

Evaluation of a general circulation model by the CERES Flux-by-cloud type simulator

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Key Points:

- The CERES FluxByCloudTyp data product assigns TOA fluxes to cloud types that are defined by cloud optical depth and cloud top pressure.
- The CERES Flux-by-cloud type simulator is used to assign subgrid-scale fluxes to GCM grid cells.

19 In this work, we use the Clouds and the Earth's Radiant Energy System (CERES)
20 FluxByCloudType data product, which calculates TOA shortwave and longwave fluxes for cloud
21 categories defined by cloud optical depth (τ) and cloud top pressure (p_c), to evaluate the
22 HadGEM2-A model with a simulator. The CERES Flux-by-cloud type simulator is comprised of
23 a cloud generator that produces subcolumns with profiles of binary cloud fraction, a cloud
24 property simulator that determines the (τ, p_c) cloud type for each subcolumn, and a radiative
25 transfer model that calculates TOA fluxes. The identification of duplicate atmospheric profiles
26 reduces the number of radiative transfer calculations required by approximately 97.6%. In the
27 Southern Great Plains region in JFD (January, February, and December) 2008, the simulator
28 shows that simulated cloud tops are higher in altitude than observed, but also have higher values
29 of OLR than observed, leading to a compensating error that results in an average value of OLR
30 that is close to observed. When the simulator is applied to the Southeast Pacific stratocumulus
31 region in JJA 2008, the simulated cloud tops are primarily low in altitude; however, the clouds
32 tend to be less numerous, and have higher optical depths than are observed. In addition to the
33 increase in albedo that comes from having too many clouds with higher optical depth, the
34 HadGEM2-A albedo is higher than observed for those cloud types that occur most frequently.
35 The simulator is also applied to the entire 60° N – 60° S region, and it is found that there are
36 fewer clouds than observed for most cloud types, but there are also higher albedos for most cloud
37 types, which represents a compensating error in terms of the shortwave radiative budget.

38 **1 Introduction**

39 Traditionally, general circulation models (GCMs) have been evaluated using gridded,
40 monthly-averaged quantities such as cloud cover, top-of-atmosphere (TOA) outgoing longwave
41 radiation (OLR), and shortwave albedo. While these evaluations have led to many model
42 improvements, there can be compensating errors (particularly with radiative quantities) that
43 combine to produce a result that is close to observed. One example of this is that in
44 stratocumulus regions, some GCMs simulate clouds which have too little areal coverage but are
45 also too bright, combining to produce a relatively small bias in the shortwave energy budget.

46 Recently, instrument simulators have been developed to help evaluate GCMs. These
47 simulators are meant to emulate what a remote sensing instrument would measure and/or retrieve
48 as it travels over a model atmosphere. Examples of these simulators are included in the CFMIP
49 (Cloud Feedback Model Intercomparison Project) Observation Simulator Package (COSP;
50 *Bodas-Salcedo et al.* [2011]). Within COSP, there are simulators of the International Satellite
51 Cloud Climatology Project (ISCCP; *Klein and Jakob* [1999]) product, CloudSat radar
52 reflectivities [*Haynes et al.*, 2007], the Cloud-Aerosol Lidar with Orthogonal Polarization
53 [*Chepfer et al.*, 2008], and the Moderate Resolution Imaging Spectroradiometer (MODIS; *Pincus*
54 *et al.* [2012]).

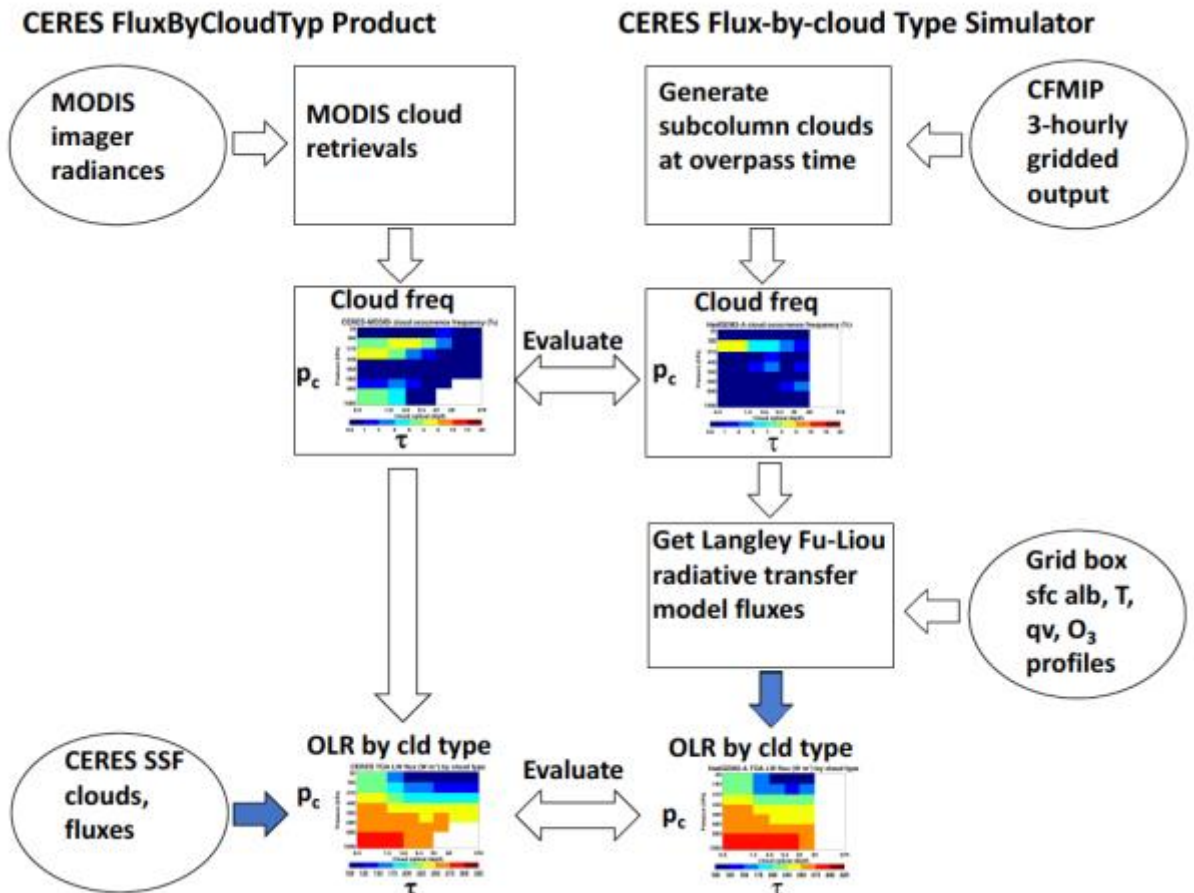
55 Although there are now many ways to evaluate GCMs, the CERES Flux-by-Cloud Type
56 Simulator that will be described in this study has the potential to offer additional insight. First,
57 the cloud frequencies and fluxes are matched within 1.5 hours to the closest CERES overpass
58 (assuming 3-hourly model output is available). This is important because there are large diurnal
59 cycles in cloud fraction, cloud top pressure (p_c) and cloud optical depth (τ), in many locations
60 (e.g., [*Burleyson and Yuter*, 2015]; [*Wood et al.*, 2002]). Second, calculating the fluxes by cloud

61 type can help isolate physical parameterizations that are problematic (e.g., convective clouds,
62 boundary-layer parameterizations, or processes involving surface albedo), and also provide a test
63 for updated parameterizations. Third, having the radiative properties for each (τ , p_c) cloud type
64 provides more information than simply knowing the cloud frequencies alone, since the albedo
65 and OLR can vary significantly within a cloud type (see [Hartmann *et al.*, 2001]; [Zelinka *et al.*,
66 2012]). Finally, model evaluations that use the CERES Flux-by-Cloud Type Simulator (hereafter
67 abbreviated as FBCTSim) and the CERES FluxByCloudTyp data product (hereafter abbreviated
68 as FBCTObs), when combined with cloud frequency of occurrence, can help determine whether
69 an unrealistically small or large occurrence of a given cloud type results in a significant radiative
70 impact for a given region.

71 The FBCTSim shares some broad similarities with the work of *Cole et al.* [2011]. They
72 used a cloud generator and the Monte Carlo independent column approximation (McICA; *Pincus*
73 *et al.*, 2003; *Räisänen and Barker*, 2004) to calculate TOA shortwave and longwave fluxes along
74 the *Terra* satellite path, and compare them to CERES SSF (Single Scanner Footprint)
75 observations. While the FBCTSim also uses a cloud generator, the radiative transfer model it
76 uses is designed to provide accurate flux calculations for individual atmospheric profiles, while
77 McICA produces substantial random errors for individual profiles (but very small biases when
78 many profiles are used) with its flux calculations [*Pincus et al.*, 2003].

79 Cloud radiative kernels have been used by *Zelinka et al.* [2012] to calculate how
80 shortwave and longwave cloud feedbacks change with the cloud fraction of each ISCCP cloud
81 type. In the course of this analysis, they compute the TOA fluxes for each cloud type based on an
82 average of the fluxes calculated at the four (τ , p_c) corners of each bin. The impact of this
83 assumption on estimated cloud feedbacks is quantified in *Zelinka et al.* [2012]. In this work, the
84 fluxes within each bin correspond to the distributions of (τ , p_c) within each bin for both
85 FBCTObs and FBCTSim.

86 This paper introduces both the CERES FluxByCloudTyp data product and the CERES
87 Flux-by-Cloud Type simulator. A simplified view of the inputs (represented by ellipses) and
88 processes (represented by rectangles) involved in both the data product and simulator is shown in
89 Figure 1. For the FBCTObs, we begin with MODIS imager radiances, which are used to derive
90 CERES-MODIS cloud property retrievals [*Minnis et al.*, 2011]. Two of these properties are
91 cloud top pressure and cloud optical depth, which can be used to form a histogram of cloud
92 frequency, similar to those seen using the ISCCP data set [*Rossow and Schiffer*, 1999]. Then the
93 TOA fluxes and cloud properties from the CERES SSF data product are combined to produce
94 TOA fluxes by cloud type (see Section 2.1 for details).



95
 96 **Figure 1.** Schematic diagram of processes involved in producing the CERES FluxByCloudTyp
 97 data product (left side of diagram) and the CERES Flux-by-cloud type simulator (right side of
 98 diagram).

99

100 For the FBCTSim, the initial input is CFMIP (Cloud Feedback Model Intercomparison
 101 Project) 3-hourly gridded output. If a given GCM grid cell has a satellite overpass within 1.5
 102 hours of the output time, subcolumns are produced using SCOPS (Subgrid Cloud Overlap Profile
 103 Sampler; [Klein and Jakob, 1999]). SCOPS uses the model's overlap assumption and grid-mean
 104 vertical profile of cloud fraction and optical depth to generate subcolumn profiles of binary (0.0
 105 or 1.0) cloud fraction, with the model's cloud-mean optical depth assigned to each level with a
 106 cloud. In some models, the cloud fraction is split between stratiform and convective clouds, and
 107 SCOPS returns a trinary (0.0, 1.0, or 2.0) result, and the relevant stratiform cloud-mean or
 108 convective cloud-mean optical depth is assigned at each level with a 1.0 or 2.0, respectively.
 109 These subcolumn properties are run through the MODIS simulator (see section 3 and [Pincus et
 110 al., 2012]), providing a histogram with frequencies of occurrence for each (τ, p_c) cloud category.
 111 This histogram from the MODIS simulator can be compared to the cloud frequency histogram
 112 from the FBCTObs product. Additional grid-scale output (e.g., surface albedo; profiles of
 113 temperature, water vapor, cloud phase, cloud particle size and ozone) are combined with the
 114 cloud subcolumns as inputs to the Langley Fu-Liou radiative transfer model (see section 3),

115 which calculates TOA LW and SW fluxes. The average fluxes are calculated for each cloud type,
116 and they can then be compared to the FBCTObs observations.

117 **2 Data Sources**

118 2.1. CERES FluxByCloudTyp Product

119 The CERES FluxByCloudTyp single satellite daily file product is a gridded ($1^\circ \times 1^\circ$),
120 instantaneous product that uses the CERES-MODIS cloud retrievals and CERES TOA fluxes to
121 derive fluxes for each (τ, p_c) cloud type along either the *Terra* or *Aqua* orbit. As outlined in
122 *Minnis et al.* [2011], the CERES SSF product includes information about properties for up to two
123 cloud levels and the clear portion (if any) within each CERES footprint. In cases where there is a
124 single cloud level or the footprint is entirely clear, the FBCTObs fluxes assigned to the footprint
125 are the same as those in the SSF data product. For footprints with multiple cloud types, the
126 average narrowband MODIS radiance is converted to a broadband radiance using a narrowband-
127 to-broadband regression for each cloud type using a method similar to [*Loeb et al.*, 2009]. The
128 broadband radiance is then converted to an estimated TOA flux for a given cloud type
129 ($F_{FBCTO}(\tau, p_c)$) using CERES angular distribution models [*Loeb et al.*, 2005]. The sub-footprint
130 fluxes from the different cloud types are then normalized so that their average equals the CERES
131 SSF TOA flux, as shown below.

$$132 \quad F_{FBCTO}^n(\tau, p_c) = \frac{\overline{F_{SSF}}}{\overline{F_{FBCTO}}} F_{FBCTO}(\tau, p_c)$$

133 Here, $\overline{F_{SSF}}$ is the footprint-level CERES SSF TOA flux, and $\overline{F_{FBCTO}}$ is the
134 FluxByCloudTyp (FBCT) footprint-mean flux averaged over the cloud types within the
135 footprint. The flux for a particular (τ, p_c) cloud type is denoted by $F_{FBCTO}(\tau, p_c)$ prior to
136 normalization and $F_{FBCTO}^n(\tau, p_c)$ after normalization.

137 Note that the results shown in this work from the FBCTObs product are produced with a
138 preliminary version of the product that uses Edition 3 of the CERES SSF product. A publicly-
139 available version of the FBCTObs product based on Edition 4 of the CERES SSF product is
140 expected in late 2017.

141 2.2. HadGEM2-A Model

142 The HadGEM2 family of models is described in *Martin et al.* [2011]; also see *Martin et*
143 *al.* [2006, 2010]. The HadGEM2-A model is an “atmosphere-only” configuration with prescribed
144 sea surface temperatures (SSTs). The HadGEM2-A output that is evaluated here is a year of
145 Atmospheric Model Intercomparison Project (AMIP)-style output, with many fields available at
146 3-hourly intervals. This output was obtained from the CMIP5/CFMIP-2 (Coupled Model
147 Intercomparison Project Phase 5/Cloud Feedback Model Intercomparison Project 2) archive,
148 which contained relatively few models that contained the cloud and atmosphere data necessary to
149 run the simulator. The HadGEM2-A OLR and incoming solar radiation fields that were in the

150 archive are not consistent with the instantaneous output from the radiation scheme, and were
151 replaced with appropriate values provided by A. Bodas-Salcedo.

152 There are 38 vertical levels used in the model, with a coordinate system that is height-
153 based in the free atmosphere, and terrain-following near the lower boundary. The vertical
154 coordinate has higher resolution near the surface and a model top near 40 km [Martin *et al.*,
155 2011]. The horizontal grid resolution is 1.875° in the zonal direction and 1.25° in the meridional
156 direction.

157 We will be looking at 3-month seasonal aggregates of data to compare the HadGEM2-A
158 output with the CERES FBCT data product. Three of the seasons (MAM, JJA, and SON) are
159 self-explanatory, but the winter season is denoted by JFD to indicate that the months used are
160 January, February and December of 2008. The three-hourly cloud output necessary for this study
161 was only available for calendar year 2008. Note that monthly-mean aerosol optical depths were
162 only available through November 2008, so December 2007 aerosol optical depths were used in
163 conjunction with the other December 2008 fields. When the December 2008 validation data was
164 examined in isolation, the shortwave and longwave flux biases and RMS errors were similar to
165 those from January and February of 2008 (or the three-month JFD average shown in Section 4),
166 which indicates that using the December 2007 aerosol optical depths did not have a substantial
167 impact on the results.

168 **3. Description of CERES Flux-by-Cloud Type Simulator**

169 The first element of the FBCTSim is the cloud generator, SCOPS, which takes a grid-
170 mean profile of cloud fraction and generates subcolumns with profiles of trinary (0.0, 1.0, or 2.0)
171 SCOPS flag, consistent with the maximum-random overlap assumption used in the HadGEM2-A
172 model. In this study, the cloud generator produces 1000 subcolumns per grid cell. As noted in
173 Section 1, only those grid cells with a daytime *Aqua* satellite overpass within 1.5 hours of the
174 output time are used. When the SCOPS flag is 1.0 (stratiform) or 2.0 (convective), it is assigned
175 the grid-mean stratiform or convective optical depth at that vertical level.

176 Another component of the FBCTSim represents MODIS cloud retrievals, similar to the
177 MODIS simulator described in Pincus *et al.* [2012]. In this simulator, the vertically integrated
178 optical depth is simply the sum of the optical depths for each subcolumn. If the total optical
179 depth is less than 0.3, the column is considered clear (although these undetected clouds are
180 retained for the radiative transfer flux calculation). The cloud top pressure is determined by
181 calculating the mean extinction-weighted pressure of the cloudy portion of the atmosphere,
182 integrating downward from TOA to $\tau=1$ (or the lowest cloud base, if the total optical depth of the
183 subcolumn is less than 1). A difference between the MODIS section of the simulator and that of
184 Pincus *et al.* [2012] is that they used the ISCCP simulator to determine the cloud top pressure of
185 low clouds, while the simulator described here uses the procedure described above for all clouds.

186 When calculating fluxes, FBCTSim uses the Langley Fu-Liou radiative transfer code [Fu
187 and Liou, 1993; Kato *et al.*, 2005; Rose *et al.*, 2013]. For the purpose of FBCTSim, this code is
188 operated with direct cloud inputs, which specify the phase, cloud particle diameter or radius, and
189 optical depth for each model layer. For layers with both water and ice cloud, the phase with the
190 higher optical depth is used, and the combined (water plus ice) optical depth is used. The

191 relationship between optical depth and liquid/ice water content for a given cloud particle
192 diameter is the same that is used in the CERES-MODIS cloud retrievals.

193 Radiative transfer calculations are computationally expensive, and the cost of performing
194 1000 of them per grid cell would be prohibitive. Fortunately, because the maximum-random
195 overlap assumption is used, the actual number of distinct profiles per grid cell is approximately
196 24, when averaging over all HadGEM2-A grid cells in 2008. Note that there can be more than
197 one distinct profile with the same (τ, p_c) cloud type. These distinct profiles are identified (as well
198 as the number of subcolumns that have the same profile) and one radiative transfer calculation is
199 performed per distinct profile, causing a 97.6% decrease in the number of calculations.

200 The FBCTSim is currently run offline on GCM output rather than run simultaneously
201 within the GCM. There is a possibility of reconfiguring the code so that it runs inline, in a
202 manner similar to those in the COSP group of simulators. With the additional computational
203 expense of using an outside radiative transfer model, it may be prohibitively expensive to run the
204 FBCTSim inline for long periods of time. Another option is for a model to use its own radiative
205 transfer model on subcolumns, and in this case, the FBCTSim would be primarily used to
206 aggregate fluxes by cloud type.

207 **4. Validation**

208 We wish to evaluate the ability of FBCTSim to produce TOA radiative fluxes that are
209 similar to those produced within HadGEM2-A. First, we sum up the subcolumn fluxes calculated
210 by FBCTSim within a HadGEM2-A grid cell. The arithmetic mean of these fluxes can then be
211 compared to the TOA fluxes calculated by the HadGEM2-A model itself. SW and LW flux
212 biases and RMS differences are shown in Table 1. Here, the biases are calculated by subtracting
213 the HadGEM2-A fluxes from the Langley Fu-Liou grid-mean fluxes for each grid cell between
214 60° N and 60° S.

215 **Table 1.** Biases and RMS flux errors (W m^{-2}) associated between HadGEM2-A grid-cell fluxes
 216 and grid-cell mean fluxes from the simulator for three-month periods in 2008.

	TOA Reflected Shortwave			TOA OLR		
	Mean	Bias	RMS	Mean	LW Bias	LW RMS
JFD 2008	242.6	-1.5	14.4	246.2	-1.6	3.4
MAM 2008	238.4	-0.9	15.0	249.6	-1.4	3.8
JJA 2008	223.9	-0.9	14.4	254.2	-1.4	3.9
SON 2008	233.9	-1.6	14.3	252.4	-1.6	3.5

217

218 For each season, both the TOA shortwave and longwave biases are negative, with
 219 magnitudes that are less than 2 W m^{-2} . There are a number of possible reasons for differences in
 220 the fluxes, including the fact that HadGEM2-A uses a different radiative transfer scheme
 221 [Edwards and Slingo, 1996; Cusack et al., 1999]. The shortwave RMS errors ($14\text{-}15 \text{ W m}^{-2}$) are
 222 much larger than the longwave RMS errors ($3\text{-}4 \text{ W m}^{-2}$), which makes sense because the
 223 dynamic range of TOA reflected shortwave flux is much larger than that of OLR.

224 5. Results

225 In order to compare the HadGEM2-A FBCT to those observed, we first normalize each
 226 cloud type's fluxes by the HadGEM2-A output fluxes:

$$227 \quad F_{FBCTS}^n(\tau, p_c) = \frac{\overline{F_H}}{\overline{F_{FBCTS}}} F_{FBCTS}(\tau, p_c).$$

228 Here $\overline{F_H}$ is the grid-mean flux from HadGEM2-A, $\overline{F_{FBCTS}}$ is the grid-mean flux from the Langley
 229 Fu-Liou model, $F_{FBCTS}(\tau, p_c)$ is the average flux for a given (τ, p_c) cloud type from the Langley
 230 Fu-Liou model prior to normalization, and $F_{FBCTS}^n(\tau, p_c)$ is the flux after normalization. This is
 231 similar to the normalization used for the FBCTObs product, as shown in Section 2a. This
 232 normalization allows us to calculate flux differences by cloud type while preserving the grid-
 233 scale difference between the HadGEM2-A output and the CERES FBCT product. When
 234 comparing albedos and fluxes by cloud type between observations and model output it is useful
 235 to weight the results by the frequency of occurrence of each cloud type in order to identify cloud
 236 types with albedo or longwave fluxes that have important differences from those observed. The
 237 weighting that is used is

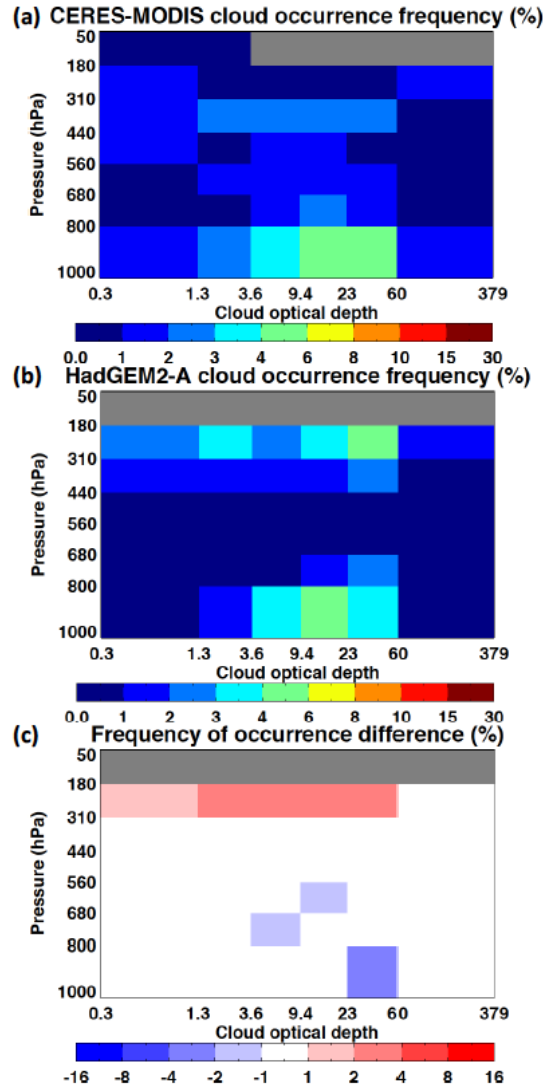
$$238 \quad \Delta F_{cf}(\tau, p_c) = 0.5(C_{FBCTS} + C_{FBCTO})(F_{FBCTS}^n - F_{FBCTO}^n)$$

239 where $\Delta F_{cf}(\tau, p_c)$ is the cloud fraction weighted flux difference for a given cloud type, C_{FBCTS} is
 240 the FBCTSim cloud fraction of that type, and C_{FBCTO} is the FBCTObs cloud fraction of that type.
 241 Although there are many ways that a cloud fraction weighted flux difference could be defined,
 242 this was chosen in order to preserve the sign of the unweighted flux difference. In addition, the

243 weighting quantity $0.5(C_{FBCTS} + C_{FBCTO})$ will be large if C_{FBCTS} and/or C_{FBCTO} are large,
244 ensuring that large unweighted flux differences will also appear large after weighting.

245 5.1. Southern Great Plains Region

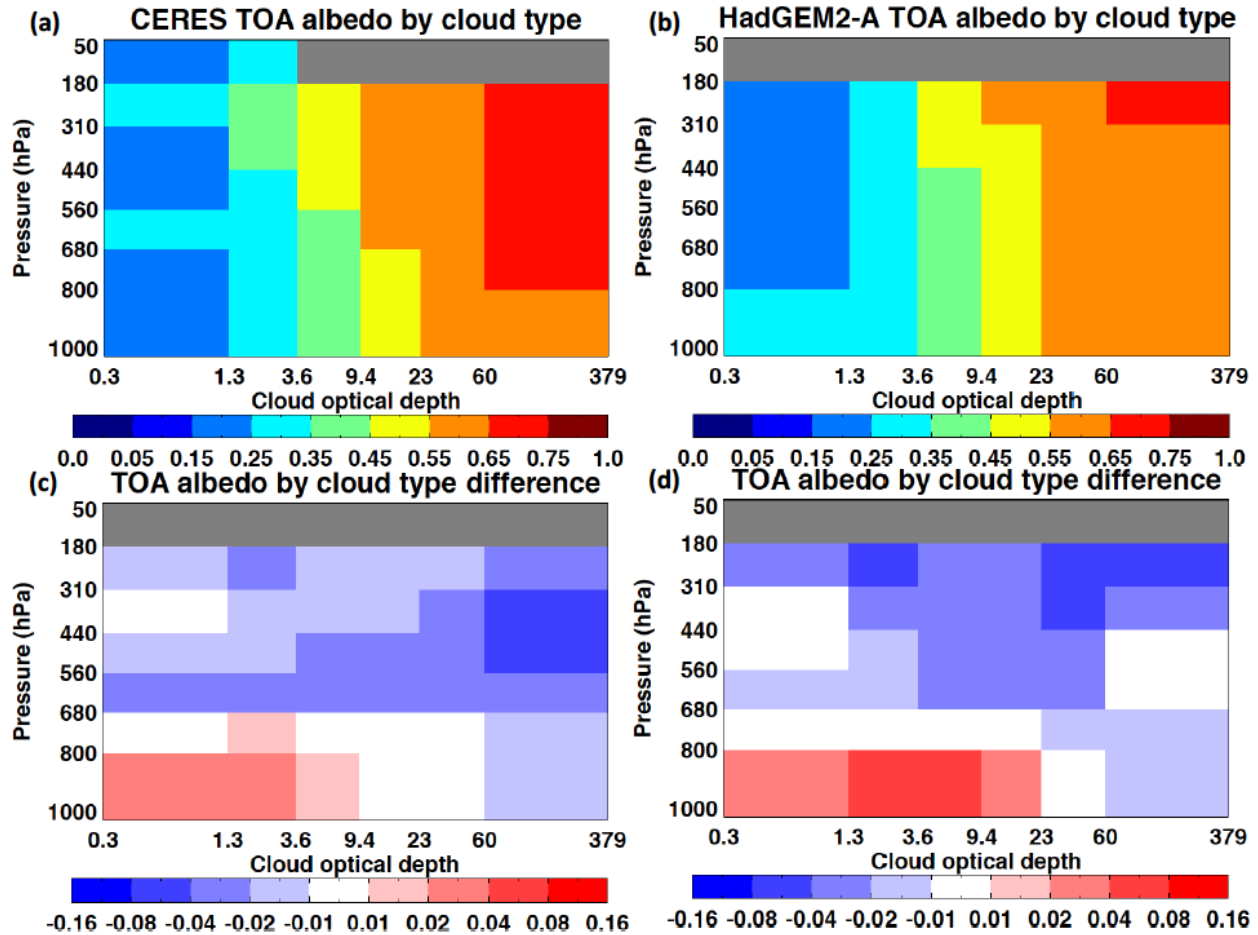
246 In the Southern Great Plains (SGP) Region (defined here as 29.375° - 40.625° N, 89.0625° -
247 100.3125° W), there is a primary maximum in cloud occurrence at both low altitude ($p_c > 800$
248 hPa), with a secondary maximum at medium-high altitude ($310 \text{ hPa} < p_c < 440 \text{ hPa}$), as shown in
249 Figure 2a. The cloud frequency histogram simulated by HadGEM2-A in the SGP region also has
250 maxima at low and high altitudes, but the high-altitude maximum is stronger and at a higher
251 altitude than observed (Figures 2b, 2c).



252

253 **Figure 2.** Average JFD 2008 cloud frequency of occurrence by cloud type over Southern Great
254 Plains region for (a) CERES FluxByCloudTyp data, (b) HadGEM2-A model, (c) average
255 difference (HadGEM2-A minus CERES FluxByCloudTyp). Missing types are denoted by gray
256 shading.

257 As one might expect, the TOA albedo increases for cloud types with higher optical
 258 depths while remaining relatively unchanged with p_c , as shown in Figure 3a. This is also the case
 259 for the HadGEM2-A model (Figure 3b). The TOA albedo by cloud type simulated by the
 260 HadGEM2-A model over the SGP region tends to be lower than observed for most cloud types,
 261 except for clouds with $p_c > 680$ hPa and optical depths less than 23 (Figure 3c). Part of the reason
 262 for this difference may be that the HadGEM2-A clear-sky albedo over the SGP region (0.168) is
 263 lower than that observed (0.192; Table 2). After weighting for cloud fraction, the patterns are
 264 similar (Figure 3d), but the lower HadGEM2-A albedos for high, thin cloud types are more
 265 prominent than in the unweighted difference plot.

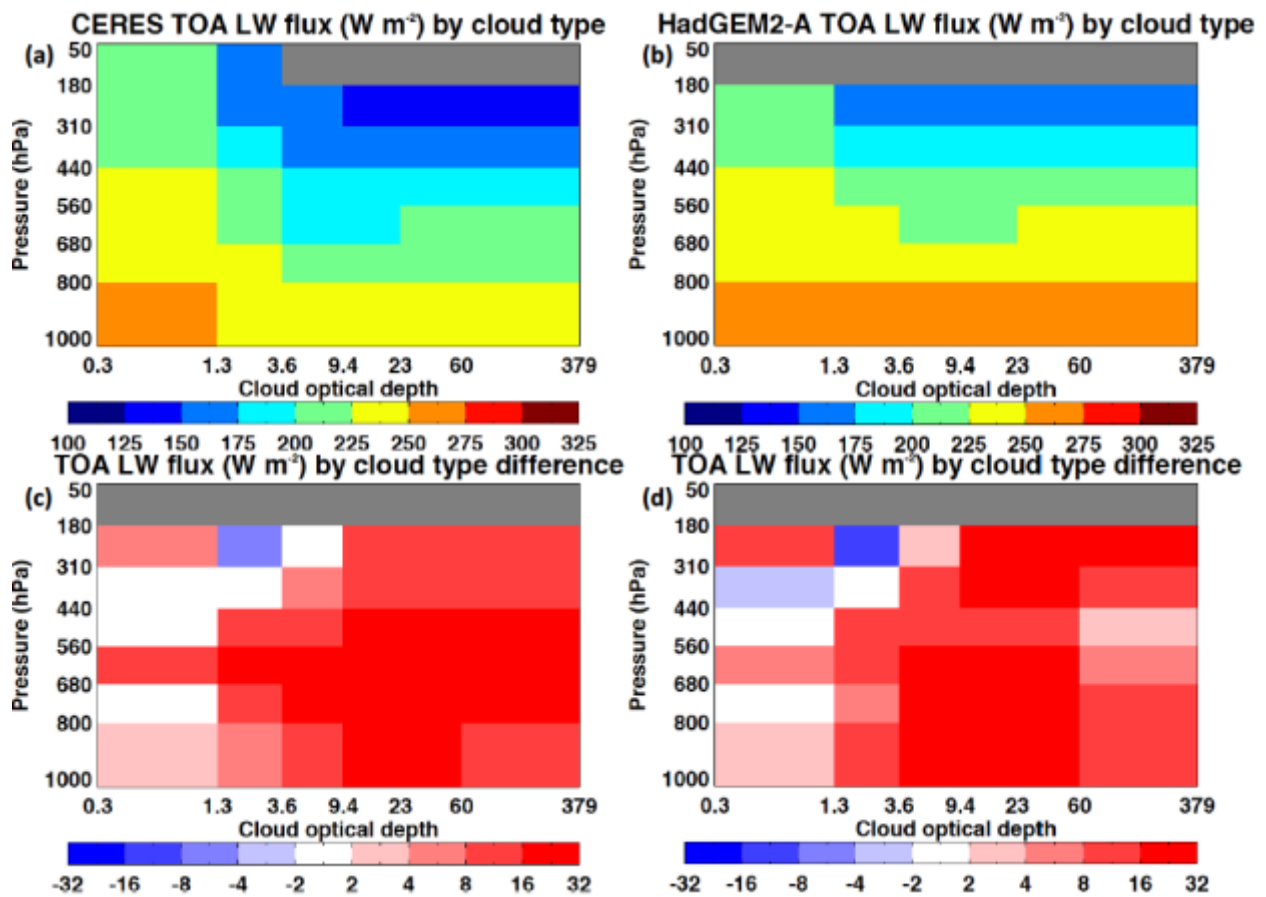


266
 267 **Figure 3.** Average JFD 2008 TOA shortwave albedo by cloud type difference over the Southern
 268 Great Plains region (a) average difference (HadGEM2-A minus CERES FluxByCloudTyp), (b)
 269 cloud fraction-weighted difference.

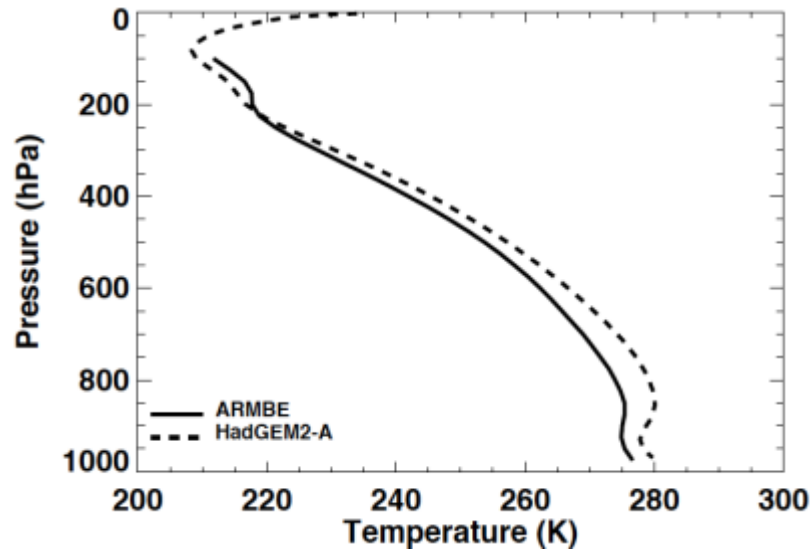
270 Because cloud top temperature increases with p_c and emissivity increases with τ , the
 271 relationship between cloud type and OLR is less straightforward than that between cloud type
 272 and albedo. The CERES FlxByCloudTyp TOA outgoing longwave radiation over the SGP
 273 region generally decreases with optical depth at a given value of p_c and decreases with altitude
 274 for a given value of τ (Figure 4a). This is also the case for the HadGEM2-A model, except that
 275 for clouds with $p_c > 800$ hPa, the lowest values of OLR are with the lowest optical depths (Figure
 276 4b). Looking at the difference plots, the HadGEM2-A model produces OLR that is significantly

277 higher than observed for most cloud types with $\tau > 1.3$ (Figures 4c, d). This likely indicates a
 278 simulated atmosphere over the SGP region that is warmer than observed, which is consistent
 279 with the HadGEM2-A clear-sky OLR (269.3 W m^{-2}) being higher than that of FBCTObs (262.7
 280 W m^{-2}). To test this hypothesis, the Atmospheric Radiation Measurement Best Estimate
 281 (ARMBE) temperature profile [Xie *et al.*, 2010] for JFD 2008 at the ARM SGP site is compared
 282 to the average HadGEM2-A temperature profile at the model grid cell that covers the ARM SGP
 283 site in Figure 5. Here, we see that the simulated temperatures are indeed warmer than observed at
 284 altitudes below the 200 hPa level, which where most of the simulated and observed cloud tops
 285 are.

286 Despite these large differences in OLR by cloud type, the HadGEM2-A average OLR for
 287 this region in JFD 2008 is 236.7 W m^{-2} , which is close to the corresponding observed regional
 288 average of 233.4 W m^{-2} (Table 2). The regionally averaged HadGEM2-A cloud fraction (0.520)
 289 is also close to observed (0.558). It appears that the HadGEM2-A bias towards high clouds
 290 (Figure 2c) compensates the higher OLRs that occur for most cloud types.



291
 292 **Figure 4.** Average JFD 2008 TOA outgoing longwave flux by cloud type over the Equatorial
 293 Pacific region for (a) CERES FluxByCloudTyp data, (b) HadGEM2-A model, (c) average
 294 difference (HadGEM2-A minus CERES FluxByCloudTyp), (d) cloud fraction-weighted
 295 difference.



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297
298

Figure 5. Average JFD 2008 temperature as a function of pressure for the ARMBE product at the ARM SGP site and HadGEM2-A grid cell.

299 **Table 2.** Average FBCTObs and HadGEM2-A cloud fractions and radiative fluxes for Southern
 300 Great Plains, Southeast Pacific, and Equatorial Pacific regions.

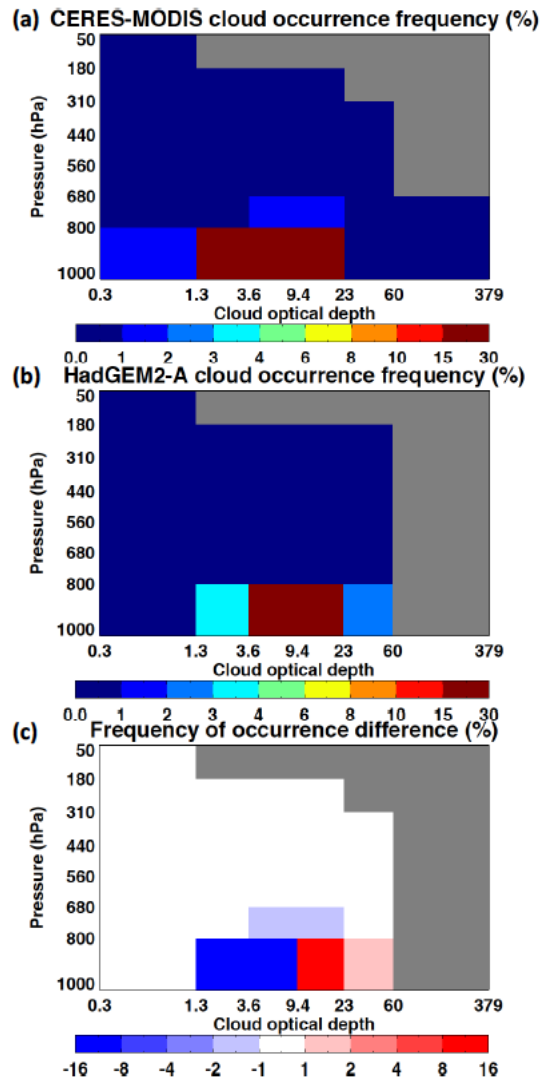
	SGP (JFD 2008)	SE Pacific (JJA 2008)	Eq. Pacific (JJA 2008)
Cloud Fraction (FBCTObs)	0.558	0.801	0.633
Cloud Fraction (HadGEM2-A)	0.520	0.658	0.403
All-sky TOA OLR, W m ⁻² (FBCTObs)	233.4	279.9	233.8
All-sky TOA OLR, W m ⁻² (HadGEM2-A)	236.7	289.0	252.6
Clear-sky TOA OLR, W m ⁻² (FBCTObs)	262.7	287.1	280.0
Clear-sky TOA OLR, W m ⁻² (HadGEM2-A)	269.3	298.7	285.4
All-sky TOA SW albedo (FBCTObs)	0.350	0.274	0.210
All-sky TOA SW albedo (HadGEM2-A)	0.337	0.324	0.186
TOA SW albedo (FBCTObs)	0.192	0.093	0.074
TOA SW albedo (HadGEM2-A)	0.168	0.095	0.078

301

302 5.2. Southeast Pacific Region

303 The Southeast Pacific region (defined here as 9.375°-20.625° S, 79.6875°-90.9375° W;
 304 similar to the “Peruvian region” in *Klein and Hartmann* [1993]) is dominated by stratocumulus
 305 clouds. This can be seen in Fig. 6a, which shows the CERES-MODIS JJA 2008 cloud occurrence
 306 frequency. The observed clouds tend to have $p_c > 800$ hPa, and low to moderate optical
 307 thicknesses with τ between 1.3 and 23. In its simulation of the same region, the HadGEM2-A
 308 model also mostly produces low clouds (Fig. 6b), but these clouds tend to have higher optical
 309 depths than observed, as shown in the difference plot (Fig 6c). As is shown in Table 2, the total

310 HadGEM2-A cloud fraction over the Southeast Pacific (0.658) is somewhat lower than that from
311 CERES-MODIS (0.801).



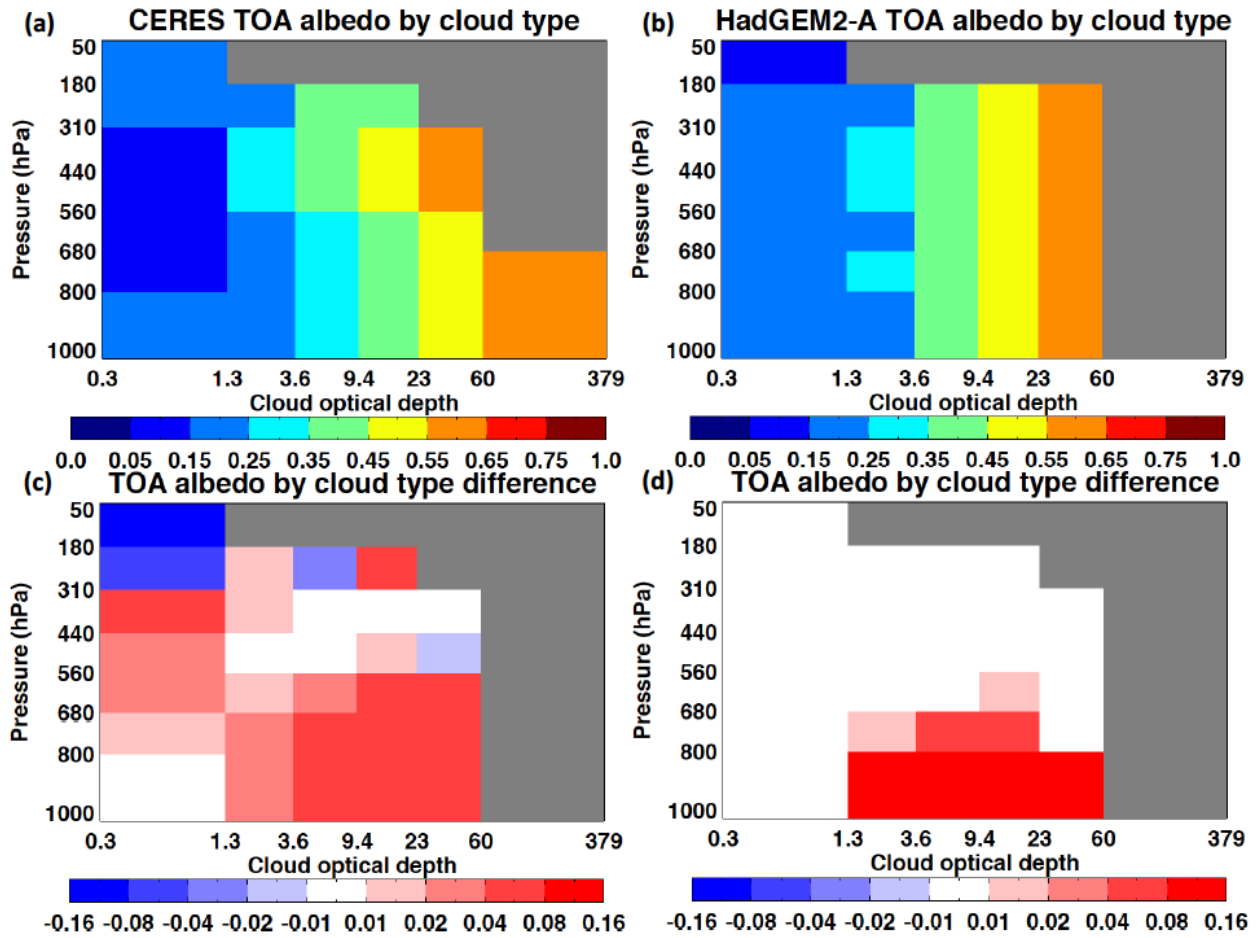
312

313 **Figure 6.** Average JJA 2008 cloud frequency of occurrence by cloud type over Southeast Pacific
314 region for (a) CERES FluxByCloudTyp data, (b) HadGEM2-A model, (c) average difference
315 (HadGEM2-A minus CERES FluxByCloudTyp).

316

317 As was the case in the SGP region, the observed and simulated TOA albedo increases for
318 cloud types with higher optical depths while remaining relatively unchanged with p_c , as shown
319 in Figures 7a and 7b. However, when the CERES albedo by cloud type fields is subtracted from
320 that of the HadGEM2-A model, we see that the HadGEM2-A albedos are higher than those
321 observed for most cloud types, except for the highest and optically thinnest clouds (Figure 7c).
322 One possible explanation for this difference is that the optical depths within each category may
323 be higher than those observed. When the albedo differences are weighted by cloud fraction, we
324 see that the HadGEM2-A albedos are higher for the low clouds that dominate this region (Figure

325 7d). For clear scenes in the Southeast Pacific region, the albedo is similar for the HadGEM2-A
 326 model (0.095) and for the FBCTObs (0.092), as shown in Table 2.



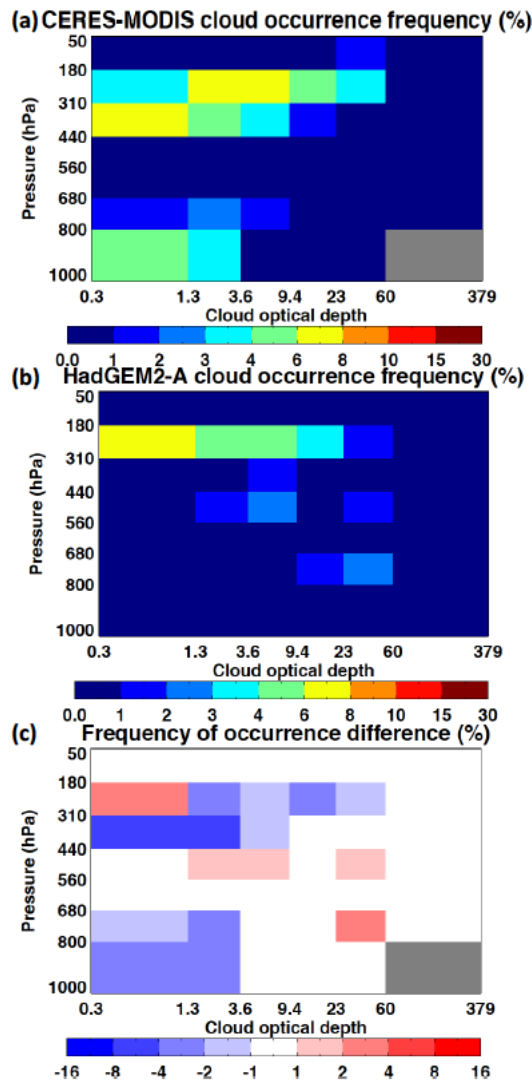
327
 328 **Figure 7.** Average JJA 2008 TOA shortwave albedo by cloud type over the Southeast Pacific
 329 region for (a) CERES FluxByCloudTyp data, (b) HadGEM2-A model, (c) average difference
 330 (HadGEM2-A minus CERES FluxByCloudTyp), (d) cloud fraction-weighted difference.

331 In stratocumulus regions, a number of GCMs have the error of too little cloud fraction
 332 with a compensating error of the clouds that do form there being too bright (the “too few, too
 333 bright” problem described in *Nam et al.* [2012]). This combination of errors can bring the total
 334 albedo close to that observed, while the albedo associated with an amount of cloud cover is
 335 higher than observed. For the HadGEM2-A model, there are too few clouds in the Southeast
 336 Pacific region, and those that are present are generally have higher optical depths than observed,
 337 and within each (τ, p_c) cloud type, the albedos are too high. This leads to a HadGEM2-A all-sky
 338 albedo (0.324) that is higher than that for FBCTObs (0.274; Table 2). This final assessment is
 339 only possible with the FBCTObs product and the FBCTSim. A similar “too few, too bright”

340 error was found in other seasons over the Southeast Pacific and also over the Southeast Atlantic
341 (not shown).

342 5.3. Equatorial Pacific Region

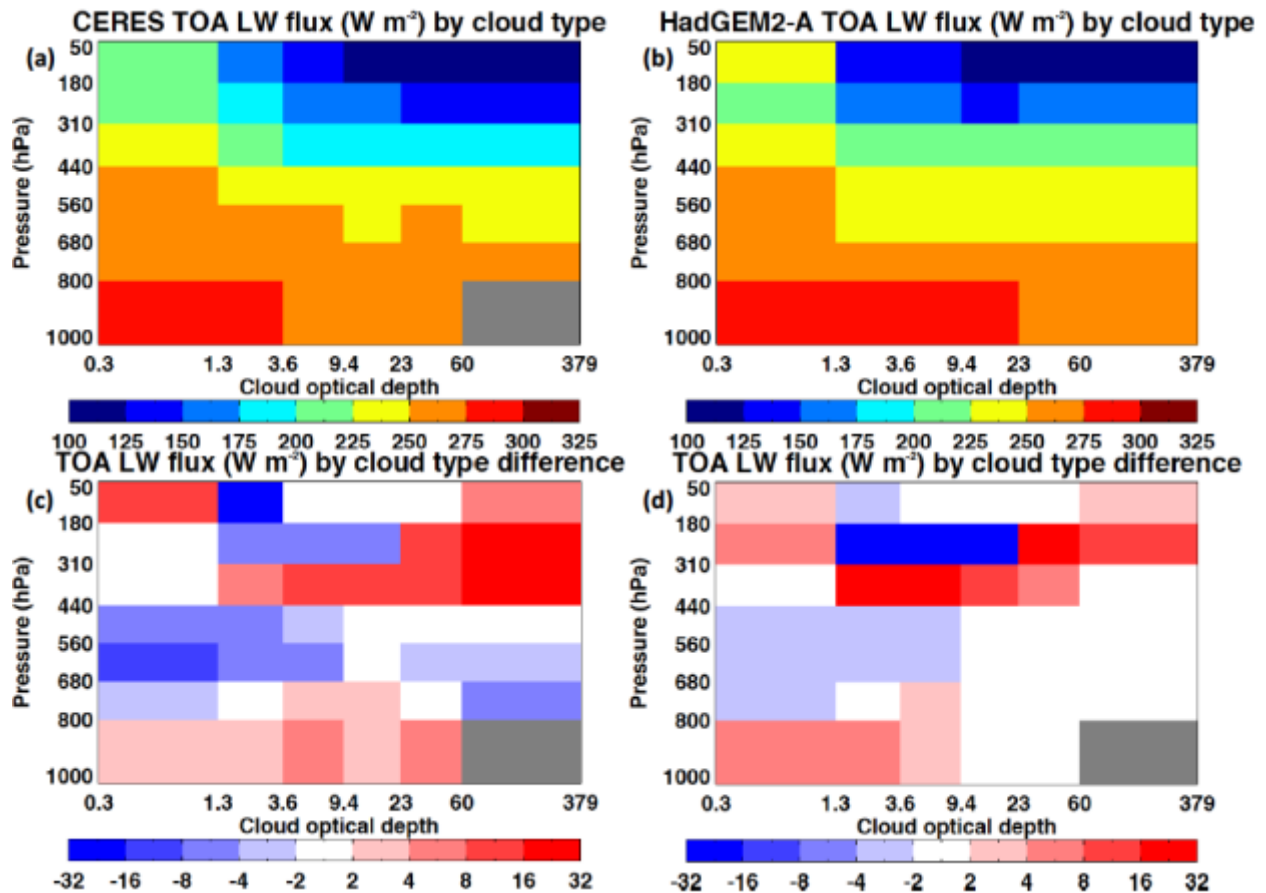
343 The Equatorial Pacific region (defined here as 10.625° N-10.625° S, 154.6875°-175.3125°
344 E, near the island of Nauru) has a wide variety of clouds, including deep convection. In Figure
345 8a, we see that there is a maximum in the frequency of cloud tops at low ($p_c > 800$ hPa) and
346 high ($180 \text{ hPa} < p_c < 440$ hPa) altitudes for JJA 2008. The simulated HadGEM2-A $p_c - \tau$
347 frequency diagram for the Equatorial Pacific region includes a maximum at high altitude, but it is
348 weaker than observed, and there are far fewer cloud tops at low altitudes than observed (Figures
349 8b, 8c). Overall, the HadGEM2-A model simulates fewer clouds (0.403) than observed (0.633)
350 in this region (Table 2).



351

352 **Figure 8.** Average JJA 2008 cloud frequency of occurrence by cloud type over Equatorial
353 Pacific region for (a) CERES FluxByCloudTyp data, (b) HadGEM2-A model, (c) average
354 difference (HadGEM2-A minus CERES FluxByCloudTyp).

355 The overall pattern of the TOA longwave flux by cloud type histogram is for the OLR to
 356 decrease with both optical depth and cloud top height, for both observed and simulated clouds in
 357 the Equatorial Pacific (Figures 9a, 9b). The simulated HadGEM2-A longwave fluxes tend to be
 358 higher for clouds with low tops, but the HadGEM2-A fluxes are lower for cloud types with $p_c <$
 359 310 hPa and τ between 1.3 and 23 (Figures 9c, 9d). These high clouds with moderate optical
 360 depths are among the most common in nature and in the GCM (Figures 7a, 7b), causing the
 361 cloud fraction-weighted flux difference to be strongly negative for these types (Figure 9d). It is
 362 interesting to note that despite having lower fluxes for these cloud types, the regionally averaged
 363 JJA 2008 OLR is 252.6 W m^{-2} for the HadGEM2-A model, compared to 233.8 W m^{-2} observed
 364 (Table 2). This is likely due to the much smaller cloud fraction in this region, and also because
 365 the HadGEM2-A clear-sky OLR (285.4 W m^{-2}) is higher than that of FBCTObs (280.0 W m^{-2}).

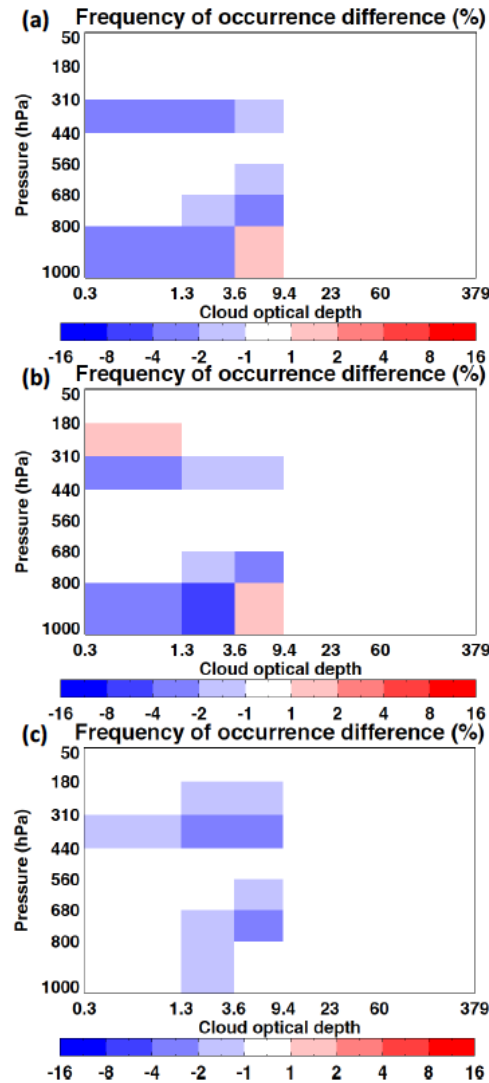


366
 367 **Figure 9.** Average JJA 2008 TOA outgoing longwave flux by cloud type over the Equatorial
 368 Pacific region for (a) CERES FluxByCloudTyp data, (b) HadGEM2-A model, (c) average
 369 difference (HadGEM2-A minus CERES FluxByCloudTyp), (d) cloud fraction-weighted
 370 difference.

372 5.4. 60° N – 60° S Results

373 In addition to evaluating HadGEM2-A on regional scales, it is also of interest to examine
374 whether the model has similar behavior on a global scale. To accomplish this, (τ, p_c) histograms
375 of the differences between HadGEM2-A and the FBCTObs product were calculated for cloud
376 frequency of occurrence, TOA shortwave albedo, and TOA OLR at each HadGEM2-A grid cell
377 between 60° N and 60° S. These histograms were then combined, weighting by each grid cell's
378 surface area. Here, we use MAM 2008, because the other three seasons produced similar
379 difference histograms. This was repeated for land (grid cells with land fraction greater than 50%)
380 and ocean (grid cells with land fraction less than 50%) grid cells. Since most of the Earth's

381 surface is ocean, we expect the ocean histograms to be similar to those produced for all surfaces,
 382 but the land histograms can be quite different.

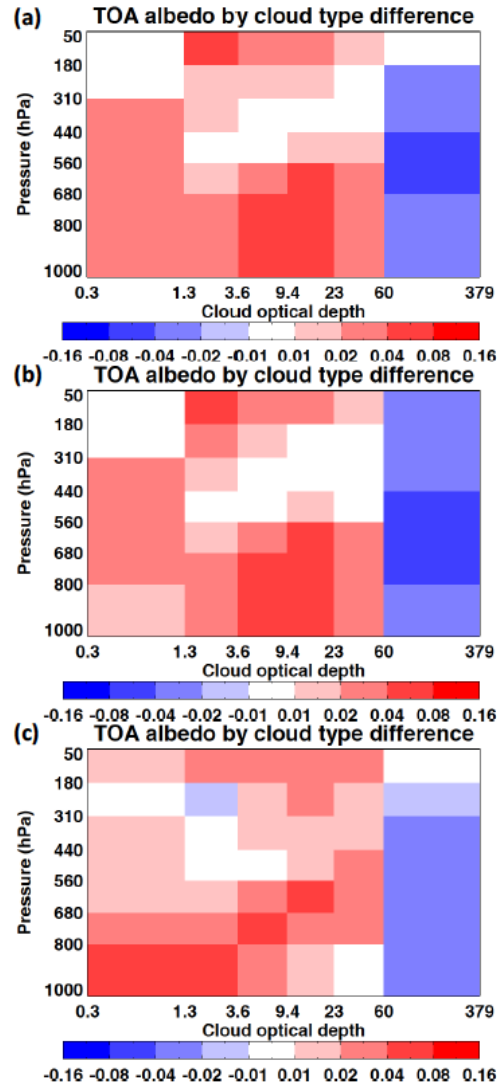


383
 384 **Figure 10.** Average MAM 2008 cloud frequency of occurrence by cloud type differences
 385 (HadGEM2-A minus CERES FluxByCloudTyp) over 60° N – 60° S for (a) all surfaces, (b) ocean
 386 surfaces, (c) land surfaces.

387
 388 The mean cloud frequency of occurrence differences between the HadGEM2-A and
 389 FBCTObs product for MAM 2008 are shown in Figure 10. For many cloud types, the difference
 390 between the model and observations is relatively small; however, over both combined land and
 391 ocean and ocean-only surfaces (Figures 11a, 11b), HadGEM2-A simulated fewer optically thin
 392 clouds with $p_c > 800$ hPa and with $310 \text{ hPa} < p_c < 440$ hPa. The model simulates more low clouds
 393 with τ between 3.6 and 9.4 over ocean and combined surfaces. The net low-cloud behavior over
 394 ocean and combined surfaces could be characterized as “too few, too bright”, as was seen over

395 the Southeast Pacific (Fig. 2c). Over land, the HadGEM2-A model produces too few clouds with
396 τ between 1.3 and 9.4 at both medium and high altitudes (Fig. 11c).

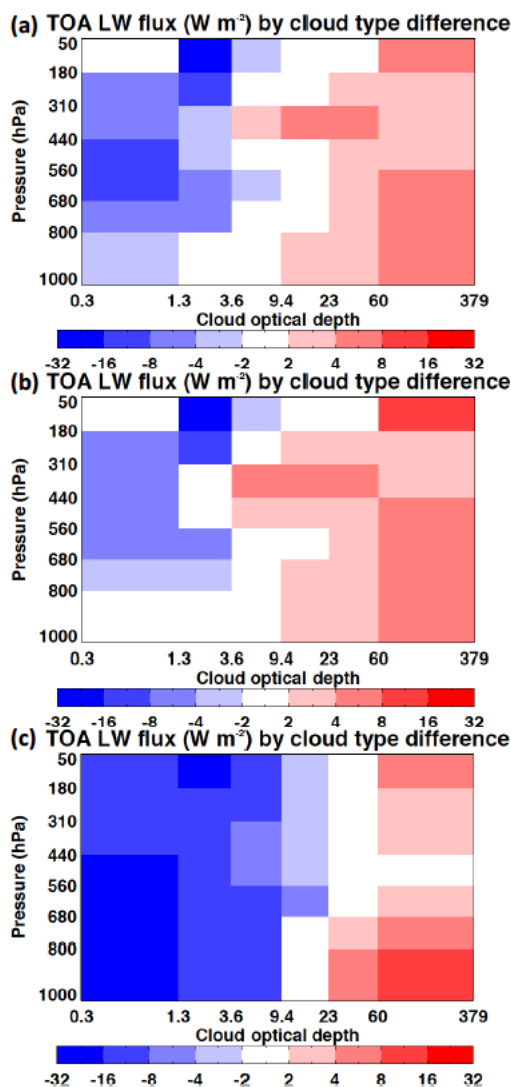
397 When we examine the differences in albedo by cloud type for MAM 2008, we see that
398 over combined, land-only, and ocean-only surfaces, most simulated clouds are brighter than
399 observed, except for those with τ greater than 60, which are less reflective than observed
400 (Figures 11a, 11b, 11c). Since there are relatively few clouds with such high optical depths, the
401 net effect is for the cloud albedo to be higher than observed. This helps to offset the effects of
402 having lower cloud cover than observed.



403
404 **Figure 11.** Average MAM 2008 TOA shortwave albedo by cloud type differences (HadGEM2-A
405 minus CERES FluxByCloudTyp) over 60° N – 60° S for (a) all surfaces, (b) ocean surfaces, (c)
406 land surfaces.

407 The HadGEM2-A values of OLR by cloud type for MAM 2008 are generally lower than
408 those observed for $\tau < 3.6$, while the simulated OLR tends to be higher than observed for cloud

409 types with $\tau > 23$ (Figure 12a). The OLR differences are similar for ocean surfaces (Figure 12b),
 410 but are much stronger (with the same sign for most cloud types) over land (Figure 12c).



411
 412 **Figure 12.** Average MAM 2008 TOA outgoing longwave flux by cloud type differences
 413 (HadGEM2-A minus CERES FluxByCloudTyp) over 60° N – 60° S for (a) all surfaces, (b) ocean
 414 surfaces, (c) land surfaces.

415 **6. Conclusions**

416 This paper has introduced the CERES FluxByCloudTyp data product. This product
 417 provides instantaneous gridded (τ, p_c) histograms of daytime cloud fraction and TOA outgoing
 418 shortwave and longwave fluxes for both the *Terra* and *Aqua* CERES instruments along their
 419 respective orbits. This data product can be used to characterize the frequency of occurrence and
 420 fluxes associated with each cloud type within 1°x1° between 60° N and 60° S. The FBCTOb

421 product can be used to evaluate GCMs with the additional step of applying the FBCTSim on
422 high-frequency output.

423 The CERES Flux-by-cloud type simulator is comprised of a cloud generator that
424 produces subcolumns with profiles of binary cloud fraction, a cloud property simulator that
425 determines the (τ, p_c) cloud type for each subcolumn, and a radiative transfer model that
426 calculates TOA fluxes. Because the maximum-random cloud overlap scheme is used in the cloud
427 generator (consistent with the GCM), the simulator is only required to perform an average of 24
428 calculations per grid cell. The simulator produces shortwave and longwave fluxes that have a
429 small (less than 2.0 W m^{-2} in magnitude) negative bias relative to the HadGEM2-A grid-mean
430 TOA fluxes, and RMS errors of less than 15.0 W m^{-2} in the shortwave and less than 4.0 W m^{-2} in
431 the longwave.

432 Over the Southern Great Plains in JFD 2008, the HadGEM2-A model produces a similar
433 amount of cloud cover to that observed, but more clouds with high tops than are observed.
434 Normally, one would expect the simulated OLR to be lower with the presence of more high
435 clouds, but the flux-by-cloud type analysis shows that the HadGEM2-A model produced higher
436 values OLR than observed for most cloud types. The compensating errors of too many high
437 clouds, and too much OLR by cloud type leads to a realistic OLR in the Southern Great Plains
438 region (236.7 W m^{-2} , which is only slightly higher than the 233.4 W m^{-2} observed).

439 When the simulator is applied to the Southeast Pacific stratocumulus region for JJA 2008,
440 the simulated cloud tops are primarily low in altitude, which is similar to those observed.
441 However, the clouds tend to be less numerous, and have higher optical depths than are observed,
442 which is consistent with the “too few, too bright” problem with tropical low clouds noted by
443 *Nam et al.* [2012]. In addition to the increase in albedo that comes from having too many clouds
444 with higher optical depth, the HadGEM2-A albedo is higher than observed for those cloud types
445 that occur most frequently. This diagnosis on standard GCM gridded output is only possible with
446 an approach similar to the one used here.

447 Over the Equatorial Pacific for JJA 2008, HadGEM2-A produces some high clouds, but
448 not as many as are observed, and much fewer low clouds than are observed. The overall cloud
449 cover is much lower than observed (0.403 versus 0.633). However, the lack of high cloud cover
450 is associated with the OLR higher than observed (252.6 versus 233.8 W m^{-2}) despite many cloud
451 types having lower simulated values of OLR than observed.

452 When the flux-by-cloud type simulator is applied to the entire $60^\circ \text{ N} - 60^\circ \text{ S}$ region, it is
453 shown that the simulated albedo is higher than observed for most cloud types with optical depths
454 below 60. Since most clouds are optically thinner than this value, it points to an overall bright
455 bias in simulated clouds. In the longwave, the HadGEM2-A model appears to have lower OLR
456 than observed for optically thin cloud types, and higher OLR than observed for optically thick
457 cloud types. These trends are much stronger over land than ocean, possibly indicating that land-
458 surface processes are a factor in this bias.

459 We plan to publish a more comprehensive paper focused on the CERES
460 FluxByCloudTyp data product when Edition 4 of the product is completed. We would also like

461 to use the CERES flux-by-cloud type simulator to evaluate additional climate models in the
462 future.

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