Pure

Scotland's Rural College

Evaluation of cross-protection of a lineage 1 West Nile virus inactivated vaccine against natural infections from a virulent lineage 2 strain in horses, under field conditions

Chaintoutis, SC; Diakakis, N; Papanastassopoulou, M; Banos, G; Dovas, CI

Published in: Clinical and Vaccine Immunology

DOI: 10.1128/CVI.00302-15

First published: 15/07/2015

Document Version Peer reviewed version

Link to publication

Citation for pulished version (APA):

Chaintoutis, SC., Diakakis, N., Papanastassopoulou, M., Banos, G., & Dovas, CI. (2015). Evaluation of cross-protection of a lineage 1 West Nile virus inactivated vaccine against natural infections from a virulent lineage 2 strain in horses, under field conditions. *Clinical and Vaccine Immunology*, 22(9), 1040 - 1049. https://doi.org/10.1128/CVI.00302-15

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
 You may freely distribute the URL identifying the publication in the public portal ?

Take down policy

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

1

1 Title

2 Cross-protection evaluation of a lineage 1 West Nile virus inactivated vaccine against

3 natural infections from a virulent lineage 2 strain in horses, under field conditions

4

5 Authors

- 6 Serafeim C. Chaintoutis^a, Nikolaos Diakakis^b, Maria Papanastassopoulou^c, Georgios
 7 Banos^{d,e,f}, Chrysostomos I. Dovas^a*
- 8

9 Affiliations

- ^a Diagnostic Laboratory, Department of Clinical Sciences, School of Veterinary
- 11 Medicine, Faculty of Health Sciences, Aristotle University of Thessaloniki, 11 Stavrou
- 12 Voutyra str., 54627 Thessaloniki, Greece
- ^bEquine Unit, Companion Animal Clinic, Department of Clinical Sciences, School of
- 14 Veterinary Medicine, Faculty of Health Sciences, Aristotle University of Thessaloniki, 11
- 15 Stavrou Voutyra str., 54627 Thessaloniki, Greece
- ^c Laboratory of Microbiology and Infectious Diseases, School of Veterinary Medicine,
- 17 Faculty of Health Sciences, Aristotle University of Thessaloniki, University Campus,
- 18 54124 Thessaloniki, Greece
- ^d Department of Animal Production, School of Veterinary Medicine, Faculty of Health
- 20 Sciences, Aristotle University of Thessaloniki, University Campus, 54124 Thessaloniki,
- 21 Greece
- ^e SRUC, Easter Bush, Midlothian EH25 9RG, Scotland, United Kingdom
- ¹ The Roslin Institute, Royal (Dick) School of Veterinary Science, Easter Bush,
- 24 Midlothian EH25 9RG, Scotland, United Kingdom

26	*Corresponding author at: Diagnostic Laboratory, School of Veterinary Medicine,
27	Faculty of Health Sciences, Aristotle University of Thessaloniki, 11 Stavrou Voutyra str.,
28	54627 Thessaloniki, Greece. Tel.: +30 2310 999870, Fax: +30 2310 994487 (C.I. Dovas)
29	
30	E-mail addresses: schainto@vet.auth.gr (S.C. Chaintoutis), diakakis@vet.auth.gr (N.
31	Diakakis), mpapanas@vet.auth.gr (M. Papanastassopoulou), banos@vet.auth.gr (G.
32	Banos), dovas@vet.auth.gr (C.I. Dovas)
33	
34	Running title
35	Horse WNV vaccine cross-protection field evaluation
36	
37	Abstract

Although experimental data regarding cross-protection of horse WNV vaccines against 38 lineage 2 infections exist, their cross-protective efficacy under field conditions has not 39 been demonstrated. This study was conducted to evaluate the capability of an inactivated 40 lineage 1 vaccine (Equip[®] WNV) to protect against natural infections from the Nea 41 Santa-Greece-2010 lineage 2 strain. In total 185 WNV-seronegative horses in 42 Thessaloniki-Greece, were selected during two consecutive years (2011-2012). One 43 44 hundred and forty were immunized and 45 were used as controls. Horses were examined for signs compatible with WNV-infection. Neutralizing antibody titers against the Greek 45 46 strain and the PaAn001/France lineage 1 strain were determined in immunized horses. WNV circulation was detected during both years in the study area. It was estimated that 47 48 37% and 27% of the horses were infected during 2011 and 2012, respectively. Three control animals developed clinical signs and WNV-diagnosis was confirmed. Signs 49

50 related to WNV infection were not observed in vaccinated animals. Non-vaccinated 51 animals were associated with a 7.58±1.82% higher chance of exhibiting signs compared to immunized (P<0.05). Neutralizing antibodies raised against both strains in all 52 immunized horses were detectable one month after the initial vaccination course. The 53 cross-protective capacity of the lowest titer (1:40) was evident in 19 animals which were 54 subsequently infected, and did not exhibit signs. Neutralizing antibodies were detectable 55 until the annual booster, where strong anamnestic responses were observed 56 (GMTR_{lin.1}=30.2, GMTR_{lin.2}=27.5). Results indicate that Equip[®] WNV is capable of 57 inducing cross-protection in horses, against natural infections from a virulent lineage 2 58 59 WNV strain.

60

61 Keywords:

West Nile virus, Lineage 1, Lineage 2, Nea Santa-Greece-2010, Horse, Inactivated
vaccine, Cross-protective immunity, Neutralizing antibody titer, Natural infections, Field
evaluation

65

66 Introduction

West Nile virus (WNV) is a single-stranded RNA virus within the Japanese 67 68 encephalitis virus serocomplex, which belongs to the genus *Flavivirus* (family 69 *Flaviviridae*) (1). WNV is maintained in nature by enzootic transmission cycles between certain bird species and ornithophilic mosquitoes (2). Mosquitoes mainly belonging to the 70 71 genus *Culex* can also act as bridge vectors, transmitting the virus to other animal species, 72 including incidental hosts (3-6). Humans and horses are regarded as incidental (dead-end) 73 hosts, as the virus titer developed in their blood is generally too low to infect mosquitoes (7). Nevertheless, WNV infection in susceptible hosts may eventually cause neurological 74 75 disease (8). Regarding horses, the reported clinical signs may vary and these include 76 fever, paraparesis or tetraparesis and ataxia, recumbency and behavioral changes, while

4

- in many clinically affected horses muscle fasciculation and tremors are also present. It is
 expected that deaths will occur in a small percent of the affected animals (9-13).

Phylogenetic analyses of WNV strains isolated worldwide have resulted in the 79 80 identification of 8 genetic lineages of the virus so far (14). Until 2004, only viral strains 81 belonging to lineages 1 and 3 had been found in Europe. The majority of the strains isolated from European outbreaks belong to lineage 1 (15, 16). Lineage 2 includes strains 82 from sub-Saharan Africa and Madagascar, and these had been so far considered of low-83 84 virulence (17). Such strains belonging to lineage 2 were isolated in Hungary (2004), in Austria (2008), as well as in Italy (2008) (16, 18). However, a virulent lineage 2 strain 85 86 (Nea Santa-Greece-2010) was found to be responsible for the occurrence of 4 consecutive 87 epidemic periods (2010-2013) in Greece, with neuroinvasive disease (WNND) cases in humans and horses during all these years (19-20). An amino acid substitution (H₂₄₉P) in 88 the NS3 protein, absent from other closely related European strains, is suspected to be 89 90 associated with the high virulence and neuroinvasiveness of the Greek strain (19). 91 Enzootic transmission of the virus was detected once again in Central Macedonia, the epicenter of 2010 epidemic, during June 2014, using backyard chickens (21). 92

Experimental vaccinations in birds have been applied outside Europe (although 93 bird vaccines against WNV are not commercially available) to a limited extent, especially 94 in endangered bird species (e.g. in California condors) to protect them from fatal WNV 95 infection, or in bird reservoir hosts (e.g. American crows and robins), aiming to reduce 96 97 WNV viremia in them and prevent subsequent transmission of the virus to competent vectors (22-26). Regarding dead-end hosts, for humans only passive immunization 98 99 (intravenous immunoglobulin or hyperimmune gammaglobulin administration) has been 100 used to a limited extent for treatment of patients with WNND (27). No human vaccines 101 against WNV are commercially available at this time, and as a result, active 102 immunization of humans is not possible (28). In contrast, several inactivated and 103 recombinant WNV vaccines for horses have been produced, evaluated and licensed in the USA. Specifically, two inactivated vaccines have been licensed and are being used at this 104 time point in the USA; West Nile-Innovator[®] (Fort Dodge, IA, USA), and Vetera[®] WNV 105

(Boehringer Ingelheim Vetmedica, MO, USA). A recombinant vaccine with a Canarypox 106 virus vector (Recombitek[®] Equine West Nile virus, Merial, GA, USA) is also marketed 107 108 (19, 26, 29). It has been shown that all these immunologicals induce the production of WNV-specific neutralizing antibodies (NAbs) (30) and have proven to be very effective 109 in protecting horses from meningoencephalitis in North America (31). Additionally, a 110 DNA vaccine (West Nile-Innovator DNA[®], Fort Dodge, IA, USA) was approved by the 111 USDA in 2005. Finally, a chimeric vaccine (PreveNile[™], Intervet, KS, USA) containing a 112 strain of Yellow Fever virus (YFV-17D) was approved for marketing in 2006 by USDA, 113 and was later remarketed as killed vaccine, under the name "EquiNile", (19, 26, 32). All 114 these immunologicals have been developed using lineage 1 WNV strains. 115

Along with the emergence of virulent lineage 2 WNV strains in Europe, two of 116 the aforementioned vaccines. West Nile-Innovator [under the name Equip[®] WNV 117 (Zoetis)] as well as Recombitek Equine West Nile virus [under the name Proteq West 118 Nile^{$^{\text{TM}}$}, (Merial)] were authorized in 2011 and are being commercialized in European 119 countries (33, 34). Concomitantly, questions arose whether these commercially available 120 WNV vaccines for horses are effective in protecting them against virulent strains 121 122 belonging to lineage 2, since both of them contain lineage 1 antigens, and their protection 123 had not been extensively evaluated for other lineages. Previous experimental studies indicated that both of these vaccines can lead to the development of cross-protective 124 immunity. Specifically, the recombinant vaccine "ALVAC[®]-WNV" (Merial) is capable 125 126 of immunity induction in horses challenged with the goshawk-Hungary/04 lineage 2 strain (35). Another study which was conducted in mice immunized with the inactivated 127 vaccine "Duvaxyn/Equip[®] WNV" has shown that it provided complete protection against 128 challenge with the SPU93/01 lineage 2 strain (36). A more recent study was conducted in 129 horses, showing that immunization with the Equip[®] WNV vaccine resulted in reduction 130 of the number of viremic animals, the duration and severity of clinical signs of disease 131 and mortality, following experimental infection with the virulent Nea Santa-Greece-2010 132 lineage 2 WNV strain (37). As a result, Equip[®] WNV was recently authorized also for 133 lineage 2, although the duration of immunity has not been established for these strains 134

(33). Nevertheless, results regarding the evaluation of the cross-protection of thesevaccines in field conditions are lacking.

It has been evidenced that, under experimental conditions, the effects of needle 137 WNV inoculation in chickens might differ significantly from those of mosquito-borne 138 139 natural infections (38). It has also been demonstrated that experimental WNV challenge in horses via needle inoculation, or mosquito feeding were not able to induce significant 140 clinical signs (30). In addition, under experimental conditions, cell culture-adapted and 141 142 passaged viruses are used as challenge strains. All these cultivation procedures might have consequent effects on the virulence of the viral strains. Consequently, field 143 144 evaluation of viral vaccines is of utmost importance, in order to truly estimate the degree of cross-protection among different strains. In the present study we evaluated the capacity 145 of the inactivated Equip[®] WNV vaccine to offer cross-protective immunity in horses 146 against natural infections from the highly virulent Nea Santa-Greece-2010 lineage 2 147 148 WNV strain in field conditions.

149

150 Materials and methods

151 Animals

In total 185 mix-bred horses aged 5-18 years old were included in this 2-year 152 study, which took place during the 2011 and 2012 epidemic periods in Greece. The 153 horses belonged in 6 horse riding clubs in Central Macedonia the epicenter of the 2010 154 Greek epidemic. None of the horses had been previously exposed to WNV, as indicated 155 by serological testing with competitive enzyme-linked immunosorbent assay (cELISA) 156 and serum neutralization test (SNT) as described below. Specifically, serological testing 157 was conducted twice; i) one week prior to the initiation of the immunizations in both 158 years, and ii) at the time the first dose of the primary vaccination was conducted, for both 159 years. The health status of each horse was determined prior to its incorporation in the 160 study. Immunizations, blood samplings and clinical examinations of the animals were 161

162 performed by experienced veterinarians. A mixture comprised of oats, muesli and

163 hay/alfalfa hay, was being administrated to the horses, and water was available ad

164 libitum. Trained technicians were responsible for animal husbandry procedures.

165

166 Vaccine and immunization plan

The commercially available ready-to-use vaccine Equip[®] WNV (Zoetis, Louvain-167 la-Neuve, Belgium) was used in this 2-year study. This vaccine contains the inactivated 168 lineage 1 WNV strain New York 1999/VM-2 (isolated from the brain of an infected horse 169 during the 1999 epidemic period in New York, USA) formulated in MetaStim[™] oil 170 emulsion adjuvant, consisting of Squalene, Poloxamer 401 (Pluronic® L121) and 171 Polysorbate 80 (33). Vaccine lots 387BYC01L and 387BYA08A were used in 2011 and 172 2012, respectively. Each dose was administrated via a single intramuscular injection in 173 the neck of the animals. 174

During June-July 2011 an initial double primary vaccination (two doses 175 176 administrated 3 weeks apart) of 85 horses was performed (Fig. 1), while 33 horses were 177 used so as to form the control group (Table 1). During May-June 2012, 79 of the 178 aforementioned vaccinated animals received an annual booster immunization dose of the vaccine. Six of the original 85 horses were excluded during the second year for various 179 180 reasons, e.g. they were moved out of the study area, or were euthanized due to causes 181 irrelevant to WNV-infection. In addition, in May-June 2012, another 55 horses which were seronegative to WNV received a double immunization with the vaccine (Fig. 1). 182 During this period, 21 of the 2011's control animals which were determined as 183 184 seronegative were kept, and along with 12 additional seronegative horses, were used as naïve controls for the 2012 epidemic period (Table 1). The total number of control horses 185 (n = 45) was intentionally limited to approximately 33% of the total number of horses 186 187 used in the study for humane reasons. In each participating horse riding club the 188 vaccinated and control animals were co-mingled and managed similarly.

190 Clinical examination and blood samplings

191 Physical and special neurological examination were being performed on each of 192 the participating horses, at least one week prior to the initiation of immunizations, and 193 until the end of the respective epidemic period. Monitoring was being performed 194 regularly (every 5-6 days) for signs compatible with WNV infection, (e.g. anxiety, 195 muscle fasciculation, head tremor, lip twitching, teeth grinding, ataxia, paresis, head 196 shaking, etc.), along with any other abnormal conditions. Besides the evaluation for the presence of clinical signs due to WNV infection, horses were also being evaluated for 197 local and systemic adverse reactions due to the vaccination. Clinical evaluations were 198 done independent of knowledge of immunization status. 199

200 Blood was collected from all horses in 10 ml plain vacuum tubes at specific time points. For the animals participating from 2011, these time points were W (week) 0, W3, 201 W7, W21, W34, W48, W52, W66, and W72 (Fig. 1). For the animals that participated in 202 the study only during 2012, the respective time points for blood collection were W45(0), 203 W48(3), W52(7), and W72(27) (Fig. 1). Numbers in parentheses indicate the exact week 204 205 number in which samplings were being conducted from the horses that participated only 206 in 2012, beginning from the week that these animals received the first dose of the vaccine (0). Numbers outside parentheses indicate the corresponding week number from the 207 208 beginning of the study (2011). For example, W45(0) indicates week 0 for the horses 209 participating in 2012 (conduction of the first immunization). Concomitantly, this is also 210 week 45, counting from the day in which the first vaccine dose was administrated during 2011 (W0). 211

Additionally, for the confirmation of diagnosis of WNV infection in horses with neurological signs, blood samples were drawn shortly after clinical signs were being noticed. Blood was allowed to clot and tubes were centrifuged $(3,000 \times g, 10 \text{ min}, 4 \text{ °C})$. Sera were transferred to clear 2 ml microcentrifuge tubes and stored at -80 °C until they were assayed.

218 Serological and virological testing

217

Sera obtained from all control horses after the end of both 2011 and 2012 epidemic periods (W21 and W72(27) of the study, respectively) were tested for WNVspecific antibodies (indication of seroconversion), using a commercially available cELISA kit (ID Screen[®] West Nile Competition, ID.vet, Montpellier, France). This analysis was performed in order to confirm that the virus was circulating in the participating horse riding clubs, as well as to estimate the percentage of animals which were exposed to the virus during each epidemic period.

In order to confirm that the vaccine induced the development of cross-protective 226 immunity, sera obtained one month after the completion of the double primary 227 228 vaccination course from all vaccinated horses of both years (W7 and W52(7), respectively) were tested for the presence of NAbs specifically directed against the Nea 229 Santa-Greece-2010 lineage 2 strain, following an existing SNT protocol (39) with slight 230 modifications. Briefly, after heat inactivation at 56 °C for 30 min, sera were two-fold 231 serially diluted (1:5 to 1:2560, in duplicates in 96-well cell culture plates) in Dulbecco's 232 Modified Eagle's Medium (DMEM; Invitrogen-Gibco, Groningen, The Netherlands), and 233 50 μ l of DMEM containing 100 TCID₅₀ (50% tissue culture infectious doses) of the Nea 234 Santa-Greece-2010 strain were added. Controls, reference sera and back titration of the 235 antigen were also included. After incubation of the plates at 37 °C for 1.5 h, 2×10^4 Vero 236 cells in 100 µl of DMEM with 2% penicillin (100 IU/ml) and streptomycin (100 µg/ml), 237 238 2% sodium pyruvate and 10% fetal bovine serum (Invitrogen-Gibco, Groningen, The Netherlands) were added to every well. Plates were incubated at 37 °C for 5 days and 239 240 wells were examined under an inverted light microscope for evidence of viral cytopathic effects. The NAb titer of each serum was calculated as the highest serum dilution in 241 which protection of the cell monolayer was observed. Sera were being considered 242 positive if cells were protected at a dilution $\geq 1:10$. 243

Moreover, sera obtained from all primo-vaccinated horses (naïve horses which received the initial two-dose vaccination course) after the end of each epidemic period (November, W21 and W72(27) of the study) were also tested with the aforementioned SNT protocol and NAb titers were compared to the respective ones developed 1 month after the double primary vaccination (W7 and W52(7), respectively), so as to detect the occurrence of anamnestic humoral immune responses, indicative of natural infections.

In order to evaluate the levels and the duration of the produced NAbs, 23 primo-250 vaccinated animals of the first year (2011) which were not exposed to the virus as 251 indicated by the results of the aforementioned analysis (~40% of the total number of 252 253 vaccinated animals which were determined not to be exposed to WNV during that year), 254 were tested at samples obtained from the day of the first immunization (W0), until one month after the annual booster (W52). SNTs were used to determine the NAb titers 255 against two WNV strains; the Nea Santa-Greece-2010 lineage 2 strain, as well as the 256 257 PaAn001/France lineage 1 strain (kindly provided by Dr. Sylvie Lecollinet, UMR 1161 258 Virology, INRA-ANSES-ENVA, France).

For the confirmation of the diagnosis in control horses with clinical signs, the 259 collected serum samples were tested for the presence of WNV-specific IgM antibodies, 260 using a commercially available IgM antibody capture enzyme-linked immunosorbent 261 assay (MAC-ELISA; IgM WNV Ab Test, IDEXX-Istitut Pourquier, Montpellier, 262 France), following the manufacturer's instructions. Furthermore, RNA was extracted 263 from the sera obtained of the horses with neurological signs using the NucleoSpin[®] RNA 264 virus kit (Macherey-Nagel, Düren, Germany. Extracts were examined using a WNV-265 specific, one tube real-time RT-PCR, using the primer pair WNPolUp (5'-266

267 TTTTGGGAGATGGTGGATGARGA-3') and WNPolDo2 (5'-

268 CCACATGAACCAWATGGCTCTGC-3'), at final concentration of 0.6 µM each, and

the TaqMan probe WNPolProb2 (5'-FAM-TCTCTCTCTCTCTCTCATGTTGT-

270 ZNA5-BHQ1-3' at a final concentration of 0.2 μ M) targeting a 144 bp part of the

271 nonstructural protein 5 (NS5) genomic region of WNV. The limit of detection was

previously determined to be 1 TCID₅₀/ml (40). Amplification reactions were run in a

total volume of 25 μl using 5μl of RNA extract and 20μl of reaction buffer of a

274 commercial RT-PCR kit (One step RT-PCR Qiagen, Hilden, Germany). The thermal

cycling conditions were as follows; 50 °C for 30 min, followed by 95 °C for 15 min and

276 50 cycles in 2 steps: a) 95 °C for 30 sec (denaturation), and b) 60 °C for 40 sec

277 (annealing and extension). The fluorescence levels were measured at the end of each

278 cycle. The assay was performed using the CFX96 Touch[™] Real-Time PCR detection

279 system (Bio-Rad Laboratories, Hercules, CA, USA). Analysis of data was conducted

using the CFXTM Software (Version 3.0, Bio-Rad Laboratories, Hercules, CA, USA).

281

282 Statistical analysis

The effect of immunization on the presence of clinical signs was assessed with an odds ratio and a mixed model analysis.

The odds ratio analysis considered non-vaccinated animals as the control and vaccinated animals as the intervention (case) group. The odds ratio was calculated as follows (41):

288
$$OR = \frac{a}{b} / \frac{c}{d}$$
[1]

where OR = odds ratio, a = number of vaccinated horses with clinical signs, b = number of vaccinated horses without clinical signs, c = number of non-vaccinated horses with clinical signs and d = number of non-vaccinated horses without clinical signs.

The significance of the odd ratio was assessed by the confidence interval which was calculated as follows:

294
$$95\% CI = e^{(\ln(OR) \pm 1.96 \cdot SE \{\ln(OR)\}}$$
[2]

where 95%CI = 95% confidence interval, ln = natural logarithm, SE = standard error and OR = odds ratio as in equation 1; the standard error was calculated as follows:

297
$$SE\{\ln(OR)\} = \sqrt{\frac{1}{a} + \frac{1}{b} + \frac{1}{c} + \frac{1}{d}}$$
 [3]

where SE = as in equation 2 and a, b, c, d = as in equation 1.

In order to accommodate possible values equal to zero in the calculation of the odds ratio or its standard error, 0.5 may be added to all cells (42-43). An odds ratio significantly smaller than unity would suggest that intervention (i.e., immunization), is better than the control.

The mixed model analysis was based on the following model:

304
$$Y_{ijklm} = \mu + CY_i + RC_j + VS_k + A_l + e_{ijklm}$$
 [4]

where *Y* = presence or absence of clinical signs for the *l*th animal, μ = overall mean, *CY* = fixed effect of calendar year *i* (*i* = 2011-2012), *RC* = fixed effect of riding club *j* (*j* = 1-6), *VS* = vaccination status *k* (*k* = 0 for non-vaccinated and 1 for vaccinated animals), *A* = random animal effect reflecting the individual response of each horse and *e* = random residual.

Model 4 fitted a logit function to account for the binary nature of the trait (presence or absence of clinical signs). The outcomes of this model served as confirmation of the odds ratio analysis with the additional benefit of the quantification of the vaccination effect on presence of clinical signs, adjusted for all other factors included in model 4. The mixed model analysis was conducted with the ASReml software (44).

The two analyses, odds ratio and mixed model, were run once considering all animals and then a second time including only the infected horses.

NAb titers were used to calculate the geometrical mean titer (GMT) for each sampling time point and against each viral strain. The comparison of the GMTs against the two viral lineage antigens was performed at all sampling time points, using a paired two-tailed Student's *t*-test. A value of P < 0.05 was considered to be statistically significant. In order to estimate the strength of the anamnestic immune responses (e.g. due to the booster) between two sampling points (w, z) and against the same lineage (x),

geometrical mean titer ratio (GMTR) was calculated as follows: $GMTR_x = GMT_z / I$

324 GMT_{*w*}.

325

Investigation of the immunological similarity between the vaccine and the circulatingviral strains

In an effort to interpret the immunological cross-reactivity between the NAbs 328 329 produced against the vaccine strain (New York 1999/VM-2, GenBank Acc. No. AF260967) and the lineage 2 strain circulating in the study area (Nea Santa-Greece-2010, 330 331 GenBank Acc. No. HQ537483), we compared the identity of the Envelope (E) protein peptide sequences of the two strains. Furthermore, the respective peptide sequence of a 332 333 lineage 2 strain isolated in South Africa (SA93/01, Gen.Bank. Acc. No. EF429198) was included in these comparisons. Multiple alignments of E protein sequences were 334 conducted using the MEGA v.6.06 software (45), and amino acid substitutions were 335 visualized using BioEdit v.7.2.5 software (46). 336

337

338 Animal ethics

Animal studies have been performed in accordance to the International Guiding Principles for Biomedical Research involving animals, as issued by the Council for International Organizations of Medical Sciences. All horse owners gave their consent for the immunizations, blood sampling and serological testing prior to the commencement of the study. This study was performed in compliance with national guidelines and EU regulations, as well as by local Ethics Committees of the School of Veterinary Medicine, Aristotle University of Thessaloniki.

346

347 **Results**

348 WNV circulation in the study area

The presence and circulation of the virus in the study area was confirmed for both 349 2011 and 2012, by studies conducted in captive sentinel chickens and mosquitoes, as 350 351 already described (40, 47-48). Specifically, chickens were placed in cages in close 352 proximity to the participating horse riding clubs, and exposed to mosquitoes throughout both epidemic periods, followed by serological and virological testing. Mosquitoes which 353 were being collected throughout May-October of both years were also tested. Molecular 354 characterization of the circulating viral strain during both 2011 and 2012 in chickens and 355 mosquitoes confirmed that the virulent Nea Santa-Greece-2010 was the only strain 356 357 detected in Central Macedonia (40, 47-48).

358

359 WNV natural infections in control and immunized horses

Serological testing of control horses during November of each year [W21,
W72(27)] indicated that WNV circulated in all the participating horse riding clubs,
during both 2011 and 2012 epidemic seasons. Specifically, 12 out of 33 control animals
of 2011 (36%) and 9 out of 33 control animals of the 2012 period (27%) seroconverted to
WNV as evidenced by cELISA testing (Table 1).

Comparative evaluation of NAb titers of sera obtained from all primo-vaccinated 365 animals one month after the double vaccination and after the end of each epidemic period 366 (November), indicated that anamnestic humoral responses (WNV infections) were 367 evident in 32 of 85 primo-vaccinated horses of 2011 (38% of the immunized horses) and 368 in 14 of 55 primo-vaccinated horses of 2012 (26% of the horses which received a primary 369 370 immunization during the later year). Specifically, GMT increased from 1:67 to 1:1083 $(GMTR = 16.2, -\log_2 4 - fold increase)$. Natural infections, during 2012, of those horses 371 that were exposed for a second consecutive year could not be determined directly by 372 SNTs (due to the booster), but were calculated indirectly based on the respective 373 percentages of the seroconverted control horses, as well as on the percentages of primo-374

vaccinated horses in which anamnestic humoral responses due to infections were

- detected, for the two years. As a result, it is estimated that from the 52 horses which
- remained uninfected during 2011 and received an annual booster in 2012, 15 animals
- were subsequently infected from WNV during the second epidemic season (Table 1).
- Combinatory analysis of all these results obtained from serological testing applied in control and vaccinated horses indicate in total 44 out of 118 horses (37%) were infected during 2011. The respective infection rate for 2012 was estimated to be 27% (Table 1). Infection rates between the horse riding clubs ranged between 18 and 60% for 2011 and between 18 and 47% for 2012.

384

385 Vaccine safety

Regarding the adverse reactions of the applied vaccine, only one out of 140 immunized animals (0.7%) developed a local reaction, on the site of the injection. This was a mild swelling which was developed after the second injection of the first year, and it was observed again in the same animal after the annual booster. During the aforementioned occurrences, resolution of the lesion was observed within a few days, without any interventions, and without other effects on the health of the animal.

392

393 Neutralizing antibody responses in immunized horses

One month after the initial double vaccination, NAbs against the Greek lineage 2 strain were induced in all vaccinated animals with a GMT of 1:102 (titer range: 1:40 to 1:320). Briefly, in 67 out of the 140 vaccinated animals (47.9%; 95% CI: 39-56%), an intermediate neutralizing activity was observed (titers 1:40-1:80). Twenty-six of these animals developed a NAb titer of 1:40 and 41 animals were presented with a titer of 1:80. Higher neutralizing responses were observed in sera from the remaining 73 vaccinated animals (52.1%; 95% CI: 44-61%). Nineteen out of the 46 primo-vaccinated horses of 401 2011 and 2012 (41%) which were subsequently infected (32 and 14, respectively) as

402

determined by SNT (Table 1), had a NAb titer of 1:40 against the Nea Santa-Greece-

403

3 2010 strain, one month after the primary immunization course.

404 Moreover, application of SNT in 23 of the 53 primo-vaccinated horses of 2011 which were revealed to not be naturally infected indicated that GMTs against the lineage 405 1 strain were higher than the respective titer against the lineage 2 strain, at all sampling 406 points. NAbs in the sera of these animals were being consistently detected against the two 407 408 viral lineage antigens, at all sampling points, and until the annual booster immunization 409 (Fig. 2). However, paired *t*-test analysis revealed no significant differences in the GMTs 410 against the two WNV strains in the sera of the 23 vaccinated horses, at all sampling 411 points (P > 0.28). Specifically, analysis of the NAb titers raised against the two viral lineage antigens one month after the completion of the double primary vaccination course 412 413 (i.e. on W7) indicated that the GMT for lineage 1 was 1:175 (titer range: 1:80 to 1:320), 414 while the respective value for lineage 2 was 1:112 (titer range: 1:40 to 1:160). Individual 415 NAb titers indicated that in 9 out of 23 animals (39.1; 95% CI: 20-61%) NAb titers were the same against both antigens. In 13 out of 23 animals (56.5; 95% CI: 35-76%) titers 416 against the lineage 1 antigen were higher than the respective titer raised against lineage 2 417 by one serial dilution, while in one serum (4.4; 95% CI: 0.1-24%) a titer difference of 2 418 dilutions between the two viral lineage antigens was observed. Further comparison of 419 NAb titers throughout the year indicate that those for lineage 1 were consistently higher 420 421 than the respective values determined for lineage 2.

422 One month after the annual booster a strong titer increase was observed against both strains (Fig. 2). Achieved NAb titers were well above their initial peak (one month 423 424 after the initial double primary vaccination). Specifically, $\geq \log_2 4$ -fold titer increase was observed in all cases, regarding the NAb titers against both PaAn001/France lineage 1 425 426 $(GMTR_{lin.1} = 30.2)$ and Nea Santa-Greece-2010 lineage 2 $(GMTR_{lin.2} = 27.5)$ strains. A 427 similar degree of immunoreactivity (titer increase ≥ 4 two-fold serial dilutions) was also observed in the vaccinated animals which were naturally infected. In all these anamnestic 428 429 immune responses NAb titers were determined to be \geq 1:320. The GMT for lineage 1 one

430 month after the annual booster (i.e. on W52) was determined to be 1:1894 (titer range: 431 1:640 to \geq 1:2560), whereas the respective value for the lineage 2 antigen was 1:722 (titer range: 1:320 to 1:1280). In terms of NAb titer differences between the two viral lineage 432 antigens, it was indicated that in 15 out of 23 animals (65.2; 95% CI: 43-83%) NAb titers 433 against the lineage 1 antigen were higher than the respective titer raised against lineage 2 434 by one serial dilution. In 7 out of 23 animals (30.4; 95% CI: 14-53%) titers differed by 435 two serial dilutions, and in one serum (4.4; 95% CI: 0.1-24%) a titer difference of 3 436 dilutions was observed. 437

438

439 Clinical signs, confirmation of the diagnosis and cross-protective efficacy of the vaccine

None of the 140 vaccinated horses (0%) showed any clinical signs related to 440 WNV infection during both epidemic periods. In contrast, 3 out of 45 control animals 441 (7%) showed clinical signs due to WNV infection. The odds ratio, considering all 442 animals, was 0.0432 (95% CI: 0.0022-0.8534). The fact that the CI did not cross 1 443 implies a statistically significant (P < 0.05) difference between vaccinated and non-444 vaccinated horses with regards to presence of clinical signs. The same analysis based on 445 the infected animals only (61 immunized and 21 controls) returned an odds ratio of 446 0.0423 (95% CI: 0.0021-0.08562), implying that immunization was also beneficial for 447 animals that were naturally infected. 448

449 More specifically, during the 2011 epidemic period, clinical signs were detected 450 in one out of the 12 seroconverted control animals (August 13). During the 2012 epidemic period, 2 out of the 9 seroconverted horses of the control group showed clinical 451 452 signs (August 25 and September 8, respectively). These signs included fever, weakness of hind limbs, ataxia, muscle twitching and tremors in all 3 affected animals. Diagnosis 453 454 was confirmed, as WNV-specific IgM antibodies were detected in all of them by MAC-455 ELISA testing. WNV RNA was not detected (no Ct values obtained). Consequently, it was not possible to detect the virus in the obtained blood sera, since they were drawn 456 457 after the initiation of the clinical signs and probably past the viremia stage. The 3 horses

received supportive treatment (dexamethasone, vitamin B complex supplements andphenylbutazone) that led to the resolution of clinical signs within a few days.

The effect of vaccination on preventing clinical signs was confirmed and 460 461 quantified with mixed model analysis. Vaccination status had a significant effect on 462 clinical signs, with non-immunized animals being associated with a $7.58\pm1.82\%$ (P < (0.05) higher chance of exhibiting signs compared to immunized animals. This value was 463 derived from the analysis of all animals with mixed model 4. The value reflects the effect 464 of immunization on the presence of clinical signs and describes the difference between 465 the marginal means of vaccinated and non-vaccinated animals, adjusted for all other 466 467 effects in model 4. The corresponding value from the analysis of infected animals only 468 was $14.22\pm1.43\%$ (P < 0.05), suggesting that the vaccination effect was even stronger for naturally infected horses. 469

470

471 E protein amino acid sequence comparisons

472 For the interpretation of the reactivity between the NAbs raised against the 473 vaccine strain and virus strains belonging to lineage 2, the immunological similarity of E 474 protein peptide sequences was investigated. No differences were observed between the peptide sequences of the Greek and African lineage 2 strains. Sequence comparison of 475 476 the lineage 1 vaccine strain with those of the lineage 2 strains, indicated 23 amino acid 477 substitutions. Specifically, 3 of these substitutions were observed in structural domain I 478 (DI; L₁₃₁Q, V₁₅₉I, and A₁₇₂S), 15 substitutions were identified in DII (E₅₅D, T₆₄S, K₇₁R, D₈₃E, R₉₃K, S₁₂₂T, I₁₂₆T, R₁₂₈W, T₁₂₉I, N₁₉₉S, T₂₀₅S, T₂₀₈A, T₂₁₀S, V₂₃₂T, and I₂₅₃V), 2 479 480 substitutions were present in DIII (L₃₁₂A, and A₃₆₉S), and 3 more substitutions were found in the transmembrane domain/stem region (K₄₁₃R, V₄₄₂I, and L₄₈₃M) (Fig. 3). 481

482

483 **Discussion**

484 Two doses of the vaccine, administrated 3 weeks apart in immunologically naïve 485 horses resulted in the development of adequate cross-protective immunity against 486 development of neurological signs due to natural infections from the Nea Santa-Greece-2010 lineage 2 strain during the following epidemic period, as indicated by the lack of 487 occurrence of clinical signs in any of the immunized animals. Immunization using the 488 aforementioned vaccine may not prevent horses from being infected from lineage 2 489 strains, but can reduce the number of viremic horses, the viremia duration and titer in the 490 infected animals, the duration and severity of clinical signs and the mortality, as it has 491 492 already been described (34, 37). In our case, although detection of severe cases in horses was effective due to the experience of the involved veterinarians, it is possible that mild 493 clinical occurrences could have not been not iced. However, since no supportive 494 treatment was required, the impact of these cases was insignificant. Adverse reactions 495 due to the vaccine were minimal. Our findings confirm that although the majority of 496 497 infections in horses were subclinical, a high percentage (14%) of the seroconverted non vaccinated horses exhibited neurological signs. This is in agreement to a similar 498 499 percentage (19%) of neurological manifestations-to-infections reported for this virus strain during the 2010 epidemic in Greece (49). Interestingly, slightly lower morbidity 500 (10%) within infected horses has been reported for lineage 1 WNV strains (50-52). 501

Despite the use of adjuvants, long-term immunity is not a feature of inactivated 502 vaccines. Although the duration of immunity for lineage 1 strains has been determined 503 504 (12 months after the primary vaccination course) (33), relevant information for lineage 2 is lacking. Previous studies with Equip[®] WNV have indicated that immunized horses 505 maintained NAb titers $\geq 1:100$ against lineage 1, for 5-7 months, as determined by plaque 506 reduction neutralization test (PRNT) (53). In another study it was shown that neutralizing 507 responses were maintained for 6 months after vaccination of immunologically naïve 508 horses (54). In a more recent study, it has been demonstrated that NAbs could be detected 509 510 at samples obtained one year after the primary vaccination course of naïve horses, although a decline in neutralizing titers was observed (55). In our case, NAbs against the 511 lineage 2 strain were developed in all vaccinated animals (titer range: 1:40 to 1:320, 512

513 GMT = 1:102) one month after the initial double vaccination. Although a titer decline 514 was observed through time, as evidenced by testing of vaccinated animals that were not infected (GMT < 1:100 on week 34), NAbs were detectable until the annual booster. The 515 lowest neutralizing response of 1:40 against the lineage 2 strain was observed in 41% of 516 the primo-vaccinated horses of both years (n = 19) which were subsequently infected. 517 The fact that these 19 horses did not exhibit clinical signs due to WNV infection indicates 518 that NAb titers as low as 1:40 one month after the primary immunization course can be 519 protective against natural infections from the Nea Santa-Greece-2010. It can be 520 hypothesized that humoral immunity against lineage 2 lasts at least until W21, based 521 solely on the GMTs against the lineage 2 strain which were >1:100 for these sampling 522 time points, although individual titers >1:100 were detected until W34. GMTs against the 523 PaAn001/France lineage 1 were higher than the respective titers against lineage 2, which 524 is in agreement with other studies (36, 55). In our case comparison of GMTs against the 525 two lineages revealed no significant differences. The applied immunization scheme 526 resulted in development of adequate B-cell memory, as indicated by the strong responses 527 528 observed after annual boosters and natural infections. Results regarding these responses are supported by a previous study, in which significant NAb titer increase ($\log_2 5$ -fold) 529 against both lineages is described, and titers achieved were well above their peak 530 observed after the initial vaccination (55). It has been previously demonstrated that 531 horses immunized with this vaccine also developed antigen-specific cellular responses 532 $(CD4^+ and CD8^+ IFN-\gamma expression, cellular proliferation and IL-4 expression in CD4^+$ 533 534 PBMCs) (54), indicating that, besides humoral immunity, the vaccine induces T-cell 535 responses, which might have an additional contribution to the cross-protection of the 536 naturally infected horses.

537 WNV E protein is a major determinant of tropism and the primary target of NAbs. 538 Neutralizing epitopes have been identified mainly on DIII of E protein, and specifically, 539 on residues 306, 307, 330 and 332 (56-58). Additional neutralizing epitopes have been 540 identified on several residues of DI and DII, although the observed neutralizing activity 541 for these regions is weaker (59). In our case, no changes were observed at residues S_{306} ,

542 K_{307} , T_{330} and T_{332} , which serve as major DIII neutralizing epitopes (56-58). However 543 escape from neutralization has been associated with the L₃₁₂A substitution, which was present in "Nea Santa-Greece-2010", as it is in several WNV strains (60). No changes 544 were observed in residues W_{101} , G_{106} and L_{107} , antigenic sites of the fusion loop located 545 within DII (DII-FL, residues 98-109), which act as target of cross-NAbs among different 546 species of the genus *Flavivirus* (61-63). Despite the $L_{312}A$ substitution, the findings of 547 the present study ultimately suggest that under field conditions, adequate cross-548 neutralization is capable of providing a high degree of protection. 549

Different WNV lineages, characterized by varying virulence and 550 551 neuroinvasiveness co-circulate in Europe (63), and knowledge regarding the cross-552 protection is prerequisite. However, since outbreaks in horses were limited and unpredictable, immunizations have been performed extensively (26), regardless the 553 degree of cross-protection between circulating and the vaccine strain. For the purpose of 554 555 in-field evaluations of arbovirus vaccines, identification of the circulating strain 556 comprises a necessity. In our case, it was not possible to detect the virus in the affected horses. This was anticipated, given that in horses WNV detection is hampered by the 557 558 short viremia duration which precedes the onset of clinical signs (7, 49, 51). Therefore, WNV surveillance data from birds and mosquitoes, indicating that the only strain 559 circulating during both years was the Nea Santa-Greece-2010 were utilized (46-48). 560 Mixed model analysis seems to be a more accurate approach for in-field vaccine 561 562 evaluations, as many factors are involved and should be taken into consideration. It was also possible to quantify the favorable effect of the immunization on the presence of 563 564 clinical signs. Immunizations using inactivated lineage 1 vaccines can effectively protect horses from the development of neurological signs due to natural infections of virulent 565 lineage 2 WNV strains. Since pathogenesis and antiviral immune responses against WNV 566 in horses and humans are similar, our results could be of value in the future, for the 567 568 possible evaluation of a candidate human vaccine.

569

570 **Conflict of interest**

571 Funding was provided by Zoetis Inc.

572

573 Acknowledgements

- 574 Eleni Pavlidou (Zoetis Inc., Athens, Greece) is acknowledged for her support.
- 575 Acknowledgements are expressed to all the horse owners who gave their consent for the
- 576 immunizations, blood samplings and laboratory testing, as well as all horse riding club
- 577 owners and the animal husbandry technical staff who allowed access to their premises
- and aided us with our study. Panagiota Tyrnenopoulou (Equine Unit, Companion Animal
- 579 Clinic, School of Veterinary Medicine, Aristotle University of Thessaloniki) is thanked
- 580 for her contribution in immunizations and blood samplings. The authors would also like
- to express their acknowledgments to Dr. Sylvie Lecollinet (UMR 1161 Virology, INRA-
- 582 ANSES-ENVA, France) for providing the PaAn001/France lineage 1 WNV strain. Prof.
- 583 Orestis Papadopoulos is also thanked for valuable discussions. Serafeim C. Chaintoutis
- was supported by the Alexander S. Onassis Public Benefit Foundation.
- 585

586 **References**

- Zeller HG, Schuffenecker I. 2004. West Nile Virus: An Overview of Its Spread in
 Europe and the Mediterranean Basin in Contrast to its Spread in the Americas.
 Eur. J. Clin. Microbiol. Infect. Dis. 23:147–56.
- Hayes EB, Komar N, Nasci RS, Montgomery SP, O'Leary DR, Campbell GL.
 2005. Epidemiology and transmission dynamics of West Nile virus disease.
 Emerg. Infect. Dis. 11:1167–73.
- 593 3. Rappole JH, Hubálek Z. 2003. Migratory birds and West Nile virus. J. Appl.
 594 Microbiol. 94:47–58.

595	4.	Linke S, Niedrig M, Kaiser A, Ellerbrok H, Müller K, Müller T, Conraths FJ,
596		Mühle RU, Schmidt D, Köppen U, Bairlein F, Berthold P, Pauli G. 2007.
597		Serologic evidence of West Nile virus infections in wild birds captured in
598		Germany. Am. J. Trop. Med. Hyg. 77: 358-64.
599	5.	Kramer LD, Styer LM, Ebel GD. 2008. A global perspective on the epidemiology
600		of West Nile virus. Annu. Rev. Entomol. 53:61-81.
601	6.	Engler O, Savini G, Papa A, Figuerola J, Groschup MH, Kampen H, Medlock J,
602		Vaux A, Wilson AJ, Werner D, Jöst H, Goffredo M, Capelli G, Federici V,
603		Tonolla M, Patocchi N, Flacio E, Portmann J, Rossi-Pedruzzi A, Mourelatos S,
604		Ruiz S, Vázquez A, Calzolari M, Bonilauri P, Dottori M, Schaffner F, Mathis A,
605		Johnson N. 2013. European surveillance for West Nile virus in mosquito
606		populations. Int. J. Environ. Res. Public Health 10:4869–95.
607	7.	Dauphin G, Zientara S, Zeller H, Murgue B. 2004. West Nile: worldwide current
608		situation in animals and humans. Comp. Immunol. Microbiol. Infect. Dis. 27:343-
609		55.
610	8.	Hayes EB, Sejvar JJ, Zaki SR, Lanciotti RS, Bode AV, Campbell GL. 2005.
611		Virology, pathology, and clinical manifestations of West Nile virus disease.
612		Emerg. Infect. Dis. 11:1174–9.
613	9.	Cantile C, di Guardo G, Eleni C, Arispici M. 2000. Clinical and
614		neuropathological features of West Nile virus equine encephalomyelitis in Italy.
615		Equine Vet. J. 32:31–5.
616	10	. Steinman A, Banet C, Sutton GA, Yadin H, Hadar S, Brill A. 2002. Clinical signs
617		of West Nile virus encephalomyelitis in horses during the outbreak in Israel in
618		2000. Vet. Rec. 151:47–9.

619	11. Weese JS, Baird JD, DeLay J, Kenney DG, Staempfli HR, Viel L, Parent J,
620	Smith-Maxie L, Poma R. 2003. West Nile virus encephalomyelitis in horses in
621	Ontario: 28 cases. Can. Vet. J. 44:469–73.
622	12. Venter M, Human S, Zaayman D, Gerdes GH, Williams J, Steyl J, Leman PA,
623	Paweska JT, Setzkorn H, Rous G, Murray S, Parker R, Donnellan C, Swanepoel
624	R. 2009. Lineage 2 West Nile virus as cause of fatal neurologic disease in horses,
625	South Africa. Emerg. Infect. Dis. 15:877–84.
626	13. Kutasi O, Bakonyi T, Lecollinet S, Biksi I, Ferenczi E, Bahuon C, Sardi S,
627	Zientara S, Szenci O. 2011. Equine encephalomyelitis outbreak caused by a
628	genetic lineage 2 West Nile virus in Hungary. J. Vet. Intern. Med. 25:586–91.
629	14. Vazquez A, Sánchez-Seco MP, Ruiz S, Molero F, Hernández L, Moreno J,
630	Magallanes A, Tejedor CG, Tenorio A. 2010. Putative new lineage of West Nile
631	virus, Spain. Emerg. Infect. Dis. 16:549–52.
632	15. Bakonyi T, Ivanics E, Erdélyi K, Ursu K, Ferenczi E, Weissenböck H, Nowotny
633	N. 2006. Lineage 1 and 2 strains of encephalitic West Nile virus, central Europe.
634	Emerg. Infect. Dis. 12:618–23.
635	16. Calistri P, Giovannini A, Hubalek Z, Ionescu A, Monaco F, Savini G, Lelli R.
636	2010. Epidemiology of West Nile in Europe and in the Mediterranean basin. Open
637	Virol. J. 4:29–37.
638	17. Murgue B, Zeller H, Deubel V. 2002. The ecology and epidemiology of West
639	Nile virus in Africa, Europe and Asia. Curr. Top. Microbiol. Immunol. 267:195–
640	221.
641	18. Savini G, Capelli G, Monaco F, Polci A, Russo F, Di Gennaro A, Marini V,
642	Teodori L, Montarsi F, Pinoni C, Pisciella M, Terregino C, Marangon S, Capua I,
643	Lelli R. 2012. Evidence of West Nile virus lineage 2 circulation in Northern Italy.
644	Vet. Microbiol. 158:267–73.

645	19. Marka A, Diamantidis A, Papa A, Valiakos G, Chaintoutis SC, Doukas D,
646	Tserkezou P, Giannakopoulos A, Papaspyropoulos K, Patsoula E, Badieritakis E,
647	Baka A, Tseroni M, Pervanidou D, Papadopoulos NT, Koliopoulos G, Tontis D,
648	Dovas CI, Billinis C, Tsakris A, Kremastinou J, Hadjichristodoulou C. 2013.
649	West Nile Virus State of the Art Report of MALWEST Project. Int. J. Environ.
650	Res. Public Health 10:6534–610.
651	20. Hellenic Center for Disease Control and Prevention (HCDCP). Report on West
652	Nile Virus Epidemic; 2013. http://goo.gl/lsJaeC [cited 2015 March 15].
653	21. Chaintoutis SC, Gewehr S, Danis K, Kalaitzopoulou S, Antalis V,
654	Papanastassopoulou M, Mourelatos S, Panagiotopoulos T, Hadjichristodoulou C,
655	Dovas CI. 2014. Surveillance and early warning of West Nile virus lineage 2
656	using domestic pigeons and backyard chickens. Proceedings of the 19th Congress
657	of the European Society for Vector Ecology.
658	22. Turell MJ, Bunning M, Ludwig GV, Ortman B, Chang J, Speaker T, Spielman A,
659	McLean R, Komar N, Gates R, McNamara T, Creekmore T, Farley L, Mitchell
660	CJ. 2003. DNA vaccine for West Nile virus infection in fish crows (Corvus
661	ossifragus). Emerg. Infect. Dis. 9:1077-81.
662	23. Bunning ML, Fox PE, Bowen RA, Komar N, Chang G-JJ, Speaker TJ, Stephens
663	MR, Nemeth N, Panella NA, Langevin SA, Gordy P, Teehee M, Bright PR, Turell
664	MJ. 2007. DNA vaccination of the American crow (Corvus brachyrhynchos)
665	provides partial protection against lethal challenge with West Nile virus. Avian
666	Dis. 51:573–7.
667	24. Chang G-JJ, Davis BS, Stringfield C, Lutz C. 2007. Prospective immunization of
668	the endangered California condors (Gymnogyps californianus) protects this
669	species from lethal West Nile virus infection. Vaccine 25:2325–30.

670	25. Kilpatrick AM, Dupuis AP, Chang G-JJ, Kramer LD. 2010. DNA vaccination of
671	American robins (Turdus migratorius) against West Nile virus. Vector Borne
672	Zoonotic Dis. 10:377–80.
673	26. Beck C, Jimenez-Clavero MA, Leblond A, Durand B, Nowotny N, Leparc-
674	Goffart I, Zientara S, Jourdain E, Lecollinet S. 2013. Flaviviruses in Europe:
675	Complex Circulation Patterns and Their Consequences for the Diagnosis and
676	Control of West Nile Disease. Intern. J. Environ. Res. Public Health 10:6049-83.
677	27. Agrawal A-G, Petersen LR. 2003. Human Immunoglobulin as a Treatment for
678	West Nile Virus Infection. J. Infect. Dis. 188:1–4.
679	28. Brandler S, Tangy F. 2013. Vaccines in development against West Nile virus.
680	Viruses 5:2384–409.
681	29. Ng T, Hataway D, Jennings N, Champ D, Chiang YW, Chu HJ, 2003. Equine
682	vaccine for West Nile virus. Dev. Biol. 114:221-7.
683	30. Seino KK, Long MT, Gibbs EP, Bowen RA, Beachboard SE, Humphrey PP,
684	Dixon MA, Bourgeois MA. 2007. Comparative efficacies of three commercially
685	available vaccines against West Nile virus (WNV) in a short-duration challenge
686	trial involving an equine WNV encephalitis model. Clin. Vaccine Immunol.
687	14:1465–71.
688	31. Epp T, Waldner C, Townsend HGG. 2007. A case-control study of factors
689	associated with development of clinical disease due to West Nile virus,
690	Saskatchewan 2003. Equine Vet. J. 39:498–503.
691	32. EquiNile with Havlogen, Material Safety Data Sheet. Intervet Shering-Plough
692	Animal Health Nr. SP002579. Retrieved from
693	http://merckusa.compassites.com/product/view/6324025 [cited 2015 March 15]
694	33. European Medicines Agency (EMA) EPAR Product information Equip WNV
695	http://www.ema.europa.eu/docs/en_GB/document_library/EPAR

696	Product Information/veterinary/000137/WC500063683.pdf [cited 2015 March
697	15].
698	34. European Medicines Agency (EMA). EPAR Summary for the public Proteq West
699	Nile <u>http://www.ema.europa.eu/docs/en_GB/document_library/EPAR</u>
700	
701	March 15].
702	35. Minke JM, Siger L, Cupillard L, Powers B, Bakonyi T, Boyum S, Nowotny N,
703	Bowen R. 2011. Protection provided by a recombinant ALVAC [®] -WNV vaccine
704	expressing the prM/E genes of a lineage 1 strain of WNV against a virulent
705	challenge with a lineage 2 strain. Vaccine 29:4608–12.
706	36. Venter M, van Vuren PJ, Mentoor J, Paweska J, Williams J. 2013. Inactivated
707	West Nile Virus (WNV) vaccine, Duvaxyn WNV, protects against a highly
708	neuroinvasive lineage 2 WNV strain in mice. Vaccine 31:3856–62.
709	37. Bowen RA, Bosco-Lauth A, Syvrud K, Thomas A, Meinert TR, Ludlow DR,
710	Cook C, Salt J, Ons E. 2014. Protection of horses from West Nile virus Lineage 2
711	challenge following immunization with a whole, inactivated WNV lineage 1
712	vaccine. Vaccine 32:5455–9.
713	38. Styer LM, Bernard KA, Kramer LD. 2006. Enhanced early West Nile virus
714	infection in young chickens infected by mosquito bite: effect of viral dose. Am J
715	Trop Med Hyg. 75:337-45.
716	39. Chaintoutis SC, Dovas CI, Papanastassopoulou M, Gewehr S, Danis K, Beck C,
717	Lecollinet S, Antalis V, Kalaitzopoulou S, Panagiotopoulos T, Mourelatos S,
718	Zientara S, Papadopoulos O. 2014. Evaluation of a West Nile virus surveillance
719	and early warning system in Greece, based on domestic pigeons. Comp. Immunol.
720	Microbiol. Infect. Dis. 37:131–41.
, 20	······································

721	40. Chaskopoulou A, Dovas CI, Chaintoutis SC, Kashefi J, Koehler P,
722	Papanastassopoulou M. 2013. Detection and early warning of West Nile virus
723	circulation in Central Macedonia, Greece, using sentinel chickens and
724	mosquitoes. Vector Borne Zoonotic Dis. 13:723-32.
725	41. Altman DG. 2006. Practical Statistics for Medical Research, 2nd ed. Chapman &
726	Hall/CRC Tests in Statistical Science, London, UK
727	42. Pagano M, Gauvreau K. 2000. Principles of biostatistics. 2nd ed. Belmont, CA:
728	Brooks/Cole.
729	43. Deeks JJ, Higgins JPT. 2010. Statistical algorithms in Review Manager 5.
730	Retrieved from http://ims.cochrane.org/revman/documentation/Statistical-
731	methods-in-RevMan-5.pdf
732	44. Gilmour AR, Gogel BJ, Cullis BR, Thompson R. 2009. ASReml User Guide
733	Release 3.0 VSN International Ltd, Hemel Hempstead, HP1 1ES, UK
734	45. Tamura K, Stecher G, Peterson D, Filipski A, and Kumar S. 2013. MEGA6:
735	Molecular Evolutionary Genetics Analysis Version 6.0. Mol. Biol. Evol. 30:2725-
736	9.
737	46. Hall TA. 1999. BioEdit: a user-friendly biological sequence alignment editor and
738	analysis program for Windows 95/98/NT. Nucl. Acids Symp. Ser. 41:95-8.
739	47. Chaskopoulou A, Dovas CI, Chaintoutis SC, Bouzalas I, Ara G,
740	Papanastassopoulou M. 2011. Evidence of enzootic circulation of West Nile virus
741	(Nea Santa-Greece-2010, lineage 2), Greece, May to July 2011. Euro Surveill.
742	16:pii=19933.
743	48. Chaintoutis SC, Chaskopoulou A, Chassalevris T, Koehler PG,
744	Papanastassopoulou M, Dovas CI. 2013. West Nile virus lineage 2 strain in
745	Greece, 2012. Emerg. Infect. Dis. 19:827-9.

746	49. Bouzalas IG, Diakakis N, Chaintoutis SC, Brellou GD, Papanastassopoulou M,
747	Danis K, Vlemmas I, Seuberlich T, Dovas CI. 2015. Emergence of equine West
748	Nile encephalitis in Central Macedonia, Greece, 2010. Transbound. Emerg. Dis.
749	doi:10.1111/tbed.12334
750	50. Long MT, Porter MB, Hernandez J, Giguere S, Fontaine GL, Jodoin EA, Gillis
751	KD. 2003. Preliminary data regarding the subclinical exposure rate of horses to
752	West Nile virus during the 2001 Florida enzootic. Proc. Am. Assoc. Equine.
753	Pract. 49:397–8.
754	51. Castillo-Olivares J, Wood J. 2004. West Nile virus infection of horses. Vet. Res.
755	35:467-83.
756	52. Gardner IA, Wong SJ, Ferraro GL, Balasuriya UB, Hullinger PJ, Wilson D, Shi
757	PY, MacLachlan NJ. 2007. Incidence and effects of West Nile virus infection in
758	vaccinated and unvaccinated horses in California. Vet. Res. 38:109-16.
759	53. Davidson AJ, Traub-Dargatz JL, Rodeheaver RM, Ostlund EN, Pedersen DD,
760	Moorhead RG, Stricklin JB, Dewell RD, Roach SD, Long RE, Albers SJ, Callan
761	RJ, Salman MD. 2005. Immunologic responses to West Nile virus in vaccinated
762	and clinically affected horses. J. Am. Vet. Med. Assoc. 226:240-5.
763	54. Davis EG, Zhang Y, Tuttle J, Hankins K, Wilkerson M. 2008. Investigation of
764	antigen specific lymphocyte responses in healthy horses vaccinated with an
765	inactivated West Nile virus vaccine. Vet. Immunol. Immunopathol. 126:293-301.
766	55. Pearce MC, Venter M, Schouwstra T, Van Eeden C, Jansen van Vuren P,
767	Paweska J, Liu B, du Plessis A. 2013. Serum neutralising antibody response of
768	seronegative horses against lineage 1 and lineage 2 West Nile virus following
769	vaccination with an inactivated lineage 1 West Nile virus vaccine. J. S. Afr. Vet.
770	Assoc. doi:10.4102/jsava.v84i1.1052.
	-

771	56. Beasley DWC, Barrett ADT. 2002. Identification of Neutralizing Epitopes within
772	Structural Domain III of the West Nile Virus Envelope Protein. J. Virol.
773	76:13091–100.
774	57. Oliphant T, Engle M, Nybakken GE, Doane C, Johnson S, Huang L, Gorlatov S,
775	Mehlhop E, Marri A, Chung KM, Ebel GD, Kramer LD, Fremont DH, Diamond
776	MS. 2005. Development of a humanized monoclonal antibody with therapeutic
777	potential against West Nile virus. Nat. Med. 11:522–30.
778	58. Sánchez MD, Pierson TC, McAllister D, Hanna SL, Puffer BA, Valentine LE,
779	Murtadha MM, Hoxie JA, Doms RW. 2005. Characterization of neutralizing
780	antibodies to West Nile virus. Virology 336:70-82.
781	59. Oliphant T, Nybakken GE, Engle M, Xu Q, Nelson CA, Sukupolvi-Petty S, Marri
782	A, Lachmi BE, Olshevsky U, Fremont DH, Pierson TC, Diamond MS. 2006.
783	Antibody Recognition and Neutralization Determinants on Domains I and II of
784	West Nile Virus Envelope Porotein. J. Virol. 80:12149–59.
785	60. Alan LL, Barrett DT, Beasley DWC. 2005. Differential expression of domain III
786	neutralizing epitopes on the envelope proteins of West Nile virus strains. Virology
787	335:99–105.
788	61. Crill WD, Chang GJ. 2004. Localization and characterization of flavivirus
789	envelope glycoprotein cross-reactive epitopes. J. Virol. 78:13975-86
790	62. Stiasny K, Kiermayr S, Holzmann H, Heinz FX. 2006. Cryptic properties of a
791	cluster of dominant flavivirus cross-reactive antigenic sites. J. Virol. 80:9557-68.
792	63. Donadieu E, Bahuon C, Lowenski S, Zientara S, Coulpier M, Lecollinet S. 2013.
793	Differential virulence and pathogenesis of West Nile viruses. Viruses 5:2856-80.
794	

795 **Figure captions**

796 Fig. 1. Timeline of the immunizations and blood serum samplings performed in horses, for the evaluation of the cross-protective immunity offered from the inactivated vaccine. 797 Black syringes indicate the double primary vaccinations; white syringe indicates annual 798 799 booster vaccination. Arrows depict the time points of blood serum samplings. Weeks in which these samplings were conducted are displayed above the arrows. The two black 800 801 arrows marked with asterisks (*) depict samplings performed one week prior to the initiation of the primary vaccinations of 2011 and 2012, respectively, in order to detect 802 and select WNV-seronegative horses. 803

804 Fig. 2. Neutralizing antibody (NAb) geometrical mean titers (GMTs) of 23 immunized 805 horses which were not infected, against the Nea Santa-Greece-2010 lineage 2 strain (grey curve, \blacklozenge) as well as the PaAn001/France lineage 1 strain (black curve, \blacktriangle). SNTs were 806 807 performed in sera collected from W0 (time of the first dose of the double primary 808 vaccination in 2011) and until W52 (i.e., one month after the annual booster 809 immunization). Paired t-test analysis revealed no significant differences in the NAb GMTs against the two antigens, at all sampling points (P > 0.28). Range error bars 810 811 encompass the range of the individual NAb titers against each antigen, and for every sampling time point. Geometrical mean titer ratio (GMTR) calculated for the annual 812 booster against each strain is also presented. 813

Fig. 3. Alignment of the E protein amino acid sequences from the vaccine lineage 1 strain 814 "New York 1999/VM2" (GenBank Acc. No. AF260967), the circulating lineage 2 strain 815 816 "Nea Santa-Greece-2010" (GenBank Acc. No. HQ537483), and the South African lineage 2 strain "SA93/01" (GenBank Acc. No. EF429198) of West Nile virus. Dots 817 indicate amino acid identities. The domains are indicated by bars, as explained at the 818 figure legend. Investigation of the immunological similarity between the three peptides 819 820 revealed no differences between the two lineage 2 strains. Comparison of the lineage 1 821 (vaccine) and the lineage 2 peptide sequences of the E protein revealed 23 amino acid 822 substitutions.

Table 1. Numbers of immunized and control horses which were included in the efficacy

- study during 2011 and 2012. Numbers and infection rates of horses per year, as well as
- numbers of horses which exhibited neurological signs due to WNV infection are also
- 826 included in the table.

	2011		2012		
Horse group	IH (WNV- seronegative, primo- vaccinated)	CH (WNV- seronegative)	IH (WNV- seronegative, primo- vaccinated)	IH (primo-vaccinated in 2011 but not infected, received annual booster vaccine dose in 2012)	CH (WNV-seronegative of 2011 but not infected + WNV-seronegative, selected in 2012)
No. of horses per group	85	33	55	52	33 (21+12)
No. (%) of WNV naturally infected horses determined by cELISA and/or SNT	32/85 (38%)	12/33 (36%)	14/55 (26%)	ID: 15/52 (29%)	9/33 (27%)
No. (%) of WNV naturally infected horses with cinical signs, confirmed by MAC-ELISA	0/32 (0%)	1/12 (8%)	0/14 (0%)	0/15 (0%)	2/9 (22%)
Total No. (%) of infected horses per year	44/118	8 (37%)	38/140 (27%)		

827 IH, immunized horses; CH, control horses; cELISA, competitive enzyme-linked
828 immunosorbent assay; SNT, serum neutralization test; ; MAC-ELISA, IgM antibody
829 capture enzyme-linked immunosorbent assay; ID, indirect determination