

1 **The unprecedented 2016-17 Arctic sea ice growth season: the crucial role of**  
2 **atmospheric rivers and longwave fluxes**

3 **Bradley M. Hegyi<sup>1\*</sup> and Patrick C. Taylor<sup>1</sup>**

4 <sup>1</sup> NASA Langley Research Center, Climate Science Branch, Hampton, Virginia, USA

5 \*Corresponding author: Bradley M. Hegyi ([bradley.m.hegyi@nasa.gov](mailto:bradley.m.hegyi@nasa.gov))

6

## 7 **Abstract**

8 The 2016-17 Arctic sea ice growth season (October-March) exhibited the lowest end-of-season  
9 sea ice volume and extent of any year since 1979. An analysis of MERRA2 atmospheric  
10 reanalysis data and CERES radiative flux data reveals that a record warm and moist Arctic  
11 atmosphere supported the reduced sea ice growth through two pathways. First, numerous  
12 regional episodes of increased atmospheric temperature and moisture, transported from lower  
13 latitudes, increased the cumulative energy input from downwelling longwave surface fluxes.  
14 Second, in those same episodes, the efficiency that the atmosphere cooled radiatively to space  
15 was reduced, increasing the amount of energy retained in the Arctic atmosphere and reradiated  
16 back toward the surface. Overall, the Arctic radiative cooling efficiency shows a decreasing trend  
17 since 2000. The results presented highlight the increasing importance of atmospheric forcing on  
18 sea ice variability demonstrating that episodic Arctic atmospheric rivers, regions of elevated  
19 poleward water vapor transport, and the subsequent surface energy budget response is a critical  
20 mechanism actively contributing to the evolution of Arctic sea ice.

## 21 **1 Introduction**

22 In recent years, the characteristics of the Arctic sea ice cover have rapidly changed. Most  
23 notably, the areal coverage of the September minimum extent has decreased by  $\sim 13\%$  decade<sup>-1</sup>  
24 (Cosimo et al. 2008; Meier et al. 2014), leaving the sea ice younger and thinner (Rothrock et al.  
25 1999; Kwok et al. 2009; Meier et al. 2014). One of the important consequences of the reduced  
26 Arctic sea ice thickness is that the sea ice has become more sensitive and responsive to dynamic  
27 and thermodynamic perturbations (Bitz and Roe 2004), including those from atmospheric  
28 variability. Thus, the link between the atmosphere and sea ice variability has become more

29 important. As seen in recent years, regional and Arctic-wide atmospheric variability has  
30 influenced the growth of sea ice in fall and winter (Liu & Key 2014; Cullather et al. 2016),  
31 contributing to the interannual variability of Arctic sea ice thickness and extent at both the end of  
32 the growth season, and in the subsequent melt season (Letterly et al. 2016).

33 Surface turbulent and radiative fluxes, key components of the surface energy budget, link the  
34 Arctic land, ocean, and ice surface to the atmosphere. Climatologically during fall and winter  
35 months, surface fluxes transfer energy away from the surface to the atmosphere, facilitating the  
36 surface cooling and sea ice formation and growth. In particular, negative net surface longwave  
37 (LW) fluxes are associated with fall and winter sea ice growth over established sea ice (Persson  
38 2012) and sea ice formation over open water (along with turbulent fluxes) (Raddatz et al. 2013).  
39 Previous studies have linked variability in surface downwelling and net LW surface fluxes with  
40 sea ice growth (Persson et al. 2016; Hegyi & Taylor 2017). Variability in the atmospheric state  
41 has a large impact on surface LW fluxes and thus the surface radiative cooling rate. The Arctic  
42 atmosphere in winter exhibits two dominant radiative states (Stramler et al. 2011; Liu &  
43 Schweiger 2017), a radiatively clear state where a large net LW flux is directed away from the  
44 surface supporting sea ice growth and a radiatively opaque state where the magnitude of  
45 downwelling LW fluxes are large and net LW fluxes are near zero, reducing or halting sea ice  
46 growth. Increased downwelling LW fluxes are associated with increased column water vapor  
47 content (Raddatz et al. 2013) and increased cloud cover, in particular by increasing the fraction  
48 of clouds containing liquid water droplets (Francis and Hunter 2007). A major source of  
49 atmospheric water vapor that supports the increased downwelling LW fluxes is transport from  
50 lower latitudes, occurring as episodic moisture intrusions (Woods et al. 2013, Park et al. 2015,  
51 Woods & Caballero 2016, Mortin et al. 2016). These intrusions are often associated with narrow

52 bands of high-magnitude column water vapor transport, termed Arctic atmospheric rivers for  
53 their similarities in structure to atmospheric rivers at lower latitudes (Liu & Barnes 2015).

54 This report describes the key characteristics of the 2016-17 Arctic sea ice freeze-up season  
55 that resulted in the anomalously slow sea ice growth. Described in the subsequent subsections,  
56 understanding these key factors provides insight into processes responsible for the recent rapid  
57 increases in Arctic surface temperatures and sea ice loss, and the factors that likely contribute to  
58 continued Arctic surface warming and sea ice loss. Our results demonstrate that the remote  
59 forcing of the Arctic climate system via Arctic atmospheric rivers is an important and active  
60 mechanism operating in the Arctic.

## 61 **2 Results**

### 62 *2.1 2016-17 sea ice growth season: Lowest end-of-season sea ice volume and extent on record*

63 A defining characteristic of the 2016-17 freeze-up season was the slow growth of sea ice  
64 in both extent and volume, resulting in the lowest March end-of-season maximum sea ice extent  
65 and lowest April end-of-season volume since 1979. The record low end-of-season volume for the  
66 year was due to a combination of both a low September minimum sea ice volume at the  
67 beginning of the freeze-up season and a slower rate of growth throughout the season (Figure 1a).  
68 Both the September minimum sea ice volume and seasonal growth exhibit statistically significant  
69 trends since 1979 toward a lower September volume and increased growth rate. However, the  
70 trend in growth is less than the trend in September volume (0.06 vs 0.32 km<sup>3</sup>\*yr<sup>-1</sup>). Thus, the  
71 overall trend is toward a decreased sea ice maximum at the end of the freeze-up season,  
72 primarily driven by the decline in the September sea ice volume.

73           The total Arctic sea ice extent was consistently less than recent averages from October to  
74 the end of the freeze-up season in March (Figure 1b). Additionally, there were a total of 15 days  
75 from October 1-March 1 in which the total Arctic sea ice extent decreased overall, including 9  
76 days from October 1-February 1, the most days of extent loss for both periods since 1979 (dates  
77 for season listed in Supplemental Table 1).

## 78 *2.2 Warmest atmosphere and highest atmospheric water vapor content since 1979*

79           Associated with the reduced sea ice growth in the 2016-17 season was a warmer and  
80 moister atmosphere. As with sea ice volume and growth, surface temperature were the highest  
81 since 1980 in the MERRA2 reanalysis data record, in both the 2-meter temperature and surface  
82 skin temperature (Figure 1c). Additionally, the total mean atmospheric water vapor content over  
83 the Arctic polar cap, as measured by the cap-average precipitable water (PW), was also the  
84 greatest since 1980. The monthly average atmospheric surface temperatures and PW were  
85 anomalously high in all months, especially in September and October (Figure 1d), coincident  
86 with the period of slow sea ice growth relative to climatology in Figure 1b.

## 87 *2.3 Increased surface longwave fluxes in response to warm and moist atmosphere*

88           Over the period from October-February, the period of the highest growth rate of sea ice  
89 extent and volume, the cumulative amount of energy entering the surface over sea ice through  
90 LW fluxes from the atmosphere was the highest of any season in the CERES record (since 2000)  
91 (Figure 2a). The large amount of energy transferred by downwelling LW fluxes was consistent  
92 with the warm and moist Arctic atmosphere during the 2016-17 freeze-up season, since the  
93 magnitude of LW fluxes is directly proportional to temperature and water vapor content (e.g.  
94 Peixoto and Oort 1992) (Figure 1c). The growth of the cumulative energy input for the 2016-17

95 freeze-up season relative to other years was especially large in November and December, where  
96 the input was 4.3 standard deviations above the 2000-2015 mean on January 1, 2017.

97           Countering the increased energy input into the surface by downwelling LW fluxes was an  
98 increase in upwelling LW fluxes (i.e. output) from the surface to the atmosphere. This increase is  
99 consistent with the warmer surface temperatures observed (Figure 1c) and with the negative  
100 feedback between surface temperature and upwelling LW surface fluxes observed during non-  
101 melt conditions (e.g. Persson 2012). Thus, despite the increased downwelling LW fluxes during  
102 2016-17, the net LW surface flux actually became slightly more negative (i.e. more net flux  
103 directed upward), as upwelling LW flux from the surface also increased, in response to increased  
104 surface temperatures.

105           The overall positive seasonal surface cumulative downwelling LW flux anomalies  
106 observed in 2016-17 were supported by distinct events of large-magnitude anomalies (Figure  
107 2c). Both anomalous clear-sky fluxes and cloud radiative effects (CRE), which together sum to  
108 the total anomalous downwelling flux, contributed to the peak large-magnitude anomalies  
109 throughout the season. In October and November, the contribution from CRE was small, thus  
110 clear-sky fluxes were the primary contributor to the peaks. In later months, both clear-sky fluxes  
111 and CRE equally contributed to the peak positive downwelling LW fluxes. Each period of  
112 increased LW fluxes corresponded with a reduced sea ice extent growth (compare Figs. 1b and  
113 2c) and anomalously large PW.

114           An example of one of these elevated periods of surface LW fluxes, on November 17,  
115 2016 (Arctic surface temperature anomalies exceeded +23 K on this date at some locations),  
116 highlights a similar spatial collocation between sea ice extent growth, large PW values, and  
117 increased LW fluxes (Figure 3). The downwelling LW flux anomalies in this sector are

118 collocated with a reduction of sea ice volume and extent around that date (Figure 3b and 3c), and  
119 also closely collocated with positive PW anomalies. Figure 3a indicates the presence of an Arctic  
120 atmospheric river in the sector with the largest PW anomalies. On the previous day, there was a  
121 narrow area of intense atmospheric water vapor transport (colored contours in Figure 3a),  
122 extending poleward, and collocated with the positive PW anomalies. The sea level pressure  
123 pattern supported the atmospheric river with an associated band of winds directed poleward  
124 between a surface cyclone near the pole and a high pressure over Siberia. Atmospheric rivers,  
125 elevated PW values, and poleward transport from lower latitudes are common features of all  
126 2016-17 reduced-growth periods, especially in the Atlantic sector (see Supplemental Figures).

#### 127 *2.4 Reduced radiative cooling efficiency*

128 Upwelling LW fluxes, along with surface turbulent fluxes, from the surface to the  
129 atmosphere are a primary mechanism by which the Arctic surface cools, facilitating sea ice  
130 formation. The fraction of upwelling energy from the surface through LW fluxes transmitted to  
131 space (i.e. the cooling efficiency defined by Eq. 1, see Data and Methods) is a function of the  
132 atmospheric emissivity, which depends on the amount of greenhouse gases in the atmosphere,  
133 especially water vapor, and clouds.

134 The energy input into the atmosphere by upwelling LW surface fluxes ( $E_{\text{input}}$  in Eq. 1) is  
135 shown in Figure 4a. The input of energy from the surface in 2016-17 was the highest value since  
136 2000, 12.4% ( $4.17 \times 10^{21}$  J) larger than the minimum value in the period in 2001. The increase in  
137 energy transferred to the atmosphere by upwelling LW fluxes is consistent with the observed  
138 increase in Arctic surface skin temperatures (Figure 1c).

139 Concomitant with the increase in energy input from the surface to the atmosphere by  
140 upwelling LW fluxes was an increase in energy output by the LW flux at the top of the

141 atmosphere (TOA,  $E_{\text{output}}$  in Eq. 1). However, the increase in output was less than the increase in  
142 energy input. Without considering cloud effects, the LW TOA flux increased only 6.2%  
143 ( $1.73 \times 10^{21}$  J) relative to the 2001 minimum. Changes in the radiative effects of clouds over the  
144 period further reduced the TOA increase between 2001 and 2016, resulting in an overall increase  
145 of TOA LW fluxes by 3.6% ( $9.68 \times 10^{20}$  J) relative to the 2001 minimum, much less than the  
146 increase in energy input to the atmosphere by upwelling surface LW fluxes. The differences  
147 between the increases in energy transmitted by upwelling flux at the surface and TOA can be  
148 summarized by defining the cooling efficiency of the Arctic atmosphere (Eq. 1, see Data and  
149 Methods). Considering all-sky fluxes, the cooling efficiency of the atmosphere in 2016-17 was at  
150 a minimum (0.74) compared to the beginning of the period in 2000 (0.81) (Figure 4c). A similar,  
151 but smaller decline in clear-sky cooling efficiency also occurred between 2000 and 2016.  
152 Spatially on daily time scales, reduced LW cooling efficiency is associated with increased cloud  
153 cover and regions of increased atmospheric water vapor. On November 17, 2016, the decrease in  
154 LW cooling efficiency was collocated with regions of anomalously high values of PW (Figure  
155 3d).

### 156 **3 Conclusions**

157 Increased atmospheric water vapor and cloud cover have two important effects on LW  
158 fluxes during the Arctic sea ice freeze-up season. First, these mechanisms increase the amount of  
159 downwelling LW flux at the surface, contributing an increase in surface temperature. Examples  
160 of this during the 2016-17 freeze-up season are found in Figure 2c, where increased downwelling  
161 flux due to increased atmospheric water vapor and clouds warmed the surface. Second, these  
162 mechanisms reduce the cooling efficiency of the surface and atmosphere through upwelling LW  
163 fluxes to space by reducing LW fluxes at TOA. Together on both daily and seasonal time scales,



164 these changes indicate that the excess energy stored in the surface and released during the freeze-  
165 up season is increasingly retained in the Arctic climate system and does not escape to space. The  
166 2016-17 sea ice growth season serves as a prime example of the influence of atmospheric LW  
167 fluxes and cooling efficiency on sea ice extent and volume growth.

168         Our results highlight the increasing importance of atmospheric forcing on sea ice  
169 variability. Therefore, we contend that accurate predictions of seasonal variability and long-term  
170 trends in Arctic sea ice volume and extent require accurate simulations of atmospheric  
171 circulation changes, the surface energy budget, and atmosphere-sea ice coupling. In order to  
172 improve predictions of sea ice variability and long-term trends we must refine our understanding  
173 of the mechanisms that contribute to sea ice growth and melt. Our results demonstrate that Arctic  
174 atmospheric rivers and the subsequent response of the surface energy budget is a critical  
175 mechanism contributing to the evolution of Arctic sea ice, including extremes in individual sea  
176 ice growth seasons such as 2016-17. While this analysis cannot not strictly argue that remote  
177 mechanisms are driving rapid Arctic climate change, our results clearly demonstrate that the  
178 remote forcing mechanism via the episodic moisture intrusions is an active mechanism  
179 contributing to extreme events in the Arctic climate system.

#### 180 **4 Data and Methods**

181         All atmospheric variables, such as atmospheric temperature and precipitable water  
182 quantities, are taken from the NASA MERRA2 reanalysis dataset (Bosilovich et al. 2015). All  
183 trends and major results presented are qualitatively similar when other reanalysis datasets, such  
184 as the ERA-Interim dataset (Dee et al. 2011), are used to recreate the presented results. For  
185 surface and top-of-atmosphere (TOA) radiative flux quantities, we use the CERES-SYN dataset,

186 version 3 (Wielicki et al. 1996), containing daily longwave surface and TOA flux quantities over  
 187 a 1°x1° grid in both clear-sky and all-sky conditions. Sea ice extent data is taken from Nimbus-7  
 188 SMMR and DMSP SSM/I-SSMIS Passive Microwave Data (Cavalieri et al. 1996), and sea ice  
 189 volume data is taken from the Pan-Arctic Ice-Ocean Modeling and Assimilation System  
 190 (PIOMAS) dataset (Zhang and Rothrock 2003). When calculating the total energy input/output  
 191 of LW fluxes at the surface, we consider surface LW fluxes only over areas covered with sea ice  
 192 at the end of sea-ice growth season. Therefore, when calculating the total energy input/output, we  
 193 only consider grid points that are north of 65°N latitude and climatologically are sea-ice covered  
 194 (i.e. climatological sea ice concentration greater than 15%) on April 1.

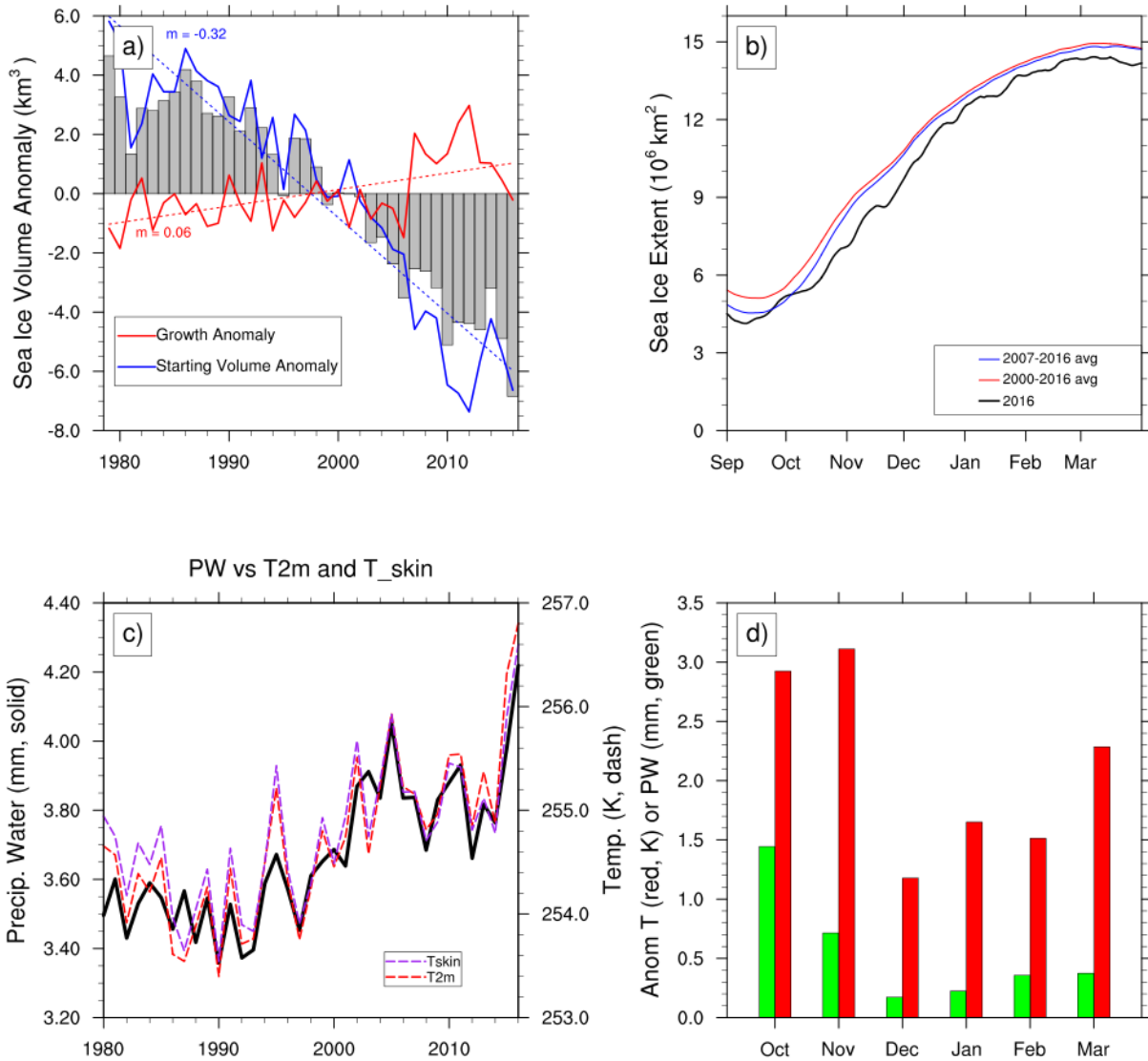
195 We define the cooling efficiency of the Arctic atmosphere by LW fluxes as the ratio between the  
 196 input energy into the atmosphere by upwelling LW fluxes from the surface and the output of  
 197 energy by LW fluxes at the top of the atmosphere.

$$198 \quad \epsilon = \frac{E_{output}}{E_{input}} = \frac{\sum_t \sum_{i,j} LW_{up,TOA} * A_{i,j} * \Delta t}{\sum_t \sum_{i,j} LW_{up,SFC} * A_{i,j} * \Delta t} \quad (1)$$

199 The energy output (input) is the product of the upwelling longwave flux at TOA (the surface) in  
 200 the CERES-SYN dataset,  $LW_{up,TOA}$  ( $LW_{up,SFC}$ ), the area of the gridbox ( $A_{i,j}$ ), and the sampling  
 201 period of the data ( $\Delta t$ ). The energy output is then summed over all climatologically sea-ice-  
 202 covered grid points and over the entire October-February period to find the total energy output  
 203 (input) for the season. Alternatively, the cooling efficiency can be thought of as the effective  
 204 transmissivity of the atmosphere to upwelling LW fluxes originating from the surface and  
 205 escaping to space.

206

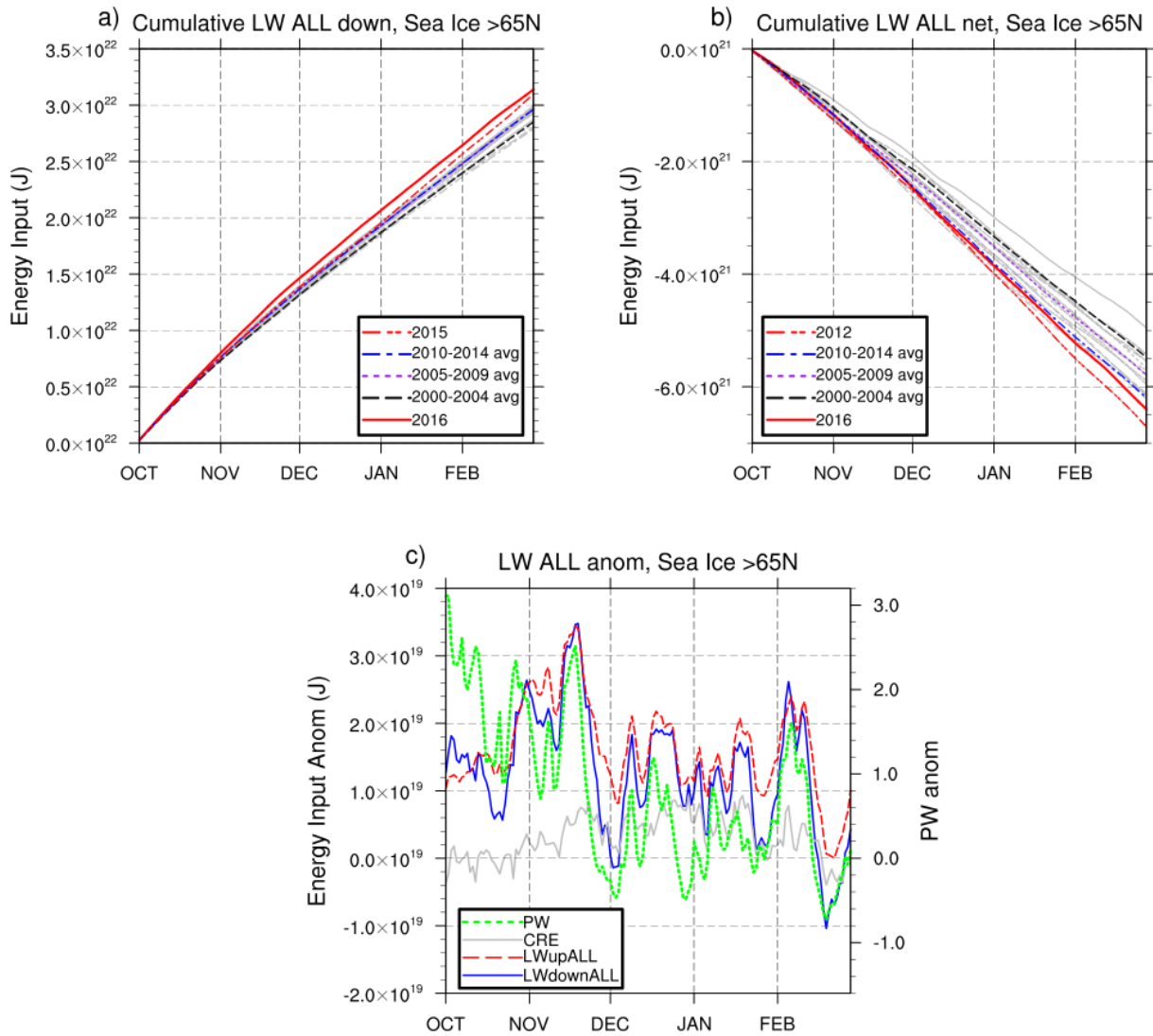
207 **Figures**



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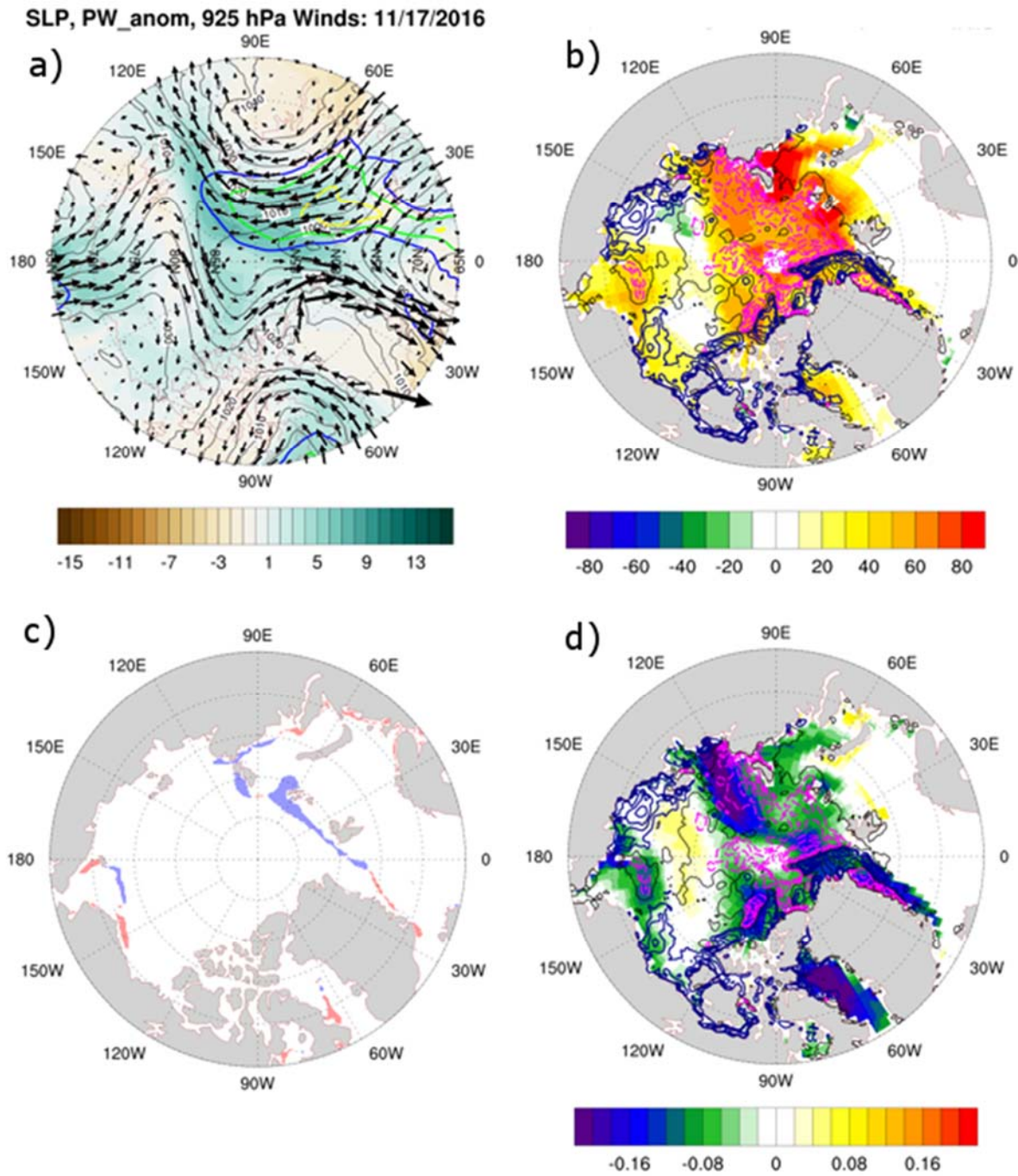
209 **Figure 1. Comparison of 2016/17 Arctic sea ice growth and atmospheric state to recent**  
 210 **years** a) Total sea ice volume anomaly at the end of April across the Arctic north of 65 degrees  
 211 North (bars). Anomaly is relative to 1979/80-2016/17 climatology in the PIOMAS dataset. The  
 212 blue line denotes the anomalous volume at sea ice volume minimum in September, and the red  
 213 line denotes the anomalous growth of sea ice volume from the minimum to the maximum in the  
 214 September-April period. Linear regression and trend (significant at 99% using modified Student  
 215 t-test) for datasets represented by lines shown with dotted line. b) Arctic sea ice extent from  
 216 October-March (units:  $10^6 \text{ km}^2$ ) in 2016 (black line), 2000-2016 average (red line), and 2007-  
 217 2016 (blue line). c) The Arctic-cap-average precipitable water (solid line, units: mm) and 2-  
 218 meter temperature (dashed line, units: K) in ONDJF MERRA2 data. d) Anomalous cap-average  
 219 surface temperature (red bars, units: K) and precipitable water (green bars, units: mm) for

220 October-February in the 2016-17 season. Anomaly calculated using a 1980/81-2016/17  
 221 climatology.



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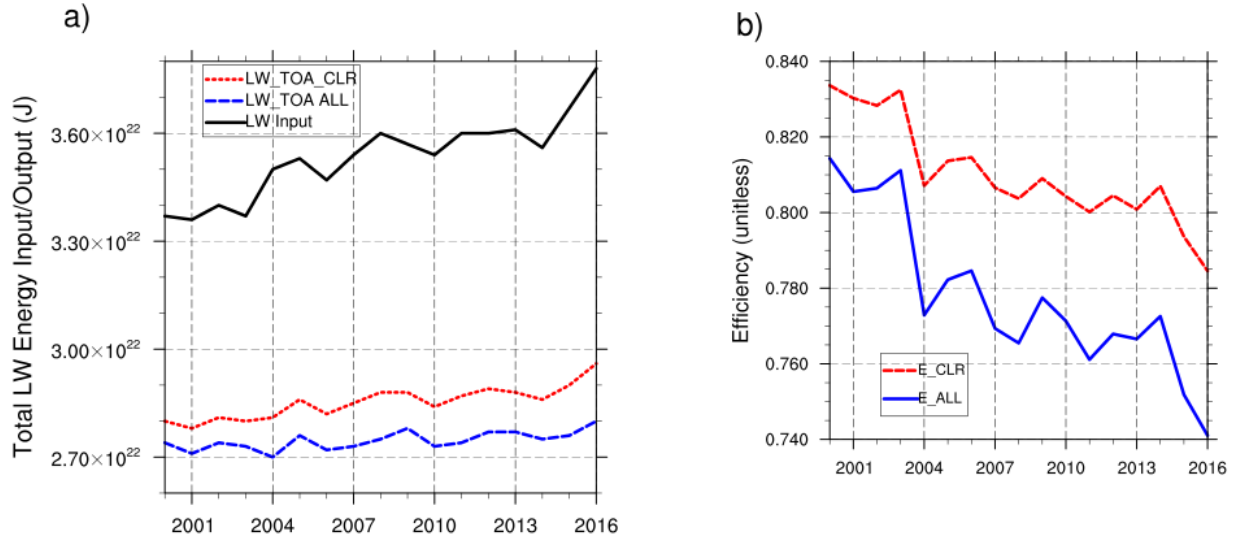
223 Figure 2. **Evolution of surface longwave fluxes during 2016/17** Cumulative a) downwelling  
 224 and b) net LW surface fluxes over sea ice areas north of 65°N. Sea ice are is defined as the area  
 225 with sea ice cover (i.e. sea ice concentration greater than 0.15) on April 1 in a 2000-2016  
 226 climatology. b) Same as a), but with the total cumulative energy input/output by net surface LW  
 227 fluxes. c) Daily surface energy input/output anomalies (units: J) of downwelling surface LW  
 228 fluxes (blue solid line), upwelling surface LW fluxes (red dashed line), and surface LW cloud  
 229 radiative effect (CRE, gray solid line) over climatologically sea-ice-covered areas north of 65°N  
 230 latitude. Also plotted is the mean precipitable water anomaly over the same area (green dotted  
 231 line).



232

233 Figure 3. **Spatial distribution of anomalous atmospheric water vapor content, longwave**  
 234 **fluxes, and sea ice growth** a) Sea level pressure (contours, units: hPa), anomalous precipitable  
 235 water (shading, units: mm), and 925 hPa winds on November 17, 2016. Values of total column  
 236 atmospheric water vapor transport above  $100 \text{ kg} \cdot \text{m}^{-1} \cdot \text{s}^{-1}$  on November 16 are also plotted  
 237 (colored contours with contour interval of  $50 \text{ kg} \cdot \text{m}^{-1} \cdot \text{s}^{-1}$ ) b) All-sky downwelling LW surface  
 238 flux anomaly (shading,  $\text{W} \cdot \text{m}^{-2}$ ) on November 17, 2016 and 5-day-mean sea ice volume growth

239 centered on that date (contours, interval: 0.3 km<sup>3</sup>, negative [positive] growth in magenta [blue]  
 240 contours). c) Changes in sea ice extent on November 15-19, 2016. Red (blue) denotes sea ice  
 241 extent growth (loss). d) Same as b), but with anomalous cooling efficiency shaded. Only  
 242 efficiency values over regions ice covered climatologically on April 1 are shown.



243  
 244  
 245 **Figure 4. Longwave cooling efficiency since 2000** a) Total energy input into the atmosphere  
 246 during October-February (solid black line, units: J) from surface LW fluxes and total energy  
 247 output (dashed lines, units: J) by TOA fluxes in October-February in clear-sky (red short-dash  
 248 line) and all-sky (blue long-dashed line) conditions. Energy input and output is calculated over  
 249 the area north of 65°N that is ice-covered on April 1 in 2000-2016 sea ice cover climatology. b)  
 250 October-February-mean Arctic cooling efficiency in clear-sky (red line) and all-sky (blue line)  
 251 conditions for each year in CERES record.

252

253

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255 CERES-EBAF data were obtained from the NASA Langley Research Center CERES ordering

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