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Winter 1-1-2008

## Lecture 9, Part 1: Nucleation, growth and transparent glass-ceramics - Nucleation theory in glass

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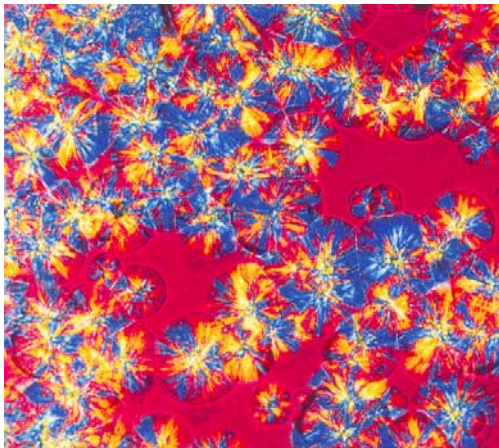
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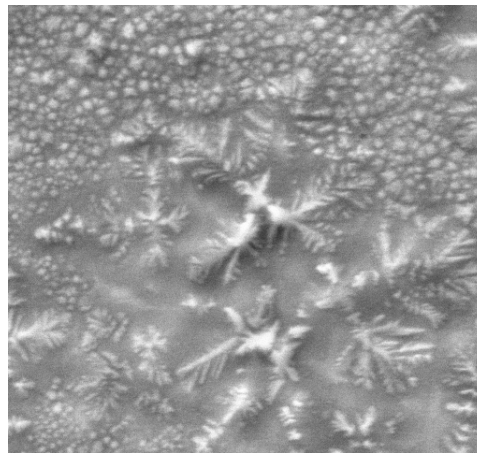
# Crystal Nucleation in...

POLYMER



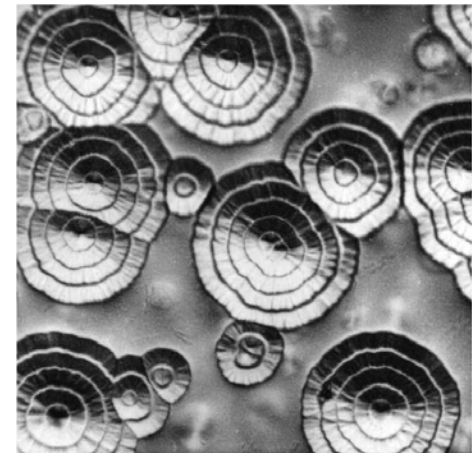
**Polypropilene**

METALLIC



**Zr<sub>55</sub>Cu<sub>30</sub>Ni<sub>5</sub>Al<sub>10</sub>**

& INORGANIC GLASSES



**Na<sub>2</sub>O·2CaO·3SiO<sub>2</sub>**

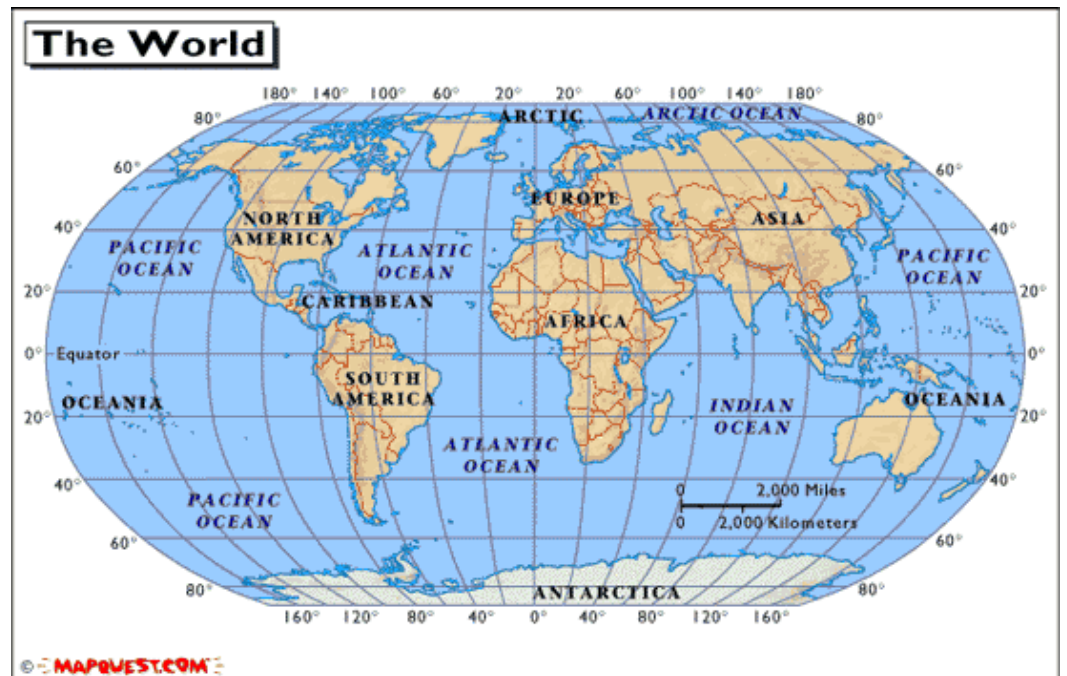
**Edgar Dutra Zanotto & Marcio L. F. Nascimento**

**Vitreous Materials Laboratory  
Federal University of São Carlos, Brazil**

[www.lamav.ufscar.br](http://www.lamav.ufscar.br)



# Vitreous Materials Lab., Fed. Univ. São Carlos



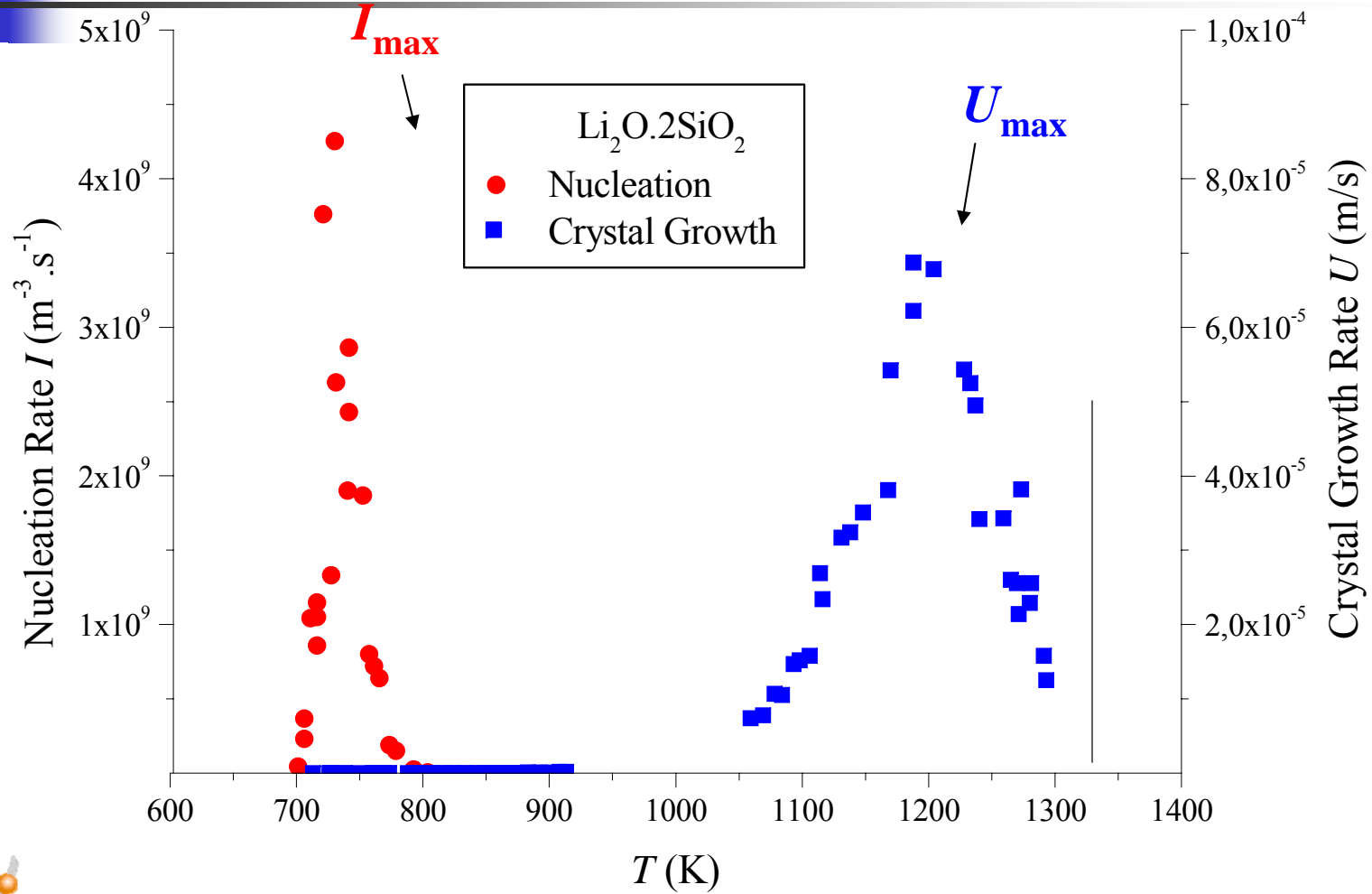


# Objective

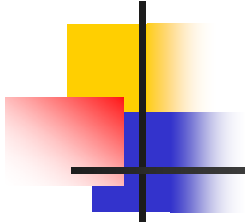
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To discuss the validity and utility of the CNT using relevant findings on crystal nucleation in **deeply undercooled liquids** reported in the last 50 years...

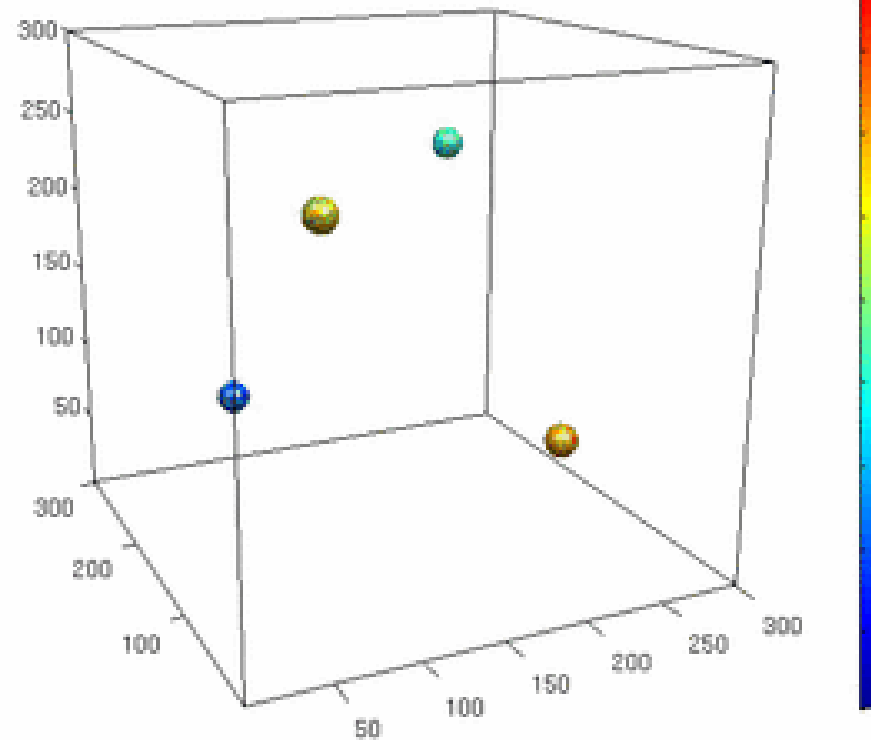
# Crystal nucleation and growth rate curves



# Simulation of nucleation and growth

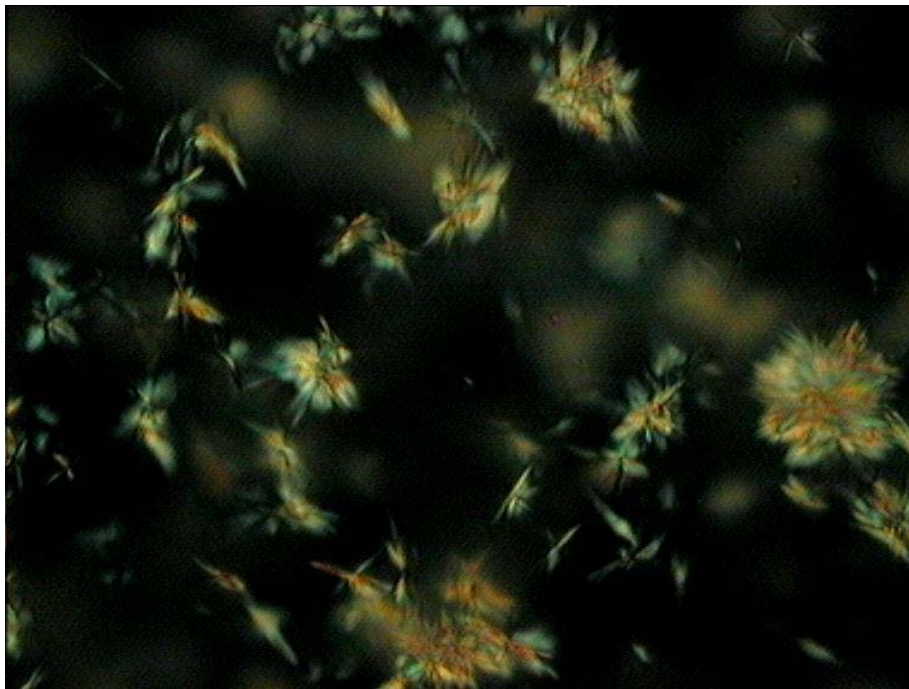


T. Pusztai, G. Bortel, L. Gránásy,  
*Europhys. Lett.* **71** (2005)



Courtesy of László Gránásy

# Real nucleation and growth in PDMS



**63°C,  $\Delta T=6\text{min}$ . Surface nucleation**



**63°C,  $\Delta T=4\text{min}$ . Internal nucleation**



# Outline

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i) The **CNT**: **Theory** and **tests** in the last **50 years**

a) the diffusion mechanism ?

b) surface energy =  $f(T, \text{size})$  ?

c) metastable phases ?

d) what is next ?

ii) How useful is **CNT** to the understanding of glass-formation and to the development of GC ?





# Importance and motivation

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- If crystallization is averted on the cooling path any liquid can vitrify to a **glass**;
- The development of useful **glass-ceramics** with designed micro/nano structures...

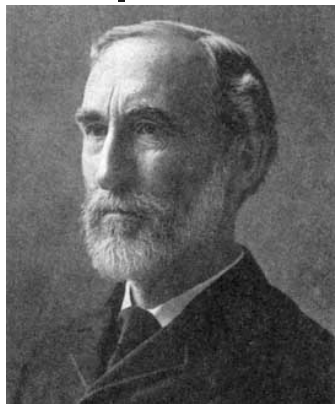


# CNT

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Theory and tests in the  
last 50 years

# CNT Researchers Gallery



Josiah W. Gibbs



Iwan Stranski



Gustav Tammann



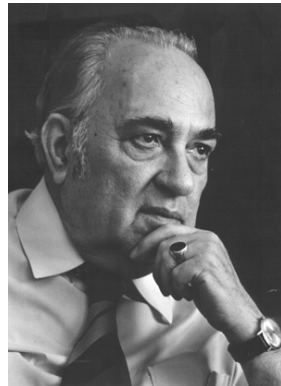
Yakov Frenkel



Ladislau Farkas



Max Volmer



Rostislav Kaischew



Yakov Zeldovich



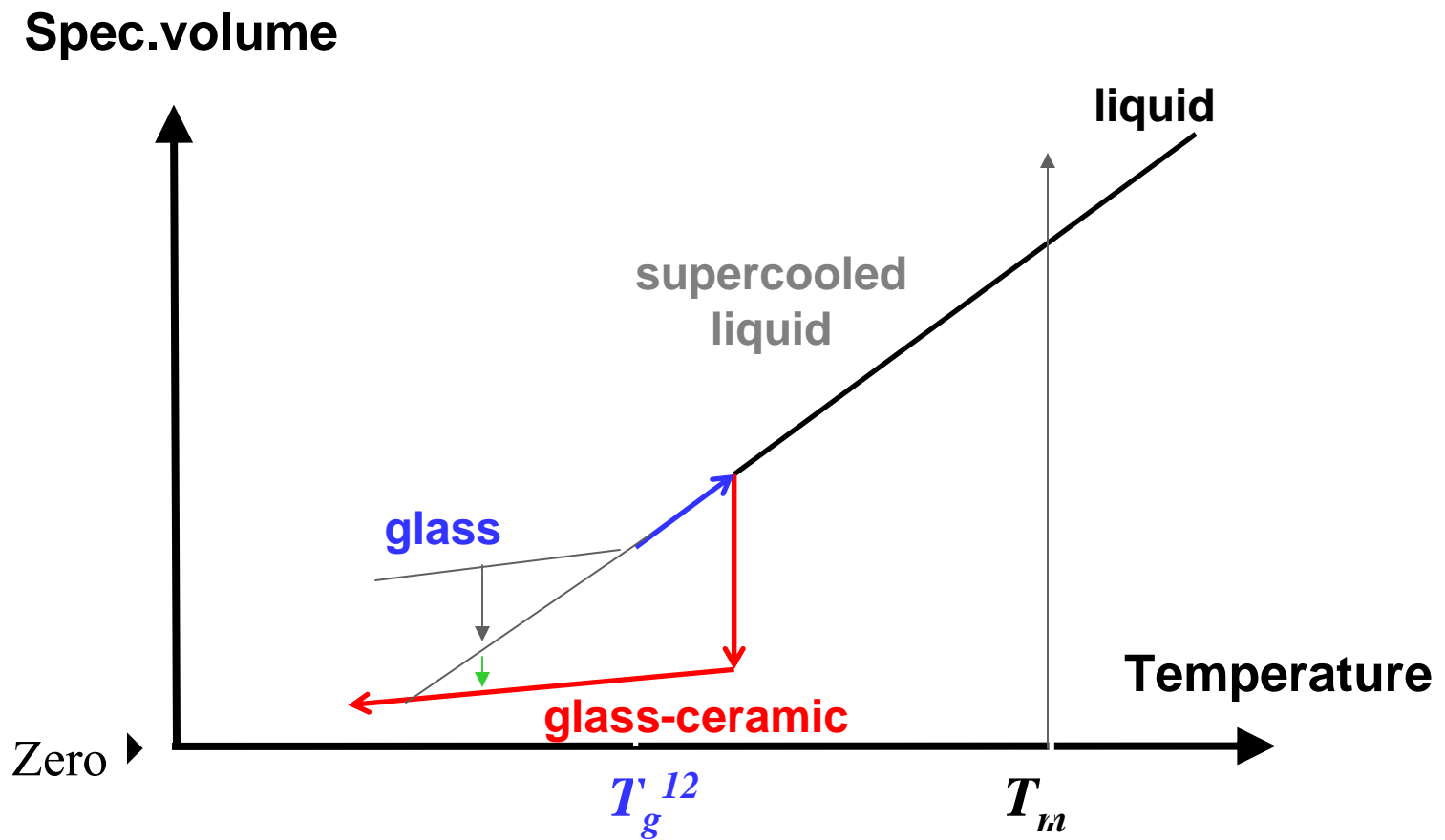
Richard Becker



David Turnbull

Undercooling viscous liquids followed by isothermal crystallization

Treatment of glasses at deep undercoolings – direct measurement of  $I$





# Types of nucleation

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- **Homogeneous:** spontaneous formation from the melt; any volume element of the undercooled liquid is equally prone to nucleation;
- **Heterogeneous:** nuclei form preferentially on a 'foreign' surface: solid impurities, crucible walls, bubbles, seeds, etc.



# CNT: Critical Nucleus Size

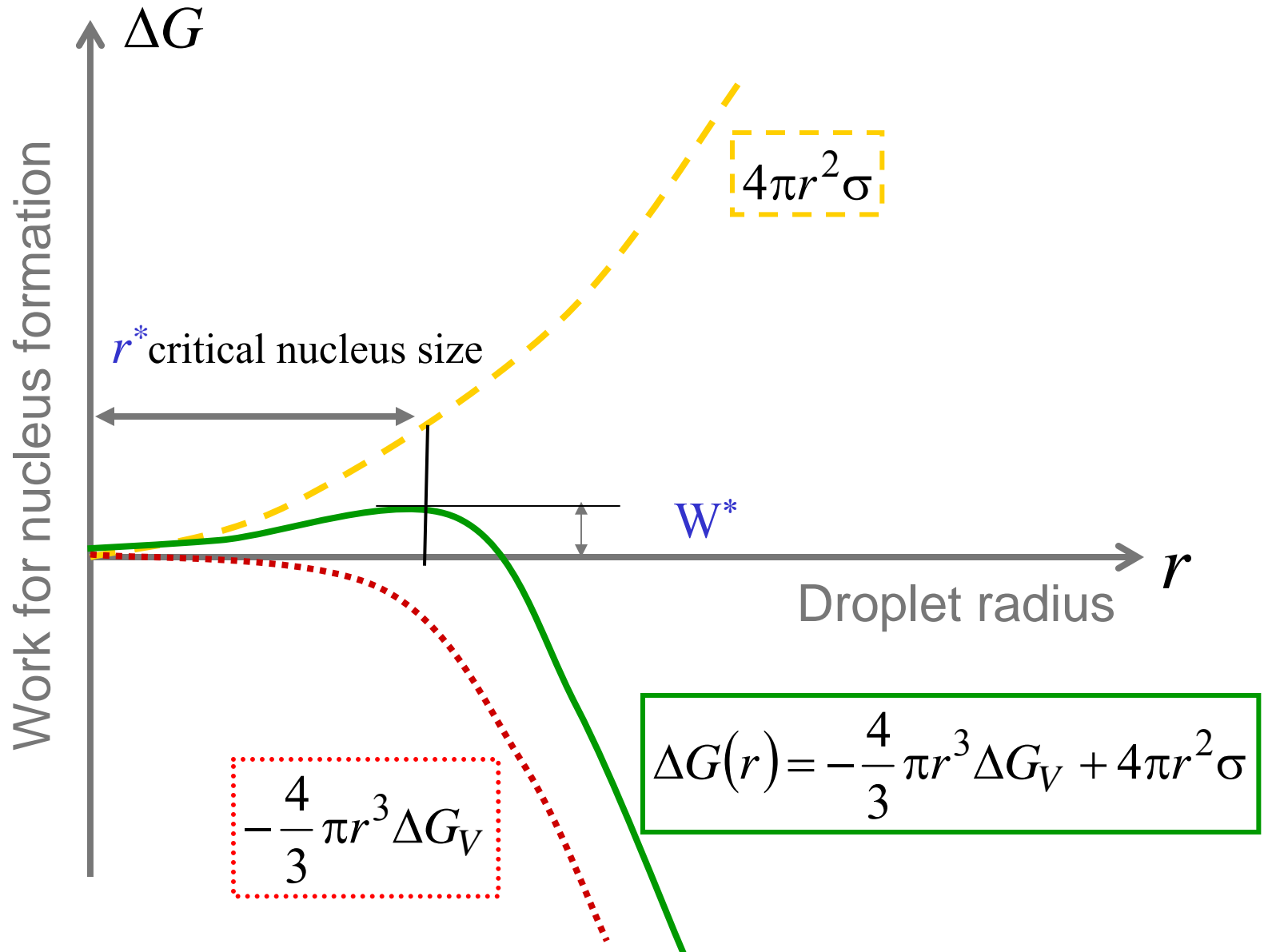
$$\Delta G = -\frac{4}{3}\pi r^3 \Delta G_V + 4\pi r^2 \sigma$$

$$\frac{\partial \Delta G}{\partial r} = -4\pi r^2 \Delta G_V + 8\pi r \sigma$$

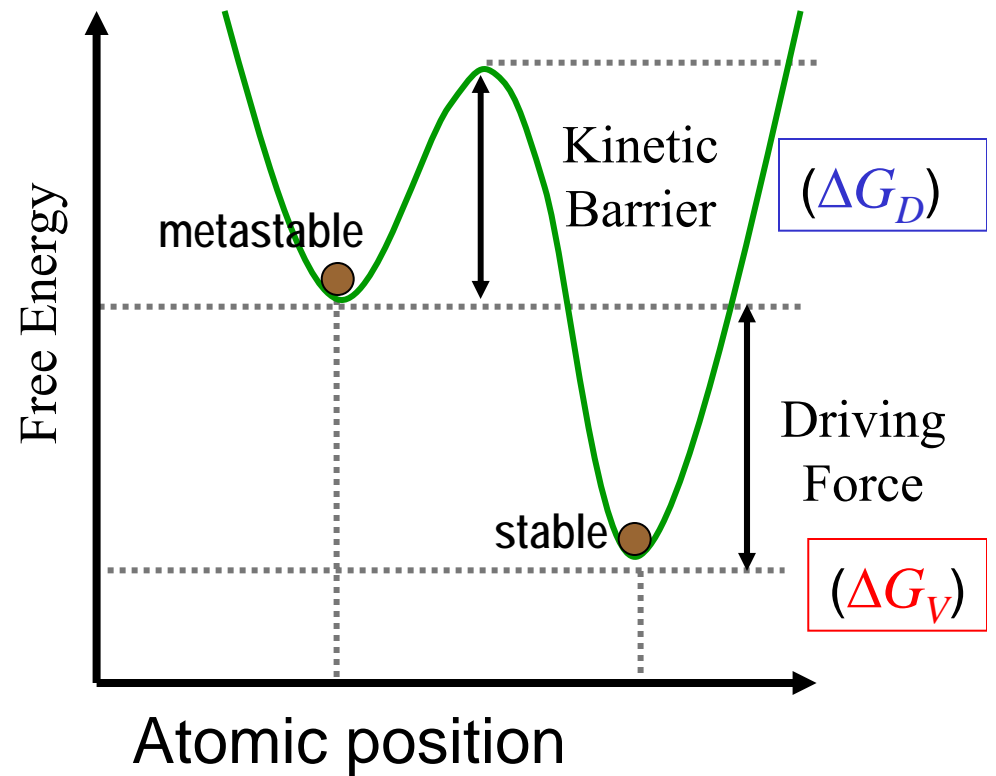
$$\frac{\partial \Delta G}{\partial r} = 0, \quad \text{so} \quad r_{\text{critical}} = \frac{2\sigma}{\Delta G_V} = r^*$$

$$\Delta G_{\text{critical}}^* = \frac{16\pi\sigma^3}{3(\Delta G_V)^2} = \Delta G^* \quad W^*$$

# Work of Formation of a Spherical Nucleus, $W^*$



# CNT





# CNT: Expression and main assumptions

- Homogeneous nucleation of the stable phase
- Driving force = that of a stress free macroscopic crystal;  $\Delta G(T) = \Delta G_0(T)$
- Interfacial energy = independent of nucleus size ( $R$ ) and temperature,  $\sigma = \sigma_0$

$$I \approx v_0 \exp\left(-\frac{\Delta G_D}{k_B T}\right) n_V \exp\left(-\frac{W^*}{k_B T}\right) \quad [\text{m}^{-3} \cdot \text{s}^{-1}]$$

Transport

Thermodynamic

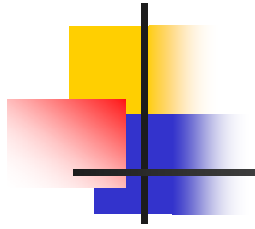
If molecular rearrangements controlled by viscous flow & the SE eq. is valid

$$D \approx \frac{k_B T}{3\pi\lambda\eta}$$

$$W^* = \frac{K\sigma_0^3}{\Delta G_V^2}$$

$$\sigma \neq \sigma(R, T)$$

$$\Delta G(T) \neq \Delta G(R, T)$$



- Let us then test CNT's predicting power

Peter James



# CNT test

$$I = K_1 \frac{T}{\eta} \exp\left(-\frac{W^*}{k_B T}\right) \quad W^* = \frac{K\sigma_0^3}{\Delta G_V^2}$$

Using experimental  $I(T)$ ,  $\eta(T)$  and  $\Delta G_V(T)$

$\ln(I \cdot \eta / T)$  vs.  $1/(T \cdot \Delta G_V^2)$  should give a **straight** line:

Intercept =  $K_1$

Slope =  $\sigma_0$  (unknown)  $\sigma_0 \sim \alpha \cdot \Delta H_m$

P. F. James: *Advances in Ceramics* 4 (1982)

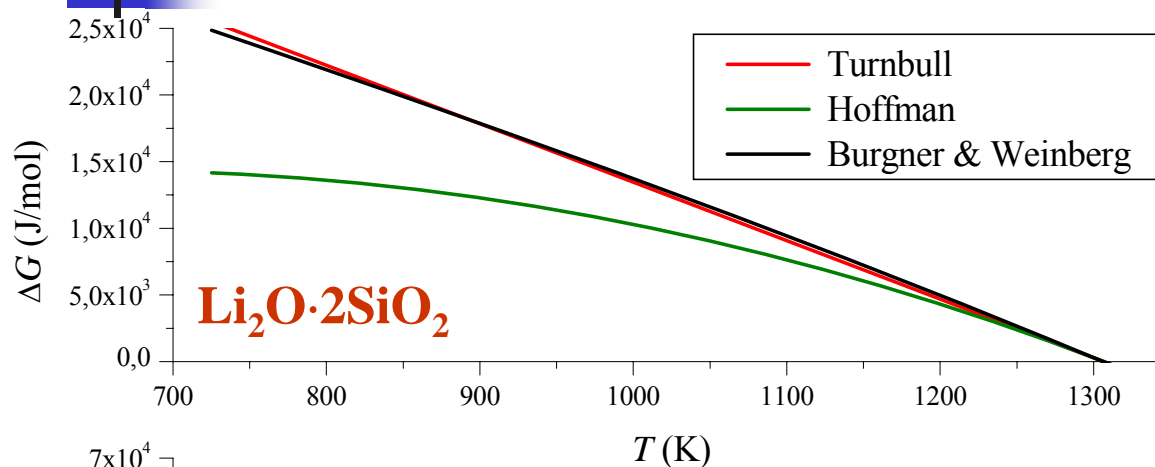
# Parameters needed to test CNT

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- **Viscosity (T) & I(T)**  
... in the same temperature range using  
a glass of the same batch/ melting  
operation!
- **Deltha G (T) – measured or  
calculated (see next slide)**

# Thermodynamic driving force

John Hoffman

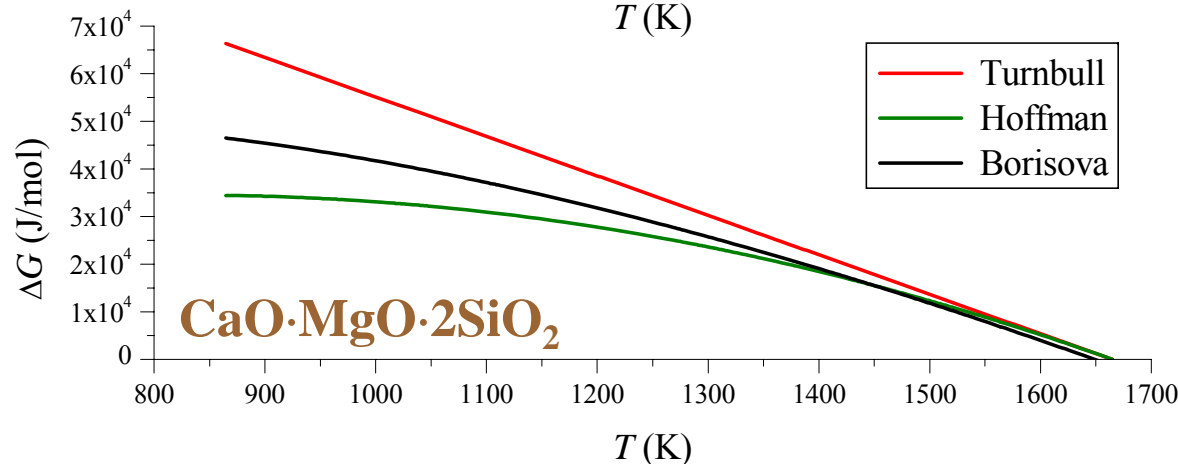


Turnbull

$$\Delta G = \frac{\Delta H_m \Delta T}{T_m}$$

Hoffman

$$\Delta G = \frac{\Delta H_m \Delta T T}{T_m^2}$$



Comparison of measured Gibbs free energies  $\Delta G$  and approximations of Turnbull and Hoffman for **L<sub>2</sub>S** and **diopside** in wide range of temperatures.

The expressions of **Turnbull** and **Hoffmann** bound the experimental  $\Delta G$



# Tests of CNT

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David Turnbull



## Homogeneous nucleation in supercooled liquid metals

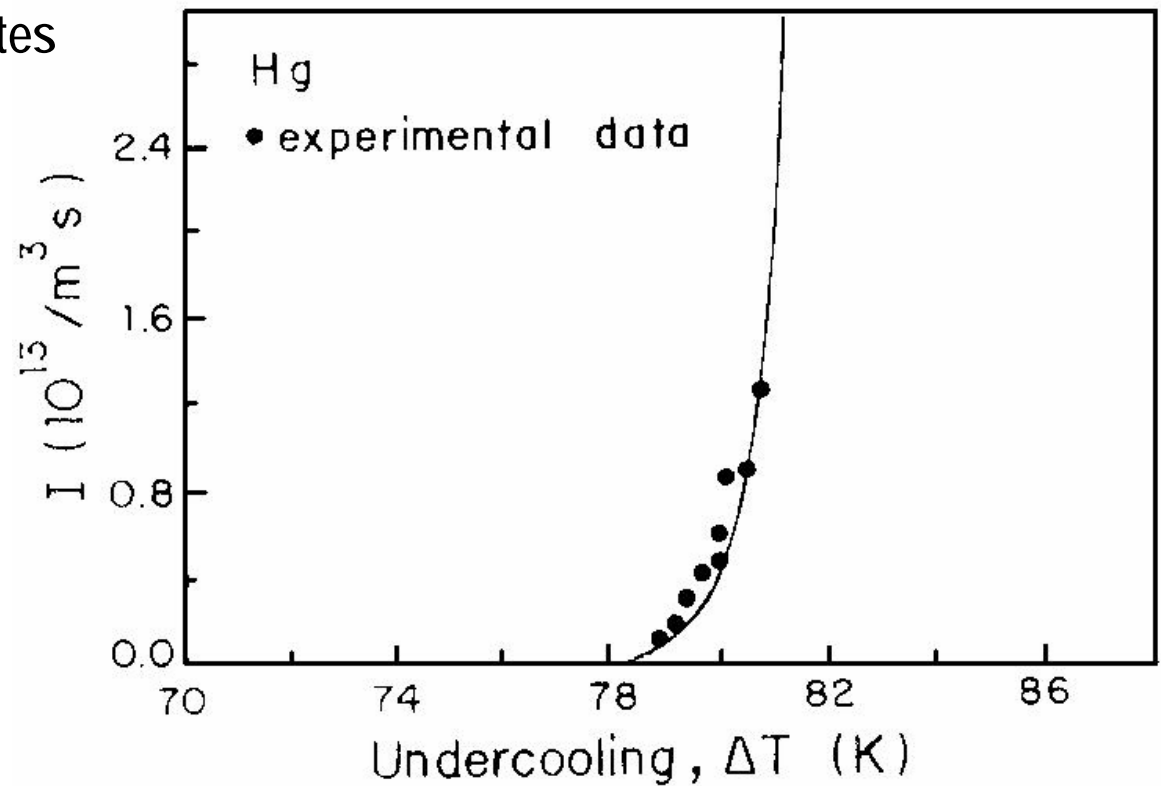
1948-50: Vonnegut, Turnbull and others: *droplet* technique for liquid metals.

The maximum undercoolings and crystal growth rates were measured and then the **nucleation rates** were estimated.

# Liquid metals (1950's)

Homogeneous nucleation rates  
in liquid mercury.

only 2 °C!



D. Turnbull, *J. Chem. Phys.* **20** (1952)

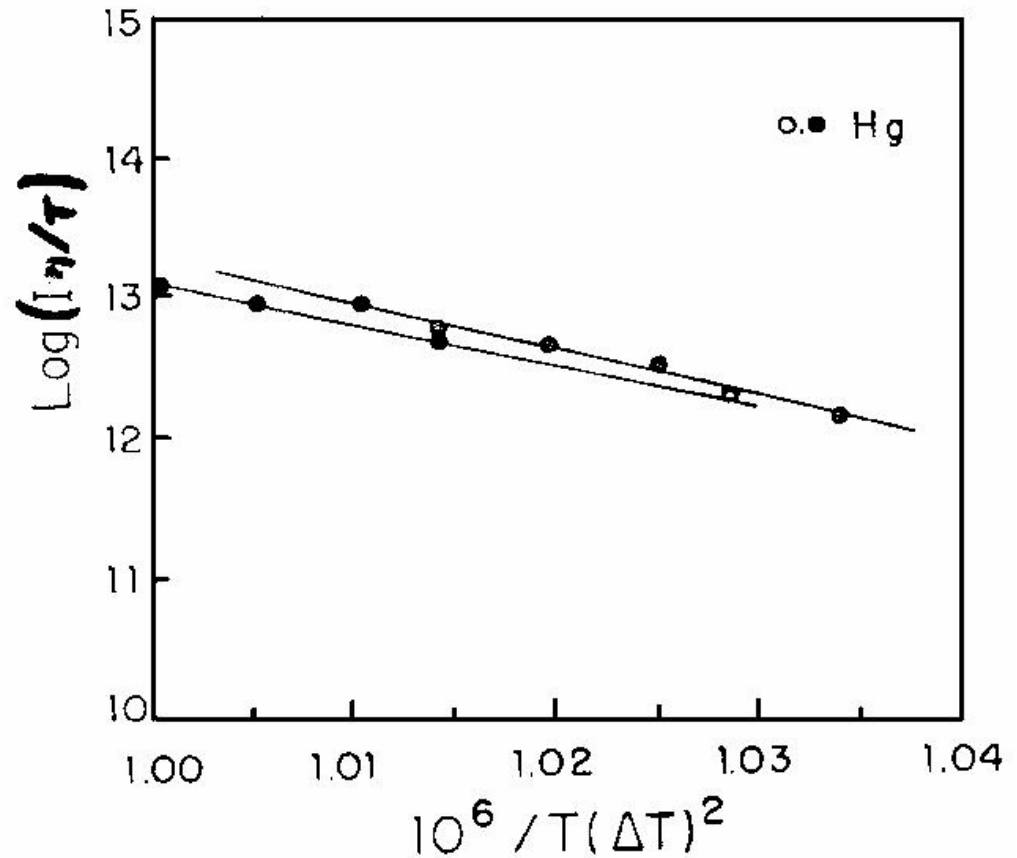
Kenneth Kelton



# Liquid metals (1950's)

Straight lines:

Pre-exponential **7 o.m.**  
higher than predicted



K. Kelton, *Sol. State Phys.* **45** (1991)



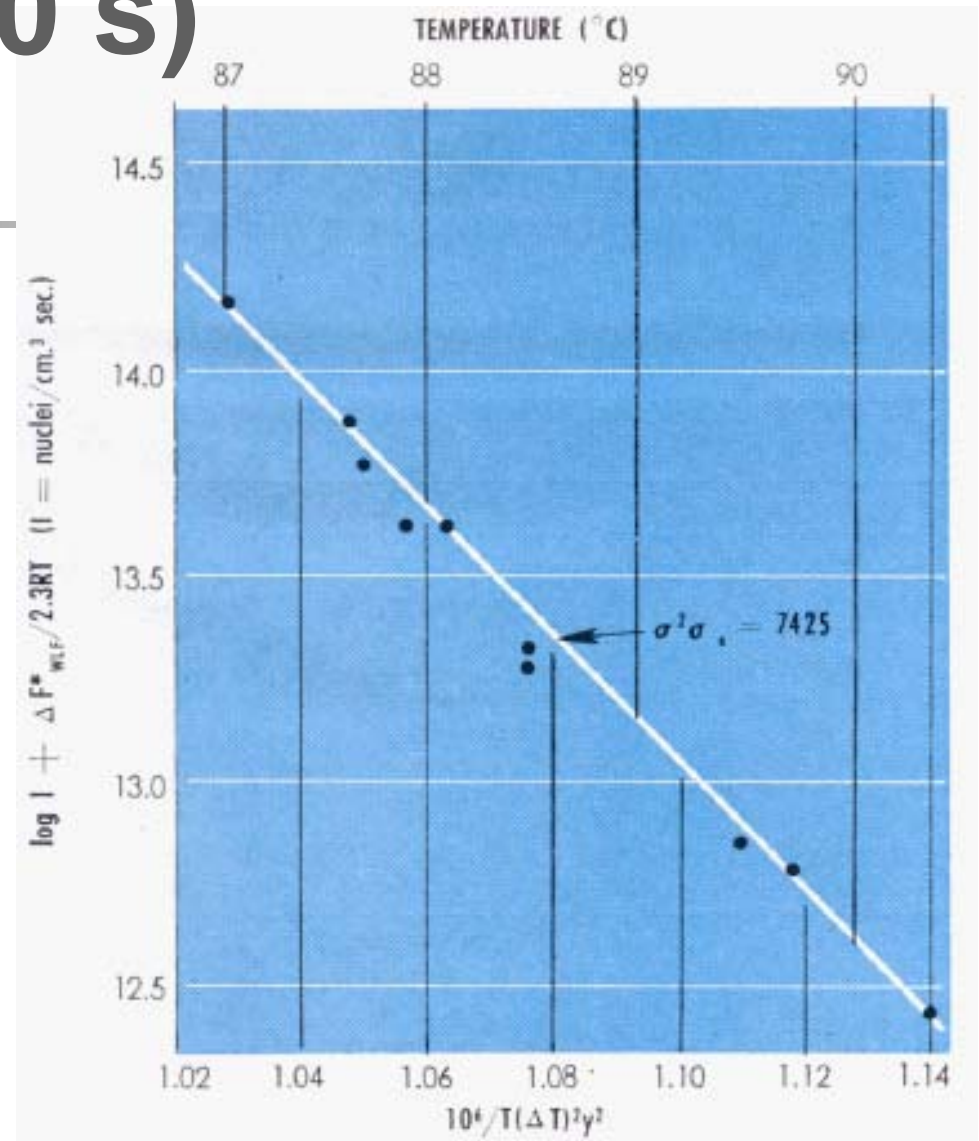
Homogeneous nucleation rate in **polyethylene**.

# Polymers (1960's)

Increased to 3 °C!

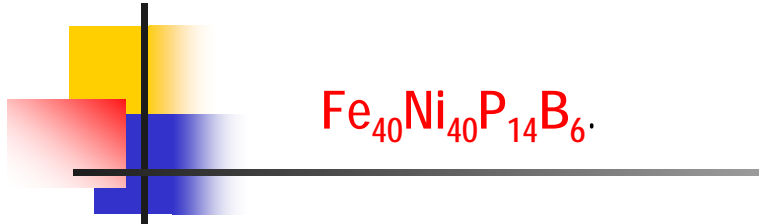
**Droplet** technique:  
isothermal nucleation  
rates of unfractionated  
linear **polyethylene**

The pre-exponential constant  
was **12 orders of magnitude**  
**larger** than the theoretical  
value

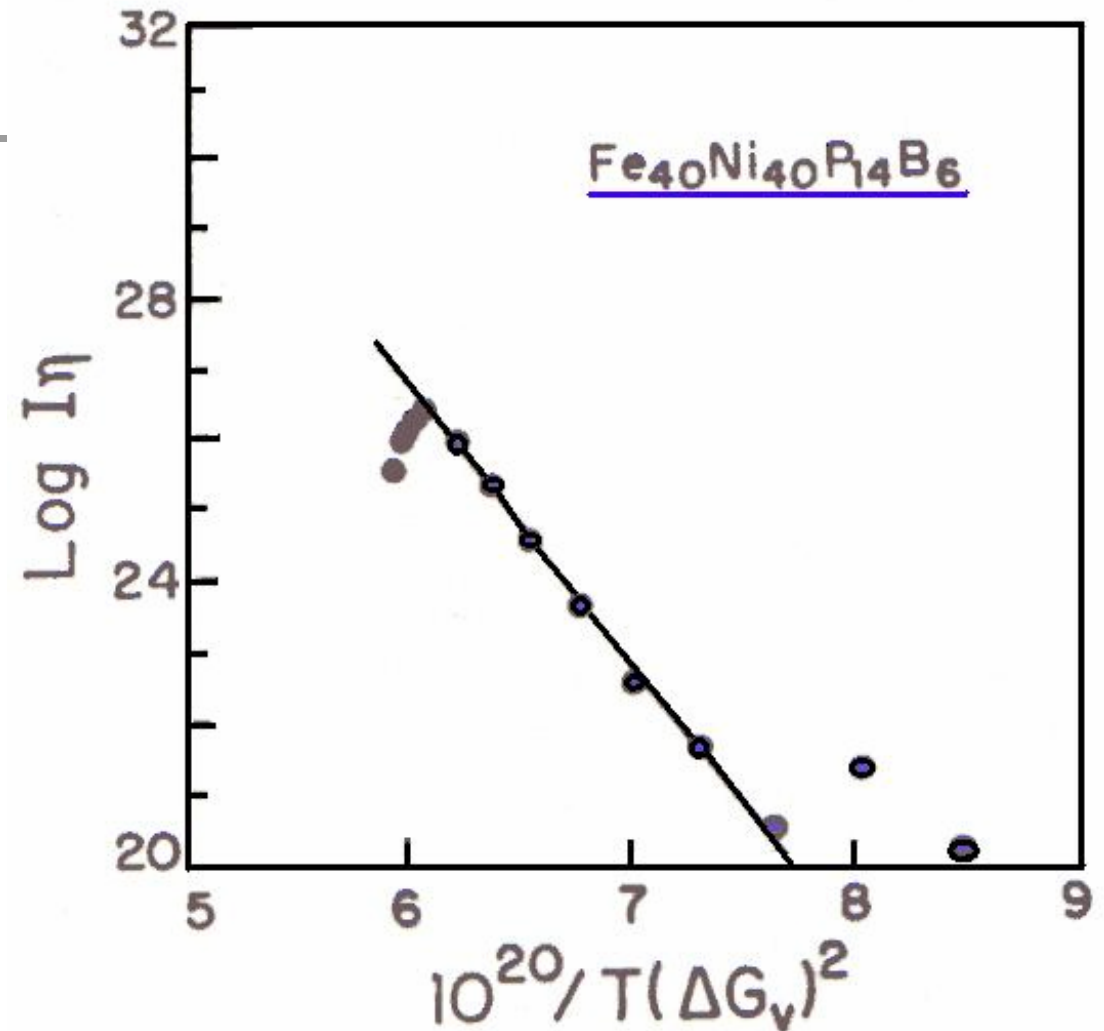


F. Gornick, J. D. Hoffman, *Ind. Eng. Chem.* **58** (1966)

# Glassy metallic alloys (1970-80's)

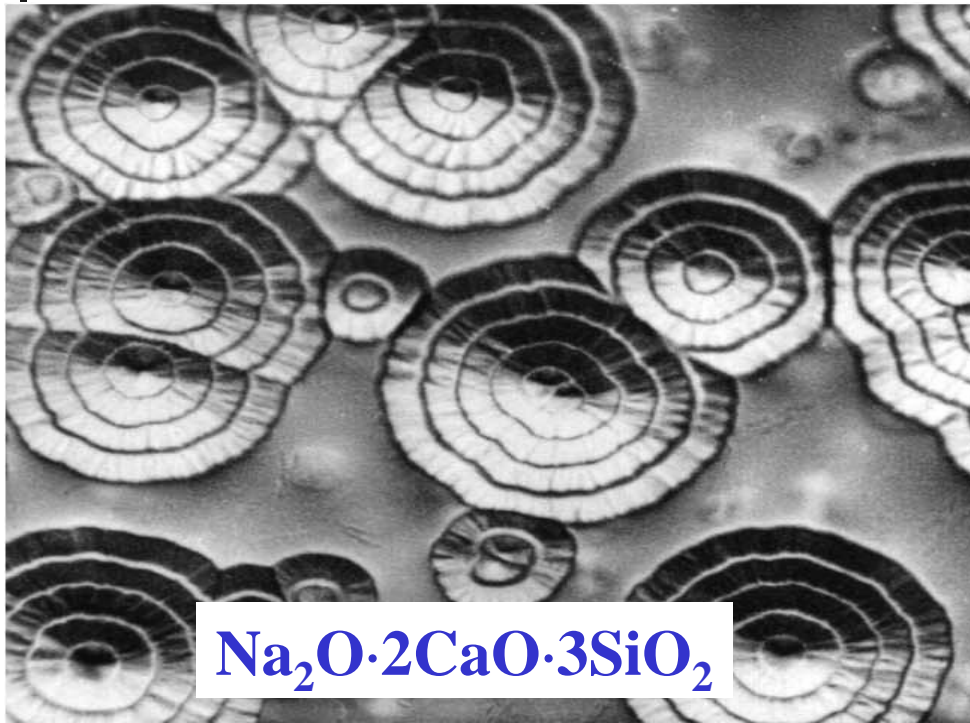


The linear portion with  $\sigma_0$  gives pre-exponential factor **20 o.m. larger** than predicted.

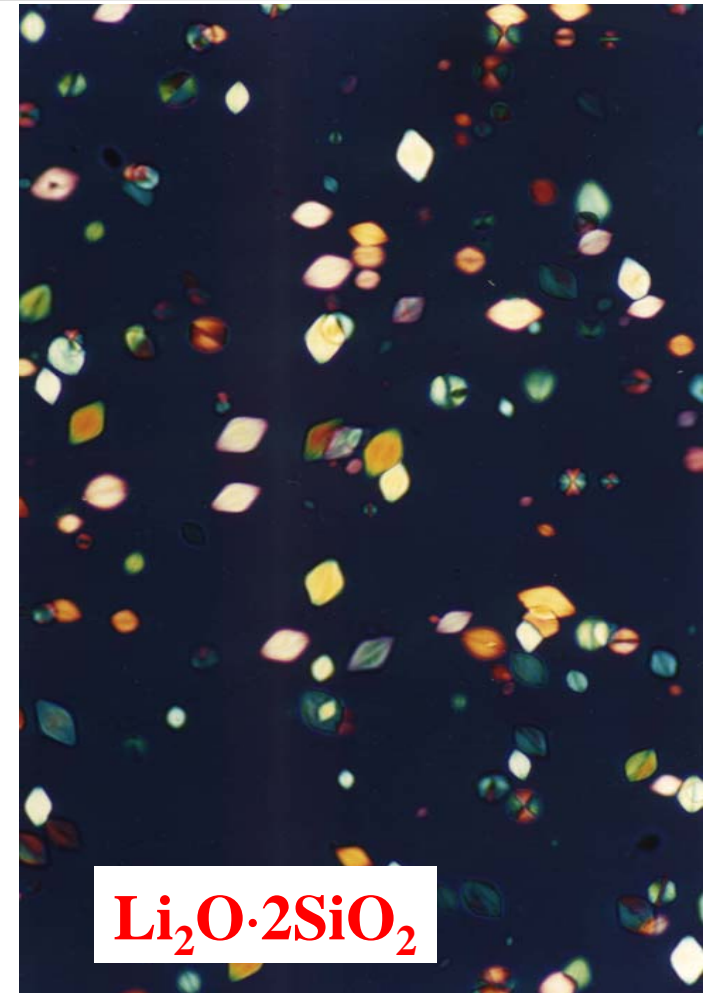


R. S. Tiwary, J. C. Claus, M. Vonheimendhal, *Mat. Sci. Eng.* **55** (1982)

# Homogeneous Nucleation in Stoichiometric Silicate Glasses

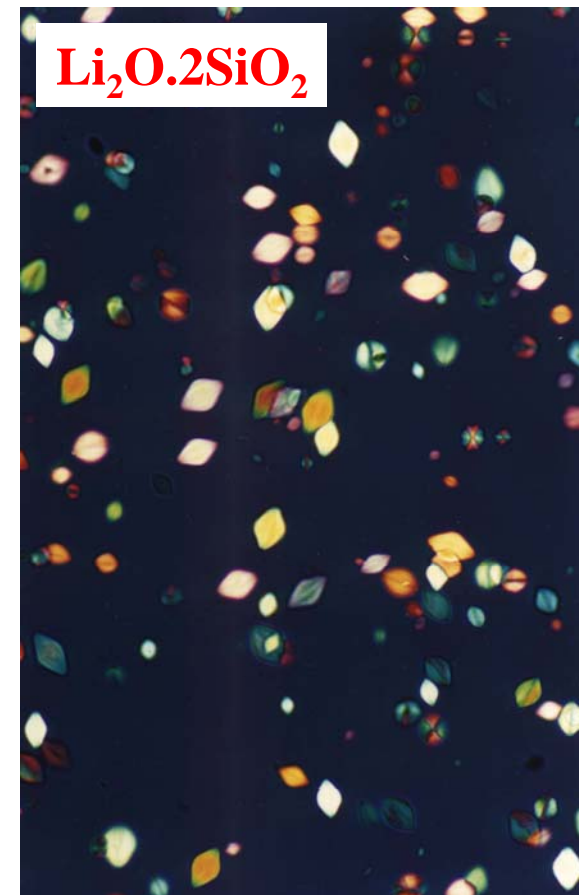
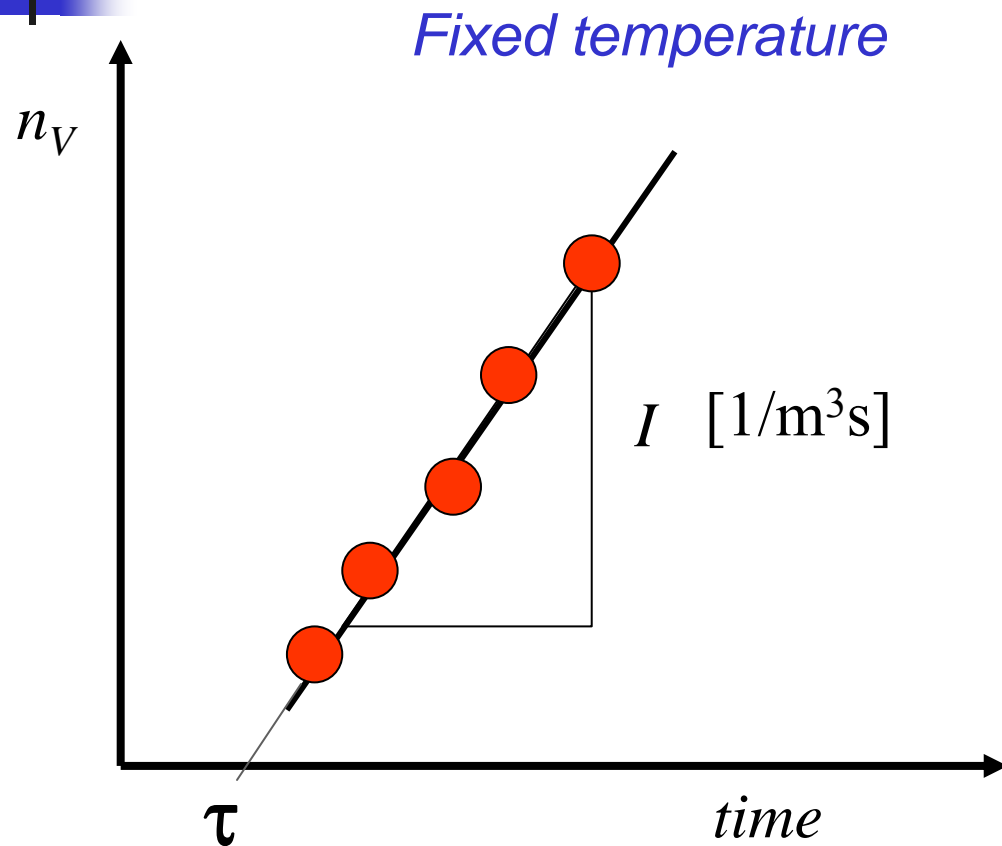


Very few silicate glasses spontaneously display **internal** homogeneous nucleation (+ **surface**)

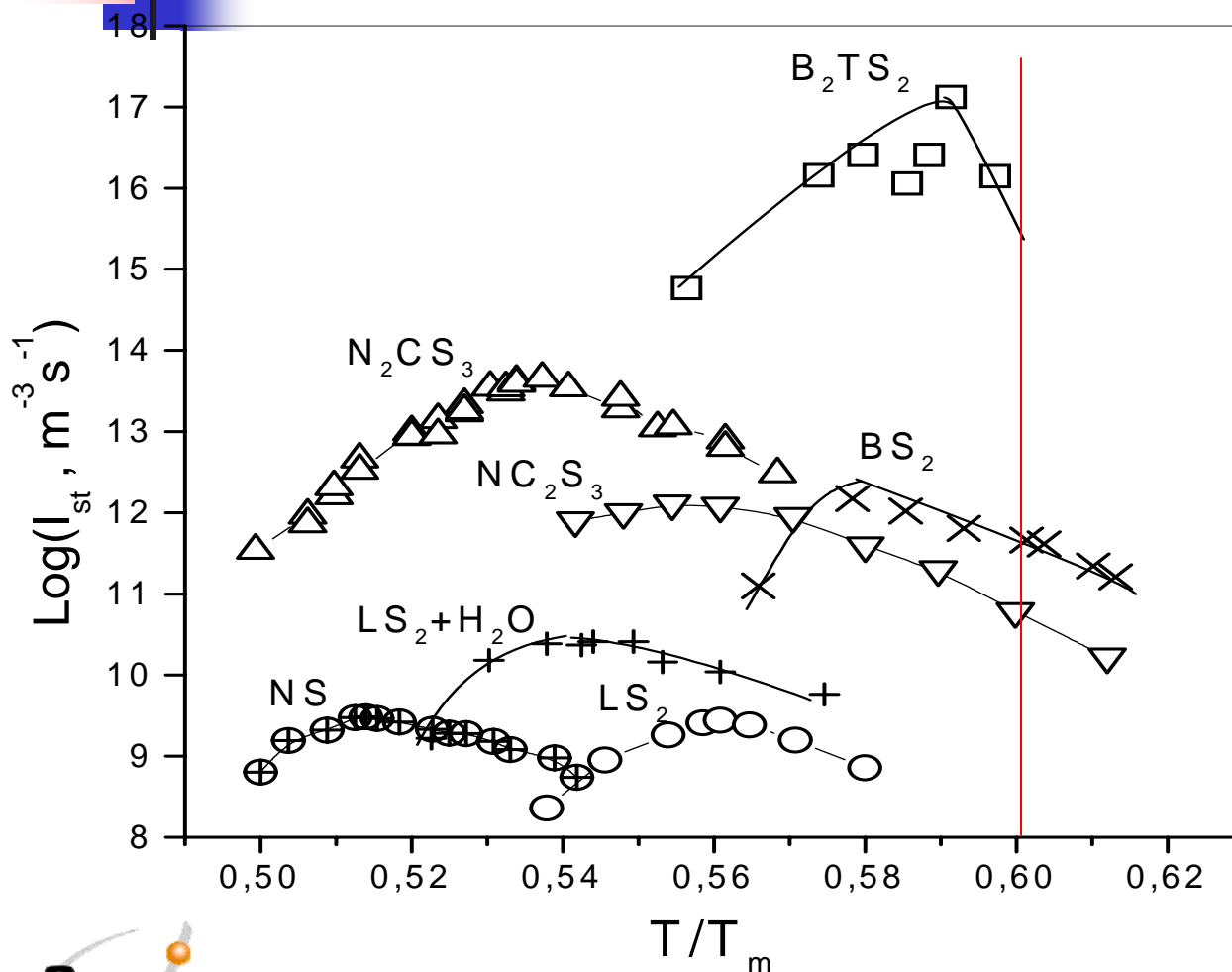


# Measurement of nucleation rates

number of crystals per  
unity volume  $n_V$



# Deeply Undercooled Oxide Glasses (1970-2000's)



Fokin, Kalinina & Filipovich; Neilson & Weinberg; Rowlands, Gonzalez-Oliver, Ito, Zanotto & James; Hishinuma & Uhlmann and others have tested CNT using **direct** measurements of **homogeneous** nucleation rates in glasses in wide temperature ranges.

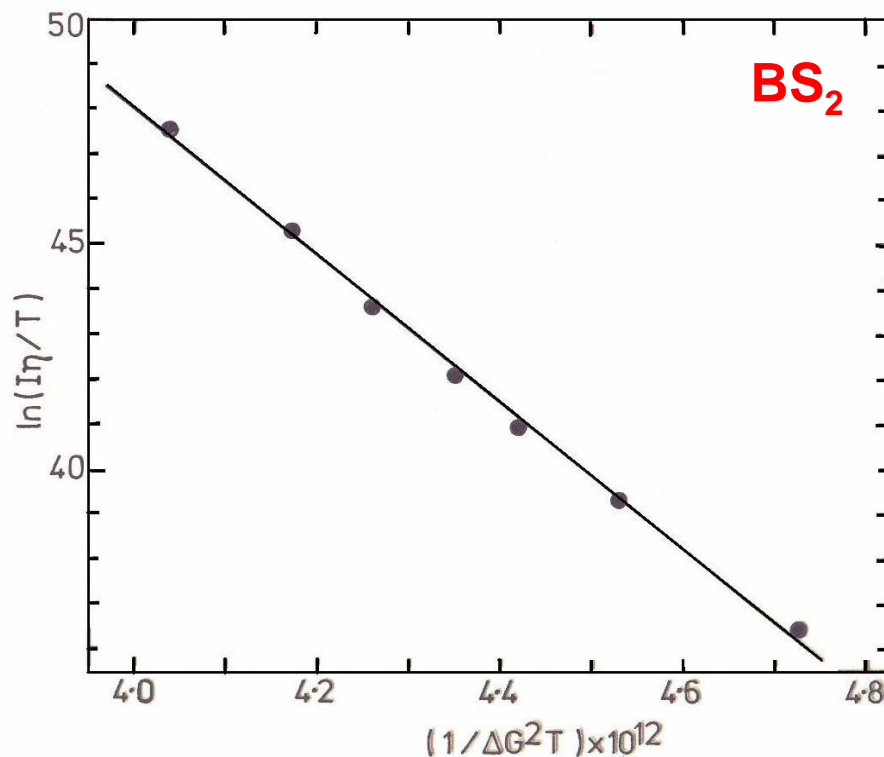
# Testing CNT for Oxide Glasses

G. F. Neilson, M. C. Weinberg, *J. Non-Cryst. Solids* **34** (1979)

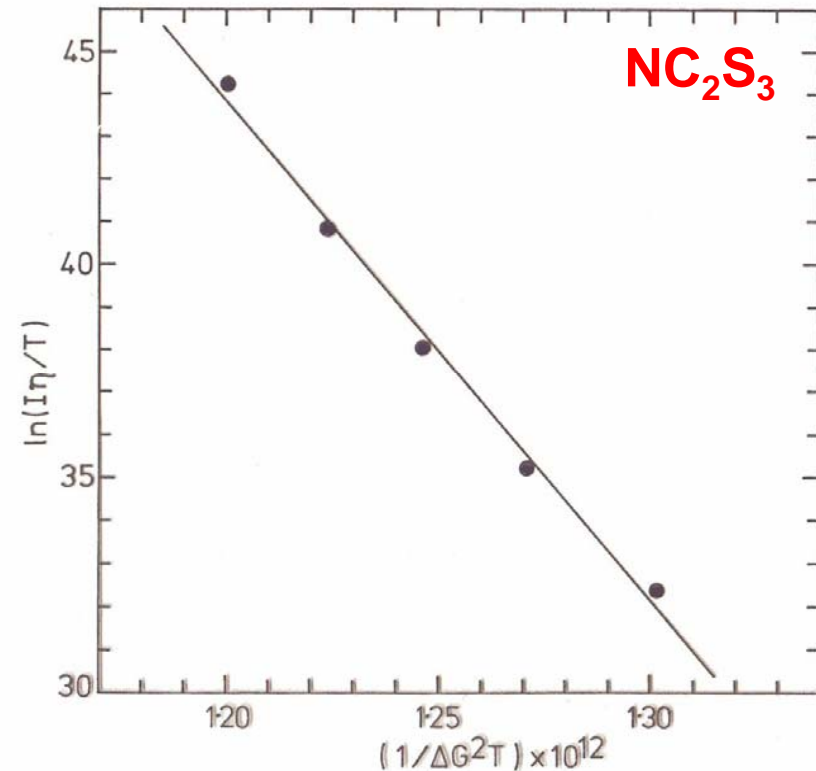
P. F. James, E. G. Rowlands, *Phys. Chem. Glasses* **20** (1979)

C. J. R. Gonzalez-Oliver, P. F. James, *J. Non-Cryst. Solids* **38-39** (1980)

E. D. Zanotto, P. F. James, *J. Non-Cryst. Solids* **104** (1988)

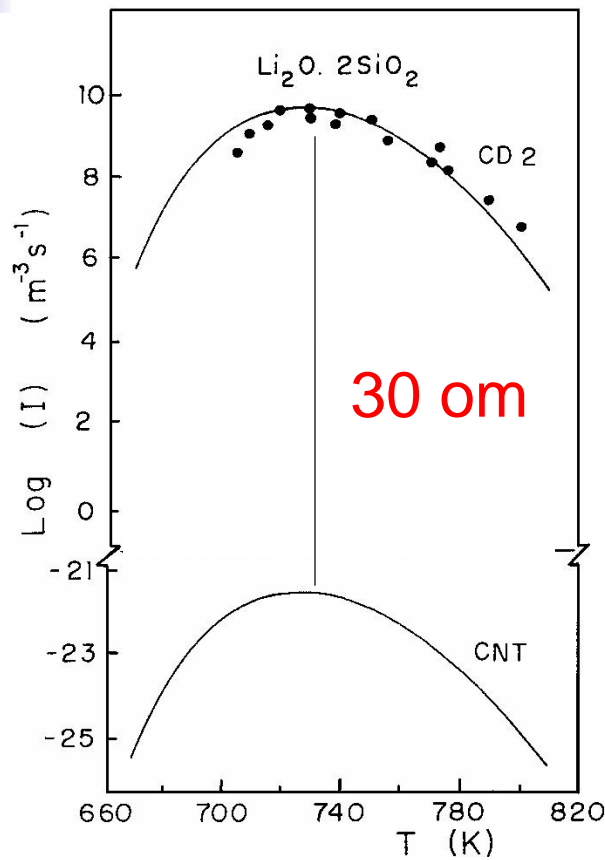


$\ln(I\eta/T)$  vs.  $(1/\Delta G^2 T)$  for  $BS_2$ .



$\ln(I\eta/T)$  vs.  $(1/\Delta G^2 T)$  for  $NC_2S_3$ .


# Results for LS2 glass



S. Manrich, E. D. Zanotto,  
*Cerâmica* **41** (1995).

# Results

Varying  $\Delta G_V$


$$\sigma = \frac{\alpha \Delta H_m}{\sqrt[3]{N_A V_m^2}}$$

Silicate Glasses	$\alpha$ Turnb $\Delta C_p^{\text{exp}}$	Discrepancy in $I_{\text{max}}$ (o. m.)
BS <sub>2</sub>	0.51- 0.56	13 - 32
LS <sub>2</sub>	0.44 - 0.48	16 - 36
NC <sub>2</sub> S <sub>3</sub>	0.39 - 0.41	15 - 55
N <sub>2</sub> CS <sub>3</sub>	0.43 - 0.47	25 - 55
B <sub>2</sub> TS <sub>2</sub>	0.40 - ?	26 - ?

Reduced surface energy,  $\alpha$ , was fit to give the best  $T$  dependence.





# Summary

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- With a constant  $\sigma_0$ , **CNT** describes the temperature dependence, but not the magnitude of  $I(T)$ .
- Possible **problems**: diffusion mechanism (breakdown of the SE?), size and temperature dependent surface energy, metastable phase formation, effect of elastic stresses, etc...?