Nonlinearity of the forward-backward correlation function in the model with string fusion

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Abstract. The behavior of the forward-backward correlation functions and the corresponding correlation coefficients between multiplicities and transverse momenta of particles produced in high energy hadronic interactions is analyzed by analytical and MC calculations in the models with and without string fusion. The string fusion is taking into account in simplified form by introducing the lattice in the transverse plane. The results obtained with two alternative definitions of the forward-backward correlation coefficient are compared. It is shown that the nonlinearity of correlation functions increases with the width of observation windows, leading at small string density to a strong dependence of correlation coefficient value on the definition. The results of the modeling enable qualitatively to explain the experimentally observed features in the behavior of the correlation functions between multiplicities and mean transverse momenta at small and large multiplicities.

1 Introduction

The investigations of long range rapidity correlations give the information about the initial stage of high energy hadronic interactions [1]. In paper [2] the study of the correlations between multiplicities in two separated rapidity windows (the long range forward-backward correlations) to find a signature of the string fusion and percolation phenomenon [3–6] in ultrarelativistic heavy ion collisions has been proposed. Later it was realized [7–12] that the investigations of the forward-backward correlations involving intensive observables, such e.g. as the event-mean transverse momentum, enable to obtain more clear signal about the initial stage of hadronic interaction, including the process of string fusion, compared to usual forward-backward multiplicity correlations.

In the present work, correlation functions and correlation coefficients between the observables in two separated rapidity intervals in ultrarelativistic heavy ion collisions are analyzed by analytical and MC calculations in a simple model which enables to take into account the string fusion effects. It is shown that the nonlinearity of correlation function increases with the width of observation windows, leading at small string density to the strong dependence of correlation coefficient value on the definition. Whereas the forward-backward correlation function itself is uniquely defined and carries more physical information than the correlation coefficients deduced from it.

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2 Definitions

The forward-backward (FB) correlation function, for the correlation between two observables, \( F \) and \( B \), measured event-by-event in rapidity intervals, \( \delta \eta_F \) and \( \delta \eta_B \), separated by some rapidity gap, \( \eta_{\text{gap}} \), gives the dependence of the mean value of the variable \( B \) on the variable \( F \):

\[
\langle B \rangle_F = f(F),
\]

(1)

In present paper we restrict ourself to the study of long range FB correlations between the multiplicities of charged particles \((n-n)\) and between the event-mean transverse momentum and the multiplicity \((p_t-n)\). So as \( F \) we use the multiplicity of charged particles, \( n_F \), measured in forward rapidity window and as \( B \) we use the multiplicity of charged particles, \( n_B \), measured in backward rapidity window or the event-mean transverse momentum of these particles, \( p_B \):

\[
p_B = \frac{1}{n_B} \sum_{i=1}^{n_B} |p_{tB}^i|.
\]

(2)

In the last case of the \( p_t-n \) correlation we expect smaller influence on results of the trivial fluctuations in the number of sources (strings) - the so called “volume” fluctuations. Being an intensive variable, the \( p_B \) does not depends directly on the number of strings. It is sensitive to changes in the characteristics of the sources, e.g. due to the string fusion processes.

Usually the strength of the FB correlation is characterized by the correlation coefficient \( b_{FB} \). Unfortunately the definition of the correlation coefficient is not unique (see e.g. [13] for a review). One of the most popular definition [14, 15] is

\[
b_{FB} = \frac{\langle FB \rangle - \langle F \rangle \langle B \rangle}{\langle F^2 \rangle - \langle F \rangle^2} = \frac{\text{cov}(F, B)}{D_F}.
\]

(3)

Another possible option [8, 9]:

\[
b'_{FB} = \frac{d\langle B \rangle_F}{dF} \Big|_{F=\langle F \rangle}.
\]

(4)

In the case of an integer variable \( F \), as \( n_F \), one has to interpret this definition as follows

\[
b'_{FB} = \langle B \rangle_{\lfloor \langle F \rangle \rfloor} - \langle B \rangle_{\lfloor \langle F \rangle \rfloor + 1},
\]

(5)

where the \( \lfloor (F) \rfloor \) is an entire part of the \( \langle F \rangle \). It is clear that the definitions of \( b_{FB} \) and \( b'_{FB} \) are the same for the linear correlation function

\[
\langle B \rangle_F = a + b_{FB} F,
\]

(6)

the so-called linear regression, differing from each other in the general case.

Due to the locality of strong interaction in rapidity the FB correlation naturally divides into two parts: the short- and the long-range ones. The short-range correlation takes place only between particles produced from a same source (string). It goes to zero for large enough rapidity gaps, \( \eta_{\text{gap}} \gtrsim 2 \). The origin of the long-range correlations at large \( \eta_{\text{gap}} \) is event-by-event variance in the number and properties of particle sources (strings [13], cut pomerons [16] and so on), formed in high energy hadronic interaction. In present paper we will consider only these long-range part of the correlations, as we are interested in the study of the variations in number and properties of the strings, produced at the initial stage of the hadronic interaction.
3 The model

We will take into account the string fusion effects in simplified form by introducing a lattice in the transverse plane. This discrete version of string fusion model [5, 6] was introduced in [17] and then was exploited for a description of various phenomena (correlations, anisotropic azimuthal flows, the ridge) in high energy hadronic collisions [8–12, 19–21]. In this approach one splits the impact parameter plane into $M$ cells with the area equal to the transverse area of single string, $\sigma_{str}$, and supposes the fusion of all strings with the centers in a given cell. Then each string configuration is characterized by the set of integers:

$$C_\eta = \{\eta_1, \ldots, \eta_M\},$$

(7)

where $\eta_i$ is a number of initial strings fused in a given $i$-th cell. This leads to the splitting of the transverse area into domains with different, fluctuating values of color field within them. What is similar to the attempts to take into account the density variation in transverse plane in models based on the BFKL evolution [22] and on the CGC approach [23].

In this model one supposes that the $\eta_i$ in each cell fluctuates around the same mean value $\eta$ with a scaled variance $\omega_\eta$. Then in accordance with the string fusion prescription [5, 6] the mean number of charged particles in forward and backward observation windows, produced from the fragmentation of fused strings in the $i$-th cell, is given by the expression

$$\bar{n}_i^F = \mu_F \sqrt{\eta_i}, \quad \bar{n}_i^B = \mu_B \sqrt{\eta_i},$$

(8)

where $\mu_F$ is a mean number of particles produced from decay of a single string in the forward window $\delta\eta_F$. Clear that $\mu_F$ is proportional to the window width: $\mu_F = \mu_0 \delta\eta_F$ and the same for the backward window. Note that in the framework of the present model we can simultaneously calculate the results without taking into account the string fusion effects simply using instead of (8) the dependence $\bar{n}_i^F = \mu_F \eta_i$.

Because we study only LRC, we also suppose the independent fragmentation of the $i$-th fused string in forward and backward windows. So the corresponding numbers of charge particles $n_i^F$ and $n_i^B$ fluctuates independently event-by-event around the above mean values with some scaled variance $\omega_p$.

Finally, in accordance with the string fusion ideas [5, 6] we suppose that the transverse momentum distribution of particles, produced from the $\eta_i$ fused strings, has enlarged mean transverse momentum:

$$\bar{p}(\eta_i) = p_0 \sqrt{\eta_i},$$

(9)

where $p_0$ is a mean transverse momentum for particles produced from a decay of a single string.

Basically in this model one can calculate the correlation functions and the correlation coefficients by direct MC simulations using the definitions (1)-(5). To calculate $n$-$n$ correlation one needs to generate the following configuration

$$C = \{C_\eta, C_n^F, C_n^B\},$$

(10)

where

$$C_n^F = \{n_1^F, \ldots, n_M^F\}, \quad C_n^B = \{n_1^B, \ldots, n_M^B\}.$$

(11)

Here $n_i^F$ and $n_i^B$ are the numbers of charged particles, produced in forward and backward windows from the fragmentation of fused string in $i$-th cell. For $p_i$-$n$ correlation one needs also to generate the transverse momenta $p_i^{B/F}$ of all $n_i^{B/F}$ particles produced in backward window for each cell:

$$C_p^B = \{p_1^{1B}, \ldots, p_1^{n_1^{B/F}}; \ldots; p_M^{1B}, \ldots, p_M^{n_M^{B/F}}\},$$

(12)
supposing some transverse momentum distribution in accordance with (9). The physical observables, defined in previous Sect. 2, are expressed through these generated values:

\[
\begin{align*}
    n_F &= \sum_{i=1}^{M} n^F_i, \\
    n_B &= \sum_{i=1}^{M} n^B_i, \\
    p_B &= \frac{1}{n_B} \sum_{i=1}^{M} \sum_{j=1}^{n^B_i} p_{ij}^B.
\end{align*}
\] (13)

As we will see below such direct MC approach to the calculation of the correlation functions and coefficients in not optimal. The approach, in which part of the computations is performed analytically, proves to be more practical. It allows considerably reduce the amount of MC simulations, enabling more precise results for the correlation function in the regions of very small or large multiplicity \( n_F \).

Whereas the direct MC approach needs a huge number of simulations to generate configurations with \( n_F \) which is considerably different from \( \langle n_F \rangle \).

These simplifying analytical calculations we will perform using the representation for the long-range FB correlation function, exploited in [15]:

\[
\langle B \rangle_F = \frac{\sum_C \langle B \rangle_C P(C) P_C(F)}{\sum_C P(C) P_C(F)},
\] (14)

where \( P(C) \) is the probability of realization of the configuration \( C \) in a given event. The \( \langle B \rangle_C \) and \( P_C(F) \) are correspondingly, the mean value of the observable \( B \) in the backward window and the probability to have the value \( F \) for the observable in the forward window for given configuration \( C \).

4 Correlation between multiplicities

In paper [17] the non-monotonic dependence of the correlation coefficients \( b'_{nn} \) and \( b'_{ptn} \) on string density \( \eta \) at small string densities was found. What is interesting the effect took place only for the extended rapidity windows. We start the analysis of this effect from the consideration of the \( n-n \) correlation. In this case at small string density as a first step we can neglect string fusion and check whether there is this effect without a string fusion.

4.1 The correlation coefficient \( b_{nn} \)

In the version of the model without string fusion the allocation of strings on cells does not play any role and the configuration \( C_\eta \) is characterized simply by the total number of strings:

\[
N = \sum_{i=1}^{M} \eta_i.
\] (15)

In this case, acting as in [24], we can obtain the explicit analytical formula for \( b_{nn} \), (3):

\[
b_{nn} = \frac{\omega_N - \lambda N}{\omega_{\mu_F}/\mu_F + \omega_N - \lambda N},
\] (16)

where \( \omega_{\mu_F} \) and \( \omega_N \) are the corresponding scaled variances:

\[
\omega_{\mu_F} = D_{\mu_F}/\mu_F, \quad \omega_N = D_N/N = \omega_\eta, \quad D_N = \overline{N^2} - \overline{N}^2, \quad \overline{N} = \eta M
\] (17)

and

\[
\lambda = P(0)/[1 - P(0)], \quad P(0) = p_M^0(0).
\] (18)
Here \( p(0) \) is the probability to have zero number of string in a given cell. In addition to the consideration in [24] we take into account that modeling inelastic collisions we have to discard the events with zero total number of strings. This corresponds to the following simple modification (\( P(N) \to P'(N) \)) of event string distribution:

\[
P'(0) = 0 ; \quad P'(N) = P(N)/(1 - p(0)) , \quad N \geq 1 .
\]

We denote as \( \overline{N} \) and \( \langle N \rangle \) the mean values calculated correspondingly with \( P(N) \) and \( P'(N) \).

In paper [17] the non-monotonic dependence on string density was obtained for the modified poissonian distribution of the total number of strings. In this case

\[
P(0) = p^M(0) = e^{-\eta M} = e^{-\overline{N}} , \quad \langle N^k \rangle = \overline{N}^k/(1 - e^{-\overline{N}}) \]

and the formula (16) converts into

\[
b_{nn} = \frac{1 - \lambda \eta M}{\omega_{\mu_F}/\mu_F + 1 - \lambda \eta M} , \quad \lambda = \frac{e^{-\eta M}}{1 - e^{-\eta M}} .
\]

We see that the dependence of the correlation coefficient on \( M \) takes place only in the region \( \eta \leq 1/M \) (see figure 1). At other values of \( \eta \) we have the well known result \( b_{nn} = \mu_F/\mu_F + \omega_{\mu_F} \) [25].

It follows from (21) that \( b_{nn} \to 0 \) at \( \eta \to 0 \). The physical reason for this decrease is clear, at small string densities the events with single string start to dominate (recall that we have discarded the configurations with zero number of strings). The decays of the string in forward and backward windows are independent leading to zero value of the correlation coefficient \( b_{nn} \), because we study only the long-range part of the correlation and suppose that the observation windows are separated by a sufficient rapidity gap.

\[\text{Figure 1.} \text{ The FB multiplicity correlation coefficient, } b_{nn}, (3), \text{ calculated by direct MC simulations (points) and analytically by formula (21) (curves) for lattices with } M = 10 \text{ and } 30 \text{ cells as a function of } \eta (\text{the } \eta/\sigma_{str} \text{ is a string density), using the wide observation windows, corresponding to } \mu_F = 4 \text{. The poissonian distribution for the number of particles produced from a string } (\omega_{\mu_F} = 1) \text{ and the modified (19) poissonian distribution for the fluctuation in the number of strings were supposed. The string fusion processes are not taken into account.}\]
Figure 2. The same as in figure 1, but for the two widths of observation windows, $\mu_F = 1$ and 4, for the lattice with $M = 30$ cells. The open points demonstrate the influence of string fusion processes.

The analysis shows also that this decrease with $\eta$ is monotonic at any value of the parameter $\mu_F$, i.e. for the observation windows of arbitrary width in rapidity (see figure 2). So it can not explain the non-monotonic behavior of $b'_{nn}$ observed in [17] for wide rapidity windows, corresponding $\mu_F = 4$. The taking into account the string fusion processes does not change the situation for $b_{nn}$ at small values of $\eta$ (see figure 2).

We have to suppose now that this non-monotonic behavior of $b'_{nn}$ arises due to nonlinearity of the forward-backward correlation function for wide observation windows, which leads to the difference between the correlation coefficients $b_{nn}$ and $b'_{nn}$, defined by (3) and (4). To calculate the correlation coefficient $b'_{nn}$, we have at first to calculate the correlation function $\langle n_B \rangle_{n_F}$.

4.2 The correlation function $\langle n_B \rangle_{n_F}$

For the case without string fusion taking into account considerations of the previous subsection 4.1 we can present the formula (14) as

$$\langle B \rangle_F = \frac{\sum_{N=1}^{\infty} \langle B \rangle_N P'(N) P_N(F)}{\sum_{N=1}^{\infty} P'(N) P_N(F)} ,$$

with

$$\langle B \rangle_N = \mu_B N , \quad \langle F \rangle_N = \mu_F N .$$

In this subsection to be short we imply that $F = n_F$ and $B = n_B$, but do not fulfill this substitution explicitly. As in paper [17] we will suppose the poissonian distribution for charge particles from a string decay. Then the distribution from decay of $N$ string also will be poissonian $P_N(F)$:

$$P_N(F) = e^{-\mu_F N} (\mu_F N)^F / F! ,$$

(24)
The taking into account the string fusion processes does not change the situation for the non-monotonic behavior of the correlation coefficient. We can present the formula (14) as:

\[ \frac{\Phi(F + 1)}{\Phi(F)} = \sum_{N=1}^{\infty} P(N)N^F e^{-\mu_F N}. \]  

This result is in agreement with the formula \( \langle B \rangle_F = (F + 1)P(F + 1)/P(F) \), obtained using generating function technique for the case \( \mu_F = \mu_B \) in [15]. Note the nonlinear dependence of the correlation function on \( \mu_F \).

If we will use as in the previous subsection 4.1 and in paper [17] the modified poissonian distribution \( P'(N) \) for the total number of strings, then omitting common constant factor, we can use as \( \Phi(F) \):

\[ \Phi(F) = \sum_{N=1}^{\infty} N^F a^N N! \left( \frac{a}{N} \right)^F e^a, \quad F \geq 1, \]

and

\[ \Phi(0) = e^a - 1, \quad a = n e^{-\mu_F} = \eta Me^{-\mu_F} \]

for the calculation of \( \langle B \rangle_F \) in (25). By (26) we can get explicit expression:

\[ \Phi(F) = e^a \sum_{k=1}^{F} \frac{(-1)^k a^k}{k!} \left[ \sum_{m=1}^{k} (-1)^m C_k^m m^F \right]. \]

But practical calculations by the formula (28) is not convenient, as the sum is alternating. More suitable to use the following recurrence relation:

\[ \Phi(F) = e^a S_F, \quad S_F = \sum_{k=1}^{F} b_k^{(F)} a^k, \quad F \geq 1, \]

\[ b_k^{(F+1)} = k b_k^{(F)} + b_{k-1}^{(F)}, \quad k = 2, \ldots, F; \quad b_1^{(F)} = 1, \quad b_F^{(F)} = 1. \]
The results of the calculation of the correlation function \( \langle n_B \rangle_{n_F} \) by these analytical formulae and by direct MC simulations are presented in figure 3. We see the good agreement and that the analytical approach enables to calculate the FB correlation function at large multiplicities \( n_F \), when direct MC simulations can not produce a result even after 800 000 simulations.

### 4.3 The correlation coefficient \( b'_{nn} \)

Now by (5) we can calculate the correlation coefficient \( b'_{nn} \). The results obtained by analytical calculations and by direct MC simulations are presented in figure 4. We see that even without string fusion for wide rapidity windows (\( \mu_F = 4 \)) we have the non-monotonic behavior of \( b'_{nn} \) at small string density, observed in [17].

We can understand the origin of this non-monotonic behavior of \( b'_{nn} \) comparing the left and right panel plots in figure 3. In these plots we have marked the point \( \langle n_F \rangle \), in which the correlation coefficient \( b'_{nn} \) is calculated. We see that with the increase of \( \eta \) the form of the correlation function \( \langle n_B \rangle_{n_F} \) remains almost unchanged, whereas the point \( \langle n_F \rangle \) moves to higher values. Due to nonlinearity of the correlation function \( \langle n_B \rangle_{n_F} \) at small values of \( n_F \) this leads to the non-monotonic behavior of \( b'_{nn} \) with the increase of \( \eta \).

The detail analysis shows that this nonlinearity at small values of \( n_F \) arises only for the observation windows which are wide in rapidity e.g. it takes place at \( \mu_F = 4 \) and does not take place at \( \mu_F = 1 \). The reason is that for wide rapidity windows the contributions to the correlation function \( \langle n_B \rangle_{n_F} \) from configurations with one and two strings are not almost overlapping.

### 5 Correlation between transverse momentum and multiplicity

In the present model the correlations between event-mean transverse momentum \( p_B \), (13), in the backward window and the multiplicity \( n_F \) in the forward window arises only when we take into
The reason is that for wide rapidity windows the contributions to the correlation function configurations with one and two strings are not almost overlapping. In the present model the correlations between event-mean transverse momentum \( p_B \) and the multiplicity \( n_F \) by direct MC simulations are presented in figure 3. We see the good agreement and that the analytical simulations can not produce a result even after 800 000 simulations.

The detail analysis shows that this nonlinearity at small values of \( n_F \) arises only for the observation \( \eta \), in which the correlation coe\-fficient between event-mean transverse momentum \( p_B \) and the multiplicity \( n_F \) remains almost unchanged, whereas the point \( b'_{\eta n} \) defined by (3) and (4) as a function of string density. The points are the results of direct MC simulations, the curves are analytically calculated using the formulas (21), (25) and (29).

Now by (5) we can calculate the correlation coe\-fficient between event-mean transverse momentum \( p_B \) and the multiplicity \( n_F \) at small values of \( n_F \) from \( \eta \), (13), in the backward window and the multiplicity \( n_F \) by direct MC simulations are presented in figure 3. We see the good agreement and that the analytical simulations can not produce a result even after 800 000 simulations.

The parameter \( C_F = \frac{\sum_i \langle p_B \rangle C_i P(C) P_C(n_F)}{\sum C P(C) P_C(n_F)} \) (30) Recalling now \( C = \{ C_\eta, C_n^F, C_n^B, C_p^B \} \) by the remark before formula (12).

Averaging of the \( p_B \), given by the formula (13), over configurations \( C_p^B \) we have

\[
\langle p_B \rangle_{C_p^B} = \left\{ \frac{\sum_{i=1}^M \eta_i^B \bar{p}(\eta_i)}{\sum_{i=1}^M \eta_i^B} \right\}_{C_p^B},
\]

where \( \bar{p}(\eta_i) \) is given by (9). In paper [8] it is shown that for the poissonian distribution in \( n_i^F \) averaging over configurations \( C_n^B \) leads to:

\[
\langle p_B \rangle_{C_n^B} = \left\{ \frac{\sum_{i=1}^M \eta_i^F \bar{p}(\eta_i)}{\sum_{i=1}^M \eta_i^F} \right\}_{C_n^B},
\]

with \( \eta_i^F \) given by (8) (see also [26]). After that the general formula (30) is reduced to

\[
\langle p_B \rangle_{n_F} = \frac{\sum C_{n_F} \langle p_B \rangle_{C_n^B} P(C_\eta) P_{C_\eta}(n_F)}{\sum C_\eta P(C_\eta) P_{C_\eta}(n_F)},
\]

where for poissonian distribution in \( n_i^F \):

\[
P_{C_\eta}(n_F) = P_{\langle n_F \rangle}(n_F) = e^{-\langle n_F \rangle} \frac{(n_F)^{n_F}}{n_F!}, \quad \langle n_F \rangle = \mu_F \sum_{i=1}^M \sqrt{\eta_i}.
\]
The residual averaging over configurations $C_\eta$ in (33) can be easily done by MC simulations.

An example of the calculation of the $\langle p_B \rangle_{n_F}$ correlation function by this semi-analytical approach and by direct MC simulations is presented in figure 5. We see again the good agreement and that the semi-analytical approach enables to calculate the FB correlation function in wider region of $n_F$, than direct MC simulations.

We would like to draw attention to an interesting detail. In right panel of figure 5 we observe the slight decrease of the $p_t - n$ correlation function at extremely large multiplicities. One can find the signature of this effect in figure 7 in paper [27] for the correlation between transverse momentum and multiplicity of charged particles produced in the same window (in the acceptance of ALICE TPC $|\eta| < 0.8$) in 900 GeV pp collisions.

In the framework of the present model this effect has the following explanation. In events with the highest number of initial strings, the fluctuations in their distribution on transverse plane, compared to the uniform distribution, increase the event-mean transverse momentum and decrease the event multiplicity, due to string fusion effects, what leads to the negative correlation between them in this region of large $n_F$.

6 Concluding remarks

We have analyzed the behavior of the forward-backward correlation functions and the corresponding correlation coefficients between multiplicities and transverse momenta by analytical and MC calculations in the model with a string fusion on a transverse lattice. We see that in many cases the FB correlation function, $\langle B \rangle_F$, occurs nonlinear, containing the physical information, which can not be reduced to the one number - the correlation coefficient. As consequence the value of the correlation coefficient in these cases can depend on its definition (e.g. $b_{nn} \neq b'_{nn}$), whereas the corresponding correlation function, $\langle n_B \rangle_{n_F}$, is uniquely defined.

This analysis of the nonlinearity of FB correlation functions is urgent, because, for example, due to the huge arrays of events registered at the LHC we can analyze the experimental distributions in very wide region of $n_F$ - far away from $\langle n_F \rangle$. (Clear that for $n_F$ in a vicinity of $\langle n_F \rangle$ we can always limit ourselves to the linear regression, (6).)

As a result we found that the nonlinearity is greater for large observation rapidity intervals, for which the correlation between particles, produced from the same string, leads to the deviation of the distribution in $n_F$ from the poissonian one [13]. The results of the modeling enable qualitatively to explain the experimentally observed features in the behavior of the correlation functions between multiplicities and mean transverse momenta at small and large multiplicities.

In particular we found that the string fusion processes lead to the conversion of the increase of the $\langle p_B \rangle_{n_F}$ correlation function to the decrease at very large values of the multiplicity $n_F$. The developed methods can be applied to the analysis of the long-range rapidity correlations involving heavy flavor particles. In this case we expect stronger nonlinear effects in the correlation function, assuming the sensitivity of the heavy flavor particle yields to the string fusion processes.

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