

1 **AgMIP Coordinated Global and Regional Assessments of biophysical and economic**
2 **implications of +1.5 and +2.0 C global warming on agriculture**

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21 Accepted at *Climate Research*

22 May 11th, 2018
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33 **Abstract:** This study presents results of the Agricultural Model Intercomparison and
34 Improvement Project (AgMIP) Coordinated Global and Regional Assessments (CGRA) of
35 +1.5 and +2.0 °C global warming above pre-industrial conditions. This first CGRA
36 application provides multi-discipline, multi-scale, and multi-model perspectives to
37 elucidate major challenges for the agricultural sector caused by direct biophysical impacts
38 of climate changes as well as ramifications of associated mitigation strategies. Agriculture
39 in both target climate stabilizations is characterized by differential impacts across regions
40 and farming systems, with tropical maize (*Zea mays*) experiencing the largest losses while
41 soy (*Glycine max*) mostly benefits. The result is upward pressure on prices and area
42 expansion for maize and wheat (*Triticum*), while soy prices and area decline (results for
43 rice, *Oryza sativa*, are mixed). An example global mitigation strategy encouraging
44 bioenergy expansion is more disruptive to land use and crop prices than the climate change
45 impacts alone, even in the +2.0 °C World which has a larger climate signal and lower
46 mitigation requirement than the +1.5 °C World. Coordinated assessments reveal that direct
47 biophysical and economic impacts can be substantially larger for regional farming systems
48 than global production changes. Regional farmers can buffer negative effects or take
49 advantage of new opportunities via mitigation incentives and farm management
50 technologies. Primary uncertainties in the CGRA framework include the extent of CO₂
51 benefits for diverse agricultural systems in crop models, as simulations without CO₂
52 benefits show widespread production losses that raise prices and expand agricultural area.

53

54 **1. Introduction**

55 Signatures of climate change are already evident in observations of natural and human
56 systems, and the continuing rise of world greenhouse gas emissions suggests that society
57 will face substantially altered climate conditions in the future (IPCC, 2013). The extent of
58 climate change will be determined by societal activities that result in the overall burden of
59 greenhouse gas emissions and land use changes, as are the relative shares of mitigation,
60 adaptation, and impact that will characterize the emergent climate equilibrium (IPCC,
61 2014a,b,c). Climate policy could therefore be oriented toward striking a balance to avoid
62 both the highest costs of mitigation (to keep climate change low) and the highest burden
63 on adaptation and unavoidable climate impacts (when climate change is high) (IPCC,
64 2014c; O'Neill et al., 2017). Representatives from 196 countries signed the United Nations
65 Framework Convention on Climate Change (UNFCCC) Paris Agreement (UNFCCC,
66 2015) in December 2015 aiming for such a balance, setting a goal to limit global mean
67 temperature rise below 2 °C above pre-industrial levels, with nationally-determined
68 commitments (NDCs) aiming to reach a stabilization at +1.5 °C above pre-industrial
69 conditions.

70

71 This study focuses on the agricultural sector impacts of global warming at the limits of
72 these ambitious mitigation targets, defining a '+1.5 °C World' and '+2.0 °C World'
73 (relative to pre-industrial conditions) and assessing the biophysical and economic
74 implications from local to global scales. This multi-disciplinary and multi-scale
75 perspective is essential given our increasingly complex and interconnected agricultural
76 systems, wherein farm outputs are traded in local, regional, and global markets that set

77 prices motivating farmer decisions and practices in agricultural systems around the world.
78 Assessment of future climate challenges must also recognize shifts in agricultural
79 technology, socioeconomic development, dietary demand, and international policies that
80 will shape any future world.

81

82 The Agricultural Model Intercomparison and Improvement Project (AgMIP; Rosenzweig
83 et al., 2013, 2015) was launched in 2010 to provide systematic approaches capable of
84 modeling these shifts in future agricultural food systems. AgMIP links agricultural
85 communities, scientific approaches, and models for climate, crops, livestock, economics,
86 nutrition, and food security responses. AgMIP protocol-based studies of various crop and
87 livestock species, spatial scales, and models provide a basis for integrated assessment,
88 multi-sectoral analysis, and scenario application (Ruane et al., 2017). Prior studies have
89 focused largely on the impacts of climate changes beyond +2.0C (IPCC, 2013; Rosenzweig
90 et al., 2014; Wiebe et al., 2015), but the impact of highly mitigated scenarios such as the
91 +1.5 and +2.0 °C Worlds has received relatively little attention prior to this study.

92

93 To explore agricultural conditions in the +1.5 and +2.0 °C Worlds we employ AgMIP's
94 Coordinated Global and Regional Assessments (CGRA) Framework (Rosenzweig et al.,
95 2016). CGRA links across agricultural models, disciplines, and spatial scales using
96 common scenario assumptions and a harmonizing model output/input framework to
97 elucidate interactions that may be overlooked in isolated studies (**Figure 1**). Given the
98 urgency within the UNFCCC community for scientific insights into the implications of
99 +1.5 and +2.0 °C global warming, here we present the results of a fast-track assessment of

100 the AgMIP CGRA designed to capture key responses and messages. Rosenzweig et al.,
101 (2018) laid out the concept of this +1.5 and +2.0 °C global warming assessment, and here
102 we present the full multi-discipline, multi-model, and multi-scale results. Future
103 augmentation could examine additional feedback loops, participating models, regional case
104 study perspectives, and scenario combinations focused on land use, climate challenges,
105 socioeconomic development, consumption patterns, and management trade-offs.

106
107 CGRA assessments of the +1.5 and +2.0 °C Worlds include a *core set* of directly connected
108 models and analyses (presented below) as well as a series of *linked studies* utilizing
109 common scenarios, assumptions, and modeling frameworks to facilitate coordinated
110 analyses (further details on the CGRA framework are provided in Rosenzweig et al., 2018).
111 Diverse regional case studies provide unique perspectives that would be missing from top-
112 down global approaches; however, these are not meant to comprehensively represent the
113 many farming systems and populations that constitute the global agricultural sector. **Table**
114 **1** describes the overall set of models used in the core CGRA study. Global climate
115 scenarios and challenges for agricultural regions are described in Section 2 and detailed in
116 Ruane et al. (2018). Global crop production simulations are presented in Section 3. Global
117 economic model results project market impacts of climate changes and mitigation policies
118 in Section 4, while Section 5 examines more detailed case studies of biophysical impact
119 and regional integrated assessments for farm population economics in Pakistan and the
120 United States (with additional analyses provided by Antle et al., 2018, and Valdivia et al.,
121 2018). Linked studies provide enhanced +1.5 and +2.0 °C World detail on agricultural
122 trade and integrated assessment model mitigation pathways (van Meijl et al., 2018), food

123 security implications of mitigation efforts (Hasegawa et al., 2018), the changing nature of
124 extreme climate events and uncertainty related to CO₂ effects (Schleussner et al., 2018),
125 and enhanced regional analyses for Europe (Webber et al., 2018) and West Africa (Faye et
126 al., 2018). We conclude with a discussion of major messages and priorities for CGRA
127 development and application.

128

129

130 **2. Climate changes for agricultural regions**

131 Future worlds examined in this study are defined by a new climate stabilization where
132 global mean surface temperatures are +1.5 or +2.0 °C above pre-industrial conditions. This
133 involves defining the pre-industrial period and time horizon of climate stabilizations and
134 then exploring projected impacts of the embedded shifts in regional climate patterns,
135 seasonality, and extreme conditions that will affect agricultural systems. Climate scenario
136 generation and agro-climatic analysis for the CGRA +1.5 and +2.0 °C study is detailed in
137 Ruane et al. (2018) and summarized below.

138

139 *2.1. Representing +1.5 and +2.0 °C World climates*

140 Understanding of future and alternate climate states comes primarily from the outputs of
141 global climate models (GCMs) from earth system modeling groups participating in the
142 Coupled Model Intercomparison Project (CMIP; Taylor et al., 2012; Eyring et al., 2016).
143 In CMIP5 future projections took the form of transient simulations driven by representative
144 concentration pathways (RCPs; Moss et al., 2010), providing outputs from more than 30
145 modeling groups but no clear projection of a +1.5 or +2.0 °C stabilized climate state.

146

147 The Half a degree Additional warming, Projections, Prognosis and Impacts project
148 (HAPPI; Mitchell et al., 2017) took on the challenge of estimating these stabilized worlds,
149 and thus HAPPI outputs form the primary climate projections for this study. HAPPI
150 established climate drivers for the +1.5 °C World by drawing from conditions at the end of
151 the 21st century within RCP2.6 (e.g., greenhouse gas and aerosol concentrations, land use,
152 and sea surface temperature anomalies) and combined RCP2.6 and RCP4.5 for the +2.0 °C
153 World. HAPPI defines the pre-industrial period as 1860-1880, a relatively stable climate
154 period absent major volcanic eruptions at the beginning of the modern meteorological
155 station record. GCMs participating in HAPPI then conducted initial condition ensembles
156 to examine natural variability and extreme characteristics of the 2006-2015 period
157 (“current climate”), then drove ensemble simulations mimicking stabilized +1.5 and +2.0
158 °C Worlds pegged to the 2106-2115 period. As the current climate period (~2010) is
159 already ~1 °C above pre-industrial conditions, the +1.5 and +2.0 °C Worlds require an
160 additional ~0.5 to 1.0 °C of global warming (Morice et al., 2012). Future world simulations
161 maintain a degree of uncertainty around the desired global mean surface temperature
162 increase given differences in GCMs’ transient climate response to imposed forcings
163 (MIROC5, in particular, was noted as being warmer than expected). Ruane et al. (2018)
164 further describes how these uncertainties may affect agro-climatic scenarios, and also
165 compares the HAPPI subset of GCMs against climate conditions simulated when the RCP
166 transient simulations cross the +1.5 and +2.0 °C thresholds. In general, largely similar
167 global conditions are present in both CMIP transients and HAPPI stabilization scenarios,
168 but HAPPI produces warmer conditions over the rice-growing areas of Asia owing to its

169 use of cleaner end-of-century RCP2.6 tropospheric aerosol concentrations while most
170 CMIP transients cross +1.5 and +2.0 °C global warming earlier in the 21st century.
171
172 Climate scenarios for maize (*Zea mays*), wheat (*Triticum*), rice (*Oryza sativa*), and soy
173 (*Glycine max*) seasons focus on months between planting and harvest (according to the
174 AgMIP Global Gridded Crop Model Intercomparison protocols, GGCM; Elliott et al.,
175 2015). Wheat growing areas match the primary spring or winter wheat growing season
176 according to GGCM simulations, with climate scenarios capturing the final 90 days of
177 winter wheat before harvest in order to avoid the dormant vernalization period following
178 planting (as in Ruane et al., 2018). Mean climate changes (maximum and minimum
179 temperatures, precipitation, the number of wet days, and the standard deviation of daily
180 maximum and minimum temperatures) were calculated for each month from the HAPPI
181 ensemble for each GCM (Table 1). While HAPPI provides climate changes from a ~2010
182 current period climate, AgMIP's GGCM and local crop modeling protocols utilize a 1980-
183 2009 "recent observed climate" as baseline, necessitating a simplified pattern-scaling
184 estimation of climate changes between these different baseline climates (based upon local
185 changes per degree of global temperature change in the HAPPI +1.5 °C World simulation;
186 see Ruane et al., 2018). HAPPI recommended CO₂ concentrations for the +1.5 °C World
187 (423 ppm) and +2.0 °C World (487 ppm) are higher than many transient simulations at the
188 same global temperature threshold, although the CO₂ concentration in any climate
189 stabilization depends on a climate model's climate sensitivity (Ruane et al., 2018).
190 Together with climate changes aggregated over the growing season, these provide the
191 driving conditions for global crop model yield estimates, and monthly changes are imposed

192 on local weather observations to create daily time series scenarios for local crop model
193 simulation (using the mean-and-variability change “enhanced delta” approach described in
194 Ruane et al., 2015a).

195

196 *2.2. Climate projections for agricultural regions*

197 HAPPI Climate changes for the +1.5 and +2.0 °C Worlds contain many of the same patterns
198 observed in recent IPCC assessments (Collins et al., 2013), including warming that exceeds
199 the global average over land (due to the ocean’s higher heat capacity) at higher latitudes
200 (owing to local feedbacks), and in the winter season. Global precipitation rises slightly as
201 global temperatures increase, but this effect is small compared to regional shifts in mean
202 precipitation that largely track an exacerbation of moisture convergence and divergence
203 regions associated with global warming’s enhancement of the hydrologic cycle. **Figure 2**
204 presents median rainfed maize season projections for the +1.5 and +2.0 °C Worlds
205 compared to the current (~2010) climate, showing a pace of robust warming that exceeds
206 global mean temperature rise for nearly all maize-growing regions and additional warming
207 at higher latitudes and over portions of the East Asian monsoon (due in part to assumed
208 aerosol policies). Median warming does not exceed twice the range among GCMs in many
209 mid-latitude regions until the +2.0 °C scenario or beyond, while the signal more readily
210 emerges above relatively consistent projections in the Tropics. Precipitation changes are
211 largely uncertain across models in the +1.5 °C World, although patterns strengthen
212 somewhat under the warmer +2.0 °C World. Wetter conditions are notable in the Asian
213 monsoon region, Southeast United States, and the lower Rio de la Plata basin; while drier
214 conditions are projected for Southern Europe and northeast South America. Ruane et al.

215 (2018) detail projections for additional growing seasons examined in the CGRA
216 assessments, as well as the tendency of many growing regions to face more extreme
217 interannual variability under the +1.5 and +2.0 °C Worlds. Rosenzweig et al., 2018,
218 provides a further exploration of GCM uncertainty for the rainfed wheat season.

219

220

221 **3. Agricultural system responses to climate changes**

222 Climate shifts associated with the +1.5 and +2.0 °C World will affect cereal production
223 around the world, with impacts dependent on the farming system environment (soils and
224 baseline climate), cultivar selection, and agricultural management. The AgMIP Global
225 Gridded Crop Model Intercomparison (GGCMI) utilizes partially harmonized inputs as
226 well as common protocols and output processing pipelines to facilitate multi-model
227 simulation of agricultural production with global coverage and ½° x ½° horizontal
228 resolution (Elliott et al., 2015). GGCMI provided long-term agricultural production impact
229 projections under various CMIP5 RCPs (Rosenzweig et al., 2014) and recently completed
230 a historical period intercomparison and benchmark evaluation against observed yields to
231 elucidate model strengths and uncertainties (Müller et al., 2017). GGCMI models are
232 configured to capture direct weather and climate responses but do not simulate additional
233 factors that may affect seasonal variability and long-term outlooks (e.g., pests, diseases,
234 weeds, river flooding, ozone).

235

236 *3.1. Simulating +1.5 and +2.0 °C World agricultural production*

237 Agricultural production in the +1.5 and +2.0 °C Worlds was projected using outputs from
238 GGCM Phase 2, a systematic sensitivity test exploring responses to regional changes in
239 CO₂, temperature, water, nitrogen, and adaptation (Elliott et al., 2015; Ruane et al., 2017).
240 GGCM models were first run over the 1980-2009 period climate (provided by
241 AgMERRA; Ruane et al., 2015b), and then executed under a range of imposed mean
242 changes in CO₂ (360 to 810ppm), temperature (-1 to +6 °C), water (-50 to +30%
243 precipitation change), nitrogen fertilizer (10 to 200 kg/ha), and cultivar adaptation (with or
244 without cultivars selected to maintain growing season length). Sensitivity tests were run
245 in isolation and in combination, providing a sampling of the climate change space capturing
246 the climate changes projected for the +1.5 and +2.0 °C Worlds at CO₂ levels of 423 and
247 487 ppm, respectively.

248
249 Yield levels for the HAPPI scenarios (current period, +1.5 °C World, and +2.0 °C World)
250 were estimated from GGCM Phase 2 outputs using the HAPPI seasonal climate scenarios
251 (providing changes in temperature, water, and CO₂) and holding farm system management
252 constant (no change in N, planting dates, or cultivar adaptation). Outputs from three
253 GGCMs were utilized for the CGRA study (see Table 1 and additional details in the
254 Supplemental Material). We here employ crop simulations provided by the GGCMs
255 GEPIC (Folberth et al. 2012), LPJmL (von Bloh et al. 2017) and pDSSAT (Elliott et al.
256 2014). GGCM projections are driven by mean local climate changes, however these
257 interact with daily and seasonal events and alter extreme events that affect total yield levels
258 (see Schleussner et al., 2018, for a further examination of yield extremes in the +1.5 and
259 +2.0 °C Worlds).

260

261

262 *3.2. Agricultural production change projections*

263 **Figure 3** presents median rainfed yield changes (across 15 GGCM/GCM combinations)
264 for rainfed maize, wheat, rice, and soy under the +1.5 and +2.0 °C Worlds in comparison
265 to the current (~2010) climate (Rosenzweig et al., 2018, presents all model combinations
266 for rainfed wheat). These median losses obscure substantial uncertainty between GGCMs
267 (particularly related to the impacts of CO₂) and among HAPPI GCMs (owing to variation
268 in local temperature rise and precipitation changes), however several patterns emerge.

269

270 Rainfed maize yields decline in most areas under the +1.5 °C Worlds (Fig. 3a). Rainfed
271 wheat yield changes for the +1.5 °C World are small (<5%) in major wheat belts of the
272 North American Great Plains and Europe. Larger losses are evident in the Northern
273 Murray-Darling Basin of Australia, Eastern South Africa, and Northern Argentina while
274 Western Asia and the North China Plain sees substantial yield increases (Fig. 3c). +1.5 °C
275 World rainfed rice yield changes are also quite muted over the major production regions in
276 Asia while projecting increases over tropical Africa and South America (Fig 3e). Rainfed
277 soy projections improve yields over much of Eastern Europe and Northwest Asia in the
278 +1.5 °C World, also showing slight yield decreases over the interior of North America and
279 equatorward portions of South America and East Asia, while gradually increasing toward
280 the Eastern US and poleward portions of South America and East Asia (Fig 3g).

281

282 In the +2.0 °C World yields for the C3 crops (wheat, rice, and soy) improve in nearly all
283 regions as CO₂ effects largely overcome temperature challenges (Figs. 3d,f,h) (Asseng et
284 al., 2015). Water stressed regions show the largest gains, likely owing to the beneficial
285 effects of elevated CO₂ reducing transpiration losses (Deryng et al., 2016). As a legume,
286 soy is not constrained by nitrogen limitations and thus responds strongly to rising CO₂
287 (Kimball, 2016). The C4 maize yields do not capture nearly the same level of CO₂ benefit,
288 with yields declining further as temperatures rise to the +2.0 °C World (Fig. 3b).

289

290 Irrigated crops (**Figure S1**) respond in much the same way as rainfed crops, although they
291 are largely immune to precipitation changes and do not benefit as much from the water
292 retention benefits of CO₂ given that water stress is controlled through farm management
293 (photosynthetic stimulation still benefits C3 crops but C4 is aided to a lesser extent). This
294 leads to large irrigated maize losses over much of North America, China, and Southern
295 Europe, while yields are reduced for the irrigated wheat basket of South Asia under both
296 the +1.5 and +2.0 °C Worlds.

297

298 *3.3. Uncertainty in agricultural production change projections*

299 **Figure 4** illustrates projections of global production change (compared to a future with no
300 climate change) and major sources of uncertainty owing to climate and crop models as well
301 as the inclusion of CO₂ effects. These uncertainties (assessed here as the range in median
302 responses across the full ensemble when one factor is isolated) are then compared to the
303 differences between the +1.5 and +2.0 °C Worlds. In the core scenario (+2.0 °C World
304 SSP1 with CO₂ effects) there is strong agreement across the ensemble of all model

305 combinations that maize production declines (median of -5%), wheat and rice production
306 increases slightly (median of +1 to +2%), and soybean increases more substantially
307 (median of +8%). Projection ranges determined by climate models are less than half of the
308 range owing to the selection of crop models, and much of the crop model difference is
309 related to the comparable uncertainty from CO₂ benefits.

310

311 The extent to which elevated CO₂ benefits crops remains an area of considerable ongoing
312 debate within the literature (Porter et al., 2014; Long et al., 2006; Tubiello et al., 2007a,b;
313 Ainsworth et al., 2008; Boote et al., 2010; O’Leary et al., 2015; Kimball, 2016). Overall
314 there is strong agreement that C3 crops (including wheat, rice, and soy) have a larger
315 photosynthetic benefit than C4 crops (including maize), although both C3 and C4 species
316 experience higher water use efficiency under elevated CO₂ concentrations (Bongaarts,
317 1994). Uncertainty in agricultural CO₂ response stems largely from a lack of field
318 experimentation for CO₂ response, as existing data insufficiently samples the broad range
319 of crop species, cultivar genetics, field environments, and management practices within the
320 global agricultural sector (Leakey et al., 2012). Crop models have long been used to project
321 climate change impacts including CO₂ effects, as they combine response curves calibrated
322 from available experimental data with a broader range of biophysical processes and plant-
323 environment interactions represented in the model (Rosenzweig and Parry, 1994; Asseng
324 et al., 2013). Crop models can also simulate regional differences in CO₂ response (Deryng
325 et al., 2016) and gauge differential responses under extreme conditions (Durand et al.,
326 2017). Reich et al. (2018) recently suggested that behaviors of C3 and C4 grasslands plants

327 may shift over time, although this effect is difficult to separate from inter-species
328 competition and soil ecology.

329

330 CO₂ benefits are widely expected to be non-negligible and positive (particularly for C3
331 crops), and thus it is not surprising that simulations without CO₂ benefits (holding CO₂
332 concentrations constant at 2010 levels) form the lower production extreme in the CO₂ row
333 of Figure 4. Without CO₂ benefits projections for each crop show a decline in median
334 production in comparison to a future without climate change, with soybean (a legume)
335 responding most strongly given that it is rarely limited by soil nitrogen. The positive
336 effects of CO₂ also saturate at high concentrations, so these first increases of 33 and 97
337 ppm (for the +1.5 and +2.0 °C Worlds) have a more potent benefit than would the next
338 similar increases in a higher emissions pathway.

339

340 Differences between simulations with and without CO₂ also illustrate the large global
341 influence of CO₂ effects compared to temperature and precipitation changes in the +2.0 °C
342 World. On a global production basis the effects of regional precipitation increases or
343 decreases largely cancel out (which helps reduce the GCM uncertainties), while warming
344 and CO₂ increases are more universal (see also agricultural region breakdown in Ruane et
345 al., 2018). Schleussner et al. (2018) further found that higher CO₂ levels only slightly
346 decrease crop responses to temperature but shift the types of extreme events that regional
347 agricultural systems respond to in the +2.0 °C World (owing likely to water retention
348 benefits aided by higher CO₂ concentrations).

349

350 The magnitude of global crop production changes is generally exacerbated in the +2.0 °C
351 stabilization compared to the +1.5 °C World, with rice changes shifting in direction (-2%
352 in the +1.5 °C World and +2% in the +2.0 °C World) (Figure 4). Rosenzweig et al. (2018)
353 show that CO₂ responses are a major basis for the simulated C3 crop production gains of
354 the +2.0 °C World scenario compared to the +1.5 °C World, and also identifies substantial
355 uncertainty across specific GCMs. The C4 maize crop sees an additional 2% decline
356 moving from the +1.5 to the +2.0 °C World. Without CO₂ effects, temperature and
357 precipitation changes cause the +2.0 °C World to have lower production than the +1.5 °C
358 World for all crops.

359

360

361 **4. Global market responses**

362 We explore the global economic effects of climate changes in these future worlds by
363 employing the International Model for Policy Analysis of Agricultural Commodities and
364 Trade (IMPACT) partial equilibrium model (Robinson et al., 2015) and the Future
365 Agricultural Resources Model (FARM) computable general equilibrium model (Sands et
366 al., 2014). IMPACT and FARM model outputs contributed to several efforts of the
367 AgMIP Global Economic Modeling Team to analyze climate impacts on future
368 agricultural markets, allowing their results to be placed in the context of the broader
369 ensemble of AgMIP global economic models (Nelson et al., 2014a; Wiebe et al., 2015).
370 Computable general equilibrium models simulate multiple sectors and generally have
371 more capacity for other sectors to cover climate-induced losses in the agricultural sector,

372 while partial equilibrium models simulate only the agricultural sector at higher
373 complexity (Nelson et al., 2014b).

374

375 *4.1. Representing +1.5 and +2.0 °C World global agricultural markets*

376 Climate shifts associated with the +1.5 and +2.0 °C Worlds act as shocks on global
377 agricultural production compared to a counterfactual future without climate changes.
378 These shocks reverberate throughout a complex international agricultural system that is
379 also affected by consumer demand for agricultural products, technological advances,
380 socioeconomic change, and shifting policy priorities. These in turn transform the context
381 of agricultural systems, prices, land use and trade. Economic simulations test these
382 trajectories through shared socioeconomic pathways (SSPs; O'Neill et al., 2015), with
383 specific conditions (e.g., population, GDP, land use restrictions, energy and food
384 consumption) set according to the projection's time horizon. Given difficulties in assessing
385 market conditions more than several decades in the future, here we examine the impacts of
386 a +1.5 or +2.0 °C World assuming climate has stabilized in the 2050s. Despite HAPPI
387 +1.5 and +2.0 °C World simulations being pegged to 2106-2115, the biophysical shocks
388 are consistent with the same climate occurring in 2050. This time horizon is similar to
389 +1.5 and +2.0 °C crossing points in many CMIP5 transient simulations, and is comparable
390 to RCP4.5 and RCP6.0 climate conditions even as those scenarios continue toward much
391 higher global warming later in the century and beyond (Ruane et al., 2018; Collins et al.,
392 2013).

393

394 The core CGRA application examines the ‘Green Growth’ SSP1 wherein the world moves
395 toward a more sustainable path with lower population growth, international cooperation,
396 and technological development facilitating more efficient use of resources and stronger
397 protection for the environment (O’Neill et al., 2015; Van Vuuren et al., 2016). Both global
398 economic models simulated a counterfactual future in which the SSP1 pathway proceeds
399 without climate impacts on agricultural production or additional mitigation efforts. These
400 are compared to the same future pathway with agricultural production shocks determined
401 by 3 GGCM crop models each driven by 5 HAPPI GCMs, resulting in 15 future scenarios
402 for global and regional assessment illustrating the additional burdens introduced by climate
403 change on top of broader challenges of providing sufficient healthy food for a growing and
404 developing population (FAO, 2016). To understand the ramifications of societal
405 development pathways, global economic models also simulated the ‘Middle-of-the-road’
406 SSP2 wherein current trends largely continue, resulting in higher populations and incomes,
407 lingering trade barriers, income inequality, increased consumption of food and energy, and
408 continued environmental degradation (O’Neill et al., 2015; Fricko et al., 2017). The
409 continuation of current dietary patterns and trends, in particular, places a growing strain on
410 future SSP2 food systems and their global footprint.

411

412 The agricultural sector also has a mandate to play a role in global mitigation efforts given
413 its substantial greenhouse gas emissions and historic land-use changes (Wollenberg et al.,
414 2016). We therefore simulated example mitigation scenarios with the FARM model to
415 explore how key policy incentives would affect agricultural markets. The FARM
416 mitigation scenario utilizes CO₂ prices applied to greenhouse gas emitters (including

417 agricultural producers) and is constrained to emit no more than 800 Gt CO₂ globally from
418 2011 through 2050. CO₂ emissions start at 32.9 Gt CO₂ in 2011 and decline to 7.1 Gt CO₂
419 in 2050. This is consistent with an emissions pathway with a cumulative emissions limit
420 of 1,000 Gt CO₂ from 2011 through 2100 (consistent with a +2.0 °C stabilization). The
421 FARM model solves for global CO₂ prices at each time step to meet an exogenous global
422 emissions target.

423

424 GGCM yield outputs (including CO₂ effects) were processed within the CGRA framework
425 to meet the input requirements of the global agricultural economics models. Aggregation
426 of GGCM yield change ratios to countries and regions utilized 2005 agricultural area
427 information from the Spatial Production Allocation Model database for area-weighting and
428 total production calculations (SPAM; You et al., 2014). To inform the many agricultural
429 commodities simulated by the economic models, climate impacts on crops not explicitly
430 modeled by GGCM were estimated on a country level utilizing a combination of species
431 similarity (e.g., C3 vs. C4; legumes), experimental literature, and constraints to prevent
432 spurious production changes beyond +/-25%. Future agricultural production includes the
433 effects of improved farm technologies and yield gap closures associated with
434 socioeconomic development in each SSP, however these effects are included in all
435 simulations (including the no-climate-change counterfactual) so that we can gauge the
436 specific effects of climate shocks and mitigation. Global economic simulations were also
437 conducted driven by GGCM results that exclude CO₂ effects in order to understand the
438 market effects of this major biophysical uncertainty.

439

440 *4.2. Agricultural market change projections*

441 **Figure 5** summarizes agricultural market responses to direct climate impacts associated
442 with a +1.5 or +2.0 °C World compared to a future without climate change. Figure 5a,b
443 show how production shocks on existing croplands (with CO₂ effects as described in
444 Section 3) affect prices, which in turn drives expansions or reductions in cultivated areas
445 motivated by profit and yield potentials. The overall relationship between production
446 shocks, prices, and cultivated area is complicated by dependence on the geographic pattern
447 of yield increases and decreases, the availability of agricultural lands, costs associated with
448 transitions in farm systems and trading partners, and the possible substitution of one crop
449 for another (e.g., livestock may feed on wheat-based feed if maize becomes more
450 expensive).

451

452 In the +1.5 °C World reductions in maize and rice production drive up their prices,
453 increasing area to make up for production gaps. Wheat prices and area also increase
454 despite nearly flat global production levels, likely carried upward by pressure on maize
455 and rice. Increases in soy production lead to declining area and prices that are somewhat
456 lower in IMPACT but relatively flat in FARM. Maize production declines further in the
457 +2.0 °C World; however, production for wheat, rice, and soy increase compared to a future
458 without climate change (owing largely to uncertain CO₂ effects on C3 crops). This results
459 in continued upward pressure on maize prices and area but an increasing number of
460 simulations showing declines in wheat, rice, and soy prices and area.

461

462 Figure 5c breaks down the additional pressure on agricultural land use in response to
463 ambitious mitigation targets that could play a role in achieving a +2.0 °C climate
464 stabilization. FARM simulation of the +2.0 °C mitigation pathway (without any direct
465 effects of climate change on crop production) indicates disruption to global land use as
466 mitigation policies are implemented as bioenergy crops expand to 284 Mha in 2050 to
467 provide a green energy source on a scale that helps achieves the +2.0 °C World (bioenergy
468 accounts for only 7.1 Mha in the non-mitigation SSP1 reference). Land devoted to
469 bioenergy comes largely from croplands (-16% of reference areas) and grasslands (-2% of
470 reference areas), which would require substantial intensification in remaining agricultural
471 systems to meet food demands. A related intercomparison of global economic models
472 also found substantial decreases in land devoted to food production in response to
473 mitigation policies (van Meijl et al., 2018).

474

475

476 *4.3. Uncertainty in global agricultural market projections*

477 **Figure 6** displays global crop price and crop area projections for a core scenario featuring
478 the SSP1 +2.0 °C World including CO₂ effects and no additional mitigation. It further
479 explores major sources of uncertainty from three types of models (climate, crops, and
480 economics) as well as deviations from this core scenario driven by the inclusion of CO₂
481 effects, SSP, and a specific mitigation scenario applied to the FARM economic model.
482 Uncertainty from various factors (assessed here as the range in median responses across
483 the full ensemble when one factor is isolated) are compared to differences between the +1.5
484 and +2.0 °C Worlds to place model and scenario uncertainty in the context of the decision

485 space targeted by the Paris Agreement. The full model ensemble features 30 combinations
486 (5 GCMs x 3 GGCMs x 2 global economic models) with considerable uncertainty,
487 although the ensemble strongly indicates increases in the price and area of maize and wheat
488 while rice and soy see price and area declines.

489

490 Climate models are not a major source of price uncertainty and have very little influence
491 on crop areas owing to the aggregating effects of global production and market forces.
492 Crop models drive substantial price and area uncertainty for all crops. Crop model
493 uncertainty is largely comparable to uncertainties from the inclusion of CO₂ effects for C3
494 crops (wheat, rice, and soy); with LPJmL tending to have larger CO₂ effects than the other
495 models. Maize (a C4 crop with lower responses to CO₂) sees additional crop model
496 uncertainty likely owing to a stronger thermal response within pDSSAT. Overall
497 differences in price and area changes across the four cereal crops indicates a need to include
498 direct simulation of more commodities for future market assessments.

499

500 Relative to the IMPACT model, in the FARM model production shocks lead to slightly
501 smaller price changes but larger area changes for these 4 primary cereal crops (recall also
502 Fig. 5). This is likely due in part to IMPACT only directly simulating the agricultural
503 sector but including a wider number of competing crop types, while the FARM model
504 simulates a wider variety of competing land uses and buffers prices through responses in
505 other sectors. IMPACT and FARM also differ in assumptions on land expansion,
506 agricultural productivity growth, demand, and the possibilities for substitution between
507 commodities (Nelson et al., 2014b); the latter of which likely explains why wheat prices

508 are more comparable between economic models than the other commodities. Although
509 raw prices and land use have large differences between SSP1 and SSP2, their proportional
510 response to production shocks is relatively unaffected by SSP selection.

511

512 Key emergent messages are apparent in the projections even as median differences in the
513 full ensemble between the +1.5 and +2.0 °C Worlds are on the same order as (and often
514 smaller than) uncertainties in crop and economic models. When CO₂ effects are included,
515 median increases in maize and wheat prices and area exist for both Worlds, as do decreases
516 in soy price and area. The direction of change for rice prices and area shifts from increases
517 in the +1.5 °C World to decreases in the +2.0 °C World.

518

519 Uncertainty from the inclusion of CO₂ benefits is particularly important given that
520 simulations of the +2.0 °C World without CO₂ benefits reverse all price and area
521 decreases, resulting in clear pressure for higher prices and expanded cropping area for all
522 commodities relative to a world without climate change. When CO₂ is included the 2.0
523 °C World has lower prices than the 1.5 °C World for C3 crops and reduced areas for rice
524 and soy (wheat goes up slightly due to substitution effects), but without CO₂ benefits the
525 +2.0 °C World has higher prices and areas for all crops due to warming and rainfall
526 changes. As such, the considerable uncertainty in CO₂ effects assuredly propagates into
527 the global economic outlook, although the range between with and without CO₂ effects is
528 likely higher than the true CO₂ uncertainty. Previous studies (e.g., Nelson et al., 2014;
529 Wiebe et al., 2015; Asseng et al., 2015) did not include CO₂ effects; however, CO₂
530 effects are widely understood to be positive even as the magnitude of this benefit is

531 uncertain (Leakey et al., 2012; Kimball et al., 2015). If CO₂ effects are indeed
532 overestimated in current crop models, this would indicate that the +1.5 and +2.0 °C
533 World projections are likely to reduce availability of convenient food substitutes, drive
534 up crop prices, and heighten land resource competition.

535

536 The ‘FARM Mitigation’ row of Figure 6 compares the no-mitigation and mitigation
537 simulation ensemble within the FARM economic model, shining a spotlight on the ways
538 in which the implementation of a mitigation strategy can cause substantial disruption as
539 the agricultural sector seeks to play a role in emissions reduction. The dynamic carbon
540 price in the FARM mitigation scenario is oriented to emitters, which dramatically
541 increases energy costs in farm production as well as land use competition from bioenergy
542 crops (Figure 5c). As a result, a further 10-15% of area for the four cereal crops is
543 reallocated and prices rise 5-10% above the no-mitigation scenario. These FARM
544 mitigation scenario changes are larger than the direct impacts of climate change
545 associated with the +1.5 and +2.0 °C Worlds. FARM results represent only one example
546 of a potential mitigation strategy, but a related intercomparison of global economic
547 models also highlighted the benefit of harmonized economic model assessment and
548 agreed that the costs of mitigation to achieve +1.5 and +2.0 °C Worlds may likely exceed
549 the costs of adaptation to those new climate conditions (van Meijl et al., 2018).

550 Mitigation costs also lead to a corresponding increase in hungry populations and food
551 insecurity (Hasegawa et al., 2018) compared to the climate changes alone. As a contrast,
552 Springmann et al. (2017) noted that efforts to reduce food consumption (e.g., through the

553 promotion of more sustainable diets) can lead to a reduction in demand that relieves a
554 portion of the pressure on agricultural lands and emissions.

555

556

557 **5. Regional integrated assessment of global market pressures and local climate**
558 **vulnerability**

559 Analysis at the global scale may overlook substantial local challenges and opportunities
560 for farmers and other agricultural sector stakeholders, and too often gives the impression
561 of homogeneous regional responses despite extensive heterogeneity in households,
562 environmental conditions, and farming systems within any given region. Here we apply
563 elements of AgMIP's regional integrated assessment (RIA) protocol to examine the +1.5
564 and +2.0 °C Worlds from a regional perspective. Crop models were configured according
565 to field experiments in the case study region as well as local soils, weather conditions,
566 cultivars and farm management (in contrast to the more generic configurations utilized by
567 GGCMs). We simulate future systems under the new climate stabilizations and farm
568 management within representative agricultural pathways (RAPs) developed in conjunction
569 with local stakeholders to reflect local agricultural development (Valdivia et al., 2015).
570 This allows an analysis of economic outcomes for a survey of rural households in case
571 study regions (Antle et al., 2015).

572

573 CGRA regional case studies examined biophysical impacts caused by local climate
574 changes (including CO₂ effects) within the +1.5 and +2.0 °C Worlds, as well as the
575 immediate and long-term effects of shifts in global commodity prices as mitigation policies

576 are enacted and climate shifts impact other regions. Case studies are not intended to be
577 comprehensive, but were selected along a southeast to northwest cross section of US
578 agricultural systems as examples of developed country impacts, with a developing country
579 example drawn from Pakistan. Biophysical impacts were assessed at Camilla, Georgia (in
580 the Southeastern US), Ames, Iowa (in the US Midwest), and Greeley, Colorado (in the US
581 Front Range) using the Decision Support System for Agrotechnology Transfer Cropping
582 System Model (DSSAT-CSM; Hoogenboom et al., 2015). In contrast, the analysis of
583 Pacific Northwest wheat systems utilized the Tradeoff Analysis Model for Multi-
584 Dimensional Impact Assessment (TOA-MD; Antle et al., 2014) to evaluate the economic
585 and environmental (greenhouse gas) performance of those systems adapted to low
586 greenhouse gas emissions scenarios and an SSP1 storyline using a suite of model-based
587 inputs that included results from the DeNitrification-DeComposition (DNDC) crop model
588 (Gilhespi et al. 2014), mitigation policy incentives, and life cycle analysis. The TOA-MD
589 model was also applied for cotton-wheat systems in Punjab, Pakistan, integrating DSSAT
590 yield impacts, IMPACT price changes and RAPs developed in collaboration with local
591 experts and stakeholders (Ahmad et al., 2015). We summarize CGRA case studies briefly
592 below, with more detailed analysis given in partner CGRA studies on Pakistan economics
593 (Valdivia et al., 2018) and the effects of mitigation on the Pacific Northwest US (Antle et
594 al., 2018).

595

596 *5.1. Representing local farm and market effects of +1.5 and +2.0 °C Worlds*

597 Commodity price changes (compared to a counterfactual future without climate change)
598 for each case study region were supplied by IMPACT SSP1 simulations for all

599 GCM/GGCM combinations, and these differ from global prices due to local supply,
600 demand, and barriers to trade. Future farming systems in DSSAT and TOA-MD were
601 represented by the sustainability-oriented 'Green Road' RAP that is associated with SSP1
602 (Valdivia et al., 2015). Biophysical impacts in case studies were driven by local climate
603 scenarios differentiated from the global scenarios in that they (1) imposed HAPPI climate
604 shifts upon local climate observations (supplied by the US Historical Climatology Network
605 and the Pakistan Meteorological Department) rather than gridded climate data; and (2)
606 adjusted daily climate series according to monthly shifts in mean conditions as well as
607 changes in the number of rainy days and the distribution of daily maximum and minimum
608 temperatures (Ruane et al., 2015a). An example of monthly scenario conditions in Pakistan
609 is provided in Rosenzweig et al. (2018).

610

611 *5.2. Local yield impact case studies for +1.5 and +2.0 °C Worlds*

612 **Figure 7** presents yield impacts over the United States case study cross-section from both
613 the local and global crop modeling perspectives. Similar to the global signal, maize yields
614 decline at all three locations while soy yields mostly increase. Locally-calibrated DSSAT
615 and global crop model projections overlap and agree on the sign of median yield changes
616 for all but Camilla soy in the +1.5 °C World (potentially due to multiple water management
617 treatments in the DSSAT results). There is a notable increase in uncertainty for the
618 GGCMs; however, by isolating the median changes from the 3 GGCMs it is apparent that
619 GGCM differences are driving this uncertainty (if GCMs were the cause the GGCMs
620 median would cluster near the center of the distribution). As was apparent in the global
621 production results (Section 3), differences between simulations with and without CO₂

622 effects point to CO₂ responses as a major contributor to inter-GGCM spread for C3 crops
623 (particularly in the +2.0 °C World). LPJmL, in particular, shows reduced losses and
624 elevated gains for all case study crops compared to the other models, corresponding with
625 larger CO₂ responses. Median pDSSAT and local DSSAT results (which come from the
626 same underlying process model) match very closely for the Ames site, however differences
627 at Camilla and Greeley likely stem from their use of different observational datasets and
628 procedures for the configuration of cultivars and management. Local DSSAT application
629 also provides additional information on peanuts and cotton at the Camilla site (these crops
630 were not simulated by the GGCMs).

631

632

633 *5.3. Regional impact assessment case studies for +1.5 and +2.0 °C Worlds*

634 Regional implications of the +1.5 and +2.0 °C Worlds are driven by the balance of local
635 yield changes and shifting market prices, as well as policy and development trends that
636 may counteract or exacerbate impacts on farm returns. Urban populations and non-farmer
637 rural households would not benefit from rising prices for farm output, but will experience
638 the price impacts as well as disruptions in commodity supply chains. This may lead to
639 situations where farmers benefit from higher market returns even as consumers struggle to
640 cope with higher food prices; or vice versa.

641

642 In cotton-wheat systems in Punjab, Pakistan (**Figure 8**), irrigated cotton yields show strong
643 sensitivity to temperature increases that overwhelms any positive CO₂ benefit, with median
644 yield declines in both the +1.5 and +2.0 °C Worlds (14% and 19% losses, respectively; Fig.

645 8a). Wheat yields also decline, but at a lesser rate (5% and 6% losses, respectively).
646 Farmers facing falling yields see some relief in wheat prices that rise ~20% in the 2050
647 IMPACT SSP1 no mitigation simulation, and these are even higher than the global prices
648 due to demand and trade networks within South Asia. Cotton price changes are positive
649 (+5%) in the +1.5 °C World but then turn negative (-2%) in the +2.0 °C World. This turn
650 reflects higher yields in other cotton production regions which respond strongly to higher
651 CO₂ and are further from critical temperature thresholds that challenge Punjab cotton in
652 the +2.0 °C World (recall cotton projections for Camilla, Georgia; Fig. 7).
653
654 Results from the TOA-MD model help us understand ramifications of global price changes
655 and regional crop yield impacts on Punjabi cotton-wheat systems (Fig. 8b-d). The
656 percentage of vulnerable households (Fig. 8b) indicates the proportion of households that
657 are at risk of losing due to the conditions imposed by the +1.5 and +2.0 °C scenarios. A
658 median of 64% of households are vulnerable in the +1.5 °C World, driven by yield declines
659 in cotton (the critical cash crop) that outpace price increases and lead to a decrease in net
660 farm returns (-11%; Fig 8c). In the +2.0 °C World household vulnerability rises to 70%
661 and net farm returns decline further (-16%) as cotton yield declines further while cotton
662 price impacts turn negative. The percentage of vulnerable households does not reach 100%
663 as some farmers benefit from the price increase, but the climate impact scenarios raise
664 poverty rates (per capita income less than \$1.25/ day) by a median of 14% and 24% in the
665 +1.5 and +2.0 °C Worlds, respectively. Regional economic outputs (Figs. 8b-d) do not
666 benefit from the spatial and market aggregations as did global economic assessments,
667 resulting in substantial uncertainty from local climate projections manifested in crop yield

668 projections in addition to smaller effects from the suite of global price projections. The
669 Pakistani case study thus offers the perspective of a region facing acute impacts on a key
670 cash crop, underscoring the need to consider regional impacts even as global impacts may
671 appear more manageable.

672

673 The analysis of Pacific Northwest dryland wheat systems in the United States conducted
674 by Antle et al. (2018) provides an important additional perspective of policymakers
675 weighing incentives for farmer adoption of mitigation options such as those that could help
676 achieve +1.5 or +2.0 °C Worlds. Their assessments using the TOA-MD model addressed
677 three key factors facing farmers on a 2030 time horizon: (1) changes in crop prices and
678 costs of production associated with low-emissions scenarios; (2) policy incentives and
679 technology adoption for emissions reductions through soil carbon sequestration; and (3)
680 policy incentives and technology adoption for production of biofuels in a camelina
681 (*Camelina sativa*) / wheat rotation. Due to the focus on adaptation of these systems in the
682 near term, relatively small changes in crop productivity due to climate change and CO₂
683 fertilizer were found. A sensitivity analysis to crop prices, costs of production, carbon
684 prices and biofuel prices was also conducted to determine example policy incentives that
685 would attract farmer participation. Results indicated that 40% of farmers would adopt
686 given that policy incentives approximately doubled farm incomes when adopting low-
687 greenhouse gas emitting systems (aided by somewhat higher crop prices). More aggressive
688 policy incentives (carbon prices of \$75 per metric tonne of C; high biofuel crop subsidies)
689 would increase adoption to 70% and triple farm incomes. These interventions would in
690 turn reduce the net global warming potential of emissions of these systems by 20 to 35

691 percent (see Antle et al., 2018, for full details). The Pacific Northwest case study thus
692 demonstrates that mitigation policies can be quite beneficial to farmers if incentivized by
693 policymakers, although the latter must find the resources to support these incentives.

694

695 **6. Discussion**

696 AgMIP's Coordinated Global and Regional Assessments of the agricultural implications
697 of +1.5 and +2.0 °C warming provide insights into future challenges and opportunities for
698 mitigation and adaptation. This first CGRA application illustrates the potential of linked
699 models, scenarios, and case studies to provide consistent and multi-perspective insight for
700 stakeholders in the agricultural sector and beyond. Assessment of the +1.5 and +2.0 °C
701 Worlds also identified key sources of uncertainty and opportunities to improve CGRA's
702 multi-discipline, multi-scale, and multi-model analysis framework.

703

704 *6.1. Summary of findings*

705 Agriculture in the +1.5 and +2.0 °C Worlds is characterized by differential impacts across
706 regions and farming systems. This finding of differential outcomes is also projected for
707 other sectors at relatively low levels of global warming (O'Neill et al., 2017). Yields for
708 C3 crops (wheat, rice, soy) are higher in the +2.0 °C World than the +1.5 °C World while
709 C4 maize yields decline further (particularly in the tropics). Temperature, precipitation,
710 and yield changes can be acute for specific regional cereal systems, but on aggregate the
711 detrimental effects of increasing temperatures are offset to an extent by the beneficial
712 impacts of elevated CO₂ (particularly for C3 crops) and direct effects are smaller than those
713 projected for RCP4.5, RCP6.0, and RCP8.5 at the end of the century (Rosenzweig et al.,
714 2014). Without CO₂ effects yields for all four cereals decline at an increasing rate with

715 global warming between the +1.5 and +2.0 °C Worlds, which is an important caveat given
716 continued uncertainty in CO₂ response and its influence on all aspects of this CGRA
717 assessment. A similar production improvement between the +1.5 and +2.0 °C Worlds was
718 also attributed to CO₂ effects by Ren et al. (2018), who further break down regional impacts
719 in a single climate model analysis.

720

721 Projected production changes alter prices and increase land use and agricultural expansion
722 pressures even as international trade and crop substitution effects buffer the deepest
723 impacts. Global changes mask starker contrasts in outcomes at a regional scale, as yield
724 changes often outpace cereal price changes as was shown to negatively affect cotton-wheat
725 systems in Pakistan. Yields on a cross-section of US sites show both positive and negative
726 outcomes, but also highlight crop model uncertainty in field configuration and the extent
727 of CO₂ benefit. A hypothetical +2.0 °C World mitigation scenario simulated by the FARM
728 model would be quite disruptive in the agricultural sector, as dramatic expansion of
729 bioenergy land use comes at the expense of croplands and grasslands, thereby raising crop
730 prices beyond the impacts of direct climate impacts alone (an effect that would be even
731 larger to meet the +1.5 °C global constraint). In contrast, analysis of wheat systems in the
732 northwestern United States provides an example where farmers gain substantially from
733 climate policies and price increases that incentivize carbon sequestration and biofuel
734 production.

735

736

737 *6.2. Priorities for future development*

738 The Paris Agreement challenged society to limit global climate changes to a level that
739 would minimize damages and be close enough to current conditions to facilitate practical
740 adaptations. These targeted climate stabilizations therefore feature climate changes that
741 are quite small compared to the higher RCPs and end-of-century conditions examined in
742 previous assessments, leaving direct impact uncertainties among models (climate, crop,
743 and economics) that are comparable in many cases to the magnitude of overall projected
744 changes and the difference between stabilization Worlds (recall Figs. 4 and 6). Field
745 experiments of fundamental biophysical responses and global datasets of agricultural
746 management continue to be bottlenecks holding back model development (Jones et al.,
747 2017; Porter et al., 2017). Improvement of CO₂ response is particularly critical given that
748 this uncertainty has the potential to shift the sign of global production changes with far-
749 ranging repercussions. Global and regional economic impacts are likely sensitive to the
750 time horizon of climate stabilization, which was set at 2050 here but could be explored in
751 different years given uncertainty in climate sensitivity and emissions policy (Ruane et al.,
752 2018; Rosenzweig et al., 2018). Future CGRA applications would also benefit from more
753 direct coupling of models to examine feedback loops, the establishment of commodity-
754 based modeling networks (e.g., Asseng et al., 2015) and regional communities of modelers
755 (e.g., Kollas et al., 2015), and the configuration of additional regional integrated
756 assessments linking climate, crop, economics, and stakeholders examining regional
757 vulnerability and options for adaptation and mitigation (such as was utilized in Pakistan
758 and the Pacific Northwest).

759

760 The CGRA framework could also be used in collaboration with the broader integrated
761 assessment modeling community to evaluate the food-energy-water nexus under specific
762 future pathways defined by SSPs, RAPs, and policy trajectories. These could include the
763 Paris Agreement's Nationally-Determined Commitments (NDCs) or policies oriented
764 toward achieving the Sustainable Development Goals (UN, 2015) (Ruane et al., 2017).
765 CGRA evaluation of mitigation strategies on the global (IMPACT and FARM) and
766 regional (Pacific NW incentives) levels demonstrate the importance of continued
767 identification and evaluation of a broad portfolio of mitigation strategies (and the need to
768 facilitate consistent multi-model mitigation assessments). These include mitigation
769 oriented toward both production and consumption, for example the climate-smart
770 intensification of current agricultural lands, alternative dietary pathways, land-use
771 restrictions, and approaches for bioenergy with carbon capture and storage (BECCS) and
772 associated policy incentives. These mitigation options must also consider the perspective
773 of farmers, agricultural stakeholders, and policymakers in countries where agriculture
774 remains a major portion of gross domestic product and those regions with high land and
775 water resource competition.

776

777

778 **Acknowledgements**

779 We appreciate the efforts of Dann Mitchell, Myles Allen, Peter Uhe, Mamunur Rashid,
780 Carl-Friedrich Schleussner to process and make HAPPI data available for CGRA analyses.
781 Development of the Coordinated Global and Regional Assessments concept was aided by
782 many AgMIP leaders, in particular Petr Havlik, Hugo Valin, and Ghassem Asrar. Regional

783 analyses relied on preliminary and ongoing work for Pakistan (led by Ashfaq Ahmad
784 Chattha and Mohammed Ashfaq), Senegal (Dilys MacCarthy and Ibrahima Hathie), and
785 the Pacific Northwest (Claudio Stockle). Authors contributing to this report were
786 supported by the National Aeronautics and Space Agency Science Mission Directorate
787 (WBS 281945.02.03.06.79) and the US Department of Agriculture (USDA OCE grant 58-
788 0111-16-010). IMPACT model results were supported by funding from the CGIAR
789 Research Program on Policies, Institutions, and Markets (PIM), the CGIAR Research
790 Program on Climate Change, Agriculture and Food Security (CCAFS), the Bill and
791 Melinda Gates Foundation, and the US Department of Agriculture. Greg Repucci played
792 an integral role in the preparation of figure graphics, and we thank Carolyn Mutter for
793 important guidance in designing applications that could bridge disciplinary boundaries.
794 Results reflect the findings of the authors and do not necessarily represent the views of the
795 sponsoring agencies.

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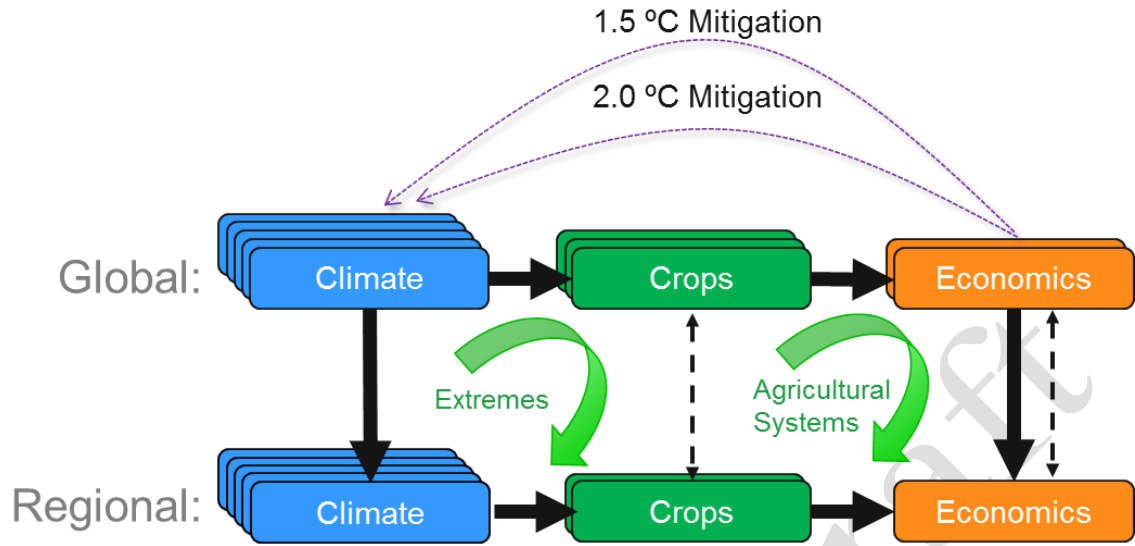
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Tables and Figures

Table 1: Overview of models used in CGRA +1.5 and +2.0 °C World framework. CGRA processed global climate model outputs provided by HAPPI into agricultural model input scenarios for global and local crop models.

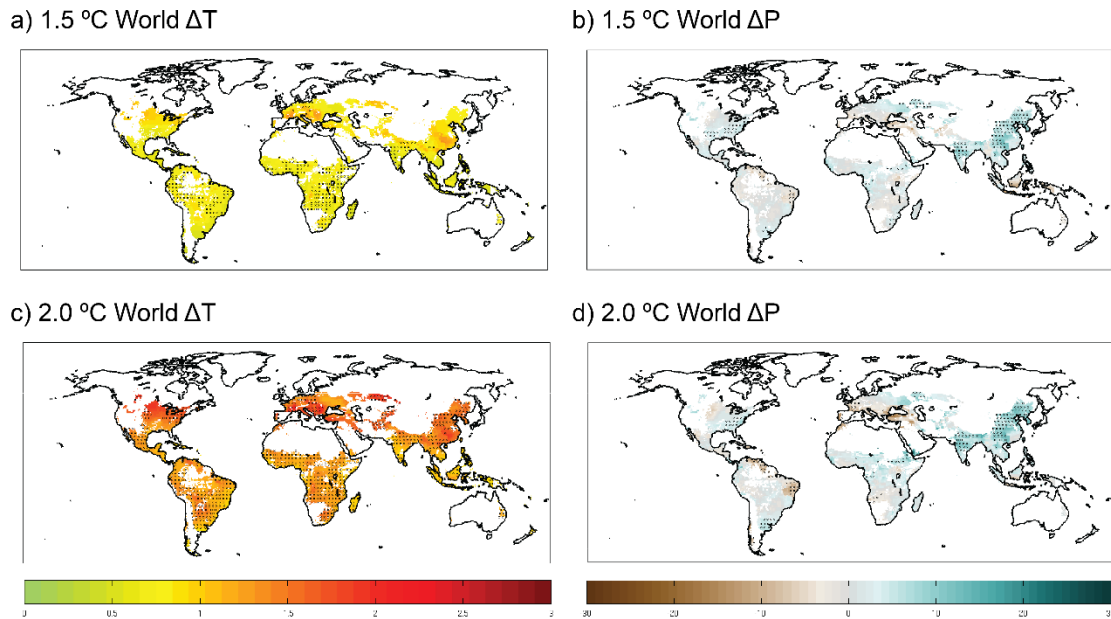
| # | Model (and key references) | Scale | Discipline | Inputs from: | Outputs go to rows: | Notes |
|----|--|----------------|-------------------------------------|--------------|---------------------|--|
| 1 | CanAM4 (von Salzen et al., 2013) | Global + Local | Climate | HAPPI | 6-9 | Climate conditions provided as monthly statistics from multi-member global ensemble, aggregated to seasonal changes for GGCM applications (#6-8) or combined with local weather observations for local crop model applications (#9). Simulated 2010 conditions and scenarios for +1.5 and +2.0 °C Worlds. |
| 2 | CAM4-2degrees (Neale et al., 2014) | Global + Local | Climate | HAPPI | 6-9 | |
| 3 | HadAM3P (Massey et al., 2014) | Global + Local | Climate | HAPPI | 6-9 | |
| 4 | MIROC5 (Shiogama et al., 2014) | Global + Local | Climate | HAPPI | 6-9 | |
| 5 | NorESM1 (Iverson et al., 2013) | Global + Local | Climate | HAPPI | 6-9 | |
| 6 | pDSSAT (Elliott et al., 2014) | Global | Crops (site-based process model) | 1-5 | 11-12 | Global gridded version of DSSAT. Future yields linearly interpolated between sensitivity test conditions. Run with and without CO ₂ effects. |
| 7 | LPJmL (von Bloh et al. 2017) | Global | Crops (ecosystem model) | 1-5 | 11-12 | Future yields linearly interpolated between sensitivity test conditions. Run with and without CO ₂ effects. |
| 8 | GEPIC (Folberth et al., 2012) | Global | Crops (site-based process model) | 1-5 | 11-12 | Global gridded version of EPIC. Future yields emulated according to quadratic parameters fit to sensitivity test outputs. Run with and without CO ₂ effects. |
| 9 | DSSAT (Hoogenboom et al., 2015) | Local | Crops | 1-5 | 13 | Incorporates representative agricultural pathway (RAP) to represent future system management. Run with and without CO ₂ effects. |
| 10 | DNDC (Gilhespi et al. 2014) | Local | Crops | -- | 13 | Examines direct climate impacts on 2030 time horizon and emissions from current and low-emissions management |
| 11 | IMPACT (Robinson et al., 2015) | Global | Economics | 6-8 | 13 | Utilizes SSP1 with no mitigation, comparing future with climate impacts on agriculture to counterfactual future without climate impacts. Also simulated SSP2 and a mitigation scenario based on carbon prices and land-use restrictions. FARM also examined bioenergy-focused mitigation scenario for reference. |
| 12 | FARM (Sands et al., 2014) | Global | Economics | 6-8 | 13 | |
| 13 | TOA-MD (Antle et al., 2014) | Regional | Economics | 9-11 | -- | Incorporates RAP to represent future agricultural systems, socioeconomic conditions, markets, and policies. |



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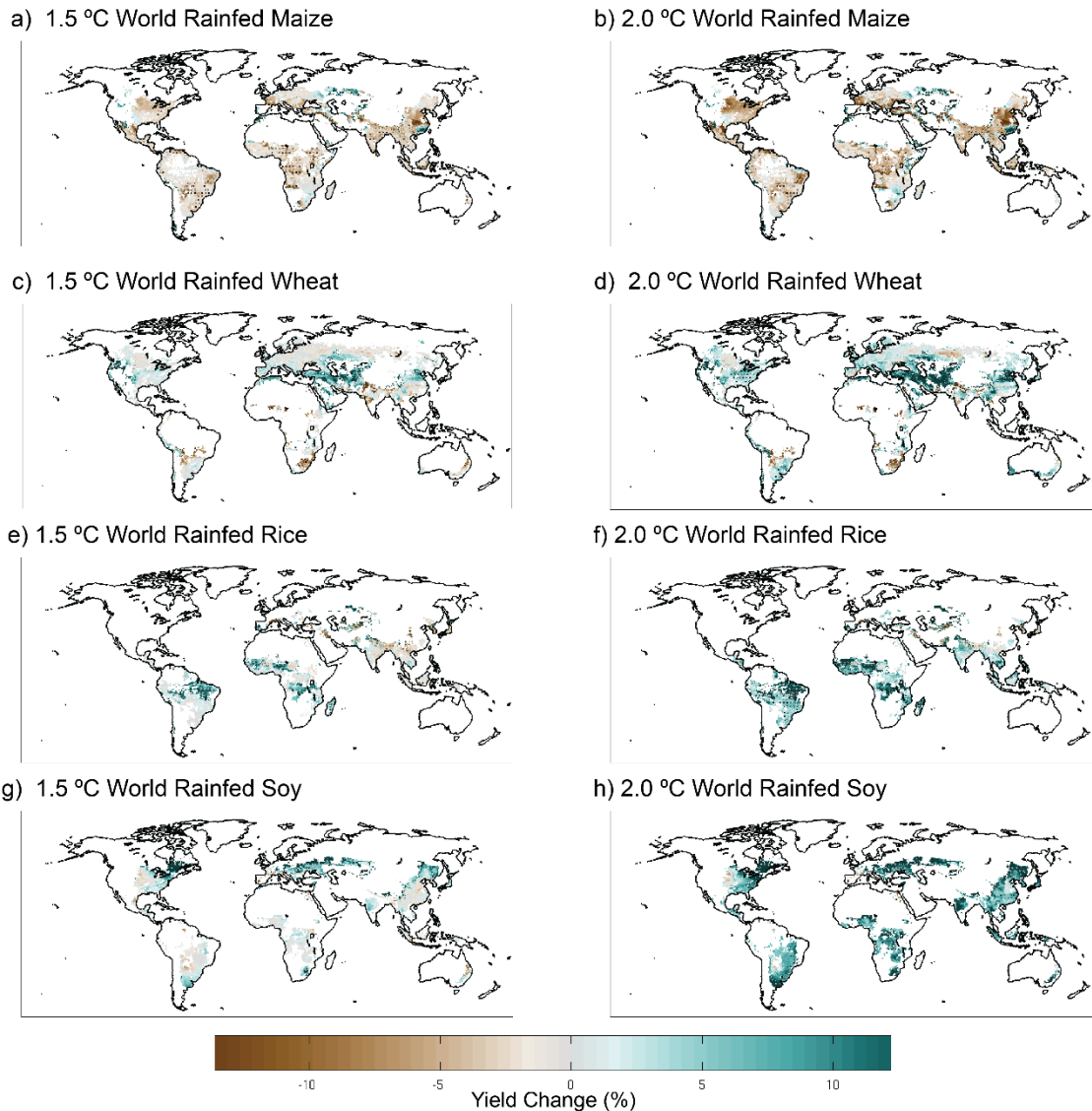
Figure 1: Schematic of Coordinated Global and Regional Assessments (CGRA) linking global and regional scales, disciplines, and multiple models with a focus on +1.5 and +2.0 °C warming worlds. Extreme events and alternative agricultural systems for adaptation and mitigation are also explored on the nexus of disciplines and scales. Solid lines indicate direct use of model outputs as inputs for successive modeling in the core CGRA application, while dashed lines indicate cross-scale comparisons enabled. Mitigation scenarios examine potential policy and socioeconomic development pathways that would limit cumulative greenhouse gas emissions and determine resulting climate stabilizations. The CGRA also enables multi-perspective analysis of the agricultural sector impacts of extreme events and the resilience of alternate future agricultural systems.

Maize Season



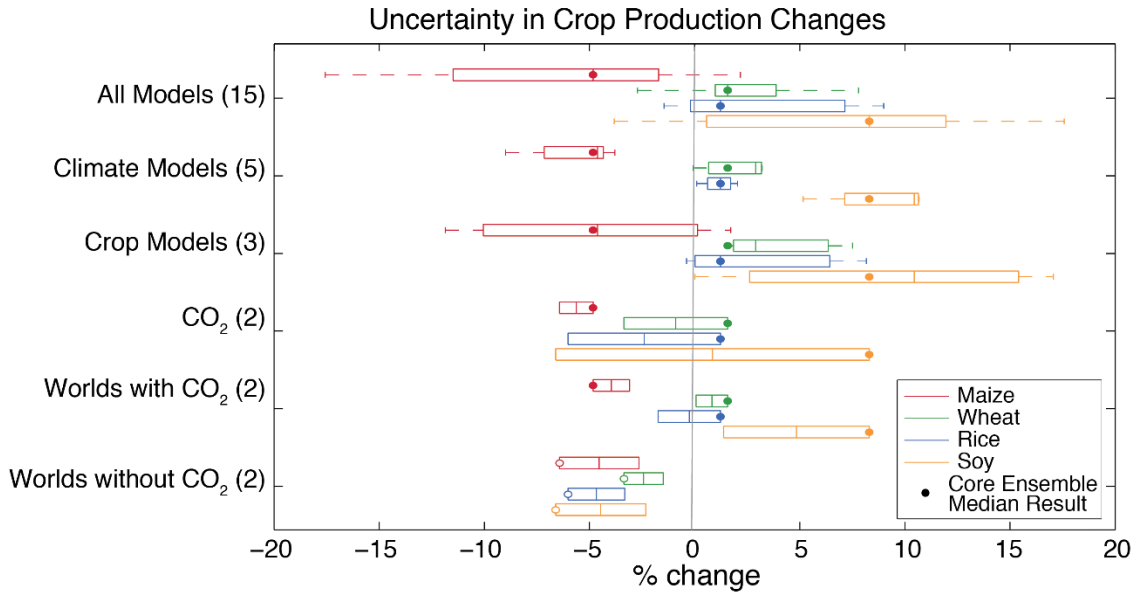
1118
1119 **Figure 2:** Rainfed maize season median temperature (a,c) and precipitation (b,d) changes
1120 for the +1.5 °C World (a,b) and +2.0 °C World (c,d); HAPPI simulations compared to
1121 current period (~2010) climate. Hatch marks for temperature indicate that median changes
1122 are larger than twice the range across GCMs and signal agreement in 4 out of the 5 HAPPI
1123 models for the direction of mean precipitation change. Scenarios were generated for all
1124 regions, but only grid cells with >10 ha are presented to highlight substantial production
1125 regions (You et al., 2014).
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Rainfed Crops

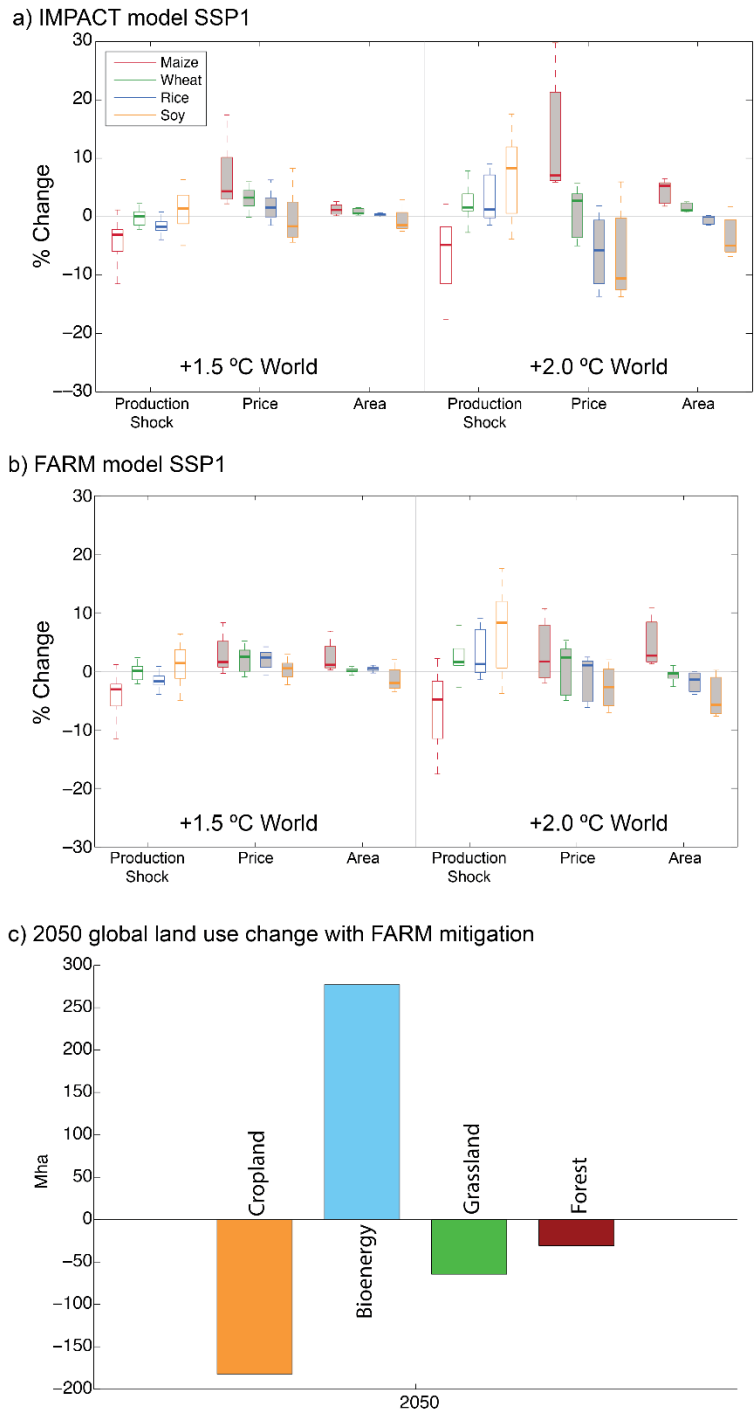


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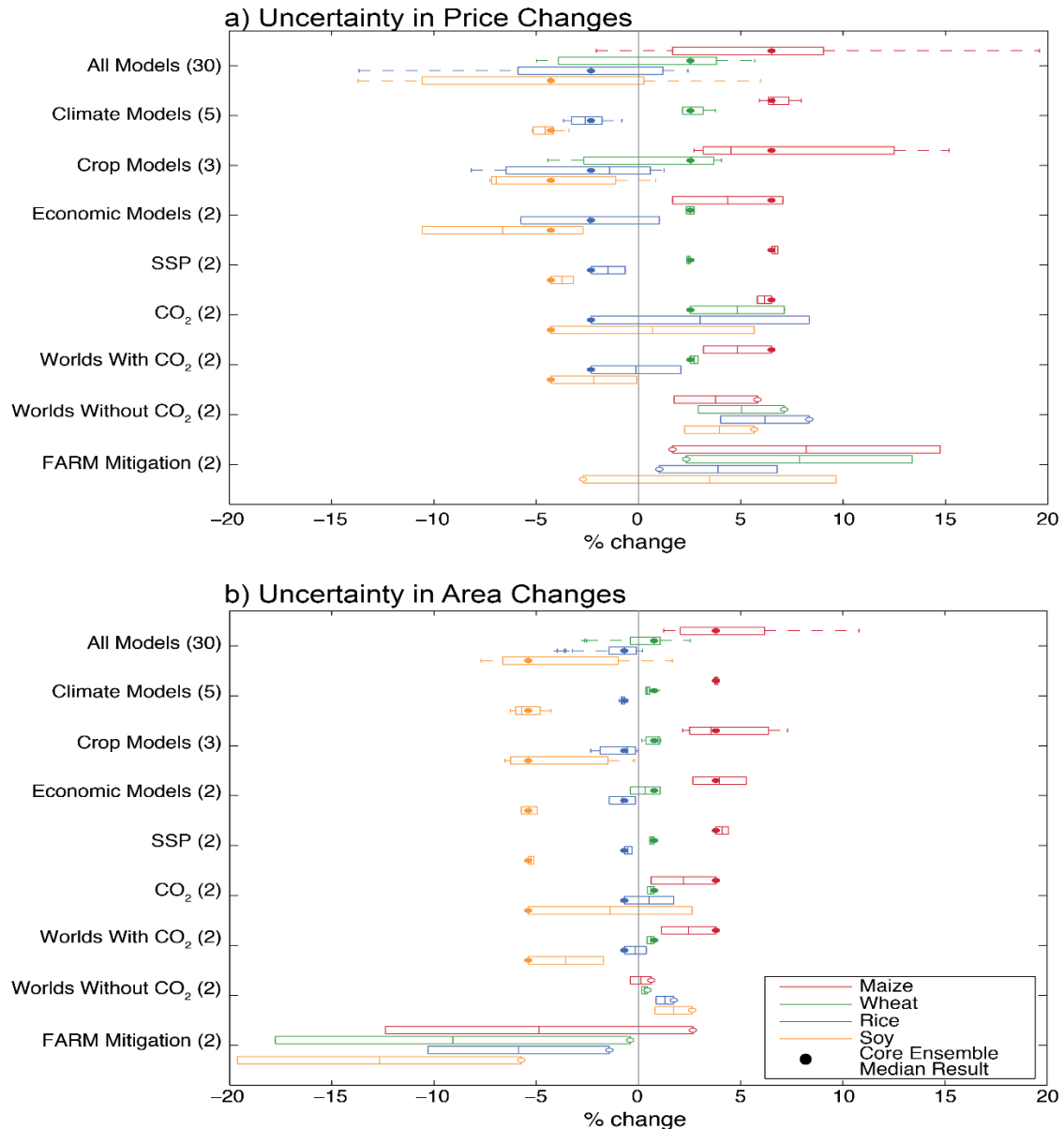
Figure 3: Median yield change projections for rainfed crops across 15 combinations of 5 HAPPI GCMs and 3 GCMs. Hatch marks indicate regions where 70% of simulations agree on the direction of change. Projections include CO₂ benefits at 423ppm and 487ppm, respectively, for the +1.5 and +2.0 °C World.



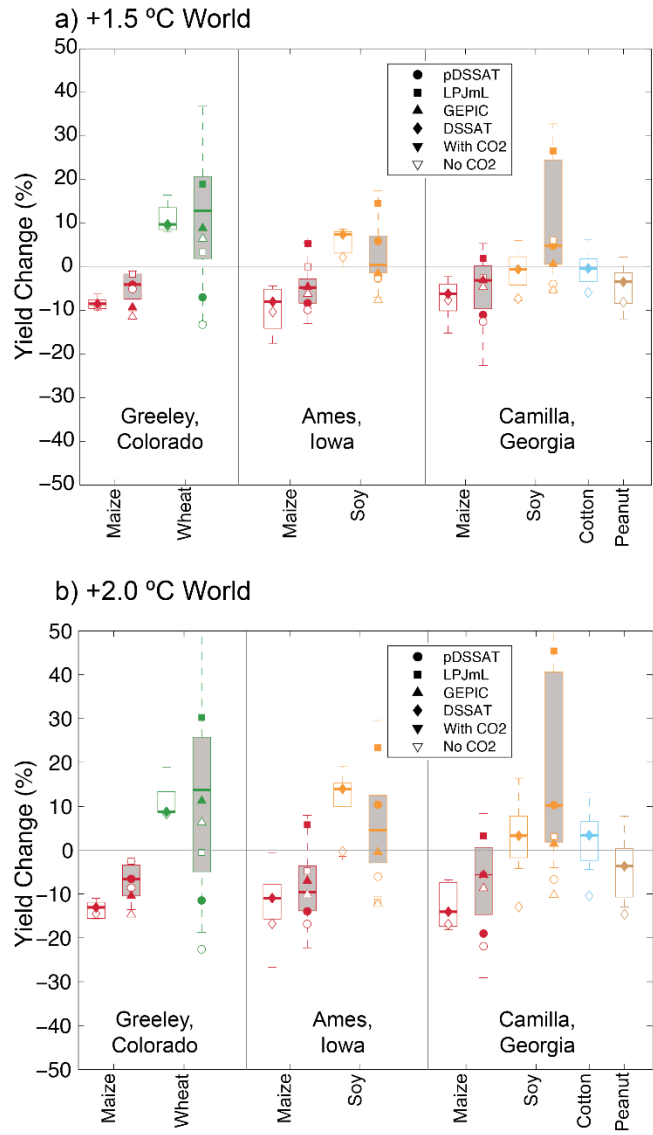
1134
 1135 **Figure 4:** Uncertainty in global production change projections for the +2.0 °C World for
 1136 maize, wheat, rice, and soy owing to global climate models (GCMs) and global gridded
 1137 crop models (GGCMs) with CO₂ effects simulated. Dots indicate median production
 1138 change from the core ensemble of all 15 GCMxGGCM combinations for each crop. For
 1139 example, the GCMs row shows the median of the 3 GGCMs for each of the 5 HAPPI
 1140 GCMs, allowing an isolation of uncertainty from the climate model dimension. The effect
 1141 of simulating CO₂ effects is presented by comparing the median of all GCMxGGCM
 1142 combinations with CO₂ concentrations consistent with the +2.0 °C World (487ppm) vs. the
 1143 median of all GCMxGGCM combinations holding CO₂ at current World levels (390ppm).
 1144 For reference, the ‘Worlds’ rows present median changes in +1.5 and +2.0 °C World
 1145 production totals (across all GCMxGGCM combinations) both with and without the
 1146 simulated effects of elevated CO₂ (empty dots show the corresponding reference median
 1147 of the +2.0 °C World without CO₂ effects). Production estimates generated by aggregating
 1148 yield changes across year 2050 crop areas (You et al., 2014). Box-and-whiskers
 1149 summarize the each row’s ensemble (number of results listed in the y-axis label), including
 1150 the median change (vertical line), interquartile range (edge of box), and whiskers extending
 1151 to the last point within an additional 1.5 times the interquartile range. Note that these
 1152 production changes are the exogenous input for economic models, which may alter the
 1153 distribution of agricultural areas endogenously in response to price and demand changes.
 1154



1155
 1156 **Figure 5:** Summary of global economic model simulations under +1.5 and +2.0 °C Worlds
 1157 for the (a) IMPACT model and (b,c) FARM Model. (a,b) Production (from GCMs) as
 1158 well as area and price shifts (from economic model) for major cereals under an SSP1 no-
 1159 mitigation scenario with direct climate impacts on global production including CO₂ effects
 1160 (15 combinations from 3 GCMs and 5 GCMs). (c) Area changes for major land use types
 1161 associated with bioenergy focused mitigation scenarios for +2.0 °C World. Box-and-
 1162 whiskers as described in Figure 4.

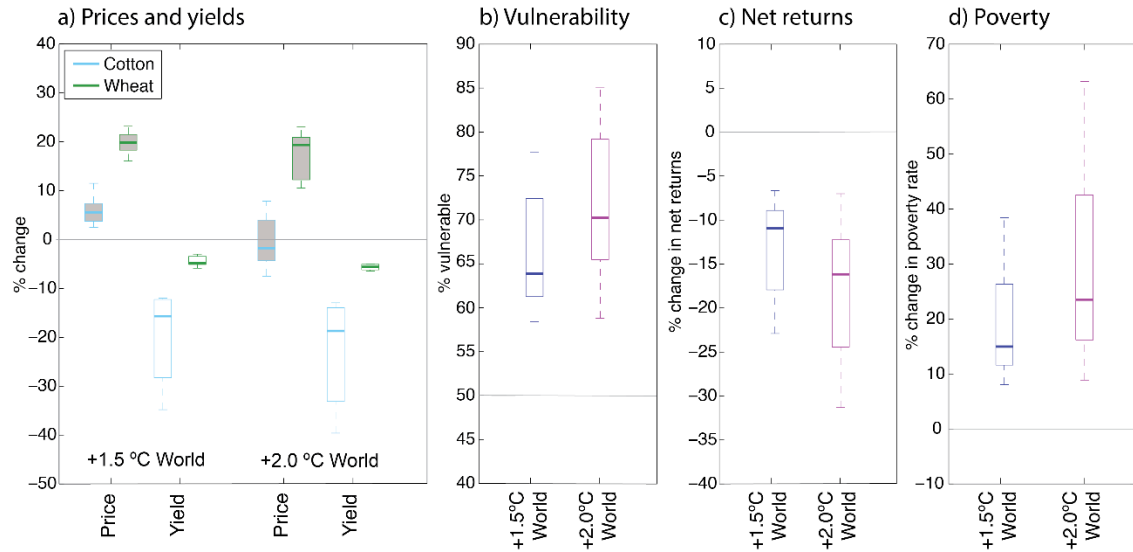


1163
 1164 **Figure 6:** Uncertainty in a) global prices and b) global cultivated area for maize, wheat,
 1165 rice, and soy in the +2.0 °C World with CO₂ effects, SSP1, and no mitigation. Rows 2-4
 1166 indicate uncertainty in isolated dimensions expressed as the range in the median of the
 1167 other dimensions of the core model ensemble (total of 5 GCMs x 3 GGCMs x 2 economic
 1168 models). The ‘CO₂’ row shows difference between median crop production estimates in
 1169 the +2.0 °C World with and without CO₂ impacts; ‘SSP’ row shows difference between
 1170 median of SSP1 and SSP2; ‘Worlds’ rows show the median price and area changes of the
 1171 +1.5 and +2.0 °C Worlds with and without the effects of CO₂; ‘FARM Mitigation’ row
 1172 shows difference between median simulations with direct climate impacts only and those
 1173 that also include the carbon price-based mitigation scenario. Filled dots show core
 1174 ensemble median for each crop, while empty dots in the last two rows represent the
 1175 reference +2C world without CO₂ and the +2.0 °C world from the FARM model,
 1176 respectively. Box-and-whiskers as described in Figure 4.



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Figure 7: Overview of regional crop modeling results for case studies in the United States for the (a) +1.5 °C World and (b) +2.0 °C World. Local DSSAT results (across 5 HAPPI GCMs) presented as unfilled box-and-whiskers, while filled box-and-whiskers show corresponding GGCM results under the same irrigation scheme. Symbols mark the median change for each GGCM (across 5 HAPPI GCMs), with filled symbols including CO₂ effects and unfilled symbols using constant CO₂ (no simulated benefit from CO₂). Note that DSSAT results are a blend of 3 rainfed and 3 irrigated treatments for Camilla, while only rainfed GGCM results are presented.



1188
 1189 **Figure 8:** Summary of economic impacts for cotton-wheat systems in Punjab, Pakistan. a)
 1190 IMPACT SSP1 no mitigation Pakistani price and DSSAT yield changes for 2050 climate
 1191 stabilizations that drive household economic simulations; b) percentage of farm households
 1192 that are vulnerable under both the +1.5 and +2.0 °C World scenarios; c) percentage change
 1193 in net farm returns; d) percentage change in poverty rate (per capita income less than \$1.25
 1194 /day; as compared to reference SSP1/RAP rate of 8.2% in 2050). Box-and-whiskers show
 1195 household economic projections combining 15 IMPACT simulations with different GCM
 1196 x GGCM combinations combined with corresponding DSSAT yield changes from 5
 1197 GCMs.
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 1199

1200 **Global and Regional Agricultural Implications of +1.5 and +2.0 °C Global Warming**
1201 **Supplemental Material**

1202
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1228 **S1. GGCM Yield emulation.**

1229

1230 GGCM Phase 2 requested 756 unique combinations of imposed CO₂, temperature, water,

1231 and nitrogen changes under the no-adaptation case used in this study, with each simulating

1232 the 1980-2009 (30-year) period across the entire globe for maize, wheat, rice, and soy

1233 (Table S1).

1234

1235 **Table S1:** GGCM sensitivity tests for carbon dioxide [CO₂], temperature change (ΔT),
 1236 precipitation change (or change in water; ΔW), and nitrogen fertilizer (N). Conditions
 1237 imposed upon 1980-2009 climate data, current cultivars and farm management.

| Change Factor | Sensitivity Test Levels |
|--------------------|--|
| [CO ₂] | 360, 510, 660, 810 ppm |
| ΔT | -1, 0, +1, +2, +3, +4, +6 °C |
| ΔW | -50, -30, -20, -10 0, +10, +20, +30%, plus full irrigation |
| N | 10, 60, 200 kg/ha |

1238

1239 pDSSAT and LPJmL provided all combinations of the simulation, allowing for a simple

1240 linear interpolation of yield levels when the HAPPI scenario fell between directly

1241 simulated yield levels. Responses are non-linear across the full range of sensitivity tests;

1242 however differences between particular sensitivity tests are approximately linear. Nitrogen

1243 levels were held constant at current period levels reflecting the high use of fertilizers in

1244 North America, Europe, and East Asia compared to lower levels in Latin America and

1245 many parts of the developing world. The GEPIC model provided a subset of these

1246 simulations (480 sensitivity test combinations), and thus projections were enabled by the

1247 use of a mean crop yield emulator:

1248

$$1249 Y = a + b[\text{CO}_2] + c(\Delta T) + d(\Delta W) + eN + f[\text{CO}_2]^2 + g(T)^2 + h(\Delta W)^2 + iN^2$$

$$1250 + j[\text{CO}_2](\Delta T) + k[\text{CO}_2](\Delta W) + l[\text{CO}_2]N + m(\Delta T)(\Delta W) + n(\Delta T)N + o(\Delta W)N \quad (\text{Eqn. 1})$$

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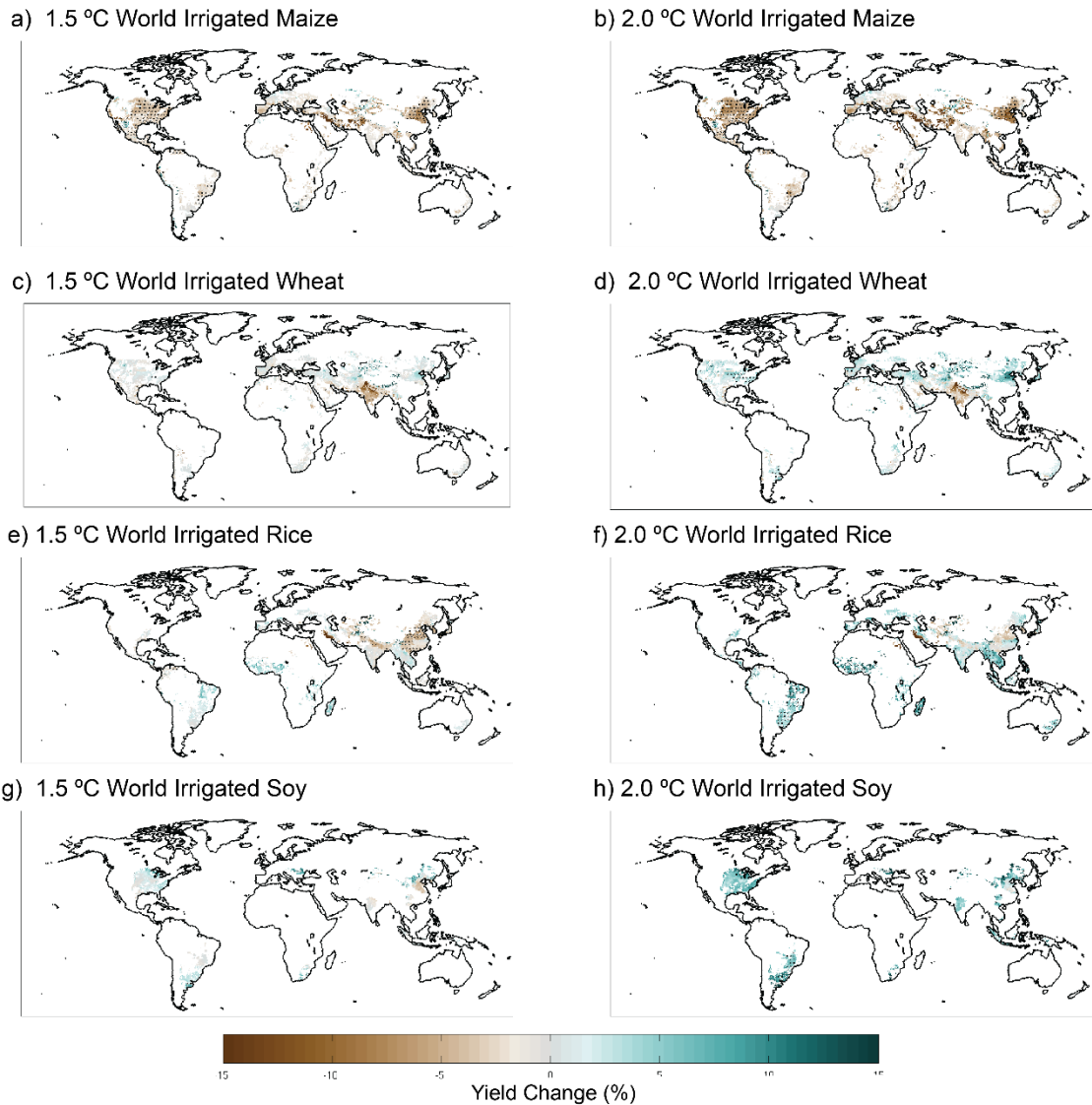
1252 (a-o) are fit to mean 30-year yields for the 480 GEPIC simulations for each grid cell and
1253 crop type. This simplified emulator captures the core system behaviors within the climate
1254 change space evaluated. McDermid et al. (2015) found that similar emulators fit to point-
1255 based crop models in the AgMIP Coordinated Climate-Crop Modeling Project (C3MP;
1256 Ruane et al., 2014) have low root mean-squared error and high correlations with directly
1257 simulated output, although they are likely somewhat conservative in extreme climate
1258 changes (e.g., +6 °C and -50% rainfall). +1.5 and +2.0 °C Worlds projections rarely extend
1259 into these conditions over major agricultural areas. The development of crop yield
1260 emulators is a priority of GGCM and many application communities.

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Accepted Draft

Irrigated Crops



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Figure S1: Median yield change projections for irrigated crops across 15 combinations of 5 HAPPI GCMs and 3 GGCMs. Hatch marks indicate regions where 70% of simulations agree on the direction of change. Projections include CO₂ benefits at 423ppm and 487ppm, respectively, for the +1.5 and +2.0 °C World.