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Factors influencing crop rotation strategies on organic farms with different time periods since conversion to organic production

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Factors influencing crop rotation strategies on organic farms with different time periods since conversion to organic production

3 Abstract

Productive crop rotations are central to the success of organic production systems. The
selection and sequence of crops are determined by a combination of agronomic and economic
factors as well as the principles and standards of organic farming. Semi-structured interviews
were conducted with sixteen organic farmers in Central-east Sweden to explore the factors
that influence the design of crop rotations and the trade-offs between these factors, taking into
account the length of time since conversion to organic production.

We discerned three crop rotation strategies: strict, flexible and liberal, based on how crop(s) 10 11 are repeated over time. A major trade-off for arable farmers was between perennial leys to provide nitrogen and control weeds, and the use of more inputs such as purchased nutrients 12 13 and mechanical weed control to allow continuous cereal production. Critical considerations for livestock farmers were the length of ley for feed production and weed control, cost of re-14 seeding leys and decisions about whether to grow crops to feed animals or cereals to sell. 15 Farmers practicing organic for a long time (more than 10 years) often had flexible rotations to 16 adapt to changing conditions, but they generally included leys and a selection of annual crops 17 in line with the principles of crop rotation and organic farming. Recently converted organic 18 farmers usually concentrated on controlling weeds and producing sufficient livestock feed by 19 following strict crop rotations. We conclude that farm type and experience strongly 20 21 influenced rotation strategies and that weed management and market prices were the most important influences. 22

Keywords: crop rotation strategies, decision, organic farming, semi-structured interviews, time since conversion, trade-off

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31

32 Introduction

Crop rotation is the sequence of crops on the same land in sequential seasons (Bullock 1992) 33 and implies that crops generally follow a pre-determined order. Crop rotation is determined 34 by decisions made by farmers on what type of crops to grow in the current and coming 35 growing seasons. The choice of crops to include in a crop rotation can influence soil fertility 36 and nutrient cycling, risks of infestation by weeds, pests and diseases, nutrient demand, crop 37 diversity, and economic risk management (Karlen et al. 1994; Gerhardt 1997; Bertsen et al. 38 2006; Papadopoulos et al. 2006; Moncada & Sheaffer 2010). Crop rotation is of particular 39 importance in organic farming, compared to conventional farming, because of the restrictions 40 41 on the use of easily soluble mineral fertilisers and the prohibition of synthetic chemicals to control weeds, pests and diseases. Hence, Article 5 of 834/2007 of European Union's 42 principle applicable to organic farming (EU 2007) emphasises the adoption of appropriate 43 crop rotations with diverse crops in order to maintain/improve plant and soil health, and also 44 to minimise the dependence on external inputs as far as possible. A wider description of the 45 core values and principles of organic farming was laid out by IFOAM (2005) which forms the 46 basis for the definitions. 47

In practice, the crop sequence often changes over time as an adaptation to prevailing 48 conditions, preferences and knowledge and the different trade-offs which farmers have to 49 consider when choosing crops. Dury et al. (2013) reported that the cropping plan on a farm 50 51 does not emerge from a single decision but from a dynamic decision-making process, which among other things incorporates unanticipated situations such as lack of availability of 52 53 particular seeds, weather conditions and market opportunities. Since many factors influence crop choice in a rotation, it is not always practical for crops to follow each other in strict, 54 55 repetitive cycles. This is particularly true on arable farms that depend on cash crops rather than growing crops for livestock feed. Therefore, it is often more relevant in practice to 56 57 discuss crop sequences rather than crop rotations.

Castellazzi et al. (2008) identified several important factors to consider when designing well-58 functioning crop rotations, and grouped them into four main rules. According to the first rule, 59 there should be a minimum return time period of the same crop in the rotation, or in some 60 cases, the maximum period of growing the same crop, in order to break the cycle of the build-61 up of pests, weeds and diseases. The second rule states that crop rotations should be planned 62 to optimise the benefits from crop succession. The benefits could arise from increased 63 nitrogen supply, soil organic matter or water availability, improvements in soil structure, and 64 decrease in pests, diseases and weed competition. The third rule relates to planning the timing 65 66 of operations within a year to allow crops to follow each other without long gaps. The fourth rule relates to diversity of crops in space and time in order to spread the risk of total crop 67 failure and economic loss, and also balance the distribution of work and the use of machinery 68 and labour. 69

70 Decisions of individual organic farmers on crop choice may not always address the rules of crop rotations or the principles of organic agriculture, as farmers also have to consider many 71 practical aspects. Several published studies on development of crop sequence/rotation are 72 73 generic and based on decision support and modelling tools, e.g. Bachinger and Zander (2007), Power et al. (2011). These studies use mathematical optimisation techniques to generate 74 rotations to assist in agricultural production planning. Other studies describe the different 75 phases and processes which lead/link to the decision making process (Aubry et al. 1998; 76 Öhlmer et al. 1998; Dury et al. 2013). The above tools help in designing crop rotations based 77 78 on generic conditions and assumptions, but they do not reflect the individual farmer's 79 experiences, motivations, arguments and uniqueness in their situations and decisions, as they are based on optimisation and prediction approaches. Although, the general needs and 80 requirements of different farm types vary, individual farmers will respond to external factors, 81 in addition to the requirement of their farm types. A report from the European Commission 82 (2010) lists several factors such as climate, soil quality, water availability, local market 83 opportunities, farm resources and policies, the education level of farmers, tradition on the 84 farm or in the surrounding farming community, etc., which could influence the choice of crop 85 rotations. This report did not explore how decisions are taken by individual farmers when 86 faced with different constraints and trade-offs. The rationale behind their choices could reveal 87 the different constraints and opportunities associated with various crops and crop rotations in 88

a particular farm or farm type. To our knowledge, there are no published studies which

90 critically look into the rationale of organic farmers when determining their crop rotations.

We expect the longer-term organic farmers to be more knowledgeable about crop rotations 91 92 since they have more varied and longer experience in organic farming systems than the recently converted organic farmers. This study seeks to explore crop rotations practiced by 93 94 farmers with varying experiences and farm types, identify the trade-offs and discuss the rationales of different farmers in relation to the rules for a well-functioning crop rotation and 95 the principles of organic agriculture. We do this by analysing qualitative data from semi-96 structured interviews with 16 organic farmers in the Uppland Province, Sweden. A qualitative 97 approach was chosen based on the premise that farmers' goals and ideologies influence their 98 decisions on crop rotations. The semi-structured interviews allowed the farmers as well as the 99 interviewers to raise doubtful issues and questions and discuss further to get more meaningful 100 101 answers.

102 Materials and methods

103 Studied farms

104 The study was carried out in the Province of Uppland located in Central-east Sweden.

105 Uppland has a relatively flat topography with the highest elevation point 117 m above sea

106 level. Agriculture is characterised by cereal farming on the open plains and more livestock

and mixed farming with a high percentage of rotational or improved grassland (grass-clover

ley) in the mixed and more forested areas. Rotational grass-clover leys (a mixture of clover

and grass species) often including red clover (Trifolium pretense, L.), white clover (Trifolium

110 *repens*, L.), timothy (*Phleum pretense*, L.) and meadow fescue (*Festuca pratensis*, L.) cover

about 40% of the arable land while winter wheat (*Triticum aestivum*, L.) and spring barley

112 (Hordeum vulgare, L.) are each grown on about 15% of the arable land (Swedish Board of

113 Agriculture 2011).

114 We conducted the study with 16 organic farm owners with diverse farm types and time

periods since conversion to organic farming, in order to include farmers with a variety of

116 objectives and with different levels of experience in organic farming. The farms have been

- 117 certified organic for between 2 and 25 years with the Swedish organic trademark, KRAV.
- 118 These farms were originally selected to represent organic farms with different periods since

119 conversion and have been used in several studies of biodiversity and ecosystem services

120 (Jonason et al. 2011; Jonason et al. 2012). The importance of landscape was considered in the

121 original study by selecting the farms along a gradient of landscape heterogeneity. The farms

have been grouped according to their main farming activity into arable, dairy, beef/sheep, pig

and mixed livestock farms.

124 Interview methods and analysis

We used semi-structured interviews, which are widely employed to gain a good understanding 125 of the attitudes and decisions of farmers towards different management options (Longhurst 126 2003). The interviews were carried out on the farms in spring 2011. A list of key words which 127 could describe the essential information relating to crop choice and crop rotation was prepared 128 and tested with one farmer (not within the group of farmers interviewed), and necessary 129 changes were made and then used for conducting the 16 interviews (Table 1). Using the list of 130 key words, farmers were asked open-ended questions, with probing whenever necessary to 131 obtain robust information required for the study. The interviews lasted between one and three 132 hours. Several farmers showed us around their fields and livestock units during and after the 133 134 interviews and these also provided opportunities to observe the management procedures and also to gain additional information. All interviews were recorded and transcribed. We used 135 the software 'Atlas.ti' (ATLAS.ti GmbH, Germany) to help condense structure and categorise 136 the different statements of the transcribed information. This approach is recommended by 137 138 Kvale (1996). All the statements relating to crop rotations and their rationale were coded into categories and key words. 139

140 [Table 1 near here]

141 **Results and discussion**

General farm characteristics and crop rotation strategies are summarised in Table 2. The
different crop rotations practiced by the farmers and their rationales are discussed within
different farm groups in the following sub-sections.

145 Arable farmers

146 The arable farmers interviewed mainly depended on cereals, mostly winter wheat, for their

147 income. Most farmers also included perennial clover and grass crops used as a green manure

148 (in the following text referred to as 'ley') in their crop rotations. The ley crops were under-

sown in annual cereal crops and remained for at least one more year during which they were

- 150 cut regularly to control weeds, and also in some cases to sell hay or silage to neighbouring
- 151 farms. In the year of ley incorporation, a short period of black fallow (repeated tillage to
- 152 control weeds) was often applied before sowing winter wheat benefitting from the pre-crop
- 153 effect of the ley. Most farmers also included a grain legume in the rotation, i.e. field beans
- 154 (Vicia faba, L.) or peas (Pisum sativum, L.), in pure stand or in mixtures with oats (Avena
- 155 *sativa*, L.).
- 156 Most of the arable farmers reported that they were growing cereals as frequently as possible
- in the rotation and avoided the use of break crops, such as legumes. With one exception, they
- did not follow a planned crop rotation, but adjusted their crop choice according to the
- 159 prevailing situation. A farmer who had managed his farm organically for more than 20 years
- 160 (Farmer 1) remarked:
- 161 "I don't follow a planned rotation as I might have to change crops according to market price.
 162 I mostly grow wheat after ley. But from this year onwards; I applied Biofer (meat and bone
 163 meal fertiliser, mainly from conventional sources) to my cereals and avoided growing ley and
 164 legumes. I cannot have peas and beans more than every sixth year in the rotation because of
 165 pests and diseases, and since I don't have animals to eat them, they can easily be replaced
 166 with cereals".
- His statement indicated that he was not happy with the practice of growing leys and annual 167 legumes as he didn't find them useful. However, frequent cultivation of cereal crops could 168 increase damage caused by pest and diseases and risk to reduce grain yields compared to more 169 diverse crop rotation. Recent research investigating effects of preceding crops using a wide 170 range of experiments from all over the world shows that wheat grown after a break crop can 171 be expected to yield between 0.5 and 1.2 t ha^{-1} more than wheat after wheat (Angus et al. 172 2015). Management of nutrient supply was reported to be one of the greatest challenges for 173 arable (stockless) organic farmers as leys are of little economic benefit to them, and also do 174 not increase the total supply of nutrients other than nitrogen through biological nitrogen 175 fixation by legumes such as clover (Watson et al. 2002). Thus, the present farmer substituted 176 the perennial ley, which produces many system benefits, such as break crop effects on weeds, 177 pests, diseases as well as reducing external nitrogen input, with 'Biofer' fertiliser that provide 178 a range of nutrients but not the other benefits. Farmers appear to see a choice between 179

growing leys and annual legumes in the rotation on one hand, and applying 'Biofer' to have 180 more land available for cereal, on the other hand. The use of 'Biofer' to grow more cereal 181 crops can be seen as a shift towards a more 'conventional' farming approach, in terms of the 182 farmer's reliance on off-farm nutrient inputs and more specialisation in the system. This 183 approach deviates from the rules of crop rotation as the same/similar crops are grown 184 consecutively for several years which might result in the build- up of pests, weeds and 185 diseases. In addition, the dependence on external fertiliser and less crop diversity in the farm 186 does not seem to fit with the principles of organic agriculture to utilise diversity and to use 187 188 legumes to provide nitrogen rather than purchasing external inputs. Replacing nitrogen fixing and soil improving crops, such as grass-clover ley, with inputs from outside the system that 189 190 are derived from e.g. livestock raised conventionally were widely used in organic farms in Denmark (Oelofse et al. 2013). Several other studies have also reported that many organic 191 192 farmers are moving towards 'conventionalisation' of their organic farms in terms of more farm specialisation, larger farms and intensive use of external fertilisers and less regard for the 193 194 principles of organic farming (de Wit & Verhood, 2007; Darnhofer et al. 2010; Oelofse et al. 2011; Nowak et al. 2013). Another farmer who has been organic for the last 12 years (Farmer 195 196 3) already followed a more conventional approach similar to that of Farmer 1. Farmer 3 did not plan his crop rotation in advance, and grew crops according to the market price. His goal 197 was intensive production reliant on purchased fertilisers. He said: 198 "My crop sequence is almost free. I choose crops which give the most profit at the moment. So 199

200 I have a very intensive organic system. I buy organic fertilisers such as Biofer and Biovenass

201 (a by-product from commercial yeast production) for my crops to produce more wheat

202 *instead of growing ley or peas.*"

The above quote indicates that the current market price was the most decisive factor for him when choosing crops in the sequence. He was the only farmer who did not grow any ley and he also reported managing the weeds successfully using modern machines and without any break crops in his cereal rotation. The farmer, however, reported growing field beans in some years, if the price was high enough.

208 The same farmer further commented:

209 "I think the first farmers who started organic farming were idealists. But now it is not like

210 that. I think it is more that we want to have the same output as conventional farms."

His comment indicates that he thinks that there is a trend towards more market oriented 211 212 farming practices amongst the recent organic adopters and that he thinks it is possible to achieve the same yields as in conventional agriculture. He explained that his generation of 213 organic farmers aims at increasing productivity by managing the farm intensively using 214 external fertilisers and modern machinery to control weeds. One farmer (Farmer 4) who had 215 tried to grow mostly cereals in the crop rotation describes how that led to problems with 216 weeds. Because of these problems, the farmer decided to go back to a planned crop rotation 217 with legumes and break crops in order to find solutions to the problems. He made the 218 219 following comment on his earlier crop rotation strategy:

"Before developing this crop rotation three years ago, I had quite a free crop rotation. It was
much more depending on the market. The price of different cereals was a bit uncertain at that
time, so you never really knew what to sow. May be the free crop rotation caused the big
thistle problem that I have experienced. I was too eager to grow cereals, not really thinking
about the consequences."

225 The quote reveals how this farmer shifted his focus from a profit-oriented crop rotation to a 226 more ecological farming based on the rules and principles of crop rotations and organic 227 agriculture, because of problem with creeping thistle (*Cirsium arvense* L.). This farmer has 228 been practicing organic farming for 12 years and grew as much cereal (mainly winter wheat) as possible to maximise returns until three years ago. The new rotation includes levs for one 229 230 or two years to control perennial weeds followed by two years of winter wheat. Thus, the farmer made the choice to grow wheat with leys in the rotation to avoid weeds, rather than 231 232 growing more crops of wheat at low yield due to e.g. weed problems. During the interview, 233 the farmer also highlighted that his crop rotation with a ley crop in the sequence offers other 234 benefits, such as building up the nutrient stock for the winter wheat crop and improving the 235 soil structure.

A farmer managing his farm organically for the last 10 years (Farmer 5) did not follow a
planned crop rotation. He was flexible in the choice of crops species in the rotation. The
rationale for his decision was to be able to adapt to variable conditions such as disruptions due
to pests, weather, etc.

Crop rotation strategy of a farmer who inherited the farm from his grandfather and has been
managing his farm organically for 18 years (Farmer 2) was based mainly on tradition and

farming experience. Although the livestock component was abandoned 16 years ago in the
farm, he reported following the same crop rotation as in the last 70 years as he claims to have
good knowledge of this rotation. He remarked:

"I have not changed the crop rotation that my grandfather used since the 1940s, because I
know it very well and this rotation controls the weeds. I still grow ley even though I do not
have cows now, as I trust this rotation. I can sell some of the forage to the neighbours, though
not at the same good price as the wheat."

His statement reflects the importance of experience when deciding crop choice and rotation.
The farmer chose to trust the well tested crop rotation which was designed with proper break
crops, rather than changing to a new one, which could potentially be more profitable. During
the interview, the farmer also mentioned that he thinks that the inclusion of ley in the rotation
helps to improve the soil. Rotational leys are known to increase yields of the other crops in
the rotation (Johnston et al. 1994; Persson et al. 2008)

In summary, lack of direct economic benefits of growing leys was the reason why several of 255 the arable farmers do not to grow leys and diverge away from the rules of crop rotation and 256 principles of organic agriculture. Instead, some of the farmers follow a market oriented crop 257 rotation practice focused on growing cereals with the intensive use of machines and external 258 fertilisers. The two most important trade-offs mentioned were, firstly, the use of external 259 fertilisers and intensive control of weeds to grow more cash crops, and secondly, the use of 260 legume crops and crop diversity in rotation to support soil fertility and for controlling weeds 261 and diseases. Moreover, the farmers who followed a planned crop rotation seemed to be more 262 driven by organic principles than the more commercially oriented farmers with more flexible 263 and liberal crop rotation strategies. 264

265

266 *Dairy farmers*

The typical crop rotation reported by the dairy farmers was two or three years of ley followed
by two years of cereals. The first year of cereal was always wheat (winter wheat preferred
over spring wheat), while the second year could be wheat, barley or oats, e.g.:

270 Ley 1- Ley 2-Winter wheat- Wheat/Barley/Oats-peas under sown with a clover-grass
271 mixture

It is evident from the general crop rotation (above), that the need for feed leads the dairy 272 farmers to incorporate more ley crops in their rotations than the arable farmers. According to 273 a farmer who had been practicing certified organic farming for 25 years (Farmer 6), he 274 275 followed a planned crop rotation in order to produce sufficient feed for the livestock, and also 276 some cereals for direct cash income. He included oats in the rotation even if he had more use for barley and wheat as feed, because he considered oats to be more competitive towards 277 weeds, and easier to manage than higher value crops such as wheat and barley. Oats is 278 considered an important crop in areas with short growing seasons and long day-length 279 280 regimes and hence is well suited to the study area (Buerstmayer et al. 2007). Oats are particularly suitable in organic farming where the availability of nitrogen is generally lower 281 282 and the need for competitive crops is larger than in conventional systems. He also wanted to have great crop diversity to spread risks and because it was his experience that more crop 283 284 diversity leads to fewer problems with weeds, pests and diseases.

Furthermore, his crop rotation was aimed at managing weeds and he experimented with different crop sequences to develop his farm management. He remarked the following about his crop rotation for controlling the weeds:

"We had problem with weeds. We have tried rotations with 3 or 4 years of ley, but then there
was the problem of the perennial weed, couch grass. The couch grass spread to the barley.
We also got less material for silage. So now, with two years of ley, there are fewer weeds and
we could get good yields. Of course it is also expensive to re-seed the ley every 2 years, but it
is better than having weeds."

His statement reflects the choice between efficient weed control and the costs of frequent re-293 294 seeding of the ley crop. According to his experience, two-year leys were optimal for long 295 term yields considering the need for keeping weeds, i.e. couch grass (*Elymus repens, L.*), under control in the rotation. Several other perennial weeds, particularly stationary ones such 296 as dandelion (*Taraxacum* spp.), thrive in leys, but are not very competitive in annual crops. 297 The control of couch grass depends mainly on having competitive crops and cultivating the 298 soil between crops (Håkansson 2003). This also shows that proper planning and length of 299 period of certain crops in a rotation can prevent propagation of particular problematic weed 300 301 species.

According to a farmer rearing 90 dairy cows and practicing organic farming for 13 years

303 (Farmer 7), the rationale for the crop rotation was to meet the feed requirement of the dairy

304 cows. He said the following about his crop rotation:

305 "I follow a planned crop rotation because I am compelled to do it. I need a lot of grass-clover
306 ley to produce forage for the animals and then, peas and barley mixture as protein
307 supplement for the cows. The good thing is also that I do not need to buy fertilisers, and the
308 rotation is good for the soil. Thistles are controlled in this rotation if I cut the leys 3 times a
309 year."

The focus on producing feed for the animals is in line with Flaten et al. (2005) who reported that the main cropping goal of Norwegian dairy farmers was to produce sufficient feed for the livestock as organic livestock feed was reported to be expensive. Producing livestock feed on farm also fits within the guidance of organic regulations for the use of locally produced feed.

314 The aim of a farmer practicing certified organic farming for 12 years (Farmer 8) was to adapt his crop rotation according to the market price of cereals. He often chose to grow wheat 315 instead of protein rich crops such as peas and beans for his livestock. Thus, this farmer could 316 consider replacing feed crops with profitable cash crops and instead purchase the feed. The 317 crop rotation strategy of a recently converted organic farmer (Farmer 9) was to avoid weeds 318 and diseases in the crops. The farmer developed a crop rotation plan when he became a 319 certified organic farmer which included two years of ley followed by one year of winter wheat 320 and then a fourth year with winter wheat or Triticale. His strategy was to buy the protein 321 fodder from other farmers, because he considered the annual legumes difficult to grow as they 322 are susceptible to adverse weather conditions, pests and diseases. 323

324 To summarise, most dairy farmers followed the rules of crop rotation by having diverse crops and leys to control weeds, pests and diseases. However, the strategy of a few of the farmers to 325 326 rely on external feed by growing more cereals is not in line with the principles of organic agriculture. The most important trade-off observed amongst dairy farmers in regard to their 327 328 crop rotation was between growing sufficient feed for the livestock, and growing cereal crops for cash. Several farmers who were flexible in their crop rotation tended to focus on cereal 329 330 cash crops and thus had a higher dependence on external sources for feed than other organic dairy farmers interviewed. It appears that it was more important for the long term organic 331

farmers in the study to be self-sufficient in feed than it was for the recently converted farmers,who were more willing to purchase feed.

334

335 Beef and sheep farmers

The crop rotation strategies of beef cattle/sheep farmers were very variable, but it was quite common to have three years of ley and two years of cereals (winter wheat or spring barley). Some farmers also had peas or beans after the first or second year of cereals and then added another cereal crop at the end of the rotation. A typical rotation was:

340 Ley 1- Ley 2- Ley 3- Wheat /Barley- Wheat/Oat under sown with grass-clover

Similar to many dairy farmers, the objective of the crop rotation for Farmer 13 was to follow a planned rotation in order to produce sufficient feed for the livestock as well as cereals for direct cash income. Despite mentioning the problem of thistles in wheat, the farmer continues growing wheat because it is profitable even if yields are quite low. Another farmer practicing organic farming since 11 years (Farmer 12) claimed that the purpose of his crop rotation was to solve the problem of thistle and couch grass. The farmer remarked:

"Thistles are difficult to control and that is why I have three-four years of ley in the rotation.
I also avoid growing wheat after wheat or barley. The disadvantage of my rotation is that
couch grass propagates. The couch grass multiplies in the ley, especially if you have ley for
three years, but they are not as stubborn as thistle".

351 Similar to several arable farmers, he reported thistles to be an important factor when deciding 352 his crop rotation, which had not been mentioned by many farmers with livestock. However, Farmer 12 had four years between the ley crops, which is more than any other livestock 353 354 farmer. According to his experience, two years of ley was not enough to control thistles. The risk of having three year leys in the rotation was also highlighted by this farmer. After three 355 356 years of ley the problem with couch grass accelerated according to Farmer 12. This is 357 evidence of a trade-off between controlling thistle and couch grass and this farmer prioritised 358 the control of thistle, because he found couch grass easier to control by other means, supposedly through tillage. It is well known that perennial weeds can easily become a major 359 360 problem if crop sequences are not properly planned and managed (Liebman & Dyck 1993)

and that the occurrence of thistle decrease with the age of the ley crops, while this is not the
case with couch grass (Håkansson 2003).Couch grass has a similar growth habit as the sown
grasses and can therefore tolerate the frequent cuttings associated with harvest well (Cussans
1973), while creeping thistle is sensitive to cutting (Graglia et al. 2006).

A long-term organic farmer who had been raising beef cattle and sheep organically for 23
years (Farmer 10) did not follow a crop rotation. When asked what determined his rotation,
the farmer replied:

368 "I grow whatever suits me. I have a lot of ideas about different crops and rotation. But I can

369 *never decide in advance what I am going to grow in the coming year as my chosen crops*

370 sometimes die or fetch a lower price. As time goes on, it will tell. You have to change your

371 *plans in order to benefit according to each particular year and I buy feed sometimes in order*

372 to grow more cereals".

This farmer did not seem to be interested in following a planned crop rotation because of several uncertainties. According to him, he could gain more by adapting to the prevailing conditions and market prices than following a planned rotation and this determined his crop rotation. Smit and Pilifosova (2003) reported that farmers who have experienced the effects of extreme events, e.g. extreme weather, can plan better to adapt to the impacts of future extreme events. Despite being a livestock farmer, his crop rotation strategy was similar to several of the arable farmers.

A long-term organic farmer (Farmer 11) who had been raising beef cattle organically for 23
years mentioned that the soil type in his farm was the most important determinant for his crop
rotation. The farmer said:

"If you run your farm organically, you should terminate the ley after a shorter length of time to take advantage of the nitrogen. If you don't, the nitrogen just leaches. But knowing is one thing and doing is another. The peat soils in my fields are mainly suitable for growing ley, it is difficult to grow cereals on them, and you easily get a lot of weeds. That is why we have mostly cereals on the mineral soils and ley on the peat soils".

He grew mainly barley on the mineral soils and ley on the peat soils (soils with a relatively high percentage of organic matter). In spite of his awareness of the benefits of ley crops and crop rotation, he chose to grow his leys on the peat soils, because of the difficulties of 391 producing good cereal crops without herbicides on these soils. A sheep farmer who converted 392 to organic farming four years earlier (Farmer 14) claimed to follow a planned crop rotation in 393 order to produce sufficient fodder on peat soils, with one year oats followed by three years of 394 ley in the rotation. He shared similar experience as Farmer 11 on the difficulty of growing 395 cereals on peat soils. The farmer commented:

"Well, on the peat soils it is only oats, because wheat, barley and peas don't grow well on the
peat soil and I don't know what other crops to grow. Oats is followed by ley for some years. It
is mainly to establish a new ley crop that I have oats every fourth year and I do not need to
buy feed from neighbours."

In summary, several long-term organic farmers were aware of the 'potential benefits' of 400 practicing crop rotation, but they were generally quite flexible in their rotations and adapted 401 them to soil type, climate, market, and weeds. The important considerations for the farmers 402 were the number of years to keep the levs in the rotation to optimise weed control, residual 403 effect of the leys, the possibility to grow cash crops and presumably the need for feed. The 404 405 recently converted organic farmers seemed more eager to follow planned crop rotations and 406 the main purpose of the crop rotation planning was to control weed propagation, especially thistle and couch grass. Most of the farmers in this group followed crop rotation rules quite 407 408 diligently.

409

410 Pig and mixed livestock farmers

411 The main reason for following a planned crop rotation for a pig farmer who converted to

412 organic farming three years earlier (Farmer 16) was to achieve good break crop effects. He

413 practiced the following crop rotation:

414 Oats (under-sown with grass-clover) - Ley 1 - Ley 2 - Wheat/Barley - Oats- Pea

415 The farmer remarked on his crop rotation:

416 *"My rotation is to produce enough feed for my pigs. I avoid barley after barley in the rotation*

417 *as there could be fungi (in the crops). Maybe my application of manures worsens the fungus*

418 situation. I am also trying to get rid of the weeds. I am a pig farmer but I grow ley to remove

419 *the weeds. I think it has reduced the problem with fungal diseases and also fertilised the soil*".

The farmer changed his earlier crop rotation because of his experience with fungal diseases 420 and weeds in the crops. He related the occurrence of fungal diseases in his crops to growing 421 barley for several consecutive years and also to the application of manures. He chose to 422 423 follow a proper rotation with two years of ley even though the pigs did not consume much forage, as it offered other benefits such as reducing the problem of weeds and diseases and 424 also improving the soil fertility. The increase in fungal disease with animal manure that the 425 farmer report could probably be an effect of the resulting high nitrogen availability that is 426 known to increase risk of fungal diseases, but, in general, animal manure is considered to 427 428 promote crop health by increasing soil biological activity (van Bruggen 1995).

429 The crop rotation strategy of a farmer who had practiced organic farming for 25 years

430 (Farmer 15) was based not only on economic and agronomic reasons but also on very strong

431 ecological arguments. He practiced a highly diversified system with several farm income

432 sources: pig, beef, dairy, sheep, poultry and cereals on 170 ha farmland.

He claimed to follow a planned crop rotation most of the time, but sometimes interchanged
crops with similar properties, or changed crops as response to weather conditions. He gave the
following statement on his crop rotation:

"The aim of my crop rotation is to produce enough to make a profit, control pests and weeds
and also enhance biodiversity. One goal is to have enough grains to sell, (which means) more
than we consume, including household consumption. We also look into the resilience of the
farm using different combination and ways of integrating crops and animals. The extension
agent advised me to invest in one species to make greater profit. But I don't want to put all
eggs in one basket."

442 Although the extension agents have advised him to specialise in one type of farm enterprise in order to increase profitability, the farmer had deliberately diversified the farm with several 443 444 crops and animal species. The farmer also mentioned that he thinks his farm will be more sustainable if he has income from diversified sources. He seems to prioritise long-term farm 445 sustainability more than the short-term economic benefit. It has been shown earlier that some 446 447 organic farmers have a long-term concern for sustainability and these farmers are willing to 448 risk a reduced yield in the short-term for a good chance of a higher yield in the future (Mccan et al. 1997). Darnhofer et al. (2005) also suggested that farmers with this focus on 449 450 sustainability are likely to be long-term organic farmers and that they are likely to be willing

to risk foregoing incomes for the cause of organic principles. The farming ideals of Farmer 15seemed to be deeply rooted in the principles of organic farming and his crop rotation with

453 diverse crops and proper length of crop sequence fits well to the rules of crop rotation.

454 [Table 2 near here]

455

456 Concluding discussion

The study illustrates that farmers' past experiences with crop rotation and management 457 greatly influenced the farmers' current crop rotation strategies. The case of arable farmers 458 using 'Biofer' as a substitute for legumes is a good example where the convenience of use and 459 short-term better economic return from consecutive cereal crops makes them choose cereal 460 crops over legumes and perennial crops in the rotation. This allowed them to grow crops 461 according to market demand and price without considering the best possible use of crop 462 rotation. Although, this practice appears to be more of a conventional farming approach, it 463 464 seems to be getting more common among organic farmers in many parts of the world (Lockie & Halpin 2005; Darnhofer et al. 2010; Oelofse et al. 2011). The intensification may also 465 increase the extent to which organic farming relies on nutrient imports from conventional 466 production as discussed by Nowak et al. (2013). The organic standards are characterised by a 467 description of what is not allowed in organic farming rather than describing the positive 468 practices. One of the difficulties of translating the principles of organic farming into practice 469 470 is associated with the interpretation of those principles as there is no single or exact interpretation of these. Padel et al. (2009), Darnhofer et al. (2010) and Dinis et al. (2015) all 471 point out specifically that the principles of organic farming are only partly expressed in the 472 473 certification rules in relation to biodiversity, nutrient cycling etc. Many authors suggest that this can result in a type of organic farming which is very close to conventional farming but 474 475 without the prohibited substances (Allen & Kovach 2000; Constance et al. 2008). The organic farmers in this study who are moving away from diverse crop rotation towards the use of 476 477 purchased organic fertilisers and high-tech solutions of mechanical weeding could be seen as falling into this category. On the contrary, there were also farmers who had experienced the 478 479 problem of diseases, weeds and low yield from their earlier rotation strategy that focused on producing as many cash crops (cereals) as possible, and who have changed their crop rotation 480 481 strategies to address the problems.

483 The results shows that farmers decisions on their crop rotations are not necessarily based on the rules of crop rotations (Castellazzi et al. 2008), and the principles of organic agriculture 484 485 (by IFOAM), but also by factors such as soil type, weeds, price, tradition, etc., as mentioned 486 in the European Commission (2010) report. In addition, our study has identified important 487 trade-offs which farmers have to consider when deciding their crop rotations. The case of arable farmers preferring to grow more cereal crops than perennial ley or annual legumes fits 488 with the ideas of Watson et al. (2002), as these crops are of little economic benefit and also do 489 not increase the total supply of nutrients other than nitrogen. It is logical for the arable 490 farmers to focus on growing profitable cereal crops more frequently in the rotation as their 491 income comes from crops only. However, the evidence of several livestock farmers preferring 492 to grow cereal crops and purchase feed is a general cause for concern about the reliance of 493 organic farming on external (conventional) sources. Kirchmann et al. (2008) reported that 494 75% of organic mixed farms in Austria and Sweden imported fodders from external sources, 495 mainly from conventional farming. Neighbouring farmers with and without animals could 496 497 also collaborate in order to use resources more efficiently at a regional scale, allowing some specialisation while keeping some of the advantages of the diversified systems. 498

499 Most of the livestock farmers in the study region, excluding the dairy farmers, have the features of 'mixed farms' as their crop rotations were based on producing feed for the 500 501 livestock, as well as, cereals for earning direct cash income. This diversification of income sources was evident amongst the long-term organic livestock farmers (more than 10 years of 502 503 certified organic farming) within the group. Their farming aims were to produce sufficient 504 feed as well as different cash crops. The recently converted organic livestock farmers tended 505 to be specialised and focused on producing feed for their livestock and grew few crop species. 506 Zander (2005) showed that personality of the farmer is the key driving factor for diversification among organic farmers in Germany and that presence of highly qualified 507 labour on the farm was a pre-condition to successful diversification. Perhaps, the long-term 508 organic farmers in our study had gained experience and skills through many years of organic 509 510 farming and this might be the reason why they had more diversified systems than the recent 511 organic farmers. The case of long-term organic farmers practicing more diversified farming and adhering to the principles of organic farming than the recently converted ones was also 512 reported in other parts of Europe (Best 2008, Padel 2008; Dinis et al. 2015). 513

We could distinguish three different crop rotation strategies; strict, flexible and liberal crop 514 rotation. Farmers practicing strict crop rotation strategies have a pre-planned crop sequence 515 and followed the sequence stringently through several rotations. Farmers with flexible crop 516 rotation strategies also had a pre-planned crop sequence, but the crop species in the sequence 517 sometimes varied and changed to adapt to environmental conditions and economic 518 considerations (especially cereal price). Finally, farmers practicing liberal crop rotations 519 lacked crop sequence plans and chose crops according to the market price, seed availability, 520 personal preference and weather conditions. Several recently converted organic farmers 521 522 practiced strict crop rotation and their strategy appeared to be mainly related to controlling weeds and diseases in the cereals. Flexible and liberal crop rotation strategies were more 523 524 associated with long-term organic farmers and their rationale was to adapt to, or gain from the changing conditions such as market and weather. 525

In conclusion, farmer's past experiences with the trade-offs between different practices 526 greatly influenced their crop rotation strategies, i.e. strict, flexible or liberal. Irrespective of 527 the farm type, the most important trade-off was to grow frequent cereal cash crops at the 528 529 expense of ley and legumes in the rotation leading to flexibility in their crop rotations. The rotation strategies of long-term organic farmers were much influenced by organic principles 530 and they generally incorporated ley crops in their rotations. Their rationale for flexible and 531 liberal crop rotations was to be able to adapt to changing conditions. Recently converted 532 organic farmers often practiced strict rotation and followed the rules of crop rotations to 533 534 control weeds and diseases. Farmers who chose crops without an intended crop-rotation (liberal) claimed to continuously adapt to prevailing economic and agro-environmental 535 conditions as well as their personal preferences, and their rotation strategy tend to deviate 536 from the rules of crop rotation and organic agriculture. Most livestock farmers built their crop 537 rotation around ley and forage and their overriding aim was to produce sufficient feed, but 538 some preferred to grow more cereals for sale and purchase some feed for better economic 539 540 return. We conclude that despite the multifunctional benefits of ley and crop rotation in organic system, many farmers tend to overlook it for short term economic benefits. As a 541 542 result, these farmers may need to invest in technology or labour for weed control and become more reliant on other external inputs. 543

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726	List of Tables							
727	Table 1. List of keywords for the interviews.							
728								
729	Table 2. Summary of general farm characteristics and farmer's crop rotations, typical							
730	sequence or crops grown, and type of rotation strategy, i.e. strict (always the same crops							
731	grown in rotation if at all possible), flexible (aim for a special rotation and adjust according to							
732	circumstances) and liberal (no special rotation). Ley refers to a crop mixture of red clover and							
733	grasses. All crops except winter wheat and triticale are spring sown.							
734								
/ 54								

735	Table 1. List of keywords for the interviews								
736	5 <u>A .Farm Overview:</u>								
737	1. Size; Labourers; Number of crops, animals. Why?								
738	2. History- ownership, farming type (crops/animals)								
739	<u>B.Farming/cropping systems:</u>								
740	1. Organic/conventional: since when, why?								
741	2. Crop sequence and rotation in the farm								
742	3. Purpose of the sequence/rotation								
743	4. Pros and cons of the rotations, e.g. effect on soil, water, disease/weeds, yield, price								
744	5. Change in crop rotations in the recent past.								
745	6. Source/knowledge of crop rotation from where/whom?								
746	7. Any Intercropping, how and why?								
747	8. Annual crop distribution								
748	9. Crops in the farm (according to area, economic expenditure and benefits),								
749	10. Crops/crop rotations that are most challenging to grow, and why, how it is overcome?								
750	11. Cash crops/how much for internal use? Where he sells, why? Any contract?								
751	<u>C. Decisions:</u>								
752	1. Sowing and harvesting time								
753	2. When and how the decisions on crop choices are taken?								
754	D. Management:								
755	1. Fertiliser/manures and amount (for different crops), internal or external								
756	2. Farm expenditure (ranking)								
757	3. Farm challenges (rank)								
758	4. Subsidies and market price on type of crop/farming								
759	E. Farmers' information:								
760	1. Age: Farming education; Any techniques he learnt from education or visiting farms								
761	abroad								
762									

Table 2. Summary of general farm characteristics and farmer's crop rotations, typical sequence or crops grown, and type of rotation strategy, i.e.
 strict (always the same crops grown in rotation if at all possible), flexible (aim for a special rotation and adjust according to circumstances) and
 liberal (no special rotation). Ley refers to a crop mixture of red clover and grasses. All crops except winter wheat and triticale are spring sown.

Farm no.	Farm type	Farm size (ha)	No. of livestock	Year since conversion to organic	Crop rotation/typical sequence	Rotation strategy
1	Arable	70	0	20	Grow ley, winter wheat, oats, barley	Liberal
2	Arable	150	0	18	Barley (under-sown ley) - ley - ley/black fallow ¹ - winter wheat - winter wheat	Strict
3	Arable	235	0	12	Mostly winter wheat and other cereals, but occasionally also field beans	Liberal
4	Arable	163	0	12	Barley (under-sown with ley) - ley/black fallow ¹ - winter wheat - winter wheat - field beans	Strict
5	Arable	55	0	10	Oats (under-sown) - ley - wheat - oats/peas	Flexible
6	Dairy	90	50	25	Spring barley/oats (under-sown ley) -	Strict

ley - ley - winter wheat

7	Dairy	105	90	13	Barley and pea (under-sown ley) - ley - ley - ley - winter wheat	Strict
8	Dairy	310	280	12	Barley/peas/field beans (under-sown ley)-ley - ley - ley - winter cereal (Wheat/triticale)	Flexible
9	Dairy	75	21	5	Winter wheat/triticale (under sown ley) <u>-</u> ley - ley - winter wheat	Strict
10	Beef/sheep	85	22 beef, 33 sheep	23	Grow at least two years of ley and also other crops such as winter wheat, barley and oats	Liberal
11	Beef	34	35	23	Grow cereals, mostly barley, and ley	Liberal
12	Beef	180	150	11	Oats (under-sown ley) - ley - ley - ley - winter wheat -oats - field beans	Flexible
13	Beef	220	30	10	Mixed grains (under sown with ley) - ley - ley - winter wheat - spring wheat	Strict

14	Sheep	50	60	4	Oats (under-sown ley) - ley - ley - ley - oats/peas -	Strict
15	Mixed	179	110 pig, 20 dairy, 10 beef, 80 sheep, 350 hen	25	Barley (under sown ley) - ley- ley- winter wheat - oat- pea- winter rye	Flexible
16	Pig	145	50	3	Oats (under-sown ley) - <u>ley -</u> ley - winter wheat/spring barley - oats - peas	Strict

⁷⁶⁶ ¹Short period with black fallow to control perennial root weeds between incorporation of ley crop and sowing of winter wheat.