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**4D Electrical Resistivity Tomography monitoring of soil moisture  
dynamics in an operational railway embankment**

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ABSTRACT

The internal moisture dynamics of an aged (>100 years old) railway earthwork embankment, which is still in use, have been investigated using 2D and 3D resistivity monitoring. A methodology was employed that included automated 3D ERT data capture and telemetric transfer with on-site power generation, the correction of resistivity models for seasonal temperature changes, and the translation of subsurface resistivity distributions into moisture content based on petrophysical relationships developed for the embankment material. Visualisation of the data as 2D sections, 3D tomograms and time series plots for different zones of the embankment enabled the development of seasonal wetting fronts within the embankment to be monitored at a high spatial resolution, and the respective distributions of moisture in the flanks, crest and toes of the embankment to be assessed. Although the embankment considered here is at no immediate risk of failure, the approach developed for this study is equally applicable to other more high-risk earthworks and natural slopes.

## 27 INTRODUCTION

28 The impacts of railway earthwork failure can be severe, including loss of serviceability  
29 (insurance claims), human casualties, and reconstruction costs. Many of these structures were  
30 built between 100 – 200 years ago as steam railway and canal systems were developed in  
31 many countries. They were constructed using tipping methods, as was standard in the 19<sup>th</sup>  
32 century, but this has left a legacy of ageing, highly fissured, weak and heterogeneous earth  
33 structures, which are still intensively used but prone to failure under aggressive climatic  
34 stresses (e.g. Perry et al., 2003; Donohue et al., 2011). Instability in clay rich natural and  
35 artificial slopes (i.e. embankments and cuttings) typically occurs due to progressive  
36 geotechnical property change and a reduction in strength in response to moisture content and  
37 pore pressure changes (Bromhead 1986; Clarke and Smethurst, 2010; Manning et al., 2008),  
38 driven by seasonal wetting and drying.

39 The condition of these earth structures and their resilience to climatic stresses can be difficult  
40 to determine due to the complexity of fill materials and the limitations of current approaches  
41 to characterisation and monitoring. For example, observation of change in surface morphology  
42 from walk over surveys or remote sensing (Miller et al., 2012) generally indicates late-stage  
43 failure, while point sensors provide insufficient spatial sampling density to adequately  
44 characterise, and therefore monitor processes and property changes leading to failure in  
45 highly heterogeneous subsurface conditions.

46 Geophysical ground imaging techniques offer the potential to complement existing approaches  
47 by spatially characterising and monitoring the internal conditions of earthworks to provide  
48 high resolution information of subsurface property changes, and hence precursors to slope  
49 failure. Resistivity imaging, or electrical resistivity tomography (ERT), holds particular promise  
50 due to its sensitivity to both lithological variations (e.g. Shevnin et al., 2007) and changes in soil  
51 moisture, which can be imaged by applying appropriate petrophysical relationships linking  
52 resistivity and saturation (e.g. Cassiani et al., 2009; Brunet et al., 2010). Two-dimensional ERT  
53 is now a well-established technique for investigating natural slopes with numerous recent  
54 examples of the use of the technique for structural characterisation and hydrogeological  
55 investigations (e.g. Jongmans and Garambois, 2007). Three-dimensional resistivity imaging,  
56 although less commonly applied, has also been used to investigate the internal structure and  
57 hydrogeological regimes associated with landslides in natural slopes (Lebourg et al., 2005;  
58 Heincke et al., 2010; Chambers et al., 2011; Di Maio and Piegari, 2011, 2012; Udphuay et al.,  
59 2011). The most common application of ERT for engineered slopes is embankment dam  
60 characterisation (Cho and Yeom, 2007; Kim et al., 2007; Husband et al., 2009; Minsley et al.,  
61 2011; Bedrosian et al., 2012; Oh, 2012) and monitoring (Sjodahl et al., 2008, 2009, 2010).

62 Relatively few examples exist for transportation earthworks. Fortier et al. (2011) applied 2D  
63 ERT alongside other geophysical and geotechnical approaches to investigate a road  
64 embankment impacted by permafrost degradation; the ERT results were used to spatially  
65 characterise the structure and composition of the embankment. Jackson et al. (2002) used 2D  
66 resistivity imaging to monitor changing moisture distribution within a road embankment after  
67 pavement construction, which revealed a build up of moisture in the toe of the embankment  
68 prior to a slope failure event. A combined geophysical investigation, including 2D ERT, was  
69 undertaken by Donohue et al. (2011) of a railway embankment with a history of instability.  
70 Their investigations revealed soft clay and steeply sloping bedrock underlying the  
71 embankment, which were identified as a cause of instability. Chambers et al. (2008) applied 2D  
72 ERT to characterise and monitor the railway embankment considered in this study. Changes in  
73 the fill regime identified using ERT were closely associated with zones of poor track geometry,  
74 which was attributed to differential settlement at the interface between material types.  
75 Monitoring of the site revealed complex resistivity changes, which were attributed to the  
76 development of seasonal wetting fronts.

77 Alongside the increased use of ERT for slope investigations, purpose built ERT monitoring  
78 instrumentation has rapidly developed and now incorporates telemetric control and automatic  
79 data transfer, scheduling, and processing (LaBreque et al., 2004; Ogilvy et al., 2009). This type  
80 of instrumentation is now beginning to be applied to slope monitoring problems (Niesner,  
81 2010; Supper et al., 2008; Wilkinson et al., 2010a; Sjödaahl et al., 2009, 2010), although to the  
82 best of our knowledge this approach has not yet been applied to transportation earthworks.

83 In this study we use a combination of 2D and 3D ERT, and manual repeat and fully automated  
84 data capture to investigate the seasonal moisture dynamics of a section of railway  
85 embankment near Nottingham, UK. The embankment is representative of end tipped railway  
86 embankments constructed during the Ninetieth and early Twentieth Centuries, and is still used  
87 by an operational railway. The specific objectives of the study were: (1) to assess the efficacy  
88 of automated time-lapse electrical resistivity tomography (ALERT) instrumentation and data  
89 management and processing systems (incorporating ERT model temperature correction, and  
90 resistivity to moisture content property translation) to monitor the internal condition of a  
91 geotechnical railway asset; (2) to assess the magnitude and spatial distribution of seasonal  
92 ground moisture within the embankment.

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## 96 SITE DESCRIPTION

97 The study site is located on a section of the Great Central Railway embankment between  
98 Nottingham and Loughborough (Figs. 1 and 2), which is currently used by freight and heritage  
99 traffic. The embankment runs approximately north-south, and is located on a natural slope  
100 dipping a few degrees towards the west (Fig. 3). In the area of the study site the embankment  
101 is approximately 5.5 m high and 30 m wide, and has flanks heavily vegetated with deciduous  
102 trees, with oak dominating to the east and ash to the west.

103 The embankment was constructed in the 1890s using end tipping wagons (Bidder, 1990).  
104 Compaction was achieved by the subsequent movement of shunting locomotives and tipping  
105 wagons, resulting in significantly less compaction than is achieved using current construction  
106 practices. Materials for the embankment were excavated from cuttings to the south and north,  
107 and local sand and gravel pits. Intrusive investigations, comprising boreholes and static cone  
108 penetration tests (sCPT) (Figs. 2 and 3), have revealed that the study site is located on material  
109 taken from the southern cutting, which is dominated by Westbury Mudstone Formation  
110 lithoclasts, with sporadic cobbles of Blue Anchor Formation siltstone (Gunn et al., 2009). In the  
111 northern section of the study area the fill regime changes to sand and gravel, as indicated by a  
112 thin layer of sand and gravel to a depth of 1.75m in borehole F, which increases in thickness in  
113 borehole G (Gunn et al., 2008; Chambers et al., 2008). The embankment rests on mudstones of  
114 the Branscombe Formation.

115

## 116 METHODOLOGY

117 ERT Monitoring

118 A permanent ERT monitoring array has been installed within a 22 m section of the  
119 embankment, comprising twelve lines running perpendicular to the rails spaced at 2 m  
120 intervals. Each line has 32 electrodes spaced at 1 m intervals, running from the toe of the  
121 eastern flank to the toe of the western flank. Initial 2D ERT measurements, which commenced  
122 in July 2006, were made on one of the electrode lines using a Super Sting R8/IP resistivity  
123 instrument during repeated visits to the site. During the summer of 2010, an Automated Time-  
124 Lapse Electrical Resistivity Tomography (ALERT) system was installed at the site along with the  
125 other eleven electrode lines to form the 3D imaging array. This enabled automated remote  
126 monitoring of the embankment, thereby eliminating the need for repeat monitoring visits to  
127 the site and significantly improving the temporal resolution (i.e. a measurement frequency of  
128 days/weeks compared to months). The ALERT system (Ogilvy et al., 2009; Wilkinson et al.,  
129 2010a,b) provides near real-time in-situ monitoring of subsurface resistivity, using telemetry to

130 communicate with a database management system, which controls the storage, inversion and  
 131 delivery of the data and resulting tomographic images. Once installed no manual intervention  
 132 is required; data is transmitted automatically to a pre-programmed schedule and survey  
 133 parameters, both of which may be modified remotely as conditions change. In this case  
 134 telemetric data transmission, including measurement scheduling and data download, was via  
 135 GPRS. The system was powered by a bank of 12V batteries, which were recharged using solar  
 136 panels and a direct-methanol fuel cell. The 2D imaging line ( $y = 12$  m,  $x = 0$  to 31 m) is located  
 137 within the 3D imaging area ( $y = 0$  to 22 m,  $x = 0$  to 31 m). The  $y$ -axis is parallel to the rails. The  
 138 2D ERT monitoring period extended from July 2006 to August 2010, although, in this study we  
 139 consider monitoring events between October 2009 and July 2010, all of which are compared to  
 140 the July 2006 baseline. The 3D ERT monitoring period was from September 2010 to February  
 141 2012.

142 All resistivity data were collected line-by-line using the dipole-dipole array configuration, with  
 143 dipole sizes ( $a$ ) of 1, 2, 3 and 4 m, and unit dipole separations ( $n$ ) of  $a$  to  $8a$ . The dipole-dipole  
 144 command sequences comprised full sets of both normal and reciprocal configurations;  
 145 comparison of forward and reciprocal measurements provided a robust means of assessing  
 146 data quality and determining reliable and quantitative data editing criteria.

147 The 2D and 3D ERT data were inverted using a regularized least-squares optimization  
 148 algorithm (Loke and Barker, 1995; 1996), in which the forward problem was solved using the  
 149 finite difference method. Sequential time-lapse inversion of the 2D ERT data was carried out  
 150 using the approach described by Chambers et al. (2010), whereas the 3D ERT time series data  
 151 were inverted independently. Good convergence between the observed and model data was  
 152 achieved for both the 2D and the 3D models, as indicated by average RMS errors of 3.0%  
 153 (standard deviation 0.6%) and 5.8% (standard deviation 1.1%) respectively.

154

#### 155 Temperature Modelling and Resistivity Model Corrections

156 A multi-level thermistor array and logger (Fig. 3) was used at the test site to determine  
 157 seasonal temperature changes in the subsurface (Fig. 4). These data have been used to correct  
 158 the time-lapse ERT images for temperature effects using a methodology similar to that  
 159 described by Brunet et al. (2010). Seasonal temperature changes in the subsurface can be  
 160 described by the following equation,

$$161 \quad T(z, t) = T_{\text{mean}}(\text{air}) + Ae^{-(z/d)} \sin(\omega t + \varphi - z/d) \quad (1)$$

162 where  $T(z,t)$  is the temperature at day  $t$  and depth  $z$ ,  $T_{\text{mean}}(\text{air})$  is the mean yearly air  
 163 temperature,  $A$  is the yearly amplitude of the air temperature variation,  $d$  is the characteristic  
 164 penetration depth of the temperature variations,  $\phi$  is the phase offset,  $(\phi - z/d)$  is the phase  
 165 lag, and  $\omega$  is the angular frequency ( $2\pi/365$ ). We fitted the temperature data (Fig. 4) to  
 166 Equation 1 using the FindMinimum[] function in the Mathematica computational algebra  
 167 package. This is a Quasi-Newton method, which uses the Broyden–Fletcher–Goldfarb–Shanno  
 168 algorithm to update the approximated Hessian matrix (Press et al. 1992). The modelled  
 169 seasonal temperature variations with depth were used to correct the 2D and 3D ERT models,  
 170 with the assumption that resistivity decreases by 2 % per °C increase in temperature (Hayley et  
 171 al., 2007). Resistivities for all ERT models were normalised to the mean air temperature  
 172 (11.1°C). The good fit between the modelled and observed temperatures for all sensor depths,  
 173 including the lowest sensor located in the bedrock, indicates that the thermal diffusivity of the  
 174 embankment and bedrock materials are similar.

175

#### 176 Resistivity-Moisture Content Relationship

177 Laboratory measurements were carried out to establish the relationship between resistivity  
 178 and gravimetric moisture content in the material used to construct the embankment within  
 179 the area of the study site. Core samples were gathered via drilling sorties in September 2005  
 180 and July 2006. The core was sub-sampled into 200 mm sections, which were used to determine  
 181 a range of estimated values of porosity, density and moisture content for the fill material.  
 182 Samples were gently crushed to remove particles greater than 8 mm and re-saturated using  
 183 distilled, deionised water to moisture contents between the shrinkage limit and liquid limit - in  
 184 practice this ranges from 5% to 55% w/w. The re-saturated materials were compacted into  
 185 100 mm diameter by 100 mm long core liners and sealed with plastic end caps; similar  
 186 densities were achieved to those observed in undisturbed core. Sample moisture contents  
 187 were verified on surplus material during preparation, and the sample masses were measured  
 188 throughout testing to monitor moisture loss, which was less than 0.1%. Multiple samples of  
 189 reworked Westbury Formation Mudstone taken from different locations within the study area  
 190 were used to represent the effects of the heterogeneity in the embankment (e.g. mineralogical  
 191 and geotechnical property variations).

192 Resistivity measurements were made using a non-contact inductive logging tool (Jackson et al.,  
 193 2006). Prior to measurement, all samples were conditioned for at least 24 hours at a constant  
 194 temperature in a temperature controlled cabinet. The electrical conductivity logging  
 195 equipment was also conditioned at the same temperature, as were three additional fluid

196 calibration samples of the same dimensions and of known resistivities 20, 200 and 2000  
 197 Ohm.m. At each selected measurement temperature, the internal temperature of a further  
 198 water filled sample was used as a proxy to monitor any change in temperature within the test  
 199 samples during the measurement phases. The temperature of the measuring head of the  
 200 logger was also monitored to gauge the effect upon the test results.

201 To translate the resistivity to gravimetric moisture content, the resistivity data were fitted to a  
 202 modified Waxman-Smiths equation. The original Waxman-Smiths (1968) model is defined in  
 203 terms of saturation:

$$204 \quad \rho = \frac{F}{S^n} \left( \frac{1}{\rho_w} + \frac{BQ_v}{S} \right)^{-1} \quad (2)$$

205 Here,  $\rho$  is the formation resistivity,  $S$  is the saturation,  $n$  is the saturation exponent,  $F$  is the  
 206 formation factor,  $\rho_w$  the pore water resistivity,  $Q_v$  is the cation concentration per unit pore  
 207 volume, and  $B$  is the average mobility of the ions. Converting moisture content to saturation  
 208 for use with Equation 2 involves the porosity, which changes with moisture content in  
 209 materials with significant clay content due to shrink-swell. In the modified form of the model  
 210 (Equation 3), the porosity dependence appears as a multiplicative factor that only affects the  
 211 formation factor, which is one of the parameters used to fit the resistivity - moisture content  
 212 curve. Hence the form of the interpolating curve remains the same, whatever the assumed  
 213 porosity. The modified model is

$$214 \quad \rho = F \left( \frac{\phi P_w}{(1-\phi)P_g G} \right)^n \left( \frac{1}{\rho_w} + B \left( \frac{cP_w}{100G} \right) \right)^{-1} \quad (3)$$

215 where  $G$  is the gravimetric moisture content. We used an average measured porosity  
 216  $\phi = 0.413$  and grain density  $P_g = 2.65 \text{ g cm}^{-3}$ . The other known parameters were  $\rho_w = 15 \text{ }\Omega\text{m}$ ,  
 217  $P_w = 1.00 \text{ g cm}^{-3}$ ,  $c = 21.93 \text{ meq / 100g}$ , and  $B = 1.98 \text{ (Sm}^{-1}\text{) cm}^3 \text{ meq}^{-1}$ . The best-fit model using  
 218 these parameters was achieved with  $n = 1.60$  and  $F = 28.4$ , giving an rms misfit error of 35%  
 219 and a correlation coefficient of 0.89 (Fig. 5). The asymptotic standard errors in the best fit  
 220 parameters are  $\pm 0.09$  and  $\pm 3.5$  respectively.

221 The resistivity-moisture relationship has been used to generate images of soil moisture from  
 222 the temperature correct ERT models. The uncertainty associated with the resulting images of  
 223 moisture content is a function of the accuracy of the temperature and moisture content-  
 224 resistivity models, the resolution of the inverted resistivity images, and the heterogeneity of  
 225 the embankment materials (some of which has been captured through the use of multiple  
 226 Westbury Mudstone samples to develop the resistivity moisture content relationship).

227

228 Air Temperature and Rainfall Monitoring

229 Air temperature and rainfall were logged using a Davis Vantage Pro2 weather station located  
 230 adjacent to the railway line, less than 1km to the northeast of the study site. Effective rainfall  
 231 was determined from measured rainfall by estimating evapotranspiration using the Blaney and  
 232 Criddle (1962) procedure (Fig. 6). This method is a temperature-based approach to estimating  
 233 evapotranspiration, which compares favourably to other similar approaches (e.g. Xu and Singh,  
 234 2001). The Blaney-Criddle equation is given here as:

$$235 \quad ET = kp(0.46T_a + 8.13) \quad (4)$$

236 where,  $ET$  is weekly evapotranspiration in mm,  $k$  is the consumptive use coefficient, which is  
 237 related to vegetation type,  $p$  is the percentage of weekly total daytime hours, and  $T_a$  is the  
 238 weekly mean air temperature in °C. For this study a  $k$  value of 0.65 was applied, which is  
 239 appropriate for a vegetation cover of deciduous trees (Ponce, 1989).

240

## 241 RESULTS AND DISCUSSION

242 2D Time-Lapse Imaging243 *Seasonal temperature and rainfall*

244 Weather data for the 2D monitoring period (Fig. 6a) indicates that air temperature broadly  
 245 correlates with that of ground temperature (Fig. 4), but shows far greater short-term  
 246 variability. Rainfall is relatively consistent throughout the year, without any significant periods  
 247 of very low or very high rainfall. However, the effective rainfall follows a strong seasonal cycle  
 248 due to the influence of evapotranspiration (e.g. Ponce, 1989), with a negative trend during  
 249 summer/autumn of 2009 and 2010 (i.e. evapotranspirative moisture loss exceeding actual  
 250 rainfall), and positive during the intervening winter period (i.e. the volume of moisture  
 251 entering the subsurface from rainfall exceeding that lost by evapotranspiration).

252 *Resistivity*

253 Temperature corrected resistivity and resistivity ratio images are shown in Fig. 7, and display  
 254 significant spatial and temporal variability. The spatial heterogeneity is consistent with the  
 255 findings of intrusive sampling at the site. In particular, a layered structure in the core of the  
 256 embankment, and a temporally and spatially varying surface layer (~2 m deep) across the  
 257 flanks and crest are apparent in the models. The internal layered structure is likely to be a  
 258 function of both compositional and moisture content variations. Intrusive investigations in the



259 form of borehole (Fig. 3) and friction ratio logs (Gunn et al., 2009) indicate a ~2 m layer of  
260 granular material at the surface overlying more clayey fill, which is likely to account for the  
261 more resistive material on the crest. Both of these zones are composed of Westbury  
262 Formation mudstones, but the more granular structure of the overlying material results in a  
263 more free draining material with lower moisture contents. Lower resistivities at the base of the  
264 embankment may be related to elevated moisture contents resulting from water draining  
265 down slope from the east and seepage from the embankment toe to the west.

266 No effects related to the metal rails are observed in the model. This is consistent with the  
267 findings of Chambers et al. (2008) and Donohue et al. (2011), who attributed to absence of rail  
268 related feature in resistivity models to the insulating effect of the coarse stone ballast that  
269 separates the rails and sleepers from the track bed.

270 Maximum seasonal ground temperature departures from the mean are approximately 10 °C  
271 (Fig. 4, 0.5 m depth), which equates to a resistivity changes of 20 %. Although temperature has  
272 significantly influenced resistivity, the effect is relatively small compared to other drivers of  
273 resistivity change (i.e. moisture content), which have caused resistivity to change by more than  
274 a factor of 5. Since the temperature tends to be low when the embankment is wet, and high  
275 when it is dry, the resistivity changes caused by seasonal temperature variations typically  
276 oppose those caused by the changes in moisture content. Therefore raw resistivity images  
277 would exhibit smaller seasonal changes than the temperature-corrected images. Similar  
278 conclusions can be drawn for the subsequent conversion to moisture content via Fig. 5 (the  
279 effect on the moisture content due to a temperature correction of 10 °C is approximately  
280 40%).

281 Most of the changes observed in the resistivity section are concentrated in the top 1 to 2 m,  
282 and show a decrease in resistivity relative to the July 2006 baseline. The significant spatial  
283 variability of the observed resistivity changes again indicates the heterogeneity of the  
284 embankment. The section which shows maximum change relative to baseline is seen at t27  
285 (30<sup>th</sup> March 2010), and is related to moisture content.

#### 286 *Moisture content*

287 The moisture content images are shown in terms of gravimetric moisture content (GMC), and  
288 GMC ratio. The bedrock region has been excluded from the images, as the property  
289 relationship (i.e. resistivity-moisture content, Fig. 5) has only been developed for the  
290 embankment material. Absolute GMCs are low, i.e. <0.2, for most of the embankment. The  
291 highest values are in the core, which does not appear to dry out during the monitoring period.  
292 As with the time-lapse resistivity imaging, the GMC changes are concentrated in the top 1 to 2

293 m. The increases in GMC relative to the baseline are entirely consistent with the development  
294 and regression of a seasonal wetting front, with maximum GMC occurring during periods of  
295 high effective rainfall. In the vicinity of the crest ( $x = 11 - 16$  m) very little GMC change is  
296 observed. This is probably due to the layer of free draining ballast in this area. The western  
297 flank generally displays higher GMCs than the east, which is probably due to it having a more  
298 northerly aspect, and being dominated by ash, which has a lower water demand and shorter  
299 growing season than the oak that dominate the eastern flank (Lawson and O'Callaghan, 1995).  
300 The relative influence of aspect and vegetation type cannot be determined from the images.

301

### 302 3D Time-Lapse Imaging

#### 303 *Seasonal temperature and rainfall*

304 The 3D monitoring period was generally drier than the 2D, with negative effective rainfall  
305 dominating. A significant rainy period occurred in November/December 2010, with the next  
306 sustained period of positive effective rainfall occurring in the winter of 2011.

#### 307 *Resistivity*

308 The same procedure for temperature correction and translation to GMC as described above  
309 was applied to the 3D data. During the 17 month monitoring period a total of 28 datasets were  
310 collected. Three examples are shown in Fig. 9 to illustrate results from the wettest and driest  
311 periods of the monitoring (February 2011, October 2011 and January 2012). Similarly complex  
312 patterns of resistivity to the 2D sections (Fig. 7) are observed, with particularly high resistivities  
313 observed just below the crest and shoulders of the embankment, which are probably related  
314 to the presence of relatively free draining material with low moisture contents.

#### 315 *Moisture content*

316 The associated 3D GMC plots are shown in Fig. 9 d, e, and f respectively. The bedrock and  
317 ballast layers have been removed from the 3D GMC visualisations. A general increase in  
318 moisture content is observed in the winter periods relative to the summer, although the  
319 pattern of GMC distribution is highly heterogeneous. The wettest zones occur just below the  
320 crest, associated with rapid drainage of rainfall through the ballast where it ponds on the  
321 mudstone, and towards the base of the flanks, where shade and tree canopy cover are  
322 greatest. Both these situations reduce the level of evaporative moisture loss.

323 Results from the entire monitoring period are shown as time series plots for different regions  
324 of the imaging volume (Fig. 10) to show the spatial and temporal variability of GMC in the  
325 imaging volume. The plots all reflect seasonal changes in moisture content following the trend

326 of effective rainfall, with positive rainfall associated with the wetting of the embankment and  
327 negative with drying. However, with the higher temporal resolution, compared to that of the  
328 2D imaging (Fig. 8), it is apparent that some of the time series data shows a lag between  
329 changes in positive and negative effective rainfall, and the accompanying change in subsurface  
330 moisture content. For example, significant reductions in moisture content during the spring of  
331 2011 (e.g. Fig. 10b) only occurred after several weeks of negative effective rainfall – the  
332 acceleration of moisture content reduction during May is probably related to trees drawing  
333 more moisture as the growing seasons becomes established. Likewise a delay in moisture  
334 content increases seen in December 2011 (Fig. 10 a and b) as a period of positive effective  
335 rainfall occurs. This observed lag is a likely consequence of the time required for moisture to  
336 penetrate and migrate through the embankment. The influence of relatively short periods  
337 (days) of rainfall can be seen in the time series data – particularly during the transition from  
338 negative to positive effective rainfall during November 2011 (Fig. 10).

339 The time series data are shown for a range of spatial scales. In Fig. 10a, mean GMC are shown  
340 for the central region (including the crest), and the eastern and western flanks. The central  
341 region exhibits the highest GMC due to the consistently wet core of the embankment (as seen  
342 in the 2D imaging, Fig. 8). Differences between the eastern and western flanks are apparent,  
343 with the western flank having consistently slightly higher GMC. The reason for this difference,  
344 as discussed in the 2D imaging section, is the aspect and vegetation cover of the embankment.

345 Fig. 10b shows the mean GMCs for the toe regions of the eastern and western flanks. In  
346 assessing the stability of slopes the toe region is particularly significant as landslide events are  
347 very often related to failure processes that originate in the toe. In this region the western flank  
348 appears to be generally slightly wetter, which is probably again due to the aspect and  
349 vegetation cover, and also perhaps seepage from the toe region as the embankment drains to  
350 the west.

351 Time series for a smaller spatial scale are shown in Fig. 10c, which show the mean GMC of two  
352 clusters of 8 model voxels in the toe region of the western flank. These closely located volumes  
353 illustrate the high degree of spatial variability in GMC change, as they exhibit markedly  
354 different moisture content levels. The same seasonal trend is seen in both time series, but the  
355 red volume shows a much larger response to rainfall. At this spatial scale, root systems of  
356 individual trees, canopy cover, localised bioturbation (i.e. rabbit and fox holes), and lithological  
357 variations could contribute to the observed variability.

358

359

## 360 CONCLUSIONS

361 Here we have demonstrated the use of time-lapse ERT for spatially monitoring the internal  
362 condition and moisture dynamics of a geotechnical railway asset. For the first time for this  
363 application, a methodology has been described that incorporates automated 3D ERT data  
364 capture and telemetric transfer using local on-site power generation, the correction of  
365 resistivity models for seasonal temperature changes, and the translation of subsurface  
366 resistivity distributions into moisture content. The benefits of automated data capture are  
367 clear, in that it permits monitoring at a greater temporal resolution that is achievable using  
368 manual repeat surveys. This is likely to be particularly important for slope failures related to  
369 rainfall events, which require monitoring over periods of hours to days, rather than weeks or  
370 months.

371 At this site the development of seasonal wetting fronts were observed, which correlated  
372 closely with effective rainfall. The spatially heterogeneity displayed in the subsurface was  
373 significant, and would have been very difficult to characterise and monitor using conventional  
374 point sensing approaches. Data visualisation has been provided as 2D sections, and as 3D  
375 tomograms and times series data for a range of spatial scales, to facilitate the interrogation of  
376 the monitoring datasets. The time series data were particularly effective for identifying  
377 seasonal moisture content trends and the moisture dynamics of different zones within the  
378 embankment structure, such as the flanks, crest, and toes regions.

379 Although moisture content is not the only parameter of interest (e.g. pore pressure is also a  
380 major driver of instability in some situations), it is nevertheless a crucial indicator of slope  
381 stability (e.g. Clarke and Smethurst, 2010). The development of this type of approach to asset  
382 monitoring provides the opportunity for upward trends in moisture content to be analysed as  
383 they approach critical thresholds (e.g. the liquid limit), thereby providing the possibility of early  
384 warning of potentially unstable embankment conditions. Although the asset condition  
385 observed at this site did not give serious cause for concern, the methodology demonstrated  
386 here is applicable to other more vulnerable engineered earth structures and natural slopes,  
387 and will be most appropriately applied to high-risk critical infrastructure.

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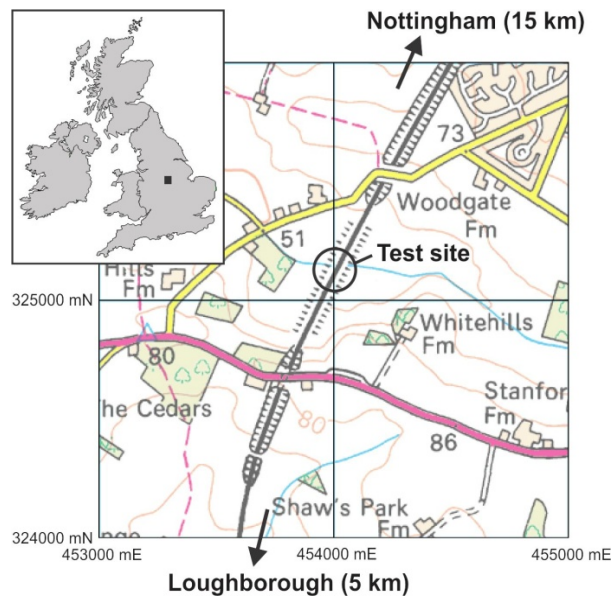
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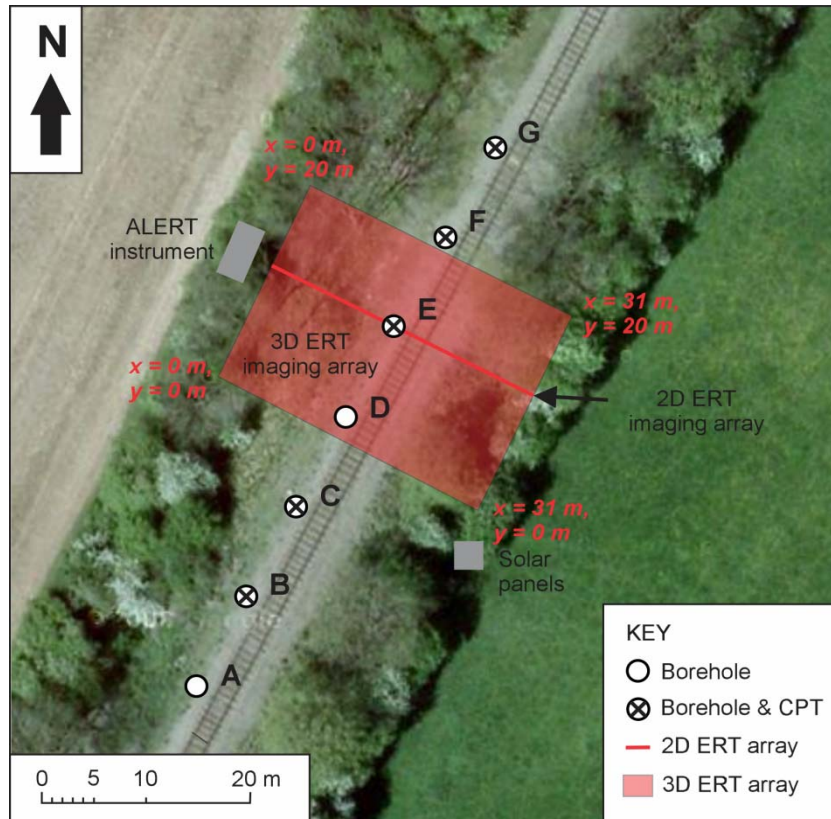
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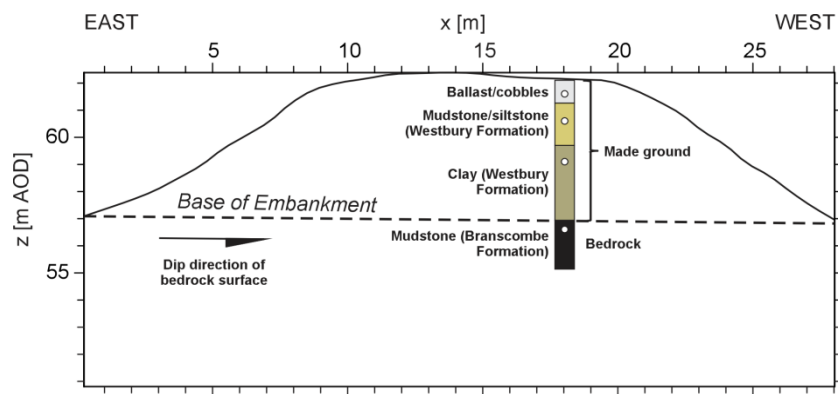
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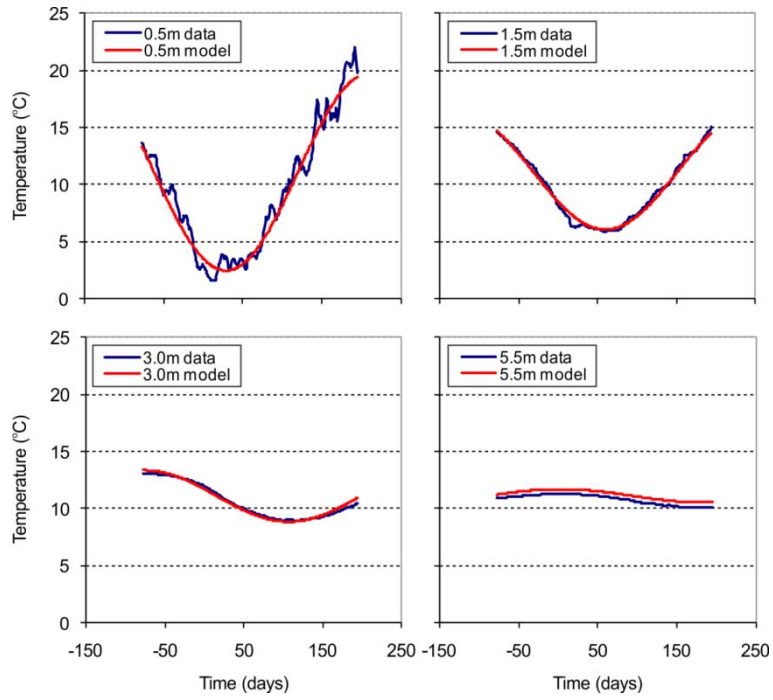
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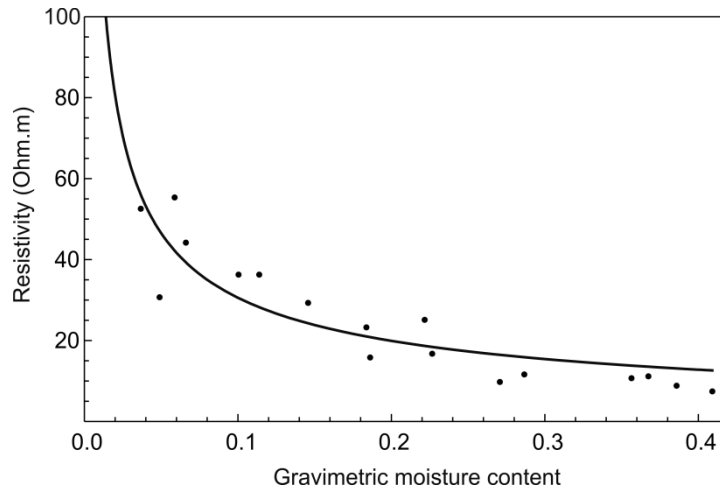
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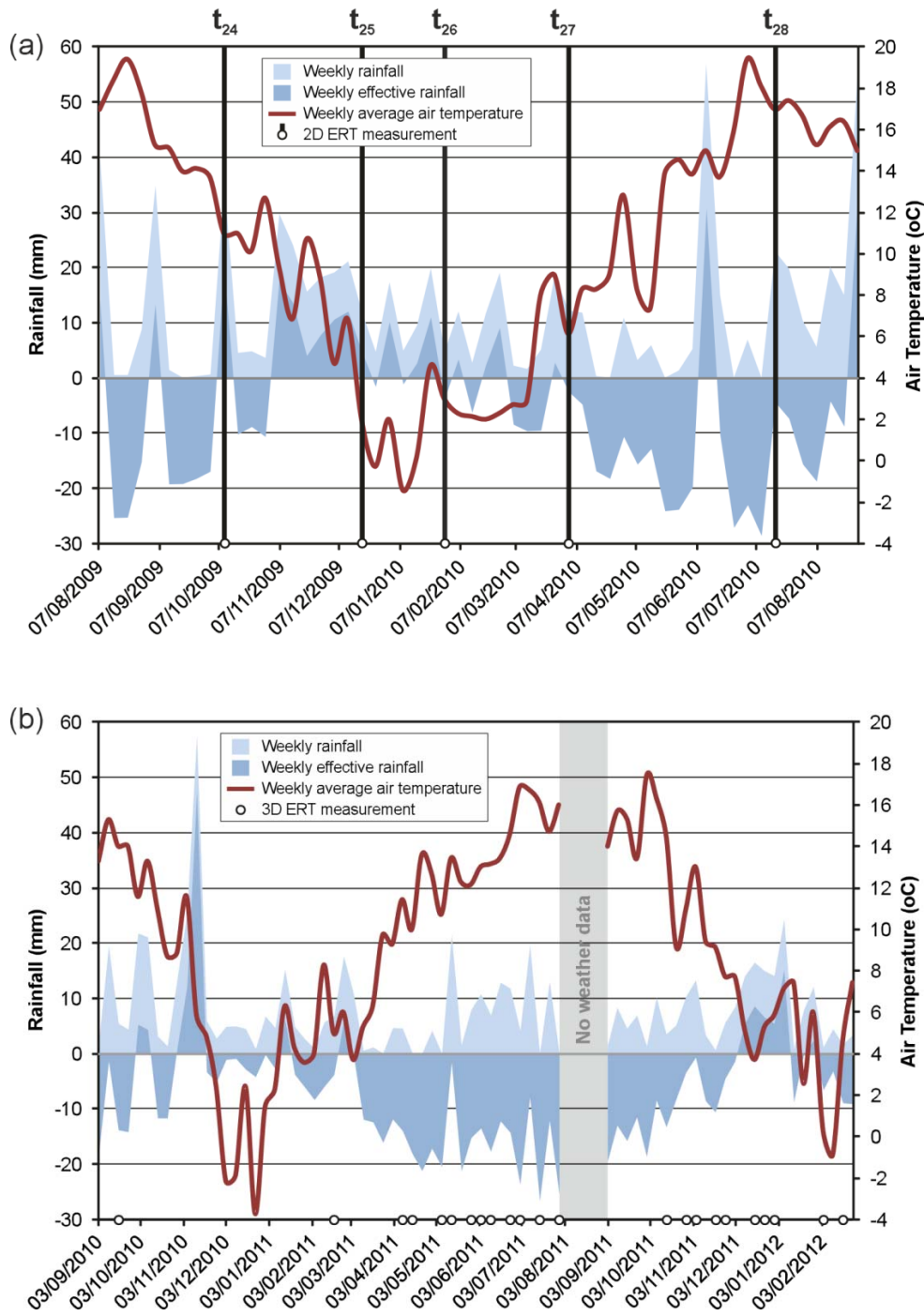
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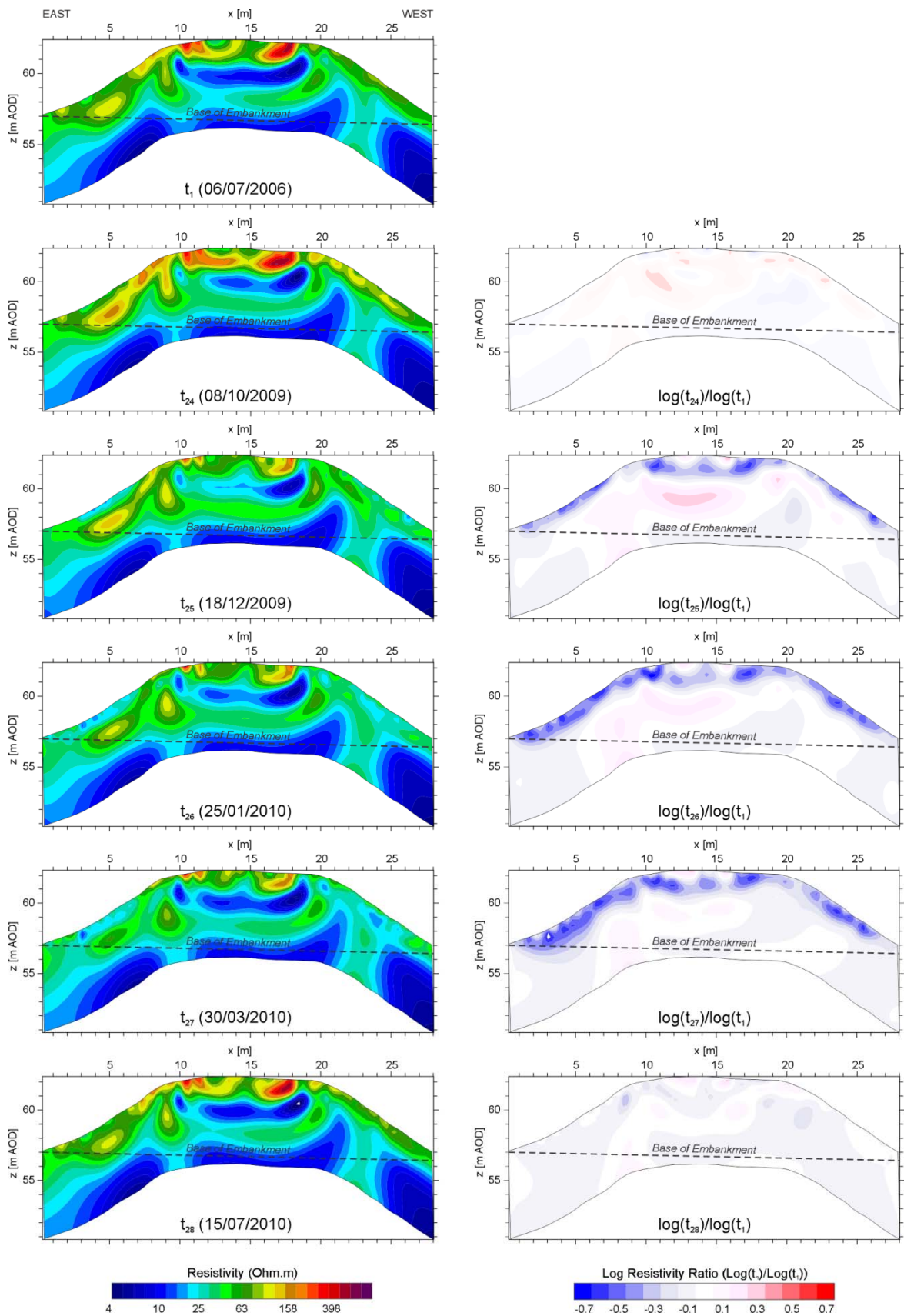


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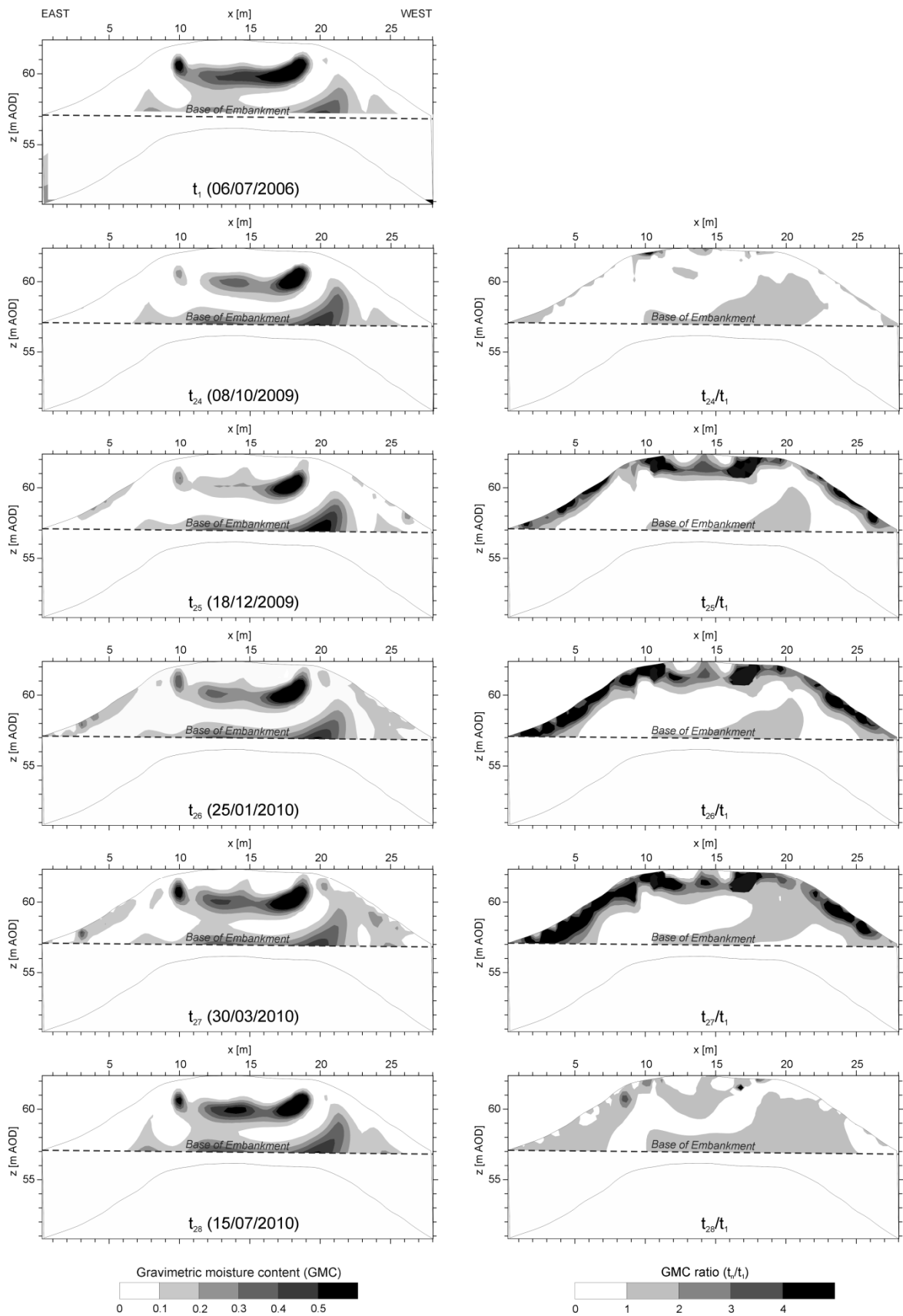


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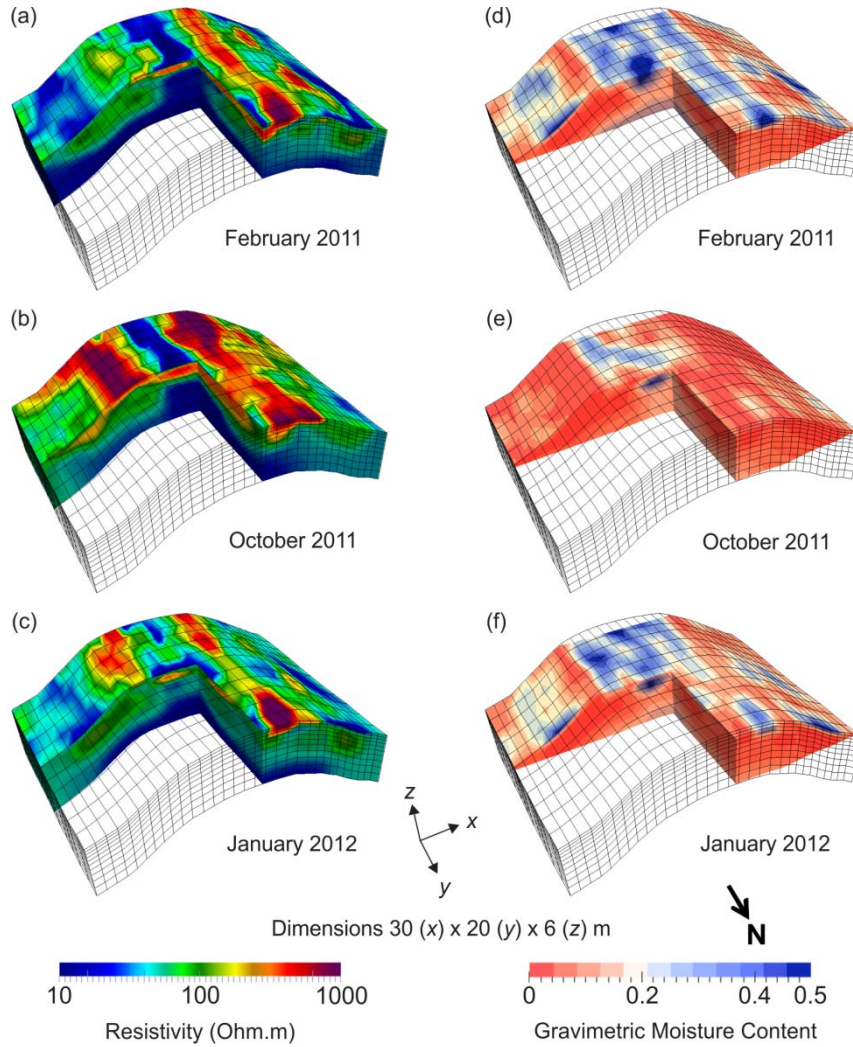
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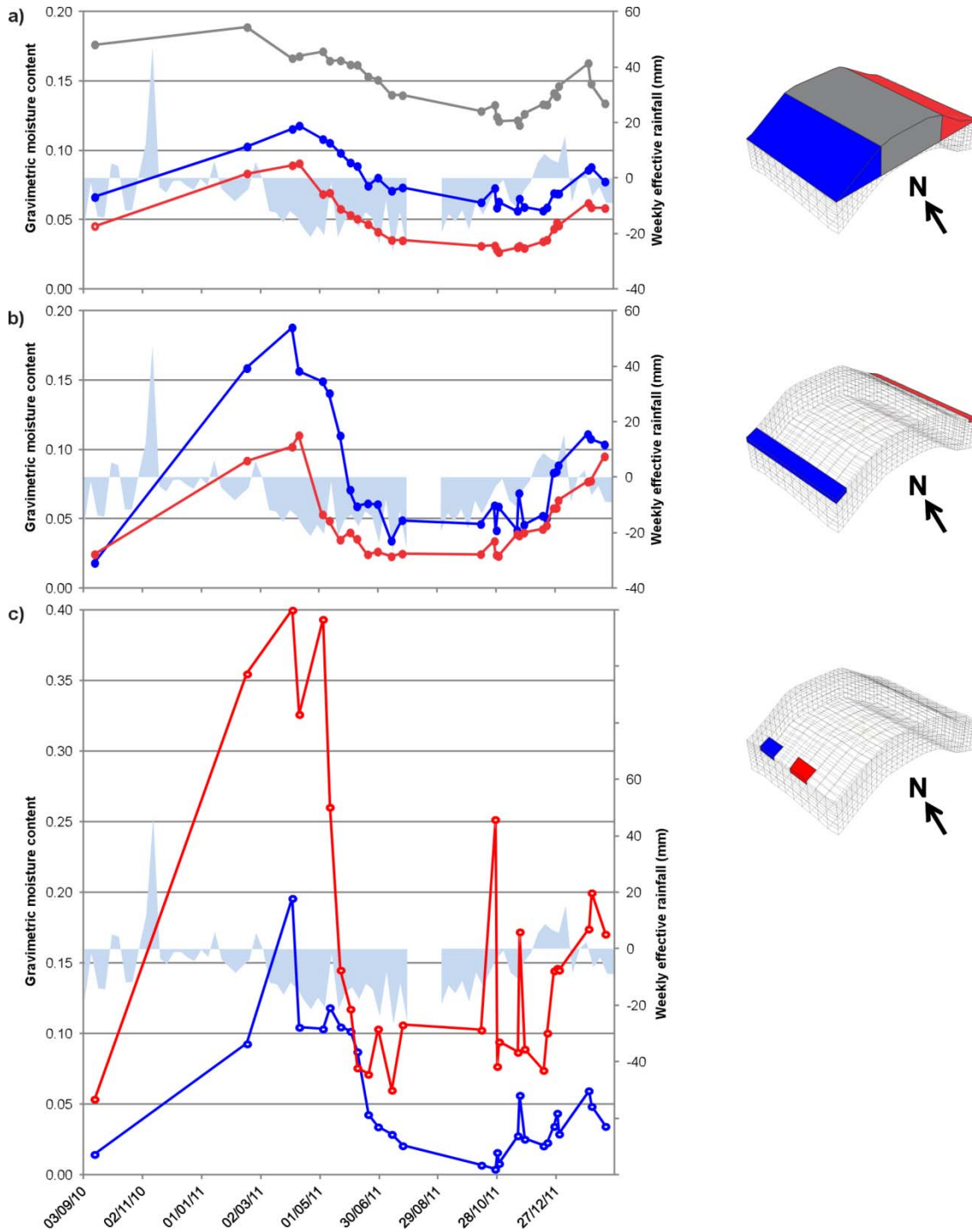
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