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**Comparison of Diurnal Warming Estimates from Unpumped Argo Data and SEVIRI  
Satellite Observations**

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23 **Abstract**

24           Estimates of diurnal warming at the ocean surface from modified Argo floats providing  
25 unpumped measurements of temperature up to the surface are compared against collocated  
26 satellite-derived values from the Spinning Enhanced Visible and Infrared Imager (SEVIRI)  
27 flying on the METEOSAT-9 Second Generation (MSG) geostationary satellite. The amplitude  
28 of diurnal warming is computed from the difference between subskin and foundation temperature  
29 estimates derived independently from the Argo and SEVIRI data. The results demonstrate  
30 remarkable consistency between the observations, lending support for both products and the  
31 associated methodologies, particularly for estimation of the foundation temperature. Individual  
32 subskin values agree to within an absolute mean difference of  $\leq 0.1$  K and standard deviations of  
33 the differences are  $< 0.4$  K. Statistics for comparison of the foundation temperatures are similar.  
34 Differences between the corresponding derived estimates of diurnal warming have negligible  
35 bias and standard deviations  $< 0.25$  K. The strong agreement of the diurnal warming estimates  
36 exists even when excluding nearly isothermal profiles, suggesting the differences are robust to  
37 small spatial offsets and point-to-pixel differences. The results particularly support the ability of  
38 the modified Argo floats to provide reliable, and highly valuable, measurements of the near-  
39 surface temperature, helping to argue for more modified floats. Moreover, the results suggest  
40 that the unpumped Argo data has the potential to provide an independent estimate of the  
41 foundation temperature for validation of SST analyses. The method for estimating the  
42 foundation temperature from SEVIRI represents a good compromise between data coverage and  
43 influences of cloud contamination and nighttime cooling.

44

45 **1. Introduction**

46       The diurnal cycle of the sea surface temperature (SST) is the result of the interplay  
47 among solar heating, turbulent mixing, and the dynamics of the heat exchange between the ocean  
48 and the atmosphere. Under clear skies and low wind conditions, the absorption of incoming  
49 shortwave solar radiation rises the temperature of the water closest to the surface and a strong  
50 near-surface temperature gradient may develop during the day. At night, mixing by oceanic  
51 convection typically erodes the diurnal thermocline and the warm layer disappears/decays due to  
52 evaporative cooling and the absence of incoming solar radiation. Cooling progresses until  
53 sunrise, when the daily cycle of solar radiation may lead to the formation of a new warm layer  
54 atop the previous night's convective mixed layer, if light wind conditions persist. Because the  
55 absorption of solar heating is strongest at the surface, the greatest rises in temperature are  
56 confined to shallower layers closer to the surface (at depths of ~0.5–1 m). Wind mixing,  
57 however, can transport the absorbed heat downwards, and deeper, more moderate warm layers  
58 can be found in the upper 10–20 m of the surface.

59       The strength of this diurnal warming amplitude is regulated by cloud cover, which  
60 modulates insolation, and wind stirring, which influences turbulence mixing. If the wind is  
61 sufficiently calm and there is strong insolation, the warming at the ocean surface sensed by  
62 satellites can be highly significant. In situ observations from moorings have shown warming in  
63 excess of 5°C at depths of 0.3–0.6 m (Flament et al., 1994), also evident in coincident thermal  
64 infrared (IR), 1-km AVHRR imagery. Although the surface signature of diurnal warming events  
65 as seen from satellites vary significantly in extent and with geographic region, often times they  
66 are shaped into long narrow streaks with embedded blobs/patches of extreme warming. Flament  
67 et al. (1994) documented coherent streaks of warm temperature off the California Coast from

68 AVHRR IR imagery, typically ~50–100 km long and ~4–8 km wide, with patches of extreme  
69 warming of up to 6.6°C. Extreme diurnal amplitudes exceeding 4 K have also been reported by  
70 Stramma et al. (1986) and Ramp et al. (1991). Recently, satellite observations from multiple  
71 sensors have observed streaks with patches of extreme warming up to 7 K in magnitude  
72 (Gentemann et al., 2008), and there is a consensus now within the SST community that these  
73 patches of extreme warming are not artifacts of the SST retrieval. Average amplitudes for  
74 diurnal warming events, however, are typically smaller, on the order of tenths of a degree (e.g.,  
75 Stuart-Menteth et al., 2003), and extend over wide horizontal areas in excess of 100 000 km<sup>2</sup>. It  
76 has been suggested that warm streaks have preferential locations following high atmospheric  
77 pressure ridges, typically associated with light surface winds and clear skies (e.g., Deschamps  
78 and Frouin, 1984; Cornillon and Stramma, 1985; Stramma et al., 1986). Despite the apparent  
79 good correlation between synoptic atmospheric pressure fields and the spatial extent of warming  
80 features seen from space, modulation of diurnal warming amplitudes at smaller scales is not well  
81 understood.

82 Diurnal variability in the SST is significant for multiple applications ranging from  
83 production of daily SST analyses to studies of low-frequency weather and climate variability.  
84 Present satellite-derived SST analyses attempt to blend data from multiple sensors with different  
85 measurement times and different effective measurement depths. To create a blended SST  
86 product representative of a specific time and depth or a daily value representative of a depth free  
87 from any diurnal warming influence (the foundation temperature, see e.g. Donlon et al., 2007), it  
88 is necessary to compensate for the different amounts of diurnal warming present in each satellite  
89 retrieval. Beyond removing diurnal variations for daily SST analyses, capturing the diurnal  
90 variability in SST is important for accurately estimating the air-sea heat flux. Multiple

91 investigators have demonstrated the impact of diurnal temperature variations on the time  
92 integrated heat flux over limited periods and regions (e.g., Fairall et al., 1996; Schiller and  
93 Godfrey, 2005; Danabasoglu et al., 2006). Recently, Clayson and Bogdanoff (2013) showed that  
94 diurnal variations can result in yearly average flux differences of up to  $10 \text{ W m}^2$  over significant  
95 portions of the tropical oceans. Furthermore, accounting for diurnal warming has been shown to  
96 improve Madden-Julian oscillation predictability (Woolnough et al., 2007) and to affect  
97 simulated amplitudes of the El Niño-Southern Oscillation (ENSO) (Ham et al., 2010; Masson et  
98 al., 2011).

99         Because of its impact, substantial efforts have been applied to estimating diurnal  
100 warming amounts with models and satellite-derived products. A dedicated diurnal warming  
101 model was developed by Fairall et al. (1996) for application to air-sea interaction studies and  
102 later enhanced by Gentemann et al. (2009). Detailed physical models have also been evaluated  
103 and applied to the generation of larger scale maps of diurnal warming (e.g., Pimentel et al., 2008;  
104 Horrocks et al., 2003; Wick et al., 2002). Other models have been developed specifically for  
105 integration into weather and climate models (Zeng and Beljaars, 2005; Schiller and Godfrey,  
106 2005). Additional simplified parameterizations have been developed both from observations  
107 (Gentemann et al., 2003; Stuart-Menteth et al., 2005; Filipiak et al., 2010) and from more  
108 detailed physical models (e.g., Webster et al., 1996; Kawai and Kawamura, 2003) for easier  
109 application to satellite observations. Initial climatologies of diurnal warming have been  
110 developed based on both satellite observations (Stuart-Menteth et al., 2003) and model  
111 calculations (e.g., Clayson and Weitlich, 2007; Bellenger and Duvel, 2009).

112         There is an important need for more direct observations of diurnal warming of the sea  
113 surface to support these efforts. Detailed uncertainty estimates for modeled diurnal warming and

114 retrieved amplitudes from geostationary satellites are notably absent, particularly for the more  
115 extreme amplitude events. Existing observations from research ships and moorings are very  
116 limited, particularly given the depth of the measurement, the low frequency of occurrence of the  
117 large events and their spatial extent.

118         Argo floats (Roemmich et al., 2001) present a unique opportunity for measuring the  
119 warming of the near-surface layer of the ocean due to their high-resolution sampling capabilities  
120 in the upper meters of the ocean. These floats collect regular profiles of temperature and salinity  
121 from mid-ocean depth to the surface using sensors with stringent accuracy requirements for  
122 climate research. The present array is comprised of over 3,000 floats well distributed throughout  
123 the globe. Typical Argo floats profile about once every 10 days and surface at times distributed  
124 nearly uniformly throughout the diurnal cycle. The main issue, however, is that sampling is  
125 normally halted at a depth of about 5 m below the surface to prevent biofouling of the sensors in  
126 the uppermost layer of the ocean. This means that, under the conventional *modus operandi*,  
127 Argo floats may fail to detect the peak diurnal warming amplitude, and particularly, the most  
128 extreme warming events corresponding to shallower heated layers trapped right beneath the  
129 surface.

130         A specific subset of Argo floats (APEX Argo floats) that enable sampling the  
131 temperature right up to the surface have been deployed by the United Kingdom, United States,  
132 Japan, and India since 2008. These floats collect unpumped temperature measurements in  
133 addition to the standard pumped measurements. Work at the University of Washington  
134 (Anderson and Riser, 2012) and the United Kingdom Met Office (Carse et al., 2012) has  
135 demonstrated the ability of these floats to capture realistic profiles for a number of cases of  
136 significant diurnal warming at the ocean surface. The absolute accuracy and stability of the

137 unpumped temperature measurements and derived diurnal warming amplitudes, however, is not  
138 well known.

139         This paper further evaluates the utility of these unpumped Argo temperature  
140 measurements to provide accurate measurements of the near-surface temperature (NST) and  
141 diurnal warming. The observations are compared against satellite-derived measurements from  
142 the Spinning Enhanced Visible and Infrared Imager (SEVIRI) flying on the METEOSAT-9  
143 Second Generation (MSG) geostationary satellite. The work cannot be considered a pure  
144 validation of the Argo measurements as both datasets have uncertainties in their observations.  
145 Instead, this paper examines the consistency between the observations that would lend support to  
146 the quality of both datasets. The question of unpumped Argo data quality is of significance to  
147 the Diurnal Variability Working Group (DVWG) of the Group for High Resolution Sea Surface  
148 Temperature (GHRSSST) as these floats may provide valuable direct in situ measurements of  
149 diurnal warming, and a completely independent validation data set for satellite SST analyses. It  
150 would also aid in ongoing interactions with the Argo community as the relative merit of pursuing  
151 additional enhanced floats or changes to the standard operating procedures are being explored.  
152 The work also enables an assessment of the consistency of foundation temperature estimates  
153 from both Argo and SEVIRI. The significance of this foundation temperature is further  
154 established in the following section.

155

## 156 **2. Data/Methods**

### 157 2.1. Terminology

158         It is useful to first establish some key terminology used in this work. GHRSSST defined  
159 (Donlon et al., 2007) terms for several specific temperature values in the near-surface layer of

160 the ocean. The “skin” SST refers to the temperature of a layer down to approximately 10- $\mu$ m  
161 depth as would be measured by an IR radiometer. This is the closest measurement to the actual  
162 “interface” temperature that can be practically obtained with present sensors. Within the skin  
163 layer, which has negligible heat storage capacity, heat transfer occurs by molecular conduction.  
164 Because the net heat flux at the surface is nearly always from the ocean to the atmosphere, the  
165 oceanic skin layer is typically cooler than the water below by  $\sim 0.2$  K (see e.g., Saunders, 1967).  
166 The temperature directly beneath this skin layer is referred to as the “subskin” SST. Estimates of  
167 the subskin SST are commonly provided by microwave radiometers or, indirectly, from IR  
168 satellite radiometers referenced to subsurface measurements such as from drifting buoys or  
169 moorings. Temperatures at other depths are referred to as SST-at-depth and the effective depth  
170 should be specified.

171         The concept of the “foundation” temperature was introduced to facilitate discussions of  
172 diurnal warming and analyzed SST products. The foundation temperature is defined as the  
173 temperature at the base of the layer influenced by diurnal fluctuations in SST. It is important to  
174 emphasize that it is a theoretical concept, and as such, there is no direct measurement of the  
175 foundation temperature. While it is commonly approximated by quantities such as the pre-dawn  
176 value of the temperature between 1–5 m depth, the foundation temperature should not be  
177 associated with a specific depth; instead, it should be thought of as the temperature closest to the  
178 surface at which diurnal warming effects are negligible. Validation of daily SST analyses that  
179 seek to provide a foundation temperature estimate are particularly problematic. There is interest  
180 in determining if Argo temperature profiles can provide a potentially viable independent estimate  
181 of the foundation temperature from the observed temperature at the base of the diurnal  
182 thermocline.



183           The diurnal warming estimates in this work will be computed as differences between a  
184 subskin SST and an estimate of the foundation temperature. When continuous time series of the  
185 surface temperature are available, it is possible to estimate the diurnal warming amplitude from  
186 its evolution throughout the solar cycle. For Argo profiles at discrete times, however, it is  
187 necessary to estimate the amplitude from the profile itself. For the SEVIRI data, an estimate of  
188 the foundation temperature will be derived from the available sequence of satellite scenes as  
189 described below.

190

## 191 2.2. Unpumped Argo data

192           Near surface temperature profiles from specialized APEX Argo floats with unpumped  
193 temperature measurements were obtained from the British Oceanographic Data Centre (BODC).  
194 The data set contains both pumped and unpumped measurements supplied at depths of  
195 approximately 5, 10, 15, and 20 dbars. The conductivity, temperature, and depth (CTD) pump is  
196 then turned off at ~5 dbars, and unpumped temperature and pressure are measured every 6  
197 seconds up to the surface. Data collected between January 2009 and March 2012 were utilized  
198 in the study. Because of the geographic coverage of SEVIRI (60N–60S, 70W–45E), only those  
199 floats deployed in the Atlantic Ocean were considered.

200           Argo float surfacing times were supplied by BODC and were estimated using the time for  
201 start of transmission, which is known to the second minus 12 minutes, as defined by the  
202 International Argo Data Management Team (ADMT). The method is described in  
203 <http://www.argodatamgt.org/content/download/5261/38297/file/Method-Position-Time-QC.pdf>.  
204 The offset of 12 minutes is based on known float behavior and allows the finishing of piston  
205 movements and preparation of data for satellite transmission.

206           APEX Argo floats measure surface pressure offset at the start of the float cycle just  
207 before descent to park approximately 9–10 days before the profile is made. This is transmitted  
208 by the float and used to correct the pressure data for sensor drift (Baker et al., 2011). Surface  
209 pressure offsets were supplied directly by the BODC.

210           The APEX near surface temperature firmware collects samples from the pressure and  
211 temperature sensors through the sea surface producing a time series that includes subsurface  
212 measurements and samples measured after the sensor has breached the sea surface. Samples  
213 taken above the sea surface are removed assuming samples every 0.6 dbar (~10 s) in the top 5  
214 m. A pressure differential ( $\Delta p$ ) between two consecutive measurements  $< 0.5$  dbar is considered  
215 as an indication that the float has reached the surface since it indicates the float ascent rate has  
216 dropped below the nominal ascent rate of  $0.09 \pm 0.03$  dbar/s (see Johnson et al., 2007, for details  
217 on ascent rates). This method may filter out some good data when there are strong density  
218 gradients near the surface that slow the float ascent. It does, however, minimize sampling when  
219 the sensor is clear of the sea surface.

220           Values extracted from the Argo profiles included the shallowest standard pumped  
221 measurements (at ~5 dbar) and estimates of the subskin and foundation temperature from the  
222 unpumped data. The subskin and foundation temperature were both determined manually from  
223 visual inspection of the NST profiles. A sample profile from the unpumped data for a case with  
224 significant diurnal warming is shown in Figure 1 along with the identified subskin and  
225 foundation temperature estimates. The subskin SST was taken as the peak temperature value  
226 approaching the surface. For cases where sharp cooling was observed on top of the warm layer,  
227 as in the profile shown in Figure 1, the peak value below this cooling was used. It is possible  
228 that the cooling occurs after the temperature probe breaks the surface and is exposed to the air. It

229 is not believed that the current Argo temperature probes can reliably resolve cooling across the  
230 skin layer of the ocean due to their response times (0.6 s). The foundation temperature is taken  
231 as the temperature at the shallowest depth in the profile before warming near the surface is  
232 observed visually. The onset of warming is fairly obvious in the example in Figure 1, but there  
233 will clearly be some uncertainty in the foundation estimate in general. Any warming extending  
234 to depths below the deepest available measurement of 20 m would not be detected. Diurnal  
235 warming (DW) is then computed as the difference between the subskin and foundation  
236 temperature estimates ( $DW = SST_{\text{subskin}} - SST_{\text{foundation}}$ ). The shallowest pumped  
237 temperature measurement (typically at a depth of ~5 m) is also extracted for comparison of  
238 results available using standard Argo floats and data reporting. No use of the pressure data is  
239 made other than estimating the time when the float breaks the surface, thereby eliminating it as a  
240 source of uncertainty.

241

### 242 2.3. SEVIRI SST Retrievals

243 The SST retrievals from SEVIRI were derived operationally by the European  
244 Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) Ocean and Sea Ice  
245 Science Application Facility (OSI-SAF) at Météo-France/Centre de Météorologie Spatiale  
246 (CMS) in Lannion, France, and obtained through the archive at the French Research Institute for  
247 the Exploitation of the Sea (IFREMER). The retrievals are generated using a non-linear SST  
248 (NLSST) type approach (e.g., Walton et al., 1998) with coefficients derived from radiative  
249 transfer models to which a numerical weather prediction (NWP) model based correction is  
250 applied (Le Borgne et al., 2011; 2012). While the SEVIRI measurements are inherently of the  
251 skin temperature, the values are adjusted to nighttime buoy measurements during the retrieval

252 (Le Borgne et al., 2012) and, hence, will be treated as subskin SSTs in this analysis. The data are  
253 available hourly at  $0.05^\circ$  resolution, but, for ease in data access, we used 3-hourly gridded data at  
254  $0.1^\circ$  resolution constructed from the highest quality hourly data. Sampling from the MSG  
255 satellite provides coverage of much of the Atlantic Ocean and Mediterranean Sea. For the period  
256 of 2009–2012, the data came from the SEVIRI on METEOSAT-9.

257         The quality of SST retrievals from SEVIRI is generally perceived to be very good.  
258 Estimates of diurnal warming from SEVIRI have been shown to be consistent with those derived  
259 from other satellites (Gentemann et al., 2008), and in agreement with measurements from  
260 drifting buoys (Le Borgne et al., 2012). Comparison against retrievals from geostationary  
261 satellites is best for diurnal warming studies because of the continuous sampling throughout the  
262 diurnal cycle, enabling a greater number of collocations than with polar orbiting satellites. Other  
263 geostationary satellites like previous GOES have experienced complications with their  
264 calibration, which hinder accurate retrieval of diurnal variations (Wick et al., 2002; Yu et al.,  
265 2013). For this reason, the analysis was limited to SEVIRI retrievals.

266         Subskin and foundation temperature estimates for comparison with Argo are derived  
267 from the available SEVIRI SST retrievals. Values are obtained both from the pixel containing  
268 the location of the Argo profile, and from an average of the cloud-free retrievals in the  $5 \times 5$  pixel  
269 array centered on that pixel. The subskin estimate is taken from the retrieved SST in the scene  
270 closest in time to the surfacing of the float. As a result, the maximum allowed time difference  
271 between the Argo profile and SEVIRI subskin measurements is 1.5 hours. The foundation  
272 temperature estimate is derived from a composite of the preceding nighttime SEVIRI SST scenes  
273 to enable better cloud-free coverage than would be available from a single pre-dawn scene.  
274 Cloud-free retrievals collected between 2200 local solar time (LST) and 0700 LST are averaged

275 together to form the composite. An example of the SEVIRI foundation temperature estimate for  
276 January 28<sup>th</sup> 2012 and the corresponding climatological foundation map for January, are shown  
277 in Figure 2. The individual foundation estimate, unfortunately, still has significant gaps even  
278 after averaging the multiple nighttime scenes, but the values appear reasonable with respect to  
279 the climatology.

280 As with other GHRSSST products, proximity confidence maps, with values ranging in a  
281 scale of 1–5, are provided with each SEVIRI SST image. Proximity confidence values are  
282 defined based on the most likely sources of error for each satellite sensor, and left at the  
283 discretion of the satellite data producer. For this analysis, all retrievals with proximity confidence  
284 values provided were considered. Those retrievals judged as confidently cloudy are not included  
285 in the SST product. Values were not limited to the “best” quality values (3–5) as this resulted in  
286 a very limited number of collocations, but more importantly, by discarding pixels with degraded  
287 confidence in the proximity of clouds, many cases of perfectly valid diurnal warming, as  
288 corroborated by the Argo, were being eliminated. For some other GHRSSST products excluding  
289 other than the “best” values has also been observed to result in the elimination of valid instances  
290 of diurnal warming.

291

#### 292 2.4. Data Collocation

293 The location of the Argo observations, collocated with SEVIRI, is shown in Figure 3.  
294 The background images correspond to 3-month, maximum value composites of DW peak  
295 amplitudes (computed as the difference between SEVIRI SSTs from 1200–1500 and 0000–0300  
296 LST) observed during the study period. Matches are shown here only when valid cloud-free  
297 SEVIRI subskin and foundation estimates are both available for a coincident Argo profile, so

298 that diurnal warming can be estimated from the satellite. No restrictions were imposed on the  
299 percentage of available cloud-free pixels in the 5x5 subarray. The spatial distribution of the  
300 collocations is clearly limited by the deployment locations of the specialized APEX Argo floats.  
301 Matches occurred largely along the South Atlantic Current with a few cases in the North  
302 Equatorial Current. The most extreme warming events in the SEVIRI data tend to occur during  
303 the summer months (June-July-August (JJA) for the Northern Hemisphere and December-  
304 January-February (DJF) for the Southern Hemisphere).

305 To facilitate the later analyses, the Argo temperature profiles were divided into two  
306 categories: those exhibiting significant temperature gradients near the surface (cases with  
307 warming), and those that were largely isothermal (cases with no warming). The two subsets are  
308 reflected by the different colors in Figure 3, with black circles for the isothermal profiles and  
309 magenta circles for profiles with identifiable diurnal thermoclines. The distribution of profiles  
310 with warming is not significantly different from the overall distribution of matches.

311

### 312 **3. Results**

313 Before looking at the DW results, it is instructive to first compare the individual Argo  
314 and SEVIRI estimates of both the subskin and foundation temperatures. Scatter plots illustrating  
315 the relationship between the Argo- and the satellite-derived temperatures (based on the SEVIRI  
316 5x5 pixel averages), are shown in Figure 4. Corresponding statistics are presented in Table 1.  
317 The comparisons with the nearest SEVIRI pixel are very similar and, therefore, are not included.  
318 Results are shown separately both for the subskin (Figure 4a) and the foundation (Figure 4b)  
319 temperatures. Red and blue symbols correspond to Argo profiles with and without warming,  
320 respectively. Statistics here include cases where the Argo floats were collocated with either the

321 SEVIRI subskin or foundation retrievals (coincident matches are not required). The overall  
322 agreement is found to be remarkably good for both the subskin and foundation estimates. The  
323 subskin values agree to within an absolute mean difference of  $< 0.1$  K and standard deviations of  
324 the differences are  $< 0.4$  K. The biases (computed as SEVIRI subskin SST – Argo subskin SST)  
325 are consistent (both in sign and magnitude) with those observed by Le Borgne et al. (2012) for  
326 SEVIRI retrievals relative to drifting buoys, but the standard deviation values are even smaller  
327 (by  $\sim 0.2$  K). Perhaps some improvement could be attributed to better quality of the temperature  
328 sensors on Argo floats (the temperature accuracy requirement for sensors on Argo floats is 0.005  
329 K, whereas the typical accuracy of those deployed in drifting buoys is 0.1 K). The averaging of  
330 SEVIRI data over 3 hours could also reduce noise and point-to-pixel differences. In any event,  
331 the positive results lend confidence to the quality of the subskin estimates from both SEVIRI and  
332 Argo. Moreover, the fact that the statistics are similar for the more complex warming cases as  
333 for the isothermal cases supports the ability of the unpumped Argo CTD sensors to provide  
334 accurate measurements of diurnal warming. Finally, the quality of the statistics relative to the  
335 previous drifting buoy comparisons (Le Borgne et al., 2012) also suggests that the ascent time of  
336 the Argo floats is being reasonably estimated, at least for comparison with a 3-hourly product.

337         The statistics for the foundation temperature estimates are also quite similar to those for  
338 the subskin values. While correspondence might be expected for the isothermal profiles, the  
339 excellent agreement for the cases with visible warming is all the more remarkable given the  
340 challenges in identifying the foundation and the inherent subjectivity of the manual identification  
341 method used here. This supports the methodology for estimating the foundation temperature in  
342 both products and, quite significantly, suggests that the Argo NST data has the potential to  
343 provide an independent estimate of the foundation temperature for validation of SST analyses.

344 The overall negative biases are consistent both with the subskin results and with Le Borgne et al.  
345 (2012). For those cases where warming is observed, the foundation bias is less negative than for  
346 the isothermal cases ( $-0.02$  K vs.  $-0.11$  K) meaning that the satellite foundation estimate is  
347 relatively warmer in comparison to Argo. This could be consistent with the SEVIRI foundation  
348 approach, based on a nighttime average, being elevated when surface cooling is still occurring  
349 through the course of the night. Interestingly, even though the foundation product is derived  
350 from multiple SEVIRI scenes, there are about the same number of matchups for the foundation  
351 comparisons as there are for the subskin, which corresponds to a single satellite scene. This  
352 could indicate a greater amount of data rejection in the nighttime SEVIRI SST retrievals due to  
353 cloud contamination.

354 To further illustrate the merit of the additional unpumped Argo NST measurements  
355 relative to the standard Argo pumped measurements, the SEVIRI SST values were also  
356 compared against the 5 dbar pumped temperature (Argo T5m). These values correspond to the  
357 best estimate of the near-surface temperature that would be available from traditional Argo  
358 floats. The statistics with respect to the Argo pumped temperature at 5 dbar are included in  
359 Table 1 along with the unpumped results. While the statistics are similar, as expected, for the  
360 isothermal cases, significant differences are observed when diurnal warming is present. The  
361 Argo T5m is 0.23 K cooler on average than the SEVIRI subskin retrievals and the standard  
362 deviation is increased by 0.05 K relative to the comparison against the unpumped value. Clearly,  
363 when diurnal warming is present, the shallowest Argo pumped measurement is not the best  
364 representation of the subskin temperature and the supplemental unpumped NST data provides  
365 valuable additional information. Comparing against the foundation temperature when diurnal  
366 warming is present, the Argo T5m is 0.16 K warmer on average than the SEVIRI foundation,



367 although the standard deviations are the same. The similarity of the statistics to the isothermal  
368 cases suggests the Argo T5m may be more akin to the foundation temperature, but the fact that  
369 the bias of  $-0.16$  K is the largest negative difference encountered, implies the Argo T5m is still  
370 likely overestimating the foundation temperature due to the presence of diurnal warming at 5-m  
371 depth.

372         Given the favorable comparisons between the individual subskin and foundation  
373 temperature estimates from Argo and SEVIRI, we next compared diurnal warming estimates  
374 derived from the two products when collocations were available simultaneously for both the  
375 corresponding subskin and foundation temperatures. The resulting scatterplot and corresponding  
376 statistics are shown in Table 2 and Figure 5, respectively. The data points shown in Figure 5 are  
377 only for those cases where warming was observed in the Argo profiles. While the individual  
378 points show some notable differences, the results generally demonstrate good consistency  
379 between the diurnal warming estimates from both products. The bias and standard deviation of  
380 the residual difference between the SEVIRI- and the unpumped Argo-based diurnal warming  
381 estimates ( $\Delta DW = SEVIRI\ DW - Argo\ DW$ ) are both very small (see Table 2). Interestingly,  
382 though the scatter in the DW estimates in Figure 5 is clearly significant relative to the individual  
383 mean DW amounts, the standard deviation of the difference (8<sup>th</sup> column in Table 2) is slightly  
384 more than half the standard deviation of the subskin and foundation residuals (4<sup>th</sup> and 9<sup>th</sup>  
385 columns in Table 1, respectively).

386         The better agreement of the DW estimates implies that differences between the  
387 corresponding subskin and foundation temperatures from SEVIRI and Argo are correlated, as  
388 illustrated in Figure 6; i.e., differences in the estimated subskin SSTs vary in tandem with  
389 differences in the foundation, for both warming and isothermal cases. Thus, where the SEVIRI

390 subskin retrieval is high relative to the Argo-derived estimate, the SEVIRI foundation estimate is  
391 also likely high relative to the Argo foundation estimate. While there is increased variability in  
392 the absolute DW estimates from SEVIRI and Argo, likely due to differences in measurement  
393 location, time, and point-to-pixel inequalities (the satellite DW is a spatial average, whereas the  
394 Argo DW is for a singular point), the corresponding diurnal warming estimates have less  
395 variability. This is surprising given the “streaky” nature of peak diurnal warming, where spatial  
396 variations in DW can be significant.

397         Additionally, it is worth noting from Table 2 that, when the Argo profiles are isothermal,  
398 the SEVIRI DW estimates also suggest negligible mean (0.02 K) diurnal warming. This result  
399 provides additional support for the foundation estimation method used with SEVIRI. We also  
400 explored an alternate foundation methodology based on the minimum value composite of  
401 nighttime SEVIRI retrievals, but this approach suggested an increase mean diurnal warming (0.2  
402 K) for the SEVIRI estimate when compared against the isothermal profiles, due likely to residual  
403 cloud contamination in the foundation product. Figure 7 shows the fractional cloud cover,  
404 present in the nighttime mean-value composites, over the SEVIRI domain during the study  
405 period. As can be seen from this figure, the Argo profiles used in the DW comparisons tended to  
406 surface in areas of persistent cloudiness. Additional tests were performed restricting the  
407 minimum value composite calculations to pixels with proximity confidence 3 or higher in order  
408 to minimize the effect of cloud contamination in the alternate foundation methodology, but an  
409 increased mean diurnal warming (0.1 K) was still observed for the isothermal comparisons.

410         The largest warming event captured in the matchup dataset has a moderate (but  
411 significant) amplitude of ~2 K. While the maximum value composites in Figure 3 indicate that  
412 DW events with amplitudes of up to 4.8 K were detected by SEVIRI during the 3 year span of

413 this study, none of these events, nor the more extreme ones noted by Gentemann et al. 2008,  
414 were sampled with the APEX Argo floats. Additional collocations for larger amplitude diurnal  
415 warming events are highly desirable to verify that the agreement observed here persists over the  
416 entire range of potential diurnal warming amplitudes.

417 A line of best fit (not shown) for the scatter plot on Figure 5, suggests a slight tendency  
418 for the SEVIRI-derived DW amplitudes to underestimate those from Argo, especially for the  
419 larger amplitude events. Differences of this sign are less problematic than they would be for the  
420 alternative, at least with respect to usability of the Argo NST data in estimating DW. An  
421 underestimate from the Argo measurements might suggest an inability of the unpumped Argo  
422 data to capture the peak warming occurring just beneath the ocean surface (such as due to  
423 inadequate flow past the sensor or inadequate sampling rates). Relative underestimates from  
424 SEVIRI can potentially be explained by multiple factors. It is possible that the saturation in the  
425 satellite estimates is the result of differences in effective spatial sampling scales. Gentemann et  
426 al. (2008), for instance, demonstrated that the perceived amount of warming was typically less  
427 for satellite products with coarser spatial resolution due to the localized nature of peak warming.  
428 A simpler explanation would follow from the fact that overestimating the satellite foundation can  
429 lead to an underestimation of the warming retrieved from the satellite. As discussed in  
430 connection with Table 1, the satellite-derived foundation temperature can be overestimated when  
431 averaging all the preceding nighttime observations in periods of greater diurnal warming. The  
432 calm wind condition required for the more severe warming events also produces the largest cool  
433 skin effects (e.g., see Figure 4 in Castro et al., 2012). Alternative methods explored here and  
434 designed to produce cooler foundation temperatures (e.g., minimum value composites of nightly  
435 scenes), however, produced poorer results.

436 A comparison of the residual differences between the DW estimates from SEVIRI and  
437 Argo ( $\Delta DW$ ) stratified by differences in the subskin ( $\Delta SST_{\text{subskin}} = \text{SEVIRI}_{\text{subskin}} \text{SST} -$   
438  $\text{Argo}_{\text{subskin}} \text{SST}$ ) and the foundation temperature estimates ( $\Delta SST_{\text{foundation}} = \text{SEVIRI}$   
439  $\text{foundation SST} - \text{Argo}_{\text{foundation}} \text{SST}$ ) is shown in Figure 8. In this plot the circles represent  
440 the biases and the error bars represent  $\pm 1$  standard deviation of the observed DW differences for  
441 each bin of the stratification variable. Since the  $\Delta SST$ s are highly correlated with each other as  
442 shown in Figure 6, the change in sign of the slope between Figures 8a and 8b follows from the  
443 definition of DW. As expected, the relationship shown is of no consequence for the isothermal  
444 cases; however, the bin plot suggests a clear linear dependence of the differences in DW from  
445 the satellite and Argo on the “misestimation” of the individual subskin and foundation SSTs for  
446 cases with DW. Assuming that incorrect SST estimates are attributable to either misinterpreting  
447 the Argo NST profiles or miscalculating the satellite foundation temperature, it is possible to  
448 speculate about the consequences these “errors” have on the DW estimates. From Figure 8b it  
449 follows that, in spite of the correlation between the subskin and foundation temperature  
450 differences, an overestimation of the SEVIRI foundation results in an underestimation of the  
451 warming retrieved from the satellite. Underestimating the foundation, however, appears to have  
452 a lesser impact on the satellite-derived warming as indicated by the slightly smaller bias and  
453 standard deviation of the red curve in Figure 8b. The sensitivity of the DW estimates to warmer  
454 SEVIRI foundations not only is consistent with the statistics described in Table 1, but also points  
455 to the importance of getting the satellite foundation estimate right. While this is a difficult task  
456 given the lack of consensus in the definition of the foundation itself, the overall agreement in the  
457 results of this work are quite positive.

458           A miscalculation in the subskin SST, on the other hand, is more likely to occur when  
459 misinterpreting the peak warming in the Argo profile. Apart from the obvious (underestimating  
460 the Argo subskin results in less warming retrieved from the float, which in turn introduces a  
461 positive bias in  $\Delta DW$ ), what Figure 8a seems to indicate is that underestimating the Argo peak  
462 warming has a more severe impact than overestimating it. This is confirmed by using Argo T5m  
463 in the calculations of Table 2. An overestimation of the Argo foundation, by say using Argo  
464 T5m as foundation, introduces a bias of 0.13 K in  $\Delta DW$  (the statistics in Table 2 show zero bias  
465 and a standard deviation of 25 K for the calculations using the APEX unpumped subskin SST),  
466 although standard deviation is unaffected by this substitution. An underestimation of the Argo  
467 subskin SST, also from using Argo T5m as proxy for the subskin, not only doubles the mean bias  
468 of the DW residual (0.28 K), but also allows for almost twice as much variability relative to  
469 previous case (0.39 K vs. 0.25K). This has important implications when looking at pressure to  
470 determine the Argo subskin SST, as this method is more likely to underestimate the magnitude of  
471 the subskin. As explained before, denser stratification (steeper diurnal thermoclines) will slow  
472 down the float, and the temperature at  $\Delta p < 0.5$  dbar will likely miss the peak of diurnal  
473 warming. The uncertainty introduced by this method would need to be quantified, since the  
474 pressure criterion is an easy alternative to automate the DW estimate from Argo floats. For this  
475 work we did not use the  $\Delta p$  criterion, as we defined the Argo subskin SST visually from the NST  
476 profile.

477           Finally, the residual differences between the warming estimates were compared with  
478 other parameters including the time of day, matchup time difference, wind speed, shortwave  
479 solar irradiance (derived from the 0.6  $\mu\text{m}$  visible channel of SEVIRI), clear sky coverage, and  
480 data quality to see if there were any systematic differences between the SEVIRI and Argo

481 estimates responsible for the scatter in DW. In particular, we explored the sensitivity of the DW  
482 statistics to the clear sky coverage as discussed in Le Borgne et al. (2012). For this analysis, we  
483 looked at the whole range of  $\Delta DW$  values and divided them into 5 bins based on the percentage  
484 of clear sky pixels in the 5x5 DW imageries. These results are shown in Table 3 for cases with  
485 and without warming, and all data combined. As this table indicates, there is no significant  
486 difference in the  $\Delta DW$  statistics, whether only those matchups with 100% clear sky are used in  
487 the comparisons or if no distinction is made at all. No other clear dependencies were observed  
488 for any of the remaining parameters considered.

489

#### 490 **4. Conclusions**

491 Estimates of the subskin and foundation temperatures and corresponding diurnal warming  
492 from SEVIRI satellite-based retrievals and special unpumped Argo measurements were  
493 compared. The results demonstrate remarkable consistency between the products lending  
494 support for both products and the associated methodologies. Given there are uncertainties in  
495 both products, this work cannot be considered formal validation of either and cannot establish  
496 definitive accuracy estimates. Nevertheless, the work represents an important step in  
497 establishing the utility of both products.

498 Agreement was observed in both the individual subskin and foundation temperatures and  
499 the corresponding derived diurnal warming. Correlation between differences in the subskin and  
500 foundation temperature estimates from SEVIRI and Argo actually resulted in a smaller standard  
501 deviation for the difference in derived diurnal warming than for the individual temperature  
502 products. Thus, while the “streaky” and highly scale dependent nature of diurnal warming  
503 events can complicate the comparison of diurnal warming amplitudes from different sensors, the

504 agreement here was found to be more robust to small spatial offsets and point-to-pixel  
505 differences than for the absolute temperatures.

506         The suggestion of the ability of unpumped Argo data to provide accurate estimates of  
507 diurnal warming is particularly significant. The potential utility of the Argo data has been a key  
508 question facing the GHRSSST Diurnal Variability Working Group. Well-distributed independent  
509 measurements of diurnal warming, needed for validation of models and diurnal corrections, have  
510 been seriously lacking. The results further support, but go beyond previous studies  
511 demonstrating that, even in a normal operating mode, Argo floats do sample diurnal warming  
512 events of significance. Taken together, the findings strengthen arguments for the need of more  
513 modified APEX Argo floats capable of providing near-surface temperature measurements.  
514 Inclusion of the unpumped data with measurements at depths shallower than 5 m is seen to be  
515 critical for obtaining estimates of the peak diurnal warming occurring near the ocean surface.

516         The results have further implications for the operation and analysis of near-surface profile  
517 data from the Argo floats. The agreement demonstrates that issues regarding proper estimation  
518 of float ascent times are being handled well. The results also suggest that with complete  
519 temperature profile data from the upper ~20 m of the ocean it is possible to derive a meaningful  
520 estimate of the foundation temperature from the Argo data. Significant additional work is  
521 required to establish the validity of the foundation data, but these results are very encouraging.

522         Likewise, the results further support the utility of diurnal warming estimates from  
523 SEVIRI. Most difficult from SEVIRI is obtaining an estimate of the foundation temperature  
524 from which diurnal warming can be derived. The method based on averaging valid cloud-free  
525 observations from the preceding night was found to be a good compromise. Attempts to utilize

526 minimum values in the SEVIRI retrievals introduced likely residual cloud contamination, while  
527 use of only predawn values yielded too few collocations for meaningful comparisons.

528         Significant diurnal warming was again observed from SEVIRI, but the comparisons with  
529 Argo only captured events with amplitudes up to  $\sim 2$  K. The agreement between the SEVIRI-  
530 and Argo-based diurnal warming estimates tends to further support the validity of the large  
531 diurnal warming amplitudes observed with SEVIRI, but direct validation of these events remains  
532 desirable.

533

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536 (MISST) for IOOS. We thank Dr. Andrea Kaiser-Weiss for initiating a dialog between GHRSSST  
537 and the Argo community about the potential use of NST Argo data in studies of diurnal  
538 warming.

539

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646

647

648 **Tables**

649

650 Table 1. Statistics (number of matches, bias, and standard deviation (Stdev)) for the derived  
 651 subskin and foundation temperature estimates from SEVIRI and Argo. Bias and standard  
 652 deviation are given in K.

SST	Subskin					Foundation				
	Profile Type	Argo	Unpumped		Pumped		Argo	Unpumped		Pumped
		No. Matches	SEVIRI – Argo		SEVIRI–Argo		No. Matches	SEVIRI – Argo		SEVIRI – Argo
			Bias	Stdev	Bias	Stdev		Bias	Stdev	
Warming	223	-0.04	0.39	0.23	0.44	211	-0.02	0.36	-0.16	0.36
Isothermal	405	-0.10	0.37	-0.09	0.35	495	-0.11	0.39	-0.10	0.38
All	628	-0.08	0.38	0.03	0.42	706	-0.09	0.38	-0.12	0.37

653  
 654

655 Table 2. Statistics for the derived DW estimates from SEVIRI and Argo and their corresponding  
 656 differences.

Profile Type	No. matches	DW from SEVIRI		DW from Argo		$\Delta$ DW (SEVIRI – Argo)	
		Mean (K)	Stdev (K)	Mean (K)	Stdev (K)	Bias (K)	Stdev (K)
Warming	192	0.40	0.36	0.40	0.36	0.00	0.25
Isothermal	317	0.02	0.17	0.00	0.00	0.02	0.17
All	509	0.16	0.32	0.15	0.29	0.01	0.21

657  
 658

659 Table 3. Statistics for the residual difference in derived DW estimates showing warming  
 660 stratified by percentage of clear sky pixels in the SEVIRI 5x5 imagettes.

% Clear Sky Pixels	$\Delta$ DW Warm			$\Delta$ DW Isothermal			$\Delta$ DW All		
	No. Matches	Bias (K)	Std. Dev. (K)	No. Matches	Bias (K)	Std. Dev. (K)	No. Matches	Bias (K)	Std. Dev. (K)
20	33	-0.01	0.28	109	-0.00	0.20	142	-0.01	0.22
40	18	-0.01	0.15	42	0.03	0.15	60	0.02	0.15
60	24	0.03	0.28	49	-0.01	0.17	73	0.01	0.21
80	33	0.01	0.23	32	0.08	0.14	65	0.04	0.19
100	84	0.00	0.26	85	0.03	0.16	169	0.01	0.21
ALL	192	0.00	0.25	318	0.02	0.17	509	0.01	0.21

661

662 **Figure Captions**

663

664 **Figure 1.** Example of an unpumped APEX Argo NST profile (black) showing a diurnal  
665 thermocline in the top 5 dbar. Blue and red asterisks illustrate the location of the extracted  
666 foundation and subskin SST estimates from the profile, respectively. The circles illustrate the  
667 corresponding foundation and subskin estimates extracted from SEVIRI.

668

669 **Figure 2.** Example of the SEVIRI foundation SST estimate for (a) a single day (January 28,  
670 2012), and (b) the climatological average, between 2009–2012, of the SEVIRI foundation  
671 temperatures for the corresponding month of January.

672

673 **Figure 3.** Location of Argo and SEVIRI collocations grouped by season. The background  
674 images correspond to the peak diurnal warming amplitude for the season over the 3-years of the  
675 study. Cases with observed diurnal warming in the Argo profiles are indicated with the magenta  
676 symbols, while the isothermal profiles are indicated with black.

677

678 **Figure 4.** Scatterplots comparing the derived (a) subskin and (b) foundation temperature  
679 estimates from SEVIRI and the unpumped Argo data. The red symbols correspond to the cases  
680 where diurnal warming was observed in the Argo profiles, while the black symbols represent the  
681 isothermal cases. The corresponding statistics are included in Table 1.

682

683 **Figure 5.** Comparison of derived DW estimates from SEVIRI and unpumped Argo data. The  
684 corresponding statistics are included in Table 2.

685

686 **Figure 6.** Scatterplot illustrating the high level of correlation between differences in the subskin  
687 and foundation temperature estimates from SEVIRI and Argo. The red symbols correspond to  
688 the cases where diurnal warming was observed in the Argo profiles while the black symbols  
689 represent the isothermal cases. The Pearson correlation coefficient for all points combined is  
690 0.84 as noted.

691

692 **Figure 7.** Percentage of cloud coverage in the derived SEVIRI foundation temperature over the  
693 period from 2009 – 2012.

694

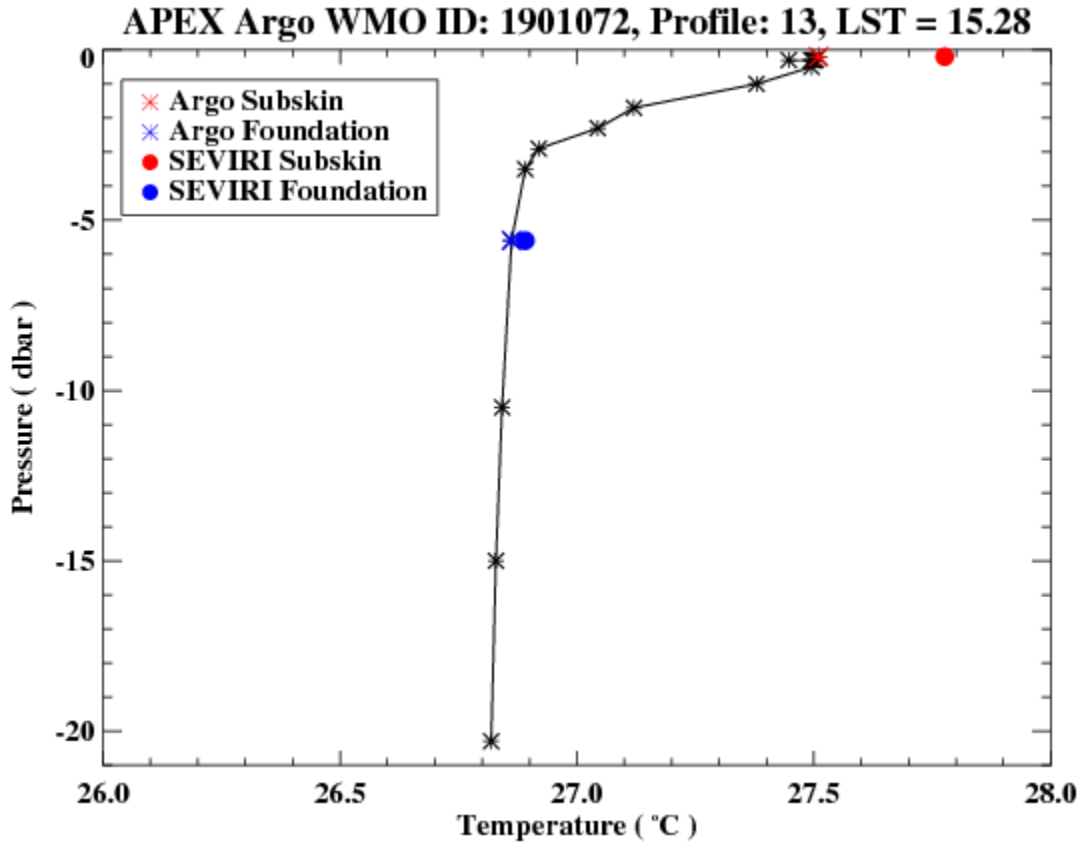
695 **Figure 8.** Dependence of the residual difference in the DW estimates from SEVIRI and Argo on  
696 (a) the SEVIRI – Argo subskin SST difference, and (b) the SEVIRI – Argo foundation  
697 temperature difference.

698

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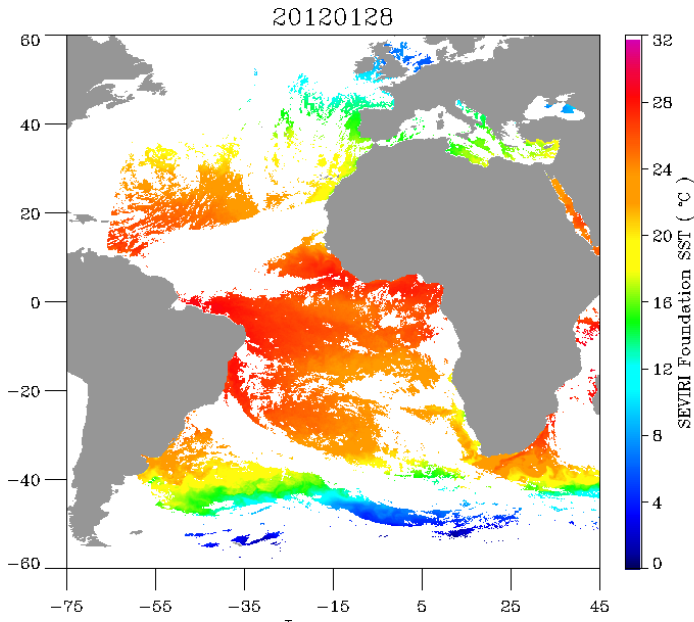


700 **Figures**  
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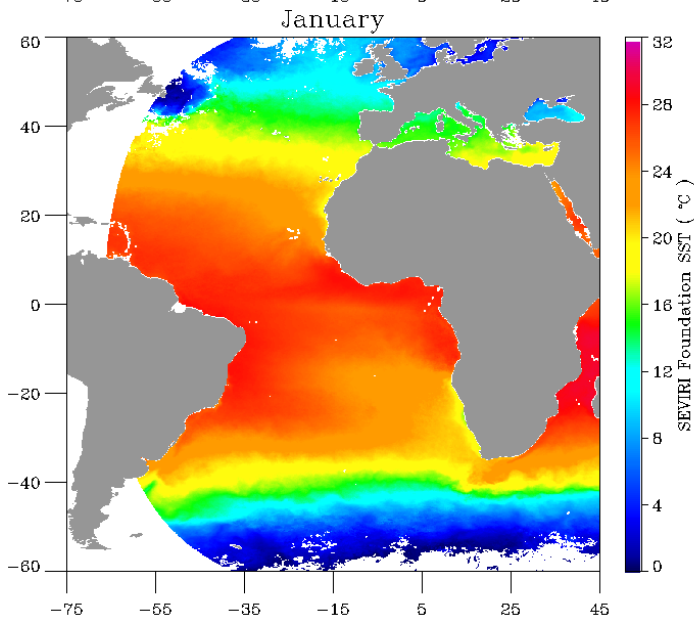


703 **Figure 1.** Example of an unpumped APEX Argo NST profile (black) showing a diurnal  
704 thermocline in the top 5 dbar. Blue and red asterisks illustrate the location of the extracted  
705 foundation and subskin SST estimates from the profile, respectively. The circles illustrate the  
706 corresponding foundation and subskin estimates extracted from SEVIRI.  
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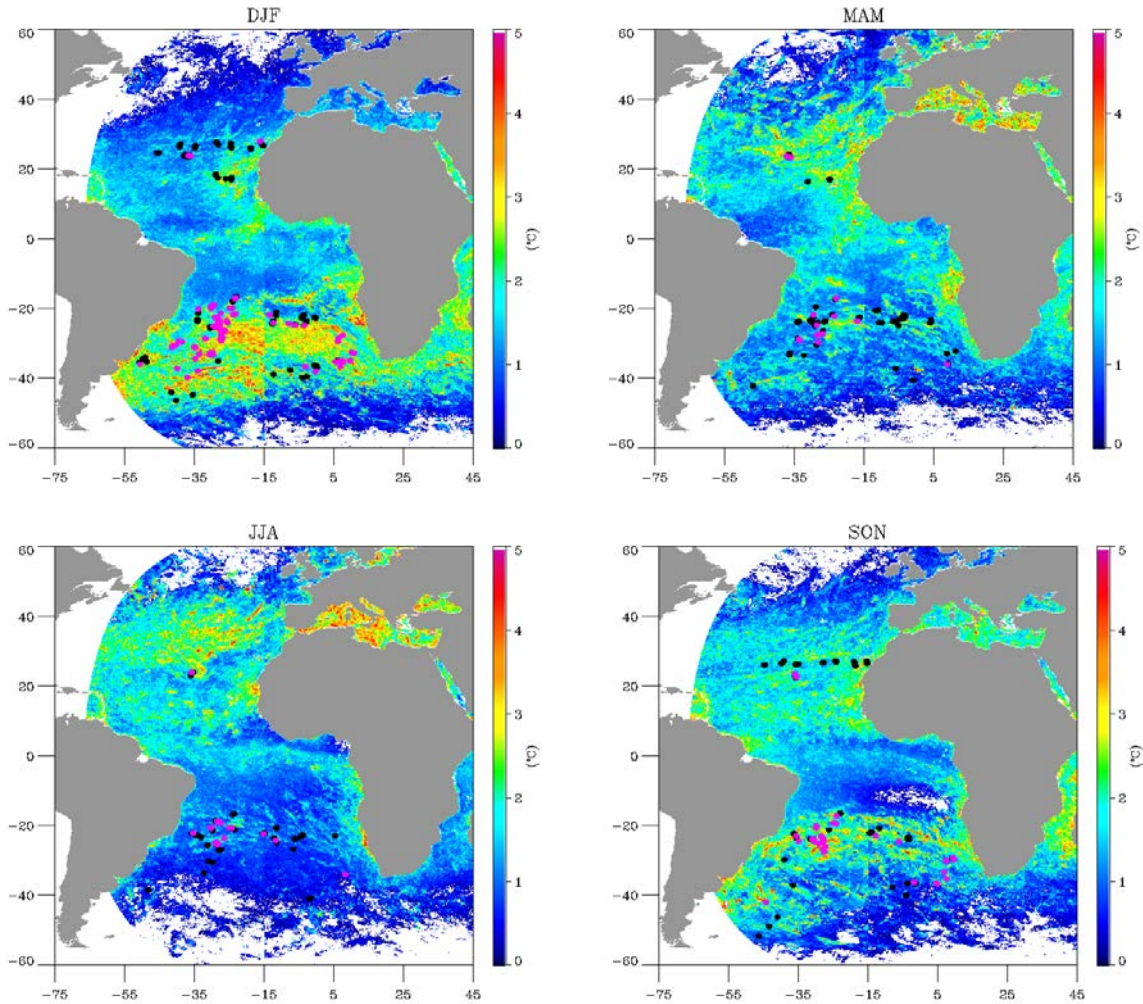
714 **Figure 2.** Example of the SEVIRI foundation SST estimate for (a) a single day (January 28,

715 2012), and (b) the climatological average, between 2009–2012, of the SEVIRI foundation

716 temperatures for the corresponding month of January.

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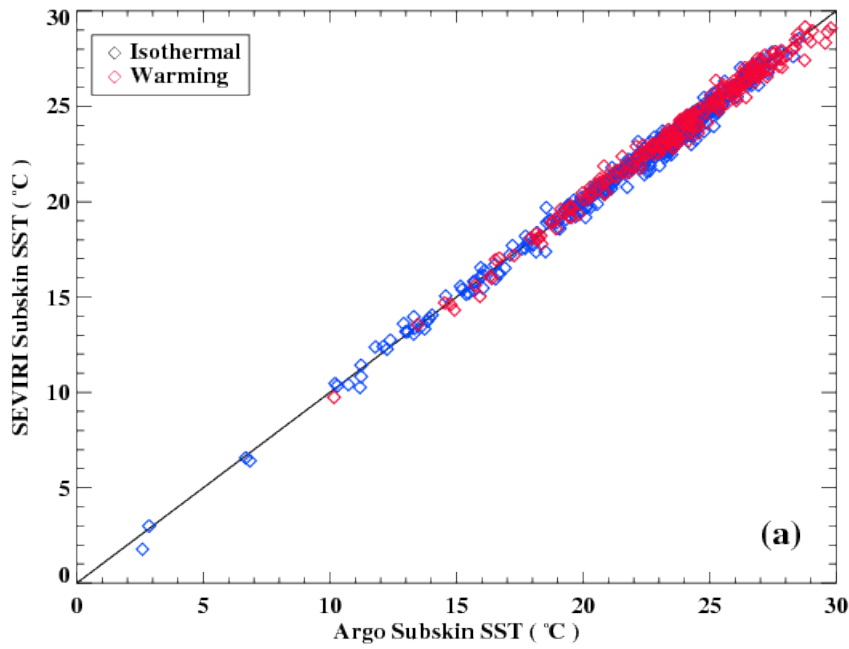


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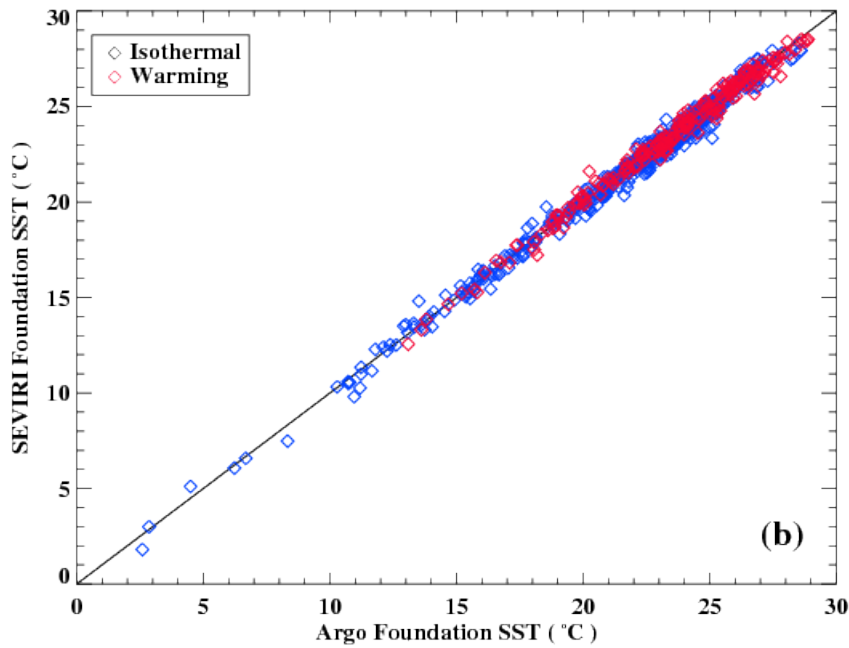
720

721 **Figure 3.** Location of Argo and SEVIRI collocations grouped by season. The background  
 722 images correspond to the peak diurnal warming amplitude for the season over the 3-years of the  
 723 study. Cases with observed diurnal warming in the Argo profiles are indicated with the magenta  
 724 symbols, while the isothermal profiles are indicated with black.

725

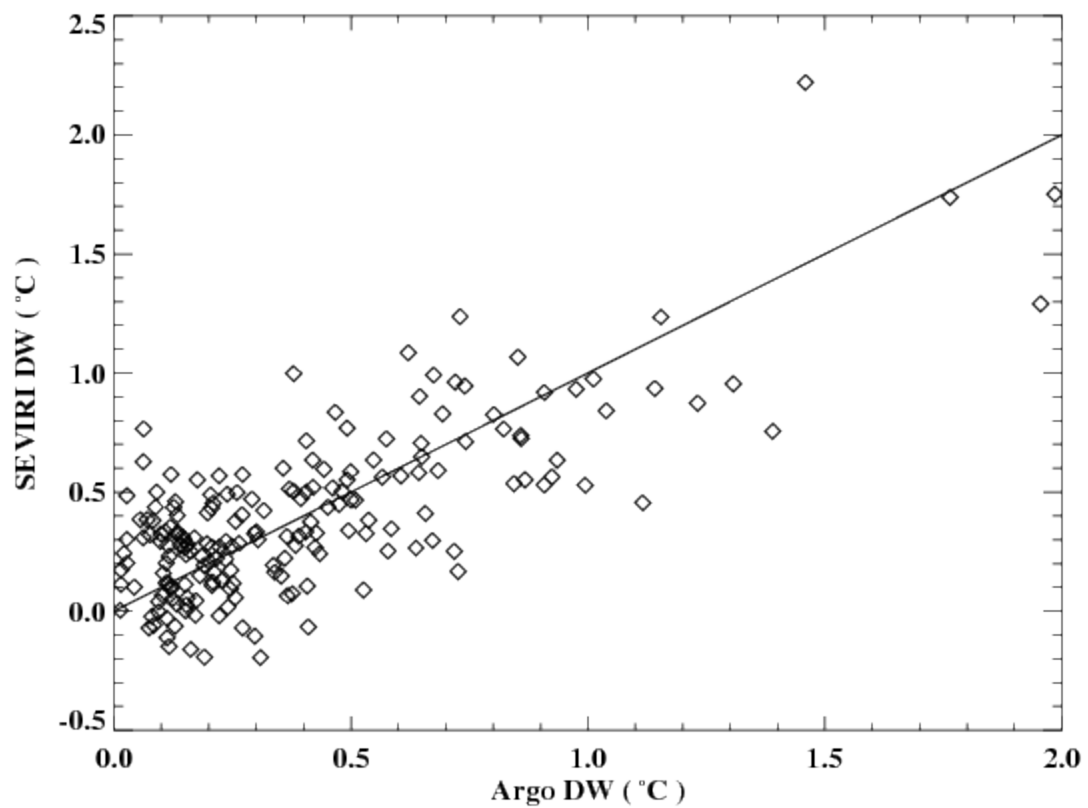


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727

728 **Figure 4.** Scatterplots comparing the derived (a) subskin and (b) foundation temperature  
 729 estimates from SEVIRI and the unpumped Argo data. The red symbols correspond to the cases  
 730 where diurnal warming was observed in the Argo profiles, while the black symbols represent the  
 731 isothermal cases. The corresponding statistics are included in Table 1.



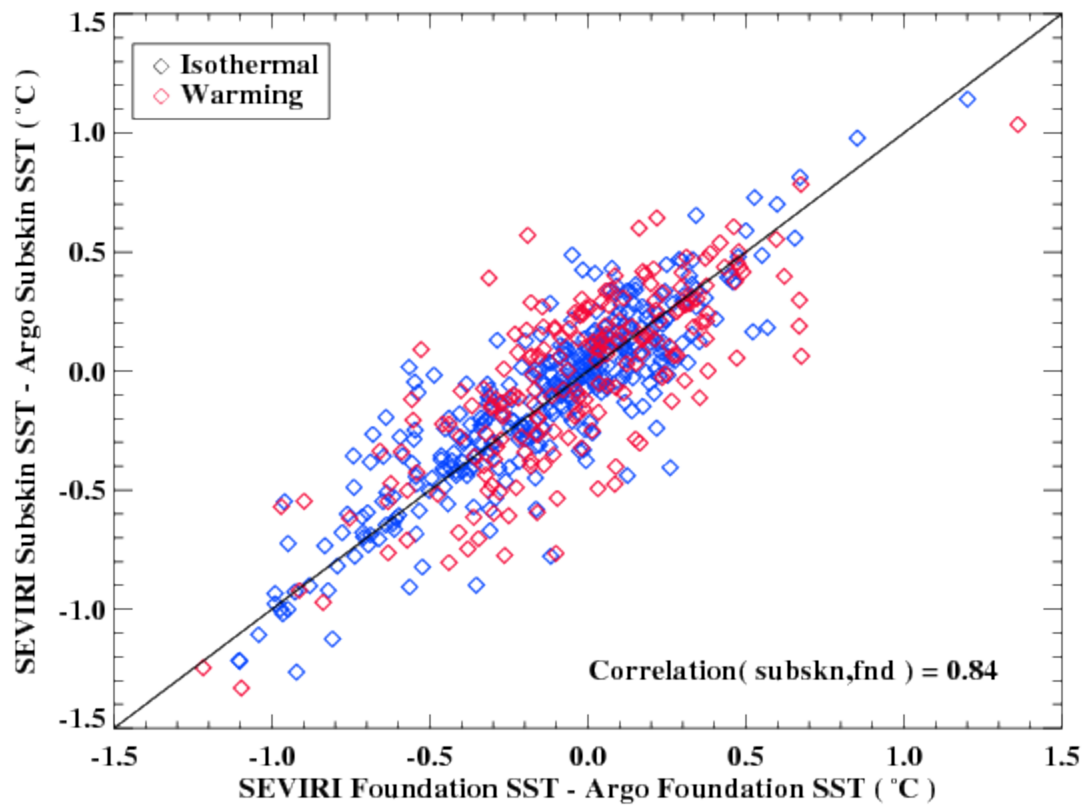
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733 **Figure 5.** Comparison of derived DW estimates from SEVIRI and unpumped Argo data. The

734 corresponding statistics are included in Table 2.

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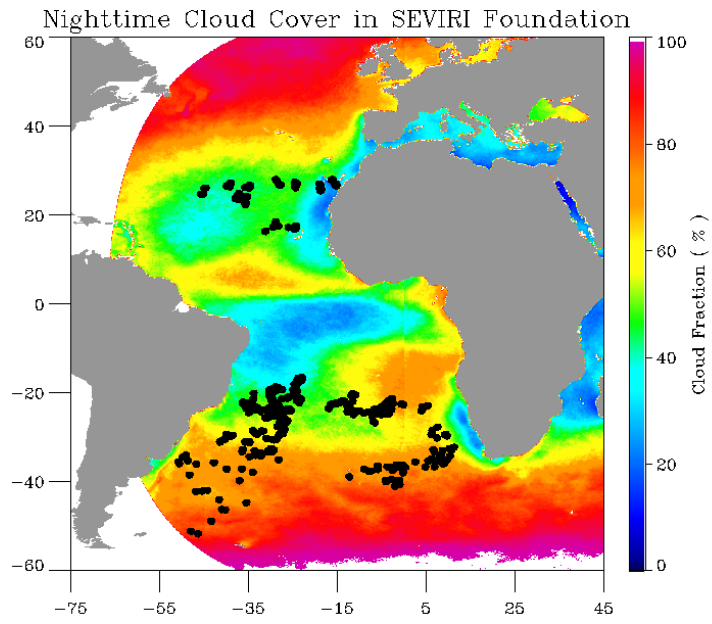
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737

738 **Figure 6.** Scatterplot illustrating the high level of correlation between differences in the subskin  
 739 and foundation temperature estimates from SEVIRI and Argo. The red symbols correspond to  
 740 the cases where diurnal warming was observed in the Argo profiles while the black symbols  
 741 represent the isothermal cases. The Pearson correlation coefficient for all points combined is  
 742 0.84 as noted.

743



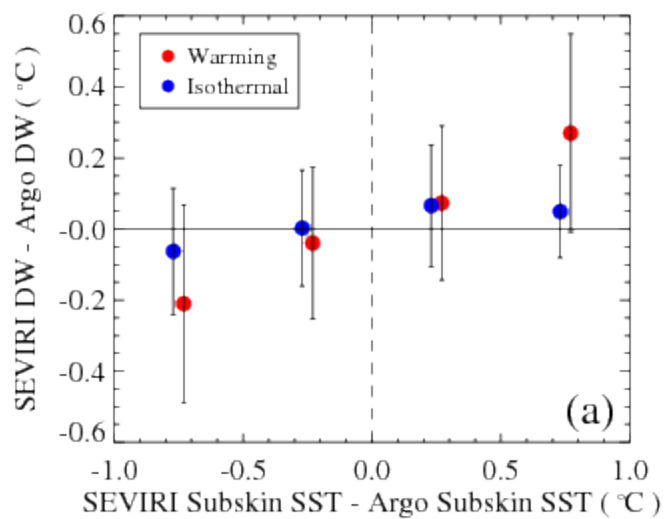
744

745 **Figure 7.** Percentage of cloud coverage in the derived SEVIRI foundation temperature over the  
 746 period from 2009 – 2012.

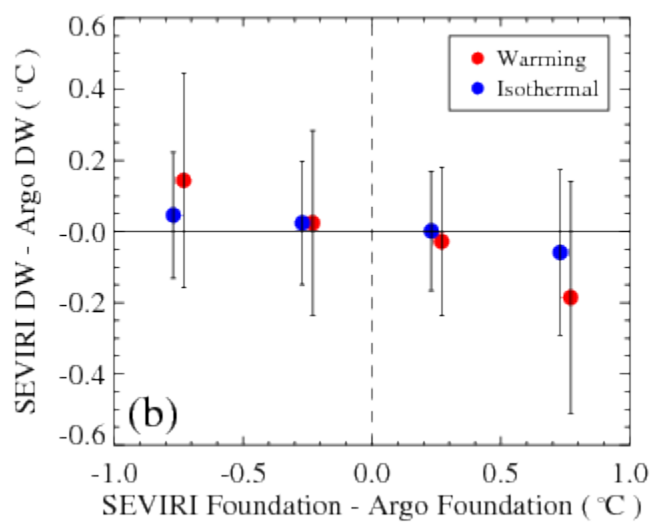
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752 **Figure 8.** Dependence of the residual difference in the DW estimates from SEVIRI and Argo on  
 753 (a) the SEVIRI – Argo subskin SST difference, and (b) the SEVIRI – Argo foundation  
 754 temperature difference.