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Provisional

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2 **discriminate between women with and without sarcopenia**

3
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44 **Short running title:** Ultrasound muscle characteristics in women

45

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Provisional

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51 **Abstract**

52 **Introduction:** Age-related changes in muscle mass and muscle tissue composition contribute to
53 diminished strength in older adults. The objectives of this study are to examine if an assessment
54 method using mobile diagnostic ultrasound augments well-known determinants of lean body mass
55 (LBM) to aid sarcopenia staging, and if a sonographic measure of muscle quality is associated with
56 muscle performance.

57 **Methods:** Twenty community-dwelling female subjects participated in the study (age = 43.4 ± 20.9
58 years; BMI: 23.8, interquartile range: 8.5). Dual energy X-ray absorptiometry (DXA) and diagnostic
59 ultrasound morphometry were used to estimate LBM. Muscle tissue quality was estimated via the
60 echogenicity using grayscale histogram analysis. Peak force was measured with grip dynamometry
61 and scaled for body size. Bivariate and multiple regression analyses were used to determine the
62 association of the predictor variables with appendicular lean mass (aLM/ht^2), and examine the
63 relationship between scaled peak force values and muscle echogenicity. The sarcopenia LBM cut
64 point value of 6.75 kg/m^2 determined participant assignment into the Normal LBM and Low LBM
65 subgroups.

66 **Results:** The selected LBM predictor variables were body mass index (BMI), ultrasound
67 morphometry, and age. Although BMI exhibited a significant positive relationship with aLM/ht^2 (adj.
68 $R^2 = .61$, $p < .001$), the strength of association improved with the addition of ultrasound morphometry
69 and age as predictor variables (adj. $R^2 = .85$, $p < .001$). Scaled peak force was associated with age and
70 echogenicity (adj. $R^2 = .53$, $p < .001$), but not LBM. The Low LBM subgroup of women ($n = 10$) had
71 higher scaled peak force, lower BMI, and lower echogenicity values in comparison to the Normal
72 LBM subgroup ($n = 10$; $p < .05$).

73 **Conclusions:** Diagnostic ultrasound morphometry values are associated with LBM, and improve the
74 BMI predictive model for aLM/ht^2 in women. In addition, ultrasound proxy measures of muscle
75 quality are more strongly associated with strength than muscle mass within the study sample.

76

77 Introduction

78
79 Age-related declines in strength typically begin during the 4th decade of life, and range from .6% to
80 1.3% per year in people over 65 years of age (1–3). Sarcopenia, an age-related loss of muscle mass
81 that contributes to diminished muscle power and independent mobility, has been noted as a
82 significant cause of morbidity in older adults (4,5). The pathogenesis of sarcopenia is multifactorial
83 and likely involves inflammatory, endocrine, neurological, and behavioral contributors. Importantly,
84 the strength changes in older adults are often accompanied by myosteatosis, an increase in
85 intramuscular adipose and connective tissue, along with the concomitant decrease in skeletal muscle
86 cross-sectional area (1,6). These changes in *muscle quality* (e.g., muscle tissue composition,
87 metabolic efficiency, or altered mechanics) may negatively impact functional performance in both
88 women and men. Moreover, increased myosteatosis has been shown to be associated with decreased
89 bone mineral density and lean body mass (LBM) in older women (7).

90
91 Diminished LBM, muscle tissue composition, and muscle performance, are significant contributors
92 to geriatric syndromes such as sarcopenia and frailty, and merit focused attention regarding
93 standardized assessment and rehabilitation intervention strategies. Despite the substantial clinical and
94 financial burden attributed to sarcopenia, it remains an under-diagnosed condition that is rarely
95 subject to a systematic screening process for older adults (8). The most commonly used LBM
96 criterion for sarcopenia staging is appendicular lean mass (aLM, also expressed as aLM/ht²), as
97 measured by dual energy X-ray absorptiometry (DXA) (9,10). However, due to space requirements
98 for DXA, initial equipment costs, body size constraints, and general barriers related to specialized
99 LBM assessment software and examiner training, DXA assessment of aLM is not an ideal measure
100 for large scale sarcopenia clinical trials, bedside assessment, or community health screening efforts.
101 Individual attributes such as age and sex are meaningful determinants of LBM, and alternative
102 anthropometric methods have been used to estimate LBM (11). In addition, BMI has been shown to
103 explain a significant proportion of the variance in LBM values (12). However, these alternative
104 estimates of LBM have limited utility as proxy measures, and the standard DXA examination does
105 not provide information concerning muscle quality.

106
107 The use of diagnostic ultrasound for body composition assessment has been explored in concurrent
108 validity studies involving DXA, hydrostatic weighing, and computed tomography (CT) imaging
109 (13,14). Also, sonographic characteristics of skeletal muscle have been associated with density values
110 from magnetic resonance imaging (MRI) (15) and hydrodensitometry (16) in Japanese adults. Unlike
111 DXA, but similar to magnetic resonance and CT imaging, diagnostic ultrasound may be used to
112 assess muscle quality via tissue characteristics. Muscle quality may be assessed via diagnostic
113 ultrasound due to the hyperechoic nature of the non-contractile tissue associated with myosteatosis
114 (17). The use of diagnostic ultrasound for muscle tissue characterization has also been successful in
115 the detection of various disorders such as Duchenne muscular dystrophy (18–21). Moreover, the
116 analysis of muscle tissue acquired via biopsy suggests that echogenicity is more strongly associated
117 with intramuscular adipose tissue rather than fibrosis (22). Consequently, diagnostic ultrasound may
118 be a practical alternative approach to the assessment of both muscle mass and muscle quality. While
119 there is some evidence to support the use of diagnostic ultrasound to estimate LBM (13,14,16), this
120 method of body composition analysis is not widely used for sarcopenia screening and staging.
121 Currently, diagnostic ultrasound is not identified as an accepted method to determine LBM by the
122 major international sarcopenia consensus groups (23–25). Therefore, the objectives of this pilot study
123 are to examine if a rapid assessment method via mobile diagnostic ultrasound augments well-known
124 determinants of LBM to aid sarcopenia staging, and if a sonographic measure of muscle quality is
125 associated with muscle performance.

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127
128

129 **Materials and Methods**

130 *Participants.*

131 Twenty community-dwelling women were enrolled for participation in the study at the George
132 Washington University (GW) Exercise Physiology Lab in Washington, DC. The study was approved
133 by the GW Office of Human Research Institutional Review Board, and registered with
134 Clinicaltrials.gov (NCT00303446). Signed informed consent was obtained from all study
135 participants prior to data collection. Inclusion criteria for study enrolment included being an
136 ambulatory female adult between the ages of 18 and 75 years of age. This sample of convenience
137 was stratified to include an equal number of people above and below the age of 55. Federal agencies
138 have identified the age range of 55 to 65 as a benchmark period to observe the emergence of age-
139 related health problems within U.S. populations (26). Absolute contraindications included pregnancy,
140 medical conditions that result in edema, and musculoskeletal or neurological disorders that are
141 associated with muscle atrophy. Relative contraindications were body size dimensions that would
142 preclude appropriate use of the DXA scanner. Participant demographics are summarized in Table 1.

143

144

145 *Procedures.*

146

147 The primary estimate of LBM was obtained via whole body DXA imaging using a GE Lunar iDXA
148 machine (GE Medical Systems Ultrasound & Primary Care Diagnostics, LLC, Madison, WI, USA).
149 A single trained DXA technician administered all DXA examinations using the GE Encore v15 SP2
150 software package for the LBM data acquisition and analysis. The body composition data collected
151 during the DXA examinations included estimates of absolute and percentage of total LBM, aLM/ht²,
152 and body fat percentage (BF%). The aLM values were calculated as the sum of LBM in the arms and
153 legs and scaled to height (aLM/ht²). Participant preparation and positioning for DXA was according
154 to the GE DXA machine manufacturer's manual and the GW Exercise Science Laboratory testing
155 procedures. DXA scans were obtained on the same day as the diagnostic ultrasound examination.
156 Similar DXA imaging equipment and examination procedures (27) have yielded reliable
157 measurement results (ICC = 0.97, $p < .0001$; CV = 5.5% for LBM) (28).

158

159 Sonographic estimates of LBM (aggregate muscle thickness, cm) and myosteatosis (echogenicity
160 levels expressed as grayscale values, 0-255) were obtained by a single trained and certified
161 sonographer. Image capture was completed using a portable, diagnostic ultrasound device (SonoSite
162 M-Turbo 1.1.2; SonoSite, Inc., Bothell, WA, USA) with a 13.6 MHz linear array transducer and B-
163 mode scanning. Ample amounts of water-soluble transmission gel was applied to the transducer in
164 order to maintain adequate acoustic contact with the skin surface. Minimal examiner pressure was
165 exerted during the scanning to attain sufficient image resolution while incurring nominal tissue
166 deformation. The unilateral (15) axial and appendicular sites included the midpoint of the upper
167 trapezius, upper pectoralis major, lateral deltoid, proximal forearm (mobile wad compartment), and
168 rectus femoris (dominant side only) as identified via palpation of surface anatomy and confirmed via
169 real-time sonography. Imaging was completed while the participants were seated with their feet on
170 the floor and upper arms relaxed and aligned with the trunk. Their elbows, hips, and knees were
171 positioned with approximately 90° of flexion. These anterior locations were determined by

172 considering accessibility during their future use with non-ambulatory patients, the targeted region of
 173 interest (ROI) relative to the ultrasound imaging window and depth, previous use in other
 174 investigations, or clear anatomical landmarks that aid the imaging process (29,30). All longitudinal
 175 view images were obtained and measured 3 times using digital calipers within the fascial borders of
 176 the muscle at the time of image capture, and the values were averaged prior to analysis. Acceptable
 177 intra-rater reliability (30,31) for diagnostic ultrasound assessment has been found for tests involving
 178 the thickness and cross-sectional area of the rectus femoris ($ICC_{3,2} = 0.72-0.99, p < 0.05$; $CV = 3.5\%$
 179 to 6.7%) and similar morphology measures for the trapezius have also been reported as reliable
 180 ($ICC_{3,3} = 0.88-0.96, p < 0.05$). Also, the investigators involved in this study demonstrated a CV of
 181 1.6% to 2.9% for material thickness measures across 6 raters using a calibration phantom (32) and
 182 high interrater reliability ($ICC_{2,k} = .992 - .996, p < .001$) for the assessment of echogenicity at the
 183 rectus femoris via grayscale histogram analysis (33).

184
 185 Additional assessments included hand grip dynamometry (Jamar, Lafayette Instruments, Lafayette,
 186 IN) using the mean value of 3 trials under standardized conditions (34). Grip strength is a frequently
 187 used impairment measure in studies concerning general muscle function and older adults (35), and
 188 the reliability of the Jamar dynamometer is suitable for clinical research settings ($ICCs = 0.97-0.98, p$
 189 < 0.01). Basic anthropometric measures such as height (cm) with a stadiometer and body mass (kg)
 190 with a balance scale were completed prior to body composition testing, and participants provided
 191 general information concerning racial/ethnic group identify, limb dominance (based on the stated
 192 preference for handwriting and kicking a ball), past medical history, alcohol intake (The Alcohol Use
 193 Disorders Identification Test, AUDIT-C) (36), health-related quality of life (The Health Assessment
 194 Questionnaire, HAQ) (37), and smoking behavior.

195
 196
 197 *Data Analysis.*

198
 199 Descriptive statistics are used to depict participant characteristics and the outcome measures, and
 200 data are expressed as means and standard deviations. The major outcomes in this study have normal
 201 data and variance distributions based on the Shapiro-Wilk and Levene's test, respectively, except for
 202 the ultrasound echogenicity grayscale values and BMI. These data are shown as median values with
 203 the interquartile range (IQR) and further analyses are completed using non-parametric statistics or
 204 $\log_{10}(x)$ data transformations (38). Inferential statistics include an analysis of relationships among
 205 the measures of body composition and muscle performance. Pearson product-moment correlation
 206 coefficients (PMCC, r), partial correlations ($r_{xy \cdot z}$), and Spearman's correlation coefficients
 207 (Spearman's rho, ρ) are used to assess the association between variables, and the strength of the
 208 association among the variables is based on Munro's criteria (39). Independent t-tests and Mann
 209 Whitney U tests are used to determine the difference among the variables based on the categorization
 210 of participants in "Normal LBM" and "Low LBM" subgroups. The LBM criterion is based on the
 211 Class I designation for sarcopenia in women ($5.76-6.75 \text{ kg/m}^2$) by Janssen and colleagues (5).

212
 213 Nested linear multiple regression with *a priori* variable selection is used to assess the presumed
 214 association of LBM with measures of body size, ultrasound morphometry measures of muscle
 215 thickness, and age. Significant improvements in the regression models are based of the change in F
 216 values derived from an analysis of variance (ANOVA). Stepwise multiple linear regression analysis
 217 is used to determine the association of muscle strength with LBM, echogenicity, body size, body fat
 218 (BF), and age. Data residuals are assessed for homoscedasticity and Cook's Distance scores are
 219 assessed to ensure that individual data are not disproportionately influencing the regression equation.
 220 Multicollinearity of the covariates is initially assessed through the review of a correlation matrix, and

221 then calculating the variance inflation factors (VIF), tolerance statistics (1/VIF), and the covariate
 222 dependency associated with each eigenvalue following the regression analysis (40). VIF values ≥ 10
 223 denote multicollinearity, and an average VIF > 1 or $1/\text{VIF} < .1$ prompts the review of the variance
 224 proportions associated with the eigenvalue dimensions for the final regression model. Covariate
 225 dependency observed within any eigenvalue dimension will also serve to confirm the presence of
 226 multicollinearity.

227

228 The construct of “strength” is represented by the averaged peak grip force values scaled to body
 229 weight given the well-known influence of body size on the expression on unadjusted strength values
 230 (kg of peak force/kg of body weight) (41,42). Echogenicity measures are expressed as median
 231 grayscale values (a unitless 0-255 scale, with higher values indicating more hyperechoic material) via
 232 image analysis using Adobe Photoshop® version 6 (Adobe Systems, Mountain View, CA, USA)
 233 (33). Total sample data and/or subgroup data were subject to analysis based on the nature of a given
 234 research question associated with the study objectives. Statistical analyses were performed using
 235 SPSS statistical software version 10.0 for Windows (SPSS Inc., Chicago, IL, USA). The α level was
 236 set at .05, and two-tailed p values $< .05$ were considered significant for all inferential statistics.

237

238

239 Results

240

241 *Participant characteristics*

242

243 Our sample includes 20 female participants with a mean age of 43.4 ± 20.9 years with a median BMI
 244 of 23.8 (IQR, 8.5) and a mean aLM/ht² of 6.96 ± 1.22 . Ratings of health-related quality of life via the
 245 HAQ were similar to those reported in population-based studies, no excessive alcohol intake was
 246 detected using the Audit-C questionnaire, and no participant reported a history of smoking (36,37).
 247 The assignment of participants to Normal LBM and Low LBM subgroups reveals that the Normal
 248 LBM subgroup exhibit higher BMI values ($p = .001$) and echogenicity levels ($p = .003$), but lower
 249 scaled grip strength values ($p = .017$) in comparison to the Low LBM group. Ultrasound estimates of
 250 LBM via aggregate total muscle thickness values significantly discriminate between the Normal
 251 LBM and the Low LBM subgroups ($p = .006$). All participant characteristics and demographic
 252 information are provided in Table 1.

253

254

255 *Using ultrasound muscle characteristics to improve predictors of lean body mass*

256

257 While ultrasound morphometry measures are independently associated with LBM ($.64, p = .002$), a
 258 multiple regression model using the aggregate ultrasound muscle thickness measures with estimates
 259 of body size and participant age provides the strongest association with DXA LBM values. The
 260 iterations of the linear regression model show that BMI alone is a predictor of aLM/ht² (adjusted R^2
 261 of $.61, p < .001$, using $\log_{10}(x)$ values for BMI). However, the model is significantly improved (ΔR^2
 262 = $.13, F(2, 17) = 32.5, p < .004$) with the addition of aggregate ultrasound muscle thickness
 263 (adjusted R^2 of $.77, p < .001$) and age ($\Delta R^2 = .08, F(3, 16) = 35.4, p < .007$) as predictor variables.
 264 The *a priori* regression model of BMI, ultrasound muscle thickness, and age yields an adjusted R^2 of
 265 $.85 (p < .001; \text{Table } 2)$. The partial correlations within this model show the strength of association
 266 between BMI and aLM/ht² ($r_{xy \cdot z} = .88$). Contributing predictor variables, ultrasound muscle thickness
 267 and age, exhibit a similar magnitude of association with aLM/ht² ($r_{xy \cdot z} = .58$ and $-.61$, respectively).
 268 In examining the potential presence of multicollinearity within the regression model, the 1/VIF was

269 .66-.76 and the VIF was 1.3-1.5. The variance proportions associated with the eigenvalue dimensions
 270 do not reveal covariate dependency. The highest regression coefficient variances observed across all
 271 eigenvalue dimensions are for BMI (.97) and age (.16) within eigenvalue dimension 4 of the final
 272 regression model.

273
 274
 275
 276

277 *Muscle quality estimates, body composition estimates, and peak force generation*

278

279 Estimates of muscle quality, proportion of total body fat, and age, but not LBM, are significantly
 280 associated with scaled peak force production. Peak force generation was represented by dominant
 281 limb grip dynamometry scaled to body weight in our sample (differences between dominant and non-
 282 dominant strength values were not significant; data not shown). Participant age and ultrasound
 283 echogenicity measured at the dominant limb rectus femoris are moderately associated with strength (r
 284 = -.69, $p = .001$, and $\rho = -.67$, $p = .001$, respectively). Considering the body composition measures
 285 obtained using DXA, percentage body fat (BF%) is moderately associated with scaled peak force (r
 286 = -.63, $p = .003$), but LBM as estimated with aLM/ht² is not ($r = -.34$, $p = .14$).

287

288 The bivariate linear regression model with age as a predictor of scaled peak force yields an adjusted
 289 R^2 of .39, $p = .002$. The addition of ultrasound echogenicity, as quantified with grayscale histogram
 290 analysis (using $\log_{10}(x)$ grayscale values), significantly improves the model ($\Delta R^2 = .16$, $F(2, 18) =$
 291 11.8 , $p = .017$). The multiple regression model with age and echogenicity as predictor variables
 292 accounts for approximately 53% of the variance in the scaled peak force values ($p = .001$; Table 3).
 293 The partial correlations within this model suggest that echogenicity may have a greater magnitude of
 294 association with scaled peak force ($r_{xy \cdot z} = -.52$) in comparison with participant age ($r_{xy \cdot z} = -.38$). The
 295 addition of other predictor variables associated with body size and body composition, such as BMI
 296 and BF%, only serve to diminish the integrity of regression model (F value decreases from 13.3 to <
 297 7.9 without a resultant increase in the adjusted R^2 value). Regression model diagnostics are negative
 298 for multicollinearity based on a $1/\text{VIF}$ of .62, a VIF of 1.6, and an absence of covariate dependency
 299 within the eigenvalue dimensions. Figure 1 depicts the scatterplot for scaled peak force and
 300 echogenicity expressed as grayscale values ($\log_{10}(x)$).

301

302

303 **Discussion**

304

305 Age-related muscle dysfunction may be marked by both a loss of LBM and diminished muscle tissue
 306 composition. While the assessment of muscle quality is not yet included in the staging algorithm for
 307 sarcopenia (24), intrinsic muscle characteristics beyond size are known to affect strength and
 308 contribute to mobility limitations (43,44). Mobile, diagnostic ultrasound has been proposed as a
 309 method to obtain estimates of muscle mass and muscle quality, while circumventing the constraints
 310 of traditional imaging modalities related to access, cost, and radiation exposure (45,46). The primary
 311 objectives of this study are to examine if diagnostic ultrasound muscle characteristics help to improve
 312 well-known determinants of LBM, and if the measurement of muscle quality via ultrasound
 313 echogenicity is associated with muscle performance.

314

315

316 *Diagnostic ultrasound and LBM estimates: improving on available clinical information*

Standard clinical information such as age and BMI are significantly associated with LBM, but fall short of full consideration as proxy measures. Our data is consistent with the findings of a larger study conducted by Iannuzzi-Sucich and colleagues (12) who determined that BMI independently accounts for approximately 50% of the variance in aLM/ht². Also, Goodman and associates (47) have used logistic regression models with factors for BMI and age to identify older men and women with low aLM/ht² based on data culled from the National Health and Nutrition Examination Surveys database (1999 to 2004) and comparisons with a young cohort reference group. In this study, we have used a conceptual aLM/ht² prediction model based on BMI, age, and a direct measure of muscle morphometry via diagnostic ultrasound. The general use of BMI remains problematic (11,48) concerning the misclassification of very fit individuals as “overweight”, its potential overestimate of obesity rates in African Americans, and the wide range of BF% levels attributed to people with a BMI range between 20 and 30. However, the value of retaining BMI within the proposed aLM/ht² prediction model is its significant association with LBM in many patient populations, and its representation of body size which serves to provide a scaling factor for the aggregate muscle thickness values obtained via sonography. An additional potential benefit of using diagnostic ultrasound data for an aLM/ht² prediction model, and during the general sarcopenia assessment process, is the viable opportunity to integrate estimates muscle quality into the sarcopenia staging algorithm. The development of valid predictive models of LBM still remains an important goal concerning the staging of sarcopenia and the monitoring of other chronic conditions. Indeed, low LBM and muscle performance constitute health concerns that may act as independent mortality risk factors (49). Nevertheless, muscle quality may surpass muscle mass as a contributor to age-related decreases in muscle strength and power, and negatively impact functional independence (50–52). Additional investigation will be needed to refine the operational definitions of muscle quality and to understand how to best incorporate this muscle characteristic into the sarcopenia syndrome framework.

342
343

344 *Muscle quality should not be ignored as a component of the sarcopenia syndrome*

345

Older adults categorized as mildly overweight based on their BMI are less likely to develop sarcopenia using LBM as the criterion (53). Individuals that are mildly overweight may exhibit a protective effect against muscle loss and maintain functional independence as they age despite a concomitant increased risk for cardiovascular disease and other systemic disorders (54). Indeed, BMI significantly ($p = .001$) discriminates between participants in this study assigned to the Normal LBM subgroup ($> 6.75 \text{ kg/m}^2$) and Low LBM subgroup ($5.76\text{-}6.75 \text{ kg/m}^2$). The Normal LBM subgroup has a mean LBM value of $7.92 \pm .88 \text{ kg/m}^2$ and a BMI of 28.8 (IQR, 9.4), whereas the Low LBM subgroup has a mean LBM value of $6.00 \pm .55 \text{ kg/m}^2$ and a BMI of 21.5 (IQR, 3.1). Therefore, the Normal LBM subgroup appears to reflect previously published findings concerning the LBM sparing effect of higher relative body weight levels. Nevertheless, the Normal LBM subgroup also exhibits *lower* scaled peak force values and *higher* echogenicity values in comparison to the Low LBM subgroup (Figure 2). The women assigned to the Low LBM subgroup are classified as having “healthy body weight” per the BMI designation, and they also have a lower proportion of total body fat, higher relative strength levels based on grip dynamometry, and better estimates of muscle quality (i.e., 35% lower echogenicity levels in comparison to the Normal LBM subgroup; Table 1).

361

While forms of muscle quality are not part of the current sarcopenia staging algorithm, the concept remains useful for examining contributing factors to muscle performance. Muscle quality in sarcopenia studies is sometimes expressed as peak force generated from a single testing maneuver

364

365 scaled to regional DXA estimates of muscle mass (55,56). Scaling net muscle force production
366 relative to muscle mass or body mass allows one to compare strength within a heterogeneous sample
367 regarding body stature, and account for the effect of body size on strength-function relationships
368 (41). Recently, the investigators involved in the Foundation for the National Institutes of Health
369 (FNIH) Sarcopenia Project examined grip strength cut points related to mobility limitations.
370 Although they opted to affirm the use of absolute strength values in a manner similar to other
371 international sarcopenia consensus groups (24), they did note the modest improvements in the model
372 equations for women within their pooled cross-sectional sample when using grip strength scaled to
373 BMI (57). While, the aforementioned scaling approach has been termed “specific force” in previous
374 studies (55,56), there may be important distinctions between scaling factors and specific force that
375 merit consideration. Specific force has traditionally been determined by calculating muscle strength
376 relative to whole muscle cross-sectional area (CSA), and is usually depicted as a simple linear
377 relationship that may have some validity in unipennate muscles with fairly uniform architecture.
378 However, the assumptions of specific force derived from CSA estimates do not apply to the vast
379 majority of muscle groups. Consequently, specific force is often formally expressed as the quotient
380 of muscle force and physiologic cross-sectional area (PCSA), which incorporates aspects of muscle
381 architecture such as muscle fiber length and pennation angle (58–60). Additional intrinsic factors
382 such as moment arm length, muscle fiber type, muscle action mode, bioenergetics, excitation-
383 contraction coupling, and muscle tissue composition act to influence specific force. Furthermore,
384 factors extrinsic to the muscle – but inextricably linked with net force production – include sufficient
385 cortical excitability, the integrity of pyramidal neurons, the synchrony and rate coding of alpha motor
386 neurons, and the impact of age-related motor neuron loss (61,62). Given the varied physiological
387 factors that govern muscle performance, these insights imply that the use of specific force to
388 represent muscle quality has important constraints. Rather, the calculation of specific force could be
389 considered as one of many impairment-level outcomes that are responsive to changes in muscle
390 quality and other facets of the neuromuscular milieu.

391
392 In this report, muscle quality is operationally defined as muscle tissue echogenicity which serves as a
393 proxy measure for tissue composition (17,22). The rationale for considering diminished tissue
394 composition as a major indicator of age-related muscle changes is partially validated through the
395 significant inverse relationship between scaled peak force and echogenicity observed in our data
396 (Figure 1). Given that LBM did not have a meaningful association with scaled peak force, and that
397 age and echogenicity accounted for approximately 50% of the variance in strength levels, our pilot
398 data allows for the consideration of additional intrinsic and extrinsic muscle factors contributing to
399 the observed strength levels within the sample.

400

401

402 *Study implications and limitations*

403

404 The findings from this study suggest that diagnostic ultrasound may be used in combination with
405 readily available clinical information to estimate LBM. Although the models derived from the data
406 must be considered exploratory given the limited sample size, the *a priori* explanatory variables lend
407 strength to our general approach (40). While the coefficients used in the regression equations may
408 change substantially during validation with a larger sample and with the inclusion of male subjects,
409 we hypothesize that the explanatory variables of BMI, ultrasound muscle thickness, and age will
410 retain their value within the model. Use of the Class I designation for sarcopenia in women (i.e.,
411 $5.76\text{--}6.75\text{ kg/m}^2$) is appropriate for our participants given their relatively high level of physical
412 functioning, and serves as an approach to discriminate meaningful body composition differences
413 within the sample (5). More stringent LBM criterion values, such as those ascribed to the Class II

414 sarcopenia designation or the FNIH sarcopenia staging algorithm, yield lower prevalence values (63)
415 and may be more suitable for population-based studies with a sufficient representation of participants
416 with a high degree of physical impairment.

417
418 Muscle echogenicity was significantly associated with peak muscle force in our sample. It is
419 important to note that the sonographic morphology measures used for the proxy muscle tissue
420 composition estimates were obtained at the rectus femoris. The selection of the rectus femoris for
421 echogenicity assessment is influenced by its favorable architecture and uniform geometry in the
422 longitudinal orientation during scanning. Previous observations confirm that echogenicity of skeletal
423 muscles vary with their location within the body, with muscle groups within the lower compartment
424 of the leg having higher echogenicity in comparison to selected upper body muscle groups (45,64).
425 We hypothesized that while skeletal muscles have differing levels of echogenicity based on their
426 location and metabolic profile, age-related changes in muscle tissue composition would be systemic
427 and result in a broad increase in echogenicity across muscle groups. This proposed phenomenon is
428 partially supported by our findings in this study concerning the observed significant relationship
429 between echogenicity at the rectus femoris with peak grip force. Just as grip strength has been used
430 as a global measure that may be significantly associated with knee extension strength and general
431 physical performance in older adults (65,66), echogenicity at the knee extensors may be a general
432 indicator of muscle quality that is inversely related with grip strength and general measures of muscle
433 performance. For example, our preliminary data (67) involving a group of older men suggest that
434 echogenicity levels at the rectus femoris are significantly related to scaled peak grip strength, walking
435 speed, and the timed sit-to-stand test ($r = -.30$ to $-.71$, $p < .05$). Further study will be needed to better
436 understand the effect of sexual dimorphism on the age-related changes in muscle tissue composition
437 as assessed with sonographic proxy measures. Also, larger follow up studies will be needed to
438 explore the risk of incident mobility limitations and physical disability based on muscle quality
439 estimates as described in this work.

440
441 Investigators have also reported findings that suggest that changes in muscle tissue composition may
442 differentially affect people of African descent (7,68,69). Both advancing age and BF% may be
443 associated with adverse changes in muscle tissue composition. However, high levels of intramuscular
444 adipose tissue in African Americans may be observed in those classified as having “healthy body
445 weight” based on their BMI, and be independent of central adiposity (69). Individuals with this type
446 of muscle tissue composition profile may have associated health problems that include metabolic
447 dysfunction or diminished muscle performance, and yet not meet the staging criteria for sarcopenia.
448 Indeed, there is some evidence to suggest that African Americans may have a lower prevalence of
449 sarcopenia in comparison to non-Hispanic Whites (70). We do not have a sufficient sample size to
450 subject our racial/ethnic group data to inferential analysis. However, we observed that none of our
451 African American or Hispanic participants are in the Low LBM subgroup (Table 1). These 6
452 participants are in the Normal LBM subgroup which is characterized by higher mean BMI and
453 median echogenicity values in comparison to the Low LBM subgroup. Other limitations in this work
454 related to the modest sample size include the departures from normality related to the distribution of
455 the BMI and grayscale values which was addressed via data transformation. Also, the constraints of
456 standard diagnostic ultrasound imaging did not allow for us to obtain the additional measures of CSA
457 or PSCA at the mid-thigh. While grip dynamometry is the recommended means of strength testing
458 according to the leading sarcopenia consensus organizations (10,23,25), the study findings may have
459 been enhanced by obtaining estimates of lower extremity muscle performance.

460

461 It remains to be seen if screening for age-related changes in muscle quality may be effectively used to
 462 modify the risk of developing chronic disease and disabling conditions related to musculoskeletal
 463 health. In addition, the benefits of diagnostic ultrasound to characterize skeletal muscle have to be
 464 considered with the shortcomings of the imaging modality related to equipment access, examiner
 465 training, limited normative datasets, and the inter-machine equivalence of echogenicity values (46).
 466

467

468 **Conclusions**

469

470 Diagnostic ultrasound may provide a clinically viable means to assess both muscle mass and muscle
 471 quality. Our study findings indicate that a conceptual aLM/ht² prediction model based on BMI, age,
 472 and a direct measure of muscle morphometry via diagnostic ultrasound, accounts for 85% of the
 473 variance in DXA LBM values for our sample. Moreover, our data suggest that age and muscle
 474 echogenicity, are significantly associated with scaled peak force production in the women that
 475 participated in our study. In contrast, DXA LBM is not significantly associated with scaled peak
 476 force generation in our participants. The higher total BF% of the Normal LBM subgroup may have
 477 conferred a protective effect against low muscle mass, but not myosteatosis. The women in the
 478 Normal LBM subgroup exhibit higher BMI values and echogenicity levels, but lower scaled peak
 479 force values in comparison to the Low LBM group. Follow up studies should include validation of
 480 the aLM/ht² prediction model, and the integration of ultrasound estimates of muscle quality into the
 481 sarcopenia staging algorithm.

482

483

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489

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701 **Tables**

702

703 **Table 1. Participant characteristics.**

704

705

Subject Characteristics	All subjects (N = 20)	Normal LBM (N = 10)	Low LBM (N = 10)	Sig.
Age (yrs)	43.4 ±20.9	47.9 ±21.3	39.0 ±20.4	.351
BMI [†]	23.8 (8.5)	28.8 (9.4)	21.5 (3.1)	.001
aLM/ht ² (kg/m ²)	6.96 ±1.22	7.92 ±.88	6.00 ±.55	<.001
Grip strength (kg _F /kg _{BW})	.392 ±.089	.345 ±.095	.438 ±.054	.017
Muscle thickness (cm)				
Trapezius	1.20 ±.19	1.27 ±.20	1.12 ±.15	.076
Brachioradialis	1.95 ±.35	2.06 ±.40	1.84 ±.27	.170
Deltoid	2.29 ±.53	2.54 ±.48	2.04 ±.45	.031
Pectoralis major	.78 ±.23	.85 ±.28	.70 ±.15	.163
Rectus femoris	2.17 ±.54	2.34 ±.57	2.00 ±.48	.157
Total muscle thickness (cm)	8.39 ±1.18	9.07 ±1.12	7.70 ±.81	.006
Echogenicity ^{†‡}	47.50 (23.00)	58.50 (21.00)	38.00 (17.00)	.003
Racial/ethnic group				
Caucasian	9 (45.0%)	3 (30.0%)	6 (60.0%)	-
African American	4 (20.0%)	4 (40.0%)	0 (0.0%)	-
Hispanic	2 (10.0%)	2 (20.0%)	0 (0.0%)	-
Asian	5 (25.0%)	1 (10.0%)	4 (40.0%)	-
HAQ	.45 ±1.10	.50 ±.97	.40 ±1.27	.605
Audit-C [†]	2.0 (2.0)	2.0 (2.0)	2.0 (2.0)	.586

706 LBM, lean body mass; sig, significant; BMI, body mass index; aLM/ht², appendicular lean mass scaled to
 707 height; F, force; BW, body weight; HAQ, The Health Assessment Questionnaire; Audit-C, The Alcohol Use
 708 Disorders Identification Test.

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710 Data expressed as means (± standard deviation); statistically significant differences between the Normal LBM
 711 subgroup and the Low LBM subgroup were determined using the independent t-test (*p* < .05).

712 [†]Data expressed as medians (interquartile range); statistically significant differences between the Normal
 713 LBM subgroup and the Low LBM subgroup were determined using the Mann Whitney U test (*p* < .05).

714 [‡]Echogenicity is expressed via grayscale values (0-255).

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Table 2. Regression model for aLM/ht². The linear regression model features lean body mass obtained from dual energy X-ray absorptiometry (DXA) as the dependent variable and the body mass index (BMI) with the aggregate muscle thickness value (US) and age as predictor variables.

Model	<i>r</i>	<i>R</i> ²	Adjusted <i>R</i> ²	Std. Error of the Estimate	<i>F</i>	Sig.
1	.81	.66	.61	.731	35.1	<.001
2	.89	.79	.77	.588	32.5	<.001
3	.93	.87	.85	.482	35.4	<.001

722 This *a priori* model utilized a nested linear, multiple regression model with forward entry – Predictors: 1)
723 log₁₀(BMI); 2) log₁₀ (BMI) + aggregate muscle thickness (via ultrasound, cm); 3) log₁₀ (BMI) + aggregate muscle
724 thickness (via ultrasound, cm) + age (years). Dependent Variable: DXA lean body mass (aLM/ht²). Model 3: \hat{Y}
725 = -9.078 + 10.210(log₁₀ (BMI)) + .302(US) + -.019(age).

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Table 3. Regression model for grip strength. The linear regression model features peak force obtained via grip dynamometry and scaled to body weight as the dependent variable and subject age and ultrasound echogenicity as estimated via grayscale analysis as the predictor variables.

Model	<i>r</i>	<i>R</i> ²	Adjusted <i>R</i> ²	Std. Error of the Estimate	<i>F</i>	Sig.
1	.65	.42	.39	.068	13.26	.002
2	.76	.58	.53	.059	11.75	.001

739 This model utilized a nested linear multiple regression with forward variable entry – Predictors: 1) age; 2) age
740 + log₁₀ (echogenicity via grayscale). Dependent Variable: grip strength (scaled to body weight; dominant
741 side). Model 2: \hat{Y} = .969 - .306(log₁₀ (echogenicity using grayscale values)) - .001(age).

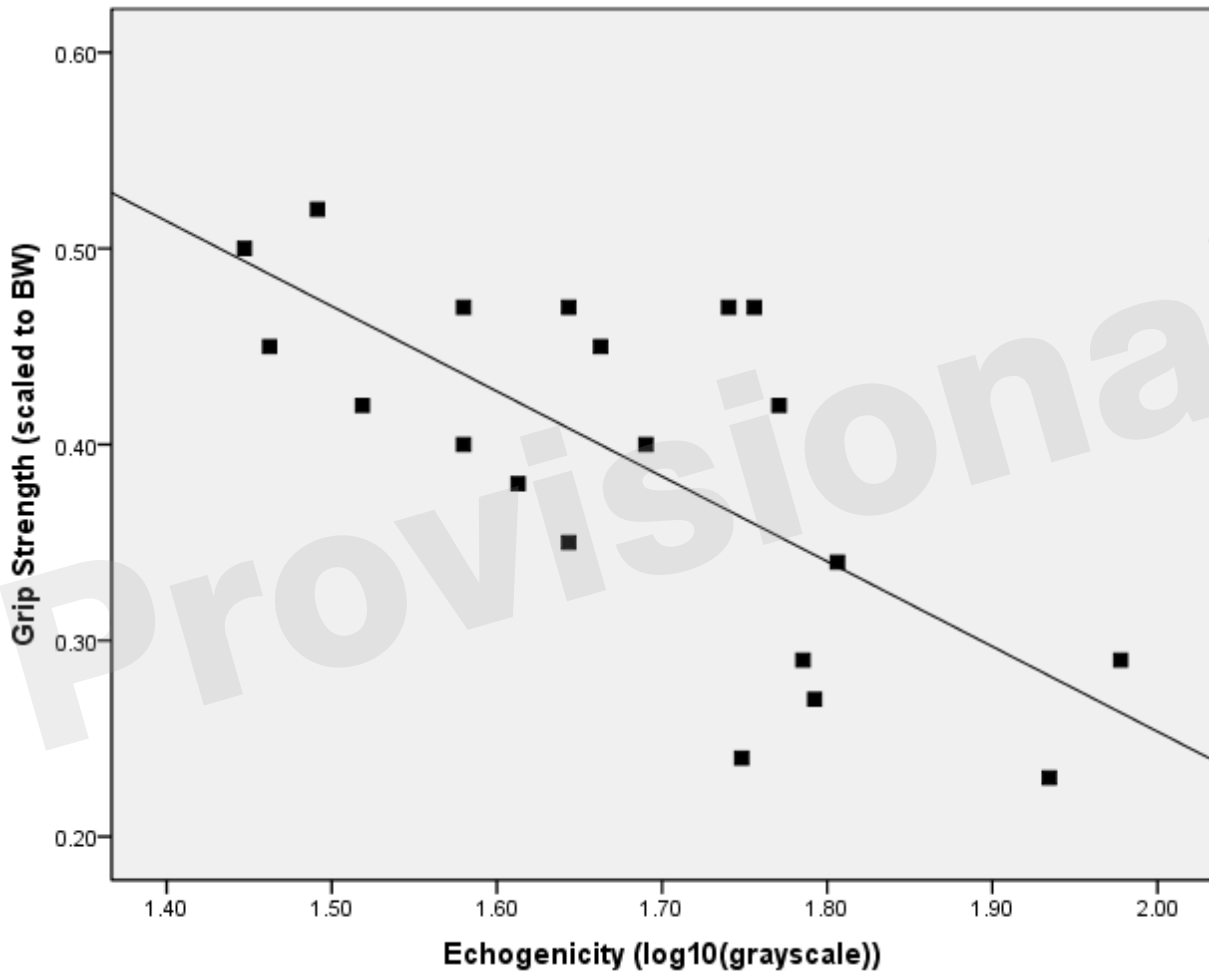
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744 **Figure Legends**

745 **Figure 1: Bivariate relationship between grip strength and muscle echogenicity.** The scatterplot
 746 depicts the inverse relationship between grip strength (peak force scaled to body weight) and muscle
 747 quality as measured via grayscale histogram analysis of the rectus femoris echogenicity.

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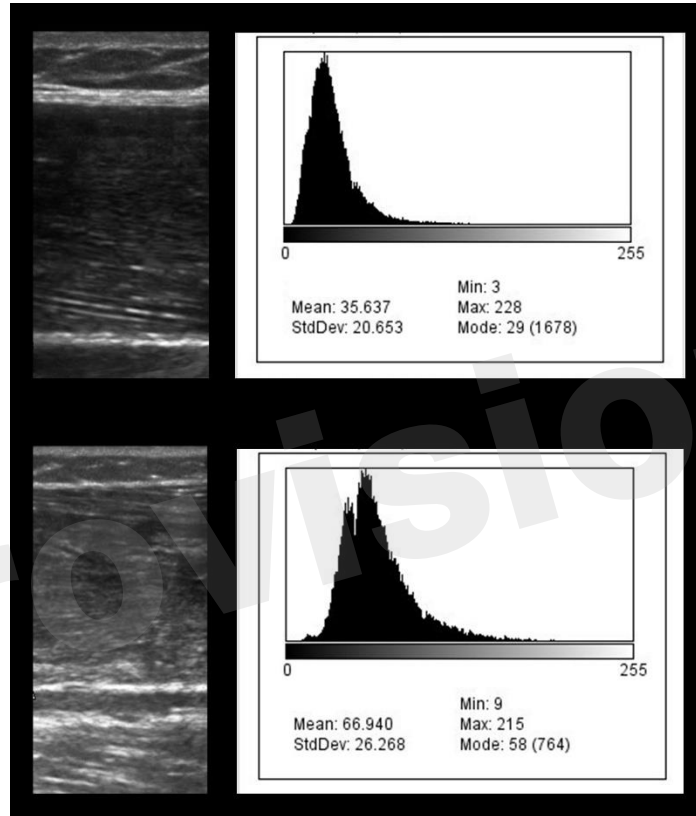


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751 **Figure 2: Diagnostic ultrasound image of the rectus femoris region of interest and the**
 752 **corresponding grayscale histogram analysis values.** The exemplar images depict the diagnostic
 753 ultrasound transverse muscle images on the left and the grayscale histograms on the right. The
 754 bottom ultrasound image shows greater hyperechoic properties in comparison to the top image. The
 755 comparatively hyperechoic image characteristics of the bottom image correspond to grayscale
 756 histogram data with a wider distribution and a shift to the right which is associated with larger
 757 grayscale values. The grayscale value of the bottom image is 66.9 and may indicate a greater
 758 proportion of intramuscular adipose tissue in comparison to the top image (grayscale value, 35.6).

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Figure 1.JPEG

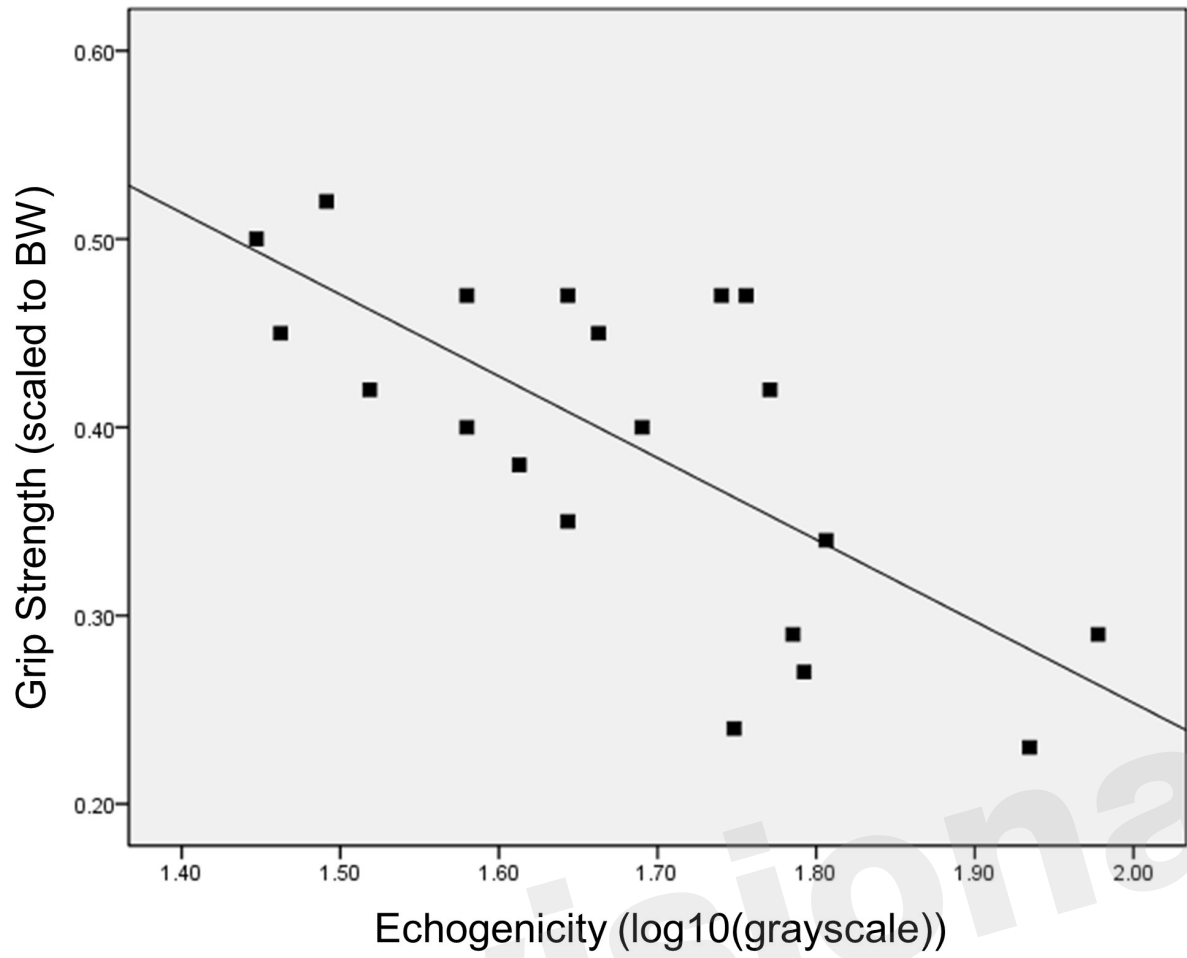


Figure 2.JPEG

