+M1 activated M1-specific T cell responses. Following MVA-NP+M1 stimulation, tonsillar  
226 MNC were co-incubated with 9-mer M1-peptide pools(Table 2) followed by IFN- $\gamma$  ELISPOT.  
227 A marked increase in IFN- $\gamma$ -secreting cells was found in MNC stimulated by MVA-NP+M1,  
228 as compared to that by MVA vector alone(Figure 1c+d,  $p<0.05$ ). Subsequent flow-cytometry  
229 revealed the increase in IFN- $\gamma$ -secreting cells following M1-peptides re-stimulation was  
230 predominantly from CD8<sup>+</sup> T cells but not from CD4<sup>+</sup> T cells(Figure 1e), with a mean increase  
231 of  $0.27\pm 0.05\%$  of IFN- $\gamma$ -secreting cells (% of CD8<sup>+</sup> T cells). This suggests MVA-NP+M1  
232 stimulation activates a marked M1-specific T cell response.

233 To confirm this, we examined M1-specific CD8<sup>+</sup> T cell response using HLA-A2-restricted  
234 M1<sub>58-66</sub>-specific tetramer(Tm) staining in HLA-matched individuals(Figure 2a). Frequencies  
235 of M1-Tm<sup>+</sup> cells in freshly isolated MNC were generally low(median 0.10%). MVA-NP+M1  
236 stimulation elicited a marked increase in M1-Tm<sup>+</sup> cells(median 0.37%), compared to that by  
237 MVA vector or medium control (Figure 2b,  $p<0.001$ ). When MVA-NP+M1 activated M1-Tm<sup>+</sup>  
238 cell response was compared among different age groups(Table 1), an age-dependent  
239 increase was shown in M1-Tm<sup>+</sup> cell response. Children<4 years in general showed a  
240 low/modest response, whereas older children and adults demonstrated stronger  
241 responses(Figure 2c).

242 Further analysis with CFSE cell tracing demonstrated MVA-NP+M1 activated a proliferative  
243 M1-Tm<sup>+</sup> cell response in tonsillar MNC, compared to that by MVA vector only(Figure 2d,  
244  $p<0.05$ ).

245 ***MVA-NP+M1 elicited M1-specific T<sub>RM</sub> response***

246 To determine whether there were M1-specific T<sub>RM</sub> in NALT and if MVA-NP+M1 activated an  
247 increase in T<sub>RM</sub>, we studied tonsillar MNC from HLA-matched subjects(age 5-24 years) by  
248 co-staining T<sub>RM</sub> markers and M1-tetramer.

249 As frequencies of M1-Tm<sup>+</sup> cells in *ex vivo* tonsillar tissue were low, we used M1-specific  
250 peptide to enrich M1-Tm<sup>+</sup> cells in tonsillar MNC (and in PBMC) by co-incubation with M1<sub>58-</sub>  
251 <sub>66</sub> peptide for 2 days. The phenotypes of expanded M1-Tm<sup>+</sup> cells following peptide  
252 stimulation showed no difference to freshly isolated MNC(data not shown). In tonsillar MNC,  
253 there were 25.1±3.2%(mean±SEM) of M1-Tm<sup>+</sup> cells expressing CD103<sup>+</sup> therefore identified  
254 as M1-specific T<sub>RM</sub>, and most of them were CD103<sup>+</sup>CD69<sup>+</sup> T<sub>RM</sub> (Figure 3a+e). There were  
255 also 38.1±3.6% of M1-Tm<sup>+</sup> cells expressing CD69 but not CD103 (CD103<sup>-</sup>CD69<sup>+</sup>). Of M1-  
256 Tm<sup>+</sup> cells in MNC, around 64% were of effector memory T cell phenotype(CD45RA<sup>-</sup>CCR7<sup>-</sup>)  
257 (Figure 3b+f). Among M1-Tm<sup>+</sup> cell subsets, the majority (64.2±8.4%) of CD103<sup>+</sup>CD69<sup>+</sup> T<sub>RM</sub>  
258 cells were of effector memory T cell phenotype, compared to 42.6±6.1% and 14.4±2.5%  
259 respectively for CD103<sup>-</sup>CD69<sup>+</sup> and CD103<sup>-</sup>CD69<sup>-</sup> subsets (Figure 3i). By contrast, in PBMC  
260 from the same subjects, none of M1-Tm<sup>+</sup> cells expressed CD103 (thus non-T<sub>RM</sub> cells), and  
261 only ~20% were of CD45RA<sup>-</sup>CCR7<sup>-</sup> effector memory phenotype, with the majority were of  
262 CD45RA<sup>+</sup>CCR7<sup>-</sup> phenotype (Figure 3b+f).

263 Following MVA-NP+M1 stimulation, there was a substantial increase in M1-Tm<sup>+</sup> cells (6-18  
264 fold-increase) including both CD103<sup>+</sup> and CD103<sup>-</sup> cell subsets, and a large majority (~90%)  
265 expressed CD45RA<sup>-</sup>CCR7<sup>-</sup> phenotype(Figure 3c+g+h). Of interest, in CD103<sup>+</sup> T<sub>RM</sub> cells,  
266 there was a marked increase in CD103<sup>+</sup>CD69<sup>-</sup> subset which accounted for ~75% of  
267 CD103<sup>+</sup>T<sub>RM</sub>, whereas ~25% were CD103<sup>+</sup>CD69<sup>+</sup> (Figure 3c+g). This contrasted with freshly  
268 isolated MNC or M1-peptide expanded MNC in which CD103<sup>+</sup> cells were primarily  
269 CD103<sup>+</sup>CD69<sup>+</sup>. Further, when the memory phenotypes were analyzed, more CD103<sup>+</sup>CD69<sup>-</sup>  
270 T<sub>RM</sub> cells(mean: 86.1%) exhibited an effector memory phenotype (CD45RA<sup>-</sup>CCR7<sup>-</sup>), than  
271 CD103<sup>+</sup>CD69<sup>+</sup> (65.6%) or CD103<sup>-</sup>CD69<sup>+</sup> (42.2%) T<sub>RM</sub> subsets(Figure 3j). When PBMC from  
272 the same subjects were analyzed, a marked increase in M1-Tm<sup>+</sup> cells was also seen, but  
273 these cells in PBMC were largely CD103<sup>-</sup>CD69<sup>-</sup> non-T<sub>RM</sub> cells (Figure 3c+g).

274 ***MVA-NP+M1 activated M1-specific CD8<sup>+</sup> T cells exhibited cytotoxic functions and***  
275 ***killing property.***

276 To determine whether MVA-NP+M1-activated M1-specific CD8<sup>+</sup> T cells in tonsillar MNC  
277 were functionally active, we examined the expression of cytotoxic molecules and cytokines  
278 of M1-Tm<sup>+</sup> cells. At day-7 following vaccine stimulation, the M1-Tm<sup>+</sup> cells expressed a high  
279 level of granzyme-B(Figure 4a+b). Tonsillar MNC were subsequently pulsed with M1<sub>58-66</sub>  
280 peptide followed by detection of surface CD107a(marker for degranulation) and cytokine  
281 expression. Both CD107a and IFN- $\gamma$  expressions were markedly upregulated in M1-Tm<sup>+</sup>  
282 cells after M1<sub>58-66</sub> peptide pulsing(Figure 4c). Kinetics of CD107a and IFN- $\gamma$  expression were  
283 further studied and a similar pattern was shown for both(Figure 4d+e). Notably, a more rapid  
284 upregulation in expression of CD107a than IFN- $\gamma$  was seen. At one hour following peptide  
285 pulsing, ~40% of M1-Tm<sup>+</sup> cells expressed CD107a, compared to 10% producing IFN- $\gamma$ (  
286  $p < 0.05$ ). Both surface CD107a expression and IFN- $\gamma$  production appeared to peak after 3  
287 hours(Figure 4d+e). IFN- $\gamma$  and TNF- $\alpha$  were abundantly expressed in M1-Tm<sup>+</sup> cells following  
288 peptide pulsing(Figure 4f+g). Figure 4h summarized frequencies of M1-Tm<sup>+</sup> cells expressing  
289 different cytokine profiles, with the most frequently detected M1-Tm<sup>+</sup> cells co-expressing  
290 CD107a with IFN- $\gamma$  and TNF- $\alpha$ (45%). Some M1-Tm<sup>+</sup> cells(3%) were shown to co-express  
291 CD107a and three cytokines IFN- $\gamma$ , TNF- $\alpha$  and IL-2(Figure 4h).

292 We further investigated whether M1-Tm<sup>+</sup> cells were capable of cytotoxic killing of target cells.  
293 Following MVA-NP+M1 stimulation, isolated CD8<sup>+</sup> T cells (as effector T cells:E) were co-  
294 cultured with M1<sub>58-66</sub> peptide-pulsed target B cells(T), followed by measurement of target cell  
295 lysis using flow-cytometry. As demonstrated in Figure 5a, there was a marked decrease in  
296 peptide-pulsed target B cells(T<sub>low</sub>), while no decrease in B cells without peptide-pulsing(T<sub>high</sub>)  
297 following co-culture with effector T cells, indicating M1-specific target cell lysis. In all the  
298 three samples tested, the increase in target cell lysis correlated well with the increase in  
299 effector to target cell (E/T) ratio(Figure 5b).

300

301 **Discussion**

302 Since intranasal vaccination is considered an effective vaccination strategy against  
303 respiratory pathogens[22-24], we investigated the potential of MVA-NP+M1 as a mucosal  
304 vaccine to activate anti-influenza T cell responses in human NALT. We demonstrated MVA-  
305 NP+M1 activates a prominent M1-specific cytotoxic T cell response with a marked increase  
306 in M1-specific T<sub>RM</sub> cells.

307 Following MVA-NP+M1 stimulation, we showed M1 antigen was highly expressed in both  
308 tonsillar epithelial cells and B cells. This suggest MVA-NP+M1 has the capacity to efficiently  
309 infect tonsillar cryptal epithelium and present M1 antigen. Tonsillar tissue has a reticular  
310 crypt epithelium containing both epithelial and non-epithelial immune cells. An efficient  
311 infection of epithelium by MVA-vectored vaccine would provide a favorable environment for  
312 the vaccine uptake and antigen presentation. Memory B cells, representing a major non-  
313 epithelial immune cell subset, were mainly found within intraepithelial areas and have a  
314 strong capacity to present antigen directly to T cells, owing to the constitutive expression of  
315 co-stimulatory molecules[37-39]. The unique anatomical localization of memory B cells in  
316 intraepithelial areas, together with the strong antigen-presenting capacity has been  
317 considered critical for the prompt and robust memory antibody responses[37]. It is therefore  
318 possible that memory B cells are infected by the MVA vaccine virus and efficiently present  
319 the vaccine antigen (e.g. M1) to memory T cells, contributing to activation of memory T cells  
320 in tonsillar MNC. Dendritic cells may also contribute to vaccine uptake and antigen  
321 processing, as a significant proportion of myeloid DC and plasmacytoid DC showed M1  
322 expression consistent with previous report[40].

323 With IFN- $\gamma$  ELISPOT assay, we demonstrated MVA-NP+M1 activated a marked increase in  
324 IFN- $\gamma$ -secreting CD8<sup>+</sup> T cells specific to conserved M1 epitopes. Further, using M1<sub>58-66</sub>-  
325 specific tetramer staining, we showed MVA-NP+M1 stimulation elicited a marked increase  
326 in M1-Tm<sup>+</sup> T cells in tonsillar MNC from HLA-matched individuals, particularly in older  
327 children and adults. M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cells has been shown previously to protect

328 against influenza infection in HLA-A2 transgenic mice[41]. Our results therefore provide  
329 evidence in support of the capacity of MVA-NP+M1 to elicit M1-specific CD8<sup>+</sup> T cell  
330 responses with the potential for protection against influenza in human nasopharynx.

331 Recent research supports a critical role of T<sub>RM</sub> cells in providing a rapid protection against  
332 influenza. T<sub>RM</sub> in human lungs were shown to mount a rapid response and kill influenza-  
333 infected epithelial cells and contribute to protection[42, 43]. Using M1-tetramer and  
334 CD103/CD69 co-staining, we demonstrated the presence of CD103<sup>+</sup> M1-specific CD8<sup>+</sup> T<sub>RM</sub>  
335 cells in tonsillar tissue which were expanded by M1-specific peptide. Among M1-Tm<sup>+</sup> cells,  
336 there were both CD103<sup>+</sup>CD69<sup>+</sup> and CD103<sup>-</sup>CD69<sup>+</sup> T<sub>RM</sub> subsets. Similar to a previous study  
337 on EBV-specific T<sub>RM</sub> in tonsillar tissue [44], M1-specific CD103<sup>+</sup> cells were largely restricted  
338 to CD69<sup>+</sup> cells, and a large proportion of these T<sub>RM</sub> cells were of effector memory T cell  
339 phenotype. It was shown previously that CD103<sup>+</sup>CD69<sup>+</sup> T<sub>RM</sub> preferentially localized to  
340 tonsillar epithelial surface, whereas CD103<sup>-</sup>CD69<sup>+</sup> cells largely localized in extrafollicular  
341 regions[44]. Our results therefore support the presence of M1-specific T<sub>RM</sub> cells in tonsillar  
342 epithelium, derived from memory T cells primed by previous influenza infection. These cells  
343 largely exhibit effector memory T cell phenotype with the ability to mount a fast response to  
344 re-infection.

345 Following MNA-NP+M1 stimulation, there was an increase in M1-specific T<sub>RM</sub> (CD103<sup>+</sup>) as  
346 well as non-T<sub>RM</sub> cells (CD103<sup>-</sup>) in tonsillar MNC. Interestingly, of CD103<sup>+</sup> T<sub>RM</sub> cells, the  
347 majority were CD103<sup>+</sup>CD69<sup>-</sup> whereas only ~25% were CD103<sup>+</sup>CD69<sup>+</sup> cells which were  
348 predominant in unstimulated tonsillar MNC. It would be interesting to know whether there is  
349 any functional difference between CD103<sup>+</sup>CD69<sup>-</sup> and CD103<sup>+</sup>CD69<sup>+</sup> subsets in future  
350 studies. The fact that a large majority of CD103<sup>+</sup>CD69<sup>-</sup> cells exhibited effector memory T cell  
351 phenotype indicates they have the capacity to respond to re-infection rapidly. These results  
352 suggest that MVA-NP+M1, if used as an intranasal vaccine, would be able to elicit a  
353 proliferative response of T<sub>RM</sub> cells, to expand T<sub>RM</sub> memory T cell pool in NALT, and offer  
354 rapid protection against influenza infection in the nasopharynx. MVA-NP+M1 most likely acts

355 by boosting pre-existing memory CD8<sup>+</sup> T cells, but not by inducing de novo M1-specific T  
356 cells, as tonsillar MNC depleted of memory T cells(CD45RO<sup>+</sup>) failed to show any M1-Tm<sup>+</sup>  
357 cells following MVA-NP+M1 stimulation(data not shown).

358 As a comparison, we also analyzed M1-Tm<sup>+</sup> T cells in PBMC, and demonstrated the  
359 absence of T<sub>RM</sub> (CD103<sup>+</sup>CD69<sup>+</sup>) cells in PBMC before and after the vaccine stimulation. This  
360 supports the concept that CD103<sup>+</sup> T<sub>RM</sub> cells are retained in peripheral tissue but not present  
361 in the circulation. Local mucosal vaccination may therefore offer distinctive advantage in  
362 expanding antigen-specific T<sub>RM</sub> cells in local tissues for rapid protection.

363 It is generally thought that cytotoxic CD8<sup>+</sup> T cells exert their effector activities to limit virus  
364 infection and disease severity[6, 10] through degranulation, cytotoxic molecule release and  
365 pro-inflammatory cytokines[45]. Here we demonstrated that M1-Tm<sup>+</sup> cells activated by MVA-  
366 NP+M1 expressed a high level of granzyme B, which were subsequently released upon  
367 recognition of M1<sub>58-66</sub> peptide, along with rapid upregulation of surface CD107a expression.  
368 In addition, many M1-Tm<sup>+</sup> cells co-expressed CD107a with IFN- $\gamma$  and TNF- $\alpha$ , suggesting  
369 they produce both cytotoxic effector molecules and inflammatory cytokines upon antigen-  
370 specific recognition. IFN- $\gamma$  and TNF- $\alpha$  are potent pro-inflammatory cytokines and important  
371 in anti-viral activity. In addition to these two cytokines, some of these cells also co-expressed  
372 IL-2, which may exhibit more potent cytotoxic functions [46, 47]. Although CD4<sup>+</sup> rather than  
373 CD8<sup>+</sup> T cells are the main source of IL-2, a small number of CD8<sup>+</sup> T cells can secrete IL-2  
374 after receiving costimulatory signals, providing proliferation and survival signals to  
375 themselves or other cytotoxic T cells[45].

376 The kinetics of CD107a expression correlated well with that of cytokine (IFN- $\gamma$ ) production  
377 in the M1-Tm<sup>+</sup> cells. The rapid upregulation of surface CD107a expression (i.e.  
378 degranulation) in M1-Tm<sup>+</sup> cells upon specific antigen recognition suggests these M1-specific  
379 CD8<sup>+</sup> T cells, including T<sub>RM</sub>, may mount an immediate cytotoxic response against influenza.  
380 Finally, using M1-specific peptide pulsed tonsillar B lymphocytes as target cells for the  
381 effector T cell function, we showed MVA-NP+M1-activated M1-Tm<sup>+</sup> cells possessing marked

382 cytotoxic killing activity capable of target cell lysis.

383 In conclusion, we demonstrate MVA-NP+M1 activated a M1-specific mucosal CD8<sup>+</sup> T cell  
384 response including a substantial increase in T<sub>RM</sub> cells. These M1-specific T cells were  
385 predominantly of effector memory T cell phenotype, exhibiting a high level of cytotoxic  
386 markers and producing pro-inflammatory cytokines leading to specific killing of target cells  
387 upon antigen recognition. Our results suggest this novel vaccine expands M1-specific T<sub>RM</sub>  
388 cell pool and activates cytotoxic T cell responses to the conserved antigen, therefore offering  
389 great potential as an effective mucosal vaccine for fast and broad protection against re-  
390 infection of influenza virus in humans.

391

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404 Performed the experiments and analysis: SP, MA, QZ. Contributed clinical samples,  
405 vaccines/materials: RS, MK, SL, TL, SG. All contributed to the writing of the manuscript.



406 **Table 1 Study subjects information**

<b>Sample</b>		<b>Average Age (Range)</b>		<b>n</b>
Children	Group 1	2.5	(2-3.5)	6
	Group 2	5.7	(4-9)	12
Adults		20.6	(16-34)	9

407

408 **Table 2 List of 9-mer peptides of conserved MHC class I binding epitopes from M1 of**  
 409 **influenza A viruses (NR-2667, BEI resources)**

<b>Influenza proteins</b>	<b>Pool No.</b>	<b>Peptide No.</b>	<b>Amino acid sequences (9)</b>	<b>HLA restriction</b>
M1	1	1	29-EDVFAGKNT-37	HLA-A*03
		2	31-VFAGKNTDL-39	HLA-A*2402, HLA-B*08
		3	37-TDLEALMEW-45	HLA-A*01
		4	49-RPILSPLTK-57	HLA-A*03
		5	51-ILSPLTKGI-59	HLA-A*0201
		6	56-TKGILGFVF-64	HLA-A*02
		7	58-GILGFVFTL-66	HLA-A*02, HLA-A*2402
		8	60-LGFVFTLTV-68	HLA-A*02
		9	66-LTVP SERGL-74	HLA-A*02
		10	68-VP SERGLQR-76	HLA-A*02
	2	11	71-ERGLQRRRF-79	HLA-A*02
		12	75-QRRRFVQNA-83	HLA-A*02
		13	76-QRRRFVQNAL-84	HLA-A*02
		14	122-GALASCMGL-130	HLA-B*35
		15	123-ALASCMGLI-131	HLA-B*35
		16	124-LASCMGLIY-132	HLA-B*35
		17	126-SCMGLIYNR-134	HLA-B*35
		18	177-NRMVLA STT-185	HLA-A*0301, HLA-A*11
		19	179-MVLA STTAK-187	HLA-A*0301, HLA-A*11
		20	180-VLA STTAKA-188	HLA-A*0301, HLA-A*11
		21	181-LA STTAKAM-189	HLA-A*0301, HLA-A*11

410

411 **Figure legends**

412 **Figure 1. Expression of M1 in tonsillar MNC following MVA-NP+M1 stimulation, and T cell**  
413 **responses to conserved M1 peptides.** M1 protein expression was examined in tonsillar MNC  
414 following either MVA-NP+M1 or MVA-wt stimulation for 18 hours. a) Representative flow cytometric  
415 histograms showed the expression of M1 protein in tonsillar epithelial cells and B cells following  
416 stimulation by MVA-NP+M1 (red line) as compared to MVA-wt (black line). b) Bar charts  
417 demonstrated the percentages of M1 expression in epithelial cells, B cells, plasmacytoid dendritic  
418 cells (pDC), myeloid dendritic cells (mDC) and T cells following MVA-NP+M1 stimulation as  
419 compared to MVA-wt (n=3, Means and SEMs are shown). Following MVA-NP+M1 stimulation and  
420 cell resting, the frequency of IFN- $\gamma$ -secreting T cells upon restimulation by conserved M1 peptide  
421 pools were enumerated by IFN- $\gamma$ -ELISPOT assay. c) Representative figures showed spots (as  
422 implied to IFN- $\gamma$ -secreting cells) in MVA-NP+M1-stimulated as compared to MVA-wt-stimulated MNC  
423 before and after restimulation by M1 peptide pools. d) Comparison of frequency of IFN- $\gamma$ -spot-forming  
424 cells (SFC/million) between MVA-NP+M1 and MVA-wt-stimulated MNC against M1 peptide pools  
425 (n=7, \* p<0.05, Wilcoxon signed rank test). SFC frequency as indicated was obtained by subtracting  
426 background SFC from cells without peptide restimulation. e) Representative dot plots showed a  
427 higher frequency of IFN- $\gamma$ -producing CD8<sup>+</sup> T cells than CD4<sup>+</sup> T cells following restimulation by M1  
428 peptide pools in MVA-NP+M1-stimulated MNC (one of 3 representative samples was shown).

429 **Figure 2. M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cells activated by MVA-NP+M1.** M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cells  
430 (M1-Tm<sup>+</sup>) were determined using M1 tetramer staining in HLA-A2+ subjects after 7-day culture of  
431 tonsillar MNC with MVA-NP+M1, MVA-wt or medium control. a) Gating strategy for analysis of M1-  
432 Tm<sup>+</sup> cells. b) MVA-NP+M1 activated an increase of M1-Tm<sup>+</sup> cells in children (black open circle) and  
433 adults (red open circle) compared to MVA-wt (Wilcoxon signed rank test, n=27, \*\*\*p<0.001). c)  
434 Comparison of the frequency of M1-Tm<sup>+</sup> cells among different age groups (\* p<0.05, \*\*p<0.01)  
435 (medians with interquartile ranges are shown). d) Gating on M1-Tm<sup>+</sup> cells, representative histogram  
436 showed M1-Tm<sup>+</sup> cell proliferation was activated by MVA-NP+M1 (blue line) as compared to MVA-wt  
437 control (grey shaded). e) Proliferation of M1-Tm<sup>+</sup> cells (%CFSE<sub>low</sub>) following stimulation of tonsillar  
438 MNC by MVA-NP+M1 as compared to MVA-wt control (n=3, \*p<0.05, Wilcoxon signed rank test).

439 **Figure 3. MVA-NP+M1 activated M1-specific T<sub>RM</sub> response in tonsillar MNC.**  
440 Representative dotplots (gated on M1-Tm<sup>+</sup> CD8<sup>+</sup> T cells only) demonstrating the presence  
441 of pre-existing M1<sub>58-66</sub>-specific T<sub>RM</sub> (CD103<sup>+</sup>CD69<sup>+</sup>) in M1-peptide expanded tonsillar MNC  
442 and PBMC (a) and substantially increased numbers of both CD103<sup>+</sup> and CD103<sup>-</sup> M1-Tm<sup>+</sup>  
443 cells following MVA-NP+M1 stimulation at day 7, particularly the increase in CD103<sup>+</sup>CD69<sup>-</sup>  
444 subset in tonsillar MNC(c). This contrasted with the findings in PBMC showing the absence  
445 of CD103<sup>+</sup>CD69<sup>+</sup> T<sub>RM</sub> cells in both M1-peptide expanded(a+e) and MVA-NP+M1-stimulated  
446 PBMC (c+g). Memory phenotypes of M1-Tm<sup>+</sup> cells were examined using CCR7 & CD45RA  
447 markers in tonsillar MNC compared to PBMC (b+f:M1-peptide-expanded and d+h:MVA-  
448 NP+M1-stimulated). T<sub>RM</sub> and Non-T<sub>RM</sub> subsets (e & g) and their memory phenotypes (f & h)

449 of M1-Tm<sup>+</sup> cells in tonsillar MNC and PBMC following M1-peptide and MVA-NP+M1  
450 stimulation were summarized (e-h). M1-Tm<sup>+</sup> cell memory phenotypes in different T<sub>RM</sub> and  
451 Non-T<sub>RM</sub> subsets in tonsillar MNC following M1-peptide (i) or MVA-NP+M1 stimulation (j)  
452 were compared (\*p<0.05, \*\*p<0.01 compared to CD103<sup>-</sup>CD69<sup>-</sup> non-T<sub>RM</sub> cells, n=5).

453

454 **Figure 2. Cytotoxic molecule and pro-inflammatory cytokine expression profiles of M1**  
455 **specific CD8<sup>+</sup> T cells.** Tonsillar MNC were stimulated by MVA-NP+M1 for 7 days followed by  
456 detection of M1-Tm<sup>+</sup> cells and expression of cytotoxic molecules. Tonsillar MNC were subsequently  
457 pulsed with M1<sub>58-66</sub> peptide for 6 hours followed by detection of surface CD107a and intracellular  
458 cytokines. (a & b) MVA-NP+M1 activated M1-Tm<sup>+</sup> cells expressing high level of granzyme B as  
459 compared to MVA-wt alone (a: representative plots; b: n=8, \*p<0.05). c). Following M1 peptide  
460 pulsing, both surface CD107a and intracellular IFN-γ were highly expressed in M1-Tm<sup>+</sup> as compared  
461 to the low level in M1-Tm<sup>-</sup> cells (n=8 and 13 respectively, \*\*\*\*p<0.0001). d) Representative dot plots  
462 and e) the kinetics curves showed the co-expression of surface CD107a and intracellular IFN-γ in  
463 M1-Tm<sup>+</sup> cells following peptide pulsing. At 1 hour, the percentages of CD107a<sup>+</sup> cells were significantly  
464 higher than those of IFN-γ<sup>+</sup> cells (n=4, \*p<0.05, paired-t test). Means and SEMs were shown at each  
465 time point. (f & g) Representative dot plots showed the high level of expression of IFN-γ (f) and TNF-  
466 α (g) in MVA-NP+M1 activated M1-Tm<sup>+</sup> cells. (h) Pie and bar charts demonstrated a functional profile  
467 of M1-Tm<sup>+</sup> cells in MVA-NP+M1 activated tonsillar MNC following by 6-hour re-stimulation with a  
468 M1<sub>58-66</sub> peptide, showing the co-expression of CD107a and 3 cytokines, IFN-γ, TNF-α and IL-2 (one  
469 of 2 representative samples was shown).

470

471 **Figure 5. Specific killing capacity of M1<sub>58-66</sub>-specific CD8<sup>+</sup> T cells.** Isolated CD8<sup>+</sup> T cells following  
472 MVA-NP+M1 stimulation were co-cultured at different ratios with autologous B cells labeled with low  
473 (T<sub>low</sub>) and high CFSE intensities (T<sub>high</sub>). T<sub>low</sub> were either pulsed with M1<sub>58-66</sub> or without pulsing, while  
474 T<sub>high</sub> were without pulsing. a) Representative dotplots and histogram demonstrating the decrease in  
475 target cells (T<sub>low</sub>) following M1-peptide pulsing (green gate or middle peak), as compared to non-  
476 pulsing controls (grey shaded), indicating M1-specific target cell killing. b) Correlations between % of  
477 M1-specific target cell lysis and effector to target cells (E/T) ratio in three subjects were shown. E  
478 refers to effector number of M1-Tm<sup>+</sup> cells of isolated CD8<sup>+</sup> T cells, whereas T refers to number of  
479 target T<sub>low</sub> cells. The proportion of M1-Tm<sup>+</sup> cells in the total isolated CD8<sup>+</sup> T cells ranged from 1 to  
480 4%.

481

482 **Supplemental figure 1. Gating strategy for M1 expression in tonsillar MNC.** M1 protein  
483 expression was examined in different cell populations of tonsillar MNC following MVA-NP+M1  
484 stimulation for 18 hours. Tonsillar MNC were stained for CD19<sup>+</sup> B cells and CD3<sup>+</sup> T cells (a to b),  
485 followed by analysis of M1-expression in B and T cells (e). Non-B and Non-T (CD19-CD3-) cells were  
486 further separated into CD11c<sup>+</sup> myeloid dendritic cells(mDC) and CD123<sup>+</sup> plasmacytoid dendritic  
487 cells(pDC) (c), and cytokeratin+EpCAM<sup>+</sup> epithelial cells (d). M1 expression in mDC, pDC and  
488 epithelial cells was analyzed and shown in f, g and h respectively.

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