UNIVERSITY^{OF} BIRMINGHAM

Research at Birmingham

Probing cortical excitability using rapid frequency tagging

Zhigalov, Alexander; Herring, J.D.; Herpers, J.; Bergmann, T.O.; Jensen, Ole

DOI: 10.1016/j.neuroimage.2019.03.056

License: Creative Commons: Attribution (CC BY)

Document Version Peer reviewed version

Citation for published version (Harvard):

Zhigalov, A, Herring, JD, Herpers, J, Bergmann, TO & Jensen, O 2019, 'Probing cortical excitability using rapid frequency tagging' NeuroImage. https://doi.org/10.1016/j.neuroimage.2019.03.056

Link to publication on Research at Birmingham portal

Publisher Rights Statement: Checked for eligibility: 02/04/2019 https://doi.org/10.1016/j.neuroimage.2019.03.056

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

• Users may freely distribute the URL that is used to identify this publication.

• Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

Accepted Manuscript

Probing cortical excitability using rapid frequency tagging

A. Zhigalov, J.D. Herring, J. Herpers, T.O. Bergmann, O. Jensen

PII: S1053-8119(19)30256-3

DOI: https://doi.org/10.1016/j.neuroimage.2019.03.056

Reference: YNIMG 15732

To appear in: NeuroImage

Received Date: 17 December 2018

Revised Date: 25 March 2019

Accepted Date: 25 March 2019

Please cite this article as: Zhigalov, A., Herring, J.D., Herpers, J., Bergmann, T.O., Jensen, O., Probing cortical excitability using rapid frequency tagging, *NeuroImage* (2019), doi: https://doi.org/10.1016/j.neuroimage.2019.03.056.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



1

Probing cortical excitability using rapid frequency tagging

- 2 Zhigalov, A.¹*, Herring, J.D.²*, Herpers, J.³, Bergmann, T.O.^{2,4,5,6}, Jensen, O¹.
- ³ ¹Centre for Human Brain Health, School of Psychology, University of Birmingham, UK
- ⁴ ²Donders Institute, Radboud University Nijmegen, Nijmegen, The Netherlands
- ⁵ ³Laboratory for Neurophysiology and Psychophysiology, KU Leuven, Leuven, Belgium
- ⁶ ⁴Department of Neurology and Stroke, and Hertie Institute for Clinical Brain Research,
- 7 University of Tübingen, Tübingen, Germany
- ⁵Institute of Medical Psychology and Behavioral Neurobiology, University of Tübingen,
- 9 Tübingen, Germany
- ⁶Deutsches Resilienz Zentrum (DRZ), Johannes Gutenberg University Medical Center,
- 11 Mainz, Germany
- 12 *A.Z and H.J.D contributed equally to this work.

13 Abstract

Frequency tagging has been widely used to study the role of visual selective attention. 14 Presenting a visual stimulus flickering at a specific frequency generates so-called steady-state 15 visually evoked responses. However, frequency tagging is mostly done at lower frequencies 16 (<30 Hz). This produces a visible flicker, potentially interfering with both perception and 17 18 neuronal oscillations in the theta, alpha and beta band. To overcome these problems, we used 19 a newly developed projector with a 1440 Hz refresh rate allowing for frequency tagging at 20 higher frequencies. We asked participants to perform a cued spatial attention task in which imperative pictorial stimuli were presented at 63 Hz or 78 Hz while measuring whole-head 21 magnetoencephalography (MEG). We found posterior sensors to show a strong response at 22 the tagged frequency. Importantly, this response was enhanced by spatial attention. 23 Furthermore, we reproduced the typical modulations of alpha band oscillations, i.e., decrease 24 in the alpha power contralateral to the attentional cue. The decrease in alpha power and 25 increase in frequency tagged signal with attention correlated over subjects. We hereby 26 provide proof-of-principle for the use of high-frequency tagging to study sensory processing 27

and neuronal excitability associated with attention.

29 Introduction

Frequency tagging has been successfully used to study selective stimulus processing in EEG studies (e.g., (Müller et al., 2006, 2003, 1998; Norcia et al., 2015; Vialatte et al., 2010)). The

32 technique has also been applied in MEG studies to investigate visual perception (Parkkonen

- 33 et al., 2008) as well as the engagement of representational selective areas in the ventral
- 34 stream (Baldauf and Desimone, 2014). With frequency tagging, a stimulus (usually visual or
- 35 auditory) is presented at a fixed frequency, which then produces robust steady-state visually
- 36 evoked potentials or fields (respectively SSVEPs or SSVEFs for EEG and MEG), resulting in

37 a power increase at the tagged frequency (Vialatte et al., 2010). These responses are for 38 instance enhanced by attention (Morgan et al., 1996; Müller et al., 2006) and reflect subjective perception in a bi-stable perception task (Parkkonen et al., 2008). As such they are 39 a useful tool for investigating mechanisms of attention and perception in humans. Typically, 40 frequency tagging is applied at lower frequencies (<30 Hz), which is associated with flicker 41 42 perception and may interfere with task performance. It also creates a problem when relating frequency tagging to neuronal oscillations in e.g. the alpha (8 - 13 Hz) and beta band (15 - 13 Hz)43 30 Hz) since frequency tagging is likely to entrain or interfere with spontaneous neuronal 44 oscillations as well (Keitel et al., 2014; Spaak et al., 2014). In this study, we use a newly 45 46 developed projector that allows us to perform frequency tagging at higher frequencies and hence to investigate neuronal excitability and visual attention in relation to endogenous 47 oscillations in the alpha band. 48

Neuronal oscillations have been shown to play a key role in the processing of sensory 49 information by synchronizing neuronal firing and modulating synaptic input (Schroeder and 50 51 Lakatos, 2009). For example, alpha oscillations have been hypothesized to support active 52 inhibition of brain regions processing task-irrelevant, and possibly distracting, stimuli (Foxe and Snyder, 2011; Jensen and Mazaheri, 2010; Klimesch et al., 2007). This is underscored by 53 54 the findings that posterior alpha oscillations are strongly modulated by spatial attention (Händel et al., 2011; Thut et al., 2006; Worden et al., 2000). Additionally, the phase of alpha 55 56 has been shown to modulate perception (Mathewson et al., 2011; Vanrullen et al., 2011) and cortical excitability (Dugué et al., 2011; Scheeringa et al., 2011; Spaak et al., 2012). 57

In this study, we apply frequency tagging between 60 and 80 Hz in order to probe neocortical 58 59 excitability in relation to alpha oscillations. A previous study by Christoph Hermann 60 (Herrmann, 2001) has shown that rapidly flickering LED can drive the visual cortex as measured by human EEG up to around 100 Hz. Intracranial recordings in monkeys and 61 humans have demonstrated that neuronal spiking in visual regions is entrained by the refresh 62 rate of a CRT computer monitor (60 Hz) (Krolak-Salmon et al., 2003; Sandström et al., 1997; 63 Williams et al., 2004). We applied frequency tagging above 60 Hz using a projector with a 64 1440 Hz refresh rate while recording whole-head MEG. This was done while subjects 65 66 attended to flickering face and house stimuli in a cued spatial attention paradigm. The aim was to determine if cortical excitability as modulated by spatial attention could be estimated 67 68 using rapid frequency tagging. Our core assumption is that the amplitude of MEG signal at 69 the tagged frequency reflects neuronal excitability. Furthermore, we expect neuronal 70 excitability to increase with spatial attention and thus the tagged signal as well. A second aim was to investigate the relationship between alpha band oscillations and the cortical 71 excitability assessed by rapid frequency tagging. 72

73 Materials and Methods

74 *Participants*

Participants were recruited from a participant database of the Radboud University Nijmegen. Twenty-five healthy (17 females, aged 26 ± 10 (mean \pm SD)) participants partook in the

study. Two of the subjects were excluded due to an excessive amount of rejected trials. Written informed-consent was acquired before enrolment in the study. All subjects conformed to standard inclusion criteria for MEG experiments. Subjects had normal or corrected-to-normal vision. The study was approved by the local ethics committee (CMO region Arnhem/Nijmegen). Subjects received financial compensation of 8 euros per hour or were compensated in course credits.

83 Attention Task

Participants performed a spatial attention task (4 blocks of 15 minutes) in which they had to allocate attention to either the left, or the right visual hemifield, depending on a cue presented at the start of each trial (Fig. 1).

Figure 1 about here

87

88

89

90 Each trial started with a fixation cross (500 ms) followed by an arrow (150 ms) indicating the hemifield that the participants had to attend to (attentional cue), while fixating on the center 91 92 of the screen. The fixation cross was shown for 350 ms after the attentional cue, and then stimuli were presented in the left and right visual hemifield for 1500 ms. Participants were 93 94 instructed to detect a vertical flip of the attended stimulus. Flips occurred at the end of trial in 25% of trials. In 20% of these trials, the flip was on the cued side, while in 5% of the trials 95 (catch trials), the flip was in the hemifield opposite to the cued side, and participants had not 96 to respond. Participants responded to the vertical flip by button presses with either index 97 finger (flip on the left) or middle finger (flip of the right). The duration of the flipped 98 99 stimulus was adjusted using QUEST adaptive staircase procedure (Watson and Pelli, 1983) to attain 80% correct responses. The initial duration of the flipped stimuli was 10 ms and it 100 varied between 2 and 30 ms during the session controlled by the OUEST procedure. The 101 102 validity of the responses was indicated on the screen as correct ("CORRECT"), incorrect 103 ("INCORRECT"), or missed ("MISS") response. Next trial began following a random interval of 500±250 ms. Such relatively short inter-stimulus interval may influence the 104 neuronal responses in the subsequent trials; however, the random stimulus onset reduces this 105 effect. The experimental paradigm was implemented in MATLAB 2017b (Mathworks Inc., 106 107 Natrick, USA) using Psychophysics Toolbox 3.0.11 (Kleiner et al., 2007).

108 Visual stimuli

Pairs of stimuli (face and house) were presented simultaneously in the lower left and right visual field (8.3 degrees eccentricity). Different combinations of faces and houses (comprising ten faces and ten houses) were presented in random order over the trials. Luminance of the grayscale stimuli was normalized using the SHINE Toolbox for MATLAB (Willenbockel et al., 2010) and a circular mask was applied to the images (see, Fig. 1). Stimuli were presented at a rate of respectively 63 Hz and 78 Hz (counter-balanced over trials). The presentation rate was achieved by modulating transparency of the stimulus with a

- sinusoid at the target frequency, phase-locked across trials. Direction of attention, pairing of
- 117 face-house stimuli and tagging frequencies were counterbalanced over trials.

118 Projector

119 To achieve a high rate of stimuli presentation, we used a GeForce GTX960 2GB graphics card in combination with a PROPixx DLP LED projector (VPixx Technologies Inc., Saint-120 Bruno-de-Montarville, Canada). This projector provides a refresh rate up to 1440 Hz by 121 dividing each frame received from the graphics card (at 120 Hz) into multiple frames. 122 123 Basically, the projector divides each received frame (1920 x 1200 pixels) into four equally 124 sized quadrants (960 x 600 pixels), allowing for a fourfold increase in refresh rate (480 Hz). Colour (RGB) images presented in each quadrant can be further converted to a grayscale 125 representation by equalizing all components of RGB code. As such, this allows for an 126 increased refresh rate of 120 Hz by a factor of 4 times 3 (1440 Hz) when presenting grayscale 127 128 images with a resolution of 960 x 600 pixels.

129 MRI data acquisition

130 A high-resolution Tl-weighted image (TR = 2300 ms, TE = 3.03 ms, TI = 1100 ms, 1.0 mm^3 131 isotropic resolution, 192 sagittal slices) was acquired using a 3T MAGNETOM Skyra

132 (Siemens Healthcare, Erlangen, Germany).

133 *MEG data acquisition*

MEG was acquired using a 275-sensor axial gradiometer CTF system (CTF MEG systems, Coquitlam, Canada). The MEG data was low-pass filtered at 300 Hz using embedded antialiasing filters and sampled at 1200 Hz. Head position of the participants was continuously monitored throughout the experiment using three head-localization coils placed on the nasion and both periauricular points (Stolk et al., 2013).

139 MEG data preprocessing

140 MEG data were analysed using MATLAB and the Fieldtrip toolbox (Oostenveld et al., 2011). The data were segmented into 3.5 s epochs; -1.5 to 2 s relative to the onset of flickering 141 stimulation. The data were further down-sampled to 300 Hz and ICA unmixing matrices were 142 calculated using the 'infomax' algorithm (Makeig et al., 1996) on the first 90 principal 143 components of the data. Components containing topographies and time courses clearly 144 145 matching cardiobalistic activity and eye-blinks were rejected from the data. The trials containing large amplitude events (above 5 SD) were rejected. The number of such trials has 146 not exceeded of 5% of total amount of trials. 147

148 Sensor-level analysis

149 Synthetic planar gradients were calculated to ease interpretation of the topography of power

- 150 measurements (Bastiaansen and Knösche, 2000). The planar gradient power was combined
- 151 by summing the orthogonal components for each sensor location.

To estimate the effect of attention on power at the tagging frequencies or neuronal oscillations, the attention modulation index (AMI) was calculated. To this end, spectral power for time-frequency representations (TFR) was computed using Fourier transform (FT) for each sensor and epoch from -1.5 to 2 s relatively to stimulus onset. The spectral power was computed for multiple moving-time windows (1 s length and 0.05 s step) weighted by the Hanning taper, and over a range of frequencies (1 – 100 Hz). The effect of spatial attention for the left sensors was calculated as follows:

159
$$AMI_{\{SL\}} = (P_{AR\{SL\}} - P_{AL\{SL\}}) / (P_{AR\{SL\}} + P_{AL\{SL\}})$$
(1)

where SL denotes the subset of left sensors (similarly, SR denotes the subset of right sensors); P_{AL} and P_{AR} denote spectral power averaged over trials "attention left" and "attention right", respectively. The AMI for the right sensors was computed in the same manner, and the resulting AMI was obtained by combining AMI for the left and right sensors with inverse polarity as follows:

$$AMI = AMI_{\{SL\}} - AMI_{\{SR\}}$$
(2)

166 In case of all sensors AMI (see, Figure 4), we computed the spatial patterns as follows:

167
$$AMI = (P_{AR} - P_{AL}) / (P_{AR} + P_{AL})$$
(3)

where P_{AL} and P_{AR} denote spectral power averaged over trials "attention left" and "attention right", respectively.

170 Statistical comparisons

Unless specified otherwise, conditions were compared using two-sided paired-sample t-tests.
To statistically quantify the AMI in spatial domain, we used cluster-based permutation
statistics (Maris and Oostenveld, 2007), which allow controlling for multiple comparisons
over sensors.

175 **Results**

Subjects performed a cued spatial attention task and were instructed to press a button if a stimulus flipped vertically on the cued side (left or right). In each trial, a pair of face/house stimuli appeared for 1.5 s in the left and right visual hemifield (Fig. 1). Each stimulus was flickering at either 63 Hz or 78 Hz. The location of the face and house stimulus (left or right hemifield), tagging frequency (63 or 78 Hz), and direction of attention were counterbalanced over trials throughout the experiment.

- 182 Behaviour
- 183 Behavioural results demonstrated that participants were able to detect flips in the attended
- 184 hemifield while ignoring flips in the unattended hemifield. The average hit rate was 0.75 \pm
- 185 0.05 (mean \pm SD) and the average reaction time was 0.47 \pm 0.03 s (mean \pm SD).
- 186 Spatial attention modulates responses of frequency-tagged stimuli

To assess the response in the early visual cortex to the flickering stimuli, we calculated timelocked averages of the event-related fields pooling data over stimulus type (face, house) and direction of attention (left, right). Visual stimulation at the tagging frequencies produced clear steady-state visual evoked fields (SSVEFs) in occipital sensors (Fig. 2A,B). The SSVEFs lasted for the entire stimulation period and were markedly larger for 63 Hz compared to 78 Hz, as evident by a significant main effect of tagging frequency.

193 194 -----

Figure 2 about here

195

We calculated the power spectra for each trial and then averaged over the trials. The sensors were selected according to the strongest response at the tagging frequencies for all the participants (Fig. 2C). The group-level normalized power spectra showed pronounced peaks in the tagging frequencies at the selected occipital sensors (Fig. 2D), suggesting that the frequency tagging method produces reliable responses in majority of the participants.

To quantify the effect of attentional modulation of power at the tagging signals we calculated 201 202 the attention modulation index (AMI; see Materials and Methods). The AMI indicates the power at sensors contralaterally to the attended hemifield minus the power ipsilaterally 203 (normalized by the sum); as such the figures reflect attention 'ON' minus attention 'OFF'. 204 The AMI was computed for the entire trial interval from -1.5 to 2 s (relatively to stimulus 205 onset) using time-frequency representations of power (Fig. 3A). This was done for the 206 sensors shown in Fig. 2C. The signals at the tagged frequencies increased with attention; i.e. 207 they increased in the hemisphere contralateral to the attended hemifield. The alpha power was 208 relatively suppressed in the hemisphere contralateral to attention. The AMI was then 209 210 averaged over time bins in the 0.5 - 1.5 s interval to reduce the contribution of the initial 211 evoked response (Fig. 3B). The AMI was significantly different from zero ($t_{22} > 5.64$, $p < 10^{-10}$ 10^{-5} , uncorrected) in both the alpha band and at the tagging frequencies. However, AMI at 63 212 Hz was significantly larger than AMI at 78 Hz ($t_{22} = 2.74$, p < 0.01), suggesting that the 213 efficacy of the response decreases at high frequencies (above 20 Hz) as a function of 214 215 (tagging) frequency.

The AMI was derived as a difference in power between trials "attention left" and "attention 216 217 right" (see, equation 1), and hence, it does not indicate whether the difference is related to ipsilater increase or contralateral decrease in power at the alpha frequency (and opposite in 218 the tagging frequencies). To clarify this, we quantified the relative change in power compared 219 to the baseline as follows, $\Delta P = (P_{stimulation} - P_{baseline}) / P_{baseline}$, where $P_{baseline}$ and $P_{stimulation}$ 220 denote power at the baseline and stimulation, respectively. The power at the alpha 221 frequencies showed larger decrease contralaterally to stimulation side and the power at the 222 tagging frequencies showed an opposite change (Fig. 3C, D). 223

- 224 ------
- 225Figure 3 about here
- 226 ------

227 Using cluster-based permutation test controlling for multiple comparisons over sensors (see 228 Materials and Methods), we identified the clusters of sensors at which power was significantly modulated by attention (Fig. 4). The spatial clusters of AMI in the alpha band 229 and the tagging frequencies were over occipito-parietal areas; however, the alpha frequency 230 clusters were located more posterior compared to those of the tagging frequencies. We 231 232 quantified the overlap between clusters using the Jaccard (or Intersection over Union) index. 233 The results of such method should be taken with caution because the cluster size is strongly affected by the signal-to-noise ratio and by the metric of statistical testing. The spatial 234 clusters at the alpha and higher tagging frequency showed a moderate (nearly 60%) overlap 235 as indicated by the Jaccard index. The spatial map of AMI at the alpha frequency was well in 236 line with previous observations (e.g., (Foxe and Snyder, 2011; Händel et al., 2011; Thut et 237 al., 2006; van Ede et al., 2011; Worden et al., 2000)), suggesting that the spatial attention 238 related modulations of alpha activity are preserved despite the frequency tagging. Clusters at 239 240 the lower (63 Hz) and higher (78 Hz) tagging frequencies showed a strong (over 90%) 241 overlap as indicated by the Jaccard index; however, the clusters at 63 Hz were slightly larger compared to those for the higher frequency (78 Hz). 242

Figure 4 about here

243

244

245

245

246 Relationship between AMI at the alpha and tagging frequencies

Considering the inverse relationship between the attentional modulation in the alpha band and 247 the tagging frequencies (see Fig. 4), we tested whether participants with a stronger 248 modulation of alpha power have stronger power modulation at the tagging frequencies. To 249 250 this end, we derived the individual AMI of the alpha band and the tagging frequencies (63 251 and 78 Hz combined) and assessed their correlation over subjects. We defined separate masks for the alpha and tagging frequencies (Fig. 5A) by selecting sensors expressing the 10% of 252 largest absolute AMI values (see Fig. 4). We observed a robust correlation (r = -0.47, p < -0.47253 0.03; Spearman correlation) between individual AMIs (Fig. 5B). This suggests that 254 participants demonstrating stronger alpha modulation had also stronger modulation at the 255 tagging frequencies. Additionally, we assessed the Spearman correlation between the 256 individual AMI of the alpha and each tagging frequency, separately. The correlation was 257 significant for the lower tagging frequency (63 Hz; r = -0.51, p < 0.01), but it was not 258 significant for the higher tagging frequency (78 Hz; r = -0.24, p > 0.26). This result could be 259 partially explained by lower signal-to-noise ratio at the higher frequencies. 260

- 261
- 262
- 263

To test whether the relationship between AMI at the alpha and tagging frequencies holds at the single trial level, we computed the lateralization index (LI) for each trial as follows,

266
$$LI_{(i)} = (P_{(i)\{SR\}} - P_{(i)\{SL\}}) / (P_{(i)\{SR\}} + P_{(i)\{SL\}}),$$
(4)

Figure 5 about here

267 where $P_{(i)}$ denotes power for trial (*i*), SL and SR denote indices of the left and right sensors, respectively. In contrast to the equation (1), we subtracted left and right sensors instead of 268 "attention left" and "attention right" trials. The LI_(i) were split into two categories "attention 269 left" and "attention right", and correlation (and median split t-test) between LI at the alpha 270 and tagging frequencies was computed for each category separately. We did not find any 271 272 significant (p > 0.05) correlation (or median split t-statistics) between LI at the alpha and tagging frequencies. A larger amount of trials is necessary to establish whether such a 273 relationship exists or not. 274

275

276 **Discussion**

277 We here demonstrate that tagging of visual stimuli at rapid frequencies (63 and 78 Hz) can induce neuronal responses at the same frequencies in visual cortex. Spatial attention towards 278 a visual object produced stronger responses at the tagging frequency contralateral to the 279 direction of attention compared to the unattended stimulus. As such, the tagging signal 280 reflects the gain of neuronal excitability with spatial attention. Posterior alpha oscillations 281 decreased in magnitude in posterior regions contralateral compared to ipsilateral to the 282 direction of attention. This demonstrates that the alpha oscillations were not disrupted by the 283 284 tagging signal.

The correlation between individual modulations in the alpha and the power at the tagging frequencies suggests a link between attentional mechanisms for the alpha power and tagging frequencies. One possibility is that alpha modulated by attention determines the neuronal excitability which then determines the increase in the frequency tagged responses. This interpretation however only partially explains the correlation as the topographies of AMI at the alpha and tagging frequencies did not perfectly overlap.

291 Proof-of-principle: using rapid frequency-tagging to probe neocortical excitability

This study provides proof-of-principle that rapid frequency tagging can be used to probe 292 293 brain mechanisms involved in processing of visual stimuli without affecting endogenous oscillations in the alpha range. Previous studies have shown that it is possible to elicit 294 responses in early visual cortex by using flickering light emitting diodes (LED) at frequencies 295 up to 100 Hz (Herrmann, 2001). However, the use of discrete LEDs does not allow for 296 297 creating complex stimuli. In this study, we used a state-of-the-art LED projector that is 298 capable of presenting stimuli at a refresh rate of 1440 Hz. Thus, this projector allowed us to modulate luminance of the stimulus at frequencies up to 720 Hz (the Nyquist frequency of 299 the projector). Similarly to the study of Herrmann (2001), we observed weaker neuronal 300 response for the stimuli tagged at 78 Hz compared to 63 Hz, although both stimuli were 301 302 modulated with the same intensity. This might be explained by the attenuation resulting from the synaptic drives in the early visual stream. The time course of the post-synaptic potentials 303 are in the order of ~10 ms (Koch et al., 1996), which effectively creates a ~100 Hz low pass 304 filter. Another possibility is that the proximity of the frequency of the tagged signal to the 305 frequency of the individual gamma oscillations influences the magnitude of the tagged 306

response. These possibilities require further investigation in future studies where the taggingover a broader frequency is systematically explored.

309 Attention enhances neural response to tagging signal

310 An assumption underlying the use of frequency tagging as a tool to study sensory processing in the brain is that the EEG/MEG signal at the tagged frequency reflects underlying sensory 311 processing. We have shown here that spatial attention modulates power at the tagging 312 frequency in the expected direction; the response at the tagged frequency was enhanced when 313 314 attention was directed towards the stimulus and suppressed when attention was directed 315 away. This suggests that the gain increase associated with the allocation of spatial attention results in increased neuronal excitation, which in turn is reflected by the power of the 316 frequency tagged MEG signal. 317

318 Alpha oscillations are not disrupted by rapid frequency tagging

The increase in neuronal response modulated by spatial attention has also been shown at the 319 lower (up to 30 Hz) tagging frequencies (e.g. (Müller et al., 2006; Toffanin et al., 2009)). 320 321 However, frequency tagging at lower frequencies (0.5–30 Hz) is likely to interfere with 322 endogenous neuronal oscillations. Most frequency tagging experiments are limited to frequency bands below 30 Hz (e.g. (Müller et al., 2006; Norcia et al., 2015; Toffanin et al., 323 2009)). In this case, the tagging signal produces visible a flicker and may potentially entrain 324 the ongoing oscillations (Spaak et al., 2014; Thut et al., 2011). This is especially evident 325 326 given that tagging produces the strongest neuronal response in the visual system at frequencies between 12 Hz and 18 Hz (Kuś et al., 2013). 327

In our study, alpha oscillations in the posterior regions remained undisrupted by the rapid frequency tagging. Alpha power increased ipsilaterally to the direction of attention and decreased contralaterally as observed in numerous other studies (Händel et al., 2011; Thut et al., 2006; Worden et al., 2000). Applying frequency tagging at higher frequencies therefore makes is possible to in conjunction study the role of lower-frequency oscillations on sensory processing.

334 In future work it would be interesting to investigate if the rapid frequency tagging entrains intrinsic gamma oscillations or rather reflect a simple feedforward drive. Similar considerations have been put 335 forward for the alpha rhythm (Keitel et al., 2014). It would also be interesting to investigate the 336 337 relationship between the phase of the alpha oscillations and the frequency tagged signal. Indeed the phase of alpha oscillations has been suggested to modulate perception rhythmically in a pulsed 338 inhibitory manner; and this modulation is dependent on attention (Kizuk and Mathewson, 2017). This 339 notion could be investigated in the context of a phase-code coordinated by the alpha rhythm as 340 341 proposed by Jensen and colleagues (Jensen et al., 2014).

342 Does rapid frequency tagging entrain neuronal gamma oscillations?

There are several studies (Adjamian et al., 2004; Murty et al., 2018; Muthukumaraswamy and Singh, 2013) that attempted to apply stimulation at frequencies in the gamma range in order

to entrain endogenous gamma band oscillations (30–90 Hz). Such studies are important for

346 understanding the important function gamma band oscillations may have in neuronal 347 computations (Fries et al., 2007; Jensen et al., 2007; Varela et al., 2001). Bauer and colleagues (Bauer et al., 2009) showed that attention could be captured by subliminally 348 perceived stimuli flickering at 50 Hz. Manipulating visual perceptual integration by 349 modulating the phase of externally driven gamma frequency stimulation has proven difficult 350 351 (Bauer et al., 2012). Future studies may explore to what extent the neuronal activity elicited by rapid frequency tagging entrains endogenous gamma oscillations. If this is_the case, 352 frequency tagging should be more efficient and result in a relative power increase when 353 applied at the frequency of the individual endogenous gamma oscillations. This could also be 354 investigated by pharmacological means. It is well established that GABAergic inhibition 355 from interneurons plays a crucial role for generating of gamma oscillations (Traub et al., 356 1999). In support of this notion, we recently demonstrated that visual gamma oscillations in 357 humans increase when the GABergic agonist Lorazepam is applied (Lozano-Soldevilla et al., 358 359 2014). If rapid frequency-tagging entrains natural gamma oscillations, one would expect that rapid-frequency tagging in the gamma band increases with the application of GABAergic 360 agonists. 361

362 Conclusion

We set out to investigate the feasibility of rapid frequency tagging to study the role of sensory 363 processing in the visual cortex. Our results show that it is indeed possible to measure 364 responses at the tagging frequencies and that these responses are modulated by spatial 365 366 attention. The modulation of alpha power was inversely related to the modulation in gamma power. These findings provide important proof-of-principle that rapid frequency tagging can 367 be used to measure neuronal excitability of visual cortex in a stimulus specific manner to for 368 369 instance investigate spatial attention. Furthermore, the dynamical properties of the alpha band oscillations were preserved despite the frequency tagging. Rapid frequency tagging is highly 370 advantageous to conventional frequency tagging at lower frequency (<20 Hz) as it does not 371 372 produce a visible flicker and furthermore the faster frequencies allow for investigating the tagged response with a better temporal resolution. The stage is now set for applying 373 374 frequency tagging in combination with EEG or MEG to study the dynamical properties of the 375 visual system.

376 Acknowledgements

377

This work was supported by the James S. McDonnell Foundation Understanding Human Cognition Collaborative Award (grant number 220020448) to O.J.; Wellcome Trust Investigator Award in Science (grant number 207550) to O.J.; Royal Society Wolfson Research Merit Award to O.J.

382

383 Figure legends

Fig. 1. Schematic representation of the experimental paradigm. After an attentional cue, a house-face pair was presented at 63 and 78 Hz (counterbalanced over trials). In 20% of the trials, one of the

images was flipped vertically and required participant's response. In 5% of the trials (catch trials), theflip was in the hemifield opposite to the cued side and participants had to ignore this event.

388 Fig. 2. Event-related fields for a representative participant showed clear responses at the tagging frequencies. Note that the frequency tagged signals were presented with the same phase over trials. 389 390 (A) Broadband (black line) and narrowband (red line) trial-averaged ERFs for 63 Hz stimulus (presented right) for the left occipital sensors (see panel C). (B) Trial-averaged ERFs for 78 Hz 391 392 stimulus (presented right) for the left occipital sensors. (C) Left and right occipital MEG sensors that 393 covered areas with the stronger power at the tagging frequencies for all the participants were used in 394 the analysis. (D) Normalized group-level power spectra for the left sensors when the tagged image was presented at 63 Hz and 78 Hz in the right hemifield. Prior to computing individual power spectra, 395 396 the trials were normalized by the standard deviation of time series over sensors. The line noise with 397 peak near 50 Hz was cut out in the plot.

398 Fig. 3. Attention modulates power in the alpha band and at the tagging frequencies. (A) Time-399 frequency representation of the attention modulation index (AMI). The AMI reflects the power 400 modulation in the sensors contra- versus ipsilateral to the attended hemifield for combined left and right occipital sensors (see Fig. 2C for sensors selection). The power was calculated per trial and then 401 402 averaged. Black line indicates onset of the frequency tagged stimuli; the cue onset was at -0.5 s. (B) 403 The AMI (averaged over time bins 0.5 - 1.5 s) at the group level. Dashed lines indicate *p*-values of 404 the t-test comparing modulation index against zero (over participants). The effect is highly robust in 405 the 8-12 Hz alpha band and at 63 and 78 Hz even if multiple comparisons over frequencies are 406 considered. (C) Relative power change compared to the baseline (-1, -0.5 s) at the left sensors for trials "attention left" (cyan line; ipsilateral to the cue) and "attention right" (blue line; contralateral to 407 the cue). (D) The same as (C) but for the right sensors for trials "attention right" (orange line; 408 409 ipsilateral to the cue) and "attention left" (red line; contralateral to the cue).

410 Fig. 4. Group average topography maps of the AMI in the alpha band $(10\pm 2 \text{ Hz})$ and tagging 411 frequencies (63 and 78 Hz). Black dots indicate MEG sensors at which amplitude modulation index 412 was significantly different from zero (p < 0.05, cluster-based permutation).

Fig. 5. Relationship between the modulation of alpha power and frequency tagging. (A) Spatial masks for the alpha and tagging frequencies. The masks were obtained by selecting sensors expression the 10% of largest absolute AMI values. (B) Scatter plot of individual AMI relating the alpha power modulation and the power combined for the tagging frequencies. Subjects with a strong alpha power modulation with attention were also subjects with a strong modulation of the tagged signals.

419

420 **References**

- Adjamian, P., Holliday, I.E., Barnes, G.R., Hillebrand, A., Hadjipapas, A., Singh, K.D., 2004.
 Induced visual illusions and gamma oscillations in human primary visual cortex. Eur. J.
 Neurosci. 20, 587–592. https://doi.org/10.1111/j.1460-9568.2004.03495.x
- Baldauf, D., Desimone, R., 2014. Neural Mechanisms of Object-Based Attention. Science
 (80-.). 344, 424–427. https://doi.org/10.1126/science.1247003
- 426 Bastiaansen, M.C., Knösche, T.R., 2000. Tangential derivative mapping of axial MEG

- 427 applied to event-related desynchronization research. Clin. Neurophysiol. 111, 1300–5.
- Bauer, F., Cheadle, S.W., Parton, A., Müller, H.J., Usher, M., 2009. Gamma flicker triggers
 attentional selection without awareness. Proc. Natl. Acad. Sci. U. S. A. 106, 1666–71.
 https://doi.org/10.1073/pnas.0810496106
- Bauer, M., Akam, T., Joseph, S., Freeman, E., Driver, J., 2012. Does visual flicker phase at
 gamma frequency modulate neural signal propagation and stimulus selection? J. Vis. 12,
 5–5. https://doi.org/10.1167/12.4.5
- 434 Dugué, L., Marque, P., VanRullen, R., 2011. The phase of ongoing oscillations mediates the
 435 causal relation between brain excitation and visual perception. J. Neurosci. 31, 11889–
 436 93. https://doi.org/10.1523/JNEUROSCI.1161-11.2011
- Foxe, J.J., Snyder, A.C., 2011. The Role of Alpha-Band Brain Oscillations as a Sensory
 Suppression Mechanism during Selective Attention. Front. Psychol. 2, 154.
 https://doi.org/10.3389/fpsyg.2011.00154
- 440 Fries, P., Nikolić, D., Singer, W., 2007. The gamma cycle. Trends Neurosci. 30, 309–316.
 441 https://doi.org/10.1016/j.tins.2007.05.005
- Händel, B.F., Haarmeier, T., Jensen, O., 2011. Alpha oscillations correlate with the
 successful inhibition of unattended stimuli. J. Cogn. Neurosci. 23, 2494–502.
 https://doi.org/10.1162/jocn.2010.21557
- Herrmann, C.S., 2001. Human EEG responses to 1-100 Hz flicker: resonance phenomena in visual cortex and their potential correlation to cognitive phenomena. Exp. brain Res.
 137, 346–53.
- Jensen, O., Gips, B., Bergmann, T.O., Bonnefond, M., 2014. Temporal coding organized by
 coupled alpha and gamma oscillations prioritize visual processing. Trends Neurosci. 37,
 357–369. https://doi.org/10.1016/j.tins.2014.04.001
- Jensen, O., Kaiser, J., Lachaux, J.-P., 2007. Human gamma-frequency oscillations associated
 with attention and memory. Trends Neurosci. 30, 317–324.
 https://doi.org/10.1016/j.tins.2007.05.001
- Jensen, O., Mazaheri, A., 2010. Shaping functional architecture by oscillatory alpha activity:
 gating by inhibition. Front. Hum. Neurosci. 4, 186.
 https://doi.org/10.3389/fnhum.2010.00186
- Keitel, C., Quigley, C., Ruhnau, P., 2014. Stimulus-Driven Brain Oscillations in the Alpha
 Range: Entrainment of Intrinsic Rhythms or Frequency-Following Response? J.
 Neurosci. 34, 10137–10140. https://doi.org/10.1523/JNEUROSCI.1904-14.2014
- 460 Kizuk, S.A.D., Mathewson, K.E., 2017. Power and Phase of Alpha Oscillations Reveal an
 461 Interaction between Spatial and Temporal Visual Attention. J. Cogn. Neurosci. 29, 480–
 462 494. https://doi.org/10.1162/jocn_a_01058
- Kleiner, M., Brainard, D., Pelli, D., Ingling, A., Murray, R., Broussard, C., 2007. What's new
 in psychtoolbox-3, Perception. [Pion Ltd.].
- Klimesch, W., Sauseng, P., Hanslmayr, S., 2007. EEG alpha oscillations: The inhibition–
 timing hypothesis. Brain Res. Rev. 53, 63–88.

- 467 https://doi.org/10.1016/j.brainresrev.2006.06.003
- Koch, C., Rapp, M., Segev, I., 1996. A brief history of time (constants). Cereb. Cortex 6, 93–
 101.
- Krolak-Salmon, P., Hénaff, M.-A., Tallon-Baudry, C., Yvert, B., Guénot, M., Vighetto, A.,
 Mauguière, F., Bertrand, O., 2003. Human lateral geniculate nucleus and visual cortex
 respond to screen flicker. Ann. Neurol. 53, 73–80. https://doi.org/10.1002/ana.10403
- Kuś, R., Duszyk, A., Milanowski, P., Łabęcki, M., Bierzyńska, M., Radzikowska, Z.,
 Michalska, M., Zygierewicz, J., Suffczyński, P., Durka, P.J., 2013. On the quantification of SSVEP frequency responses in human EEG in realistic BCI conditions. PLoS One 8, e77536. https://doi.org/10.1371/journal.pone.0077536
- Lozano-Soldevilla, D., ter Huurne, N., Cools, R., Jensen, O., 2014. GABAergic modulation
 of visual gamma and alpha oscillations and its consequences for working memory
 performance. Curr. Biol. 24, 2878–87. https://doi.org/10.1016/j.cub.2014.10.017
- Makeig, S., Makeig, S., Bell, A.J., Jung, T., Sejnowski, T.J., 1996. Independent Component
 Analysis of Electroencephalographic Data. Adv. NEURAL Inf. Process. Syst. 8, 145-151.
- 483 Maris, E., Oostenveld, R., 2007. Nonparametric statistical testing of EEG- and MEG-data. J.
 484 Neurosci. Methods 164, 177–90. https://doi.org/10.1016/j.jneumeth.2007.03.024
- Mathewson, K.E., Lleras, A., Beck, D.M., Fabiani, M., Ro, T., Gratton, G., 2011. Pulsed out
 of awareness: EEG alpha oscillations represent a pulsed-inhibition of ongoing cortical
 processing. Front. Psychol. 2, 99. https://doi.org/10.3389/fpsyg.2011.00099
- Morgan, S.T., Hansen, J.C., Hillyard, S.A., 1996. Selective attention to stimulus location
 modulates the steady-state visual evoked potential. Proc. Natl. Acad. Sci. U. S. A. 93,
 4770–4.
- Müller, M.M., Andersen, S., Trujillo, N.J., Valdés-Sosa, P., Malinowski, P., Hillyard, S.A.,
 2006. Feature-selective attention enhances color signals in early visual areas of the
 human brain. Proc. Natl. Acad. Sci. U. S. A. 103, 14250–4.
 https://doi.org/10.1073/pnas.0606668103
- Müller, M.M., Malinowski, P., Gruber, T., Hillyard, S.A., 2003. Sustained division of the
 attentional spotlight. Nature 424, 309–312. https://doi.org/10.1038/nature01812
- Müller, M.M., Picton, T.W., Valdes-Sosa, P., Riera, J., Teder-Sälejärvi, W.A., Hillyard, S.A.,
 1998. Effects of spatial selective attention on the steady-state visual evoked potential in
 the 20-28 Hz range. Brain Res. Cogn. Brain Res. 6, 249–61.
- Murty, D.V.P.S., Shirhatti, V., Ravishankar, P., Ray, S., 2018. Large Visual Stimuli Induce
 Two Distinct Gamma Oscillations in Primate Visual Cortex. J. Neurosci. 38, 2730–
 2744. https://doi.org/10.1523/JNEUROSCI.2270-17.2017
- Muthukumaraswamy, S.D., Singh, K.D., 2013. Visual gamma oscillations: The effects of
 stimulus type, visual field coverage and stimulus motion on MEG and EEG recordings.
 Neuroimage 69, 223–230. https://doi.org/10.1016/j.neuroimage.2012.12.038
- 506 Norcia, A.M., Appelbaum, L.G., Ales, J.M., Cottereau, B.R., Rossion, B., 2015. The steady-

507 state visual evoked potential in vision research: A review. J. Vis. 15, 4. https://doi.org/10.1167/15.6.4 508 Oostenveld, R., Fries, P., Maris, E., Schoffelen, J.-M., 2011. FieldTrip: Open source software 509 for advanced analysis of MEG, EEG, and invasive electrophysiological data. Comput. 510 Intell. Neurosci. 2011, 156869. https://doi.org/10.1155/2011/156869 511 Parkkonen, L., Andersson, J., Hämäläinen, M., Hari, R., 2008. Early visual brain areas reflect 512 the percept of an ambiguous scene. Proc. Natl. Acad. Sci. U. S. A. 105, 20500-4. 513 514 https://doi.org/10.1073/pnas.0810966105 Sandström, M., Lyskov, E., Berglund, A., Medvedev, S., Mild, K.H., 1997. 515 Neurophysiological effects of flickering light in patients with perceived electrical 516 hypersensitivity. J. Occup. Environ. Med. 39, 15-22. 517 Scheeringa, R., Mazaheri, A., Bojak, I., Norris, D.G., Kleinschmidt, A., 2011. Modulation of 518 visually evoked cortical FMRI responses by phase of ongoing occipital alpha 519 520 oscillations. J. Neurosci. 31, 3813-20. https://doi.org/10.1523/JNEUROSCI.4697-10.2011 521 Schroeder, C.E., Lakatos, P., 2009. Low-frequency neuronal oscillations as instruments of 522 sensory selection. Trends Neurosci. 32, 9–18. https://doi.org/10.1016/j.tins.2008.09.012 523 Spaak, E., Bonnefond, M., Maier, A., Leopold, D.A., Jensen, O., 2012. Layer-specific 524 525 entrainment of γ -band neural activity by the α rhythm in monkey visual cortex. Curr. 526 Biol. 22, 2313-8. https://doi.org/10.1016/j.cub.2012.10.020 Spaak, E., de Lange, F.P., Jensen, O., 2014. Local entrainment of α oscillations by visual 527 528 stimuli causes cyclic modulation of perception. J. Neurosci. 34, 3536-44. 529 https://doi.org/10.1523/JNEUROSCI.4385-13.2014 Stolk, A., Todorovic, A., Schoffelen, J.-M., Oostenveld, R., 2013. Online and offline tools for 530 head movement compensation in MEG. Neuroimage 68, 39-48. 531 https://doi.org/10.1016/j.neuroimage.2012.11.047 532 Thut, G., Nietzel, A., Brandt, S.A., Pascual-Leone, A., 2006. Alpha-band 533 electroencephalographic activity over occipital cortex indexes visuospatial attention bias 534 and predicts visual target detection. J. Neurosci. 26, 9494-502. 535 https://doi.org/10.1523/JNEUROSCI.0875-06.2006 536 537 Thut, G., Schyns, P.G., Gross, J., 2011. Entrainment of perceptually relevant brain oscillations by non-invasive rhythmic stimulation of the human brain. Front. Psychol. 2, 538 170. https://doi.org/10.3389/fpsyg.2011.00170 539 Toffanin, P., de Jong, R., Johnson, A., Martens, S., 2009. Using frequency tagging to 540 quantify attentional deployment in a visual divided attention task. Int. J. Psychophysiol. 541 542 72, 289–98. Traub, R.D., Whittington, M.A., Buhl, E.H., Jefferys, J.G., Faulkner, H.J., 1999. On the 543 mechanism of the gamma --> beta frequency shift in neuronal oscillations induced in 544 rat hippocampal slices by tetanic stimulation. J. Neurosci. 19, 1088–105. 545 van Ede, F., de Lange, F., Jensen, O., Maris, E., 2011. Orienting Attention to an Upcoming 546 Tactile Event Involves a Spatially and Temporally Specific Modulation of Sensorimotor 547

- Alpha- and Beta-Band Oscillations. J. Neurosci. 31, 2016–2024.
 https://doi.org/10.1523/JNEUROSCI.5630-10.2011
- Vanrullen, R., Busch, N.A., Drewes, J., Dubois, J., 2011. Ongoing EEG Phase as a Trial-by Trial Predictor of Perceptual and Attentional Variability. Front. Psychol. 2, 60.
 https://doi.org/10.3389/fpsyg.2011.00060
- Varela, F., Lachaux, J.-P., Rodriguez, E., Martinerie, J., 2001. The brainweb: Phase
 synchronization and large-scale integration. Nat. Rev. Neurosci. 2, 229–239.
 https://doi.org/10.1038/35067550
- Vialatte, F.-B., Maurice, M., Dauwels, J., Cichocki, A., 2010. Steady-state visually evoked
 potentials: focus on essential paradigms and future perspectives. Prog. Neurobiol. 90,
 418–38. https://doi.org/10.1016/j.pneurobio.2009.11.005
- Watson, A.B., Pelli, D.G., 1983. Quest: A Bayesian adaptive psychometric method. Percept.
 Psychophys. 33, 113–120. https://doi.org/10.3758/BF03202828
- Willenbockel, V., Sadr, J., Fiset, D., Horne, G.O., Gosselin, F., Tanaka, J.W., 2010.
 Controlling low-level image properties: The SHINE toolbox. Behav. Res. Methods 42,
 671–684. https://doi.org/10.3758/BRM.42.3.671
- Williams, P.E., Mechler, F., Gordon, J., Shapley, R., Hawken, M.J., 2004. Entrainment to
 video displays in primary visual cortex of macaque and humans. J. Neurosci. 24, 8278–
 88. https://doi.org/10.1523/JNEUROSCI.2716-04.2004
- Worden, M.S., Foxe, J.J., Wang, N., Simpson, G. V, 2000. Anticipatory biasing of
 visuospatial attention indexed by retinotopically specific alpha-band
- 569 electroencephalography increases over occipital cortex. J. Neurosci. 20, RC63.

570









