

Enhanced Stability and Efficiency for Photoelectrochemical Iodide Oxidation by Methyl Termination and Electrochemical Pt Deposition of n-Si Microwire Arrays

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3 **Enhanced Stability and Efficiency for Photoelectrochemical Iodide Oxidation by**
4 **Methyl Termination and Electrochemical Pt Deposition of n-Si Microwire Arrays**
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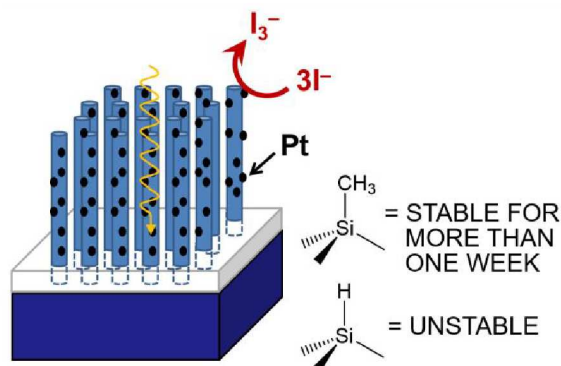
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31 **ABSTRACT**
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34 Arrays of Si microwires doped n-type and terminated by methyl groups have been used,
35 with or without deposition of a Pt electrocatalyst, to photoelectrochemically oxidize I⁻
36 (aq) to I₃⁻(aq) in 7.6 M HI(aq). Under conditions of iodide oxidation, methyl-terminated
37 n-Si microwire arrays exhibited stable short-circuit photocurrents over a timescale of
38 days, albeit with low energy-conversion efficiencies. In contrast, electrochemical
39 deposition of Pt onto methyl-terminated n-Si microwire arrays consistently yielded
40 energy-conversion efficiencies of ~2% for iodide oxidation, with an open-circuit
41 photovoltage of ~400 mV and a short-circuit photocurrent density of ~10 mA cm⁻² under
42 100 mW cm⁻² of simulated Air Mass 1.5G illumination. Platinized electrodes were stable
43 for > 200 h of continuous operation, with no discernable loss of Si or Pt. Pt deposited
44 using electron-beam evaporation also resulted in stable photoanodic operation of the
45 methyl-terminated n-Si microwire arrays, but yielded substantially lower photovoltages
46 than when Pt was deposited electrochemically.
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TOC GRAPHIC



Global climate change caused by anthropogenic greenhouse gases is a serious concern. Solar energy utilization is particularly interesting because solar panels are easily deployable on various size scales and in many regions of the world electricity from solar panels is cost-competitive with electricity derived from greenhouse gases.^{1,2} However, at certain times of the day in some parts of the world solar panels provide more electricity than demanded by the country. This situation can occur at a level of solar panel installation that provides as little as ~20% of the daily electricity demand of the country,^{3,4} therefore necessitating substantial installment of energy storage technologies to enable 100% renewable electricity generation to be effectively utilized. Silicon represents over 90% of the installed solar panel capacity¹ and hence identification of uses for silicon for direct light-to-chemical energy storage is of current technological interest. Arrays of Si microwires (MWs)⁵⁻¹⁴ and nanowires¹⁵⁻²³ are interesting platforms for the fabrication of artificial photosynthetic devices. The high aspect ratio of the wires allows for effective light absorption along the length of the wire, while enabling short, radial charge-carrier collection distances to electrocatalysts optimally integrated in a high surface-area structure.²⁴ The porosity of the wire array allows for facile reactant access

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3 and product egress to/from the internal area of the morphologically structured light
4 absorber, and provides a minimal path length for ionic conduction to and through a
5 supporting membrane.²⁵
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10 Renewable energy storage in H₂ from water or direct CO₂ reduction from the
11 atmosphere represent particularly interesting chemical storage options because they form
12 simple high-energy-dense chemicals and/or are carbon neutral processes. However, both
13 of these reactions still present major challenges,²⁶ in particular because both reactions
14 utilize electrons and protons from water via its oxidation to O₂ by a complex four-
15 electron, four-proton process to store > 1.0 V of potential. This kinetically challenging
16 process only has an ~40% roundtrip efficiency for H₂ energy storage via water
17 electrolysis and use in a polymer–electrolyte–membrane fuel cell.^{27,28} Moreover, the band
18 gap of Si is 1.12 eV, and even state-of-the-art Si typically exhibits photovoltages at the
19 maximum power point of only ~0.6 V under 1 Sun of illumination.²⁹ Hence, Si by itself,
20 either as an individual light absorber or in a tandem structure in which two Si light
21 absorbers are arranged optically and electrically in series, cannot provide the
22 photovoltage necessary to drive water electrolysis or sustainable CO₂ reduction.³⁰ Si is
23 also unstable toward anodic oxidation in aqueous electrolytes, and thus benefits from
24 kinetic stabilization strategies such as use of one-electron-transfer redox species in non-
25 aqueous solvents or protective coatings.^{31–34}
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47 Identification of simple alternative electron sources to water are timely research
48 endeavors. Halides in particular allow for ~90% roundtrip efficiency for H₂ energy
49 storage and use.^{28,35} Therefore, photoelectrolysis of HI(aq) to produce H₂(g) and I₃⁻(aq)
50 is one potential approach for solar energy storage using a Si light-absorber. The
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3 minimum voltage needed for the electrolysis reaction is only ~ 0.55 V under standard-
4 state conditions³⁶ and is ~ 0.25 V in highly concentrated HI(aq),³⁷ both of which can be
5 provided in principle by single, non-tandem Si MW arrays.^{38–40} A demonstration of the
6 utility of Si MW arrays for the unassisted electrolysis of HI(aq) would also provide a step
7 along a pathway toward solar fuel production. Such a system, however, requires a
8 method to suppress the anodic oxidation and passivation of Si in aqueous solutions.
9 Unassisted photoelectrolysis of HI(aq) has been demonstrated using membrane-
10 embedded p-type Si MW arrays in which the Si MWs served as the photocathode and
11 iodide was oxidized at a back metal contact to the MWs.⁴¹ Moreover, planar single-
12 crystalline n-Si(111) photoanodes have been shown to be stable when in contact with
13 Fe(CN)₆^{3-/4-}(aq) solutions for hours of continuous illumination, if the Si surface is
14 terminated with methyl groups using a two-step chlorination/alkylation surface
15 functionalization process.⁴² Methyl termination also introduces a surface dipole that
16 shifts the band edges in contact with a variety of redox couples, producing increases in
17 photovoltage for such functionalized photoanodes relative to the behavior of H-
18 terminated n-Si(111) photoelectrodes.⁴³ We demonstrate herein the use of methyl-
19 terminated Si MWs, in conjunction with surface-bound Pt electrocatalysts for I⁻ oxidation,
20 to enhance the stability of n-Si MW array electrodes under photoanodic operation while
21 effecting the solar-driven oxidation of I⁻(aq) to I₃⁻(aq) in 7.6 M HI(aq) at an ideal
22 regenerative-cell energy-conversion efficiency,⁴¹ η_{IRC} , of $> 1\%$ for > 200 h of continuous
23 operation under simulated 1 Sun illumination (Figure 1).
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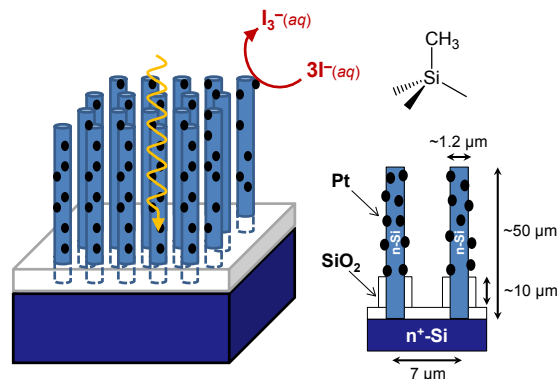
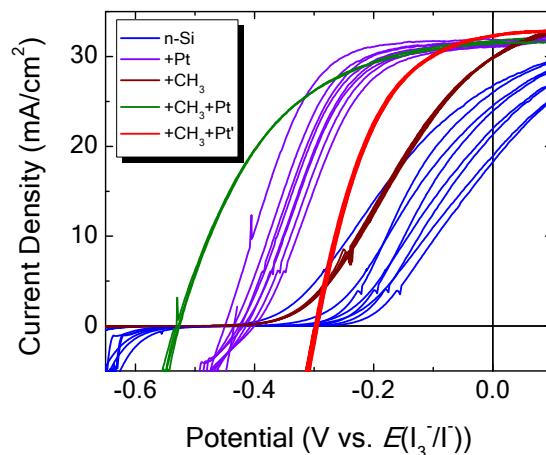


Figure 1. Si microwire array photoanode that is the focus of this work and effects the stable light-driven oxidation of $I^-(aq)$ to $I_3^-(aq)$ in 7.6 M $HI(aq)$. The microwires are doped n-type, are surface-terminated with methyl groups, contain surface-bound Pt electrocatalysts, and contain an oxide boot to attenuate electrochemical shunts.

Crystalline Si MW arrays were grown by the vapor–liquid–solid growth method on patterned (111)-oriented Si substrates.^{41,44,45} The desired microwire diameter and spacing was produced by lithographically patterning 3 μm diameter circular holes, with a center-to-center pitch of 7 μm , into an oxide overlayer formed on a degenerately doped, non-photoactive Si(111) substrate.⁴⁵ The holes in the oxide layer were subsequently filled with thermally evaporated Cu, which served as the Si growth catalyst. The doping type and dopant density of the n-Si MWs was controlled by use of PH_3 during growth, and the height of the microwires was controlled by the growth time as well as by the position of the substrate in the reactor. After growth and cleaning, the n-Si MW arrays were functionalized with methyl groups by a two-step chlorination/alkylation reaction sequence.^{43,46–48} As needed, Pt was then deposited using electron-beam evaporation or by electrochemical deposition from 5 mM $K_2PtCl_4(aq)$ by passing $> 100 \text{ mC cm}^{-2}$ of cathodic charge density at -1.0 V versus a saturated calomel electrode (SCE), with additional details available in the Supporting Information.

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3 Four-point probe measurements on single Si MWs yielded calculated resistivities,
4 and therefore calculated dopant densities,¹⁵ that were not related linearly to the partial
5 pressure of the PH₃ dopant gas used during wire growth (Figure S1). A series of
6 measurements on the n-Si MWs that were etched by KOH(aq) suggested that the
7 microwire shells were substantially more conductive than the cores. The radial
8 dependence of the dopant density is consistent with deposition of excess P by a vapor–
9 solid–solid growth mechanism.^{49,50} A high-temperature thermal oxide “booting”
10 procedure was then applied to: (i) further distribute the dopants homogeneously
11 throughout the radius of the microwire; and (ii) etch some of the excess high
12 concentration dopants from the wire shell prior to thermal annealing. This booting
13 process was required to obtain high-quality MW arrays that exhibited current density
14 *versus* potential (*J–E*) behavior (Figure S2) consistent with that previously reported in
15 non-aqueous electrolytes containing a one-electron-transfer, outer-sphere ferrocene-based
16 redox couple.⁴⁵ The normal incidence spectral response under these conditions (Figure
17 S3) exhibited behavior that was similar to that reported previously for p-type or intrinsic
18 (i.e. unintentionally doped) Si MW arrays,^{51,52} but was n-type in character for these
19 deliberately doped n-Si MW arrays. Changing the orientation of the Si MW arrays with-
20 respect-to the direction of propagation of incoming light has been shown to result in
21 increased external quantum yields, even beyond the limit imposed by ergodic ray-optic
22 light trapping.⁵¹ In addition, improved light management techniques using for example
23 arrays of microcones can yield high absorption over a large range of angles of the
24 incident illumination.⁵³ Consistently, in the dark the MW arrays exhibited current
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3 rectification, evidenced by passing substantial cathodic currents at negative potentials in
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5 conjunction with small anodic currents at positive potentials (Figure S2 and S4).
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24 **Figure 2.** Three-electrode current density *versus* potential data recorded under 100 mW
25 cm⁻² of simulated AM1.5 G solar illumination for *planar*, single-crystalline, n-type
26 Si(111) electrodes immersed in Ar-purged ~7.6 M HI(aq) containing adventitious I₃⁻ with
27 or without (blue) various combinations of surface terminations and catalyst treatments:
28 methylation (+CH₃), electrochemical Pt deposition (+Pt), electron-beam evaporation of Pt
29 (+Pt').
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34 Figure 2 displays representative photoelectrochemical *J-E* data of *planar*, single-
35 crystalline n-Si(111) electrodes in contact with Ar-purged ~7.6 M HI(aq) that by visual
36 inspection contained adventitious I₃⁻(aq). The surfaces were: H-Si(111) (blue); Pt
37 deposited electrochemically on H-Si(111) (purple); CH₃-terminated Si(111) (brown); Pt
38 deposited electrochemically on CH₃-terminated Si(111) (green); or Pt deposited using
39 electron-beam evaporation on CH₃-terminated Si(111) (red). Even in highly concentrated
40 ~7.6 M I⁻(aq), the H-terminated n-Si(111) electrodes exhibited a large resistance near
41 open-circuit conditions, low fill factors, and a rapid degradation of performance under
42 illumination. This loss in performance is attributed to oxidation and passivation of the Si
43 surface under photoanodic operation. Deposition of Pt without prior methylation
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3 provided enhanced rates of I^- oxidation, yet resulted in similarly low stability to that
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5 observed for H-terminated Si(111). CH_3 -termination alone resulted in an electrode that
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7 was stable on the timescale of days, but exhibited a very low fill factor, attributable to the
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9 slow interfacial charge-transfer rate constant for the oxidation of I^- at Si surfaces.^{54,55}
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11 Open-circuit voltages, V_{oc} , for CH_3 -terminated n-Si(111) photoelectrodes were
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13 consistently larger than those observed for H-terminated n-Si(111) photoelectrodes,
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15 regardless of whether electrochemically deposited Pt was present. This behavior is
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17 consistent with expectations in which an interfacial surface dipole arising from Si- CH_3
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19 bonds produces a negative shift in the band-edge positions of CH_3 -Si(111) surfaces
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21 relative to H-Si(111) surfaces.^{43,56,57} In contrast to the other electrodes, CH_3 -termination
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23 in combination with electrochemical deposition of Pt produced high fill factors and
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25 resulted in planar n-Si photoanodes that exhibited reproducible, stable, and efficient
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27 photooxidation of HI(aq).³⁷ Hence, through judicious choice of the Pt deposition
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29 protocol, large photovoltages can be obtained even though Pt typically forms interfacial
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31 silicides that limit the photovoltage to < 500 mV under 1 Sun illumination,⁵⁸ which is
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33 similar to the behavior we observed for Pt deposited by electron-beam evaporation.
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40 Figure 3 displays the representative photoelectrochemical $J-E$ performance of a
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42 methylated n-Si MW array electrode in contact with ~ 7.6 M HI(aq), before and after
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44 electrochemical deposition of Pt. Methylated n-Si MW arrays were not easily wetted by
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46 water or aqueous electrolytes, although repeated immersion of electrodes into either of
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48 these solutions (~ 10 times) ultimately resulted in contact to the microwire arrays so that
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50 they were suitable for measurements. Methylated n-Si MW arrays that contained
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52 electrochemically deposited Pt consistently exhibited $V_{oc} \sim 400$ mV, short-circuit current
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densities, J_{sc} , of $\sim 10 \text{ mA cm}^{-2}$ at the Nernstian potential for oxidation of I^- to I_3^- , $E(\text{I}_3^-/\text{I}^-)$, and an ideal regenerative-cell energy-conversion efficiency, η_{IRC} , of $\sim 2.0\%$ under 1 Sun of simulated solar illumination. Figure S4 shows the behavior of the best-performing sample measured during the course of this work.

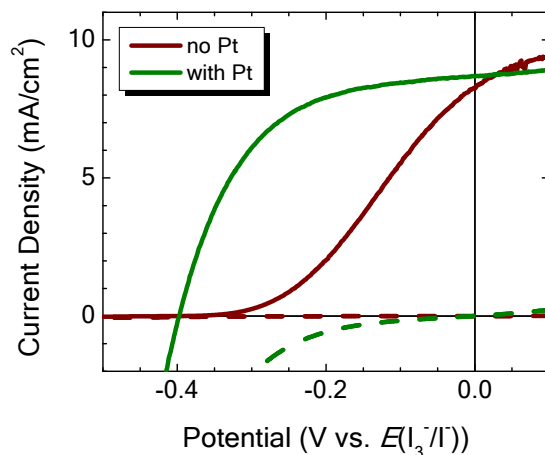


Figure 3. Three-electrode current density *versus* potential data recorded in the dark (dashed) or under 100 mW cm^{-2} of simulated AM1.5 G solar illumination (solid lines) for a methylated n-type Si MW array electrode immersed in Ar-purged $\sim 7.6 \text{ M HI(aq)}$ electrolyte containing adventitious I_3^- before (brown) or after (green) electrochemical deposition of Pt.

Prior studies reported that methyl-termination of *planar*, single-crystalline n-Si(111) surfaces followed by Pt deposition resulted in efficient and stable photocurrent for the photooxidation of aqueous iodide or bromide.^{17,37,59} Photocurrents for I^- oxidation using H-terminated n-Si *nanowires* with surface-deposited Pt, but in the absence of surface methylation, were shown to exhibit modest stability on the timescale of days.³⁷ However, the platinized n-Si MW arrays investigated herein that did not contain $-\text{CH}_3$ groups consistently exhibited poor stability for $\text{I}^-(\text{aq})$ oxidation. In contrast, the n-Si MW arrays that had been platinized after CH_3 -termination showed stable photocurrents for >

200 h of near-continuous $I^-(aq)$ photo-oxidation, with J_{sc} decreasing by $< 15\%$ after 200 h of continuous cyclic voltammetric sweeping (Figure 4). This small decrease in J_{sc} over time is consistent with our observation of light attenuation by photogenerated I_3^- measured using a calibrated silicon photodiode. However, the small change in the shape of the $J-E$ behavior to one that is consistent with increased shunting suggests that at a minimum oxidation of the surface of the microwire surfaces was likely, which is not surprising given expected imperfect methylation of the non-Si(111) facets on the sidewalls of the microwires due to varied bonding environments and sterics.⁶⁰ A CH_3- terminated n-Si MW array that did not contain Pt exhibited stable, but modest, efficiency under the same conditions (Figure S5). This observation is consistent with expectations of stable but attenuated performance for CH_3- terminated *planar*, single-crystalline n-Si(111) electrodes in the absence of Pt catalysts (Figure 2).

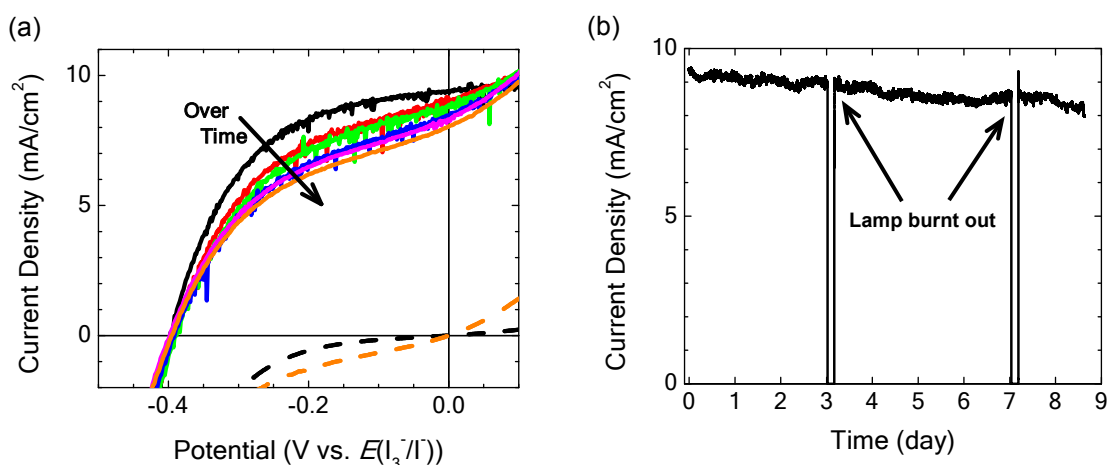


Figure 4. (a) Three-electrode current density *versus* potential data recorded in the dark (dashed; black initially and orange after 200 h) or under 100 mW cm^{-2} of simulated AM1.5 G solar illumination every 50 h, and at 200 h of near-continuous illumination, for the methylated n-type Si MW array electrode with electrochemically deposited Pt of Figure 3 immersed in Ar-purged $\sim 7.6 \text{ M HI}(aq)$ containing adventitious I_3^- . (b) Three-electrode chronoamperometry data recorded at a potentiostatic bias of 0 V versus the Nernstian potential of the solution over 200 h of 100 mW cm^{-2} of simulated AM1.5 G solar illumination, which totalled 207.5 h to compensate for the instances when the ELH-

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3 type W-halogen lamp burnt out and was replaced with a new source.
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7 To assess the feasibility of performing the overall photoelectrolysis of aqueous
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9 hydriodic acid, in which the concentration of I_3^- will increase substantially over time, as
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11 well as to assess the quantum yield of I_3^- formation, J - E data under potentiostatic control
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13 were obtained over 21 h for a platinized, CH_3 -terminated n-Si MW array sample in 7.6 M
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15 HI(aq) that initially contained adventitious I_3^- . These data were obtained in a three-
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17 electrode setup in an H-cell configuration in which the working electrode was separated
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19 from the Pt counter electrode by a Nafion membrane (Figure 5a). Ex situ spectroscopic
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21 detection indicated near unity Faradaic yield for formation of $I_3^-(aq)$ (Figure 5b).
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23 Consistently, the total anodic charge passed directly correlated with the cathodic limiting
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25 current densities ascribable to reduction of I_3^- at the Si MW array photoelectrode. The
26
27 number of turnovers per Si atom was ~ 900 (see Supporting Information for calculation),
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29 implying that a > 200 -fold excess in charge was passed relative to the amount of charge
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31 required to fully oxidize each Si atom via a four-hole process. No discernible loss of Si
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33 was observed via scanning-electron microscopy (SEM) before and after the evaluation
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35 period of 21 h (Figure S6), further supporting the conclusion that Si etching and/or loss of
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37 Pt was not responsible for the relatively small decrease in photoanodic current density as
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39 a function of operating time. A calibrated Si photodiode that was placed in the cell after
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41 the electrolysis exhibited $\sim 85\%$ of its initial response before the electrolysis, consistent
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43 with the observed decrease in photocurrent from the Si MW photoanode and indicating
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45 that the decay can be consistently ascribed to parasitic light absorption due to the
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47 formation of I_3^- in the cell during the electrolysis. Moreover, the photoactivity was
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49 exclusively due to the microwires and not the substrate, because physical removal of the
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microwires yielded planar n-Si electrodes that exhibited essentially no anodic photocurrent under the same conditions, as expected for a degenerately doped n-Si(111) substrate acting as the electrode.

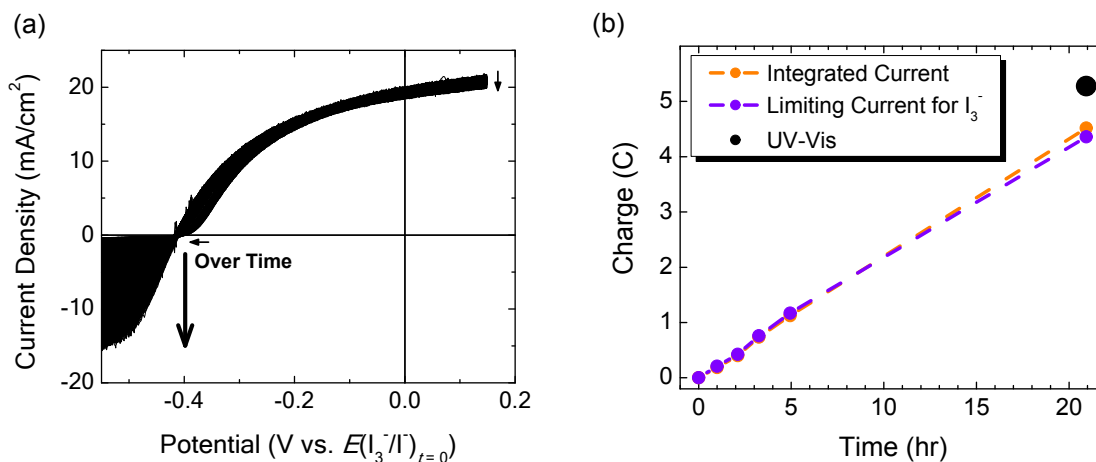


Figure 5. (a) Three-electrode current density *versus* potential data in an H-cell configuration with the working and counter electrodes separated by a Nafion membrane recorded continuously at a scan rate of 10 mV s^{-1} under 100 mW cm^{-2} of simulated AM1.5 G solar illumination for an n-Si-CH₃/Pt MW array electrode immersed in Ar-purged $\sim 7.6 \text{ M HI(aq)}$ that initially contained adventitious I₃⁻ over $\sim 21 \text{ h}$ of continuous operation. (b) Integrated current data from panel a reported for several times during the measurement (orange) and the scaled limiting cathodic current near -0.55 V , which is proportional to the amount of I₃⁻ in solution. For reference, also shown is the end point concentration of I₃⁻ determined using ultraviolet-visible (UV-Vis) electronic absorption spectroscopy in conjunction with the Beer-Lambert law.

Methylation, and in general surface functionalization via solution chemistry, is one of several approaches to protect underlying semiconductors from deleterious surface reactions.⁶¹ Other protection schemes include physical deposition of materials by, for example, atomic-layer deposition (ALD), sputtering, evaporation, or conversion of the surface by bombardment with atoms or molecules (e.g. nitridation⁶²), or use of single-layer coatings including graphene.⁶³ Introduction of surface functionality using solution chemistry can provide a conformal coating, for which electron-transfer across the

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3 interface is either mediated by this layer or requires tunnelling through insulating
4 molecules,^{64–66} such as for the methyl functionality utilized herein. However, even thin
5 conformal layers of metals and metal-oxide materials can absorb and/or reflect a
6 substantial amount of incoming light.⁶⁷ Moreover, coating high-surface-area substrates
7 such as MW arrays and mesoporous thin films is challenging using most physical
8 deposition techniques. Use of both surface chemistry and metal electrocatalysts resulted
9 herein in a large V_{oc} due to the n-Si-CH₃ surface dipole as well as rapid catalysis from the
10 Pt, resulting simultaneously in a large fill factor for iodide oxidation. Methylation
11 additionally protected the Si surface from extensive oxidation.
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24 The standard electrochemical potential required to oxidize I⁻(aq) to I₃⁻(aq) at
25 unity activity for each species (reaction 1, $E^{\circ}(\text{I}_3^-/\text{I}^-)$) is $\sim +0.55$ V versus the normal
26 hydrogen electrode (NHE).³⁶ Hence, based on observed values of V_{oc} , the n-Si-CH₃-Pt
27 MW array electrodes reported herein did not generate sufficient photovoltage under
28 normal-incidence simulated 1 Sun Air Mass (AM) 1.5 G illumination to simultaneously
29 drive half-reaction 1 in conjunction with the reduction of protons to molecular hydrogen,
30 half-reaction 2, each at standard state:
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40 However, the V_{oc} values do allow for spontaneous, unassisted splitting of 7.6 M HI(aq),
41 whose non-standard-state concentration results in $E(\text{I}_3^-/\text{I}^-) \sim +0.25$ V.³⁷
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48 The combination of enhanced stability, catalysis, and large V_{oc} values is a key step
49 toward use of MW arrays for integrated reversible storage of intermittent photon energy
50 as H₂ using a variety of electron sources, such as other hydrohalic acids (e.g. HBr(aq)) or
51 H₂O. The benefits of using hydrohalic acid fuel precursors are: (i) some are abundant;
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3 (ii) the thermodynamics required for these reactions can be supplied by a single light-
4 absorber material used in efficient and commercial photovoltaics, hence the light-
5 absorber materials have decades of proven research and development successes; and (iii)
6 the electron-transfer chemistry between most materials and halides is extremely rapid
7 meaning little energy is lost during photoelectrochemical oxidation and subsequent
8 reduction in a redox flow battery. These facts have led photoelectrochemical redox flow
9 batteries to be an active area of promising research.^{68–73} Toward this, inexpensive
10 carbon-based materials could be deposited on Si MW arrays as electrodeposited organic
11 polymers or high surface area graphitic materials, therefore enabling small overpotentials
12 for the hydrohalic redox reactions and enabling a better match between the available
13 current from unconcentrated sunlight and the load from the electrochemical reactions,
14 catalysts, and electrolyte.³²
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Supporting Information Available: additional experimental details, mathematical analysis of Si microwire array stability, single Si microwire dopant density as a function of PH₃ flow rate, performance of a Si microwire array in non-aqueous electrolyte, spectral response of a Si microwire array in non-aqueous electrolyte, stability of a methylated Si microwire array in aqueous electrolyte, and scanning electron micrograph images of single Si microwires with electrochemically deposited Pt.

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