

Isotope offsets in marine diatom $\delta^{18}\text{O}$ over the last 200 ka

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12 Abstract

Previous work has suggested that a species effect may be present in diatom oxygen isotope ratios ($\delta^{18}\text{O}_{\text{diatom}}$).

14 While predominantly attributed to be a size related species effect, currently the precise mechanism remains unknown. Here, two size fractions of diatoms (38-75 μm and $>100 \mu\text{m}$) covering the last 200 ka are analysed

16 for $\delta^{18}\text{O}$ from ODP Site 882 in the North West Pacific Ocean. Synchronous variations of up to 13‰ occur in both size fractions. However, large isotope offsets (mean = 2.02‰) exist between the two fractions with no

18 relationship between their magnitude and the overlying palaeoenvironmental conditions. In contrast to earlier work from the same site, no one size fraction is constantly higher or lower in $\delta^{18}\text{O}$ relative to the other. As

20 such, the dominant mechanism is most likely separate to the size effect previously detected. In addition, no relationship exists between the magnitude of the offsets and the species composition of the two size

22 fractions. The presence of these isotope offsets imposes significant constraints upon the future use of $\delta^{18}\text{O}_{\text{diatom}}$ in palaeoceanographic reconstructions and reiterates the need to extract and analyse only species

24 and size specific diatom samples.

26 *Keywords:* biogenic silica, disequilibrium effects, vital effects, North Pacific Ocean, opal

28 1. Introduction

30 Measurements of diatom oxygen isotopes ($\delta^{18}\text{O}_{\text{diatom}}$) are increasingly being used as a means of obtaining
32 palaeoenvironmental and palaeoclimatic records from sedimentary sequences devoid of carbonates (e.g.,
34 Shemesh *et al.*, 1994; Morley *et al.*, 2005). The need for such measurements, for example in high latitude
36 regions, is highlighted by the absence of more traditional materials at these locations for obtaining $\delta^{18}\text{O}$ data,
e.g., foraminifera in marine systems and ostracods in lacustrine systems. With high latitude regions
particularly sensitive to climate change, so the number of $\delta^{18}\text{O}_{\text{diatom}}$ measurements is likely to expand as both
the procedures and chemicals used for analysing $\delta^{18}\text{O}_{\text{diatom}}$ become simpler and less hazardous (e.g., Lücke *et*
al., 2005).

38 An important feature of almost all samples currently analysed for $\delta^{18}\text{O}_{\text{diatom}}$ is the large number of individual
diatom species which may be present within a single sample. In direct contrast to biogenic carbonates, the
40 smaller size of diatom valves (usually c. 5 μm to c. 40 μm) together with static effects prevents the numerous
frustules required for a single isotope measurement from being “picked out” by hand. While in some cases
42 the use of SPLITT (Rings *et al.*, 2004) or sieving at different size fractions (Swann *et al.*, 2006) can result in
near or complete mono-species specific samples, in most instances samples analysed for $\delta^{18}\text{O}_{\text{diatom}}$ are
44 comprised of multiple species, the relative proportions of which may vary considerably throughout a
stratigraphical sequence (e.g., Leng *et al.*, 2001). Consequently, records of $\delta^{18}\text{O}_{\text{diatom}}$ may be complicated by
46 the inclusion of taxa in samples that bloom in different seasons or habitats (Raubitschek *et al.*, 1999; Swann
et al., 2006), as well as possible inter and intra-species vital effects.

48
At present, the occurrence of vital effects in $\delta^{18}\text{O}_{\text{diatom}}$ is unclear. While vital effects have been widely
50 documented in biogenic carbonates such as foraminifera and ostracods (Duplessy *et al.*, 1970; Wefer and
Berger, 1991; Spero and Lea, 1993, 1996; Spero *et al.*, 1997; Xia *et al.*, 1997; Bemis *et al.*, 1998; von
52 Grafenstein *et al.*, 1999; Chivas *et al.*, 2002; Holmes and Chivas, 2002), almost all culture (Binz, 1987;
Brandriss *et al.*, 1998; Schmidt *et al.*, 2001), down-core (Juillet-Leclerc and Labeyrie, 1987; Shemesh *et al.*,
54 1995) and water column studies (Moschen *et al.*, 2005) have found no clear evidence of a vital effect in
 $\delta^{18}\text{O}_{\text{diatom}}$. Recent work, however, has shown the potential for $\delta^{18}\text{O}_{\text{diatom}}$ vital effects to exist with offsets of up
56 to 3.51‰ (mean offset = 1.23‰, n = 25) observed between two size fractions of diatoms at Ocean Drilling

Project (ODP) site 882 in the North West Pacific Ocean between 2.84 Ma and 2.57 Ma (Swann *et al.*, 2007).

60 The presence of large $\delta^{18}\text{O}_{\text{diatom}}$ offsets up to this magnitude creates significant uncertainty over the validity of
many existing $\delta^{18}\text{O}_{\text{diatom}}$ based reconstructions and may limit future measurements of $\delta^{18}\text{O}_{\text{diatom}}$ to samples
62 dominated by only a single taxa over a small size range. At present, however, the evidence for a vital effect
in $\delta^{18}\text{O}_{\text{diatom}}$ is based only on material from one site over a single time interval. In addition, the mechanisms
64 causing the offsets remains unknown. While evidence within Swann *et al.*, (2007) points towards the
existence of at least a size related effect, there is a need for further research to replicate these results and to
66 investigate the existence of similar $\delta^{18}\text{O}_{\text{diatom}}$ offsets over other time-frames. Here we extend our investigation
into the existence of vital effects in $\delta^{18}\text{O}_{\text{diatom}}$ by analysing material from ODP Site 882 over the last 200 ka
68 BP (Fig. 1). Similar to our previous work, we find large offsets between different species and size fractions
of diatoms. This provides further evidence that vital effects may exist within $\delta^{18}\text{O}_{\text{diatom}}$.

70

2. Methodology

72 Sediment samples, each 1 cm thick, were collected from ODP Site 882 in the North West Pacific Ocean
(50°22'N, 167°36'E) (Fig. 1). Sample ages in this study were taken from a magnetic susceptibility and
74 GRAPE density derived age model with additional cross correlation between benthic $\delta^{18}\text{O}_{\text{foram}}$ records from
ODP Site 882 and 883 used to verify the stratigraphy (Haug, 1995). Samples for $\delta^{18}\text{O}_{\text{diatom}}$ were prepared
76 using the three-stage methodology detailed in Swann *et al.*, (2006) which emphasises the need to visually
inspect the diatom flora to identify collectible size fractions which minimise diatom species diversity while
78 generating sufficient material for isotope analysis. In summary, sediment samples covering the last 200 ka
(MIS 1-7) were sieved at 38 μm , 75 μm and 100 μm with the 38-75 μm and >100 μm size fractions retained
80 for isotope analysis. While the size range of each fraction would ideally have been reduced to create more
sieve bins, e.g., 38-50 μm , 50-75 μm etc, this was not possible due to the necessity of extracting sufficient
82 material for isotope analysis (c. 5 mg). Sieve bins for size fractions smaller than 38 μm were also not suitable
for isotope analysis due to the large number of different diatom taxa in this size range and due to the
84 presence of multiple fragments of larger sized diatoms.

86 Sieved samples were subsequently treated with 30% H_2O_2 and 5% HCl to remove organic material and

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88 carbonates before being further cleaned of non-diatom contaminants using sodium polytungstate (SPT), a
90 heavy liquid, at a series of specific gravities from 2.10-2.25 g/ml (Morley *et al.*, 2004). The use of SPT was
92 particularly important for the smaller 38-75 µm size fraction where the relative amount of contaminants was
94 significantly higher. In this study, samples were balanced on top of 6 ml of SPT and centrifuged at 2,500 rpm
96 for 20 minutes. This resulted in denser material, such as silts and clays, sinking to the bottom of the
98 centrifuge tube while diatoms remained suspended on top of or in the solution. All samples were centrifuged
in SPT at three different specific gravities: firstly at a density of 2.25 g/ml, secondly at a density of 2.20 g/ml
and finally at a density of 2.10 g/ml. This SPT procedure was repeated according to need up to three times.
Samples still containing significant visible amounts of non-diatom contaminants after this were disregarded
for isotope analysis. After the final SPT wash, samples were centrifuge washed three times at x1500 rpm for
5 minutes and then re-sieved at 5 µm using cellulose nitrate membrane filters to remove all traces of the SPT.

100 Samples of the final purified, but unfluorinated, diatom material were mounted on a coverslip using a
102 Naphrax[®] mounting media and visually checked for contamination under a light microscope. Further SEM
104 analyses were undertaken on selected samples to ensure diatom purity. For the light microscopy work,
106 contamination was assessed following the the semi-quantitative approach of Morley *et al.*, (2004) but using
30 rather than 10 quadrants on a 100 µm × 100 µm grid graticule. Quadrants were selected in a semi-random
way such that areas representative of the whole coverslip were sampled including the edge where more
contamination may be present. Samples containing more than a few percent of non-diatom material were
disregarded for isotope analysis.

108 Diatom biovolumes were calculated following the recommendations of Hillebrand *et al.*, (1999) in which
110 species biovolume coefficients are derived from the median dimensions of at least 25 diatom frustules. For
112 example, biovolumes for the *Coscinodiscus* taxa which dominate the samples in this study were treated as a
cylinder:

$$V = \pi \cdot r^2 \cdot h$$

114 (Eq. 1)

where v is the volume, r the radius and h the height of the diatom frustule. All biovolume coefficients
116 calculated here were based on measurements made by light microscopy across all the analysed samples. It
was assumed for all measurements that the relative size of the hydroxyl layer was constant across all taxa.
118 Accordingly, no correction was made to account for the hydroxyl layer which is removed during the pre-
fluorination stage (see below). The species specific biovolume coefficients were then applied to diatom
120 abundance counts in the purified material (300 frustules per analysed sample) in order to calculate diatom
species biovolume data. Frustule fragments were included within the abundance counts, and hence biovolume
122 data, by making an estimation of their size. Given that all fragments are typically $>38 \mu\text{m}$, it proved possible
with relative ease to identify and count fragments down to an eighth of a frustule. Whilst measurements of
124 diatom biovolume document the volume rather than the mass or amount of oxygen within the frustules and
take no account for pore spaces and other voids/irregularities, the values provide a useful tool for identifying
126 the relative contribution of individual taxa to an isotope measurement.

128 Diatoms were analysed for oxygen isotopes using a step-wise fluorination method to dissociate the silica and
liberate the oxygen (described in Leng and Barker (2006)). In brief, the diatom hydrous layers were stripped
130 during a pre-fluorination outgassing stage in nickel reaction tubes using a BrF_5 reagent at low temperature
before full reaction with an excess of reagent at high temperature. Oxygen was converted to CO_2 following
132 the methodology of Clayton and Mayeda (1963) with $\delta^{18}\text{O}_{\text{diatom}}$ measured on an Optima dual inlet mass
spectrometer. $\delta^{18}\text{O}_{\text{diatom}}$ values were converted to the SMOW scale using a within-run laboratory standard
134 (BFC_{mod}) calibrated against NBS28.

136 3. Results

Light microscope and SEM work shows the analysed frustules to be exceptionally well preserved for fossil
138 diatoms (Fig. 2, 3). Levels of non-diatom contamination in both size fractions were also minimal (Fig. 2, 3,
4a). Diatom biovolumes for the 38-75 μm fraction indicate the $\delta^{18}\text{O}_{\text{diatom}}$ signal to primarily originate from
140 *Actinocyclus curvatulus* (Janisch in A. Schmidt), *Thalassiosira gravida* (Cleve), *Thalassiosira trifulta* group
and multiple fragments of the larger *Coscinodiscus radiatus* (Ehrenb.) (Fig. 4b). The high relative biovolume
142 of *C. radiatus* in a few samples of the 38-75 μm fraction is caused by a large number of *C. radiatus* frustule

144 fragments. This is most likely due to the multiple centrifuging and sieving stages required to clean sediment
146 samples for diatom isotope analysis. With some samples requiring more centrifuging/sieving than other
148 samples, large (>100 μm) diatom frustules such as *C. radiatus* are in some samples more likely to be broken
150 and fall through to the smaller 38-75 μm size fraction. While in most cases broken fragments were
successfully removed by sieving and collecting material with a 75-100 μm size fraction, as indicated in
figure 4b this was not always sufficient to remove all fragments. Despite this, all fragments of *C. radiatus* in
the 38-75 μm size fraction show all the fully preserved surface characteristics that can be expected with
fossilised diatoms with no visible signs of any process which may alter $\delta^{18}\text{O}_{\text{diatom}}$.

152 Samples in the >100 μm fraction are dominated throughout by *C. radiatus* which is constantly above 66%,
and typically above 90%, relative biovolume. Increased relative biovolume of *Coscinodiscus marginatus*
154 (Ehrenb.) to c. 20% occurs in the three oldest samples (Fig. 4b). While the >100 μm fraction may potentially
contain a large range of different sized diatom frustules, there are few frustules actually above 200 μm in
156 diameter. Measurements across all >100 μm samples indicate a mean frustule diameter of 144 μm and an
upper-quartile diameter of 166 μm .

158
 $\delta^{18}\text{O}_{\text{diatom}}$ measurements from both the 38-75 μm and >100 μm fractions show simultaneous variations of up
160 to 13‰ ($r = 0.84$, $n = 15$) over the analysed interval (Fig. 4c). Direct comparisons, however, show the
presence of large and highly variable offsets between the two size fractions with a mean offset of 2.02‰
162 (offset range = 0.33‰ to 3.07‰) (Fig. 4d, Table 1). Replicate analyses indicate an analytical reproducibility
(1σ) of 0.49‰ in the 38-75 μm fraction and 0.28‰ in the >100 μm fraction and 0.48‰ for BFC_{mod}, the
164 NIGL within-run laboratory diatom standard. Of the 15 analysed levels, only one level (9.1 ka BP, offset =
0.33‰) contains an isotope offset less than the combined analytical reproducibility for the two size fractions
166 (Root Mean Squared Error (RMSE)) of 0.56‰ (Fig. 4d, Table 1). In contrast to previous work (Swann *et al.*,
2007), the direction of the offsets varies throughout with no one size fraction constantly higher or lower
168 relative to the other. $\delta^{18}\text{O}_{\text{diatom}}$ is lower in the >100 μm fraction, relative to the 38-75 μm fraction, at 4.7 ka
BP, 80.3 ka BP, 96.8 ka BP and 99.3 ka BP (Fig. 4d, Table 1). For all levels in which $\delta^{18}\text{O}_{\text{diatom}}$ is higher in
170 the >100 μm fraction relative to the 38-75 μm fraction, the mean offset is 2.18‰ (range = 0.63‰ to 3.07‰,

n=10). For all levels in which $\delta^{18}\text{O}_{\text{diatom}}$ is higher in the 38-75 μm fraction, the mean offset is 1.70‰ (range =
172 0.33‰ to 2.50‰, n = 5).

174 4. Discussion

In the sections below we examine mechanisms which have the potential to cause these offsets. Sections 4.1
176 and 4.2 investigate the extent to which non-vital effect processes, such as sample contamination and silica
maturation, together with temporal variations in diatom blooms and environmental conditions, may be
178 contributing to the offsets. With no evidence that these processes are significant, section 4.3 examines
whether evidence of a species/vital effect exists within the data.

180

4.1. Reliability of the $\delta^{18}\text{O}_{\text{diatom}}$ record

182 4.1.1. Sample contamination

The quality and reliability of $\delta^{18}\text{O}_{\text{diatom}}$ data is often questioned over the potential for measurements to be
184 distorted by non-diatom contamination and diatom valve dissolution/diagenesis. As detailed in section 3, the
extracted diatoms analysed here are well preserved (Fig. 2, 3). In addition, visual inspections by light
186 microscopy and SEM show that sample contamination is minimal (Fig. 2, 3, 4a). Although sample purity
falls to 93% at 46.6 ka BP and 115.7 ka BP in the 38-75 μm fraction, these two samples still represent a
188 “clean” sample with purity above the 90% threshold recommended by Morley *et al.*, (2005). In addition, if
these two levels are removed from the dataset (offsets in these levels are 1.23‰ and 1.67‰ at 46.6 ka BP
190 and 115.7 ka BP respectively) the mean $\delta^{18}\text{O}_{\text{diatom}}$ offset across all remaining samples increases to 2.11‰. As
such, we can be certain that these “less clean” samples are not causing the offsets.

192

The possible effect of contamination on the measured $\delta^{18}\text{O}$ signal can be further examined by mass-balance
194 correcting the isotope composition of each sample (Morley *et al.*, 2005). The measured $\delta^{18}\text{O}$ of all samples
can be assumed to be a linear mixture of the $\delta^{18}\text{O}$ from diatoms and $\delta^{18}\text{O}$ from contaminant clay. By knowing
196 the $\delta^{18}\text{O}$ value of the clay contaminants and by using the sample purity data as an estimate of the
contamination within the sample, the $\delta^{18}\text{O}_{\text{clay}}$ signal can be accounted for to provide a contaminant corrected
198 value of $\delta^{18}\text{O}_{\text{diatom}}$:

$$\delta^{18}\text{O}_{\text{corrected}} = \frac{\delta^{18}\text{O}_{\text{measured}} - \frac{\text{Contamination}(\%) \cdot \delta^{18}\text{O}_{\text{clay}}}{100}}{\frac{\text{Diatom purity}(\%)}{100}}$$

200 (Eq. 2)

where $\delta^{18}\text{O}_{\text{corrected}}$ is $\delta^{18}\text{O}$ corrected for contamination, $\delta^{18}\text{O}_{\text{measured}}$ is the original $\delta^{18}\text{O}$ of the analysed sample
 202 and $\delta^{18}\text{O}_{\text{clay}}$ is the $\delta^{18}\text{O}$ of the contamination. Values of $\delta^{18}\text{O}_{\text{clay}}$ are usually significantly lower than that for
 $\delta^{18}\text{O}_{\text{diatom}}$. No $\delta^{18}\text{O}_{\text{clay}}$ values, however, were measured at ODP Site 882 over the analysed interval due to the
 204 difficulty in obtaining a 100% pure clay sample representative of the analysed samples. However, using a
 range of $\delta^{18}\text{O}_{\text{clay}}$ values from 0‰ to +20‰ shows that the $\delta^{18}\text{O}_{\text{diatom}}$ offsets can not be explained by different
 206 amounts of clay contamination between the two size fractions, with at least 11 of the 15 analysed levels
 always containing an isotope offset greater than the RMSE (Fig. 5). Indeed, correcting for contamination in
 208 some samples results in an increase, rather than decrease, in the magnitude of the isotope offsets.

210 *4.1.2. Silica maturation*

A prominent issue affecting records of $\delta^{18}\text{O}_{\text{diatom}}$ is the possibility of secondary isotope exchanges during
 212 sedimentation (Schmidt *et al.*, 1997, 2001; Brandriss *et al.*, 1998; Moschen *et al.*, 2006). This process of
 silica maturation has yet to be fully understood but has previously been related to a 2.5‰ increase in
 214 $\delta^{18}\text{O}_{\text{diatom}}$ between living and surface sediment diatoms in Lake Holzmaar, Germany (Moschen *et al.*, 2006)
 and isotope deviations of up to 10‰ in laboratory experiments (Schmidt *et al.*, 1997, 2001; Brandriss *et al.*,
 216 1998).

218 An important feature of silica maturation is that it may not always visibly alter the diatom frustule and so can
 not be assessed through light microscopy or SEM (Moschen *et al.*, 2006). No investigation has yet
 220 considered whether inter and/or intra-species variations occur in the magnitude of $\delta^{18}\text{O}_{\text{diatom}}$ secondary isotope
 exchange. As such, and as also considered in our previous work (Swann *et al.*, 2007), it is conceivable that
 222 the large $\delta^{18}\text{O}_{\text{diatom}}$ offsets may reflect inter and/or intra-species variations in silica maturation. It is notable,
 though, that the direction of the offsets varies throughout the interval with no one size fraction constantly

224 higher or lower relative to the other (Fig. 4d). Given that the species biovolumes of the two size fractions
does not vary markedly during these changes from one size fraction being isotopically enriched in ^{18}O
226 relative to the other (Fig. 4b), it seems unlikely that silica maturation, particularly inter-species variations in
silica maturation, are exerting a dominant control on the offsets (Fig. 4d). Although the role of silica
228 maturation can not be conclusively ruled out, based on existing studies and the current absence of evidence
for inter and intra-species variations in silica maturation isotope exchange, it is reasonable to assume at this
230 current time that silica maturation does not play a significant role in causing the $\delta^{18}\text{O}_{\text{diatom}}$ offsets observed
here.

232

4.2. Non-species effects

234 4.2.1. Water column variability

Contemporary evidence from the North Pacific Ocean shows both *C. radiatus*, which dominates the
236 composition of the $>100\ \mu\text{m}$ fraction (Fig. 4b), and *C. marginatus*, which comprises up to 25% of the
biovolume in the three oldest samples of the $>100\ \mu\text{m}$ fraction, to have similar temporal fluxes throughout
238 the year with peak fluxes in autumn/early winter months (Takahashi, 1986; Takahashi *et al.*, 1996). This is
reinforced by sediment trap data from Station 50N, situated close to ODP Site 882 (Fig. 1, Table 2, Onodera
240 *et al.*, 2005). This contrast with the $38\text{-}75\ \mu\text{m}$ fraction which is comprised of multiple species which bloom
across different seasons (Fig. 4b). For example, while the aforementioned autumn/winter *C. radiatus*
242 constitutes on average 33% of the sample biovolume in the $38\text{-}75\ \mu\text{m}$ fraction, *A. curvatulus*, contributing on
average 18% of the sample biovolume, predominantly blooms during the spring months at Station 50N
244 (Table 2; Onodera *et al.*, 2005). In addition *T. gravida*, *T. trifulta* and other less common taxa in the $38\text{-}75\ \mu\text{m}$
 μm fraction bloom across a number of different seasons (Table 2; Onodera *et al.*, 2005).

246

With a number of different species contributing to the biovolumes in the $38\text{-}75\ \mu\text{m}$ fraction, the $\delta^{18}\text{O}_{\text{diatom}}$
248 offsets may be partially explained by temporal variations in the flux of individual diatom taxa (i.e., a
seasonality effect). Sea Surface Temperatures (SST) in the region today are c. 8°C warmer in late
250 summer/autumn than spring (Locarnini *et al.*, 2006). As such, when using a diatom-temperature coefficient
of $-0.2\text{‰}/^\circ\text{C}$ (Brandriss *et al.*, 1998; Moschen *et al.*, 2005), a 1.6‰ offset would exist if all diatoms frustules

252 in the 38-75 μm fraction bloomed in spring and if all frustules in the >100 μm fraction bloomed in
summer/autumn. Such calculations though are crude as taxa can not be solely defined as spring or autumn
254 blooming. Determining the extent to which temporal variations may be causing the offsets can be better
estimated by considering the relative biovolumes of individual diatom species in each analysed sample in
256 relation to the modern day diatom flux and SST data from the region. Monthly diatom flux records for all
taxa are documented in Onodera *et al.*, (2005) from a sediment trap at Station 50N at a depth of 3,260 m
258 (Fig. 1). SST from 50.5°N, 167.5°E, also close to ODP Site 882, are included in Locarnini *et al.*, (2006).
Using a diatom-temperature coefficient of $-0.2\text{‰}/^{\circ}\text{C}$ we calculate that monthly differences in SST and
260 diatom fluxes would result in a maximum offset of 0.08‰ between the two size fractions (mean = 0.03‰),
significantly less than the RMSE of 0.56‰. These seasonality effects have virtually no impact on explaining
262 the $\delta^{18}\text{O}_{\text{diatom}}$ offsets except at 67.7 ka BP when the adjusted offset decreases to 0.55‰ (Table 3).

264 Sea Surface Salinity (SSS) in the region today varies by a maximum of 0.36 psu throughout the year
(Antonov *et al.*, 2006). At present the SSS: $\delta^{18}\text{O}_{\text{water}}$ and consequently SSS: $\delta^{18}\text{O}_{\text{diatom}}$ relationship for the
266 surface waters of the North West Pacific Ocean are unknown, preventing its inclusion within the above
calculations. However, using published mixing lines for the North East Pacific Ocean and Okhotsk Sea
268 (Schmidt *et al.*, 1999; Yamamoto *et al.*, 2001), the maximum annual SSS variability of 0.36 psu is equivalent
to an annual $\delta^{18}\text{O}$ variation in the surface waters of 0.13-0.14‰. If the same mixing lines are corrected to
270 account for temporal variations in diatom fluxes, similar to the calculations above, this would lead to a
maximum offset of only 0.01‰ between the two size fractions (Table 3).

272
A significant assumption of all the above calculations is that modern day diatom, SST and SSS data are
274 representative of conditions over the last 200,000 years. Today the North West Pacific Ocean is marked by a
strong seasonal SST gradient of c. 8°C (Locarnini *et al.*, 2006), which is driven by the presence of a year
276 round halocline and seasonal thermocline. In the past this large SST gradient could have been significantly
reduced in response to a reduction or removal of the halocline. At present it is unclear whether the strength
278 of the halocline was reduced in the past, see section 4.3.2. If it was, expected $\delta^{18}\text{O}_{\text{diatom}}$ offsets from temporal
variations in the surface water would be lower than those predicted above due to the reduced seasonal SST

280 gradient that would exist in a more mixed water column. Any change in SSS in response to variations in the
halocline strength would also be approximately constant across all months. As such, possible past changes in
282 the monthly SST and SSS gradients are not capable of explaining the $\delta^{18}\text{O}_{\text{diatom}}$ offsets.

284 Further issues not considered within the above calculations included diatom depth habitats together with
associated changes in temperature, salinity and $\delta^{18}\text{O}_{\text{water}}$ at different water depths. Diatoms primarily bloom
286 and fix their structurally bonded oxygen isotope ratios in the -Si-O-Si layer within the photic zone. No
information exists on the depth of the photic zone at or immediately around ODP Site 882. Estimates from
288 elsewhere in the North Central and North West Pacific Ocean suggest, however, that the photic zone likely
extends down to c. 50 m (Komuro *et al.*, 2005; Katsuki and Takahashi, 2005). In addition, studies in the
290 North Central Pacific Ocean indicate that the majority of diatom taxa live in the upper 50 m of the water
column (Katsuki *et al.*, 2003; Katsuki and Takahashi, 2005). Measurements of $\delta^{18}\text{O}_{\text{water}}$ at 49°12'N, 156°25'E,
292 the closest site to ODP Site 882 for which water column $\delta^{18}\text{O}$ measurements exist, indicate minimal variation
of 0.22‰ through the water column (Schmidt *et al.*, 1999). The total annual salinity range in the upper 50 m
294 of the water column is also low at 0.45 psu (Antonov *et al.*, 2006) while the temperature gradient between
the surface and 50 m is negligible, less than 1°C, through most of the year (Locarnini *et al.*, 2006). Although
296 a 5-6°C temperature-depth gradient is present from July to September, the impact of this on the $\delta^{18}\text{O}_{\text{diatom}}$
offsets is likely to be low given the high relative proportion of frustules which will bloom towards the
298 surface where light penetration is higher and where vertical differences in the temperature gradient are
reduced (Locarnini *et al.*, 2006). Indeed, the overall vertical temperature gradient is smaller than the annual
300 SST variability of 8°C, which was shown above to only explain a maximum of 0.08‰ of the offsets. While
we are unable to properly model the impact of these depth related issues due to the absence of sediment trap
302 data from the region recording diatom fluxes at different depths, it is likely that the impact of any of these
factors on the $\delta^{18}\text{O}_{\text{diatom}}$ offsets is within the limits of analytical reproducibility.

304
Evidence does exist that *T. trifulta* and *T. gravida* are primarily present at a water depth of c. 100 m to c.
306 200 m in the North Central Pacific Ocean (Katsuki *et al.*, 2003; Katsuki and Takahashi, 2005). It is unclear,
though, to what extent these results are indicative of diatom habitats at ODP Site 882. At worst, if we assume

308 that *T. trifulta* and *T. gravida* both precipitate their frustules at a water depth of 100-200 m at ODP Site 882,
re-calculation of the above models to take into account modern temperature and salinity values at these
310 depths suggest that a mean offset of 0.39‰ could exist between the two size fractions when using a diatom-
temperature coefficient of $-0.2\text{‰}/^{\circ}\text{C}$. Again these expected offsets are within the limits of analytical
312 reproducibility and are not capable of explaining any additional $\delta^{18}\text{O}_{\text{diatom}}$ offsets (Table 3). In addition, these
calculated offsets are almost certainly an overestimate since a proportion of the *T. trifulta* and *T. gravida*
314 frustules will live and bloom within the uppermost sections of the water column alongside other taxa, thereby
reducing any potential offset.

316
All of the above calculations in this section contain significant assumptions with regards to diatom depth-
318 habitats, temporal fluxes and past changes in palaeoenvironmental conditions at ODP Site 882. However, all
results suggest that the expected $\delta^{18}\text{O}_{\text{diatom}}$ offsets between the two size fractions arising from temporal and
320 spatial variations in individual diatom taxa blooms are less than the observed isotope offsets between the two
size fractions (Table 3). Indeed, all calculations suggest that the measured $\delta^{18}\text{O}_{\text{diatom}}$ values should, if
322 anything, be lower in the $>100\ \mu\text{m}$ fraction relative to the 38-75 μm fraction. This is due to *C. radiatus* and
C. marginatus in the $>100\ \mu\text{m}$ fraction primarily blooming in autumn/early winter when SST are relatively
324 warm, compared to taxa within the 38-75 μm fraction which bloom to a greater extent in spring when SST
are cooler. Since the majority of the analysed levels (10 out of 15) are marked by higher values in the >100
326 μm fraction (Fig. 4d), this reiterates that some other process must be controlling the direction/magnitude and
occurrence of the offsets.

328
4.2.2. Influx of extraneous taxa

330 Other processes which may cause the isotope offsets include the influx of diatoms from other locations with
a different $\delta^{18}\text{O}_{\text{water}}$. In particular *T. gravida*, which contributes between 3% and 8% of the biovolume to all
332 samples in the 38-75 μm fraction, is known at some localities to be a coastal taxa. However, it has been
acknowledged that frustules of *T. gravida* at station 50N in the modern ocean may actually originate from
334 sub-surface, not coastal, waters due to their ability to adapt to nutrient rich conditions during periods of
reduced water column stability (Onodera *et al.*, 2005). In addition, as detailed above and shown below in

336 section 4.3.1, no relationship exists between the relative biovolume of *T. gravida* in the analysed samples
and the magnitude of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets. Even if *T. gravida* had originated from coastal waters, it is
338 inconceivable given its low relative biovolume (maximum sample biovolume = 8%) that its presence would
be sufficient to cause $\delta^{18}\text{O}_{\text{diatom}}$ offsets as large as those observed here. Quantification of this, however, is not
340 possible due to the uncertainty over where any “coastal” *T. gravida* would originate from.

342 4.3. Species effects in $\delta^{18}\text{O}_{\text{diatom}}$.

$\delta^{18}\text{O}_{\text{diatom}}$ data in Swann *et al.*, (2007), also from ODP Site 882 but covering the interval from 2.84-2.57 Ma,
344 provided the first clear evidence that a species effect may exist in $\delta^{18}\text{O}_{\text{diatom}}$ with large offsets of up to 3.51‰
observed between two size fractions of diatoms (75-150 μm and >150 μm). While these offsets may be better
346 described as an isotope vital effect (i.e., non-equilibrium isotope fractionation) the term “species effect” was
used since diatom isotope equilibrium in the palaeo sequence remains unknown.

348
Above in sections 4.1 and 4.2 the possible impact of sample contamination and spatial/temporal variations in
350 the water column have been shown to not be plausible mechanisms in explaining the $\delta^{18}\text{O}_{\text{diatom}}$ offsets
observed here over the last 200 ka. With the offsets greater than the RMSE in 14 of the 15 analysed levels
352 (Fig. 4d, Table 1), the data may provide further evidence of a possible species/vital effect in $\delta^{18}\text{O}_{\text{diatom}}$.
Although sediment core top studies together with culture and field experiments are needed to confirm this, in
354 the sections below the $\delta^{18}\text{O}_{\text{diatom}}$ data is examined for any evidence of a systematic species/vital effect which
may be initiating or controlling the observed offsets.

356 4.3.1. Inter-species effects

358 In contrast to the offsets observed in Swann *et al.*, (2007) in which $\delta^{18}\text{O}_{\text{diatom}}$ values in the smaller 75-150 μm
fraction were greater than the >150 μm fraction, in the samples analysed here the direction of the offsets
360 varies throughout (Fig. 4d). As such, while a size related species effect may play a role in explaining the
offsets observed here, other processes must also be occurring. No relationship is apparent between individual
362 diatom species relative biovolumes and the $\delta^{18}\text{O}_{\text{diatom}}$ offsets ($p = 0.84, 0.98, 0.73$ and 0.20 and $R^2 = 0.01,$
 $3.46 \times 10^{-5}, 0.01$ and 0.15 for *C. radiatus*, *T. trifulta*, *A. curvatulus* and *T. gravida* respectively. In addition,

364 no significant improvement in this relationship can be obtained through any combination of linear or non-
linear regression models. Identifying any inter-species effect, however, may be complicated by the additional
366 presence of a size effect, similar to that found in Swann *et al.*, (2007), which could be acting to obscure any
inter-species effect signal. In addition, difficulties in generating accurate diatom biovolume measurements
368 may also be preventing detection of any inter-species effect.

370 Obtaining accurate diatom biovolume measurements is a prominent issue of discussion (see review in
Hillebrand *et al.*, 1999). Although inaccuracies exist due to the problems of accounting for diatom fragments
372 and pore spaces/voids, these errors should not be sufficiently large as to completely remove any evidence of
a statistical inter-species relationship. Significant uncertainty does exist, however, over the impact of the pre-
374 fluorination outgassing stage on diatom biovolumes. All biovolumes measurements, whether calculated
under light-microscopy or otherwise, are derived from the purified unreacted sample (see Methodology). The
376 pre-fluorination stage of the $\delta^{18}\text{O}_{\text{diatom}}$ analysis, however, removes the hydroxyl layer of the diatom, which
can represent a considerable proportion, up to c. 30-35%, of the total diatom biovolume. Currently, it is
378 assumed that the relative size of the hydroxyl layer is constant between and within individual taxa. Whilst no
evidence currently exists to contradict this assumption, any variation in the relative thickness or size of the
380 hydroxyl layer will alter the species biovolume of a given sample and potentially lead to the loss of any inter-
species effect signal.

382

During the fluorination process a fluorination yield is calculated from the amount of oxygen actually
384 converted into CO_2 relative to the amount of gas that would be expected from the equivalent weight of SiO_2 .
With levels of contamination low in the analysed samples, fluorination yields can be interpreted as the
386 hydroxyl layer, and consequently diatom biovolume, lost during the pre-fluorination stage. Yield values are
64.4% for the 38-75 μm fraction and 69.3% for the >100 μm fraction. While the variability within each size
388 fraction is large at 3.3% and 3.7% (1σ) for the 38-75 μm and >100 μm fractions respectively, this is of a
similar magnitude to the 3.0% reproducibility achieved with BFC_{mod} , the NIGL within-run laboratory diatom
390 standard. A paired Wilcoxon signed rank test, a non-parametric alternative to a Student's t-test for related
samples, indicates a significant difference between yield values for the two size fractions with larger relative

392 -Si-O-Si layers in the >100 μm fraction ($p < 0.001$). This raises the possibility that fluorination yields, and
hence hydroxyl layer thicknesses, are species dependent. To date no study has investigated the existence of
394 inter and intra-species variations in diatom fluorination yields. However, consideration of hydroxyl layer loss
during the pre-fluorination out-gassing stage may be crucial for obtaining the accurate diatom biovolume
396 data needed to further investigate and detect possible inter-species effects in $\delta^{18}\text{O}_{\text{diatom}}$. Until then, it remains
problematic to further investigate the issue of inter-species effects and their contribution to the isotope
398 offsets.

400 4.3.2. Nutrient species effect

One further process which may lead to offsets in $\delta^{18}\text{O}_{\text{diatom}}$ involves changes in surface water nutrient
402 availability, which can have significant impacts on diatom physiology (see reviews in Martin-Jézéquel *et al.*,
(2000) and Ragueneau *et al.*, (2000, 2006)). Today the region around ODP Site 882 is marked by a strong
404 halocline at c. 150 m, which significantly limits the supply of nutrients to the photic zone (Tabata, 1975;
Gargett, 1991). An absence or reduction in the halocline would alter this allowing upwelling of nutrient rich
406 deep water to the surface. To date, relatively few studies have reconstructed palaeoceanographic conditions
and the presence/absence of the halocline over the last 200 ka. The halocline has been shown to have first
408 developed at 2.73 Ma with suggestions that stratification may have continued uninterrupted from this date
(Haug *et al.*, 2005; Swann *et al.*, 2006). Using the Holocene as an analogue to MIS 5e, it is therefore likely
410 that the halocline also prevailed during the last interglacial, although no data currently exist to support or
contradict this. The situation, though, is less clear during the last glacial. Most studies argue for an enhanced
412 halocline, based on foraminifera stable isotope (Keigwin *et al.*, 1992), diatom assemblage (Sancetta, 1983),
biogenic barium (Jaccard *et al.*, 2005) and nitrogen isotope data (Brunelle *et al.*, 2007). Other evidence,
414 however, based on foraminifera stable isotopes, assemblage counts and Mg/Ca ratios argues for a more
mixed water column during the last glacial with the modern halocline becoming re-established at 11.1-9.3 ka
416 BP (Sarnthein *et al.*, 2004; 2006). Regardless of whether a halocline did or did not exist in the past, no link is
apparent between sample ages and changes in the magnitude/direction of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets (Fig. 4d). For
418 example, glacial aged samples (which may or may not have been associated with a reduced halocline) can
not be related to larger/smaller offsets or one size fraction having a higher $\delta^{18}\text{O}_{\text{diatom}}$ relative to the other.

420

Issues of stratification and associated changes in the supply of nitrate, silicate and phosphate to the photic zone, however, may not be the most important variables with regards to changes in diatom physiology. Today large sections of the North Pacific Ocean are Fe limited with respect to diatom growth (Harrison *et al.*, 1999; Tsuda *et al.*, 2003; Yuan and Zhang, 2006). The impact of increased Fe availability on diatoms is well documented with Fe limitation leading to increases in cell Si:N and Si:C ratios and decreases in diatom growth rates (see Hutchins and Bruland, (1998); Takeda, (1998) and reviews in de Baar *et al.*, (2005) and Ragueneau *et al.*, (2006)). Despite this, the impact of changes in Fe availability on $\delta^{18}\text{O}_{\text{diatom}}$ has yet to be investigated. Previous work, however, has suggested that $\delta^{18}\text{O}_{\text{diatom}}$ may be influenced by changes in growth rates with less isotope fractionation occurring in fast-growing diatoms (Schmidt *et al.*, 2001). While changes in diatom growth rates, in response to increased/decreased Fe deposition, would be expected to be constant across both the 38-75 μm and $>100 \mu\text{m}$ fractions, we have previously questioned whether this diatom isotope growth effect may influence larger frustules to a greater extent than smaller frustules (Swann *et al.*, 2007). Fe deposition to the open North Pacific Ocean primarily occurs via aeolian transportation from the Badain Juran desert (Duce and Tindale, 1991; Jickells *et al.*, 2005; Yuan and Zhang, 2006). However, similar to results in Swann *et al.*, (2007), no clear link exists between the magnitude or direction of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets and changes in aeolian/dust deposition to the North Pacific Ocean (Hovan *et al.*, 1991; Kawahata *et al.*, 2000). Likewise, no link exists between the isotope offsets and changes in the accumulation of aeolian deposits at the Chinese Loess Plateau (Sun and An, 2005). As such, it is unlikely that issues of Fe fertilisation and Fe induced changes in diatom growth rates are a dominant factor in explaining the $\delta^{18}\text{O}_{\text{diatom}}$ offsets.

440

4.4. Explaining isotope offsets in $\delta^{18}\text{O}_{\text{diatom}}$

Above, a number of issues have been examined in an attempt to understand the processes which are controlling the observed $\delta^{18}\text{O}_{\text{diatom}}$ offsets between the two size fractions. However, we are unable to conclusively explain the offsets based on the data currently available. Indeed many of the seasonality corrections in Section 4.2 result in an increase, rather than decrease, in the magnitude of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets (Table 3). The majority of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets in our previous work were attributed to a size related species effect (Swann *et al.*, 2007). A major difference between those results and the offsets shown here are the

448 frequent changes in the direction of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets over the last 200 ka (Fig. 4d). In addition, here the
majority of the analysed levels contain higher $\delta^{18}\text{O}_{\text{diatom}}$ values in the larger $>100\ \mu\text{m}$ fraction relative to the
450 smaller 38-75 μm fraction. In contrast, results in Swann *et al.*, (2007) are marked by higher isotope values in
the smaller 75-150 μm fraction relative to the larger $>150\ \mu\text{m}$ fraction. On the one hand, it is possible that the
452 processes contributing to the $\delta^{18}\text{O}_{\text{diatom}}$ offsets are different over each of the two time intervals. Alternatively
it is plausible that the mechanisms behind the offsets are the same over both intervals, but that the relative
454 importance of each mechanism has changed. Such a scenario, one of multiple processes in which the relative
importance of each can vary with changes in surface water palaeoenvironmental conditions and nutrient
456 availability, would be particularly well suited for explaining the large changes in the direction and magnitude
of the $\delta^{18}\text{O}_{\text{diatom}}$ offsets over the last 200 ka (Fig. 4d).

458

At present, we are unable to conclude further as to what the potential process or processes controlling the
460 isotope offsets may be. As such, it is feasible that the $\delta^{18}\text{O}_{\text{diatom}}$ offset are controlled by a combination of
inter-species, intra-species, size and nutrient related species effects in addition to possible inter and intra-
462 species variations in diatom secondary isotope exchange. While it seems likely that a species/vital effect is
present in $\delta^{18}\text{O}_{\text{diatom}}$, further investigation here is hampered by the problems in deriving accurate species
464 biovolume data and by a lack of detailed contemporary information on the systematics of oxygen isotope
fractionation and uptake by diatoms. Furthermore, the operation of multiple processes may be blurring
466 evidence for their existence, particularly if the relative importance of any single process can vary over time.
Clearly though, the presence of large $\delta^{18}\text{O}_{\text{diatom}}$ offsets between different samples has significant implications
468 for the future use of $\delta^{18}\text{O}_{\text{diatom}}$ in palaeoceanographic reconstructions, requiring that future samples be
dominated by only a single taxa over a finite size range.

470

5. Conclusion

472 The presence of large and highly variable isotope offsets in $\delta^{18}\text{O}_{\text{diatom}}$ at ODP Site 882 over both the samples
analysed here (last 200 ka BP) and that in Swann *et al.*, (2007) (2.84 Ma to 2.57 Ma) provides clear evidence
474 that some form of species/vital effect may exist within measurements of $\delta^{18}\text{O}_{\text{diatom}}$. Despite this, no clear
mechanism or process can be identified to explain these offsets. As such, while measurements of $\delta^{18}\text{O}_{\text{diatom}}$

476 remain suitable for providing qualitative palaeoenvironmental information when the magnitude of change is
greater than any of the offsets observed here and in our earlier work (current maximum is 3.51‰),
478 significant uncertainty remains over the validity of any quantitative based reconstruction. In order to better
constrain these isotope offsets, in-field studies and laboratory culture experiments are needed. In the interim
480 it is essential that increased attention is paid to the species and size composition of diatom samples analysed
for $\delta^{18}\text{O}_{\text{diatom}}$. To this end, only species or near-species specific samples that cover a limited size range should
482 be used for diatom isotope analysis. This should apply not only to marine but also to freshwater
environments where measurements of $\delta^{18}\text{O}_{\text{diatom}}$ are increasingly being used in carbonate free systems (see
484 review in Leng and Barker (2006)).

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492

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Figure captions

648 Figure 1: Location of ODP Site 882 (50°22'N, 167°36'E), North West Pacific Ocean, together with major
ocean surface currents and the location of diatom monitoring station 50N (50.01°N, 165.01°E). Adapted from
650 Figure 1 in Swann *et al.* (2007).

652 Figure 2: Typical light microscope images of diatoms analysed from ODP Site 882 at 4.7 ka BP, 72.4 ka BP
and 115.7 ka BP ranging from 40-120 μm in diameter.

654
Figure 3: SEM images of diatoms analysed for $\delta^{18}\text{O}$ at 4.7 ka BP, 75.9 ka BP, 96.8 ka BP and 115.7 ka BP.

656
Figure 4: A) Sample purity, percentage of diatom material relative to all other material in the cleaned
658 samples. B) Relative diatom species biovolumes in purified samples analysed for $\delta^{18}\text{O}_{\text{diatom}}$. C) Comparison
of $\delta^{18}\text{O}_{\text{diatom}}$ measurements between the 38-75 μm fraction (up triangle) and the >100 μm fraction (down
660 triangle) from 200 ka BP. Error bars for $\delta^{18}\text{O}_{\text{diatom}}$ are within the size of the symbols. D) $\delta^{18}\text{O}_{\text{diatom}}$ offsets
between the two size fractions (>100 μm fraction minus 38-75 μm fraction). Dashed lines represent the
662 RMSE of 0.56‰.

664 Figure 5: $\delta^{18}\text{O}_{\text{diatom}}$ offsets (>100 μm fraction minus 38-75 μm fraction) mass balance corrected for
contamination using a range of different theoretical $\delta^{18}\text{O}_{\text{clay}}$ values. Amount of contamination in each sample
666 is based on the diatom purity data (Fig. 4a). $\delta^{18}\text{O}_{\text{diatom}}$ offset values on x-axis are the corrected offsets root
squared in order to improve figure clarity. Dashed line represent the RMSE of 0.56‰.

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670

672 Table captions

Table 1: $\delta^{18}\text{O}_{\text{diatom}}$ data for the 38-75 μm and >100 μm size fractions. Offsets are difference in $\delta^{18}\text{O}_{\text{diatom}}$
674 between the two size fractions (>100 μm fraction minus 38-75 μm fraction). Shaded values indicate offsets

greater than the RMSE of 0.56‰.

676

Table 2: Modern day relative seasonal flux of *C. marginatus* and *C. radiatus* at station 50N (see Fig. 1) from

678 December 1997 to May 2000. Data from Onodera *et al.*, (2005).

680 Table 3: $\delta^{18}\text{O}_{\text{diatom}}$ offsets (>100 μm fraction minus 38-75 μm fraction) following corrections for diatom
species seasonality and 1) SST seasonality; 2) SSS seasonality; 3) combined SST, SSS seasonality and depth

682 habitats (see Section 4.2.1 for details). Shaded values indicate offsets greater than the RMSE of 0.56‰.

Table 1:

Age (ka) BP	$\delta^{18}\text{O}_{\text{diatom}}$ (‰)		Offset (‰)
	38-75 μm	>100 μm	
4.7	36.55	34.53	-2.02
9.1	40.14	39.82	-0.33
46.6	33.93	35.16	+1.23
51.3	36.86	39.76	+2.90
67.7	42.41	43.04	+0.63
72.4	41.55	42.63	+1.08
75.9	33.33	36.39	+3.07
80.3	31.82	29.32	-2.50
86.1	35.18	37.91	+2.74
92.2	39.34	42.09	+2.76
96.8	43.16	41.56	-1.60
99.3	41.90	39.82	-2.07
111.4	35.91	38.66	+2.75
115.7	39.53	41.20	+1.67
195.4	38.40	41.37	+2.97

684

Table 2:

Season	<i>C. marginatus</i> (%)	<i>C. radiatus</i> (%)
DJF	24.76	26.35
MAM	26.02	21.58
JJA	16.84	16.11
SON	32.38	35.96

686

Table 3:

Age (ka) BP	Original Offset (‰)	1) Offsets after SST correction	2) Offsets after SSS correction	3) Offsets after combined temperature, salinity and depth correction
4.7	-2.02	-2.04	-2.02	-2.17
9.1	-0.33	-0.38	-0.33	-0.58
46.6	+1.23	+1.20	+1.22	+0.82
51.3	+2.90	+2.86	+2.89	+2.60
67.7	+0.63	+0.55	+0.62	+0.41

Uncorrected copy

72.4	+1.08	+1.02	+1.07	+0.71
75.9	+3.07	+3.04	+3.06	+2.75
80.3	-2.50	-2.53	-2.51	-2.98
86.1	+2.74	+2.71	+2.73	+2.44
92.2	+2.76	+2.74	+2.75	+2.11
96.8	-1.60	-1.61	-1.61	-2.24
99.3	-2.07	-2.08	-2.08	-2.59
111.4	+2.75	+2.73	+2.75	+2.38
115.7	+1.67	+1.66	+1.67	+1.27
195.4	+2.97	+2.97	+2.97	+2.45

688

Figure 1

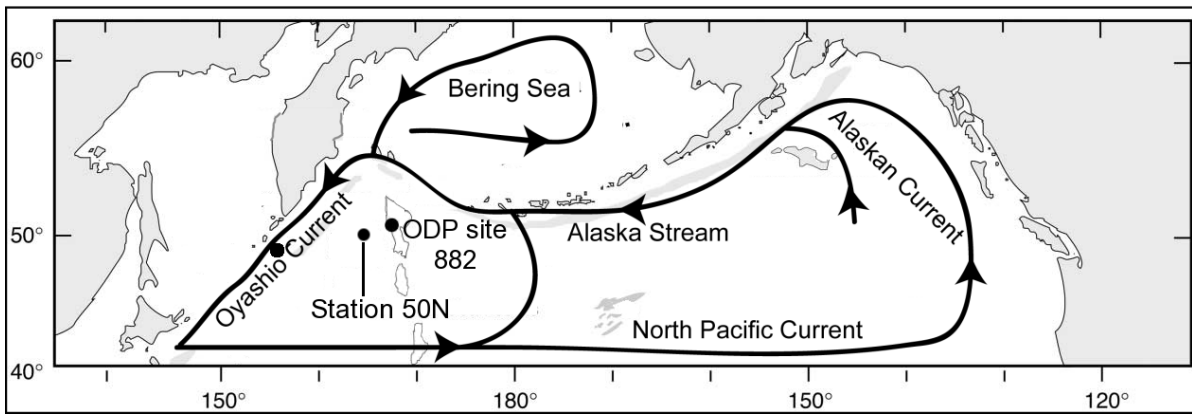


Figure 2

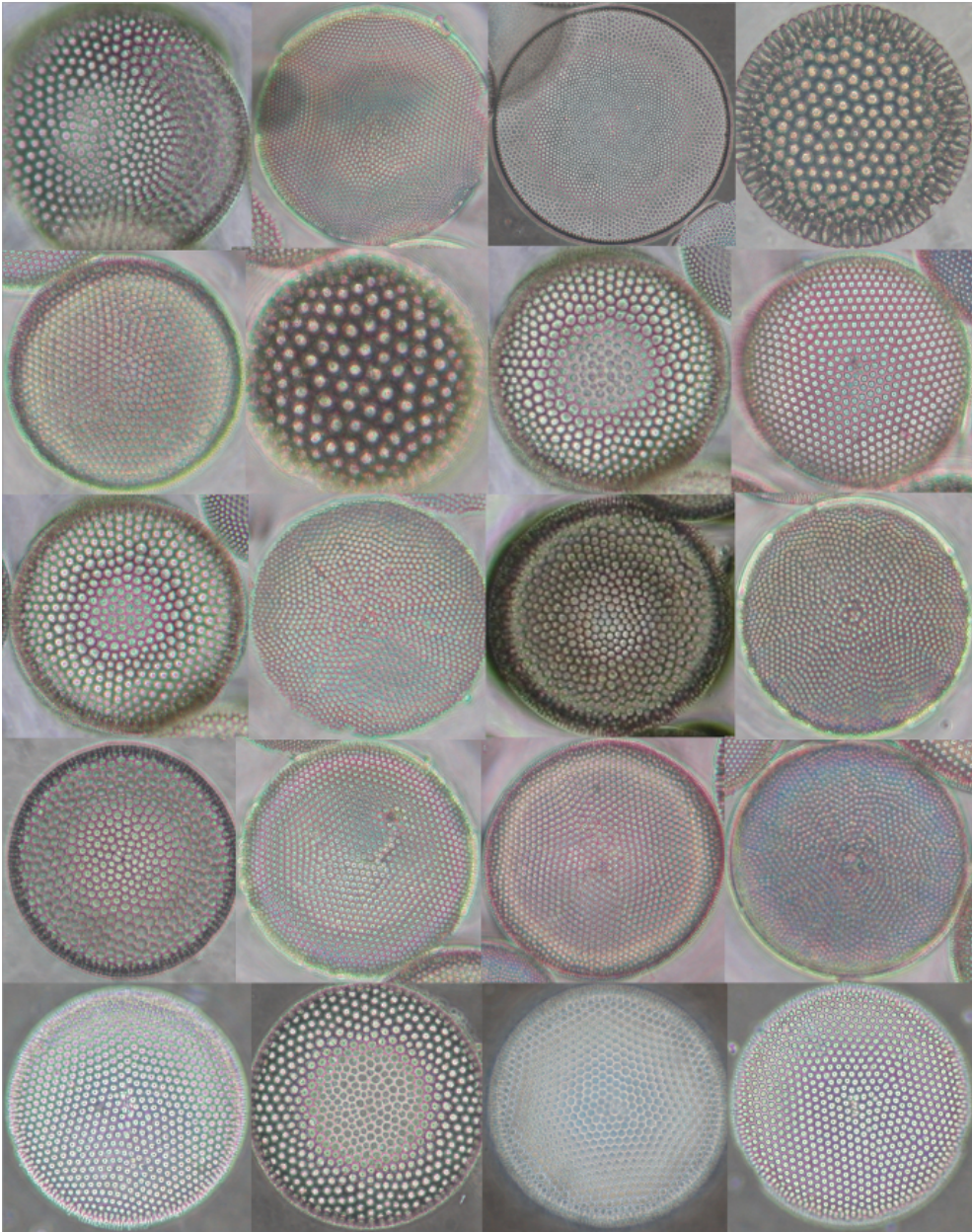


Figure 3

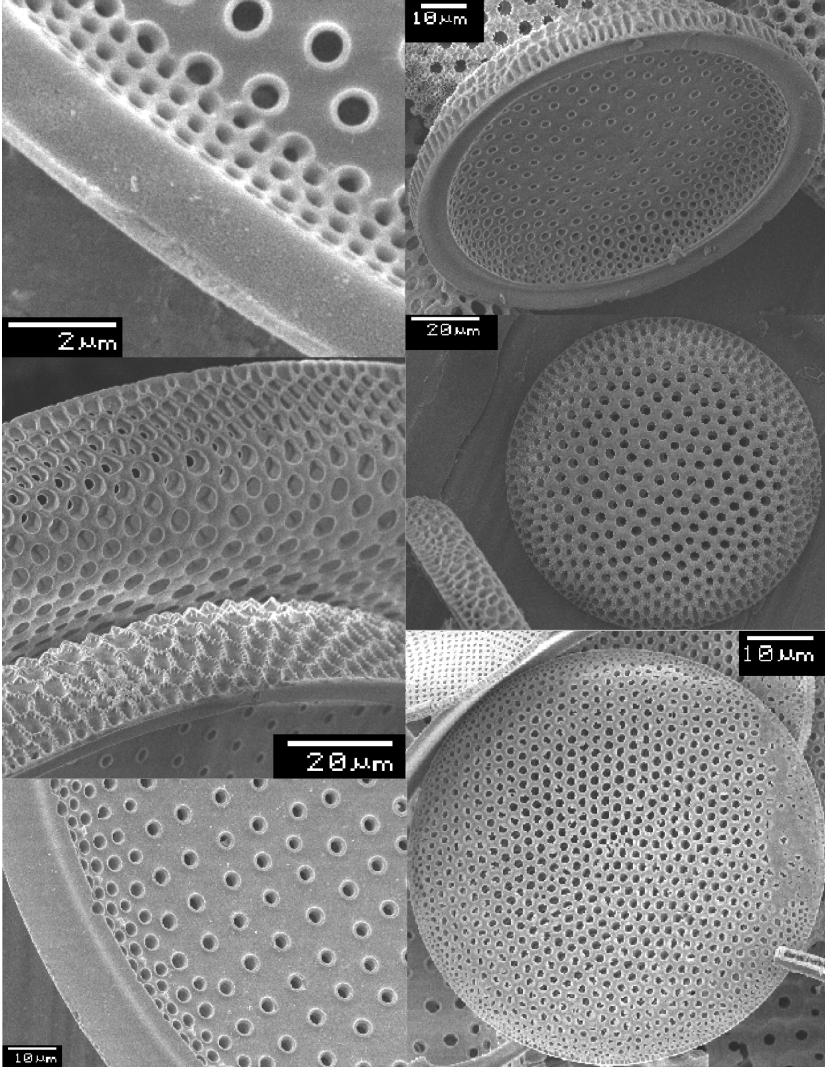


Figure 4

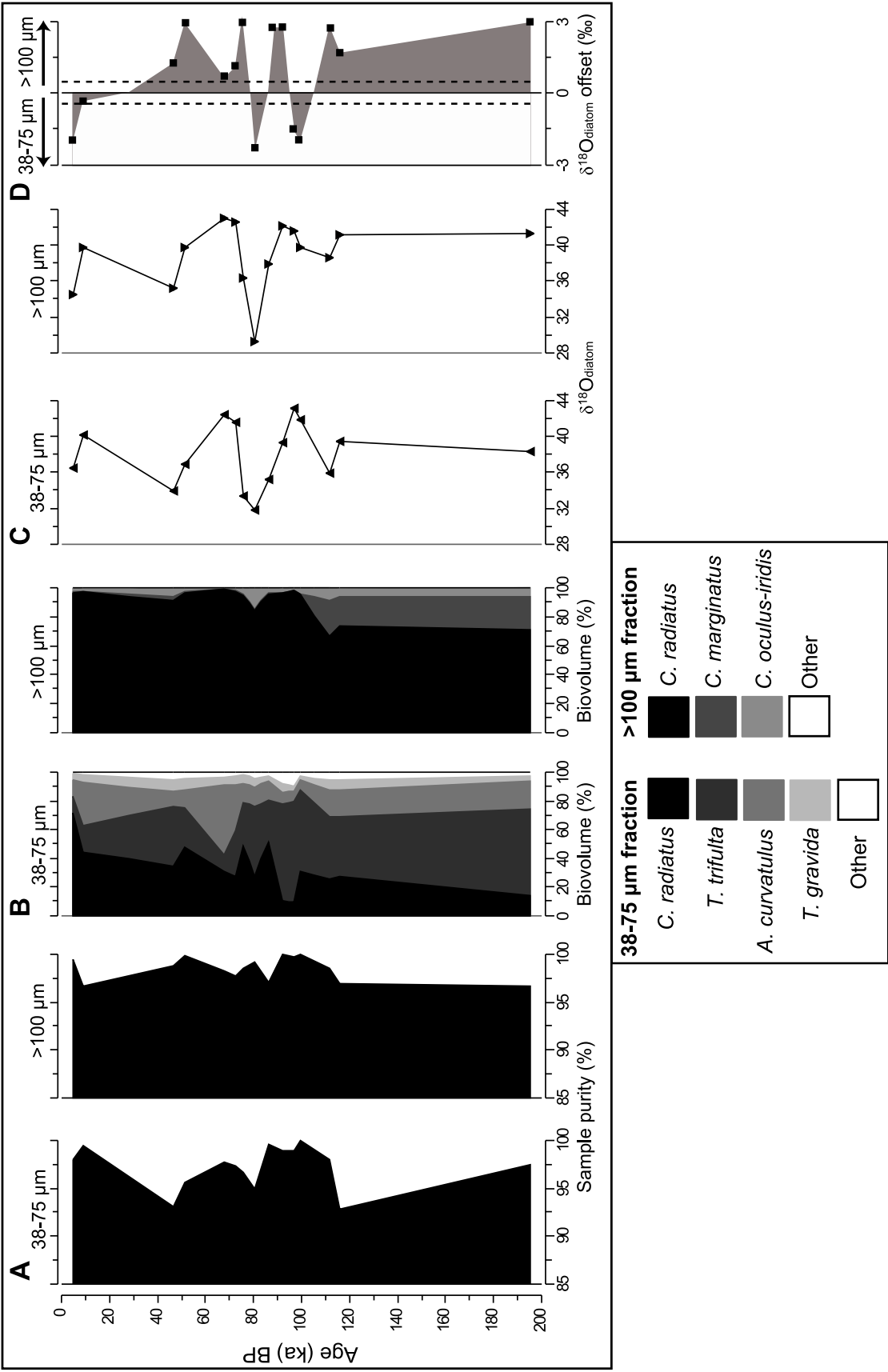


Figure 5

