# High-Solids Anaerobic Digestion requires a tradeoff between Total Solids, Inoculum-to-Substrate Ratio and Ammonia Inhibition

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### 5 ABSTRACT

6 Increasing Total Solids on anaerobic digestion can reduce the methane yield, by the 7 interaction of highly-complex bio-physical-chemical mechanisms. Therefore, 8 understanding those mechanisms and their main drivers becomes crucial to optimize 9 high-solids anaerobic digestion at industrial scale. In this study, seven batch 10 experiments were conducted to investigate the effects of increasing the Total Solids 11 content on high-solids anaerobic digestion of the organic fraction of municipal solid 12 waste. With an Inoculum-to-Substrate Ratio = 1.5 g VS/g VS and maximum Total 13 Solids  $\leq$  19.6 %, mono-digestion of the organic fraction of municipal solid waste 14 showed a methane yield of 174-236 NmL CH<sub>4</sub>/g VS. With an Inoculum-to-Substrate 15 Ratio  $\leq 1.0$  g VS/g VS and maximum total solids  $\geq 24.0$  %, similar mono-digestion 16 experiments resulted in acidification. Co-digestion of the organic fraction of municipal 17 solid waste and beech sawdust permitted to reduce the Inoculum-to-Substrate Ratio to 18 0.16 g VS/g VS while increasing Total Solids up to 30.2 %, though achieving a lower 19 methane yield (i.e. 117-156 NmL CH<sub>4</sub>/g VS). At each Inoculum-to-Substrate Ratio, a View metadata, citation and similar papers at core.ac.uk brought to you by CORE Latty acid

21accumulation. Thus, a 40 % lower methane yield of the organic fraction of municipal22solid waste was observed at a NH3 concentration  $\geq 2.3$  g N-NH3/kg Reactor Content and23Total Solids = 15.0 %. Meanwhile, the addition of sawdust to the organic fraction of24municipal solid waste lowered the nitrogen content, being the risk of acidification

25	exacerbated only at Total Solids $\geq$ 20.0 %. Therefore, the biodegradability of the
26	substrate, as well as the operational Total Solids and the Inoculum-to-Substrate Ratio,
27	are closely-interrelated parameters determining the success of methanogenesis, but also
28	the risk of ammonia inhibition on high-solids anaerobic digestion.
29	
30	Keywords: High-solids Anaerobic Digestion; Organic Fraction of Municipal Solid
31	Waste; Batch Experiments; Co-digestion; Thermophilic; Methane Yield; Volatile Fatty
32	Acids.
33	

#### 34 1 INTRODUCTION

35

36 waste (OW) is decomposed to a mixture of gases – mainly CH<sub>4</sub> and CO<sub>2</sub> – known as 37 biogas, and a partially stabilized organic material known as digestate. Biogas has a high 38 calorific content, while the nutrient-concentrated digestate has the potential to be used 39 as soil amendment (De Baere and Mattheeuws 2013). AD takes place through a 40 sequential set of fermentative steps carried out symbiotically by different microbial 41 consortia (Gerardi 2003). The main AD steps are hydrolysis, acidogenesis, acetogenesis 42 and methanogenesis, while the AD biochemistry strongly depends on a balance between 43 volatile fatty acid (VFA) production by acidogens/acetogens and VFA consumption by 44 methanogens. When an imbalance occurs, VFA and/or  $H_2$  accumulate, potentially 45 leading to AD failure by acidification (i.e.  $pH \le 6.0$ ) (Motte et al. 2014; Staley et al. 46 2011). Other inhibitory substances may also accumulate during AD, such as free 47 ammonia (NH<sub>3</sub>) and cations (e.g. Na<sup>+</sup>, K<sup>+</sup>) (Chen et al. 2008; Riggio et al. 2017). 48 49 Depending on the total solid (TS) content, AD can be operated under 'wet' (i.e. TS < 50 10 %), 'semi-solid' (i.e.  $10 \le TS < 20$  %) and 'dry' (i.e.  $TS \ge 20$  %) conditions 51 (Abbassi-Guendouz et al. 2012; Pastor-Poquet et al. 2018). High-solids AD (HS-AD) 52 includes the two last cases, and has some advantages such as the use of a smaller 53 digester volume, and a reduced need for water addition and dewatering operations, 54 enhancing the process economy (André et al. 2018; Kothari et al. 2014). However, HS-55 AD also shows some drawbacks such as a high risk of reactor acidification by substrate 56 overload, and a reduced mass transfer associated to the low content of free water in the

Anaerobic digestion (AD) is a biochemical treatment technology in which an organic

59	available to dilute potential inhibitors (i.e. NH <sub>3</sub> ) than in 'wet' AD.
60	
61	HS-AD of the organic fraction of municipal solid waste (OFMSW), including food
62	waste (FW) and green/lignocellulosic waste (GW), is widely used. Indeed, the high TS
63	content (i.e. 20-50 %) and the high biodegradation potential of OFMSW are particularly
64	favorable to lower the operational costs of HS-AD (De Baere and Mattheeuws 2013). In
65	this line, batch systems for OFMSW treatment at industrial scale can be operated up to
66	40 % TS, provided that leachate is continuously recirculated as a source of
67	microorganisms and partial mixing (André et al. 2018; Riggio et al. 2017).
68	
69	The operational TS of HS-AD mainly depends on the TS and volatile solid (VS) of the
70	OW, but also its biodegradability under anaerobic conditions, since AD of OFMSW
71	might yield a 30-80 % reduction of the substrate TS (Pastor-Poquet et al. 2018). Thus,
72	the presence of lignocellulosic substrates (i.e. GW or paper/cardboard) in OFMSW
73	usually permits to increase the operational TS content in HS-AD, due to the higher TS
74	content but also lower biodegradability of these substrates, in comparison to OFMSW
75	(Pastor-Poquet et al. 2018). Nonetheless, the addition of lignocellulosic materials might
76	reduce the biodegradability rate of the overall mixture due to the slower hydrolysis
77	(Brown and Li 2013; Pastor-Poquet et al. 2018, In Press). On the other hand, the
78	addition of lignocellulosic substrates might reduce simultaneously the chances of $NH_3$
79	inhibition in HS-AD due to the lower protein content.
80	

Moreover, as the TS content is rather high in HS-AD, a lower amount of water is

81	Laboratory-scale batch experiments are normally used to obtain valuable information
82	about the main operating parameters and/or the AD dynamics for a given OW at
83	industrial scale. One of the main parameters is the inoculum-to-substrate ratio (ISR) to
84	be used avoiding acidification. For example, when assessing the maximum methane
85	yield of highly biodegradable substrates (i.e. FW) during a biomethane potential (BMP)
86	test, a relatively high ISR (i.e. 2-4 g VS/g VS) is recommended (Holliger et al. 2016).
87	However, as a sole parameter, the ISR is inadequate to avoid HS-AD acidification
88	(Schievano et al. 2010). Indeed, a given mixture substrate-inoculum sets simultaneously
89	the ISR (i.e. $g VS/g VS$ ) and the maximum TS, according to the VS and TS mass
90	balances, respectively. Therefore, adapted combinations of ISR (i.e. $0.25-4$ g VS/g VS)
91	and FW:GW ratio (i.e. 0-100 %) are required to circumvent acidification, while
92	maximizing the TS content in HS-AD experiments (Capson-Tojo et al. 2017; Schievano
93	et al. 2010).

95 The effects of increasing the initial TS content on HS-AD batch tests are not yet fully 96 understood, since a higher initial TS has been reported to reduce the methane yield of 97 substrates such as cardboard (Abbassi-Guendouz et al. 2012) and OFMSW (Forster-98 Carneiro et al. 2008b; Liotta et al. 2014), but not of lignocellulosic substrates (Brown et 99 al. 2012). Importantly, whether the TS increase inside the digester results in a lower 910 methane yield, the overall HS-AD efficiency decreases, potentially compromising the 95 OFMSW treatment economy (Fernández et al. 2010; Mata-Álvarez 2003).

103 This study evaluates the effects of increasing the initial TS content on the methane

104 yield, TS removal and chemical oxygen demand (COD) conversion in HS-AD

105	laboratory-scale batch bioassays at 55°C, using mono-digestion of OFMSW and co-
106	digestion of OFMSW and beech sawdust. Sawdust simulates the addition of
107	biodegradable GW (e.g. branches and leaves) to OFMSW, permitting to stabilize HS-
108	AD at high TS (i.e. $\geq$ 20 %). To maximize TS while avoiding acidification, different
109	ISR and/or co-digestion ratios were used. Furthermore, this study highlights the
110	important interrelationship between the initial conditions (i.e. TS and ISR) and the main
111	AD inhibitors (i.e. NH <sub>3</sub> ) in HS-AD of OFMSW, by evaluating the pH, TS, VFA and
112	ammonia dynamics during sacrifice experiments. More in particular, the interaction
113	between TS and the NH3 content determines the overall methane yield, and set the basis
114	for an optimal HS-AD configuration when treating OFMSW at industrial scale.
115	This study was conducted at the Department of Civil and Mechanical Engineering of the
116	University of Cassino and Southern Lazio (Italy) from June 2016 to September 2017.
117	

# 119 2 MATERIALS AND METHODS

# 120 **2.1 Organic Substrates and Inoculum**

121 OFMSW consisted of a mixture of household waste, restaurant waste, spent coffee 122 collected and GW (i.e. organic soil, small branches and leaves) collected in Cassino 123 (Italy). The wastes were gathered independently during one month while stored in 124 buckets at 4°C, and eventually mixed into a 100 L barrel. In total, 60 kg of waste were 125 collected with an approximated weight proportion of 45, 35, 15 and 5 % (w/w) for 126 household waste, restaurant waste, spent coffee and GW, respectively. The mixed waste 127 was minced twice to a pastry material with a particle size smaller than 5-10 mm by 128 means of an industrial mincer (REBER 9500NC), fully homogenized and stored in 5 L

buckets at -20°C, aiming to minimize the composition fluctuations during theexperimental period.

131

132	To increase the TS content in the batch experiments, 1-2 kg of OFMSW were dried for
133	7-10 days at 55°C until constant weight right before each experiment. The resulting
134	agglomerate was further minced with mortar and pestle, homogenized to a flour-like
135	material with a particle size $\leq 2$ mm, and stored in air-tight containers until use.
136	Goldspan <sup>®</sup> beech sawdust with a 1.0-2.8 mm particle size was used as co-substrate.
137	
138	Three 'wet' and six high-solids inocula were used in this study, since different
139	experiments were started at different periods. All inocula were sampled from a 30 L
140	methanogenic reactor fed with OFMSW under thermophilic (55°C) conditions. Prior to
141	being used in the experiments, all inocula were degassed for 7-10 days at 55°C and
142	subsequently filtered through a 1 mm mesh to remove coarse materials. These
143	inoculums were considered 'wet' since TS was $\leq$ 5 %. To increase simultaneously the
144	TS and ISR of batch experiments, the 'wet' inoculums were centrifuged at 6000 rpm for
145	10 min with a bench-scale centrifuge (REMI XS R-10M, India), right before each
146	experiment – high solids inoculum. The supernatant was separated and the remaining
147	viscous material was manually homogenized. Finally, micronutrients were added to
148	each inoculum as recommended by Angelidaki and Sanders (2004).
149	
150	2.2 Batch Experiments

151 2.2.1 Experimental Setup

152	Seven batch experiments were performed to evaluate the effects of increasing the initial
153	TS from 10.0 to 33.6 % in HS-AD. Dried OFMSW and/or sawdust were used as organic
154	substrates under different mono- and co-digestion conditions [Table 1]. Because of
155	availability, experiments were performed in 160 or 280 mL serum bottles (Wheaton,
156	USA), all incubated at 55°C. The different TS were obtained by an adequate
157	combination of substrate, inoculum and distilled water addition. To minimize the
158	occurrence of experimental biases, each bottle contained exactly the same amount of
159	substrate and inoculum, while the amount of distilled water depended on the desired TS.
160	Thus, different medium volumes were obtained within the same set of batch
161	experiments [Table 1].
162	
163	The bottles were sealed with butyl rubber stoppers and aluminum crimps, and flushed
164	with inert gas (helium or nitrogen), before adding 0.2 mL of 10 g/L Na <sub>2</sub> S to guarantee
165	an adequate redox potential (Angelidaki and Sanders 2004). All batch assays lasted until
166	the gas production was negligible (i.e. $< 1 \text{ mL/d}$ ) during three consecutive
167	measurements. The bottles were manually agitated when the gas production was
168	measured. For each experiment, blank assays were conducted in triplicate to evaluate
169	the biomethane production of the sole inoculum. Blank assays contained the same
170	amount of inoculum, while further distilled water was used to compensate for the
171	absence of substrate [Table 1].
172	

174 Five out of seven batch experiments were aimed to evaluate the effects of increasing the

175 initial TS on the HS-AD methane yield, TS removal and COD conversion, using initial

176 TS contents from 'wet' (i.e. TS = 10 %) to 'dry' conditions (i.e.  $TS \ge 20$  %) [Test 1-5, 177 Table 1]. Mono-digestion experiments were run with a homogeneous mixture of dried 178 OFMSW and high-solids inoculum at an ISR of 0.50, 1.00 and 1.50 g VS/g VS, for Test 179 1, 2 and 3, respectively. The ISR increase resulted in lower initial TS [Table 1]. In the 180 fourth experiment (Test 4), HS-AD of sawdust was investigated by using a mixture of 181 beech sawdust and 'wet' inoculum at an ISR = 0.04 g VS/g VS. In the fifth experiment 182 (Test 5), co-digestion of dried OFMSW and sawdust was performed with high-solids 183 inoculum. The OFMSW:sawdust ratio was 1:4 g TS:g TS and the overall ISR was 0.16 184 g VS/g VS. All TS conditions were evaluated in triplicate.

185

# 186 2.2.3 Sacrifice Tests

187 To evaluate the main dynamics (i.e. TS, VFA, ammonia nitrogen and COD conversion)

during HS-AD, two batch experiments were performed as sacrifice tests [Tests 6 and 7,

189 Table 1]. 15 replicates were used in each test. After measuring the gas volume and

190 composition, a single bottle was emptied and the content was analyzed (i.e. for VS,

191 VFA and ammonia) every 3 to 5 days during the first two weeks, and every 7 to 10 days

192 until the end of the experiment. In Test 6, dried OFMSW was used as the sole substrate

193 in presence of high-solids inoculum. The initial TS and ISR were 15.0 % and 1.00 g

194 VS/g VS, respectively. Test 7 was performed to study the co-digestion of OFMSW and

beech sawdust with an initial TS = 19.4 % and an ISR = 0.60 g VS/g VS. The ratio

196 OFMSW:sawdust was 1.0:1.1 g TS:g TS.

197

#### 198 **2.3 Biomethane potential of OFMSW and beech sawdust**

199 The individual BMP of the raw OFMSW and beech sawdust at 55°C was estimated 200 according to Angelidaki and Sanders (2004) and Holliger et al. (2016). The BMP assay 201 with OFMSW was performed in 280 mL bottles using 6 replicates and an ISR = 2.00 g 202 VS/g VS, whereas the BMP of sawdust was assessed in 160 mL bottles using 3 203 replicates and an ISR = 1.00 g VS/g VS [Table 1]. In the BMP test for OFMSW, the 204 distilled water addition served to minimize the chances of ammonia inhibition. In 205 contrast, ammonia build-up was not expected in the BMP test of sawdust, due to the low 206 nitrogen content of this substrate, as shown in next section. The lower biodegradability 207 of sawdust permitted to use also a lower ISR.

208

# 209 2.4 Physical-Chemical Analyses

210 The pH and alkalinity were measured right after 1) diluting the (semi-)solid sample with

distilled water, 2) homogenization, 3) centrifugation at 6000 rpm for 15 min and 4)

supernatant titration to a pH of 5.75 and 4.3 for the carbonate (ALK<sub>P</sub>) and total (ALK<sub>T</sub>)

213 alkalinity, respectively (Lahav et al. 2002). The intermediate alkalinity (ALK<sub>I</sub>) was the

214 difference between ALK<sub>T</sub> and ALK<sub>P</sub>. The TS and VS, total Kjeldahl (TKN) and

ammonia nitrogen (TAN), and specific weight ( $\rho_s$ ) analyses were carried out according

to the standard methods (APHA 1999; EPA 2015).

217

218	The density $(\rho)$ –	- containing the a	air-filled por	osity (ε) – w	as approximated	using a 1	-2 L
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219 calibrated cylinder and a  $\pm$  0.01 g precision scale. The NH<sub>3</sub> was approximated as in

220 Capson-Tojo et al. (2017). The COD of (semi-)solid samples was determined as

221 described by Noguerol-Arias et al. (2012). The soluble COD (CODs) was determined

with the same method by immediately analyzing the supernatant filtered through a 0.45

223	$\mu$ m polypropylene membrane. The VFA (acetic, propionic, butyric and valeric acids)
224	analysis of 0.45 $\mu$ m pre-filtered samples was conducted with a LC-20AD HPLC
225	(Shimadzu, Japan) equipped with a Rezex ROA-Organic Acids 8+ column
226	(Phenomenex, USA) coupled to a 210 nm UV detector. The column was maintained at
227	70°C with a 0.0065 M $H_2SO_4$ mobile phase flowing at 0.6 mL/min. Lactate and ethanol
228	were measured by the same method but using a RID detector. However, these last
229	compounds were not detected in any of the batch conditions assessed in this study.
230	
231	The biogas production was evaluated with a two-vessel water displacement system. The
232	first vessel contained 4 N NaOH to capture the produced CO <sub>2</sub> , while the second vessel
233	was filled with distilled water to be 'displaced'. Once measured the biogas production,
234	the reactor headspace was sampled with a 250 $\mu$ L pressure-lock syringe for the analysis
235	of the biogas composition in terms of CH <sub>4</sub> , CO <sub>2</sub> , H <sub>2</sub> , O <sub>2</sub> and N <sub>2</sub> with a 3400 GC-TCD

236 (Varian, USA) equipped with a Restek Packed Column. The carrier gas was argon.

237

#### 238 2.5 Calculations

239 Whether not stated otherwise, the above physical-chemical analyses were reported per

240 kilogram (kg) of the overall inoculum-and-substrate mixture, including water (i.e.

241 overall reactor content in wet basis).

242 The methane yields obtained in the seven batch experiments, as well as the BMP values

- 243 for OFMSW and for beech sawdust, were expressed as the normalized methane
- 244 production (P = 1 bar, T = 0°C), excluding the endogenous methane production of the

245 inoculum, divided by the added substrate VS (VS<sub>subs</sub>). The Dixon's test was applied as

recommended by Holliger et al. (2016) to discard any outlier in the batch experiments

or BMP tests. The overall methane or hydrogen production at the end of each experiment was expressed as a normalized volume of gas (P = 1 bar, T = 0 °C) measured by water displacement, divided by the VS added (VS<sub>added</sub>) – including the substrate and inoculum. The hydrogen production by the VS removed (VS<sub>removed</sub>) was also calculated in some acidified reactors.

252

253 The TS removal was the difference between the initial and final TS contents, divided by 254 the initial TS. Noteworthy, the TS removal is roughly equivalent to the VS removal. 255 The global COD conversion included the overall methane and/or hydrogen production 256 and the VFA content at the end of each experiment, divided by VS<sub>added</sub>. In sacrifice tests 257 [Tests 6 and 7, Table 1], the progressive COD conversion was evaluated as the 258 produced methane, hydrogen and VFA at a specific time interval, divided by VS<sub>added</sub>. In 259 this study, the COD conversion permitted to compare the VFA accumulation and the 260 biogas production among methanogenic and acidified experiments, but also to evaluate 261 the NH<sub>3</sub> inhibition between different initial TS contents in methanogenic reactors. The 262 reactor content volume (V<sub>Global</sub>) for each initial mixture was obtained as  $\sum (M/\rho)$ , being M the mass of each compound in the batch experiments (i.e. inoculum, substrate and 263 264 water). The liquid-solid volume (V<sub>Real</sub>) for the inoculum-substrate mixture was obtained 265 as  $\sum (M/\rho_s)$ .  $\varepsilon$  was obtained as 1 - V<sub>Real</sub>/V<sub>Global</sub>. In this study, all the initial batch configurations were designed to be porosity free (i.e.  $\varepsilon = 0$ ;  $V_{Global} = V_{Real}$ ), since gas 266 267 reduces the metabolite mass transfer in comparison to liquid media (Bollon et al. 2013). 268

In the HS-AD experiments used to assess the main biodegradability indicators (Section 2.2.2), the repeatability (i.e. average  $\pm$  standard deviation) was assessed using all

271 triplicates at each initial TS content. On the other hand, in the sacrifice tests (Section 272 2.2.3), the biogas production and composition included the average  $\pm$  standard deviation 273 of all the (remaining) replicates at a given experimental time, including that being 274 subsequently emptied. The rest of physical-chemical analyses (e.g. TS, TAN, VFA) 275 were performed in triplicate for the punctually-emptied replicate. In all these batch 276 experiments, the water loss (in terms of vapor) regarding the initial amount of water in 277 each substrate-inoculum mixture was considered negligible (i.e. < 3%, data not shown). 278 279

280 **3 RESULTS AND DISCUSSION** 

# 281 **3.1 Bio-Physical-Chemical Characterization of Substrates and Inoculum**

Table 2 shows the average composition of the raw OFMSW, dried OFMSW and

283 sawdust. The TS of the raw OFMSW was 26 %, in agreement with reported values for

source-sorted OFMSW (Christensen 2011; Schievano et al. 2010). The TS of the dried

285 OFMSW was 92 %. A relatively lower TAN, CODs/COD and COD/TKN ratios were

286 observed for the dried compared to the raw OFMSW, while the VS/TS was maintained

287 approximately constant and  $\varepsilon$  increased [Table 2]. Therefore, some volatilization of

288 organic material (e.g. VFA, TAN) occurred when drying OFMSW at 55°C. However,

289 drying was an adequate conditioning for assessing the effect of TS increase in HS-AD

290 of raw OFMSW, since the macroscopic composition was maintained relatively constant

[Table 2]. A similar conditioning was used by Forster-Carneiro et al. (2008a) to increase

the TS in HS-AD batch reactors. The TS of beech sawdust was 94 % [Table 2], similar

to that obtained by Brown and Li (2013) for GW.

295	The BMP of the raw OFMSW and sawdust at 55°C was $497\pm58$ NmL CH4/g VS_{subs}
296	[Figure 1a] and $161 \pm 12$ NmL CH <sub>4</sub> /g VS <sub>subs</sub> [Figure 1b], respectively, indicating the
297	lower biodegradability of sawdust than of OFMSW under anaerobic conditions.
298	Moreover, reaching the maximum methane yield took a considerably longer for sawdust
299	than OFMSW (i.e. 130 and 56 days, respectively), suggesting also a reduced hydrolysis
300	rate for lignocellulosic substrates (Pastor-Poquet et al. 2018, In Press; Vavilin et al.
301	2008). The higher standard deviation in the BMP for raw OFMSW was attributed to the
302	waste heterogeneity. The BMP values were equivalent to those observed for source-
303	sorted OFMSW and GW (Brown and Li 2013; Schievano et al. 2010).
304	
305	The average composition of the 'wet' and high-solids inocula is reported in Table 2.
306	Only minor deviations in macroscopic characteristics (i.e. TS and TKN) were observed
307	between 'wet' and high-solids inocula sampled at different times. Centrifugation
308	increased the TS content, and ALK <sub>I</sub> /ALK <sub>P</sub> , COD/TKN and VS/TS ratios compared to
309	the 'wet' inoculum [Table 2]. A similar inoculum conditioning was used by Brown and
310	Li (2013) to increase the TS in 'dry' co-digestion. Other inoculum pretreatments to
311	increase TS in HS-AD include inoculum filtration (Liotta et al. 2014) or drying at 105°C
312	(Capson-Tojo et al. 2017), though heating the inoculum at 105°C might result in
313	methanogenesis inhibition (Ghimire et al. 2015).
314	
315	3.2 Batch Experiments
316	3.2.1 Acidified Experiments
317	Mono-digestion of OFMSW with an ISR of 0.5 and 1.0 g VS/g VS (Test 1 and Test 2)

allowed to increase the TS up to 33.6 and 24.0 %, respectively [Table 1]. However, all

- 321 VFA accumulation. The highest  $H_2$  production with an ISR = 0.5 g VS/g VS (Test 1)
- 322 was achieved at the lowest TS (i.e. 10.2 %) and progressively decreased with increasing
- 323 TS [Figure 2b], likely due to the reduced mass transfer in high-solids conditions. The  $H_2$
- 324 production (i.e. 2-20 NmL  $H_2/g VS_{added} = 7-60 NmL H_2/g VS_{removed}$ ) was comparable to
- 325 that reported by Valdez-Vazquez and Poggi-Varaldo (2009) for OFMSW (i.e. 10-50
- 326 NmL H<sub>2</sub>/g VS<sub>removed</sub>). With an ISR = 1.0 g VS/g VS (Test 2), the H<sub>2</sub> production was  $\leq 1$
- 327 NmL  $H_2/g$  VS<sub>added</sub>. A reduced  $H_2$  production can be attributed to a higher ISR.
- 328

320

329 In both experiments, an inverse relationship between the TS removal and the initial TS 330 was observed [Figure 2c]. Meanwhile, the global COD conversion described an average 331 0.35 g COD/g VS<sub>added</sub> at an initial TS of around 10 % and a similar downward trend 332 with increasing TS in both experiments [Figure 2d]. The COD conversion in acidified 333 reactors corresponded from 87 to 96 % of the VFA accumulation. This confirms that H<sub>2</sub> 334 production and/or VFA accumulation potentially reduced the hydrolysis rate (Cazier et 335 al. 2015; Vavilin et al. 2008), playing a major role on the organic degradation at higher 336 TS, due to the low water available (García-Bernet et al. 2011).

337

# 338 **3.2.2 Methane-Producing Experiments**

339 Despite mono-digestion of OFMSW at an ISR = 0.5 g VS/g VS (Test 1) acidified at all

- 340 TS contents, methanogenesis occurred in 2 out of 3 replicates performed at 28.3 % TS,
- leading to an average methane yield of  $64 \pm 6$  NmL CH<sub>4</sub>/g VS<sub>subs</sub> [Figure 2a] 87 %
- lower than the BMP of raw OFMSW and a 23 % TS removal [Figure 2c]. The

- 344 mass transfer in the high-solids mixture, as discussed in Section 3.2.4, since all the
- 345 bottles contained exactly the same amount of substrate and inoculum.
- 346

- 347 Methanogenesis succeeded in all TS contents with mono-digestion of OFMSW using an
- ISR = 1.5 g VS/g VS (Test 3), though only a maximum 19.6 % TS was reached under
- 349 these conditions [Figure 2a]. A methane yield of  $236 \pm 5$ ,  $199 \pm 32$ ,  $174 \pm 47$  and  $222 \pm 32$
- $350 \quad 62 \text{ NmL CH}_4/\text{g VS}_{\text{subs}}$  was observed at initial TS of 10.8, 13.4, 16.4 and 19.6 %,
- 351 respectively [Figure 1c and 2a], i.e. 52-65 % lower than the BMP of OFMSW. These
- methane yields corresponded to a volumetric productivity of  $8.8 \pm 0.2$ ,  $9.3 \pm 1.5$ ,  $10.2 \pm$

353 2.8 and  $15.8 \pm 4.4$  NmL CH<sub>4</sub>/L Reactor Content (data not shown) at initial TS of 10.8,

- 354 13.4, 16.4 and 19.6 %, respectively, being the higher volumetric productivity at
- increasing TS one of the main advantages of HS-AD (Brown et al. 2012). Interestingly,
- the standard deviation of the methane yield increased alongside the TS [Figure 2a],
- 357 likely due to mass transfer effects and/or a higher heterogeneity of the initial mixture, as
- 358 discussed in Section 3.2.4. In contrast, the TS removal decreased at increasing initial TS
- 359 contents [Figure 2c]. The global COD conversion was approximately  $0.38 \pm 0.05$  g
- $360 \quad COD/g VS_{added}$  at all TS, but showing a higher standard deviation at an initial TS =
- 361 19.6 % [Figure 2d & Table 3]. It should be noted that the TS removal (i.e. VS removal)
- 362 and the COD conversion yield similar information about the overall organic degradation
- 363 in methanogenic experiments. Nonetheless, the COD conversion was considered as a
- 364 more informative assessment of the VFA accumulation in these experiments, as
- indicated in Section 2.5. Particularly, it can be observed how the COD standard
- deviation is obscured when assessing the TS removal [Figure 2c & Figure 2d].

368	Mono-digestion of sawdust (Test 4) showed a methane yield of $64 \pm 3$ , $92 \pm 3$ , $94 \pm 4$ ,
369	$81\pm32$ NmL CH <sub>4</sub> /g VS <sub>subs</sub> at initial TS of 9.8, 14.6, 19.3 and 24.1 %, respectively
370	[Figures 1d and 2a]. The methane yield at 9.8 % TS was approximately 30 % lower than
371	that obtained at higher TS. After 100 days, the methane yield was 55-70 % lower than
372	the BMP of sawdust, probably due to the lower ISR (i.e. $0.04 \text{ g VS/g VS}$ ) slowing down
373	the biochemistry (Holliger et al. 2016), and/or the higher TS used. An 8-fold-higher
374	standard deviation was observed at 24.1 % TS, likely due to inaccessible substrate
375	regions at high TS – mass transfer limitations. The TS removal at initial TS = 24.1 $\%$
376	was around 50 % lower than that obtained at lower TS [Figure 2c]. The global COD
377	conversion showed a downward trend from 14.6 to 24.1 % TS [Figure 2d].
378	
379	With co-digestion of dried OFMSW and sawdust (Test 5), methane was produced only
380	at 10.0 and 15.0 % TS, while co-digestion reactors at higher TS contents resulted in
380 381	at 10.0 and 15.0 % TS, while co-digestion reactors at higher TS contents resulted in acidification [Figure 2], potentially due to the higher organic content at higher TS. The
381	acidification [Figure 2], potentially due to the higher organic content at higher TS. The
381 382	acidification [Figure 2], potentially due to the higher organic content at higher TS. The methane yield reached $138 \pm 1$ and $156 \pm 19$ NmL/g VS <sub>subs</sub> at 10.0 and 15.0 % TS,
<ul><li>381</li><li>382</li><li>383</li></ul>	acidification [Figure 2], potentially due to the higher organic content at higher TS. The methane yield reached $138 \pm 1$ and $156 \pm 19$ NmL/g VS <sub>subs</sub> at 10.0 and 15.0 % TS, respectively [Figure 1e]. Interestingly, 1 out of 3 replicates performed at 30.2 % TS also
<ul><li>381</li><li>382</li><li>383</li><li>384</li></ul>	acidification [Figure 2], potentially due to the higher organic content at higher TS. The methane yield reached $138 \pm 1$ and $156 \pm 19$ NmL/g VS <sub>subs</sub> at 10.0 and 15.0 % TS, respectively [Figure 1e]. Interestingly, 1 out of 3 replicates performed at 30.2 % TS also showed methanogenesis likely due to mass transfer effects in HS-AD, reaching a
<ul> <li>381</li> <li>382</li> <li>383</li> <li>384</li> <li>385</li> </ul>	acidification [Figure 2], potentially due to the higher organic content at higher TS. The methane yield reached $138 \pm 1$ and $156 \pm 19$ NmL/g VS <sub>subs</sub> at 10.0 and 15.0 % TS, respectively [Figure 1e]. Interestingly, 1 out of 3 replicates performed at 30.2 % TS also showed methanogenesis likely due to mass transfer effects in HS-AD, reaching a methane production of 117 NmL/g VS <sub>subs</sub> . The H <sub>2</sub> yield – during the first week –
<ul> <li>381</li> <li>382</li> <li>383</li> <li>384</li> <li>385</li> <li>386</li> </ul>	acidification [Figure 2], potentially due to the higher organic content at higher TS. The methane yield reached $138 \pm 1$ and $156 \pm 19$ NmL/g VS <sub>subs</sub> at 10.0 and 15.0 % TS, respectively [Figure 1e]. Interestingly, 1 out of 3 replicates performed at 30.2 % TS also showed methanogenesis likely due to mass transfer effects in HS-AD, reaching a methane production of 117 NmL/g VS <sub>subs</sub> . The H <sub>2</sub> yield – during the first week – decreased with increasing TS [Figure 2b]. The TS removal was also reduced at an

# **3.2.3 Main Effects when Increasing the Initial TS in HS-AD**

390	The TS increase in HS-AD led to an increased biomethane volumetric productivity with
391	mono-digestion of OFMSW (Test 3), but also resulted in acidification by substrate
392	overload at higher initial TS with co-digestion of OFMSW and sawdust (Test 5).
393	Moreover, higher standard deviations in the methane yields at higher TS, as well as the
394	occurrence of methanogenesis only in some of the replicates at 28.3 and 30.2 %, were
395	observed. These last results were likely due to mass transfer effects in HS-AD
396	experiments, which influenced the occurrence of acidification and/or inhibition.
397	
398	The low water content of a high-solids mixture hinders the accessibility of
399	microorganisms to large portions of the substrate (Bollon et al. 2013), possibly
400	explaining the increasing standard deviation in the methane yield at TS $\ge$ 10 % [Figure
401	2a]. Particularly, 'dry' AD (i.e. TS $\geq$ 20 %) is associated to the presence of spatially-
402	differentiated acidogenic/methanogenic centers (Staley et al. 2011; Xu et al. 2014). In
403	such systems, the convective transport is minimum, while the metabolite diffusion
404	increases in importance, since the free-to-bound water ratio is low (Bollon et al. 2013;
405	García-Bernet et al. 2011). Besides limiting the organic degradation, this phenomenon
406	also reduces the chances of acidification of all the methanogenic centers in case of
407	overload, likely explaining the methanogenesis onset observed in some replicates at
408	28.3 % TS (Test 1) and 30.2 % TS (Test 5). Homogenization devices, such as reactor
409	stirrer or leachate recirculation, might help to prevent the influence of mass transfer
410	limitations in HS-AD (André et al. 2018; Kothari et al. 2014).
411	

# **3.2.4 Maximizing the TS in HS-AD of OFMSW by Sawdust Addition**

413 In this study, the physical-chemical characteristics of the substrate and inoculum (e.g. 414 VS/TS and biodegradability) and the operational TS and ISR were found closely 415 interrelated parameters determining the methane production or acidification in HS-AD. 416 The ISR and the maximum TS were simultaneously adjusted in mono-digestion 417 experiments according to the TS and VS balances of the substrate-inoculum mixture, 418 since only one degree of freedom is available in a binary mixture (i.e. TS or ISR). 419 Particularly, whether TS are higher in the substrate than in the inoculum, higher initial 420 TS contents of a given substrate-inoculum mixture are obtained by lowering the ISR 421 [Tests 1-3, Table 1]. Nonetheless, the ISR must be sufficiently high to avoid 422 acidification, as a function of the substrate biodegradability (Angelidaki and Sanders 423 2004; Schievano et al. 2010). For example, the high biodegradability of OFMSW 424 required a higher ISR (i.e. 1.5 g VS/g VS), yielding a lower maximum TS (i.e. 19.6 %) 425 [Figure 2]. In contrast, the lower methane potential and biodegradability rate of sawdust 426 - as an example of lignocellulosic substrate - allowed the use of an extremely low ISR 427 (i.e. 0.04 g VS/g VS) and a higher TS (i.e. 24.1 %). 428 429 In the case of co-digestion, two degrees of freedom are available in a ternary mixture 430 (i.e. TS, ISR or OFMSW:GW ratio). Thus, a great number of combinations exists 431 depending on the particular substrate and/or inoculum characteristics (e.g. VS/TS),

432 explaining the different TS, ISR and FW:GW ratios used in literature for co-digestion.

433 In this line, Brown and Li (2013) showed that, for a fixed ISR in 'dry' AD, the

434 acidification risk increases by increasing the FW:GW ratio, due to the higher

435 biodegradability of the inoculum-substrate mixture. Moreover, a higher FW:GW

436 exacerbates the risk of TAN buildup and NH<sub>3</sub> inhibition in HS-AD.

438	Summarizing, adding sawdust to OFMSW reduces the biodegradability and TAN
439	content of the substrate-inoculum mixture in comparison to mono-digestion of
440	OFMSW, favoring the simultaneous TS and ISR increase in HS-AD. Thus, a
441	OFMSW:sawdust ratio of 1:4 g TS:g TS was chosen in this study mainly to increase the
442	maximum TS of co-digestion up to 30 %, but reducing the chances of NH <sub>3</sub> inhibition
443	and acidification. Nonetheless, the addition of GW to OFMSW in industrial applications
444	depends on the availability of co-substrates, the reactor design and/or the overall
445	process economy (Christensen 2011; Kothari et al. 2014).
446	
447	3.2.5 HS-AD Dynamics and NH <sub>3</sub> Inhibition
448	During the sacrifice test for mono-digestion of OFMSW (Test 6) [Figure 3], the daily
449	methane production peaked around day 28, while the cumulative methane yield
450	stabilized by day 65 reaching a value of 296 $\pm$ 13 NmL CH4/g VSsubs, i.e. 40 % lower
451	than the BMP of OFMSW. Because of the organic degradation, TS showed a 34.7 $\%$ TS
452	removal. Acetic acid peaked to 8.40 g/kg (day 8) and was extensively consumed within
453	30 days from the reactor startup. Propionic, butyric and valeric acids increased
454	significantly along the experiment. TAN started at 2.4 g N/kg and reached 3.8 g N/kg.
455	At the same period, pH started at 7.3, decreased to a minimum of 6.3 and increased
456	above 8. The TAN and pH increase resulted in a $NH_3$ concentration up to 2.5 g N/kg.
457	The global COD conversion was 0.63 g COD/g $VS_{added}$ .
458	
459	These results suggest that the high ammonia levels were responsible for the reduced
460	methane yield, TS removal and COD conversion in HS-AD, since all biodegradability

461	indicators significantly slowed down in the mono-digestion sacrifice (Test 6) as NH <sub>3</sub>
462	reached 2.3 g N/kg from day 45 [Figure 3]. Depending on the methanogens acclimation,
463	NH <sub>3</sub> concentrations of 0.2-1.4 g N/L have been reported inhibitory (Chen et al. 2008;
464	Fricke et al. 2007; Prochazka et al. 2012). In this study, the NH <sub>3</sub> increase correlated well
465	with the propionic/valeric accumulation in Test 6 [Figure 3], being the VFA buildup a
466	likely consequence of methanogenic inhibition (Demirel and Scherer 2008).
467	
468	The above results indicate that the ammonia buildup most probably hampered the
469	methane production also in the mono-digestion experiment using an ISR = $1.5 \text{ g VS/g}$
470	VS (Test 3) [Figure 2]. Thus, the nitrogen content (i.e. TKN, TAN and NH <sub>3</sub> ) was
471	observed to increase in Test 3 alongside the higher initial TS, because of the lower
472	amount of water initially used for dilution, potentially exacerbating the NH <sub>3</sub> inhibition
473	and VFA accumulation at higher TS [Table 3]. With all the above, the $NH_3$
474	accumulation can determine the overall anaerobic degradation (i.e. methane yield, TS
475	removal and COD conversion) during HS-AD, particularly at higher initial TS contents.
476	These results complement the main bio-physical-chemical effects arising in HS-AD due
477	to the TS increase (i.e. reduced organic degradation by mass transfer effects), as
478	mentioned in Section 3.2.3. In other words, the TS increase can limit the organic
479	degradation in HS-AD of OFMSW due both to mass transfer effects and NH <sub>3</sub> inhibition.
480	With the aim to reduce the risk of NH <sub>3</sub> inhibition while increasing the TS content, a co-
481	digestion sacrifice was performed.
482	

# **3.2.6 Other Factors Influencing Acidification in HS-AD**

484 In co-digestion sacrifice (Test 7) [Figure 4], methanogenesis was inhibited from day 3, 485 linked to a pH drop from 7.4 to 6.0. Thus, only a 10.3 % TS removal was observed, 486 while TAN increased from 1.5 to 3.0 g N/kg, and acetic, propionic, butyric and valeric 487 acids substantially increased. The overall H<sub>2</sub> production was 0.18 NmL H<sub>2</sub>/g VS<sub>added</sub> and 488 the global COD conversion was 0.18 g COD/g VS<sub>added</sub>. 489 490 The pH drop observed right after starting the HS-AD batch experiments (initial 0-3 491 days) was crucial to discern about the potential acidification in Tests 6 and 7. The initial 492 pH drop is normally observed in AD when acidogenic outcompetes methanogenic 493 growth (Gerardi 2003), and becomes particularly important in HS-AD of OFMSW due 494 to the high organic content used. Both mono- (Test 6) and co-digestion (Test 7) sacrifice 495 tests showed an initial pH  $\geq$  7.3 (day 0) that rapidly dropped due to the VFA accumulation. In mono-digestion (Test 6), the pH = 6.4 from day 3 to 11 likely 496 497 determined the low cumulative methane production (i.e. 6.3 NmL CH<sub>4</sub>/g VS<sub>subs</sub>) 498 observed during these days, whereas the pH = 6.0 in the co-digestion sacrifice (Test 7) 499 potentially inhibited methanogenesis (Demirel and Scherer 2008; Staley et al. 2011). 500 501 The ALK<sub>P</sub> and likely also the microbial activity of the inoculum used as a seed in a HS-502 AD reactor played a major role to determine the acidification or methanogenesis onset, 503 since ALK<sub>P</sub> is the main pH buffer in AD (Prochazka et al. 2012). These factors mainly 504 depend on the source reactor performance, the degassing period and the inoculum 505 pretreatment. Thus, the ALK<sub>P</sub> of the inoculum in this study determined the initial ALK<sub>P</sub> 506 of the inoculum-substrate mixture [Table 2], by the ALK<sub>P</sub> mass balance. 507

508 At high TS, external buffer addition might help to circumvent HS-AD acidification. For 509 example, Liotta et al. (2014) added NaHCO<sub>3</sub> to stabilize the acidogenic stages in HS-510 AD. However, whether inorganic buffering is used, particular attention is needed to 511 minimize the TS dilution, while maintain an optimal cationic (i.e. Na<sup>+</sup>) concentration 512 for microorganisms (Chen et al. 2008). Moreover, both the NaHCO3 concentration and 513 the NaHCO<sub>3</sub>-to-organics ratio (i.e. g NaHCO<sub>3</sub>/g TS) need to be the same along different 514 initial TS, to allow comparison among these. Thus, NaHCO<sub>3</sub> addition was not used in 515 this study to reduce the 'external' influencers in HS-AD. 516 517 In either case, acidification in this study did not associate to a low ALK<sub>P</sub>, nor to a high 518 ALK<sub>I</sub>/ALK<sub>P</sub> ratio – data not shown. For example, mono-digestion Test 1 acidified at an 519 initial ALK<sub>P</sub> of 1.7-5.6 g CaCO<sub>3</sub>/kg and ALK<sub>I</sub>/ALK<sub>P</sub> = 0.88, whereas acidification was 520 avoided in mono-digestion Test 6 with ALK<sub>P</sub> of 2.6 and ALK<sub>I</sub>/ALK<sub>P</sub> = 2.12. Similarly,

521 methanogenesis failed to start in Test 2, operated at the same ISR than Test 6 (i.e. 1.0 g

522 VS/g VS), though the initial ALK<sub>P</sub> and ALK<sub>I</sub>/ALK<sub>P</sub> ratio were 1.5-3.8 g CaCO<sub>3</sub>/kg and

523 1.51, respectively, in the acidified experiment (Test 2).

524

In conclusion, other factors related to the initial inoculum-substrate mixture, and not assessed here, influenced also the HS-AD acidification. Some of these might include the different (micro-)nutrient or inhibitory content, but also the mass transfer, reactor homogenization, reactor headspace volume, particle size and/or inoculum activity (André et al. 2018; Bollon et al. 2013; Chen et al. 2008; Holliger et al. 2016; Motte et al. 2014). Therefore, all these factors should be considered alongside the TS, ISR, ALK<sub>P</sub> and nitrogen content to evaluate HS-AD of OFMSW. All the above results corroborate

532	that HS-AD is an extremely complex bio-physical-chemical process, with an elevated
533	number of interrelated mechanisms and operational variables, where a thorough
534	experimental assessment is required, in order to fully understand the overall bio-
535	physical-chemistry and eventually optimize HS-AD of OFMSW at industrial scale.
536	
537	
538	4 CONCLUSIONS
539	This study shows that both the initial TS and ISR determine the success of
540	methanogenesis in HS-AD of OFMSW. During mono-digestion of OFMSW, increasing
541	the maximum TS required a lower ISR, enhancing the risk of acidification. Meanwhile,
542	$NH_3 \geq 2.3~g$ N/kg at 15.0 % TS resulted in VFA accumulation (i.e. 0.13-0.14 g COD/g
543	$VS_{added}$ ) and 40 % lower methane yield. Adding sawdust to OFMSW permitted to
544	increase simultaneously the TS and ISR, by reducing considerably the biodegradability
545	and nitrogen content of the mixture, in comparison to mono-digestion of OFMSW. This
546	also led to acidification occurring only at higher TS (i.e. $\geq$ 20 %). Therefore, the initial
547	inoculum-substrate mixture in HS-AD must result from a tradeoff between the
548	maximum TS and the optimum ISR, but also the buffering capacity and the nitrogen
549	content, to circumvent acidification and NH <sub>3</sub> inhibition.
550	

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- 676

# **TABLE CAPTIONS**

680 Table 1 Summary of high-solids batch experiments and biomethane potential tests681 (BMP)

- **Table 2** Bio-physical-chemical characterization of substrates and inoculum

Table 3 Effect of total solids on the performances of high-solids anaerobic digestion of
 the organic fraction of municipal solid waste using an inoculum-to-substrate ratio of 1.5
 g VS/g VS (Test 3)

# 690 FIGURE CAPTIONS

691

**Fig. 1** Cumulative methane production: a) Biomethane potential (BMP) test for the

693 organic fraction of municipal solid waste (OFMSW); b) BMP test for sawdust; c) mono-

digestion of 55°C-dried OFMSW at an ISR of 1.50 g VS/g VS (Test 3); d) mono-

digestion of beech sawdust at an ISR of 0.04 g VS/g VS (Test 4); and e) co-digestion of

<sup>696</sup> 55°C-dried OFMSW and beech sawdust at an ISR of 0.16 g VS/g VS (Test 5)

697

**Fig. 2** Main anaerobic biodegradability indicators: a) methane yield; b) hydrogen yield;

699 c) total solid removal; and d) total chemical oxygen demand (COD) conversion

700

701 **Fig. 3** Sacrifice test with mono-digestion of organic fraction of municipal solid waste

(Test 6). a) Daily and cumulative methane production, and pH; b) volatile fatty acids; c)
 total (TS) and volatile (VS) solids, and total (TAN)and free (FAN) ammonia nitrogen;

and d) chemical oxygen demand (COD) conversion

705

706 Fig. 4 Sacrifice test with co-digestion of organic fraction of municipal solid waste and

beech sawdust (Test 7). a) Daily and cumulative methane production, and pH; b)

volatile fatty acids; c) total (TS) and volatile (VS) solids, and total (TAN) and free

709 (FAN) ammonia nitrogen; and d) chemical oxygen demand (COD) conversion