

1 **Differences in the Hydrological Cycle and Sensitivity**
2 **Between Multiscale Modeling Frameworks with and without**
3 **a Higher-order Turbulence Closure**
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7 **Kuan-Man Xu¹, Zhujun Li², Anning Cheng³, Peter N. Blossey⁴, and Cristiana Stan⁵**
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10 ¹ Climate Science Branch, NASA Langley Research Center, Hampton, VA.

11 ² NASA Postdoctoral Program, University Space Research Association, Hampton, VA.

12 ³ Environmental Modeling Center, NOAA Center for Weather and Climate Prediction, College
13 Park, MD.

14 ⁴ Department of Atmospheric Sciences, University of Washington, Seattle, WA.

15 ⁵ Department of Atmospheric, Oceanic and Earth Sciences, George Mason University, Fairfax,
16 VA.

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19 Corresponding author: Dr. Kuan-Man Xu

20 Email: Kuan-Man.Xu@nasa.gov
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23 **Key Points:**

- 24 • SPCAM-IPHOC simulates higher global hydrological sensitivity for the slow response
25 but lower sensitivity for the fast response than SPCAM
- 26 • The higher sensitivity is due to the higher sensitivity of surface sensible and latent heat
27 fluxes and radiative cooling to surface warming
- 28 • The higher-order turbulence closure greatly impacts the hydrological sensitivity and
29 sensible heat flux response over the tropical lands
30

31 **Abstract**

32 Current conventional global climate models (GCMs) produce a weak increase in global mean
33 precipitation with anthropogenic warming in comparison with the lower-tropospheric moisture
34 increases. The motive of this study is to understand the differences in the hydrological sensitivity
35 between two multiscale modeling frameworks (MMFs) that arise from the different treatments of
36 turbulence and low clouds in order to aid to the understanding of the model spread among
37 conventional GCMs. We compare the hydrological sensitivity and its energetic constraint from
38 MMFs with (SPCAM-IPHOC) or without (SPCAM) an advanced higher-order turbulence
39 closure. SPCAM-IPHOC simulates higher global hydrological sensitivity for the slow response
40 but lower sensitivity for the fast response than SPCAM. Their differences are comparable to the
41 spreads of conventional GCMs. The higher sensitivity in SPCAM-IPHOC is associated with the
42 higher ratio of the changes in latent heating to those in net atmospheric radiative cooling, which
43 is further related to a stronger decrease in the Bowen ratio with warming than in SPCAM. The
44 higher sensitivity of cloud radiative cooling resulting from the lack of low clouds in SPCAM is
45 another major factor in contributing to the lower precipitation sensitivity. The two MMFs differ
46 greatly in the hydrological sensitivity over the tropical lands, where the simulated sensitivity of
47 surface sensible heat fluxes to surface warming and CO₂ increase in SPCAM-IPHOC is weaker
48 than in SPCAM. The difference in divergences of dry static energy flux simulated by the two
49 MMFs also contributes to the difference in land precipitation sensitivity between the two models.

50

51 **1 Introduction**

52 Current global climate models (GCMs) produce a weak increase ($2.52 \pm 0.22\% \text{ K}^{-1}$) in
53 global mean precipitation with anthropogenic warming (hereafter, referred to as “hydrological
54 sensitivity,” or HS) in comparison with the lower-tropospheric moisture increase (6.5 to 7% K^{-1})
55 [e.g., *Allan et al.*, 2014; *Andrews et al.*, 2010; *Fläschner et al.*, 2016; *Oueslati et al.*, 2016]. The
56 low HS relative to the moisture availability simulated by GCMs can be understood to arise from
57 an energetic constraint [e.g., *Newell et al.*, 1975; *Mitchell et al.*, 1987; *Stephens and Ellis*, 2008;
58 *O’Gorman et al.*, 2012; *Allan et al.*, 2014]: a balance over a multi-year period of net atmospheric
59 radiative cooling [i.e., longwave cooling (LWC) minus heating from shortwave absorption
60 (SWA); signs of both LWC and SWA are positive], latent heating from precipitation (*LP*) and
61 sensible heating from the surface (*SH*; positive for upward SH), where L is the latent heat of
62 vaporization. That is,

$$63 \quad LWC = LP + SWA + SH. \quad (1),$$

64 Uncertainty in simulated HS is thus related to that in LWC, SWA and SH. For example,
65 *DeAngelis et al.* [2015] recently attributed the spread in the simulated temperature-mediated
66 SWA response to CO_2 forcing to differing sensitivities of solar absorption to atmospheric
67 moisture [precipitable water (PW)] and related this to the HS spread among GCMs. They further
68 suggested that improved representations of SWA by water vapor in radiative transfer
69 parameterizations could reduce the uncertainty in the hydrological response. *Mauritsen and*
70 *Stevens* [2015] attributed the muted precipitation response to the lack of the iris effect in GCMs,
71 which increases longwave radiative cooling as the clearsky area expands with surface warming.
72 *Stephens and Ellis* [2008] identified that the ratio of precipitation sensitivity to water vapor

73 sensitivity is primarily determined by the clearsky radiative energy loss, with counteracting
74 feedbacks from cloud radiative heating and reduction in surface sensible heating.

75 Radiative feedbacks associated with changes in temperature, water vapor, clouds and
76 surface albedo, which are the major climate sensitivity components, can impact HS through their
77 effect on the atmospheric energy budget, in addition to non-radiative feedback due to surface
78 sensible heat flux changes [e.g., *Stephens and Ellis*, 2008; *Previdi*, 2000; *O’Gorman et al.*,
79 2012]. A large part of the uncertainty in climate sensitivity is attributed to that in cloud feedback,
80 in particular, low clouds [e.g., *Vial et al.*, 2013], which explains a significant proportion of the
81 intermodel HS spread, in addition to the surface sensible flux feedback, although they are smaller
82 contributors to HS compared to water vapor and lapse rate feedbacks [e.g., *Previdi*, 2000].

83 The uncertainties in cloud and surface sensible heat flux feedbacks are related to
84 representations of turbulence, cloud and precipitation processes in GCMs, the uncertainties of
85 which can influence the precipitation efficiency and the HS spread in GCMs [*Stephens and Ellis*,
86 2008; *Previdi*, 2000; *Mauritsen and Stevens*, 2015]. The complexity of subgrid effects associated
87 with clouds, convection, precipitation and radiation is the primary obstacle to improving model
88 physical parameterizations in conventional GCMs [*Randall et al.*, 2003]. The multiscale
89 modeling framework (MMF) proposed by *Grabowski* [2001] and *Khairoutdinov and Randall*
90 [2001] is an attractive tool because it explicitly simulates the largest and most organized
91 circulations within deep convective systems using a cloud-system resolving model (CRM) within
92 each grid column of the global model.

93 MMF has been used to perform climate change simulations with specified sea surface
94 temperature (SST) perturbations [*Wyant et al.*, 2006, 2012; *Bretherton et al.*, 2014; *Xu and*
95 *Cheng*, 2016] and fully coupled ocean [*Arnold et al.*, 2014; *Stan and Xu*, 2014; *Bretherton et al.*,

196 2014]. Using fixed SST experiments with warming of 2 K or 4 K, it is found that MMF simulates
197 comparable or weaker climate sensitivity than most conventional GCMs, depending on the
198 complexity of the turbulence scheme used by CRMs [Wyant *et al.* 2006; Bretherton *et al.* 2014;
199 Xu and Cheng, 2016]. The effective climate sensitivity (ECS) is respectively 1.5 K in Wyant *et*
200 *al.* [2006], 2.1 K in Bretherton *et al.* [2014] and 2.0 K in Xu and Cheng [2016] assuming a CO₂
201 doubling forcing of 3.7 W m⁻² [Myhre *et al.*, 1998], compared to 2.1-3.0 K for AMIP_4K
202 (Atmospheric Model Intercomparison Project +4 K SST) simulations by conventional GCMs
203 [Ringer *et al.*, 2014]. The simulations analyzed by Wyant *et al.* [2006] and Bretherton *et al.*
204 [2014] were produced using a low-order turbulence closure whereas simulations analyzed by Xu
205 and Cheng [2016] were based on a higher-order turbulence closure [Cheng and Xu, 2006]. The
206 latter approach produces more realistic subgrid-scale transports and fractional cloudiness in the
207 embedded CRMs [Cheng and Xu, 2008].

208 The motive of this study is to understand the differences in HS between two MMFs that
209 arise from the different treatments of turbulence and low clouds in order to aid to the
210 understanding of the simulated HS spread among conventional GCMs [e.g., DeAngelis *et al.*,
211 2015, 2016; Oueslati *et al.*, 2016; Samset *et al.*, 2016]. As mentioned earlier, the intermodel
212 spread in HS is related to both cloud and surface sensible heat flux feedbacks [e.g., Previdi,
213 2000] although difference in radiative transfer calculation is also a critically important factor
214 [e.g., Ogura *et al.*, 2004; DeAngelis *et al.*, 2015]. In this study, cloud processes are explicitly
215 represented and radiative transfer calculation is identical in the MMFs but the differences
216 between them are solely due to the different treatments of turbulence. Conventional GCMs differ
217 in parameterizations of cloud processes, turbulence and radiative transfer. The different
218 treatments of turbulence in MMF also impact cloud processes because the higher-order

119 turbulence closure acts as a unified parameterization of turbulence and low clouds [*Cheng and*
120 *Xu, 2006*] and possibly the regional circulations that are tightly coupled to cloud processes.

121 The response of climate change caused by the increase of CO₂ concentration in the
122 atmosphere involves direct and indirect effects; the direct effect is the rapid adjustment to the
123 radiative heating due to the increased CO₂, while the indirect effect is the slow response to the
124 CO₂ caused change of surface air temperature (SAT) [e.g., *Andrews et al., 2010; Bony et al.,*
125 *2013; Kamae et al., 2015; Fläschner et al., 2016; Oueslati et al., 2016*]. In this study, we will
126 discuss the differences in the fast and slow precipitation responses to climate changes simulated
127 by these two MMFs in atmosphere-only experiments with fixed SSTs. Two types of idealized
128 experiments, one with prescribed SST perturbations and another with abrupt CO₂ increases, will
129 be analyzed. The primary objective of this study is to understand the differences in the global,
130 tropical, ocean and land mean hydrological sensitivity between two MMFs and the roles of
131 turbulent transports in the hydrological cycle. It is beyond the scope of this study to examine
132 mechanisms for local precipitation responses. The results will be helpful to re-interpret the
133 potential causes of the model spreads among conventional GCMs that have been investigated
134 from model ensembles with different experimental designs [e.g., *Stephens and Ellis, 2008;*
135 *DeAngelis et al., 2015, 2016; Mauritsen and Stevens, 2015; Fläschner et al., 2016*]. In other
136 words, some of the plausible interpretations for the inter-model spreads may be confirmed by the
137 findings presented in the present study.

138 **2 Models and experiments**

139 In the context of global climate modeling, the multiscale modeling framework (MMF)
140 consists of a host GCM and an embedded CRM in each GCM grid column. The host GCM is the
141 Community Atmosphere Model (CAM) Version 3.5 (CAM3.5) with the finite-volume dynamical

142 core [Collins *et al.*, 2006]. The newer versions of CAM have the same dynamical core as that in
143 CAM3.5 and the MMF is not impacted by the improvements of the host GCM [Wang *et al.*,
144 2015]. The embedded CRM is a 2-D version of the System for Atmospheric Modeling (SAM),
145 which is described in detail by *Khairoutdinov and Randall* [2003]. The standard SAM with a
146 low-order turbulence closure is used in SPCAM (super-parameterized CAM) MMF. In SPCAM-
147 IPHOC, SAM has been upgraded with an intermediately prognostic higher-order turbulence
148 closure, IPHOC, to better represent boundary layer turbulence and low clouds [Cheng and Xu,
149 2006, 2008, 2011].

150 In MMF, the physical processes such as convection and stratiform cloudiness, usually
151 parameterized in a conventional GCM, are resolved explicitly (but crudely) on the CRM fine
152 grid cells. All CRMs have 32 grid columns with 4 km of horizontal grid spacing. Cloud
153 microphysics and radiation are parameterized at the CRM scale. Tendencies of heat and moisture
154 from the CRM scale communicate to the large scale via the GCM. The dynamical core provides
155 the large-scale advective tendencies to the CRMs.

156 The sub-CRM-grid-scale variability is represented by IPHOC. IPHOC assumes a joint
157 double-Gaussian distribution of liquid water potential temperature, total water, and vertical
158 velocity [Cheng and Xu, 2006]. The properties of the double-Gaussian probability density
159 function (PDF) are determined from the first-, second-, and third-order moments of the variables
160 given above, and the PDF is used to diagnose cloud fraction and grid-mean liquid water mixing
161 ratio, as well as the buoyancy terms and fourth-order terms in the equations describing the
162 evolution of the second- and third-order moments.

163 The details of the experiment design were given in *Bretherton et al.* [2014] for SPCAM
164 and *Xu and Cheng* [2013a, 2016] for SPCAM-IPHOC, respectively. Briefly, the MMF was

165 forced by specifying climatological SST and sea ice distributions from Hadley Centre Sea Ice
166 and Sea Surface Temperature dataset (HadISST) [Rayner *et al.*, 2003] in SPCAM-IPHOC, but
167 from the SST and sea ice dataset within CAM [Hurrell *et al.*, 2008] in SPCAM, with monthly-
168 mean annual cycles. In SPCAM-IPHOC, the GCM has a horizontal grid size of $1.9^{\circ} \times 2.5^{\circ}$ (also
169 for SPCAM) and there are 32 layers in the vertical with 12 of them below 700 hPa. The extra 6
170 layers below 700 hPa are used to better resolve the structures of stratocumulus clouds, compared
171 to the SPCAM configuration used in Wyant *et al.* [2006, 2012] and Bretherton *et al.* [2014]. The
172 embedded CRMs have the same vertical levels as the host GCM. The SPCAM-IPHOC
173 simulations were integrated for 10 years and 3 months. The results from the last nine years are
174 analyzed in this study. For the SPCAM simulations, the integration length is 35 years, with the
175 analysis performed over years 2-10 to match with the analysis period of SPCAM-IPHOC
176 simulations. These simulations are referred to as control.

177 Two sensitivity experiments were performed with SPCAM and SPCAM-IPHOC to study
178 climate sensitivity, cloud response and precipitation change. One of the sensitivity experiments
179 doubles the CO₂ concentration of present-day climate [Hansen *et al.*, 1984], hereafter, 2xCO₂,
180 for SPCAM-IPHOC but quadruples the CO₂ concentration for SPCAM (4xCO₂). The other
181 experiment increases the SSTs uniformly by 2 K, hereafter, +2K, for SPCAM-IPHOC and by 4
182 K for SPCAM (+4K) [Cess *et al.*, 1990]. The SST and sea ice are fixed but land surface
183 temperature is allowed to change in both sets of experiments. The SPCAM results will be scaled
184 to 2xCO₂ and +2K from 4xCO₂ and +4K experiments, respectively, by assuming a linear forcing-
185 feedback relationship. Such scaling was widely applied in previous studies on climate sensitivity
186 and cloud feedback [e.g., Andrews *et al.*, 2012].

187 As described earlier, the simulations from SPCAM and SPCAM-IPHOC also differ in the
188 vertical resolution in the lower troposphere. The difference in precipitation rate is approximately
189 1% when the number of vertical layers below 700 hPa is changed from 6 to 12 for either SPCAM
190 or SPCAM-IPHOC. The difference between the two MMFs is also less than 1% for the same
191 number of vertical layers (Table 1). The two sensitivity tests, SPCAM with 12 layers, and
192 SPCAM-IPHOC with 6 layers, were only run for two years and three months [Xu and Cheng,
193 2013b]. The comparison shown in Table 1 is based on the two-year averages of these two
194 simulations and the control runs with SPCAM (6 layers) and SPCAM-IPHOC (12 layers). The
195 difference between the two control runs is the smallest ($\sim 0.00 \text{ mm day}^{-1}$) among the pairs of
196 simulations. Therefore, we conclude that the precipitation sensitivity is unlikely to be impacted
197 by the different vertical resolutions employed by the two MMFs.

198 **3 Results**

199 **3.1 The global energetic balance from the control runs**

200 The surface energy budget components, i.e., SH, net surface LW flux and net surface SW
201 flux, contribute to the energetic constraint. While the surface energy budget is not closed in these
202 AGCM simulations, we now consider the individual components of the energetic constraint and
203 its residual in the control simulations. Table 2 shows the individual energetic components of Eq.
204 (1) averaged over the entire globe and its residual, which is defined as $H = LWC - LP -$
205 $SWA - SH$, for the control runs. Table 2 includes clearsky LWC and SWA and the top-of-the-
206 atmosphere (TOA) and surface cloud radiative effects (CREs), as well as total cloud amount,
207 liquid water path (LWP) and ice water path (IWP). Observations of TOA and surface radiative
208 fluxes and CREs from Clouds and the Earth's Radiant Energy System (CERES; *Loeb et al.*,
209 2009) are also listed, based upon the recently updated TOA and surface fluxes (Edition 4.0;

210 https://eosweb.larc.nasa.gov/project/ceres/ceres_table). The CREs are defined as the differences
211 in radiative fluxes between the clear and all skies.

212 SWA has the smallest difference among the individual components between the two
213 MMFs (0.1 W m^{-2}), followed by latent heating (-0.3 W m^{-2}). Surface sensible heat flux is higher
214 in SPCAM-IPHOC by 2.9 W m^{-2} while LW cooling has the second highest difference (1.2 W m^{-2})
215 between the MMFs. The increase in the surface sensible heat flux dominates the residual (H)
216 change from -0.9 W m^{-2} in SPCAM to -2.4 W m^{-2} in SPCAM-IPHOC while the latent heating is
217 kept roughly the same. Both residuals are smaller than the differences between the MMFs and
218 CERES observations in all-sky LW cooling, LW and SW CREs at TOA and surface but they are
219 comparable to those in clearsky and all-sky SWAs (Table 2). The CREs of SPCAM-IPHOC are
220 generally closer to the CERES observations than those of SPCAM, in particular, the surface
221 CREs. This means that the inclusion of IPHOC also greatly impacts and improves the simulation
222 of clouds and their radiative effects due to the fact that the simulated clouds are optically thinner
223 and their areal coverage is larger than in SPCAM but it has no significant impact on global-mean
224 precipitation of the control simulations [Table 2; *Xu and Cheng, 2013a*].

225 **3.2 The local responses of precipitation and energetic components in the tropics**

226 Before discussing the statistical results for the global, tropical, tropical land and tropical
227 oceanic means, the geographic distributions of individual energetic components are explained.
228 Figure 1 shows the precipitation (multiplied by the latent heat of vaporization) distributions
229 between 30°S and 30°N from the control, +2K and $2\times\text{CO}_2$ simulations of SPCAM-IPHOC. The
230 similar results for the control, +4K and $4\times\text{CO}_2$ simulations of SPCAM are shown in Figure 2.
231 The precipitation patterns of the control experiments in the tropics are similar between the two
232 MMFs and comparable to observations [*Huffman et al., 2009*] but by no means agree perfectly.

233 The MMFs do not produce double intertropical convergence zones (ITCZs) that plague most of
234 conventional GCMs, especially the coupled ocean-atmosphere models [e.g., *Lin*, 2007], and
235 various versions of CAM [e.g., *Xie et al.*, 2012]. In the MMFs, the ITCZ precipitation bands are
236 also narrower in the central and eastern Pacific and Atlantic than in the western Pacific.
237 Precipitation intensity increases in +2K and +4K simulations (hereafter, “+SST” simulations) but
238 decreases in 2xCO₂ and 4xCO₂ simulations (hereafter, “xCO₂” simulations), but not uniformly
239 in space. The increase/decrease in intensity is accompanied by an expansion/shrinking of
240 precipitation areas. A noticeable difference between the two MMFs is the presence of a weak
241 precipitation zone over the eastern Pacific south of the equator in all three experiments
242 performed with SPCAM-IPHOC. This is due to the different SST datasets used in the two
243 MMFs. As discussed in *Xu et al.* [2013b], this weak ITCZ is only simulated over the warm SST
244 areas during the boreal spring.

245 Figures 3-6 show the geographic distributions of the differences between the sensitivity
246 and control experiments for latent heating, LWC, SWA, *SH* and convergence of dry static energy
247 flux (*H*). *H* is vertically integrated net convergence of dry static energy flux but is diagnosed as
248 the residual from the other four terms in the energetic constraint equation. The differences are
249 scaled to +2K and 2xCO₂ for SPCAM. Spatial correlations between latent heating and LWC (or
250 SWA, *SH*, *H*) over the entire tropics are listed over panels (b-e) and Table 3. As stated earlier, it
251 is beyond the scope of this study to examine the details of physical mechanisms for the local
252 response [e.g., *Charwick et al.*, 2013; *DeAngelis et al.*, 2016].

253 For +SST experiments (Figures 3 and 4), precipitation increases over the oceanic areas
254 with strong precipitation but decreases over the oceanic areas with weak precipitation of the
255 control experiments (Figures 1a and 2a). This is known as the “rich get richer” mechanism [e.g.,

256 *Chou and Neelin, 2004*]. The spatial correlation over the tropics between the precipitation
257 change and mean precipitation of the control experiment is 0.49 and 0.56 for SPCAM and
258 SPCAM-IPHOC (Table 3), respectively, compared to 0.2 of the CMIP5 multi-model ensemble
259 [*Charwick et al., 2013*]. Over most of south America and Africa as well as parts of northern
260 Asia, precipitation decreases, which is correlated with warming due to convergence of dry static
261 energy flux and increase in SH. This is also the case over eastern Australia and the adjacent
262 ocean in SPCAM-IPHOC. However, precipitation over the same region increases in SPCAM,
263 which is related to cooling due to decrease in SH over lands and to divergence of dry static
264 energy flux over the oceanic area. Precipitation over the eastern Pacific south of the equator
265 increases slightly more in SPCAM-IPHOC than in SPCAM due to, as mentioned earlier, the
266 higher SSTs there resulting from the use of two different SST datasets in the two MMFs.

267 The regional patterns of precipitation changes are positively (0.21-0.27) correlated with
268 those of SWA changes (but higher over lands, 0.38-0.40; Table 3) due to cloud radiative cooling.
269 The weak correlation is due to the fact that cooling change can be large in low cloud regions but
270 with negligible precipitation change. Although LWC is, as discussed later, a major contributor to
271 the precipitation change over the entire tropics/globe, the regional patterns of LWC changes are
272 negatively correlated with those of precipitation changes (-0.47 for SPCAM, -0.53 for SPCAM-
273 IPHOC) due to cloud radiative heating in the precipitating regions. The correlation is weaker
274 over lands (-0.08 for SPCAM, -0.22 for SPCAM-IPHOC; Table 3). Changes in SH are small
275 over the ocean (-5 to 0 W m⁻²) but larger over lands. They are weakly and negatively (-0.16 - -
276 0.26) correlated with precipitation changes due to the stronger negative correlations over lands (-
277 0.52 - -0.58; Table 3). Thus, the closer matching in the spatial patterns (correlation of nearly
278 1.00) and the larger magnitudes of change suggest that the regional patterns of precipitation

279 changes are largely determined by those of changes in divergence of dry static energy flux ($-H$).
280 Note that SPCAM-IPHOC has finer spatial patterns in both precipitation and H changes than
281 SPCAM over the entire tropics. This is likely related to larger circulation changes resulting from
282 the higher amplitude of SST perturbations in SPCAM (+4 K vs. 2 K).

283 For $x\text{CO}_2$ experiments, the most pronounced feature of the precipitation responses is the
284 increased precipitation over tropical land areas as noted by *Wyant et al.* [2012] and seen in
285 Figures 5a and 6a, though SPCAM-IPHOC does have weak decreases in precipitation over parts
286 of equatorial Africa and South America. Precipitation decreases over most of the oceanic regions
287 except for the equatorial Pacific due to slight southward movement of the ITCZ. Over Asia,
288 Australia and non-equatorial Africa and equatorial western Pacific, the increases in precipitation
289 are larger in SPCAM-IPHOC than in SPCAM. The larger increases over these regions are
290 responsible for a smaller tropical-mean precipitation reduction in SPCAM-IPHOC than in
291 SPCAM. The local fast precipitation response is mostly opposite to that of slow response (Table
292 3) because of the direct radiative heating due to CO_2 increase and the resulting changes in
293 atmospheric circulations over the ocean and lands.

294 The longwave warming (negative values in Figures 5d and 6d) from increased CO_2 is a
295 major contributor to the precipitation reduction over the entire tropics/globe. Similar to +SST
296 experiments, the regional patterns of LWC/SWA changes are negatively/positively correlated (-
297 0.64/0.52 for SPCAM and -0.46/0.54 for SPCAM-IPHOC) with those of precipitation changes
298 due to cloud radiative heating/cooling. As in +SST experiments (Figures 3c and 4c), the
299 dominant contributor to the local precipitation response is the change in convergence of dry
300 static energy flux. However, the two MMFs do not agree on the signs of SH changes over some
301 parts of the oceanic areas and parts of Asia, Australia and equatorial Africa, as indicated by their

302 correlations of -0.11 for SPCAM-IPHOC and 0.20 for SPCAM with precipitation changes. This
303 result is related to much stronger negative correlation over lands (-0.61 vs. -0.21) and stronger
304 positive correlation over the ocean (0.27 vs. 0.14) in SPCAM-IPHOC than SPCAM (Table 3).

305 **3.3 The global hydrological response**

306 The global mean precipitation rates averaged over nine years from the control simulations
307 are very close (2.87 mm day⁻¹ for SPCAM and 2.86 mm day⁻¹ for SPCAM-IPHOC), but higher
308 than observations (2.62 mm day⁻¹) [Huffman *et al.*, 2009]. The hydrological cycle response from
309 +SST experiments is a precipitation increase of 3.0% K⁻¹ for SPCAM and 3.6% K⁻¹ for SPCAM-
310 IPHOC, respectively. Both are significantly higher than those simulated from +SST experiments
311 of conventional AGCMs, 2.52±0.22% K⁻¹ [e.g., Allan *et al.*, 2014; Andrews *et al.*, 2010; Samset
312 *et al.*, 2016] albeit the configurations of experiments are different. For example, AGCM
313 experiments used a simple slab ocean model and the slow response is diagnosed from the
314 difference between the total and fast responses, whereas the fast response experiments are
315 configured identically as in the present study [Andrews *et al.*, 2010; Kvalevåg *et al.*, 2013;
316 Samset *et al.*, 2016]. The difference of 0.6% K⁻¹ between the two MMFs is very close to the
317 spread of the slow responses among conventional AGCMs. All of these responses lie within the
318 observationally based estimate of 2.83±0.92% K⁻¹ for the period 1988-2008 [Allan *et al.*, 2014]
319 with SPCAM-IPHOC being at the upper end of the range. It is, however, cautioned that the
320 observational estimate was based upon a regression of global mean precipitation to interannual
321 anomalies of SAT (Table 4), which are not simulated with Cess-type experiments performed
322 with MMF but are simulated with conventional AGCM's AMIP experiments with interannual
323 variability of SSTs and sea ice [Allan *et al.*, 2014].

324 *Fläschner et al.* [2016] defined the hydrological sensitivity analogous to the equilibrium
325 climate sensitivity framework. This sensitivity for +SST experiments is calculated as the ratio of
326 the changes in latent heating (precipitation) to those in SAT. The hydrological sensitivity is 2.50
327 $\text{W m}^{-2} \text{K}^{-1}$ for SPCAM and $2.96 \text{ W m}^{-2} \text{K}^{-1}$ for SPCAM-IPHOC. Both MMFs lie within the range
328 of $2.79 \pm 0.26 \text{ W m}^{-2} \text{K}^{-1}$ from +4K SST experiments of conventional AGCMs according to this
329 definition of hydrological sensitivity (Table 4). But the difference of $0.46 \text{ W m}^{-2} \text{K}^{-1}$ between the
330 MMFs suggests that changing only the turbulence parameterization in an MMF can lead to
331 substantial changes in hydrological sensitivity.

332 In the following, we will instead use the fractional precipitation changes to consistently
333 scale the precipitation responses between +SST and $x\text{CO}_2$ sets of experiments. The fast
334 responses from $x\text{CO}_2$ experiments are stronger for SPCAM with a fractional precipitation
335 change of -2.66% vs. -2.05% for SPCAM-IPHOC, compared to $-2.5 \pm 0.4\%$ from $2x\text{CO}_2$
336 experiments of conventional AGCMs [*Samset et al.*, 2016]. For +SST experiments, the fractional
337 precipitation changes are 6.83% for SPCAM and 7.90% for SPCAM-IPHOC, respectively,
338 compared to $6.0 \pm 1.6\%$ for conventional AGCMs [*Samset et al.*, 2016]. Therefore, the
339 precipitation sensitivity in SPCAM-IPHOC is stronger (+1%) for the slow response but weaker
340 for the fast response (-0.6%) than both SPCAM and the ensemble mean of conventional AGCMs
341 for similar (but identical for $x\text{CO}_2$) experiment configurations. For comparison, the host GCM of
342 the MMFs, CAM4, produces a slow response of $7.6 \pm 0.3\%$ and a fast response of $-2.3 \pm 0.2\%$
343 [*Kvalevåg et al.*, 2013].

344 Why are the precipitation changes different between the MMFs even though they differ
345 only in the representation of turbulence in the embedded CRMs? Do the differences result from
346 changes in cloud-induced radiative heating or surface turbulent fluxes? To address these

347 questions, the changes in the energetic constraint components shown in (1) are normalized by the
348 mean latent heating of the respective MMF control simulation over a region (e.g., the
349 globe/tropics), which are shown in Figures 7 and 8, as well as Tables 5 and 6 with additional
350 parameters such as clearsky SW heating and LW cooling, CREs and convergence of dry static
351 energy flux (ΔH). The changes (Δ) in the energetic components between the sensitivity and
352 control experiments are linked through the following equation:

$$353 \quad \Delta LWC / L\bar{P} = (L\Delta P + \Delta SWA + \Delta SH + \Delta H) / L\bar{P}, \quad (2)$$

354 where \bar{P} is the averaged surface precipitation rate of the control experiments of either SPCAM or
355 SPCAM-IPHOC. For the global-mean energetic changes to be discussed below, ΔH is the
356 change in the residual that is due to the unclosed surface energy budget as discussed earlier in
357 section 3.1.

358 The changes in the energetic components are similar in several aspects between the two
359 models. First, LWC is the largest term in contributing to the increases of precipitation for +SST
360 experiments. This is also true for xCO₂ experiments except for LW warming due to increased
361 CO₂ that contributes to the decreases of precipitation. Second, the magnitudes of ΔLWC are, at
362 least, 1% higher than that of precipitation sensitivity for +SST experiments (Figure 7) but only
363 slightly smaller for xCO₂ experiments (Figure 8). Third, increased heating (ΔSWA) cancels out
364 approximately one-fourth of ΔLWC contribution of +SST experiments but contributes little to
365 the decrease of precipitation of xCO₂ experiments. Such relationships between the precipitation
366 sensitivity and changes in LWC/SWA are opposite to those in the local precipitation responses
367 discussed earlier in section 3.2. Finally, both ΔSH and ΔH are small (but not negligible)
368 contributors to the energetic constraint, compared to ΔLWC (Tables 5 and 6), which will be
369 further discussed shortly.

370 The difference in the global-mean precipitation changes between SPCAM-IPHOC and
371 SPCAM is 1.07% for +SST experiments. The higher precipitation sensitivity in SPCAM-IPHOC
372 is contributed by more LWC (0.59%), lower SH heating (0.32%) and more cooling due to ΔH
373 (0.28%) but the slightly higher SWA heating reduces the precipitation sensitivity by 0.12%. Due
374 to the negligible differences in clearsky SWA (0.03%) and LWC (0.02%) changes, the difference
375 in CRE changes is, as discussed later, a major contributor to the higher precipitation sensitivity
376 in SPCAM-IPHOC. In Eq. (2), magnitudes of ΔSH are smaller than those of either ΔLWC or
377 ΔSWA for both MMFs, in agreement with previous studies [e.g., *Held and Soden, 2006; Lu and*
378 *Cai, 2009*], but ΔSH is responsible for a significant portion of the differences in the slow (0.32%
379 out of 1.07%) and fast (0.52% out of 0.62%) precipitation responses between the MMFs (Tables
380 6 and 7). For example, the sign of ΔSH for the fast responses is opposite between the two
381 models. The increase in SH heating (0.39%) contributes to a larger reduction in surface
382 precipitation in SPCAM, i.e., a stronger precipitation response to increased CO_2 , compared to
383 the decrease in SH heating (-0.13%) for SPCAM-IPHOC. As discussed later, ΔSH over the
384 tropical lands in SPCAM is ~ 12 times larger than that in SPCAM-IPHOC because overheated
385 lands from CO_2 warming produce large increase in SH (see Figures 5b and 6b) coupled with
386 large decrease in surface latent heat (LH) flux, likely due to the lack of low-level clouds and
387 precipitating clouds in SPCAM.

388 Does the change in the residual (ΔH) alter the precipitation responses? The absolute
389 magnitudes of ΔH in either set of experiments are smaller in SPCAM than in SPCAM-IPHOC,
390 which is consistent with the smaller residual in the control experiment of SPCAM (Table 2). The
391 differences in ΔH between the two MMFs contribute a small proportion in the precipitation
392 sensitivity (0.28% out of 1.07% for the slow response; 0.18% out of 0.62% for the fast response),

393 in comparison with those of ΔLWC in +SST experiments (0.59%) and ΔSH in xCO₂ experiments
394 (0.52%). Therefore, the unclosed surface energy balances in these MMFs do not change the sign
395 of the difference in the global-mean HS between the two MMFs albeit they are not negligibly
396 small. However, the impact of this imbalance on the energetic constraint was not discussed in the
397 earlier AGCM studies [e.g., *Fläschner et al.*, 2016; *Samset et al.*, 2016].

398 As discussed above, a major factor for determining the HS is the changes in net radiative
399 cooling/warming (*Stephens and Ellis*, 2008; *Stephens and Hu*, 2010). How different are the two
400 MMFs in this regard? The ratios of ΔR_{ATM} ($\Delta LWC - \Delta SWA$) to change in SAT (ΔT) of +SST
401 experiments, i.e., 2.24 W m⁻² K⁻¹ for SPCAM and 2.47 W m⁻² K⁻¹ for SPCAM-IPHOC (Table 4),
402 are higher than conventional GCMs, 1.92±0.16 W m⁻² K⁻¹ [*Allan et al.*, 2014]. *Mauritsen and*
403 *Stevens* [2015] tried to explain the muted precipitation response in conventional AGCMs with
404 the lack of the iris effect, an expansion of clearsky area with warming. Although relatively low
405 ECS and relatively high HS of the AGCM simulations with SPCAM and SPCAM-IPHOC seem
406 supportive of *Mauritsen and Stevens* [2015], these uncoupled simulations are not directly
407 comparable to those in that paper. A coupled simulation with SPCAM [*Bretherton et al.*, 2014]
408 has a slightly lower HS (2.7% K⁻¹ vs. 3.0% K⁻¹) and a higher ECS (2.8 K vs. 2.1 K) than the
409 uncoupled counterpart. The relatively large HS in SPCAM and SPCAM-IPHOC may be related
410 to a stronger precipitation response to changes in net atmospheric radiative cooling. In fact, the
411 ratio of $L\Delta P$ to ΔR_{ATM} of SPCAM (1.12) and SPCAM-IPHOC (1.20) is closer to observationally
412 based estimate (1.09±0.17) for the period 1998-2008 than that in conventional GCMs
413 (0.83±0.03; *Allan et al.* [2014]), though this comparison is only qualitative because of the
414 different configurations of AGCM and MMF simulations and the uncertainties in precipitation
415 measurements and re-analysis data.

416 The higher $L\Delta P/\Delta R_{ATM}$ in SPCAM-IPHOC is due to the higher decreasing rate of
417 surface SH with surface warming (Figure 7) than in SPCAM, which will be discussed shortly.
418 The higher values in both ratios ($\Delta R_{ATM}/\Delta T$ and $L\Delta P/\Delta R_{ATM}$) help increase the HS in +SST
419 experiment of SPCAM-IPHOC. For xCO_2 experiments, $L\Delta P/\Delta R_{ATM}$ is much higher in SPCAM
420 (1.21) than in SPCAM-IPHOC (0.89), which explains the higher sensitivity in SPCAM. This
421 large difference is, as discussed earlier, due to the effect of SH changes with opposite signs on
422 the precipitation decrease, agreeing with *DeAngelis et al.* [2016] regarding significant spreads in
423 ΔSH for conventional GCMs. These results indicate that SH changes, importance of which has
424 recently been highlighted [*Stephens and Hu, 2010; O’Gorman et al., 2012; DeAngelis et al.,*
425 *2016; Fläschner et al., 2016; Kramer and Soden, 2016*], play an important role in determining
426 the precipitation sensitivity for both the slow and fast responses.

427 What role do clouds play in producing the higher HS in SPCAM-IPHOC than in
428 SPCAM? Changes in the clearsky LWC (10.63%, 10.65%) and SWA (2.75%, 2.72%) are nearly
429 identical between the two MMFs for +SST experiments. The relatively larger change in net
430 cloud radiative heating (1.78% for SPCAM; 1.35% for SPCAM-IPHOC; Table 5) is thus
431 responsible for smaller $\Delta R_{ATM}/\Delta T$ in SPCAM because of the similar clear-sky $\Delta R_{ATM}/\Delta T$. The
432 lack of low clouds in the control simulation enhances the sensitivity of cloud radiative heating in
433 SPCAM and conventional AGCMs because cloud changes are dominated by those of high
434 clouds, compared to SPCAM-IPHOC, as seen from the larger LW cloud heating change relative
435 to SW cloud cooling change in SPCAM (Table 5). Further, the differences in cloud radiative
436 heating sensitivity between the two MMFs are similar for +SST and xCO_2 experiments (0.43%
437 vs. 0.48%). In xCO_2 experiments (Table 6), the positive cloud heating sensitivity in SPCAM

438 (0.19%) reduces precipitation more than that attributed to clearsky CO₂ heating increase. The
439 opposite is true for SPCAM-IPHOC (-0.29%).

440 A greater reduction in surface SH fluxes that are associated with a more stable boundary
441 layer [Lu and Cai, 2009] in +SST experiment of SPCAM-IPHOC is related to a greater HS
442 (Figure 9), which leads to a higher ratio of $L\Delta P$ to ΔR_{ATM} by 0.09 over SPCAM. The LH flux
443 directly impacts the HS through the water budget, which is larger in SPCAM-IPHOC than in
444 SPCAM. The greater reduction in SH causes a larger fractional decrease in the Bowen ratio
445 (SH/LH) with surface warming, which is about 6.5% K⁻¹ for SPCAM-IPHOC but is less than
446 5.0% K⁻¹ for SPCAM. (The fractional changes shown in Figure 9 are divided by ~2.2 K.) Thus,
447 the inclusion of IPHOC in MMF exerts a greater influence on the response of boundary-layer
448 turbulent transports to surface warming, in particular, with stronger stabilization of boundary
449 layer. The higher vertical resolution in the boundary layer of SPCAM-IPHOC may also play a
450 role. Unlike conventional GCMs, the wind gustiness that impacts surface fluxes is directly
451 simulated in MMF. One would expect IPHOC to have significant impacts on boundary-layer
452 turbulent transports though it might not be clear which sign it would have on the HS relative to
453 low-order turbulence closures used in SPCAM and conventional GCMs.

454 As discussed in Stephens and Hu [2010], the sensitivity of net cloud radiative heating is
455 opposite in sign with that of surface SH flux. If they canceled out with each other, the
456 precipitation sensitivity would be determined by that of clearsky radiative cooling ($\Delta(R_{ATM})_{clr}$).
457 Because the sensitivity of SH flux is lower in SPCAM, it cannot compensate the higher
458 sensitivity of net cloud radiative heating. Therefore, precipitation sensitivity in SPCAM is far
459 less than that due to clearsky radiative cooling, compared to SPCAM-IPHOC (Table 4). The
460 ratio of $L\Delta P$ to clearsky ΔR_{ATM} is 0.87 for SPCAM but 0.99 for SPCAM-IPHOC. Therefore, the

461 substantial improvements in the simulation of low-level clouds and turbulence in SPCAM-
462 IPHOC [*Cheng and Xu, 2011, 2013a, b; Xu and Cheng, 2013a, b; Painemal et al., 2015*] play a
463 major role in enhancing the precipitation sensitivity.

464 **3.4 The tropical and regional hydrological responses**

465 In this study, the Tropics is defined as the area between 30°S and 30°N, representing half
466 the area of the Earth's surface. The hydrological changes in the tropics generally mirror those of
467 the entire globe for both MMFs, only weaker for +SST runs but slightly stronger for xCO₂ runs.
468 The differences from those of the globe are similar in SPCAM-IPHOC (-0.90%, slow response; -
469 0.18%, fast response) and SPCAM (-0.70%, slow response; -0.13%, fast response) (Tables 5 and
470 6). The weaker sensitivity in the tropics is attributed largely to a weaker sensitivity of LW
471 radiative cooling to SST increase (Figure 7). The stronger sensitivity of clear-sky LW radiative
472 heating to CO₂ increase is responsible for the higher precipitation sensitivity in xCO₂ runs (and
473 so is that of SH flux for SPCAM) because other terms in the energetic budget act to reduce the
474 sensitivity relative to that of the global mean (Table 8).

475 The land and oceanic parts of the Tropics (26% land and 74% ocean) are now considered
476 separately. The convergence of dry static energy flux is a significant contributor in the regional
477 energy budget [e.g., *Muller and O'Gorman, 2011*], and it is one of the largest contributors to the
478 tropical hydrological cycles over lands (Tables 5 and 6). The geographic patterns of ΔH are
479 shown in Figures 3-6 and matched to those of ΔP perfectly. The signs of regional-mean ΔH
480 (Tables 5 and 6) are consistent between the two MMFs; i.e., convergence over the tropical lands
481 in the slow responses but divergence in the fast responses. The signs are reversed and their
482 magnitudes are smaller over the tropical ocean. The differences in ΔH between SPCAM-IPHOC
483 and SPCAM are 1.42% (fast response) to -1.07% (slow response) over lands but -0.05 (slow

484 response) to 0.04% (fast response) over the ocean, suggesting that changes in land-ocean
485 transports can impact the precipitation response over lands.

486 The reduction (increase) of tropical land precipitation agrees qualitatively with
487 conventional GCMs for the slow (fast) responses [e.g., *Samset et al.*, 2016; *DeAngelis et al.*,
488 2016]. The tropical land precipitation experiences 2.79% reduction for SPCAM, but only 0.55%
489 reduction for SPCAM-IPHOC in +SST simulations, compared to their respective control
490 simulations. In xCO₂ simulations, the tropical land precipitation increases by 2.65% for SPCAM
491 but 5.11% for SPCAM-IPHOC (Figures 7 and 8). These differences between the two MMFs are
492 on par with significant intermodel variability over lands simulated by conventional GCMs
493 [*Samset et al.*, 2016; *DeAngelis et al.*, 2016].

494 Why does IPHOC greatly increase the tropical land precipitation? What causes such large
495 differences between the two MMFs? SPCAM has much larger increases in ΔSH (3.57% and
496 4.83% for +SST and xCO₂ simulations, respectively) than in SPCAM-IPHOC (2.80% and
497 0.39%). In 4xCO₂ simulation ΔSH is 12 times as large as that in 2xCO₂ simulation, which is
498 compensated by a large reduction in LH in SPCAM. This difference implies that the land surface
499 is heated up more easily, the boundary layer is deeper and deep convection produces less surface
500 precipitation due to the drier/warmer boundary layer in SPCAM. There is evidence to support
501 this explanation. Low and total cloud fractions over the tropical lands increase in 2xCO₂
502 experiment of SPCAM-IPHOC (0.17%, 1.20%), compared to low cloud reduction (-0.45%) and
503 smaller increase in total cloud fraction (0.40%) in 4xCO₂ experiment of SPCAM. For +SST
504 simulations, tropical lands in SPCAM experience larger reductions in low (-1.54% vs. -0.91%)
505 and total (-2.35% vs. -1.39%) cloud fractions than those in SPCAM-IPHOC.

506 As shown in Tables 5 and 6, the differences in ΔSH (0.77%) and ΔH (1.07%; divergence)
507 contribute to the difference in precipitation reduction (2.24%) over tropical lands in +SST
508 simulations between the MMFs, with a smaller contribution from ΔR_{ATM} (0.40%; cooling). In
509 xCO₂ simulations, the difference in ΔSH contributes to the difference in precipitation increase
510 (4.44% of 2.46%), which is compensated by the differences in ΔH (-1.42%; convergence) and
511 ΔR_{ATM} (-0.56%; warming). The difference in ΔR_{ATM} is largely contributed by that in ΔCRE . For
512 tropical oceanic regions, the slightly higher sensitivity in SPCAM-IPHOC relative to SPCAM
513 (+0.72%) can be attributed to the stronger net radiative cooling (+0.63%) for the slow response.
514 A cancellation of a higher reduction in surface SH flux (0.24%) with ΔR_{ATM} (warming; -0.29%)
515 results in a negligible difference in the precipitation sensitivity for the fast response.

516 **4 Summary and discussion**

517 The MMFs simulate less muted global hydrological response with surface warming than
518 conventional GCMs [e.g., *Allan et al.*, 2014; *Andrews et al.*, 2010; *Samset et al.*, 2016]. The
519 lower hydrological sensitivity of conventional GCMs could be associated with inadequate
520 representation of both turbulence and cloud processes [*Mauritsen and Stevens*, 2015]. SPCAM-
521 IPHOC with a higher-order turbulence closure simulates higher global hydrological sensitivity
522 for the slow response but lower sensitivity for the fast response, compared to SPCAM with a
523 low-order turbulence closure. The differences in the fractional precipitation change of 1% (or
524 0.6% K⁻¹) for the slow response and 0.6% for the fast response between the two MMFs are close
525 to the spreads of conventional GCMs with similar/identical experimental designs as in this study
526 [*Samset et al.*, 2016; *Fläschner et al.*, 2016], though the intermodel spreads for fully coupled
527 GCMs can be higher [e.g., *DeAngelis et al.*, 2015]. These differences have been examined
528 according to the energetic constraint in this study to help understand the potential causes of

529 model spreads among conventional GCMs. The discussion presented below is subject to this
530 caveat. The individual components are expected to compensate each other so that the causes for
531 the difference in the hydrological sensitivity cannot be fully isolated.

532 It is found that changes in longwave radiative cooling (ΔLWC) contribute half of the
533 difference in precipitation sensitivity between the two MMFs with surface warming (i.e., the
534 slow response), which is related to higher sensitivity of cloud radiative heating in SPCAM,
535 because the sensitivity of clearsky LWC is nearly identical. This result is related to the lack of
536 low clouds in SPCAM (and conventional GCMs). The cloud radiative heating sensitivity is
537 enhanced because cloud changes are attributed to those of high clouds, compared to SPCAM-
538 IPHOC. On the other hand, the more stable boundary layer simulated by SPCAM-IPHOC is
539 responsible for a greater reduction in surface sensible heat flux with surface warming. This
540 contributes one third of the difference in precipitation sensitivity between the two MMFs for the
541 slow response although magnitudes of ΔSH are smaller than those of other energetic
542 components. The rest is contributed by higher sensitivity of cooling due to the surface energy
543 budget imbalance but offset by higher sensitivity of SW radiative heating in SPCAM-IPHOC.
544 For the fast response, the difference in ΔSH is responsible for most of the difference in
545 precipitation sensitivity between the two MMFs. The large increase in SH (but compensated by
546 LH decrease) is responsible for stronger precipitation reduction in SPCAM. Partitioning between
547 SH and LH over lands in SPCAM-IPHOC is drastically different with small increases in both SH
548 and LH. It is not clear whether these differences are related either to the vegetation responses
549 [DeAngelis *et al.*, 2016] or the different formulations of boundary-layer turbulent processes.

550 It is also found that the fractional precipitation (latent heating) change is nearly equal to
551 the fractional clearsky net radiative cooling in SPCAM-IPHOC (0.99) but less in SPCAM (0.87).

552 A theoretical ratio is 1.00 (e.g., *Stephens and Hu, 2010*). The ratio of the changes in latent
553 heating to those in all sky net radiative cooling ($L\Delta P/\Delta R_{ATM}$) is higher for SPCAM-IPHOC
554 (1.20) than for SPCAM (1.12), and so is ΔR_{ATM} with surface warming ($\Delta R_{ATM}/\Delta T$) (2.24 W m^{-2}
555 K^{-1} for SPCAM and $2.47 \text{ W m}^{-2} \text{ K}^{-1}$ for SPCAM-IPHOC). The higher values of both ratios in
556 SPCAM-IPHOC help to explain the muted precipitation response in conventional GCMs, which
557 have much lower values (0.83 ± 0.03 and $1.92\pm 0.16 \text{ W m}^{-2} \text{ K}^{-1}$) than either MMF. For $x\text{CO}_2$
558 experiments, the higher $L\Delta P/\Delta R_{ATM}$ also explains the larger precipitation decrease in SPCAM
559 than in SPCAM-IPHOC, due to the effect of SH changes with opposite signs in the two models.
560 These results confirm that the SH changes due to stabilization of the boundary layer and less
561 surface warming over lands due to the presence of low clouds and more precipitating clouds play
562 an important role in determining the hydrological sensitivity, especially for the fast response
563 [*Stephens and Hu, 2010; O’Gorman et al., 2012; DeAngelis et al., 2016*].

564 Furthermore, the difference in the SWA sensitivity is small between the two MMFs and
565 that of its clearsky counterpart is even smaller due to the use of the same CAM4 radiation
566 transfer code [*Mlawer et al., 1997*] in the two MMFs. Therefore, the explanation based upon the
567 clearsky SWA sensitivity with precipitable water [*DeAngelis et al., 2015*] is not relevant to the
568 differences in the hydrological sensitivity between the two MMFs discussed in this study. Even
569 though the SWA sensitivity has a relatively small magnitude, as in the SH sensitivity, one cannot
570 rule out its importance in explaining the model spreads in the hydrological sensitivity of
571 conventional GCMs with different radiation transfer codes.

572 The two MMFs differ greatly in the hydrological sensitivity over the tropical lands, with
573 SPCAM-IPHOC simulating much smaller reduction in precipitation for the slow responses and
574 larger increase for the fast responses. The simulated sensitivity in surface SH fluxes with surface

575 warming and CO₂ increase in SPCAM-IPHOC is weaker than in SPCAM (also partially related
576 to partitioning of LH and SH because the sum of LH and SH is similar) but the difference in
577 divergence of dry static energy flux also contributes to that in precipitation sensitivity between
578 the two MMFs. The regional patterns of the divergence determine the regional precipitation
579 changes but radiative forcing can damp or enhance the precipitation change. The change in the
580 large-scale circulations is critically important for understanding the local and regional responses
581 [*Bony et al.*, 2013; *Charwick et al.*, 2013; *Kamae et al.*, 2015; *Muller and O’Gorman*, 2011;
582 *Oueslati et al.*, 2016], which require a more detailed analysis from the MMF simulations.

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753 the circulation and stability regimes simulated from an upgraded multiscale modeling
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Table 1. Two-year global-averaged surface precipitation rates for SPCAM and SPCAM-IPHOC simulations with 6 and 12 layers below 700 hPa. Unit is mm day ⁻¹ .		
Experiment	SPCAM	SPCAM-IPHOC
6 Layers	2.86	2.88
12 Layers	2.84	2.86

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Table 2. Nine-year global-averaged energetic components, and clearsky radiative fluxes and cloud radiative effects at the top of the atmosphere (TOA) and surface, as well as total cloud amount, liquid water path and ice water path for the control runs of SPCAM-IPHOC and SPCAM and their differences. Unit is $W m^{-2}$ for all fluxes. CERES Energy Filled and Balanced (EBAF) radiative fluxes are based upon 16-year (March 2000 to February 2016) averages from the recently updated TOA and surface fluxes (Edition 4.0). The uncertainty estimates of these radiative flux parameters are mostly not available although estimates of upward and downward surface fluxes, not the net fluxes, are available ($3-7 W m^{-2}$).

	SPCAM-IPHOC	SPCAM	Difference	CERES EBAF
Latent Heating	82.8	83.1	-0.3	
LW Cooling	182.7	181.5	1.2	186.8
SW Absorption	78.8	78.7	0.1	77.1
Surface sensible heat flux	23.4	20.5	2.9	
Residual (H)	-2.4	-0.9	-1.5	
Clearsky LW Cooling	178.2	180.5	-2.3	184.1
Clearsky SW Absorption	73.2	72.4	0.8	72.7
TOA LW cloud radiative effect	22.9	32.5	-9.6	27.9
Surface LW cloud radiative effect	27.2	33.5	-6.3	30.2
TOA SW cloud radiative effect	-50.2	-64.7	14.5	-45.8
Surface SW cloud radiative effect	-55.7	-71.0	15.3	-50.2
Total cloud amount (%)	61.6	57.0	4.6	
Liquid water path ($g m^{-2}$)	98.2	95.5	2.7	
Ice water path ($g m^{-2}$)	48.3	49.5	-1.2	

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Table 3. Spatial correlation of precipitation changes with precipitation (P) of the control experiments over the entire tropics and with changes in individual energetic components (SH, H, LWC and SWA) over the tropical lands and ocean. The latter over the entire tropics can be found at the top of panels in Figures 3-6 (b-e).

	SPCAM-IPHOC				SPCAM			
Experiment	2xCO2		+2K		4xCO2		+4K	
P	-0.19		0.49		-0.19		0.56	
	lands	ocean	lands	ocean	lands	ocean	lands	ocean
ΔSH	-0.61	0.27	-0.52	0.30	-0.21	0.14	-0.58	0.28
ΔH	-0.93	-0.99	-0.88	-0.99	-0.81	-0.99	-0.79	-0.99
$\Delta LW_{toa} - LW_{sfc}$	-0.15	-0.54	-0.22	-0.61	-0.28	-0.74	-0.08	-0.60
$\Delta SW_{toa} - SW_{sfc}$	0.63	0.48	0.40	0.20	0.52	0.47	0.38	0.04

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Table 4. A few key parameters of the hydrological cycle and sensitivity for SPCAM and SPCAM-IPHOC simulations, in comparison with AMIP5 simulations with and without (*) a slab ocean model and observations (when available) [Allan *et al.*, 2014]. See texts for details.

Parameters	SPCAM	SPCAM-IPHOC	AMIP5	Observations
$\frac{\Delta P}{P} / \Delta T$ (% K ⁻¹)	3.01	3.57	2.52±0.22	2.83±0.92
LΔP/ΔT (W m ⁻² K ⁻¹)	2.50	2.96	2.79±0.26*	n/a
ΔR _{ATM} /ΔT (W m ⁻² K ⁻¹)	2.24	2.47	1.92±0.16	2.50±0.29
LΔP/ΔR _{ATM}	1.12	1.20	0.83±0.03	1.09±0.17
Δ(R _{ATM}) _{clr} /ΔT (W m ⁻² K ⁻¹)	2.89	2.98		
LΔP/Δ(R _{ATM}) _{clr}	0.87	0.99		
ΔP/P (%)	6.83	7.90		
*+4K experiment results without a slab ocean model [Fläschner <i>et al.</i> , 2016]				

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Table 5. Global, tropical, tropical land and ocean mean precipitation rate of the control simulations and the changes in precipitation (P), surface sensible heat flux (SH), longwave cooling (LWC), shortwave absorption (SWA), clearsky LWC and SWA, LW and SW cloud radiative effects (CREs) and convergence of dry static energy flux (residual for global mean) between the +SST and control runs. Unit is % except for precipitation. Note that $\Delta P = \Delta LWC - \Delta SWA - \Delta SH - \Delta H$.

Parameter	SPCAM				SPCAM-IPHOC			
	Globe	Tropics	Tropics-Land	Tropics-Ocean	Globe	Tropics	Tropics-Land	Tropics-Ocean
$\langle P \rangle$ (mm day ⁻¹)	2.87	3.59	2.44	4.00	2.86	3.67	2.67	4.03
$\Delta P / \langle P \rangle$	6.83	6.13	-2.79	8.05	7.90	7.00	-0.55	8.77
$\Delta LWC / \langle P \rangle$	8.20	7.40	10.94	6.64	8.79	8.18	11.53	7.38
$\Delta SWA / \langle P \rangle$	2.09	2.08	2.60	1.97	2.21	2.21	2.79	2.08
$\Delta SH / \langle P \rangle$	-0.60	0.06	3.57	-0.70	-0.92	-0.06	2.80	-0.74
$\Delta H / \langle P \rangle$	-0.12	-0.87	7.56	-2.68	-0.40	-0.97	6.49	-2.73
$\Delta LWC_{clr} / \langle P \rangle$	10.63	9.97	12.37	9.44	10.65	9.94	12.41	9.36
$\Delta SWA_{clr} / \langle P \rangle$	2.75	2.69	3.69	2.47	2.72	2.61	3.51	2.39
$\Delta LWCRE / \langle P \rangle$	2.44	2.57	1.43	2.80	1.86	1.76	0.88	1.98
$\Delta SWCRE / \langle P \rangle$	-0.66	-0.61	-1.09	-0.50	-0.51	-0.40	-0.72	-0.31

Table 6. Same as Table 5 except for the differences between the xCO2 and control runs.								
Parameter	SPCAM				SPCAM-IPHOC			
	Globe	Tropics	Tropics-Land	Tropics-Ocean	Globe	Tropics	Tropics-Land	Tropics-Ocean
$\langle P \rangle$ (mm day ⁻¹)	2.87	3.59	2.44	4.00	2.86	3.67	2.67	4.03
$\Delta P / \langle P \rangle$	-2.67	-2.80	2.65	-3.97	-2.05	-2.23	5.11	-3.96
$\Delta LWC / \langle P \rangle$	-2.11	-2.15	-4.41	-1.67	-1.99	-2.32	-4.55	-1.25
$\Delta SWA / \langle P \rangle$	0.10	0.05	0.33	-0.01	0.30	0.24	0.76	0.12
$\Delta SH / \langle P \rangle$	0.39	0.51	4.83	-0.42	-0.13	-0.08	0.39	-0.18
$\Delta H / \langle P \rangle$	0.07	0.09	-12.22	2.73	-0.11	-0.25	-10.81	2.77
$\Delta LWC_{\text{clr}} / \langle P \rangle$	-1.81	-2.33	-2.54	-2.28	-2.28	-2.52	-2.37	-2.56
$\Delta SWA_{\text{clr}} / \langle P \rangle$	0.21	0.15	0.49	0.08	0.30	0.29	0.78	0.17
$\Delta LWCRE / \langle P \rangle$	0.30	-0.18	1.87	-0.61	-0.29	-0.20	2.18	-1.31
$\Delta SWCRE / \langle P \rangle$	-0.11	-0.10	-0.16	-0.09	0.00	-0.05	-0.02	-0.05

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787 **Figure captions**

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Figure 1. Horizontal distributions of surface precipitation rate (multiplied by the latent heat of vaporization) from the control, +2K and 2xCO₂ simulations performed with SPCAM-IPHOC.

Figure 2. Same as Fig. 1 except for the control, +4K and 4xCO₂ simulations performed with SPCAM.

Figure 3. Horizontal distributions of the differences in individual energetic components between the +2K and control experiments performed with SPCAM-IPHOC. The tropical mean and spatial correlation with latent heating are given at the top of each panel (b-e).

Figure 4. As in Figure 3 except for the differences between +4K and control experiments performed with SPCAM.

Figure 5. As in Figure 3 except for the differences between 2xCO₂ and control experiments performed with SPCAM-IPHOC.

Figure 6. As in Figure 3 except for the differences between 4xCO₂ and control experiments performed with SPCAM.

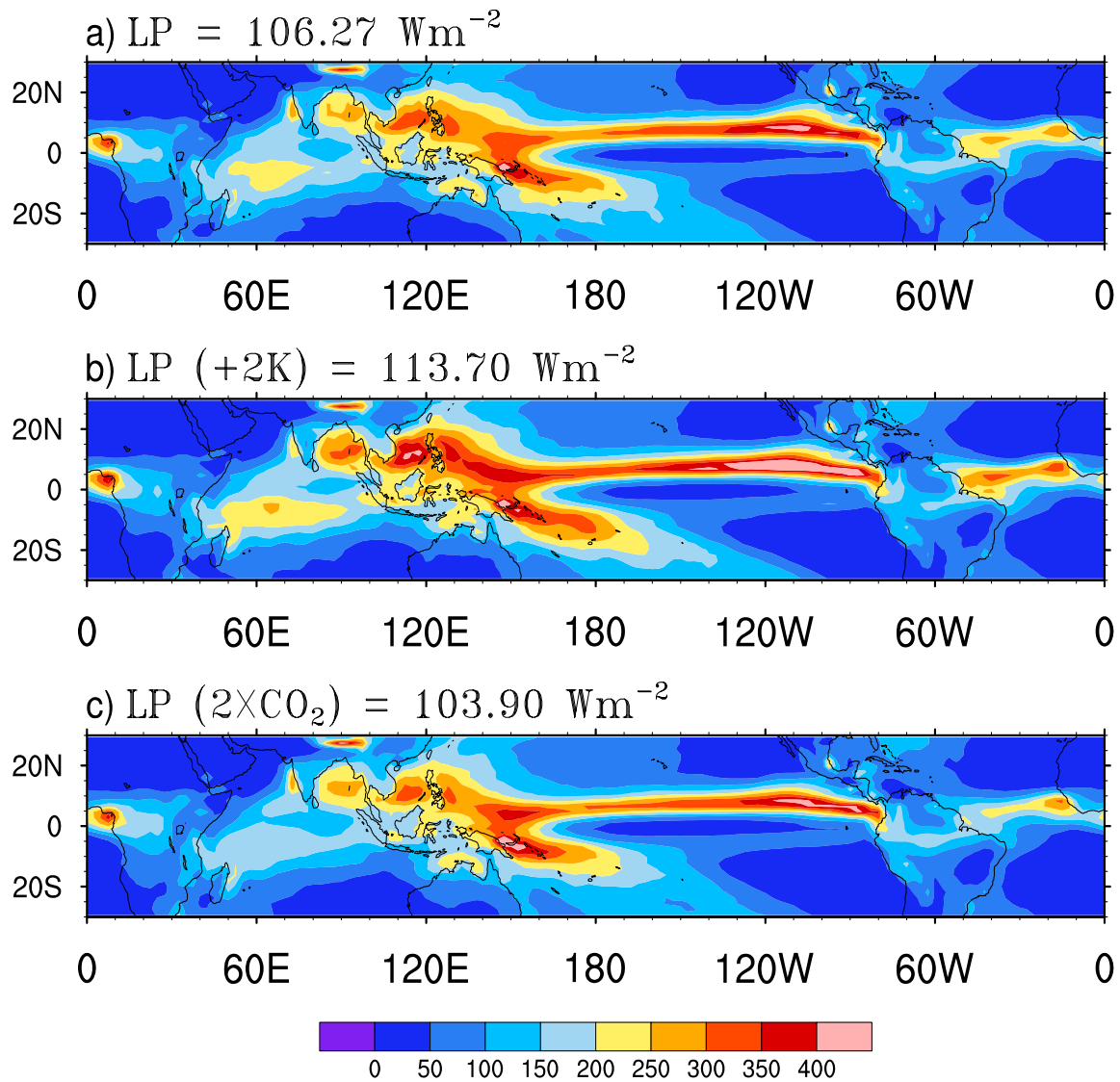
Figure 7. Relative changes of the individual terms in the energetic budget equation: Latent heating (LP), longwave radiative cooling (LWC), shortwave absorption (SWA) and sensible heating over the globe, tropics, tropics-land and tropics-ocean from the SST simulations of SPCAM (SP) and SPCAM-IPHOC (IP).

Figure 8. As in Figure 7 except for the CO₂ increase simulations of SPCAM (SP) and SPCAM-IPHOC (IP).

Figure 9. Same as Figure 7 except for the fractional changes of surface evaporation (LH) and the Bowen ratio (LH-SH). The Bowen ratio is defined as SH/LH. Its negative fractional change can be expressed as $\Delta \langle LH-SH \rangle / \langle LH-SH \rangle$.

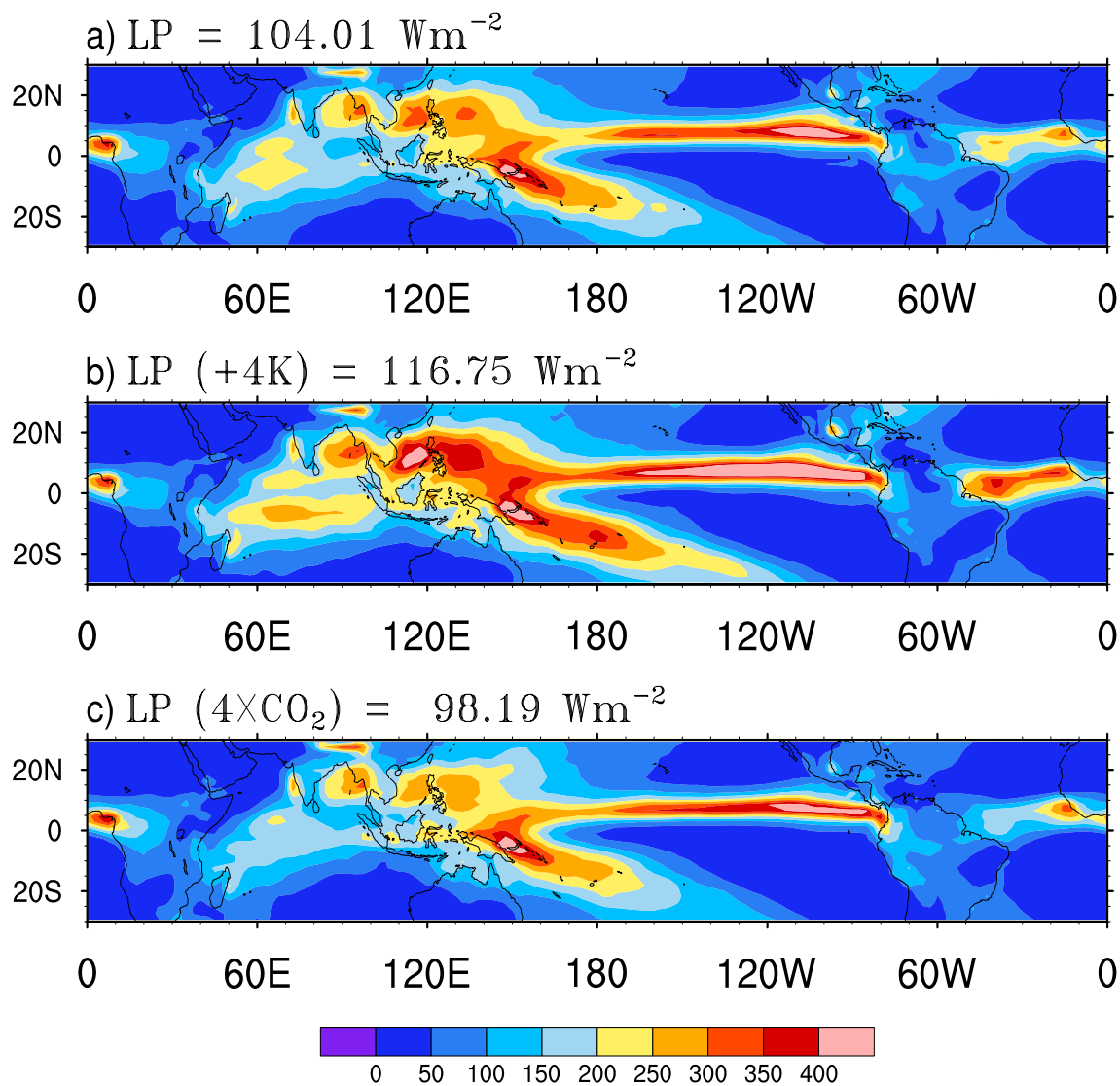
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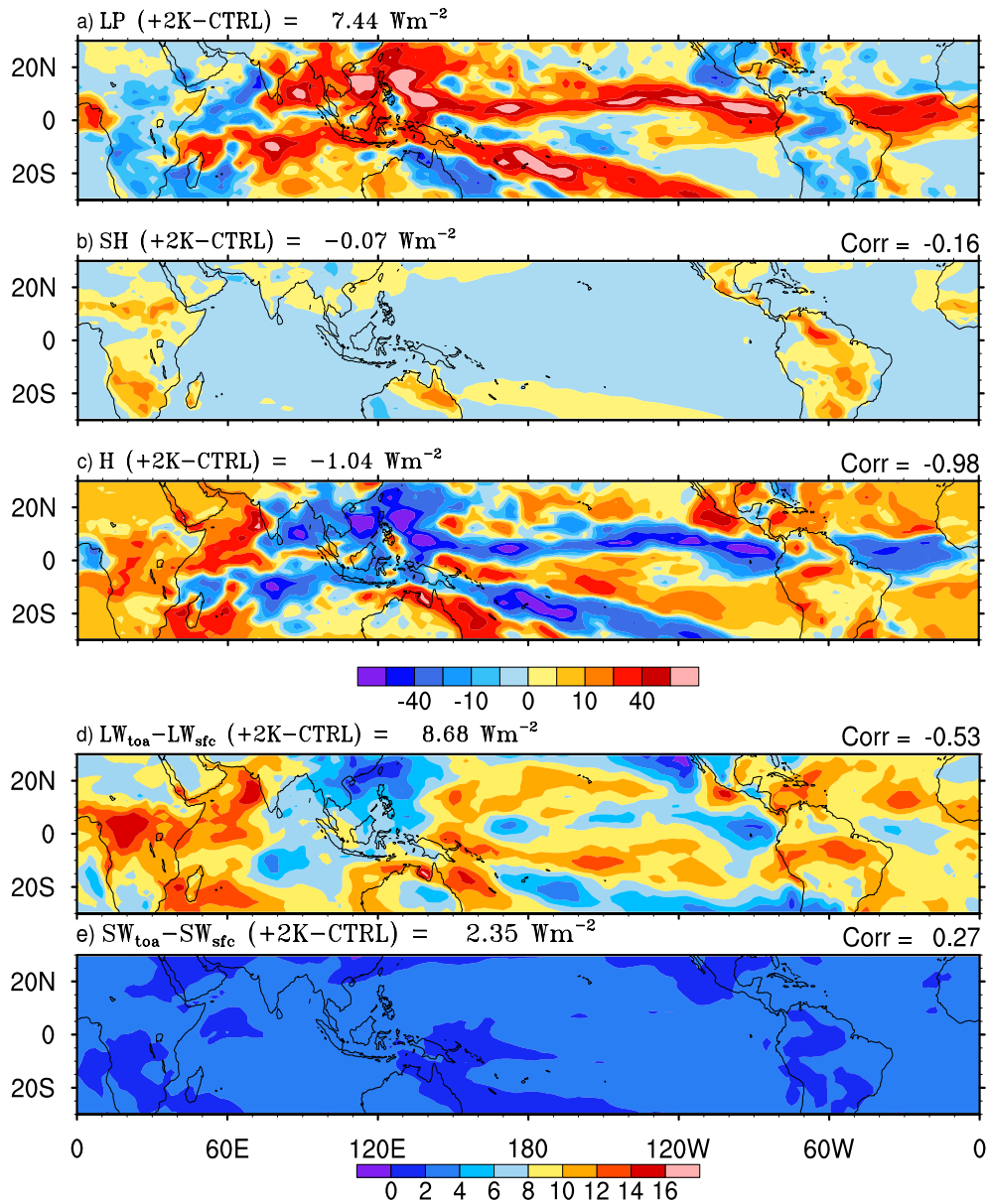


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Figure 1. Horizontal distributions of surface precipitation rate (multiplied by the latent heat of vaporization) from the control, +2K and 2xCO₂ simulations performed with SPCAM-IPHOC.



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 796 **Figure 2.** Same as Fig. 1 except for the control, +4K and 4xCO₂ simulations performed with
 797 SPCAM.

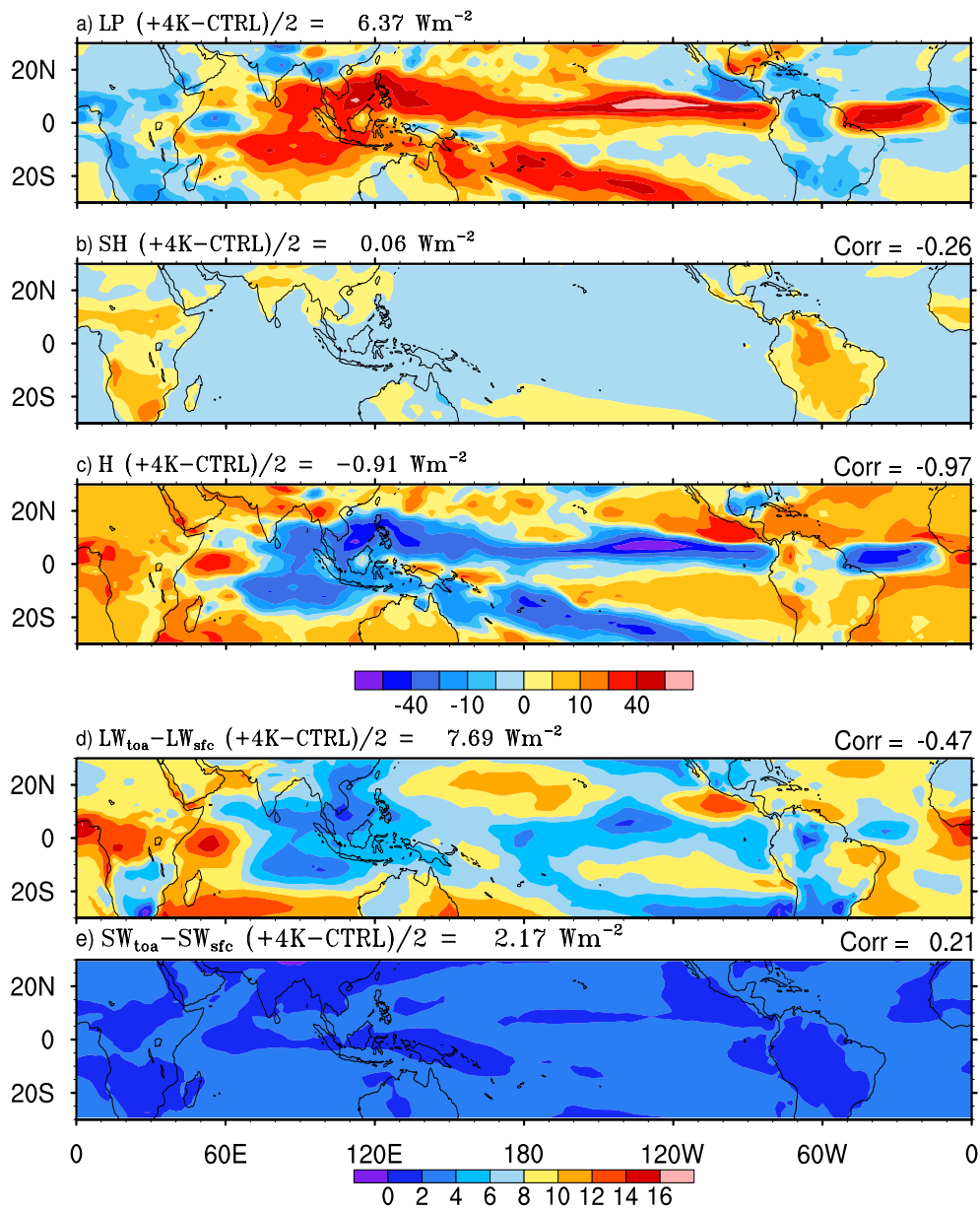


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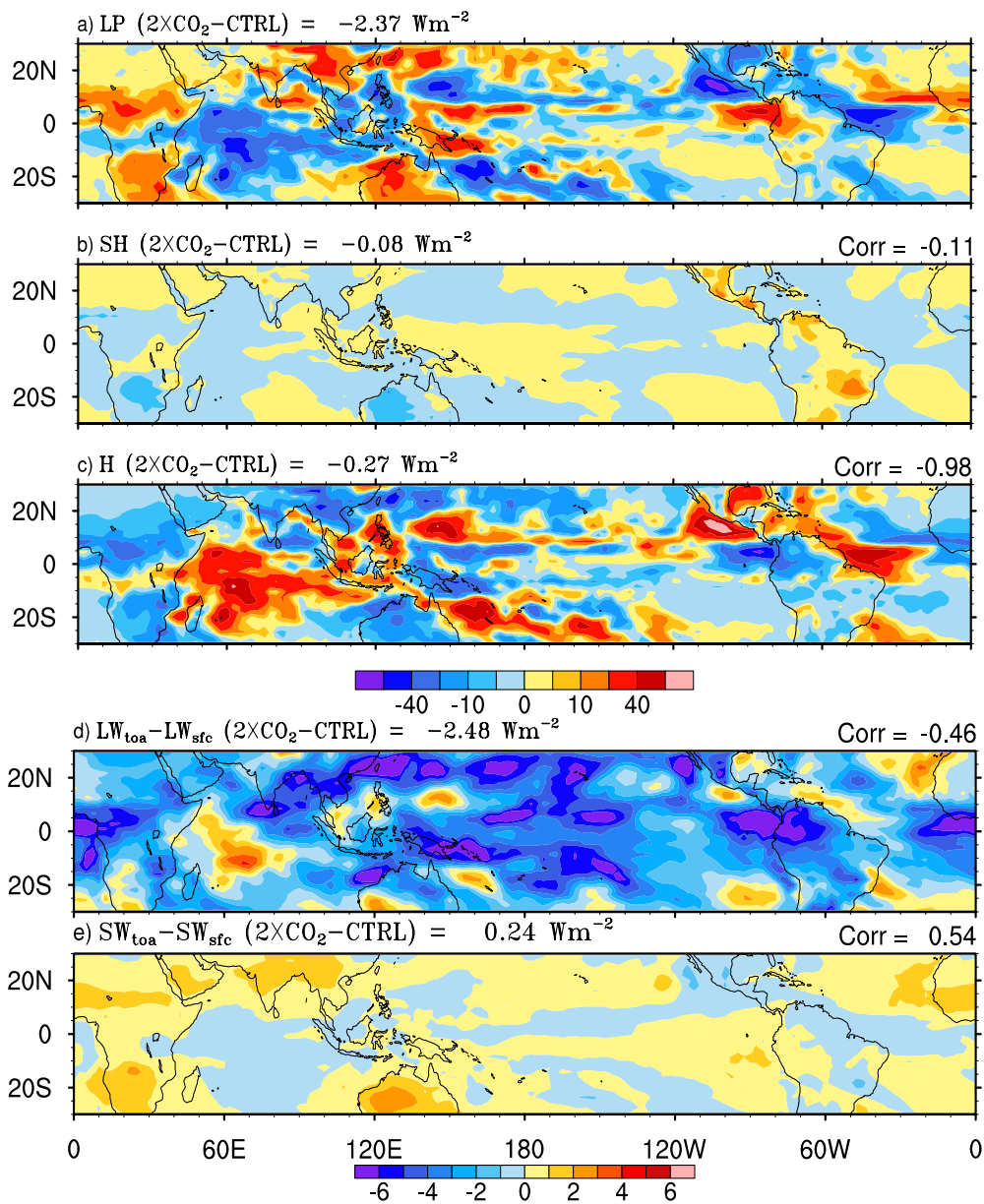
800 **Figure 3.** Horizontal distributions of the differences in individual energetic components between
 801 the +2K and control experiments performed with SPCAM-IPHOC. The tropical mean and spatial
 802 correlation with latent heating are given at the top of each panel (b-e).

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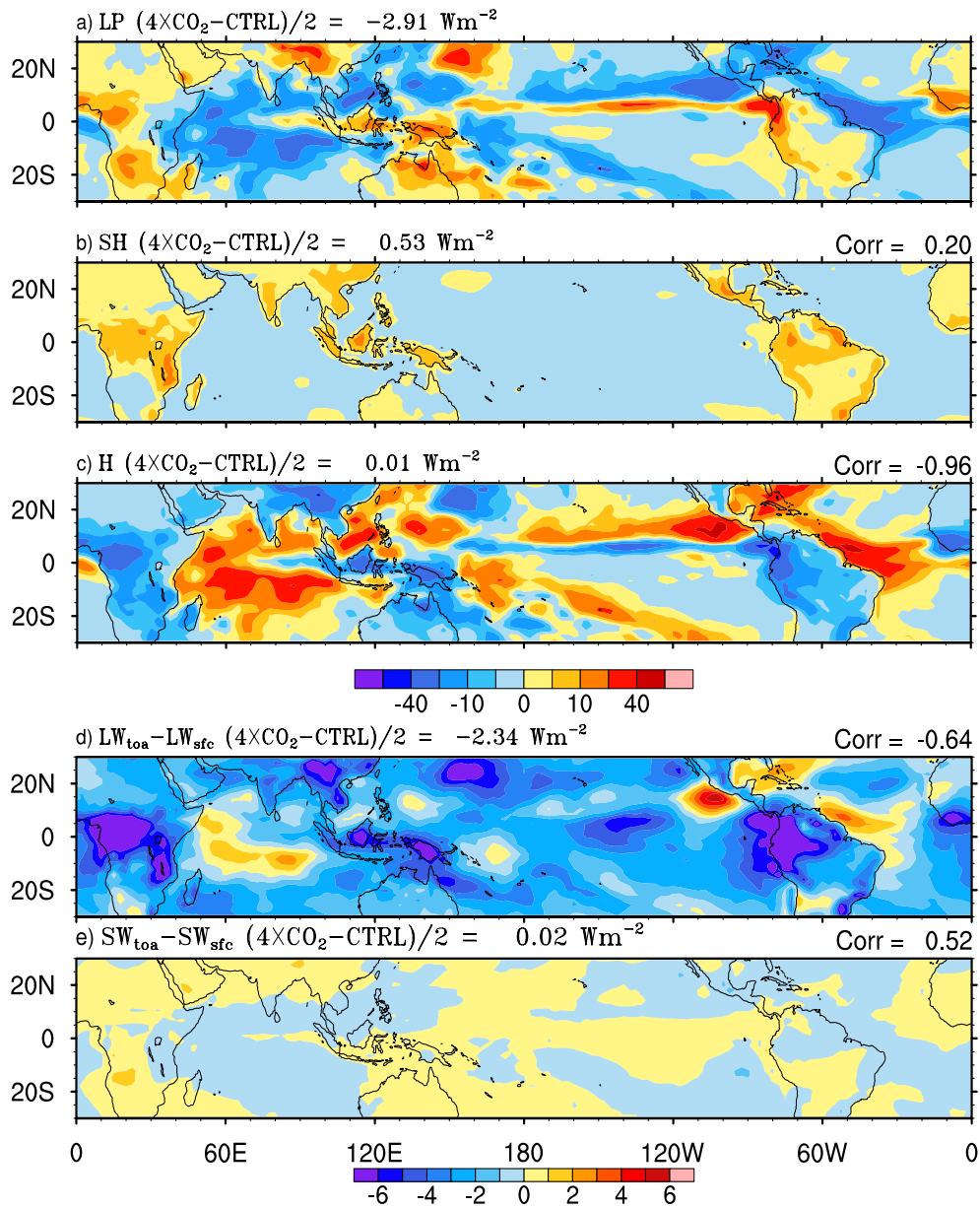


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 806 **Figure 4.** As in Figure 3 except for the differences between +4K and control experiments
 807 performed with SPCAM.
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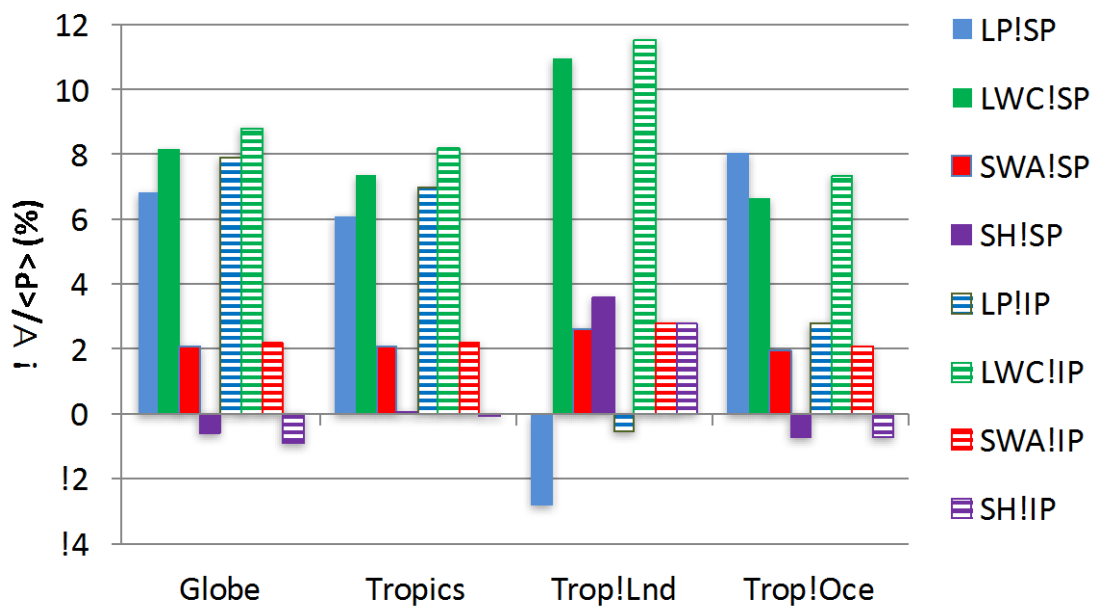
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Figure 5. As in Figure 3 except for the differences between $2\times\text{CO}_2$ and control experiments performed with SPCAM-IPHOC.

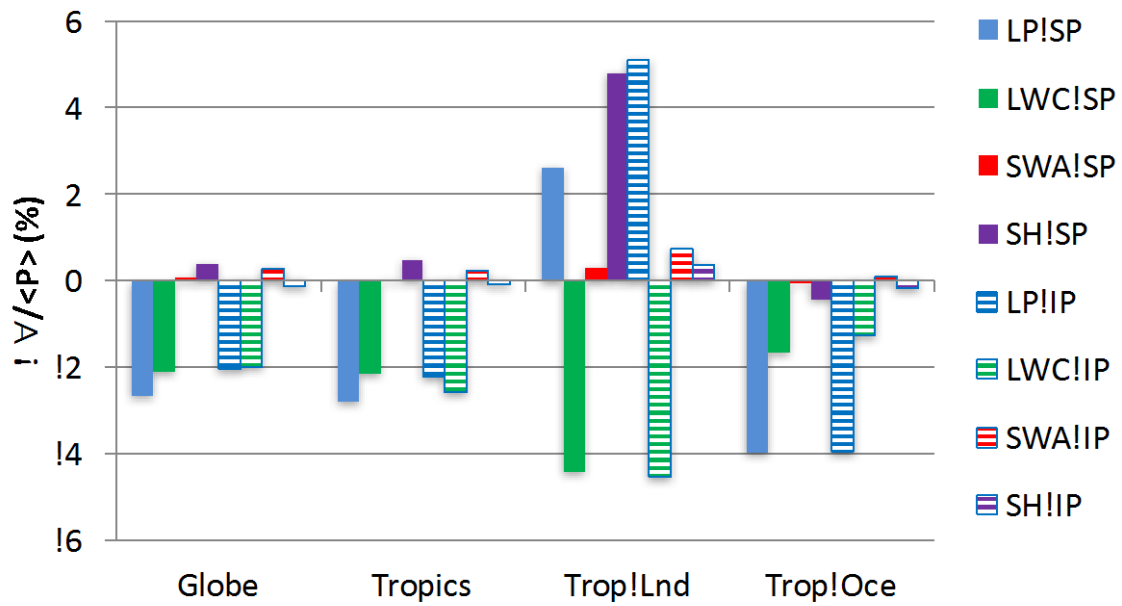


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Figure 6. As in Figure 3 except for the differences between $4\times\text{CO}_2$ and control experiments performed with SPCAM.



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 821 **Figure 7.** Relative changes of the individual terms in the energetic budget equation: Latent
 822 heating (LP), longwave radiative cooling (LWC), shortwave absorption (SWA) and sensible
 823 heating over the globe, tropics, tropics-land and tropics-ocean from the SST simulations of
 824 SPCAM (SP) and SPCAM-IPHOC (IP).
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 828 **Figure 8.** As in Figure 7 except for the CO₂ increase simulations of SPCAM (SP) and SPCAM-
 829 IPHOC (IP).
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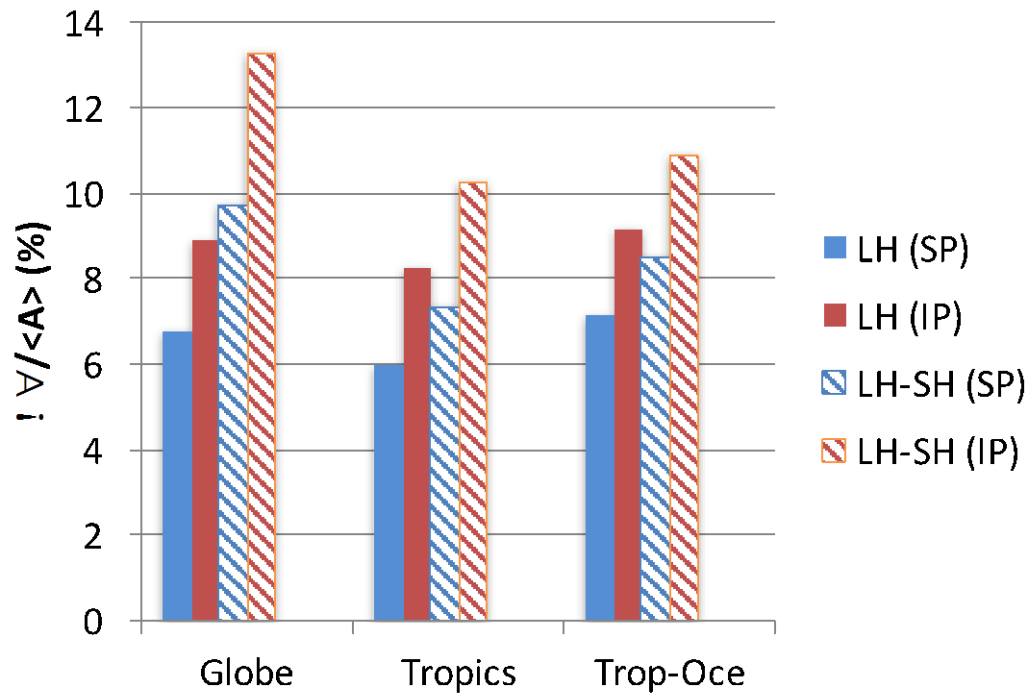


Figure 9. Same as Figure 7 except for the fractional changes of surface evaporation (LH) and the Bowen ratio (LH-SH). The Bowen ratio is defined as SH/LH. Its negative fractional change can be expressed as $\Delta \langle \text{LH-SH} \rangle / \langle \text{LH-SH} \rangle$.

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