## **Hashimoto Thyroiditis in Primary Thyroid Non-Hodgkin** Lymphoma

## A Systematic Review and Meta-Analysis

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## **ABSTRACT**

**Objectives:** To assess the prevalence of Hashimoto thyroiditis (HT) in primary thyroid lymphoma (PTL) and whether it differs between mucosa-associated lymphoid tissue (MALT) lymphoma and diffuse large B-cell lymphoma (DLBCL).

**Methods:** Electronic databases were searched for studies assessing HT prevalence in PTL, based on antithyroid antibodies, clinical history, or pathology. Pooled prevalence of HT and its association with histotype (MALT or DLBCL) were calculated.

**Results:** Thirty-eight studies with 1,346 PTLs were included. Pooled prevalence results were 78.9% (any HT evidence), 65.3% (antithyroid antibodies), 41.7% (clinical history), and 64% (pathology). HT prevalence was significantly higher in MALT lymphoma than in DLBCL (P = .007) and in mixed DLBCL/MALT than in pure DLBCL (P = .002).

Conclusions: Overall, 78.9% of patients with PTL have any HT evidence, but only half of these had been clinically followed. The difference in HT prevalence suggests that a subset of DLBCL may not derive from MALT lymphoma.

Primary thyroid lymphoma (PTL) is a rare malignancy that accounts for 1% to 5% of all thyroid malignancies and 1% to 7% of all extranodal lymphomas. 1-4 PTL occurs preferentially in females, with an incidence peak in the seventh decade. 1,4,5 The most common clinical presentation of PTL is a palpable mass in the neck, which may cause dysphagia, dyspnea, and hoarseness. In addition, B symptoms (fever, night sweats, and weight loss of 10% and higher in the past 6 months) can be present.<sup>1</sup>

The most common histotypes are diffuse large B-cell lymphoma (DLBCL), which accounts for 50% to 70% of cases, and mucosa-associated lymphoid tissue (MALT) lymphoma, which accounts for 10% to 50% of cases.<sup>6</sup> Follicular lymphoma, small lymphocytic lymphoma, Burkitt lymphoma, mantle cell lymphoma, T-cell lymphoma, and Hodgkin lymphoma have been described less commonly.1

The most important risk factor for PTL is Hashimoto thyroiditis (HT), which causes a 40- to 80-fold increase in the risk of PTL.<sup>3</sup> However, it is unclear whether HT is a necessary condition for the development of PTL. In fact, while some authors suggested that all PTLs originate in an HT setting, other authors reported no evidence of HT in a series of patients with PTL.<sup>2,3,7-9</sup> Moreover, it is also unclear whether HT is associated with particular PTL histotypes more strongly than with other ones.

Aims of this study were (1) to define the prevalence of HT in patients with PTL, based on the several different

diagnostic criteria adopted (eg, positive antithyroid antibodies, clinical history, histologic evidence) and (2) to assess the association of HT with PTL histotypes.

## **Materials and Methods**

Methods of this review followed those from previous studies. <sup>10,11</sup> The study methods were defined before the beginning of the study. All stages of the review were performed independently by three authors (A.T., M.P., and M.M.). Disagreements were resolved by consensus among authors. The study was reported by following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses statement. <sup>12</sup>

### Search Strategy and Study Selection

Six electronic databases (MEDLINE, Scopus, Web of Sciences, OVID, Cochrane Library, and Google Scholar) were searched from January 2009 to December 2018 for studies assessing the presence of HT in patients with PTL. The following combination of text words was used: (primary OR primitive) AND thyroid AND lymphoma. Exclusion criteria were as follows: data not extractable, inclusion of only T-cell PTLs, inclusion of only Hodgkin PTL, language other than English, case reports, and reviews.

## **Data Extraction**

Data were not modified during extraction. Primary extracted data were the number of patients with HT and the total number of patients with PTL. The variable "number of patients with HT" was categorized based on the methods for HT diagnosis as follows: presence of antithyroid antibodies, clinical history of HT, histologic evidence of HT, and overall prevalence of HT diagnosed by any method.

The variable "total number of patients with PTL" was also categorized based on the histologic type of lymphoma as follows: MALT or DLBCL. Moreover, wherever possible, data on DLBCL were subdivided into DLBCL with the MALT-type component and pure DLBCL.

## Risk of Bias Within Study Assessment

A modified version of the revised Quality Assessment of Diagnostic Accuracy Studies (QUADAS-2)<sup>13</sup> was used to assess the risk of bias within studies. Four domains related to the risk of bias were assessed: (1) patient selection (ie, if the patients were selected consecutively or if at least inclusion criteria and period of enrollment were reported), (2) HT diagnosis ("index test" in the original QUADAS-2;

ie, if methods for HT diagnosis were clearly described, (3) PTL diagnosis ("reference standard" in the original QUADAS-2; ie, if the results were subdivided according to the histotype of PTL), and (4) flow (ie, if all PTLs were assessed for the presence of HT). Authors' judgments were categorized as "low risk," "high risk," or "unclear risk of bias," as previously described. <sup>14,15</sup> Concerns about applicability were also assessed for the "patient selection" domain (ie, if methods for patient selection did not suit the aim of our review, regardless of their correctness).

### **Data Analysis**

The prevalence of HT in PTL was calculated as the number of patients diagnosed with HT by the total number of PTL patients. A subgroup analysis was also performed based on the time of publication (until 2010 or after 2010). The association of HT with the PTL histotype was assessed by using the odds ratio (OR). Both HT prevalence and OR were calculated for each study and as a pooled estimate with a 95% confidence interval (CI), as well as reported graphically on forest plots. The randomeffect model of DerSimonian-Laird was used to pool data. Statistical heterogeneity was quantified by using Higgins's inconsistency index ( $I^2$ ) and categorized as null ( $I^2 = 0\%$ ), minimal ( $0 < I^2 \le 25\%$ ), low ( $25\% < I^2 \le 50\%$ ), moderate ( $50\% < I^2 \le 75\%$ ), or high ( $I^2 > 75\%$ ), as previously described.

Review Manager 5.3 (The Nordic Cochrane Centre, Cochrane Collaboration, 2014) and Comprehensive Meta-Analysis (Biostat) were used for the analyses.

## **Results**

## **Study Selection and Characteristics**

Thirty-eight studies<sup>1-3,6-9,18-28</sup> with a total of 1,346 PTLs were included, while 21 studies were excluded (inclusion of only T-cell PTL or only Hodgkin PTL; unavailability of data on HT). The process of study selection is reported in Supplementary Figure 1 (all supplemental materials can be found at American Journal of Clinical Pathology online). The diagnosis of HT was performed based on clinical history in 14 studies, on antithyroid antibodies in 15 studies, on histologic features in 12 studies, and on sonographic features in two studies. In 16 studies, HT diagnosis was based on the presence of at least one criterion among several ones. Characteristics of the included studies are reported in Table 11. 1-3,6-8,18-48 Data about HT subdivided according to each diagnostic criterion are reported in Supplementary Table 1.

■Table 1■
General Characteristics of the Included Studies

Study	Country	Period of Enrollment	Sample Size, No.	
1999 Lam et al <sup>18</sup>	Hong Kong	1968-1997	23	
2000 Derringer et al <sup>3</sup>	United States	1985-1993	107	
2001 Belal et al <sup>19</sup>	Arabia	1975-1995	52	
2002 Thieblemont et al <sup>20</sup>	France	1987-2000	26	
2003 Kim et al <sup>21</sup>	Korea	1994-2001	9	
2003 Lerma et al <sup>22</sup>	Spain	1992-2001	7	
2005 Gupta et al <sup>23</sup>	India	1998-2004	10	
2006 Cho et al <sup>24</sup>	Korea	1989-2004	18	
2006 Sato et al <sup>25</sup>	Japan	1989-2004	58	
2007 Colović et al <sup>26</sup>	Serbia	1994-1999	9	
2007 Niitsu et al <sup>27</sup>	Japan	1998-2005	32	
2007 Au et al <sup>9</sup>	China	1992-2002	9	
2008 Moshynska and Saxena <sup>28</sup>	Canada	1995-2000	20	
2009 Avenia et al <sup>29</sup>	Italy	1986-2008	6	
2009 Hwang et al <sup>30</sup>	, Korea	1991-2006	44	
2010 Sun et al <sup>31</sup>	China	1991-2007	40	
2011 Lee et al <sup>32</sup>	Korea	1997-2007	7	
2011 Mian et al <sup>33</sup>	Multicenter	1985-2006	48	
2011 Onal et al <sup>34</sup>	Multicenter	1986-2006	87	
2011 Watanabe et al <sup>35</sup>	Japan	1990-2004	171	
2012 Alzouebi et al <sup>36</sup>	United Kingdom	1970-2010	70	
2012 Nam et al <sup>37</sup>	Korea	1995-2010	16	
2012 Oh et al <sup>38</sup>	Korea	1989-2010	27	
2013 Cha et al <sup>39</sup>	Korea	1994-2012	29	
2013 Kumar et al <sup>7</sup>	India	2005-2010	16	
2014 Ma et al <sup>40</sup>	China	2002-2008	39	
2014 Watanabe et al <sup>41</sup>	Japan	2005-2011	43	
2014 Xia et al <sup>8</sup>	China	1995-2010	27	
2015 Chai et al <sup>42</sup>	Korea	2000-2013	38	
2015 Knief et al <sup>43</sup>	Germany	Unclear	21	
2015 Li et al <sup>44</sup>	China	2007-2014	27	
2015 Wang et al <sup>2</sup>	China	2007-2013	13	
2015 Wei et al <sup>45</sup>	China	2009-2012	20	
2015 Yang et al <sup>46</sup>	China	1995-2012	12	
2017 Bostancı et al <sup>1</sup>	Turkey	2009-2015	11	
2017 Gu et al <sup>47</sup>	China	1999-2017	27	
2018 Li et al <sup>48</sup>	China	2008-2017	20	
2018 Watanabe et al <sup>6</sup>	Japan	1990-2009	107	
Total			1,346	

## Risk of Bias Assessment

For the "patient selection" domain, one study was considered at unclear risk of bias (it was unclear whether patients were consecutively selected), while all the other studies were considered at low risk (all reported at least inclusion criteria and period of enrollment). Concerns about applicability were raised for eight studies (inclusion of only MALT, 6,32,38 only DLBCL, 27,33,35,43 only intermediate/high-grade PTLs<sup>19</sup>). For the "HT diagnosis" domain, the risk of bias was unclear for eight studies<sup>7,26,34,37,38,41,47,48</sup> (unclear methods for HT diagnosis) and low for all the remaining studies. For the "PTL diagnosis" domain, the risk of bias was unclear for 12 studies<sup>2,19,22,23,31,34,36,37,40,44,45,47</sup> (results not subdivided according to PTL histotype) and low for all the remaining studies. For the "flow" domain, the risk of bias was unclear for seven studies<sup>22,28,31,33,37,39,44</sup> (presence of HT not assessed on all PTLs) and low for all

the remaining studies. Results of the risk of bias assessment are reported in Supplementary Figure 2.

#### **HT Prevalence**

Among patients with PTL, the overall prevalence of HT (ie, the presence of at least one among several different diagnostic criteria) was 78.8% (95% CI, 66%-87.7%; Figure 11), with high statistical heterogeneity among studies ( $I^2 = 83.530$ ). The percentage of patients with positive antithyroid antibodies was 65.3% (95% CI, 52.3%-76.3%; Figure 21), with moderate heterogeneity ( $I^2 = 61.347\%$ ). The percentage of patients with a clinical history of HT was 41.7% (95% CI, 27.8%-57.1%; Figure 31), with high heterogeneity ( $I^2 = 76.481\%$ ). The percentage of patients with histologic evidence of HT was 64% (95% CI, 44.4%-79.8%; Figure 41), with high heterogeneity ( $I^2 = 87.878\%$ ).

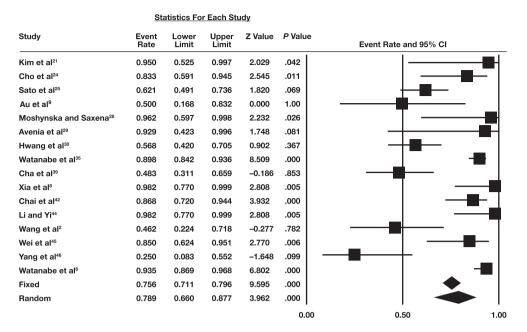


Figure 1 Forest plot reporting the prevalence of Hashimoto thyroiditis in patients with primary thyroid lymphoma based on the presence of any diagnostic criterion. CI, confidence interval.

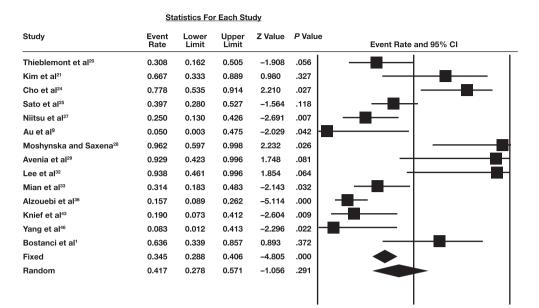
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Study	Event Rate	Lower Limit	Upper Limit	Z Value	P Value	Event Rate and 95% CI
Thieblemont et al <sup>20</sup>	0.857	0.419	0.980	1.659	.097	<del></del>
Kim et al <sup>21</sup>	0.800	0.309	0.973	1.240	.215	
Lerma et al <sup>22</sup>	0.750	0.238	0.966	0.951	.341	
Gupta et al <sup>23</sup>	0.600	0.297	0.842	0.628	.530	<del></del>
Sato et al <sup>25</sup>	0.885	0.697	0.962	3.318	.001	<del>-</del>
Niitsu et al <sup>27</sup>	0.406	0.253	0.581	-1.054	.292	<del></del>
Au et al <sup>9</sup>	0.333	0.084	0.732	-0.800	.423	
Avenia et al <sup>29</sup>	0.929	0.423	0.996	1.748	.081	<del> </del>
Sun et al <sup>31</sup>	0.652	0.443	0.816	1.436	.151	<del></del>
Oh et al <sup>38</sup>	0.700	0.473	0.859	1.736	.082	<del>                                     </del>
Xia et al <sup>8</sup>	0.810	0.588	0.927	2.604	.009	<del></del>
Li and Ye44	0.982	0.770	0.999	2.808	.005	
Wang et al <sup>2</sup>	0.462	0.224	0.718	-0.277	.782	<del></del>
Wei et al <sup>45</sup>	0.500	0.294	0.706	0.000	1.000	<del></del>
Yang et al46	0.250	0.083	0.552	-1.648	.099	<del></del>
Fixed	0.607	0.532	0.678	2.779	.005	
Random	0.653	0.523	0.763	2.295	.022	
					0.00	0.50 1.00

Figure 21 Forest plot reporting the prevalence of Hashimoto thyroiditis in patients with primary thyroid lymphoma based on the positivity for antithyroid antibodies. CI, confidence interval.

## **Subgroup Analysis**

The overall prevalence of HT was 71% (95% CI, 55.8%-82.7%) in the studies published until 2010 and 82.8% (95% CI, 67.3%-91.8%) in the studies published after 2010 (Supplementary Figure 3). The percentage of patients with positive antithyroid antibodies was 67.9% (95% CI, 50.6%-81.3%) in the studies published until 2010 and 62.7% (95% CI, 40.4%-80.6%) in the studies published after 2010 (Supplementary Figure 4). The

percentage of patients with a clinical history of HT was 51.1% (95% CI, 31.1%-70.8%) in the studies published until 2010 and 30.9% (95% CI, 15.2%-52.8%) in the studies published after 2010 (Supplementary Figure 5). The percentage of patients with histologic evidence of HT was 60.2% (95% CI, 37.6%-79.2%) in the studies published until 2010 and 73.1% (95% CI, 31.3%-94.2%) in the studies published after 2010 (Supplementary Figure 6).

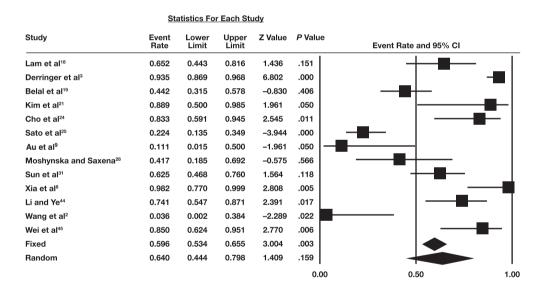


■Figure 3■ Forest plot reporting the prevalence of Hashimoto thyroiditis in patients with primary thyroid lymphoma based on the clinical history. CI, confidence interval.

0.00

0.50

1.00



**Figure 4** Forest plot reporting the prevalence of Hashimoto thyroiditis in patients with primary thyroid lymphoma based on the presence of histologic features. Cl, confidence interval.

#### HT in PTL Histotypes

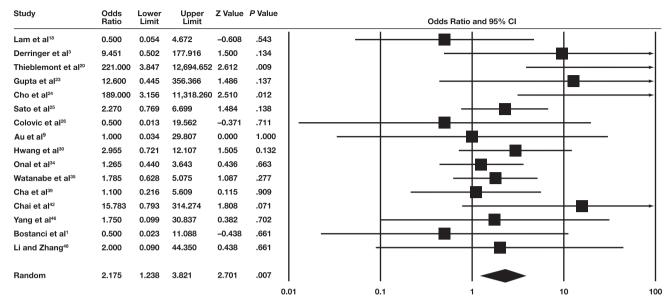
Among PTL, the prevalence of HT was significantly higher in MALT lymphoma than in DLBCL, with an OR of 2.175 (95% CI, 1.238-3.821; P = .007; Figure 51), with low statistical heterogeneity among studies ( $I^2 = 19.295\%$ ). Furthermore, among DLBCL, the prevalence of HT was significantly higher in DLBCLs with a MALT-type component than in pure DLBCLs, with an OR of 9.11 (95% CI, 2.313-35.879; P = .002; Figure 61), with null heterogeneity ( $I^2 = 0.000\%$ ).

#### **Discussion**

## **Main Findings and Interpretation**

This study showed that, among patients with PTL, 65.3% had positive antithyroid antibodies, 41.7% had a clinical history of HT, 64% had histologic evidence of HT, and 78.9% had any evidence of HT. Furthermore, HT prevalence was significantly higher in MALT lymphoma than in DLBCL and in DLBCLs with a MALT-type component than in pure DLBCLs.





■Figure 5■ Forest plot reporting the odds ratio for the association of Hashimoto thyroiditis with mucosa-associated lymphoid tissue lymphoma. CI, confidence interval.

# Statistics For Each Study

Study	Odds Ratio	Lower Limit	Upper Limit	Z Value	P Value	Odds Ratio and 95% CI
Lam et al <sup>18</sup>	3.667	0.159	84.514	0.812	.417	
Derringer et al <sup>3</sup>	5.000	0.555	45.015	1.435	.151	
Thieblemont et al <sup>20</sup>	187.000	3.213	10,884.812	2.523	.012	
Cho et al²⁴	35.000	0.503	2,435.692	1.642	.100	<del></del>
Chai et al⁴²	6.217	0.294	131.366	1.174	.240	
Fixed	9.110	2.313	35.879	3.159	.002	
Random	9.110	2.313	35.879	3.159	.002	
						0.1 1 10

■Figure 6■ Forest plot reporting the odds ratio for the association of Hashimoto thyroiditis with the presence of a mucosa-associated lymphoid tissue component in diffuse large B-cell lymphoma. CI, confidence interval.

The diagnosis of HT is based on clinical features, serum antithyroid antibodies, sonographic features, and pathologic examination.

Clinical features include local manifestations due to mass effect and variable systemic manifestations due to hypothyroidism. The main antithyroid antibodies are antithyroperoxidase antibodies, which constitute the most reliable serologic marker of HT, and antithyroglobulin antibodies. Ultrasonographic features consist of a loss of echogenicity of the thyroid parenchyma, which appears similar to the surrounding muscles. A characteristic pathologic feature is the presence of an interstitial infiltrate, which is mainly constituted of lymphocytes organized into true lymphoid follicles.<sup>49</sup>

The association between HT and PTL is well established. Patients with HT bear a 40- to 80-fold increased risk of PTL. However, the prevalence of HT in patients

with PTL is highly variable among the studies in the literature. <sup>2,3,7-9</sup> These differences might be due to the different methods used to ascertain the presence of HT. In fact, patients with HT not necessarily show all sign and symptoms of disease, <sup>49</sup> and thus it is possible that the prevalence may vary based on the criterion adopted for diagnosis.

Our results showed that the overall prevalence of HT in PTL was 78.9%. Diagnostic parameters suitable for the meta-analysis were presence of antithyroid antibodies, clinical history of HT, and histologic evidence of HT; unfortunately, only two studies adopted ultrasonographic criteria to diagnose HT, and allowing a meta-analysis. As expected, the prevalence of HT according to each single parameter was lower than the overall prevalence. However, while the percentage of patients with antithyroid antibodies was similar to those with pathologic features of HT (65.3% and 64%, respectively), a clinical history

of HT was definitely less common (41.7%). In the included studies, "clinical history of HT" included any past evidence that led to a diagnosis of HT. Our results indicate that about four-fifths of patients with PTL have a background of HT, but in half of these patients HT had not been diagnosed previously. It remains to be defined whether an improvement in thyroid screening programs may also improve prevention of PTL. <sup>50,51</sup>

In the subgroup analyses about time of publication, we found an increase of the overall prevalence of HT over time, which was also observed with regard to histologic features. On the other hand, a decrease was found for the percentage of patients with a clinical history of HT, while the percentage of patients with antithyroid antibodies appeared relatively stable. However, none of these differences was statistically significant, as indicated by the widely overlapping 95% CI. Furthermore, the period of enrollment of the studies did not reflect the time of publication; for example, the study by Gupta et al,<sup>23</sup> published in 2005, enrolled patients since 1998, while the newer study by Alzouebi et al,36 published in 2012, enrolled patients since 1970. Therefore, it is unclear if these findings reflect a real variation in the prevalence of HT over time.

Regarding histotypes, PTL is in most cases a MALT lymphoma or a DLBCL. The association between marginal zone lymphomas and autoimmune disorders is well described. It is thought that the chronic stimulation of B cells caused by autoantigens leads to an increased risk of cumulative genetic events; the activation of the nuclear factor-kB pathway seems to be crucial in this process.<sup>52</sup> According to several authors, almost all cases of PTLs are MALT type, which arise from HT and can progress to DLBCL. Based on this hypothesis, PTL might be considered a single entity with a homogeneous etiopathogenesis.<sup>25</sup> In the stomach, clonal relationships and identical light chain restrictions were found in DLBCL and MALT lymphoma, suggesting that most if not all DLBCLs derive from an evolution of MALT lymphomas. 53,54 In the thyroid, such a hypothesis is also supported by the relatively common finding of a MALT-type component coexistent with DLBCL. 3,18,20,24,42 According to our results, among PTLs an HT background was significantly less common in DLBCL than in MALT lymphoma; furthermore, the prevalence of HT was significantly lower in pure DLBCL than in DLBCL with a MALT component. Such a finding may suggest that at least a part of primary thyroid DLBCL has an etiopathogenesis different from MALT lymphomas. A previous study found that VH immunoglobulin family usage was different between MALT lymphomas and DLBCL of the thyroid, 25 supporting the hypothesis of a different pathogenesis. Further studies are necessary in this regard.

### **Strengths and Limitations**

To our knowledge, this is the first meta-analysis assessing the prevalence of HT in PTL. Furthermore, this may also be the first review that considered separately the different methods for diagnosing HT in this field.

The main limitation to our results might be the statistical heterogeneity among studies. This heterogeneity may in part be due to the different aims of the included studies. Some studies are in fact centered on pathology, while other ones are focused on clinical features, imaging techniques, or treatment outcomes. Although we tried to limit this heterogeneity by assessing separately the different diagnostic methods for HT, statistical heterogeneity remained significant.

The lack of definitive pathology specimens for many patients may be another limitation. The assessment of histologic features might indeed be inaccurate on small biopsy specimens. However, surgery is recommended only for low-grade lymphoma at stage IE-IIE, while high-grade lymphomas and advanced lymphomas are treated with chemo/radiotherapy.<sup>55</sup>

Finally, a subgroup analysis based on geographic differences was not feasible, due to the unbalanced geographic distribution of the included studies. In fact, most of the included studies were from East Asia, while only six were from Europe and only two from North America.

## Conclusion

Among patients with PTL, 78.9% show any evidence of HT, 65.3% have positive antithyroid antibodies, 64% have histologic evidence of HT, and only 41.7% have a clinical history of HT. HT is significantly less common in DLBCL than in MALT lymphoma, suggesting that a subset of DLBCLs may not derive from MALT lymphomas.

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