

1 A Comparison of MERRA and NARR Reanalysis Datasets with the DOE ARM SGP Continuous  
2 Forcing data  
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31 **Abstract**

32         In this study, the atmospheric state, precipitation, cloud fraction, and radiative fluxes  
33 from Modern Era Retrospective-analysis for Research and Applications (MERRA) and North  
34 American Regional Reanalysis (NARR) are collected and compared with the ARM SGP  
35 continuous forcing during the period 1999-2001. For the atmospheric state, the three datasets  
36 have excellent agreement for the horizontal wind components and air temperature. NARR and  
37 ARM have generally good agreement for humidity, except for several biases in the PBL and in  
38 the upper troposphere. MERRA, on the other hand, suffers from a year-round negative bias in  
39 humidity except for the month of June. For the vertical pressure velocity, significant differences  
40 exist with the largest biases occurring during the spring upwelling and summer downwelling  
41 periods. Although NARR and MERRA share many resemblances to each other, ARM  
42 outperforms these reanalyses in terms of correlation with cloud fraction. Because the ARM  
43 forcing is constrained by observed precipitation that gives the adequate mass, heat, and moisture  
44 budgets, much of the precipitation (specifically during the late spring/early summer) is caused by  
45 smaller-scale forcing that is not captured by the reanalyses. Both NARR and MERRA capture  
46 the seasonal variation of CF observed by ARM radar-lidar and GOES with high correlations  
47 (0.92-0.78), but having negative biases of 14% and 3%, respectively. Compared to the ARM  
48 observations, MERRA shows a better agreement for both SW and LW fluxes except for LW-  
49 down (due to a negative bias in water vapor), NARR has significant positive bias for SW-down  
50 and negative bias for LW-down under clear- and all-sky conditions . The NARR biases result  
51 from a combination of too few clouds and a lack of sufficient extinction by aerosols and water  
52 vapor in the atmospheric column. The results presented here represent only one location for a

53 limited time period, and more comparisons at different locations and longer time period are  
54 needed.

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76 **1. Introduction**

77           In the past decade, reanalysis datasets have become increasingly common to study a  
78 variety of meteorological and climatological questions. Reanalyses blend observation and model  
79 output to create a systematic long-term description of the climate system. While it is an excellent  
80 strategy to use model output to fill holes in the observing systems and to diagnose variables  
81 unable to be measured directly, reanalyses are not error free due to the limitations of model and  
82 assimilation technology. Because the errors of reanalyses and their underlying models are  
83 relatively unknown, their benefit for answering more complex questions involving the climate is  
84 questionable. For this reason, reanalyses have been used sparingly to generate forcing which  
85 provides initial and boundary conditions for SCM/CRM studies which can help develop  
86 improvements for GCMs.

87           To circumnavigate these issues, extensive work has been done to derive forcing using  
88 constrained variational analysis from observations during Intensive Observation Periods (IOPs)  
89 at the Department of Energy (DOE) Atmospheric Radiation Measurement (ARM) sites (Zhang  
90 and Lin 1997, Zhang et al. 2001). More recently, Xie et al. (2003) evaluated the forcing datasets  
91 derived from ECMWF during three IOPs at the ARM SGP site. They found that although the  
92 two forcing datasets correlated well, the ECMWF derived forcing was much weaker owing to  
93 limitations in the model predicated surface radiation and precipitation fields. Unfortunately,  
94 IOPs are expensive to run from a monetary and work-load perspective. Continuously run  
95 models, however, offer long-term datasets which are valuable from a climate study perspective.  
96 To combine the benefits of long-term model results and high-quality IOP observations, Xie et al.  
97 (2004) developed a continuous forcing dataset using a combination of model (atmospheric state  
98 variables such as temperature, humidity, etc.) from Rapid Update Cycle 2 (RUC-2, Benjamin et

99 al. 2004) and surface and TOA observations at the ARM SGP site. The end result is a forcing  
100 dataset that improves considerably on that derived from the model alone and offers itself as a  
101 good baseline to judge reanalyses.

102 This paper documents a comparison of the NCEP North American Regional Reanalysis  
103 (NARR, Messinger et al. 2006) and the Modern Era Retrospective Analysis for Research and  
104 Applications reanalysis (MERRA, Bosilovich et al. 2008) with the ARM continuous forcing  
105 dataset derived at the ARM SGP site during the period 1999-2001. The ARM SGP site is  
106 representative of continental climate in the mid-latitudes, and has been used in the past to  
107 evaluate a variety of model simulations including NCEP ETA (Hinkelman et al. 1999), ECMWF  
108 (Xie et al. 2004), and the NCEP GFS (Yang et al. 2006). NARR and MERRA reanalyses were  
109 chosen for this comparison for a couple of reasons. First of all, NARR includes assimilation of  
110 precipitation at a high resolution over North America and has shown improvement over the  
111 NCEP Global Reanalysis II for a variety of variables (Messinger et al. 2006). MERRA has been  
112 included because it features relatively high resolution diagnostics output during the same time  
113 period, and was released within the past year. As a result, relatively little is known about its  
114 quality.

115 By comparing these three datasets, this paper has the primary goal of determining the  
116 biases of the reanalyses at a location which is well observed. Such activities have been  
117 encouraged by recent studies such as Thorne and Vose (2010) which have sought to understand  
118 whether reanalyses can be used for diagnosing long-term trends. Determining biases in  
119 reanalyses will also help understand where deficiencies exist in the current underlying model  
120 parameterizations. Knowing the magnitude, when, and where reanalysis errors exist will shed

121 light on whether developing forcing from reanalyses in the well observed mid-latitudes can be a  
122 fruitful effort and aid others who may require reanalysis information for other studies.

123 This paper is formatted as follows. Section 2 gives a brief summary of the various  
124 datasets used in this study. In section 3, the atmospheric state is compared between the  
125 reanalyses and the ARM continuous forcing during the period 1999-2001. Cloud fraction, total  
126 precipitation, and radiative fluxes are compared in section 4. A summary of findings and  
127 concluding remarks are provided in section 5.

128

## 129 **2. Datasets**

130 ARM continuous forcing, NARR, and MERRA reanalysis data sets have been collected  
131 at the ARM SGP site for the period 1999-2001. These three years were chosen because the ARM  
132 continuous forcing dataset is only available during this time period. To have cloud information  
133 at the ARM SGP site, surface observations from a vertically pointing cloud radar and micro  
134 pulse lidar pair have also been collected along with Geostationary Operational Environmental  
135 Satellites (GOES) observations. All datasets have been processed to identical temporal and  
136 spatial resolutions for comparison in sections 3 and 4. For example, the results from the two  
137 reanalyses are averaged in space to the domain of the ARM forcing, while the hourly continuous  
138 forcing is averaged in time to three hourly increments to match the reanalyses.

### 139 *a. ARM Continuous Forcing*

140 The ARM continuous forcing dataset centered on the ARM SGP Central Facility (SCF;  
141 36.6°N, 97.5°W) is used for this study. Provided from January 1999 to December 2001, this  
142 forcing uses ARM surface and GOES-8 satellite observations as constraints to adjust  
143 atmospheric state variables to conserve the column integrated mass, heat, and moisture through a

144 variational analysis approach (Zhang and Lin 1997, Zhang et al. 2001). The forcing atmospheric  
145 state is provided by hourly Rapid Update Cycle 2 (RUC-2; see Benjamin et al. 2004) analyses  
146 due to the lack of continuous sounding measurements (Xie et al. 2004). A comparison of the  
147 continuous forcing with selected IOPs by Xie et al. (2004) found root-mean-square errors within  
148  $1 \text{ m s}^{-1}$  for horizontal wind,  $0.5 \text{ K}$  for temperature, and  $0.5 \text{ g kg}^{-1}$  for moisture for the  
149 atmospheric column. The forcing represents an average over a circular area approximately 180  
150 km in radius centered on the ARM SCF.

### 151 *b. NARR Reanalysis*

152 The NCEP NARR is a long-term (1979-2009) climate dataset with 3-hr temporal, 32-km  
153 horizontal, and 45-layer vertical resolutions over the North American domain (Messinger et al.  
154 2006). It contains outputs of many atmospheric variables and fluxes, and is nicely suited for  
155 diagnosis of synoptic and mesoscale conditions over the ARM SGP site. NARR uses the  
156 operational NCEP ETA model and its 3D-VAR data assimilation technique on a wide variety of  
157 observation platforms, but was principally developed to improve on NCEP reanalysis by  
158 assimilating precipitation accurately. Studies by Becker et al. (2009) and Bukovsky and Karoly  
159 (2006) found that this statement is generally true for NARR.

### 160 *c. MERRA Reanalysis*

161 NASA has recently released the Modern Era Retrospective Analysis for Research and  
162 Applications (MERRA) reanalysis dataset based on the Goddard Earth Observing System data  
163 Analysis System Version 5 (GEOS-5 DAS, Bosilovich et al. 2008). This global reanalysis covers  
164 the same time period as NARR (1979-current). MERRA takes advantage of a variety of recent  
165 satellite data streams, for example, the observations from the NASA Earth Observing System  
166 (EOS), to improve the representation of the Earth's energy and water cycles. GEOS-5 includes

167 the GEOS-5 AGCM and the Gridpoint Statistical Interpolation (GSI) atmospheric analysis  
168 developed jointly with NOAA/NCEP/EMC. Incremental Analysis Update (IAU) technique  
169 (Bloom et al. 1996) is incorporated in the GEOS-5 to minimize the 6 hourly shock from the  
170 observation input. The model has a native spatial resolution of 72-layers in the vertical, and  
171  $2/3^{\circ} \times 1/2^{\circ}$  in the horizontal. In addition to the 6 hourly 3 dimensional analyses at the native  
172 spatial resolution, MERRA also provides 1 hourly 2 dimensional diagnostics at  $2/3^{\circ} \times 1/2^{\circ}$   
173 resolution and 3 hourly 3 dimensional diagnostics at  $1.25^{\circ} \times 1.25^{\circ}$  resolution on 42 vertical levels.

#### 174 *d. Cloud observations*

175 For several portions of the study, cloud information is used to determine its relationships  
176 with atmospheric state and to determine clear-sky radiative fluxes. Cloud information comes  
177 from two sources. Ground-based observations from the ARM 35-GHz Millimeter Wavelength  
178 Cloud Radar (MMCR, Moran et al. 1998) are combined with a Belfort laser ceilometer and  
179 Micropulse Lidar (MPL) to determine cloud bases, tops, and vertical distributions. While  
180 information is collected at 5-min intervals, it has been binned to one hour cloud fractions (CF) at  
181 the resolution of the forcing in a fashion identical to that described in Xi et al. (2010) and  
182 Kennedy et al. (2010). This cloud product is similar to The Active Remote Sensing of Clouds  
183 (ARSCL, Clothiaux et al. 2000) cloud product except the original data stream is the MACE PI  
184 product (Mace et al. 2006) which merges the original radar modes differently. Considering  
185 cloud information is only used at a 1-3 hourly resolution, the differences should between the two  
186 products is negligible.

187 The second source of cloud information is total cloud fractions derived from VISST  
188 (Visible Infrared Solar-Infrared Split-window Technique) retrieved satellite cloud products  
189 (Minnis et al. 2001) using algorithms developed for the NASA Clouds and Radiant Energy



190 System (CERES) project. Cloud properties are retrieved from half-hourly, 4-km 0.65, 3.9, 10.8  
191 (infrared, IR), and 12.0- $\mu\text{m}$  radiances taken by GOES-8. Cloudy pixels are identified using an  
192 adaptation of the method described by Minnis et al. (2008a). The areal fraction of clouds (or the  
193 amount when present, AWP) is the ratio of the number of pixels classified as cloudy to the total  
194 number of pixels within a specified area. Cloud fraction is then calculated at the resolution of  
195 the forcing by considering the quantity of  $0.5^\circ \times 0.5^\circ$  grid boxes contained within the area of  
196 interest. Once again, this methodology is consistent with that used in the Xi et al. (2010) and  
197 Kennedy et al. (2010) studies. The reader is referred to these publications for additional details  
198 on the process.

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### 200 **3. Atmospheric State**

201 NARR and MERRA reanalyses are first compared to ARM continuous forcing by  
202 evaluating the yearly and seasonal column averaged biases for atmospheric state variables  
203 including horizontal wind components, specific humidity, vertical pressure velocity ( $\omega$ ), and  
204 air temperature (Table 1). Considering all three datasets take into account analyzed fields from  
205 observations such as upper air soundings and surface observation networks, it is of no surprise to  
206 find that biases are quite small for many of the variables. For example, biases for horizontal  
207 wind components are less than  $0.5 \text{ m s}^{-1}$  and for temperature, reanalyses are within 0.13 K of the  
208 forcing. Although NARR shows good agreement with the ARM forcing for specific humidity  
209 (within  $0.04 \text{ g kg}^{-1}$ ), MERRA has a dry bias an order of magnitude larger with values ranging  
210 from  $-0.17 \text{ g kg}^{-1}$  during autumn to  $-0.8 \text{ g kg}^{-1}$  during winter. The largest disagreement amongst  
211 the datasets occurs for the vertical pressure velocity with positive biases ranging from 0.07 to  
212  $0.54 \text{ mb hr}^{-1}$  which are larger than the yearly and seasonal means.

213 Both specific humidity and vertical pressure velocity are crucial for developing accurate  
214 forcing required by SCM/CRM applications. For example, biases in the humidity field will  
215 directly translate to biases in cloud simulations for these models since stratiform cloud  
216 parameterizations often consider humidity to trigger cloud. For this reason and for the fact that  
217 vertical velocities are difficult to measure directly, these two variables warrant additional  
218 investigation. In doing so, it may be possible to investigate whether the reanalyses have issues  
219 within their own parameterizations.

220 The seasonal variations of RH and omega derived from the ARM continuous forcing and  
221 the NARR and MERRA reanalyses over the ARM SGP site during the period 1999-2001 are  
222 provided in Fig. 1. As illustrated in Figures 1a and 1b, the RH values derived from ARM and  
223 NARR are in excellent agreement and have a bimodal distribution with peaks in the boundary  
224 layer and in the upper troposphere. Although not shown, this is consistent with the seasonal  
225 variation of radar-lidar derived cloud fraction at the ARM SGP site (Kennedy et al. 2010). The  
226 decrease in RH during the late summer (August-September) is primarily due to the influence of  
227 large-scale ridging and a lack of baroclinic wave activity over Oklahoma. Some RH differences  
228 between ARM and NARR exist near the top of the troposphere during the summer and in the  
229 boundary layer throughout the year. The former of these two differences may be an issue with  
230 RUC-2 as there is no physical explanation for a peak at this level during the summer months.  
231 Despite these differences, monthly maximums are present in both datasets, especially during  
232 January and March. MERRA captures the general shape of RH at the ARM SGP site (Fig. 1c),  
233 but with a ~5% negative bias throughout the year in the upper troposphere except during the late  
234 spring and early summer when convection is most common at the ARM SGP site. During this  
235 time period, MERRA has a considerable positive bias (~10-15%) compared to ARM and NARR.

236 Seasonal RMSE plots (not shown) demonstrate that the largest disagreement between MERRA  
237 and ARM continuous forcing for mixing ratio occur during the spring (MAM) and summer  
238 seasons (JJA) in the boundary layer and upper troposphere. The maximum RH for MERRA  
239 occurs during June when boundary layer humidity is highest. As will be shown later, cloud  
240 fraction in MERRA also peaks in June, suggesting that this may be a byproduct of the convective  
241 parameterization used in the AGCM. Like ARM and NARR, additional peaks occur during  
242 January and March. It is concluded that the RH values from three different datasets generally  
243 agree during this 3-yr period.

244 Contrary to the RH comparison, significant differences exist for the omega field as shown  
245 in Figs 1d-1f. As illustrated in Fig. 1d, there are two periods of upwelling(cool colors) for the  
246 ARM dataset: one during the late spring from May-June peaking at  $\sim 1.75 \text{ mb hr}^{-1}$  and the other  
247 in the early fall during September-October with weak upward motion. Downwelling branches  
248 occur during the late fall/early winter and the late summer in the lower troposphere. Although  
249 NARR and MERRA omega values are similar to each other, they differ considerably from ARM  
250 data. NARR is characterized by capturing the seasonal pattern of omega, however, with much  
251 different amplitudes than ARM. For upwelling motion, the largest upward motion in NARR  
252 occurs during March instead of the late spring (May-June) as shown in Fig. 1d. The upward  
253 motion during the early fall is also much weaker. Downwelling motion on the other hand, is  
254 notably stronger than ARM with maximum values around  $\sim 1 \text{ mb hr}^{-1}$ . This is most evident  
255 during the summer months when the downwelling branch extends throughout the atmospheric  
256 column. MERRA (Fig. 1f) shares many resemblances with NARR especially with regard to the  
257 weaker spring upwelling and stronger downwelling during the summer months. Perhaps the

258 most unique feature with MERRA is the upward motion is largest in the lower troposphere near  
259 the surface and just above the PBL.

260 To further investigate the RH and omega differences between the three datasets, the  
261 histograms of 3-hourly RH at 925 hPa and omega at 300 hPa for all and non-precipitating  
262 periods are presented in Fig. 2. For 925 hPa RH, there is little difference between all (Fig. 2a)  
263 and dry (Fig. 2b) conditions. ARM is characterized by having more values  $> 80\%$  than NARR  
264 and MERRA, whereas MERRA has a dry bias with more values  $< 35\%$  than the other two.  
265 NARR RH values fall between ARM and MERRA results. For omega, histograms are given with  
266 the y-axis in a logarithmic scale. Despite having a large positive bias compared to ARM as  
267 shown in Fig. 1e, NARR occasionally produces larger upward motions although the number of  
268 events is very small (Fig. 2c). These upward motions, however, disappear under the dry period  
269 (Fig. 2d), indicating that these upward motions occur under precipitating periods. It is believed  
270 that these large upward velocities result from spurious grid scale precipitation (SGSP) as first  
271 documented by West et al. (2007). In brief, the mismatch between assimilated and ETA  
272 modeled precipitation used in NARR introduces spurious latent heating which in turn causes  
273 unreasonable upward velocities usually during times of convection. Given this only occurred  
274 several dozen times during the 3-yr period, this study agrees with the West et al. (2007) finding  
275 that *“SGSP will probably have little or no effect on long-term hydrometeorological averages*  
276 *prior to 2003”*. This phenomenon is a non-issue in MERRA which has a much smaller tail for  
277 upward velocities. Figures 2c and 2d demonstrate that both NARR and MERRA have more  
278 downward motion than ARM at the 300 hPa level, which is consistent with the results in Fig. 1.

279 Determining which dataset is closer to the atmospheric “truth” is a difficult question to  
280 answer, especially without direct measurements of vertical velocity. Therefore it is necessary to

281 find other observed parameters that may be related to vertical velocity to evaluate the three  
282 datasets during the 3-yr period. In this study, it is hypothesized that a more accurate large-scale  
283 relative humidity and vertical motion field will have a stronger relationship with observed cloud  
284 fraction. This has the added benefit of accessing the validity of cloud parameterizations that use  
285 these variables to predict cloud fraction.

286 Correlations were calculated between 3-hr mean RH, omega, and cloud fraction as  
287 determined by the ARM MMCR/MPL data at the ARM SGP site during the 3-yr period. For  
288 omega, correlations are calculated at an observed CF pressure level against 300 hPa omega.  
289 Although not shown, these correlations (Fig. 3b) are higher than those calculated at each level  
290 (i.e. 925 hPa CF correlated with 925 hPa omega) because vertical motion is typically small and  
291 more turbulent at lower levels. Since these RH and omega correlations are calculated from a  
292 point observation (CF derived from ARM radar-lidar) and a forcing domain averaged mean (RH  
293 and omega), these correlations may be lower than reality because clouds might occur elsewhere  
294 in the forcing domain but were not observed by ARM radar-lidar.

295 As illustrated in Fig. 3a, the vertical distributions of the CF and RH correlations for the  
296 three datasets are nearly identical although values are slightly higher for ARM. Overall, RH has  
297 a moderate correlation with CF and is characterized by being bimodal, with peak values of 0.5-  
298 0.6 at the top of the boundary layer and the upper troposphere. A larger value at the lowest  
299 levels for MERRA is a result of fewer samples at the first level; unlike NARR, MERRA does not  
300 calculate variables below ground level (i.e., surface pressure less than the pressure level).  
301 Correlations for omega (Fig. 3b) are similar to the findings for RMSE in Fig. 1e where ARM has  
302 the smallest RMSE and the largest correlation (-0.45) at a level of 450 hPa. MERRA falls  
303 between ARM and NARR with a peak value of  $\sim -0.4$  and has a similar vertical distribution to

304 those of ARM and NARR although it is slightly bimodal. In the upper troposphere, however, the  
305 rate of change in the MERRA correlation is much smaller, which results in higher correlations  
306 than those of ARM and NARR. This is most likely caused by a sampling issue because the  
307 vertical resolution of MERRA is less than those from NARR and ARM above 300 hPa (50 vs. 25  
308 hPa).

309 To understand the seasonal variation of RH/omega relationship with cloud fraction, Fig. 4  
310 is produced. The RH correlations from the three datasets have similar seasonal variations with a  
311 relatively large range, and these results are consistent with the previous findings (e.g., Figs. 1 and  
312 3). Correlations are highest from late fall to early spring when clouds are more closely linked to  
313 baroclinic wave activity. Correlations then decrease until becoming lowest ( $<0.2$ ) during the  
314 months of July and August, suggesting that cloud parameterizations that are dependant on RH to  
315 trigger clouds may need to be improved in the future.

316 The omega comparison basically follows that for RH except for a few important features.  
317 In particular, ARM correlations (Fig. 4d) have maxima during the months of January-February,  
318 April, and June. Although NARR and MERRA (Fig. 4e-f) capture the peaks for the winter and  
319 early spring months, they do not have a maximum during June. This warrants further  
320 investigation. Given that the ARM forcing is constrained by precipitation, this may suggest that  
321 during the late spring and early summer, precipitation is more likely caused by local forcing (i.e.,  
322 isolated thunderstorms developing along weak boundaries with weak synoptic-scale support,  
323 Dong et al. 2010) that can not be captured by the reanalyses. Like the RH comparison, ARM  
324 correlations are slightly higher (0.1-0.2) than those of NARR and MERRA at any given time and  
325 height. In other words, ARM, NARR, and MERRA all agree on the hour-to-hour variation of  
326 vertical velocity and its relationship to cloud occurrence.

#### 327 **4. Precipitation, Cloud Fraction, and Surface Radiation**

328 In this section, the precipitation, cloud fraction, and surface radiation derived from both  
329 NARR and MERRA are evaluated with observations at the DOE ARM SGP site during the  
330 period 1999-2001. As shown in Fig. 5, ARM and NARR precipitation have excellent agreement  
331 with each other, capturing the monthly variability in precipitation during this time period which  
332 should be expected given the design of NARR to assimilate observed precipitation. This is  
333 certainly not a new finding because it has been documented in Becker et al. (2009) and  
334 Bukovsky and Karoly (2006). The largest precipitation amounts occur during the month of June,  
335 followed by the earlier spring, and fall months. For many months, the two lines are nearly  
336 indistinguishable. MERRA on the other hand, appears to have a negative bias for most of the 3-  
337 yr period. Despite this bias, however, it does capture the monthly variability of precipitation.  
338 Figure 6 shows the scatterplots of the monthly and daily total precipitation for the three datasets.  
339 As demonstrated in Fig. 5 and Fig. 6a, NARR monthly total precipitation has excellent  
340 agreement with ARM forcing with a correlation of 0.99 and bias of -2.8 mm. MERRA monthly  
341 total precipitation (Fig. 6b), however, has a larger bias of -22.2 mm. Despite this bias, there is  
342 still a linear trend with a relatively high correlation of 0.86. Precipitation is also over simulated  
343 on occasion during low precipitation months (<50 mm), hence the intercept of 15.66 mm.

344 Reducing precipitation to daily totals leads towards more disagreement between ARM  
345 and reanalyses as noted by the smaller values of slope and correlation. For NARR (Fig. 6c),  
346 slope is reduced from 0.96 to 0.86 and correlation from 0.99 to 0.91. Overall, there is a ~ -0.1  
347 mm bias per day. This panel is similar to the “Great Plains” panel in Fig. 2 from Becker et al.  
348 (2009). The more significant scattering and values at 0 for one dataset suggest that the  
349 assimilation process might introduce some uncertainty into the original observations either in

350 time and/or location. Becker et al. (2009) found that in general, NARR has less intensity and  
351 higher frequency precipitation than the observations, so some care should be taken in analysis of  
352 individual cases. Daily precipitation correlation for MERRA (Fig. 6d) is reduced to 0.69 with a  
353 bias of -0.73 mm.

354 Figure 7 shows the CF comparison between ARM radar-lidar, GOES, NARR and  
355 MERRA at the ARM SGP site during the period 1999-2001. The monthly CF difference  
356 between ARM radar-lidar and GOES observations may be due to the spatial scale difference  
357 (point vs. a  $2 \times 2.5^\circ$  grid box) and remote sensing method (active vs. passive). The annual mean  
358 CF difference between ARM radar-lidar and GOES observations is within 1% (43% vs. 44%) for  
359 the entire 3-yr period. This result is consistent with the findings in the Xi et al. (2010) and  
360 Kennedy et al. (2010) studies. Cloud fraction is characterized by having maximum values during  
361 the late winter and spring (peaking in March), and then having another local maximum during  
362 June when precipitation and upward motion peaks. CF then decreases to a minimum during the  
363 summer when Oklahoma is typically under large-scale ridging. Both NARR and MERRA  
364 reanalyses capture the same seasonal variations as the ARM radar-lidar and GOES observations,  
365 but with negative biases. Of the two, however, MERRA has better agreement with a larger  
366 maximum during June and is overall, within 3-4% of observations. Correlations and RMSEs  
367 between the reanalyses and observations are also calculated based on a total of 36 monthly  
368 means and are summarized in Table 2. Although NARR has a larger RMSE against both ARM  
369 and GOES observations than MERRA, its correlations are higher, indicating that NARR captures  
370 month-to-month variability better. Note that the CF correlation between ARM and GOES is 0.91  
371 and the RMSE is 5.8%. While the CF correlation is highest for NARR against ARM, the  
372 correlation between GOES and MERRA is nearly the same as that between GOES and NARR,



373 and the RMSE values for MERRA are much smaller than those of NARR. This may be a matter  
374 of MERRA incorporating GOES data into its assimilation process.

375 Comparisons of monthly mean surface fluxes for clear-sky and all-sky conditions from  
376 the three datasets are shown in Fig. 8 and summarized in Table 3. For detailed discussion, the  
377 reader is referred to the Dong et al. (2006) study which investigated the seasonal variations of CF  
378 and surface radiative fluxes at the ARM SGP during the period 1997-2002. Despite the slightly  
379 longer time period in the Dong et al. (2006) study, the differences between this study (ARM  
380 results) and Dong et al. (2006) are within a few  $\text{W m}^{-2}$  as listed in Table 3.

381 Overall, the reanalyses capture the seasonal variability seen in ARM quite well, albeit  
382 with biases (Table 3). These biases are smallest for periods of clear-sky which is expected;  
383 surface fluxes in reanalyses are dependant on not only their parameterizations for surface  
384 radiation, but also clouds. Compared to the all-sky ARM results, the NARR SW-down is  
385 significantly higher ( $47 \text{ W m}^{-2}$ ), and LW-down is lower ( $-9 \text{ W m}^{-2}$ ), which is consistent with the  
386 negative bias of cloud fraction found in Fig. 7. Markovic et al. (2009) found similar results for  
387 NARR analyzed at six surface sites within the US and suggested that high biases in mean annual  
388 all-sky SW-down ( $\sim 40 \text{ W m}^{-2}$ ) were attributed to a negative bias of CF. The clear-sky  
389 comparisons are nearly the same as their all-sky counterparts, i.e., SW-down is  $25 \text{ W m}^{-2}$  higher  
390 and LW-down is  $13 \text{ W m}^{-2}$  lower, suggesting that the impacts of water vapor and aerosols on  
391 radiative transfer in NARR also need to be improved. Given that NARR is based on the NCEP  
392 ETA model, this is consistent with Hinkelman et al. (1999) which found that ETA had an  
393 average excess of  $50 \text{ W m}^{-2}$  for SW-down with approximately half of this bias attributed to  
394 deficient extinction.

395           The comparisons between MERRA and ARM agree much better than those between  
396 NARR and ARM as shown in Fig. 8 and listed in Table 3. However, there are a few exceptions.  
397 MERRA has larger biases than NARR for LW-down under both clear and all sky conditions (-20  
398 and  $-19 \text{ W m}^{-2}$ ). Compared to ARM and NARR, these negative biases are consistent with the  
399 drier conditions in MERRA as demonstrated in Fig. 1 because atmospheric water vapor is  
400 extremely important for LW-down fluxes (Dong et al. 2006) and is supported by the fact these  
401 biases are largest during the warm season and are nearly the same under both clear-sky and all-  
402 sky conditions.

403           Finally, comparisons of monthly mean TOA fluxes for clear-sky and all-sky conditions  
404 are given in Fig. 9 and are summarized in Table 4. Reanalysis fluxes under clear-sky condition  
405 have small positive biases within  $5 \text{ W m}^{-2}$  of ARM (GOES) observations. As expected, TOA  
406 SW-up fluxes for all-sky condition are highest during months with high cloud fraction, and the  
407 differences between reanalyses and ARM are related to their CF differences. For example,  
408 NARR TOA flux biases (negative for SW-up and positive for LW-up) are consistent with the  
409 year-round negative CF bias. MERRA biases vary by season depending on the amount of cloud  
410 cover produced. The peak in SW-up and minimum in LW-up during June are strongly  
411 associated the peak of CF during that month. Despite this disagreement, biases in MERRA are  
412 noticeable smaller than those of NARR as listed in Table 4.

413

## 414 **5. Summary and Conclusions**

415           The atmospheric state, precipitation, total cloud fraction, and surface radiative fluxes  
416 from MERRA and NARR reanalyses were collected and compared with the ARM SGP  
417 continuous forcing dataset during the period 1999-2001. Key findings are summarized below.

418 1. For atmospheric state, NARR and MERRA reanalyses have small column averaged biases  
419 within  $0.5 \text{ m s}^{-1}$  and  $0.13 \text{ K}$  for horizontal wind components and air temperature, respectively.  
420 Specific humidity and RH values from ARM and NARR are in excellent agreement and both  
421 have a bimodal distribution with peaks in the boundary layer and the upper troposphere.  
422 MERRA captures the general shape of RH, but with a  $\sim 5\%$  negative bias throughout the year in  
423 the upper troposphere except during the late spring and early summer when convection is most  
424 common at the ARM SGP site.

425

426 2. Significant differences exist for the omega field. The largest differences occur for upwelling  
427 during the spring months and the magnitude of downwelling during the summer. Although  
428 NARR and MERRA share many resemblances to each other, ARM outperforms these reanalyses  
429 in terms of correlation with CF. Given that the ARM forcing is constrained by precipitation to  
430 give the adequate mass, momentum, heat, and moisture budgets, this indicates that some of the  
431 precipitation (especially during the late spring and early summer) is caused by smaller-scale  
432 forcing that is not captured by the reanalyses. This also suggests that SCMs based on the forcing  
433 derived from reanalyses would not be able to model precipitation adequately during this time  
434 period. Combined with known issues such as SGSP in NARR documented by West et al. (2007)  
435 and within this study, vertical velocity values in reanalyses should be used with caution.

436

437 3. ARM and NARR have excellent agreement for monthly precipitation amounts which are a  
438 testament to the improved precipitation assimilation into NARR. NARR has a slight ( $\sim 3 \text{ mm}$ )  
439 bias for monthly precipitation but with more variability for daily precipitation, suggesting that  
440 the assimilation of precipitation may sometimes be mistimed or misplaced. Despite this, both

441 monthly and daily correlations are still high. MERRA, on the other hand, only captures the  
442 monthly variation of precipitation well and contains considerable negative biases at monthly (-  
443 22.2 mm) and daily (-0.7 mm) intervals.

444

445 4. As found in Kennedy et al. (2010) and Xi et al. (2010), total CF at the ARM SGP site has  
446 good agreement between ARM and GOES satellite observations. From 1999-2001, CF peaked  
447 during the months of March and June before reaching a minimum during the summer months.  
448 Both NARR and MERRA capture this change as evidenced by high correlations (0.92-0.78),  
449 although they have negative biases (14% and 3%, respectively). MERRA correlations for CF are  
450 highest with satellite observations while NARR correlations are highest with the ARM surface  
451 observations. This is not surprising given the amount of satellite information being assimilated  
452 into MERRA.

453

454 5. Surface radiative fluxes within this study agree well with those from Dong et al. (2006). Of  
455 the two reanalyses, MERRA shows better agreement with ARM observations for all fluxes  
456 except for LW-down. NARR has significant positive biases for SW-down, SW-up, and LW-up,  
457 and these are attributed due to a combination of too few clouds and a lack of sufficient extinction  
458 by aerosols and water vapor in the atmospheric column. These results are consistent with  
459 previous studies that have investigated NARR elsewhere in the US and ETA at the ARM SGP  
460 site. MERRA biases for LW-down are attributed to the negative bias of water vapor within the  
461 atmospheric column.

462 The results presented here represent only one location within the well constrained  
463 continental mid-latitudes with a limited time period. However, in a companion study over the

464 Arctic region (Zib et al. 2010), similar results were found albeit with smaller biases. This study  
465 and Zib et al. (2010) have indicated that MERRA generally agrees better than NARR/NCEP  
466 reanalyses with ARM in both the middle latitudes and Arctic regions for CF and radiative fluxes.  
467 A potential avenue of research is expanding this analysis for a longer period using the newly  
468 developed Climate Modeling Best Estimate (CMBE) dataset by ARM (Xie et al. 2010). It is also  
469 currently planned to expand the ARM continuous forcing from 2001 to present time over the  
470 ARM SGP site, as well as other surface sites.

471

## 472 **5. Acknowledgements**

473 NARR reanalysis data were provided by NOAA/OAR/ESRL PSD, Boulder, Colorado, USA,  
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586 **Figure Captions**

587 Figure 1. Monthly means of RH over the ARM SGP domain from 1999-2001 for (a) ARM  
588 continuous forcing, (b) NARR, and (c) MERRA. (d)-(f) are the same as (a)-(c) except for the  
589 omega field.

590

591 Figure 2. Histograms of 925 hPa RH for (a) all and (b) dry hours. (c) and (d) are the same as (a)  
592 and (b) except for 300 hPa omega. Note that the y-axis for omega is logarithmic.

593

594 Figure 3. Vertical correlations of cloud fraction with (a) RH and (b) omega at a 3-hr temporal  
595 resolution.

596

597 Figure 4. Seasonal correlations of cloud fraction with RH for (a) ARM, (b) NARR, and (c)  
598 MERRA. (d)-(f) are the same as (a)-(c) except for the omega field.

599

600 Figure 5. Monthly total precipitation measured over the ARM SGP domain by ARM (black),  
601 NARR (red) and MERRA (blue) during the period 1999-2001.

602

603 Figure 6. Scatterplots of monthly total precipitation for (a) ARM vs. NARR and (b) ARM vs.  
604 MERRA. (c) and (d) are the same as (a) and (b) except for daily total precipitation.

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606 Figure 7. Monthly mean cloud fraction for ARM (black), GOES (green), NARR (red), and  
607 MERRA (blue) during the period 1999-2001.

608

609 Figure 8. Monthly mean clear-sky (a) SW-down, (b) LW-down, (c) SW-up, and (d) LW up  
610 fluxes measured by PSPs and PIRs at the ARM SGP site. (e)-(h) are the same as (a)-(d) except  
611 for all sky conditions.

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613 Figure 9. Monthly mean TOA clear-sky (a) SW-up and (b) LW-up fluxes measured by GOES  
614 satellite over the ARM SGP site. (c)-(d) are the same as (a)-(b) except for all sky conditions.

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624 **Table Captions**

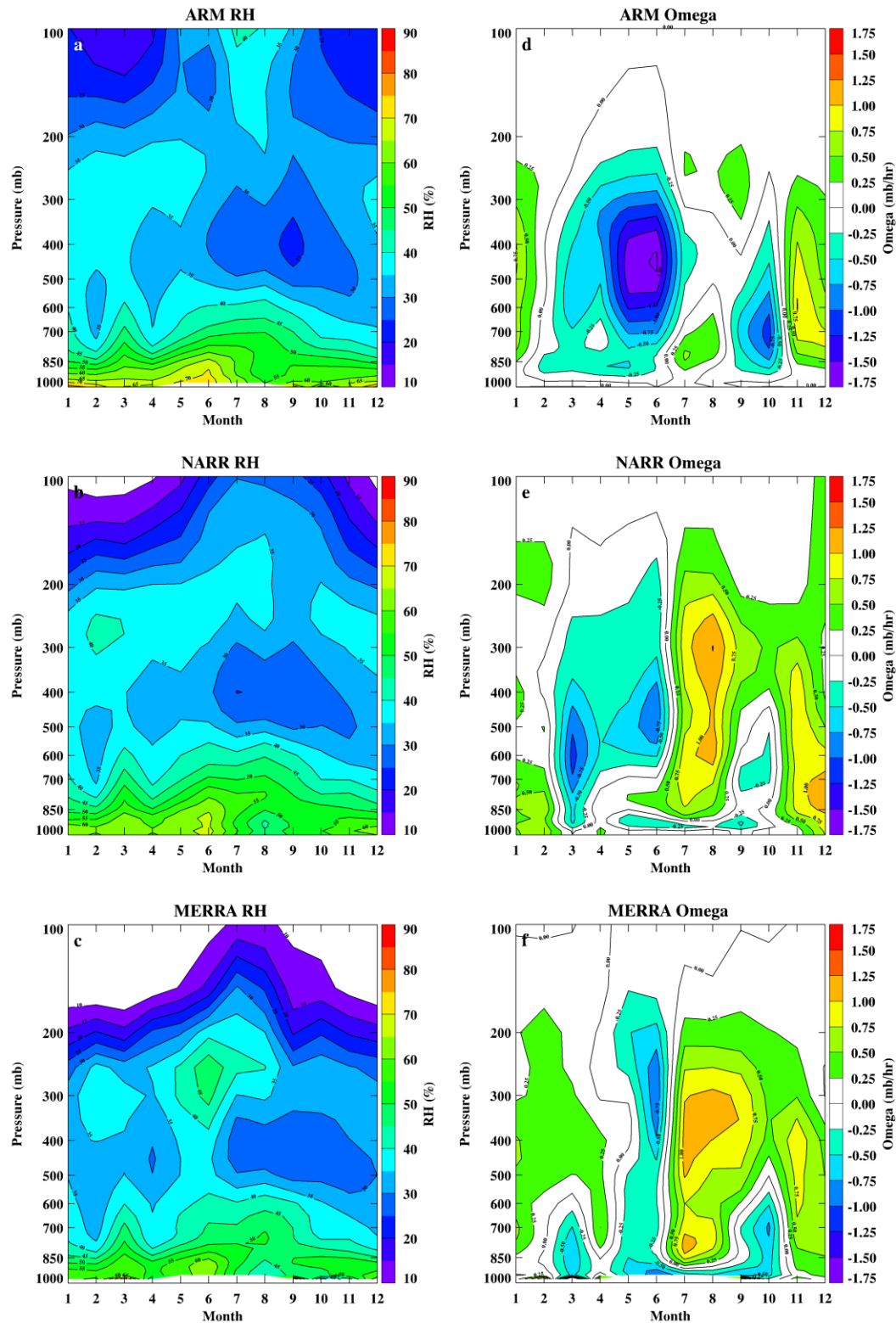
625 Table 1. Yearly and seasonal column averaged biases of zonal wind ( $\text{m s}^{-1}$ ), meridional wind ( $\text{m}$   
626  $\text{s}^{-1}$ ), specific humidity ( $\text{g kg}^{-1}$ ), omega ( $\text{mb hr}^{-1}$ ), and air temperature (K) for NARR and MERRA  
627 against ARM continuous forcing  
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629 Table 2. Correlation and RMSE of total cloud fraction from a total of 36 monthly means.

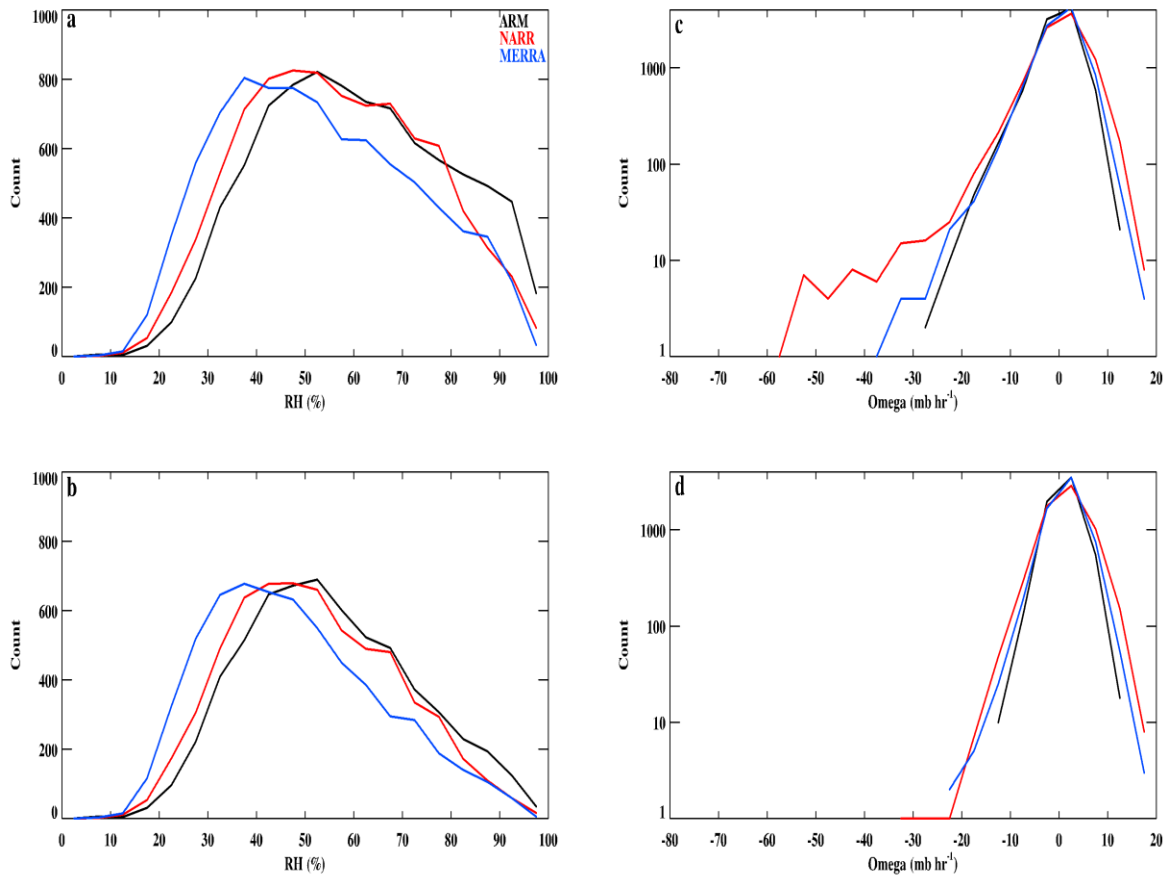
630 Table 3. Annual mean surface radiative fluxes and their biases compared to ARM continuous  
631 forcing.  
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633 Table 4. Annual mean TOA radiative fluxes and their biases compared to ARM continuous  
634 forcing.  
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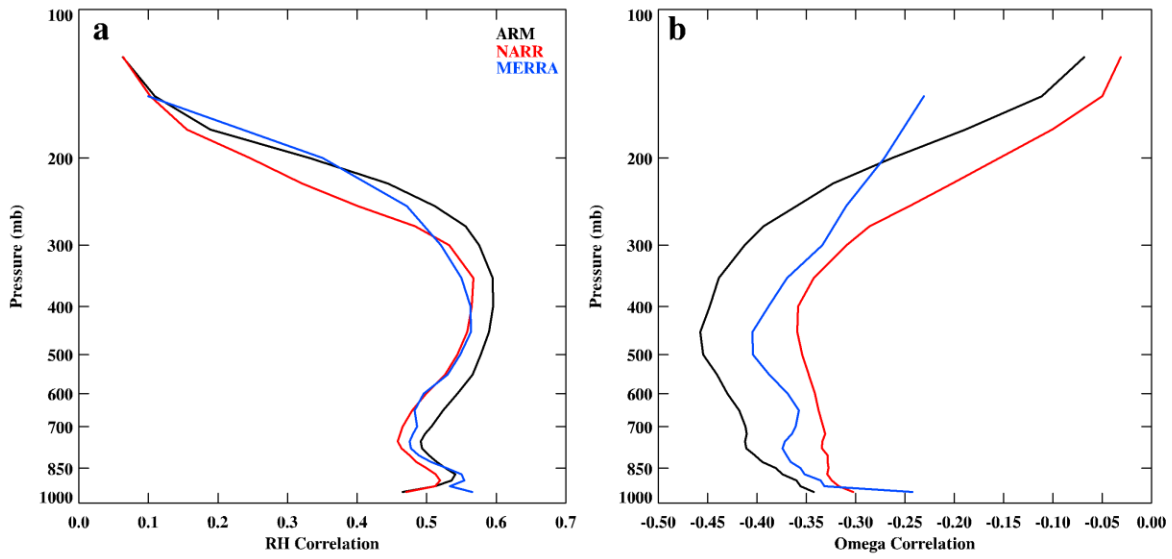


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 638 Figure 1. Monthly means of RH over the ARM SGP domain from 1999-2001 for (a) ARM  
 639 continuous forcing, (b) NARR, and (c) MERRA. (d)-(f) are the same as (a)-(c) except for the  
 640 omega field.



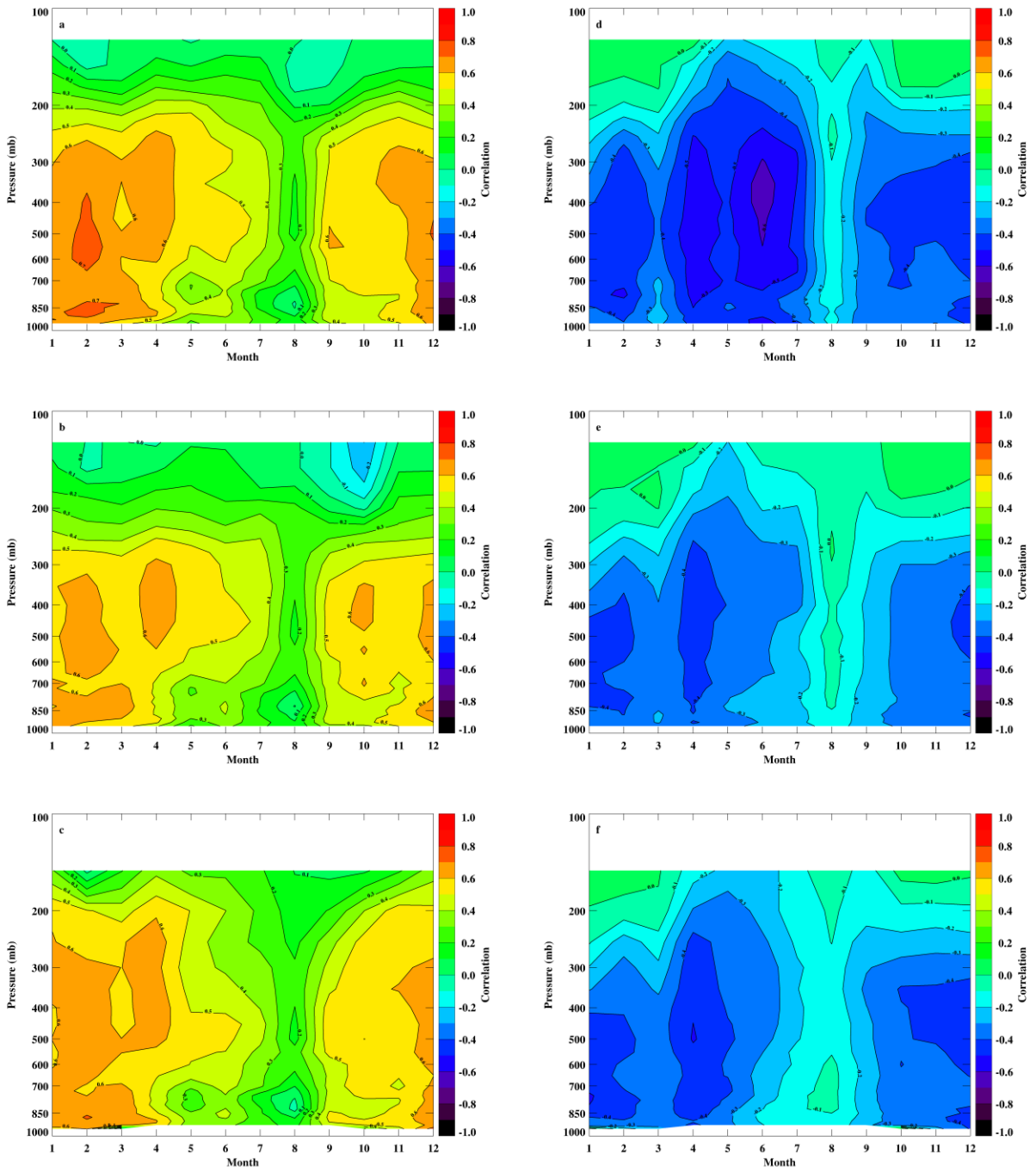
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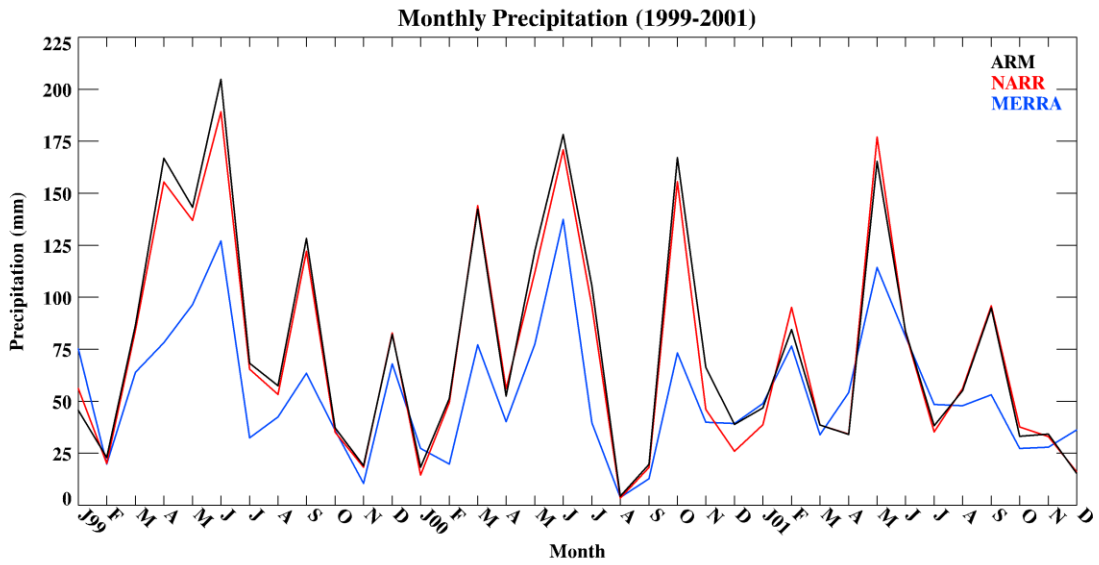
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 664 Figure 3. Vertical correlations of cloud fraction with (a) RH and (b) omega at a 3-hr temporal  
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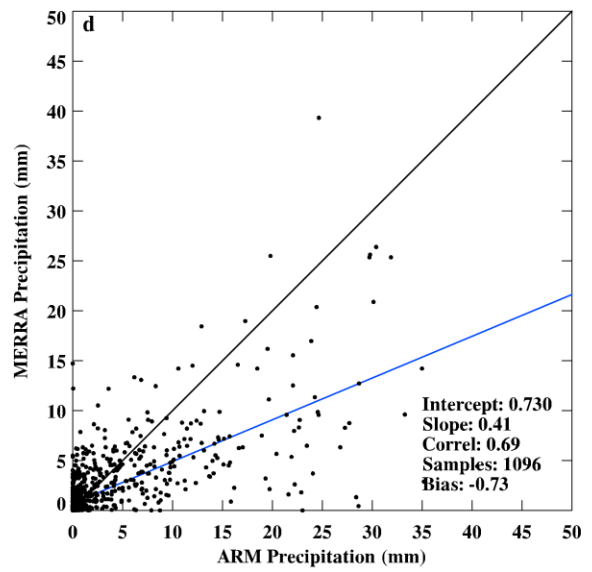
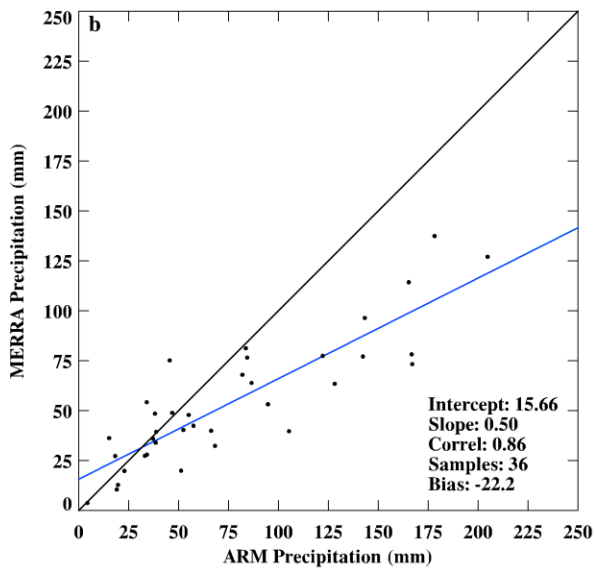
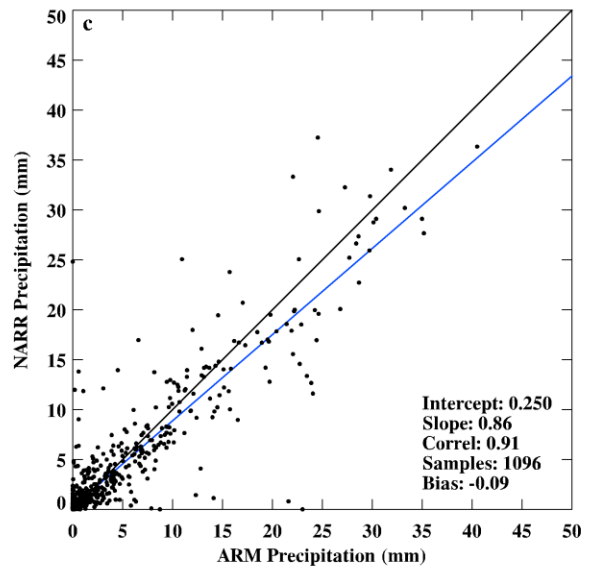
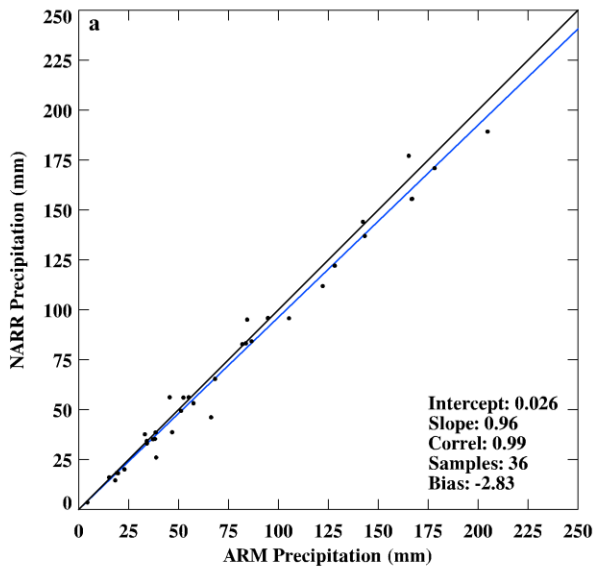
Figure 4. Seasonal correlations of cloud fraction with RH for (a) ARM, (b) NARR, and (c) MERRA. (d)-(f) are the same as (a)-(c) except for the omega field.



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 695 Figure 5. Monthly total precipitation measured over the ARM SGP domain by ARM (black),  
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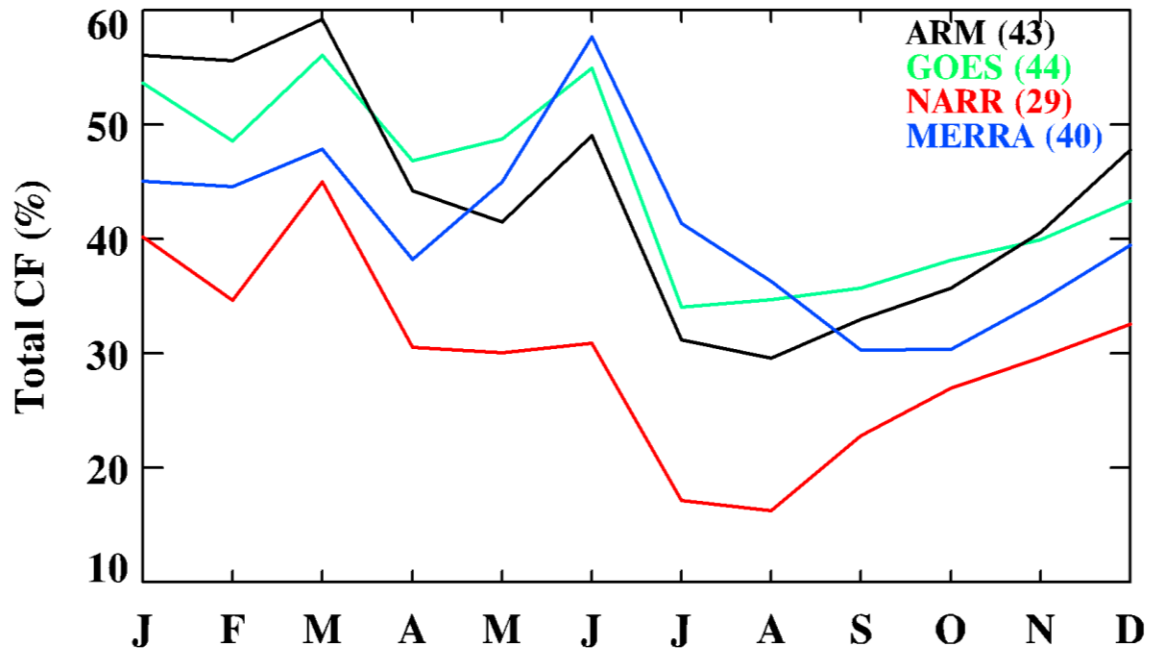
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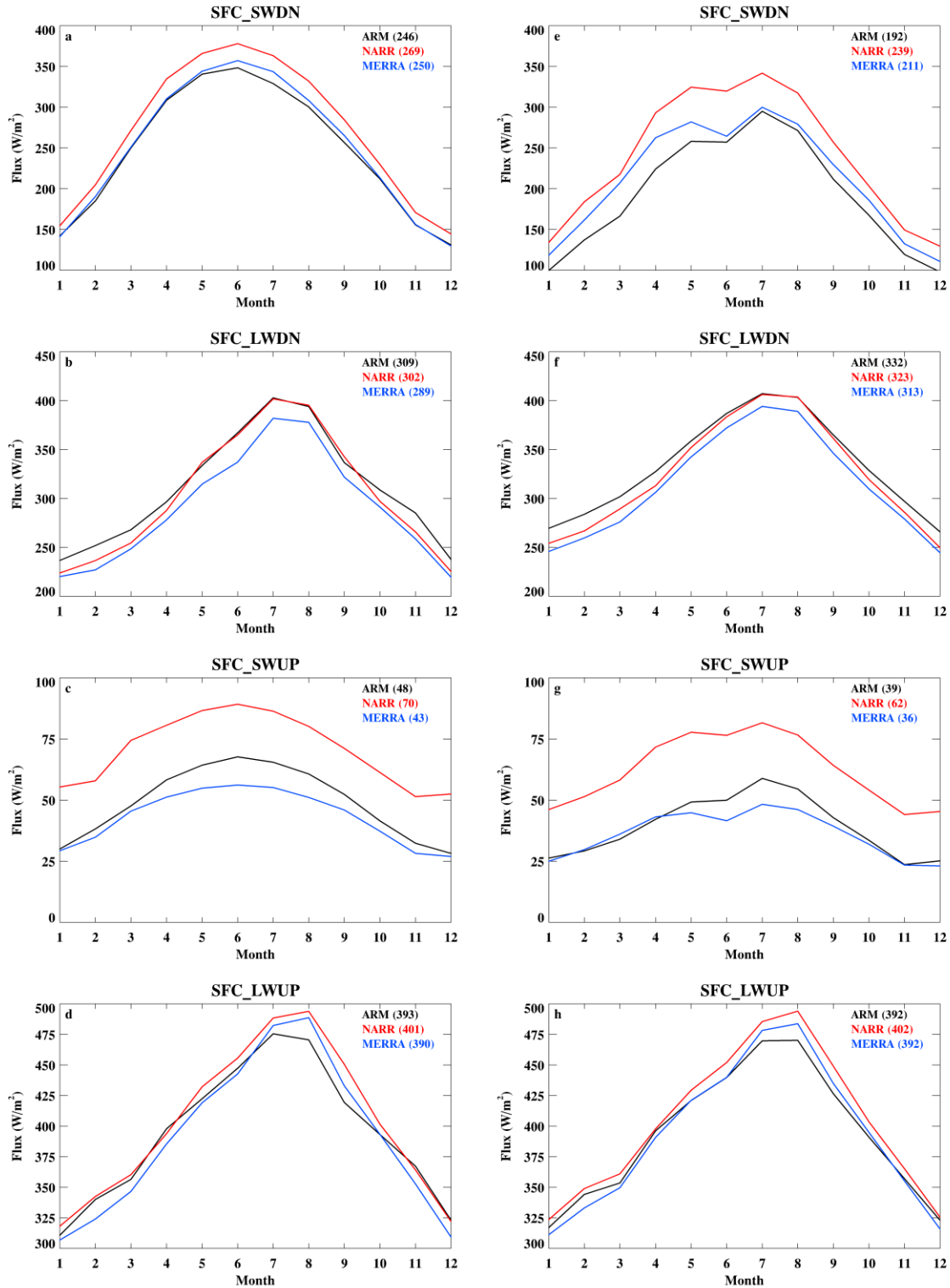
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Figure 6. Scatterplots of monthly total precipitation for (a) ARM vs. NARR and (b) ARM vs. MERRA. (c) and (d) are the same as (a) and (b) except for daily total precipitation.



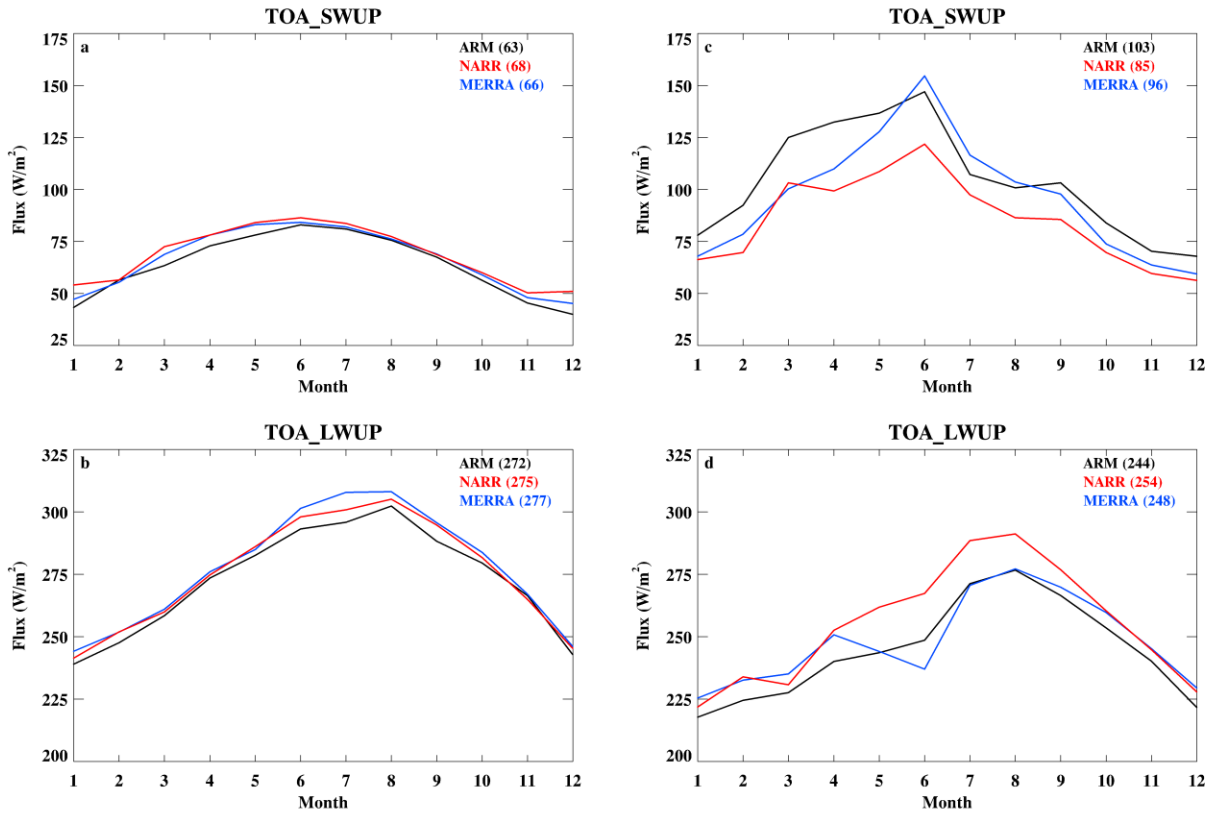
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 728 Figure 7. Monthly mean cloud fraction for ARM (black), GOES (green), NARR (red), and  
 729 MERRA (blue) during the period 1999-2001.

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Figure 8. Monthly mean clear-sky (a) SW-down, (b) LW-down, (c) SW-up, and (d) LW up fluxes measured by PSPs and PIRs at the ARM SGP site. (e)-(h) are the same as (a)-(d) except for all sky conditions.



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 759 Figure 9. Monthly mean TOA clear-sky (a) SW-up and (b) LW-up fluxes measured by GOES  
 760 satellite over the ARM SGP site. (c)-(d) are the same as (a)-(b) except for all sky conditions.  
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783 Table 1. Yearly and seasonal column averaged biases of zonal wind ( $m s^{-1}$ ), meridional wind ( $m$   
 784  $s^{-1}$ ), specific humidity ( $g kg^{-1}$ ), omega ( $mb hr^{-1}$ ), and air temperature (K) for NARR and MERRA  
 785 against ARM continuous forcing  
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<b>NARR</b>	<b>YEAR</b>	<b>DJF</b>	<b>MAM</b>	<b>JJA</b>	<b>SON</b>
<b>U (m/s)</b>	0.42	0.38	0.46	0.4	0.41
<b>V (m/s)</b>	0.04	0.13	-0.22	-0.2	0.29
<b>Q (g/kg)</b>	-0.01	0	0.01	-0.04	0.01
<b>O (mb/hr)</b>	0.34	0.26	0.22	0.54	0.33
<b>T (K)</b>	-0.06	0.03	-0.09	-0.1	-0.05
<b>MERRA</b>	<b>YEAR</b>	<b>DJF</b>	<b>MAM</b>	<b>JJA</b>	<b>SON</b>
<b>U (m/s)</b>	0.18	0.08	0.12	0.3	0.15
<b>V (m/s)</b>	0.03	-0.17	-0.3	0.25	0.36
<b>Q (g/kg)</b>	-0.19	-0.8	-0.16	-0.36	-0.17
<b>O (mb/hr)</b>	0.22	0.07	0.32	0.25	0.25
<b>T (K)</b>	-0.02	-0.13	-0.01	0.13	-0.07

788  
 789  
 790 Table 2. Correlation and RMSE of total cloud fraction from a total of 36 monthly means.  
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<b><math>\rho</math></b>	<b>NARR</b>	<b>MERRA</b>
ARM	0.92	0.78
SAT	0.9	0.86

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<b>RMSE</b>	<b>NARR</b>	<b>MERRA</b>
ARM	14.9	9
SAT	15.6	7.1

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809 Table 3. Annual mean surface radiative fluxes and their biases compared to ARM continuous  
 810 forcing.  
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	<b>Clear Sky</b>				<b>All Sky</b>			
	<b>SWDN</b>	<b>SWUP</b>	<b>LWDN</b>	<b>LWUP</b>	<b>SWDN</b>	<b>SWUP</b>	<b>LWDN</b>	<b>LWUP</b>
<b>ARM</b>	246	48	309	393	192	39	332	392
<b>D06</b>	248		314		195		333	
<b>NARR</b>	269	70	302	401	239	62	323	402
<b>MERRA</b>	250	43	289	390	211	36	313	392

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	<b>Clear Sky</b>				<b>All Sky</b>			
	<b>SWDN</b>	<b>SWUP</b>	<b>LWDN</b>	<b>LWUP</b>	<b>SWDN</b>	<b>SWUP</b>	<b>LWDN</b>	<b>LWUP</b>
<b>NARR</b>	23	22	-7	8	47	23	-9	10
<b>MERRA</b>	4	-5	-20	-3	19	-3	-19	0

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816 Table 4. Annual mean TOA radiative fluxes and their biases compared to ARM continuous  
 817 forcing.

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	<b>Clear Sky</b>		<b>All Sky</b>	
	<b>SWUP</b>	<b>LWUP</b>	<b>SWUP</b>	<b>LWUP</b>
<b>ARM</b>	63	272	103	244
<b>NARR</b>	68	275	85	254
<b>MERRA</b>	66	277	96	248

	<b>Clear Sky</b>		<b>All Sky</b>	
	<b>SWUP</b>	<b>LWUP</b>	<b>SWUP</b>	<b>LWUP</b>
<b>NARR</b>	5	3	-18	10
<b>MERRA</b>	3	5	-7	4

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