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## **Innovative Capability of Building Information Modeling in Construction Design**

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### **Abstract**

The construction industry has long been urged to innovate, but innovation has been elusive because of the inherent social and organizational complexity of construction. Therefore, developing insight into the practice of innovating is needed to better understand and perform innovation in construction. Focusing on the practice of innovating requires exploring the enabling capability of solutions for practitioners to establish novel ways of doing things for improvement, referred to as 'innovative capability.' Building information modeling (BIM) has been promoted as an enabler of innovation in construction design because of its data management capabilities and the opportunities for interdisciplinary work based on them. Nevertheless, previous work presents divergent results exploring what BIM technologies can do for people and what people can actually do in BIM-enabled design practices, which presents confusion about the innovative capability of BIM. This paper aims to establish the basis of this confusion as a necessary step in developing more realistic ways of assessing and exploiting this capability. A conceptual continuum is proposed based on the functionalist/technology-centered and nonfunctionalist/human-centered perspectives on BIM to consider divergent arguments about its innovative capability; this continuum is used to analyze empirical findings from BIM-enabled design practices. The analyses suggest that individuals use BIM but are confused about its innovative capability because they adopt different views of BIM depending on their job and perspective. Given this, innovation is held back by the unexpressed differences between the views of BIM adopted by various practitioners who have to work together. It is argued that recognizing these differences, and working toward their reconciliation, is the way forward in establishing and exploiting the innovative capability of BIM.

**Keywords:** Information technologies, Innovation, Building information modeling (BIM), Change, Practice

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## Introduction

It has been argued that the construction industry underperforms and fails to deliver optimum value (e.g. Latham 1994; Fernie et al. 2006); and the lack of communication and coordination among various stakeholders has been seen as a major reason of this (Tam 1999; Deraman et al. 2012; Grilo et al. 2013). Consequently, the need for innovation to improve communication and coordination has been a recurrent theme (Poirier et al. 2016). However, the construction industry and its projects exhibit social and organisational complexity which makes innovation difficult to define, implement, and use (Harty 2005; 2008). This suggests that ‘practice’ is the locus of innovation in construction. Therefore, developing an insight into the practice of innovating is necessary in order to produce knowledge on innovation that has practical application. Many Building Information Modelling (BIM) related publications (e.g. Wong and Fan 2013; Oh et al. 2015) assume that innovation in construction is mainly about developing ideas for doing things differently. However, in practice, innovation also critically requires successful mobilisation of various actors for the establishment of novel courses of actions. Therefore, this paper asserts that the innovation potential of any proposed solution must be understood practically; and so it introduces the idea of *innovative capability* which is the capability of a proposed solution to enable practitioners to establish novel ways of doing things (i.e. innovate) for improvement.

Among the solutions proposed for improvement, BIM has been a significant topic in construction design. Nevertheless, previous work presents divergent results about what BIM technologies can do for people, and what people can actually do in BIM-enabled design practices. This implies that there is confusion about the *innovative capability of BIM*. Developing work of Çıdık et al. (2013), the present paper considers different views of BIM between objectivist/technology-centred perspective and constructivist/human-centred perspective in order to establish the basis of this confusion.

On the one hand, there has been a strong emphasis on the technological novelties presented under the umbrella term of 'BIM'. BIM technologies allow three-dimensional (3D) visualisation of design, and inclusion of rich, non-geometric data in models. Moreover, there are applications such as design analysis, design-error checks, and so on, which are able to exploit the data embedded in the models (e.g. Steel et al. 2012). Therefore, it has been argued that capabilities of BIM technologies present opportunities for enhanced collaboration and distributed project development (Grilo and Jardim-Goncalves 2010; Singh et al. 2011; Azhar et al. 2012; Oh et al. 2015). Consequently, the data storage and management capabilities of BIM technologies have been associated with potential innovations in construction design to enable improvements (Wong and Fan 2013; Elmualim and Gilder 2014; Abrishami et al. 2014). Hence, arguments that highlight the capabilities of BIM technologies focus on what these technologies could enable the practitioners to do differently (i.e. innovate) for improvement.

On the other hand, despite the apparent potential of BIM technologies for innovation, several studies identified problems with the fulfilment of this potential in practice. It has been stated internationally that the BIM adoption rate is slower than anticipated (Gu and London 2010; Elmualim and Gilder 2014), and BIM's expected technological potential for innovation could not be realised where it was implemented (e.g. Dossick and Neff 2010; Brewer and Gajendran 2012). It is widely acknowledged that in addition to technology implementation, BIM implementation should include process and organisational changes in order to fully realise its potential benefits (Gu and London 2010; Arayici et al. 2011; Olatunji 2011). It has been further argued that the inability to realise the full potential of BIM is connected to social issues (Neff et al. 2010; Dossick and Neff 2011; Brewer and Gajendran 2012). Hence arguments that highlight what practitioners and organisations actually do with BIM technologies focus on the (enabling and disabling) roles of organisational and social issues in BIM-enabled innovation.

These two different perspectives on BIM suggest different ways of assessing the potential of BIM and driving BIM-enabled innovation. Technology-centred arguments promote an ‘utopian’ perspective on BIM as outlined by Miettinen and Paavola (2014). This perspective is based mainly on technological capabilities, and sees people as the reason behind the unrealised innovation. On the other hand, innovation requires contributions from practitioners (Slaughter 1998; Shelton et al. 2016) who have different needs in design projects, but are asked to work in a rigidly-linked technological (i.e. BIM) environment (Harty 2005; Murphy 2014). This makes BIM-enabled innovation dependent on peculiar human practices. Consequently, there is confusion about the innovation BIM could practically enable; that is the *innovative capability of BIM* in construction design. Recent international surveys have revealed that the construction industry does not have a clear understanding of the benefits of BIM (Elmualim and Gilder 2014), and design firms are not clear about what BIM is (NBS 2016). Moreover, Gustafsson et al. (2015) reported that even in the same company there is little agreement regarding the goals of BIM, and the responsibilities of those whose primary role is working with BIM technologies.

This paper first discusses literature that establishes the extremes of the objectivist/technology-centred and constructivist/human-centred perspectives to clearly show their fundamental differences. It argues that the polarisation between the two perspectives is due to the contrasting assumptions made about the connections between technology, organisations and people. According to the view adopted, technological issues can be seen from a human-centred perspective, or people issues from a technology-centred perspective. Thus it is the way in which each addresses the other that is problematic and leads to confusion. Empirical evidence from an engineering design firm, and a BIM-enabled design project show that individuals use BIM but are confused by its capabilities, as they see it differently depending on their job and perspective. Given this, in practice, innovation is held back by the unexpressed differences. It is argued that recognising these differences and working towards their reconciliation, instead

of emphasising or neglecting them, is the way forward in establishing and exploiting the *innovative capability of BIM* effectively.

## **Polarised Perspectives**

### ***Information Technology (IT) Perspectives***

By its nature, the IT world is dominated by a technological perspective on problems. Some of the BIM policy documents (e.g. BIM Industry Working Group 2011), and some scholars (e.g. Shen et al. 2010) adopt this perspective and identify technological integration of the information as the key driver to produce improvement. Objectification of the word 'information' assumes that the same information has the same meaning and implications for different actors using it (BSI 2007; Mutis and Issa 2012). This perspective on information directly affects how problems in the world are viewed, by reducing them to structured and objective information problems (Gleick 2011). Although definitions of information have been well discussed, the way in which these are used depends on the perspective adopted for its conceptualisation. Thus the engineering-system-centred perspective sees IT as the driver of change, and people are subsumed into the technology.

The shortcomings of this perspective were realized in the 1980s but remain unresolved and continue to be discussed (e.g. Wilson 2000; Theng and Sin 2012). Dervin and Nilan (1986) called for a paradigm shift in the research into information needs and uses, away from a system-centred perspective (that they call 'the traditional' perspective), to a user-centred perspective (that they call 'the alternative' perspective). According to Dervin and Nilan (1986), the traditional perspective sees information as objective and as something to be transmitted in quantified packages from system to users where users are seen as input-output processors of information. This perspective frequently focuses on externally observable dimensions of

behaviour and events to search for trans-situational propositions about the nature of use of information systems.

*“... [The traditional perspective] asks what ... observable sociological dimensions of people’s lives predict this use. It is concerned with whether people are aware of these systems and like them or dislike them. It asks many ‘what’ questions - e.g., what people use what systems, and what services do people use” (Dervin and Nilan 1986: 16).*

In contrast, according to Dervin and Nilan (1986), the alternative perspective posits information as something constructed by its users, human beings. This perspective claims that human beings are constantly and freely constructing the information within system constraints and in relation to the situational context, as they search to make sense of their practice.

*“... [The alternative perspective] focuses on understanding information use in particular situations and is concerned with what leads up to and what follows intersections with systems. It focuses on the user. It examines the system only as seen by the user. It asks many ‘how questions’ - e.g., how do people define needs in different situations, how do they present these needs to systems, and how do they make use of what systems offer” (Dervin and Nilan 1986: 16).*

### ***Organisational Perspectives***

Organisations can be seen as deterministic machines or as social enterprises. Many studies of BIM (e.g. Gu and London 2010; Arayici et al. 2011) tend to see organisations as process systems that can be designed to perform satisfactorily through process modelling (Lindsay et al. 2003). These systems can be seen either as technology- or human-driven, and this determines the approach to the modelling of business processes. Melao and Pidd (2000) provide an overview of process modelling, and relate different approaches to the philosophical standpoints shown in Figure 1.

The technology-centred perspective on business process modelling adopts a simplistic view consisting of general input-process-output streams with clear start and end points. Lindsay et al. (2003) have argued that this approach is most suitable for production-line-like, standardisable and automatable business processes. Many authors claim that the kind of activity analysis which is done to model production processes is not appropriate for modelling office workflow, coordination processes, and decision-making processes (i.e. goal-oriented processes) (e.g. Lindsay et al. 2003; Kueng 2005). Thus the technological view is criticised for overlooking many hard-to-model important aspects of real-life practices (Melao and Pidd 2000; Lindsay et al. 2003).

Process modelling methods that incorporate social aspects of practices aim to address this shortcoming, and are therefore inclined to a human-centred perspective on process modelling (see Table 1). These human-centred process modelling approaches show that deterministic technology-centred modelling limits business practices, and fails to assist innovation and creative improvisation (Brown and Duguid 2000a; 2000b). Lee (2005) argues for achieving a balance between the use of systematic business process modelling for optimisation and the use of human-driven improvised problem-solving in practices. This is because while the modelled processes assume predictable environments, rely on explicit knowledge and emphasise the routine ways that tasks are organised; problem-solving practices are concerned with responding to changing unpredictable environments, rely on improvisation based on tacit knowledge and emphasise getting things done within the context of unique situations (Brown and Duguid 2000b).

### ***People Perspectives***

Although people perspectives tend to be human-centred, many authors writing about IT assume that people can be predicted and manipulated with precision as if they were machines (e.g.

Eastman et al. 2008; Azhar et al. 2012); a view that is challenged by Brown and Duguid (2000a), and Brewer and Gajendran (2012). People live and work within organisational social settings, and this leads to an explanation of behaviour set by organisational cultures. Culture is a disputed concept (Wright 1994) but can be taken as an explanation of how people within organisations create, shape and are affected by shared (common) cognitive, affective and behavioural patterns. The centrality of organisational culture to organisational life is emphasised by several authors (e.g. Smircich 1983; Alvesson 2002).

Smircich's (1983) work focuses on two extreme views on organisational culture: functional and non-functional, which provides the argument for the differences adopted in this paper. The functional perspective emphasises prediction, generalisability, causality, and control. It sees culture as a variable among many others, and as something an organisation 'has'. Hence it assumes that culture can be consciously managed to improve performance due to its causal nature. Consequently, the functional perspective reduces culture to limited aspects that are perceived from an organisational performance point of view (Smircich 1983; Gajendran et al. 2012).

In contrast, a non-functional perspective attempts to explain the context in addition to the observable human behaviour, thus, culture is seen as something an organisation 'is'. This view sees the informal aspects of organisations as important, and attempt to explore these aspects to produce improvement in organisations (Smircich 1983; Gajendran et al. 2012).

## **Methodology**

The problem of investigating the *innovative capability of BIM* in design is complex because of the developing and dynamic nature of design work. The relations between different design stakeholders and the design objects they are using, are constantly changing (Ewenstein and Whyte 2009), thus, continuously transforming the social and material panorama of the design



project. This means that a static understanding of the *innovative capability of BIM* is inappropriate. Hence, it is difficult to capture, and make generalisations about the *innovative capability of BIM* in the face of the changing social and material particularities of design projects. Although the fixed features of BIM technologies are certainly significant in the organisation of design process; in practice, practitioners also spend substantial amount of time interacting with each other through the modes of interaction that do not involve BIM technologies (e.g. meetings, telephone conversations, e-mails). Consequently, the *innovative capability of BIM* in design can be argued to be a dynamic notion which is a joint outcome of fixed technological capabilities and the evolving sociality around them.

This research takes a critical realist position (Ackroyd and Fleetwood 2000; Mingers 2008) as being the most suitable for the practical task of exploring the *innovative capability of BIM* through the two polarised perspectives (technological and human-centred) on BIM. Critical realism sees the physical world and technology as real but recognises that human views and actions of those are socially constructed. The selected approach presumes that, ontologically, building information models (models) exist independently (i.e. independent from its users) and have the power of affecting the practice (i.e. the situations) in which they take place with their users. At the same time, it allows the research to capture how different perspectives and needs about working with models are differently constructed by various users, and in turn cause changes in the reality (i.e. materiality) of the model.

Data about BIM-enabled working practices were collected from two case studies. These were a multi-disciplinary engineering design firm and an educational building design project. This aspect of the research design allowed the incorporation of both firm- and project-level perspectives about the *innovative capability of BIM*. Seven open-ended interviews were conducted with engineers from the Birmingham, UK office of the internationally operating, multi-disciplinary engineering design firm which was established in the UK more than thirty

years ago. The interviews were conducted with people having different roles (one associate partner, two mechanical engineers, two energy modelling engineers, one structural engineer and one acoustic engineer) to capture various views on BIM in the firm. The interviews aimed to gain insight into the changes that occurred in these practitioners' professional activities with the implementation of BIM and into their perceptions of BIM. These interviews were recorded and transcribed. The research also used empirical findings from the interdisciplinary coordination practices of a BIM-enabled educational building project in the UK which was then at its detailed design stage. In addition to observational data collected from five clash-detection and model coordination meetings, four open-ended interviews with meeting participants were conducted in order to gain more insight about the observational data. The organisations involved in the project did not allow the recording of the meetings but only attendance and interviews. Thus, data was recorded in field notes and the reflections on these were supported by the interviews.

In addition to the polarised perspectives established from the literature, three themes which emerged from the data itself are used for the analyses (i.e. practical strategies in adopting BIM, practical adjustments to BIM technologies, and practical compromises in BIM-enabled practices). The empirical findings were first grouped according to the themes, followed by individual analyses under each theme through the lens of technology-centred and human-centred perspectives. The emerged themes capture how certain technological capabilities of BIM were meshed with multiple needs and perspectives of practitioners, thus exposing the practicalities of BIM-enabled innovation. This provides an understanding of innovation-as-practice, and reveals that the duality of human- and technology-centred perspectives on BIM established in the paper is a useful lens for considering the variety of perspectives that need to be reconciled in practice for enabling innovation. Ultimately, this implies that the inherent social and organisational complexity of the construction industry and its projects must be

accounted for rather than being over-simplified in order to understand and enable innovation in construction.

## **BIM in Practice**

In this section, the findings are presented as three vignettes which provide empirical evidence for the three emerged themes about BIM-enabled innovation in practice. The first vignette is based on data collected from the multi-disciplinary engineering design firm, followed by the other two vignettes that are based on data collected from the design project. Overall, the three vignettes provide practical evidence of how the *innovative capability of BIM* was enacted or hindered in practice. Each vignette is followed by an analysis through the lens of polarised perspectives in order to reveal the relevance of technology- and human-centred arguments to the *innovative capability of BIM*.

### ***Vignette 1 – Practical Strategies in Adopting BIM in the Engineering Design Firm***

The engineering design firm provided design services in a variety of engineering disciplines but BIM technologies did not dominate any of their practices although they had been used to using proprietary design software packages. For example, the acoustic and energy modelling engineers did not interact with any collaborative BIM software. Both disciplines believed that the nature of inputs and outputs of their disciplines differed from other disciplines, and that there was no need to be integrated into a merged building information model. Besides, although the energy modelling engineers acknowledged that interoperability between the model and their proprietary (i.e. in-discipline) software could be useful, they stated that the accuracy of data entered by other parties would be doubtful and caution would be required in using this data.

Although the majority of the interviewees were largely aware of the capabilities of BIM technologies in enabling new approaches to project delivery, in their practices all of the

interviewees saw and used BIM merely as a technological tool for design coordination. Even the disciplines interacting with the collaborative BIM software (i.e. mechanical and structural engineers) created their design solutions as they had traditionally done, and then transferred them to the models for clash-detection and drawing generation. The amount of the object attributes entered in the model (i.e. non-geometric data) were also not standardised and showed significant variations from one project to another.

The reasons for their approach to BIM merely as a design coordination tool were given as below:

- The only perceived advantages of 3D modelling were early clash-detection and better design coordination.
- The amount of the detail required in 3D modelling was non-supportive in iteratively developing the design in structural and mechanical engineering disciplines.
- Drafting work could no longer be delegated to Computer Aided Design (CAD) technicians because 3D modelling required decision making during modelling, thus increasing the workload of mechanical engineers. The time needed to embed all design information (i.e. geometric and non-geometric) into a model was not perceived as adding enough value.
- The amount and type of information that contractors used had not changed. They did not use 3D models and asked for two-dimensional (2D) drawings.
- Senior engineers signed-off design documents but did not have BIM knowledge.
- Software interoperability problems were not totally resolved at the time.

Moreover, the firm's BIM strategy, as stated by the associate partner and most of the engineers, emphasised BIM as a 'selling point' and 'catch phrase' for the company. Thus there was a necessity to use BIM but not an obligation for its extended use. This situation, to some extent,

gave more power to people using BIM technologies in determining the scope of the BIM-related change. Although the interviewees knew that BIM technologies had the potential to enable new practices beyond design coordination, practical concerns played a critical role in determining the scope of change, and led the company to use BIM merely as a design coordination tool with minor changes in practices. Automatic clash-detection and 3D visualisation were the obvious, immediate benefits of BIM technologies even in cases where non-geometric data was not entered into the model. Hence these features were used in all projects. All interviewees also saw BIM as an important part of the future of the construction industry. Nevertheless, interviewees' knowledge about the technological capabilities of BIM did not necessarily produce corresponding innovations, but was used rather differently for marketing purposes and the firm's internal processes.

### *Analysis*

Respondents from different engineering disciplines had different needs in their jobs, and therefore developed different working relations with shared models. For example, the acoustic and energy modelling engineers explicitly claimed that they did not need to be integrated with other disciplines in order to do their work because they only needed to access a limited amount of information. From a technology-centred perspective, information is objective, and therefore more information would correspond to better analyses. However, the acoustic and energy modelling engineers claimed that this was not the case. The energy modelling engineers appreciated the possibility of seamless technological interoperability, which would allow them to extract the precise amount and type of information that they needed from the model. However, even they had reservations about the reliability of the information in the model considering the iterative nature of design development.

The internal processes of the firm changed very little after the adoption of BIM, mainly due to practical concerns. All the interviewees were aware of the potential technological capabilities of BIM, which would be seen as a sufficient reason for innovation from a technology-centred perspective. However, the findings suggest that in practice the process change was very limited and the pragmatism of everyday practices played a more important role than technological capabilities of collaborative BIM software. For example, drafting efforts of CAD technicians were replaced by drafting efforts of mechanical engineers, and the design decisions began to be made at the time of modelling, thus enabling better-informed design decision-making for certain parts of the design. However, this was seen as not delivering enough value, especially considering the wider organisation of the work; for instance, considering the clients who were reluctant to pay more for the increased skilled effort, or the mechanical engineers who were struggling to do 3D modelling at early stages of their design.

In terms of the organisational culture of the firm, it can be argued that cultural changes were triggered by the adoption of BIM, even by only considering the change of rhetoric that differentiated young BIM-proficient engineers and senior engineers who did not know how to use BIM. Nevertheless, the management of the firm seemed to be valuing both, and strategically switching between the two polarised perspectives according to the situation. For example, in their marketing activities the firm used a technology-centred perspective, and used its BIM proficiency as a selling point. On the other hand, in their projects, collaborative BIM software was used mainly as a design coordination tool rather than as a design development tool. It seemed that this switching was not the result of a conscious or clearly-articulated strategy. All interviewees agreed that BIM would be an important part of the future of the construction industry but needed improvement. The way they talked about this 'improvement' was largely focused on expectations from software developers, which was in line with a technology-centred perspective. However, when they talked about what did not work in BIM-

enabled design development, their examples were very discipline-specific, which reflected the human-centred perspective. Moreover, although all interviewees agreed that the use of collaborative BIM software increased communication among various members of the design team, they also claimed that collaboration could not be said to be improved. As one of the interviewees stated, “*sharing more [digital information] does not make a better team*”.

### ***Vignette 2 – Practical Adjustments to the Use of the Technological Capabilities in the Design Project***

In the observed project, there was a constant struggle to benefit from automated clash-detection. The main challenge was to handle thousands of clashes detected by the BIM software in order to differentiate between the clashes that resulted from real design problems and the ones that resulted from non-detailed modelling. The main strategy for handling this was to filter the list of clashes according to the ‘object families’, and then strategically checking the families that were more likely to clash because of real design problems rather than the non-detailed modelling due to time constraints. For example, the BIM software identified clashes between the screed on the slab and the structural columns, however, this was marked as ‘approved’ so that it could be neglected in future clash-detection exercises because everyone would know that the columns would be in their place well before the application of the screed. Thus, in this context, the ideal of a clash-free model did not mean a model without clashes but rather meant a model with managed clashes. The overwhelming number of detected clashes and uncertainty about the underlying reasons caused tensions during clash-detection exercises. The criticisms from the client representative and the design manager of the main contractor about the high numbers of clashes were not well-received by the designers who were supposed to both develop the design in an iterative way and model information in clash-managed ways. Besides, although the client representative and the design manager of the main contractor were insistent on keeping the models clash-managed, they were aware about the potential shortcomings of using

the automated clash-detection alone in assuring a clash-free construction. They repeatedly warned the designers that delivering clash-managed models did not remove the designers' responsibility for delivering a design that can be built without any clashes. They suggested that the designers also consider their traditional design coordination measures to ensure this.

In one of the model coordination and clash-detection meetings, the architectural model was criticised for having too many in-discipline clashes between the furniture and internal wall families which were both owned by the architect. The unexpectedly high number of clashes created a sense of disturbance in the team. The representative of the architect claimed that he was aware of these clashes, and these did not need to be picked up at that moment because the locations of most of the furniture were not finalised, and therefore his colleagues did not seek to model them clash-free. The design manager of the main contractor further criticised him saying that, he should not have exported unfinished worksets for clash-detection. The representative of the architect objected to this by saying that although clashes between furniture with internal walls were not relevant at that stage, he needed to check for the clashes between some of the fixed furniture with other disciplines' objects. He further stated, in an upset fashion, that if there was an in-discipline clash on site due to their poor modelling, his company would be ready to pay for the extra cost. He then started to question the purposes of model-based design; whether it was to reach a clash-free model or clash-free construction. He criticised the critiques regarding the in-discipline clashes which he thought were normal to have at that stage of the design. As an answer to the architect's statement, the design manager of the main contractor stated that the model was not only a discipline-specific design document but would also be used for construction and operations, and therefore the targets and procedures in place needed to be followed to satisfy multiple requirements from digital models.

### *Analysis*



The polarised perspectives on BIM are implicit in the arguments around clash-free model, clash-managed model, and clash-free design. In Vignette 2 the design manager of the main contractor adopted a technology-centred perspective in his arguments that i) criticised exporting unfinished worksets for clash-detection; and ii) the use of the same information models for the construction and operation stages. These arguments were based on the assumption that information was objective and could be easily dissociated, codified and integrated by various people who worked with it without any problem and/or wider implications. Consequently, the design manager of the main contractor suggested a technology-centred process, which only considered the working of the automated clash-detection function of the software. This perspective did not acknowledge that people drew on design information and process in order to construct their reality, to make sense of design, and therefore to proceed with their work. Under such a technology-centred view, it was assumed that people could quickly switch their culture or their way of thinking and behaviour, according to the new processes imposed by the requirements of technology. Unwillingness to do this was seen as a deliberate choice because culture was assumed to be something that an organisation 'had'. On the other hand, the architect pointed out why it was important for him to export the unfinished workset. Thus, he revealed that information was not necessarily right or wrong, or lacking or complete, but part of a critical meaning-making process that enabled the architect to make sense of what ought to be done. Moreover, he showed resistance to the process imposed by the technology-centred view, by questioning the purpose of strictly following the ideal of a clash-free model, which was only clash-managed due to the practicalities of modelling activities. It can be argued that this is because in the architect's view, reaching a clash-free construction had to be the main target, and therefore, a clashing model could be normal, and indeed was expected during the design process because design was always a work-in-progress. Consequently, his

position here reflects a human-centred perspective on BIM by highlighting the necessity of accommodating various discipline-specific needs in design development.

### ***Vignette 3 – Practical Compromises in BIM-enabled Practices in the Design Project***

In one of the model coordination and clash-detection meetings, the architect stated that they needed the lighting design in the mechanical, electrical and plumbing (MEP) model in order to coordinate the suspended ceilings. Following this, the modelling manager of the MEP sub-contractor stated that they had taken the decision to model the lighting last. The design manager of the main contractor supported the architect and stated that they had agreed that the MEP sub-contractor would model the lighting at that stage. The modelling manager of the MEP sub-contractor argued that they previously put considerable effort into modelling the lights at the atrium area and, that this effort was wasted when the hosting objects were deleted in the architectural model, and therefore they decided to model the lights last when the coordination and decisions around the lighting were completed. He argued that the coordination had previously been done by overlaying 2D drawings on the architectural model and this could be done similarly again. The architect and the design manager objected to his argument. In response, the representative of the MEP sub-contractor explained in an upset fashion, that the collaborative BIM software (CBIM henceforth) that was imposed by the client was not geared up for the MEP services, and that they had already needed to create half of the objects including switches, plugs etc. from scratch. He continued that they had modelled all the equipment in another software where it was much easier to model, but exporting it to the CBIM was problematic. He further argued that their installation team asked for MEP systems to be modelled as closed systems, with all elements of system connected to each other in the information model, in order to make sure that the system calculations and design were adequate and finalised before the installation started on the site. He added that when working with connected and closed systems, the CBIM constantly froze as it needed to re-calculate the whole

system for each change, and this made the CBIM even harder to use efficiently. Moreover, he argued that automated connections between different elements of the system were frequently wrong and unintentional in the CBIM. Although the design manager of the main contractor added that they did not need the closed system in the model; but just the geometry of MEP system which was enough for their coordination purposes, this was in contrast with the general expectation within the project that the CBIM was a full design development tool. At the end of the discussion, the modelling manager of the MEP sub-contractor told the architect in a calmer voice that they could not provide all the required items in the model in such a short time, but that they could adjust their modelling priorities to the needs of other stakeholders.

Later in the project, when the ceiling installation began, the design of suspended ceilings had to be re-documented in 2D drawings with a much finer level of detail and measurements from the site because the installation tolerances made the setting-out details in the model useless.

### *Analysis*

This vignette shows another practical example of the co-presence of polarised perspectives leading to confusion and tension in practice as different perspectives were not explicitly acknowledged. The architect who had been using the collaborative BIM software for design development, adopted a technology-centred process as required by the modelling software. Therefore, his information needs were partly shaped by the process that was in line with the software's working principles. However, information and processes were not universal, and the same process and information stream did not make sense for the modelling manager of the MEP sub-contractor who opted for another disciplinary software for their design development. Consequently, it can be argued that other members of the design team who insisted on their modelling demands from him employed a technology-centred perspective by assuming that information was easily transferrable, and that design development process and culture could be

simply switched according to the specific needs of each project. Furthermore, the MEP installation team that put pressure on the MEP modelling team also assumed that if technology was capable of documenting detailed systems design, which automatically calculated the whole system, then this feature had to be used. However, the modelling manager of the MEP sub-contractor had a different relationship with the information and process, and obviously there were different cultures of designing between the architects and the MEP engineers. When these differences were discussed around deliverables, this built up tension and dissatisfaction that in the end led to scenes like the one described in Vignette 3. The ending of the event is rather ironic in its claim that the details in the model became irrelevant when the site installation started due to the scale of the tolerances on the construction site. This is yet another conflicting situation between the technology-centred perspective, which assumes that the digitally calculated and coordinated design would not need any intervention during site installations as all the information is in the model and ready to be used; and the human-centred perspective, which assumes that information is always subjective and therefore always only partially transferable.

## **Discussion**

There are divergent views about what BIM can enable practitioners to do differently in construction design, and therefore about its *innovative capability*. This divergence is not clearly articulated as differences but rather emerges from implicit assumptions that underpin the different views of what BIM can and cannot do. The three vignettes presented from BIM-enabled practices show that the unarticulated contrasting assumptions that underpin the different positions result in tension and confusion in practice, thus hampering innovation and leading to confusion regarding the *innovative capability of BIM*. Practice-focused analyses suggest that BIM-enabled innovation in construction design requires acknowledgement and ongoing reconciliation of varying views of BIM through interdisciplinary negotiations.

Consequently, understanding ‘innovation-as-practice’ becomes crucial to establish and exploit the *innovative capability of BIM*. The ‘novel duality to study technological innovation’ (i.e. technology- and human-centred perspectives on BIM) established in the paper enables this by facilitating the articulation of various perspectives in practice about what could and could not be done differently in BIM-enabled projects. Hence it is useful both for practitioners and researchers in considering technological innovation in construction. Ultimately, the analyses suggest that the inherent social and organisational complexity of the construction industry must be accounted for in considering the *innovative capability of BIM*. Thus ‘an over-simplification’ based on a single perspective must be avoided. These three points are discussed below in more detail.

### ***Innovation-as-Practice***

The analyses suggest that the adoption, and some commitment to the use of BIM technologies create ‘windows of opportunity’ for innovation in construction design practices. However, the enactment of innovation based on these windows of opportunity depends also on the practitioners’ perceptions and negotiations within the context of the continuously changing design situations. This argument has two major implications. First, it implies that a technology-centred perspective is useful for noticing and articulating the windows of opportunity afforded by BIM technologies in design practices (and for appreciating the technological, process-related, and cultural conditions required to use these opportunities). Second, it implies that the translation of these windows of opportunity into innovations in practice (i.e. enacting innovations through these windows of opportunity) depends on the alignment of interests of various practitioners involved, thus revealing the need for a human-centred perspective.

The ongoing need for the reconciliation of technology- and human-centred perspectives implies that BIM-enabled innovation in construction design is an ongoing practical accomplishment.

Technology-centred perspective delineates the extent and the conditions of what can be done differently using the technology, thus outlining the windows of opportunity. On the other hand, human-centred perspective, which recognises the different needs of the people who work together, is required by practitioners so that novel courses of actions that make sense for the parties involved can be established around the windows of opportunity. This involves the mutual adjustment by practitioners in terms of how much each practitioner is willing to, or can, adjust his/her technological means, work processes and organisational culture.

This argument is in line with previous research which claimed that innovation depends on human agency, creativity and adaptation (Hodder 1998; Gann 2003); and that it is a historically-socially embedded process in which multi-faceted things are linked and made sense of within the particularities of changing situations (Bowley 1966; Hodder 1998; Elmualim and Gilder 2014). Previous research has provided valuable insight regarding the process of enacting technological innovation in practice by looking at, for example, the interactions among human and non-human entities (Harty 2005), and relationship-building between the practitioners in projects (Holmen et al. 2005). This paper advances this stream of research by arguing that the process of technological innovation must be understood practically as it relies on the reconciliation of various adopted perspectives in practices. Using the conceptual continuum based on human- and technology-centred perspectives facilitates this understanding as further discussed in the next section.

### ***A Novel Duality to Study Technological Innovation***

In their seminal paper, Dubois and Gadde (2002) argue that the patterns of couplings in the construction industry (i.e. tight couplings in individual projects, and loose couplings based on collective adaptations in the permanent network) hamper innovation. In line with this argument, previous research has drawn upon dualities such as tight coupling vs loose coupling

(e.g. Holmen et al. 2005), micro vs macro levels of organising (e.g. Moum et al. 2009), and exploration vs exploitation (e.g. Eriksson 2013; Davies and Brady 2016) in order to make better sense of and develop explanations about technological innovation in construction. The argument put forward in this paper provides another axis spanning technology- and human-centred perspectives to engage with technological innovation in construction. On the one hand, the technology-centred perspective reflects the openings of ‘windows of opportunity’ based on the capabilities of the technology. On the other hand, the human-centred perspective accounts for the diversity and complexity within which the technology is operated. This new axis (technology-centred vs human-centred) to explore technological innovation, enhances previous categories, thus enabling richer explanations of technological innovation. For example, the duality established in this paper can be used as a hermeneutic tool to make sense of the struggles in practice-level micro interactions between individuals (as has been done in this paper), or can be used to make sense of biases at macro levels such as policies, standards and institutional narratives (i.e. dominant rhetoric). Similarly, it can provide nuanced understandings of what is being explored or exploited in the course of innovating (technology, organisational processes/practices, cultural tendencies/limits), and from which perspective (technology-centred or human-centred).

The additional awareness that can be gained through the use of this duality is a valuable contribution for the facilitation of communication in design teams, construction projects, firms, industry, policy development organisations, and software development firms; and thus forms a step forward in better establishing and driving the *innovative capability* of technologies. The adopted critical realist position assumes that technology exists independent of its users, yet users socially construct the technology in their practices. This suggests that practices that involve the use of technology can always be approached with a critical agenda that questions whether there are better ways of ‘using the technology’ (i.e. innovating). The established

duality can assist the researchers, practitioners, policy developers and software developers in articulating what could be done differently, and the limits of these arguments in consideration with the other end of the established conceptual continuum. Consequently, establishing and driving the technological innovation relies on the reconciliation of technology-centred and human-centred perspectives. A tension is unavoidable, as it is always the case in dualities, but this tension can be seen and used as a driving force to assess the technological innovation realistically, rather than neglecting or rejecting the contrasting perspectives, and so to implement it successfully. Hence this paper contributes to a large variety of practices ranging from those of professional designers to software developers by providing a language and duality which allows to think and talk more effectively about the *innovative capability of BIM*.

### ***Over-Simplification of a Complex Realm?***

The currently dominant technology-centred perspective is insufficient to capture and drive the *innovative capability of BIM*. Construction projects are characterised with social and organisational complexity (Dubois and Gadde 2002; Harty 2005). The expectations that the technology alone can solve this complexity ignores the obvious fact that those who are supposed to use technology to tackle complexity are the ones who create it in the first place.

The adoption of the technology-centred perspective on BIM leads to an abstraction of complex real-life practices, inducing a limited understanding of their effects, thus severely curtailing making sense. As a result, innovation is held back due to the reductionist approach of technology-centred perspective which erases the differences between practitioners who work together. Koskela and Vrijhoef (2001) make a similar argument stating that one of the main deficiencies of the current construction theory, in terms of innovation activity, is its abstraction of uncertainty and interdependence. However, complex systems require the whole to work



beyond the functionality of the details (Bertelsen 2004). Consequently, business improvements that only consider this reductionist view have limited effects.

Managers and problem-solvers should acknowledge the added complexity in the adoption of BIM and avoid having too many expectations from technology-centred approaches alone (Brown and Duguid 2000a). Instead, the practical reconciliation of technology-centred and human-centred perspectives on BIM is necessary to understand the challenges of BIM and to exploit its *innovative capability*. The complex nature of this area should be embraced as an important input in conceiving BIM-enabled innovation.

## **Conclusion**

Discussions about BIM-enabled innovation in construction design are abundant in professional publications, industry events, and construction management literature. However, previous empirical research has reported that technological innovation through BIM cannot be taken for granted, and indeed requires an adequate treatment of technology, organisations and people in an integrated way. This paper's focus on the practice of BIM-enabled design embraces this inherent social and organisational complexity of construction design work and creates a new overarching explanation which presents opportunities for implementing new practice. Hence, key to establishing and driving the *innovative capability of BIM* is an understanding of innovation-as-practice, which involves working with a variety of evolving perspectives that need to be articulated and reconciled on an ongoing basis. The conceptual continuum based on the technology- and human-centred perspectives on BIM enables an explanation of the complex practice of innovating as a *process of negotiation* rather than as implementing generalised solutions. The resulting tension needs to be seen and used as a driving force with the technology-centred perspective showing what can be done differently, and the human-centred perspective used for engaging in the realities of implementation in live projects.

Consequently, in BIM-enabled projects, practitioners must open-up spaces for negotiation and develop suitable vocabulary to help them better communicate their diverging assumptions of what could (and could not) be done differently by using BIM technologies, and why. These negotiations must go beyond superficial arrangements around design documentation, and acknowledge the fundamental differences in the implicit assumptions made about BIM by various practitioners. This implies that the practitioners must be ready to face and work with tensions that arise when contrasting implicit assumptions coincide in practice. They should not reject certain perspectives but should work towards the resolution of tensions through negotiations. This requires more explicit ways of thinking and talking about various perspectives which this paper assists by establishing an understanding of innovation-as-practice, as well as a vocabulary based on technology- and human-centred perspectives on BIM. More studies are required to contribute to this effort so that the *innovative capability of BIM* in construction design can be assessed and exploited more effectively.

### **Data Availability Statement**

The observational data generated and analysed during the study are included in the submitted article. The interview data generated and analysed during the study are available from the corresponding author by request.

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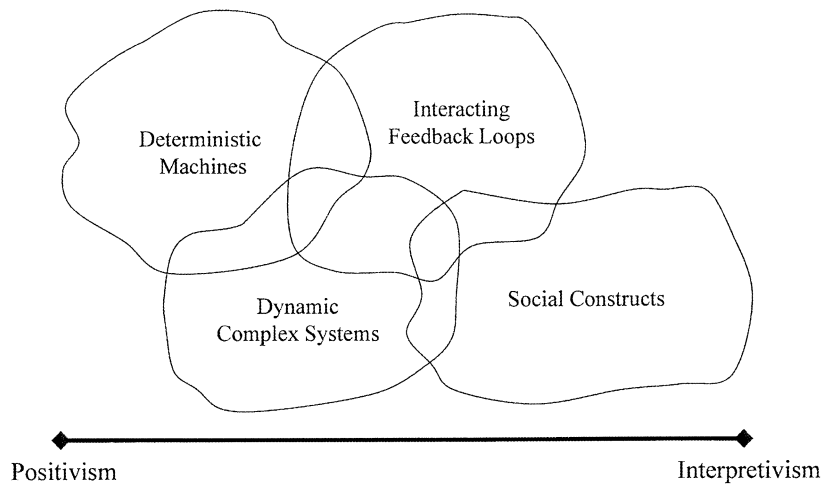
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**Figures in the paper:**



**Fig. 1** Business process views vs. paradigms (reprinted from Melao & Pidd 2000; © 2000 Blackwell Science Ltd)

**Tables in the paper:**

**Table 1.** Examples of process modelling methods that incorporate social aspects of practices

Author (Year)	Brief Explanation
Yu (1995)	i star Framework: A process modelling framework considering strategic dependencies of agents and issues, and the concerns that agents have about existing processes and proposed alternatives.
Xia & Wei (2008)	A context driven business process adaptation approach in which business process context can be gathered and reasoned to modify process structure.
Koschmider et al. (2010)	Social Software for Process Modelling: Use of social networks to help users to behave as modellers. Users are guided in a recommendation-based process modelling support system to which social features are added.
Chan & Choi (1997)	Soft Systems Methodology (SSM) is applied in Business Process Reengineering.