

1 **Characterization of smoke/dust episode over West Africa: comparison of MERRA-2**  
2 **modeling with multiwavelength Mie-Raman lidar observations**

3 Igor Veselovskii<sup>1,2,3</sup>, Philippe Goloub<sup>4</sup>, Thierry Podvin<sup>4</sup>, Didier Tanre<sup>4</sup>, Arlindo da Silva<sup>3</sup>, Peter  
4 Colarco<sup>3</sup>, Patricia Castellanos<sup>3,5</sup>, Mikhail Korenskiy<sup>1</sup>, Qiaoyun Hu<sup>3</sup>, David N. Whiteman<sup>4</sup>, Daniel  
5 Pérez-Ramírez<sup>6</sup>, Patrick Augustin<sup>7</sup>, Marc Fourmentin<sup>7</sup>, Alexei Kolgotin<sup>1</sup>

6 <sup>1</sup>*Physics Instrumentation Center of GPI, Troitsk, Moscow, Russia.*

7 <sup>2</sup>*Joint Center for Earth Systems Technology, UMBC, Baltimore, USA*

8 <sup>3</sup>*NASA Goddard Space Flight Center, Greenbelt, USA*

9 <sup>4</sup>*Laboratoire d'Optique Atmosphérique, Université de Lille-CNRS, Villeneuve d'Ascq, France*

10 <sup>5</sup>*Universities Space Research Association, Columbia, Maryland, USA*

11 <sup>6</sup>*Applied Physics Department, University of Granada, Spain*

12 <sup>7</sup>*Laboratoire de Physico-chimie de l'atmosphère, Université du littoral côte d'Opale, France*

13

14 **Abstract**

15 Observations of multiwavelength Mie-Raman lidar taken during the SHADOW field campaign  
16 are used to analyze a smoke/dust episode over West Africa on 24-27 December 2015. For the  
17 case considered, the dust layer extended from the ground up to approximately 2000 m while the  
18 elevated smoke layer occurred in the 2500 m – 4000 m range. The profiles of lidar measured  
19 backscattering, extinction coefficients and depolarization ratios are compared with the vertical  
20 distribution of aerosol parameters provided by the Modern-Era Retrospective analysis for  
21 Research and Applications, Version 2 (MERRA-2). The MERRA-2 model simulated the correct  
22 location of the near-surface dust and elevated smoke layers. The value of modeled and observed  
23 aerosol extinction coefficients at both 355 nm and 532 nm are also rather close. In particular, for  
24 the episode reported, the mean value of difference between the measured and modeled extinction  
25 coefficients at 355 nm is  $0.01 \text{ km}^{-1}$  with standard deviation of  $0.042 \text{ km}^{-1}$ . The model predicts  
26 significant concentration of dust particles inside the elevated smoke layer, which is supported by  
27 an increased depolarization ratio of 15% observed in the center of this layer. The modeled at 355  
28 nm the lidar ratio of 65 sr in the near-surface dust layer is close to the observed value ( $70 \pm 10$ ) sr.  
29 At 532 nm however, the simulated lidar ratio (about 40 sr) is lower than measurements ( $55 \pm 8$  sr).  
30 The results presented demonstrate that the lidar and model data are complimentary and the  
31 synergy of observations and models is a key to improve the aerosols characterization.

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### 1. Introduction

Atmospheric aerosols are an important factor influencing the Earth’s radiative budget, though its impact is still highly uncertain due largely to the complicated mechanisms of aerosol – cloud interaction. Aerosol particles serve as cloud condensation nuclei and ice-nucleating particles, providing strong impact on cloud and precipitation formation. However different aerosol types differ significantly in their ability to initiate drop and ice crystal nucleation. There is thus a clear need for a better knowledge on vertically resolved optical, physical and chemical aerosol properties. Lidar is a recognized instrument for vertical profiling of aerosol properties, and the possibility to invert lidar observations at several wavelengths to aerosol microphysical properties has been extensively studied both theoretically and experimentally over the two past decades (e.g. Müller et al., 1999; 2016; Veselovskii et al., 2002; Böckman et al, 2005). These studies revealed the importance of using Raman or HSRL (high spectral resolution lidar) systems, which allow independent measurements of aerosol extinction and backscattering coefficients to be made. At present, the most practical configuration of Raman (HSRL) lidar is based on a triple wavelength Nd:YAG laser. Such a lidar provides the so called 3+2 set of observations, including three backscattering (355 nm, 532 nm, 1064 nm) and two extinction (355 nm, 532 nm) coefficients.

However the problem of inversion of 3+2 observations is underdetermined (Chemyakin et al., 2016; Alexandrov and Mishchenko 2017). As a result, instead of a unique solution, a family of solutions should be considered, leading to an increase in retrieval uncertainties. Still the estimation of volume density ( $V$ ) and effective radius ( $r_{\text{eff}}$ ) with uncertainty below 30% is possible, especially when the fine mode in the particle size distribution (PSD) is predominant (e.g. Veselovskii et al., 2004; Müller et al., 2005; 2016; Pérez-Ramírez et al., 2013, ). The refractive index (RI) can be also estimated from the measurements, although the uncertainty of such estimation is significant: for the real part ( $m_R$ ) of RI the uncertainty is normally about  $\pm 0.05$  and for the imaginary part ( $m_I$ ) it is about 50% when  $m_I > 0.01$  (Veselovskii et al., 2004; Müller et al., 2016). Proposed improvements of inversion schemes were considered in recent publications (Chemyakin et al., 2014; Kolgotin et al., 2016), still these improvements are not able to resolve the fundamental issue: the information content of 3+2 observations is

1 insufficient to support exact solution of the problem and additional information should be used in  
2 retrievals to improve the accuracy of the retrieved products (Veselovskii et al., 2005; Burton et  
3 al., 2016; Kahnert and Andersson, 2017; Alexandrov and Mishchenko 2017).

4 We should recall also that in the inversion schemes considered, the refractive index is  
5 normally assumed to be spectrally and size independent, which is generally not the case in the  
6 atmosphere. The irregularity of the particles shape can be also a significant error source.  
7 Moreover, the volume density and effective radius obtained from  $3\sigma+2\sigma$  observations are  
8 attributed to the whole size distribution, which is of limited practical use, because of the  
9 importance of characterizing the particle properties separately for the fine and coarse modes.  
10 Considering these issues makes the inverse problem even more underdetermined, emphasizing  
11 the need for additional input information.

12 One opportunity to get this additional information is by combining the lidar observations  
13 with aerosol transport models (Kahnert and Andersson, 2017). Models provide the vertical  
14 distribution of mass mixing ratios of chemical aerosol components, which can be used as “initial  
15 guess” in the inversion scheme. MERRA-2 offers a unique opportunity to provide such an  
16 “initial guesses” of the vertical structure of aerosol chemical composition. MERRA-2 is  
17 produced with NASA’s global Earth system model, GEOS-5 (Goddard Earth Observing System  
18 version 5) (Gelaro et al 2017) and includes an online coupling with the Goddard Chemistry,  
19 Aerosol, Radiation and Transport model (GOCART), which allows for assimilation of aerosol  
20 optical depth (AOD) from space borne and surface instruments such as MODIS, AVHRR,  
21 MISR, and AERONET (Randles et al. 2017). The fundamental data that MERRA-2 provides are  
22 vertical profiles of the mass mixing ratios of five aerosol components: dust, sea salt, black and  
23 organic carbon, and sulfate aerosols. The main optical parameters related to lidar measurements,  
24 such as aerosol extinction and backscattering coefficients can be calculated basing on these data.  
25 The principal question arising, however, is how well the reanalysis reproduces independent  
26 observations, and thus can provide a realistic initial guess for a lidar inversion scheme. Buchard  
27 et al. (2017) and Randles et al (2017) extensively validated MERRA-2 with independent surface  
28 and aircraft observations of particulate matter (PM<sub>2.5</sub>) and AOD, as well as space-based  
29 observations of absorption aerosol optical depth and aerosol index. The extinction profiles  
30 derived from airborne HSRL measurements were also compared with modeling, finding  
31 generally good agreement between the observations and MERRA-2.

1 For global validation of the aerosol vertical distribution, the modeled profiles of  
2 attenuated backscatter were compared to spaceborne Cloud–Aerosol Lidar with Orthogonal  
3 Polarization (CALIOP) observations (Winker et al., 2009), and a good consistency between  
4 simulations and observations was reported (Nowottnick et al., 2015, Buchard et al., 2017).  
5 Additional opportunities for model validation are provided by ground based multiwavelength  
6 Raman or HSRL systems. Such lidars by their nature have limited spatial coverage but are well  
7 suited for the characterization of the vertical distribution of particle properties at a chosen  
8 location.

9 In our paper, we consider Raman lidar observations taken during a smoke/dust episode  
10 over West Africa in December 2015 during the SHADOW campaign (Veselovskii et al., 2016),  
11 and compare the vertical profiles of particle parameters with MERRA-2. The simultaneous  
12 presence of dust and smoke layers in the atmosphere provides an opportunity to test the ability of  
13 the model to reproduce the vertical structure of aerosol properties over the observation site.

## 15 **2. Measurement setup and data analysis**

### 16 **2.1 Observation site**

17 The observation site is located at the Institute for Research and Development (IRD)  
18 Center, Mbour, Senegal (14<sup>0</sup>N, 17<sup>0</sup>W). Information about the SHADOW (study of SaHaran  
19 Dust Over West Africa) campaign and instruments at the IRD site can be found in the recent  
20 publication by Veselovskii et al. (2016). During the SHADOW campaign data from three lidar  
21 instruments were available:

22 • Cimel CE-370 micropulse lidar ([www.cimel.fr](http://www.cimel.fr)) operated 24 hours per day at 532 nm  
23 allowing real-time monitoring of aerosol and cloud layers.

24 • Doppler lidar Windcube WLS 100 ([www.leosphere.com](http://www.leosphere.com)) provided continuous  
25 monitoring of the wind field in the range from 100 m to 5 km with 50 m range resolution at 1543  
26 nm wavelength.

27 • Multiwavelength Mie - Raman polarization lidar LILAS (Lille Lidar AtmosphereS),  
28 allowed simultaneous detection of elastic and Raman backscatter signals and thus provides  
29  $3\beta+2\omega$  observations along with depolarization ratio at 532 nm.

30 LILAS measurements were performed from inside a laboratory building through a  
31 window at an angle of 47 deg with respect to the horizon. Acquiring Raman backscatter at 408

1 nm also permits profiling of the water vapor mixing ratio (WVMR) (Whiteman et al., 1992). For  
 2 calibration of the water vapor channel, radiosonde launches from Dakar (about 70 km away from  
 3 Mbour) were used. The large separation between the lidar and radiosonde locations prevented an  
 4 accurate calibration, so the WVMR data were used mainly to monitor the relative change of the  
 5 water vapor content. The temporal resolution of the measurements was approximately 3 minutes.  
 6 The backscattering coefficients and depolarization ratio were calculated with range resolution 7.5  
 7 m (corresponding to a vertical spatial resolution of 5.5 m). The height resolution of the extinction  
 8 coefficient measurements varied with height from 50 m (at 1000 m) to 125 m (at 7000 m). The  
 9 measurements were performed mainly in the night time. In the day time, the Raman  
 10 measurements at 532 nm were possible only up to 2-3 km height, so continuous the night and  
 11 day time Raman measurements were performed only for selected episodes.

12 The particle extinction ( $\sigma$ ) and backscattering ( $\beta$ ) coefficients at 355 nm and 532 nm are  
 13 calculated from elastic and Raman backscatter signals, as described in Ansmann et al. (1992).  
 14 Backscattering coefficients at 1064 nm ( $\beta_{1064}$ ) were calculated by the Klett method (Klett,  
 15 1981).

16 In the data analysis both volume ( $\delta^v$ ) and particle ( $\delta$ ) depolarization ratios are considered.  
 17 These ratios are defined as

$$18 \quad \delta^v = \frac{\beta_{\perp}^p + \beta_{\perp}^m}{\beta_{\parallel}^p + \beta_{\parallel}^m} = C \frac{P_{\perp}}{P_{\parallel}} \quad (1)$$

$$19 \quad \delta = \frac{\beta_{\perp}^p}{\beta_{\parallel}^p} \quad (2)$$

20 Here P is the power of the elastic backscatter signal. Superscripts “p” and “m” indicate particle  
 21 and molecule backscattering, while subscripts “ $\perp$ ” and “ $\parallel$ ” indicate cross- and co-polarized  
 22 components, C is the calibration constant. Particle depolarization is calculated as suggested by  
 23 (Freudenthaler et al., 2009):

$$24 \quad \delta = \frac{(1 + \delta^m) \delta^v R - (1 + \delta^v) \delta^m}{(1 + \delta^m) R - (1 + \delta^v)} \quad (3)$$

25 here  $\delta^m$  is the molecular depolarization ratio and R is the aerosol scattering ratio:

$$26 \quad R = \frac{\beta^p + \beta^m}{\beta^m} \quad (4)$$

1 For further convenience we will use the notations  $\beta = \beta_{\parallel}^p + \beta_{\perp}^p$ , and  $\alpha = \alpha^p$ . To characterize the  
 2 spectral dependence of  $\beta$  and  $\alpha$ , the backscattering and extinction Ångström exponents (BAE  
 3 and EAE) for wavelengths  $\lambda_1$  and  $\lambda_2$  are calculated as:

$$4 \quad A^{\beta} = \frac{\ln\left(\frac{\beta_{\lambda_1}}{\beta_{\lambda_2}}\right)}{\ln\left(\frac{\lambda_2}{\lambda_1}\right)}, \quad A^{\alpha} = \frac{\ln\left(\frac{\alpha_{\lambda_1}}{\alpha_{\lambda_2}}\right)}{\ln\left(\frac{\lambda_2}{\lambda_1}\right)} \quad (5)$$

5 The lidar - derived backscattering and extinction coefficients can be inverted to the particle  
 6 microphysical properties, as described at Veselovskii et al., (2002). The only constraints on the  
 7 permitted refractive index and the particle size distribution are that the refractive index is  
 8 considered to be wavelength independent and that the concentration of the particles with radii  
 9 below some  $r_{\min}$  and above some  $r_{\max}$  is zero, where the values of these radii are found in the  
 10 process of inversion.

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## 12 **2.2 MERRA-2 aerosol reanalysis**

13 The MERRA-2 simulations of aerosol properties over the observation site were made  
 14 using the GOCART model (Chin et al., 2002) integrated within GEOS-5. The model includes  
 15 representations of dust, sea salt, black and organic carbon, and sulfate aerosols. The aerosol  
 16 components are assumed to be externally mixed. The optical properties of these aerosol  
 17 components are summarized in Appendix 1. Sulfate and carbonaceous aerosols are both assumed  
 18 to be in the fine mode. Sea salt and dust are both represented by five size bins spanning 0.1 – 10  
 19 microns radius for dust and 0.03 – 10 microns dry radius for sea salt, allowing for simulation of  
 20 both the fine and coarse fractions of each. A more complete description of how GOCART is  
 21 implemented in GEOS-5 is provided in Colarco et al. (2010), which also includes a detailed  
 22 evaluation of the model with respect to MODIS, MISR, and AERONET aerosol optical depth  
 23 observations.

24 The aerosol optical properties are primarily based on Mie calculations using the particle  
 25 properties as in Colarco et al. (2010) and Chin et al. (2002), with spectral refractive indices from  
 26 the Optical Properties of Aerosols and Clouds (OPAC, Hess et al. 1998) database. However, for  
 27 dust, non-spherical optical properties derived from an offline database are used (Colarco et al.  
 28 2014). For sea salt, sulfate, and the hydrophilic portion of carbonaceous aerosol, hygroscopic

1 growth is considered following Chin et al. (2002), with growth factors from OPAC (Gerber,  
2 1985). The refractive index for organic carbon is based on the 100% brown carbon case from  
3 Hammer et al. (2016) and it is implemented as described in Colarco et al. (2017).

4 The sources of aerosols in the model include wind-speed based emissions of dust and sea  
5 salt, fossil fuel combustion, biomass burning, biofuel consumption, biogenic particulate organic  
6 matter, and oxidation of di-methyl sulfide (DMS) and SO<sub>2</sub>, which includes volcanic sources.  
7 Aerosol sinks include convective scavenging, dry deposition, and wet removal, where aerosol  
8 hygroscopic growth is considered in the calculation of particle fall velocity and deposition  
9 velocity. The model resolution is 0.5° × 0.625° latitude by longitude with 72 hybrid-eta layers  
10 from the surface to 0.01 hPa. Additional details of the simulation can be found in Randles et al.  
11 (2017) and Buchard et al. (2017).

12 In MERRA-2, aerosol and meteorological observations are jointly assimilated within  
13 GEOS-5. Aerosols are assimilated by means of analysis splitting and the local displacement  
14 ensemble (LDE) methodology (Buchard et al. 2015, 2016). The system assimilated MODIS,  
15 AVHRR, MISR, and AERONET 550 nm AOD. AERONET measurements are interpolated to  
16 550 nm using the Angström relationship and the closest available channels, generally 500 and  
17 675 nm. The assimilation determines an AOD increment, which corrects the model AOD in a  
18 way that minimizes the differences between the model and observations. The AOD increment  
19 both corrects for misplaced aerosol plumes, and scales the aerosol mass mixing ratio to match the  
20 observations. The 2D AOD increment does not contain enough information to correct either the  
21 vertical distribution of aerosols or the aerosol composition. Thus, the model determines the  
22 aerosol speciation, optical properties, and vertical distributions, while the AOD increments  
23 modulate the aerosol mass. Thus, the assimilated aerosol distributions and physical and optical  
24 properties arise from the forecast model assumptions and the formulation of the aerosol data  
25 assimilation algorithm.

### 26 27 **3. Experimental results**

28 The smoke layers from forest fires near the equator were regularly observed over the  
29 instrumentation site during the wintertime measurement sessions made in December 2015 –  
30 January 2016. In our study we will focus on a strong smoke episode that occurred on 24-27  
31 December 2015. Air mass back trajectories over Mbour on 25 December 2015 at 04:00 UTC are

1 shown in Fig.1 together with map of fires on 20 December 2015  
2 (<https://worldview.earthdata.nasa.gov>).

3 The air masses below 1000 m (red line) are transported over the desert and are strongly  
4 loaded by dust, while air masses at 3000 m (green line) arrive from the South and pass over the  
5 regions of forest fires, and thus can transport smoke particles. The Cimel MPL operated  
6 continuously through the period of 24-27 December and thus monitored the arrival and evolution  
7 of the smoke layer, as shown in Fig.2. An elevated smoke layer appears on 24 December around  
8 00:00 UTC. The aerosol layer becomes thicker during the day but remains confined to the height  
9 interval of 2.5 km– 4.0 km and stays well separated from the dust layer, which extends from the  
10 ground to approximately 2.0 km. Such structure of the layers is preserved throughout 25  
11 December, as well. Cirrus clouds appear at 08:00 UTC on 24 December at a height of 8 km, soon  
12 after the smoke layer arrival (Fig.2a), and persist throughout the smoke episode. After 12:00 UTC  
13 on 24 December the clouds start descending and by 7:00 UTC on 25 December the cloud base is  
14 below 6 km (Fig.2b). On 26 December strong precipitation of ice particles occurs (Fig.2c) and  
15 finally, on 27 December the cloud is located at the top of the smoke-dust layer (Fig.2d).

16 Multiwavelength Raman lidar observations are available for the 23-25 December period  
17 only. The height – temporal evolution of the particle backscattering coefficient  $\beta_{532}$ ,  
18 depolarization ratio  $\delta_{532}$  and water vapor mixing ratio  $w$  measured by Raman lidar on the nights  
19 23-24 and 24-25 December 2015 are shown in Fig.3. Due to the geometrical overlap factor the  
20 extinction data can be processed starting from approximately 750 m, thus plots of all parameters  
21 start at this height. The depolarization ratios of pure dust observed during SHADOW are in the  
22 30-35% range (Veselovskii et al., 2016), while the depolarization ratio of smoke at 532 nm  
23 normally is below 10% (e.g. Tesche et al., 2011, Burton et al., 2015). Hence depolarization  
24 measurements provide a convenient way to separate the aerosols into dust and smoke  
25 components.

26 On the night of 23-24 December the dust layer extends up to 2500 m, but high  
27 depolarization ratio (>30%), which is usually associated with pure dust, is observed only below  
28 1000 m, meaning that in 1000 m – 2500 m range the dust is probably mixed with smoke. The  
29 optical depth of the elevated smoke layer is rather small on 23-24 December (0.1 at 5:00 UTC),  
30 but on 24-25 December it increases up to 0.25 making possible the calculation of extinction



1 coefficients from the Raman lidar signals. For analyzing the vertical distribution of smoke and  
2 dust particle parameters, we focus on the nighttime measurements of 24-25 December 2015.

3 Fig.4 shows the horizontal wind direction and speed measured by the wind lidar on 24-25  
4 December. The range corrected signal of the wind lidar can be evaluated starting from 100 m  
5 height, and the corresponding height – temporal image is shown in Fig.5. The wind speed was  
6 measured in the dust layer (< 1500 m) for the whole period, however inside the smoke layer the  
7 backscatter signal is lower, so the measurements were possible only in the period of 16:00-22:00  
8 UTC on 24 December. During 24-25 December 2015, the wind in the low troposphere (<1500  
9 m) is mainly dominated by the easterly Harmattan continental trades. Deceleration and  
10 acceleration of the lower part of the Harmattan (<1000 m) are observed, respectively in the  
11 beginning of the afternoon and during the night. The vertical profile of the wind speed  
12 demonstrates the presence of a Low Level Jet (LLJ) where the maximum wind speed (Jet speed)  
13 is located at a height of 350 m (LLJ height) at 1:00 UTC. LLJs are known to contribute to  
14 regional horizontal aerosol transport and to increase vertical mixing. Indeed, the LLJ occurrence  
15 at 1:00 UTC increases the aerosol loading by transporting desert dust. The corresponding  
16 increase of backscattering due to the LLJ at 1:00 UTC on 25 December can also be seen in Fig.3.

17 The vertical profiles of temperature  $T$ , potential temperature  $\Theta$ , wind direction and speed  
18 together with relative humidity RH and water vapor mixing ratio (WVMR) from radiosonde  
19 launched from Dakar at 00:00 UTC on 25 December 2015 are shown in Fig.6. The profile of  
20 wind speed and wind direction obtained from the sonde confirms that the LLJ observed with  
21 lidar at Mbour is not a local phenomenon, because it is also observed at Dakar. The vertical  
22 profile of the potential temperature suggests that the Nocturnal Boundary Layer (NBL) top  
23 corresponds to the LLJ height. Above 3000 m, the lidar and sonde depict southerly winds which  
24 transport the smoke plume. The water vapor mixing ratio increases above 2500 m; as a result the  
25 RH in the smoke layer reaches 75% while in the dust layer RH is below 30%.

26 To quantify the vertical distribution of particle parameters, Fig.7 shows the profiles of  
27 backscattering ( $\beta_{355}$ ,  $\beta_{532}$ ,  $\beta_{1064}$ ), extinction ( $\alpha_{355}$ ,  $\alpha_{532}$ ) coefficients and the particle  
28 depolarization ratio ( $\delta_{532}$ ) derived from Raman lidar measurements for three temporal intervals  
29 on the night of 24-25 December: 19:00-23:00 UTC, 1:00-4:00 UTC and 4:00-7:00 UTC. For the  
30 profiles presented, the uncertainty of both  $\beta$  and  $\alpha$  computations is estimated to be below 10%  
31 for the Raman technique and to be below 20% for  $\beta_{1064}$  computation by the Klett method. The

1 relative uncertainty of depolarization measurements is below 15%. The extinction and  
2 backscattering Ångström exponents  $A_{355/532}^{\alpha}$ ,  $A_{355/532}^{\beta}$ ,  $A_{532/1064}^{\beta}$  are given by Fig.8.

3 For the first temporal interval (Fig.7a) dust and smoke layers are well separated.  
4 Extinction coefficients  $\alpha_{355}$  and  $\alpha_{532}$  differ in the smoke layer ( $\alpha_{355} > \alpha_{532}$ ), but inside the near-  
5 surface dust layer (below 1750 m) the extinction values are nearly the same. The depolarization  
6 ratio is  $\delta_{532} = 35 \pm 5\%$  at 750 m and it gradually decreases with height to  $27 \pm 4\%$  at 1750 m. Above  
7 that height  $\delta_{532}$  decreases quickly, indicating an increase in the contribution of smoke particles.  
8 For the second and third temporal intervals the dust and smoke layers appear to mix leading to  
9 layering in the backscattering coefficient in the 1000 m – 2000 m range. The EAE in this range is  
10 increased up to 0.5 (Fig.8c) indicating that these layers may contain significant amounts of  
11 smoke.

12 The EAE of pure dust observed during SHADOW is slightly negative  $A_{355/532}^{\alpha} \approx -0.1$   
13 (Veselovskii et al., 2016). In Fig.8a the EAE below 1500 m is about  $0.2 \pm 0.2$ , so the dust likely  
14 contains some amount of smoke. Values of EAE close to zero are observed in Fig.8b,c below  
15 1000, where the depolarization ratio increases up to  $35 \pm 5\%$ . Inside the dust layer  $\square_{355} < \square_{532}$ , so  
16 the corresponding backscattering Ångström exponent is negative. The negative values of  $A_{355/532}^{\beta}$   
17 have been already reported by Veselovskii et al., (2016), where negative BAE was attributed to  
18 an increase of the imaginary part of the complex refractive index at 355 nm compared to 532 nm.  
19 In the center of the elevated layer at 3100 m  $\delta_{532} = 14 \pm 3\%$ , while at the top of this layer  $\delta_{532}$   
20 decreases to  $6 \pm 1.5\%$  (fig.8a), indicating a possible presence of dust particles in the center of  
21 elevated layer. The loading of elevated layer with dust particles is supported also by the profiles  
22 of  $A_{355/532}^{\beta}$ : for all three temporal intervals  $A_{355/532}^{\beta}$  demonstrates the dip in the center of elevated  
23 layer, while  $A_{355/532}^{\alpha}$  and  $A_{532/1064}^{\beta}$  do not decrease in the 2500- 4000 m range. As mentioned, for  
24 pure dust  $A_{355/532}^{\beta}$  is negative, so presence of dust in the center of smoke layer should decrease  
25 the backscattering Ångström exponent. The presence of dust in the smoke layer is not surprising,  
26 because upwelling airflows in forest fires region can lift a significant amount of dust together  
27 with biomass burning products (Nisantzi et al., 2014). We should mention also, that the spectral  
28 dependence of the imaginary part (and thus  $A_{355/532}^{\beta}$ ) depends on the dust origin. In particular, no  
29 negative values of  $A_{355/532}^{\beta}$  of dust were reported during the SAMUM campaign, so the lidar  
30 ratios at 532 nm and 355 nm were close (Tesche et al., 2011).

1 Lidar ratio profiles at 355 nm and 532 nm, for the same temporal intervals as in Fig.7, are  
 2 shown in Fig.9. The lidar ratios in the dust layer at 532 nm and 355 nm for 19:00-23:00 UTC  
 3 period are  $LR_{532}=55\pm 8$  sr and  $LR_{355}=70\pm 10$  sr, respectively. At the top of the elevated layer,  
 4 where the smoke particles are predominant, the lidar ratios for the same period are higher:  
 5  $LR_{532}=65\pm 10$  sr and  $LR_{355}=75\pm 11$  sr. Due to the presence of dust in the center of the elevated  
 6 layer, the height dependence of lidar ratios shows a decrease, with a minimum at approximately  
 7 3000 m for all three temporal intervals. The decrease is more pronounced at 532 nm, because the  
 8 difference between smoke and dust lidar ratios is larger at this wavelength. The lidar ratios below  
 9 2000 m at 01:00-04:00 and 04:00-07:00 UTC become strongly oscillating because of high  
 10 gradients of backscattering and extinction coefficients at low altitudes and are not shown due to  
 11 high uncertainties.

12 Fig.10, shows the dependence of the particle depolarization ratio  $\delta_{532}$  on the extinction  
 13 Ångström exponent derived from data in Fig.7,8. The depolarization ratio monotonically  
 14 decreases while EAE rises from 0 to 0.9. Thus observed high values of the depolarization ratio  
 15 are attributed to big dust particles with EAE close to zero, while small smoke particles are  
 16 characterized by low depolarization (below 10%). If depolarization ratios of smoke  $\delta^s$  and dust  $\delta^d$   
 17 are known, the contributions of smoke and dust particles to the total backscattering can be  
 18 separated  $\beta = \beta^s + \beta^d$  (Sugimoto and Lee, 2006; Tesche et al., 2009; Miffre et al., 2012; David  
 19 et al., 2013, Burton et al., 2014). Assuming that the depolarization ratios of dust and smoke  
 20 particles do not change with height the contributions  $\beta^d$  and  $\beta^s$  can be calculated as suggested by  
 21 Tesche et al. (2009):

$$22 \quad \beta^d = \beta \frac{(\delta - \delta^s)(1 + \delta^d)}{(\delta^d - \delta^s)(1 + \delta)} \text{ and } \beta^s = \beta - \beta^d, \quad (6)$$

23 In our computations we used values  $\delta^d = 35\%$  and  $\delta^s = 7\%$ .

24 The results of the decomposition of  $\beta_{532}$  for  $\beta_{532}^d$  and  $\beta_{532}^s$  components for the same three  
 25 temporal intervals as in Fig.7 are shown in Fig.11. This figure presents the total backscattering  
 26 coefficient  $\beta_{532}$  together with the particle depolarization ratio  $\delta_{532}$ . The dust contribution to  
 27 backscattering is marked with magenta, while the residual backscattering  $\beta_{532} - \beta_{532}^d$  is attributed  
 28 to the smoke and is marked with grey. For the height regions with low backscattering the  
 29 uncertainty of  $\beta_{532}$  is high, so the decomposition for these regions is not shown. The dust is

1 predominant below 1700 m for 19:00-23:00 UTC period, however even the elevated layer  
2 contains a significant amount of dust: at 3100 m  $\beta_{532}^d \approx 0.3\beta_{532}$ . After 01:00 UTC the smoke  
3 layers descend (Fig.3) and their contribution to backscattering becomes significant down to 1000  
4 m height.

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#### 6 **4. Comparison of lidar measurements with MERRA-2**

7 MERRA-2 provides the vertical distribution of mass mixing ratios of five aerosol  
8 components, so for each of these components the extinction, backscattering coefficients and  
9 depolarization ratios can be calculated. The vertical profiles of extinction coefficient of dust,  
10 black carbon (BC), organic carbon (OC), sea salt (SS) and sulfates (SU) together with total  
11 extinction  $\alpha_{532}$  are shown in Fig.12 for 03:00 UTC and 21:00 UTC on 24 December 2015. At  
12 03:00 UTC the aerosol is localized below 3000 m. Dust extinction is predominant, but  
13 contribution of OC to the total extinction coefficient rises with height reaching maxima at 2250  
14 m. The presence of a significant amount of OC agrees with the low values of depolarization ratio  
15 above 1500 m for this temporal interval in Fig.3.

16 At 21:00 UTC an elevated layer with a maximum of extinction at 3150 m is observed  
17 (Fig.12b). In this layer OC and dust provide similar contributions to extinction (about 40% at  
18 3150 m height). From the results shown in Fig.11a we can estimate the contribution of dust to  
19  $\alpha_{532}$  in the center of elevated layer as 30% (by assuming the dust lidar ratio  $LR_{532}=55$  sr), so the  
20 measured and simulated dust contributions are in good agreement. Below 1750 m the dust is the  
21 main contributor to the extinction coefficient providing 88% of  $\alpha_{532}$  at 1000 m (Fig.12b). The  
22 observed dust contribution to  $\alpha_{532}$  at the same height is about 90% (Fig.11a), which again shows  
23 good agreement between the model and measurements. Total contribution of BC and SU to  
24 extinction is below 20% in the elevated smoke layer, and in the near-surface dust layer their  
25 contribution is negligible. The extinction coefficients can be recalculated to the backscattering  
26 using model lidar ratios of the aerosol components. Fig.12c shows the profiles of backscattering  
27 coefficients at 532 nm computed for the same temporal interval as in Fig.12b. The simulation of  
28 the backscattering coefficient is more challenging than that of extinction, because backscatter  
29 depends more strongly on the particle morphology and refractive index. A detailed comparison  
30 of measured and modeled profiles of backscattering coefficients will be performed later in this  
31 section.

1 As mentioned, the comparison of model and observed values is more straightforward for  
2 extinction coefficients. Fig.13 shows the time-series of extinction profiles at 355 and 532 nm  
3 modeled for the night of 24-25 December 2015 at 18:00, 21:00, 00:00, 03:00, 06:00 UTC. The  
4 profiles are shifted relative to each other by  $0.2 \text{ km}^{-1}$ . For comparison, the same figure presents  
5 the profiles of extinction coefficients derived from Raman lidar measurements. The model  
6 reproduces well the location of the elevated smoke layer, as well as the top of the near-surface  
7 dust layer. However, the model does not resolve the oscillations of extinction profile below 2000  
8 m at 03:00 and 06:00 UTC on 25 January.

9 To quantify the difference between the measured ( $\alpha^{\text{meas}}$ ) and modeled ( $\alpha^{\text{mod}}$ ) extinction  
10 coefficients the difference  $\Delta\alpha = \alpha^{\text{meas}} - \alpha^{\text{mod}}$  was calculated. The statistical analysis of the  
11 frequency distribution of  $\Delta\alpha$  for all five profiles in Fig.13 shows that at 355 nm the mean value  
12 of  $\Delta\alpha$  is  $-0.01 \text{ km}^{-1}$  and standard deviation of  $0.042 \text{ km}^{-1}$ . With typical values of extinction  
13 coefficient in elevated smoke layer and near-surface dust layer being on the order of  $0.2 \text{ km}^{-1}$ , the  
14 relative difference of modeled and measured extinction is estimated to be below 25% for the  
15 time period considered. The results of statistical analysis for  $\Delta\alpha_{532 \text{ nm}}$  are similar.

16 To analyze how well the model reproduces the temporal variations of aerosol optical  
17 depth, Fig.14 presents AODs at 355 nm on 23 – 24 December 2015 for two height intervals: 750  
18 m – 2000 m and 2500 m – 4500 m. The first interval corresponds to the near-surface dust layer,  
19 while the second interval corresponds to the elevated smoke layer. The AOD is calculated from  
20 the Raman backscatter channel, and in the day time measurements could be processed only in the  
21 dust layer, due to enhanced background noise. Thus day time measurements in the elevated  
22 smoke layer are not plotted. The time of the appearance of the smoke layer is well represented in  
23 the model results (about 00:00 UTC on 24 December), however the lidar derived AOD of this  
24 layer increases rapidly from the first appearance of the layer, while in the model the rapid  
25 increase in AOD growth starts approximately 5 hours later. The model predicts that the  
26 maximum value of AOD in the smoke layer (0.27) is reached at 20:00-24:00 UTC interval,  
27 which reasonably agrees with observations: mean value of measured AOD for this interval is  
28  $0.23 \pm 0.02$ . After midnight the modeled AOD of the smoke layer decreases quickly, while lidar  
29 measured AOD stays about 0.25. The measured AOD of the near-surface layer agrees with the  
30 model. The observed AOD exceeds the model values in the beginning (at 00:00 UTC on 24

1 December measured and modeled AODs are 0.24 and 0.175, respectively), but after 10:00 UTC,  
2 the values are in better agreement. Thus, we can conclude that the model reproduces the  
3 temporal variability of AOD in the dust and smoke layers.

4 The agreement between modeled and observed extinction profiles provides an  
5 opportunity to test how well the backscattering coefficients can be modeled. Simulation of  
6 backscattering coefficients is especially challenging for dust for several reasons. First of all, we  
7 are not confident in the accuracy of the presumed scattering phase function in the backward  
8 direction. Second, the backscattering coefficient strongly depends on the particle refractive  
9 index, in particular on the imaginary part, which may vary over a wide range depending on dust  
10 origin. The in situ ground measurements in West Africa, performed during the SAMUM field  
11 campaign, demonstrate that the mean value of  $m_i$  for dust episodes is about 0.003 at 532 nm and  
12 0.02 at 355 nm. However deviation from these mean values for every individual measurement  
13 can be significant (Müller et al., 2009; Kandler et al., 2011; Ansmann et al., 2011). The  
14 imaginary part of RI of dust in the model is assumed to be 0.007 at 355 nm, following previous  
15 OMI data analysis (Torres et al., 2007) and 0.0025 at 532 nm.

16 Fig.15 shows measured and modeled backscattering coefficients at 355 nm and 532 nm  
17 for the same five temporal intervals as in Fig.13. At 355 nm the modeled and measured values  
18 agree for both the smoke and dust layers. However at 532 nm the aerosol backscattering  
19 coefficients agree only inside elevated layer, while below 1750 m the modeled  $\beta_{532}$  significantly  
20 exceeds the measured values. As mentioned, the modeled lidar ratio  $LR_{532}$  for the mixture is  
21 close to 40 sr at 1000 m, while the measured lidar ratio in the near surface dust layer is  $55 \pm 8$  sr.  
22 The reason for this disagreement could be that the assumed imaginary part of the refractive index  
23 for dust (0.0025 at 532 nm) is too low. Recall however, that we cannot determine the imaginary  
24 part of the refractive index for dust by simply adjusting the modeled lidar ratio to the measured  
25 one, because the lidar ratio depends on several factors besides  $m_i$ , such as the particle size  
26 distribution and the aspect ratio of the ellipsoids used in the model. It is possible that the particle  
27 size distribution in the model is too much weighted toward fine mode dust.

28 The modeled and measured particle intensive parameters, such as extinction  $A_{355-532}^\alpha$  and  
29 backscattering  $A_{355-532}^\beta$  Ångström exponents together with the particle depolarization ratio  $\delta_{532}$   
30 are shown in Fig.16. The measurements are averaged over 19:00 – 23:00 UTC interval while  
31 modeled values are given for 21:00 UTC. The model reproduces well the observed vertical

1 distribution of  $A_{355-532}^{\alpha}$  both in the dust and the elevated layer. As follows from Fig.7a, inside the  
2 dust layer  $\square_{355} < \square_{532}$ , so the corresponding  $A_{355-532}^{\beta}$  is negative with a minimum value of about -  
3 0.4. The model predicts values of  $A_{355-532}^{\beta}$  as low as -1.4. The modeled BAE is sensitive to the  
4 choice of the imaginary part of RI at 355 nm and 532 nm and, as mentioned, the chosen  
5  $m_i(532)=0.0025$  may be too low for this episode. In the elevated layer the modeled  $A_{355-532}^{\beta}$  is  
6 close to the observed one. The modeled BAE has no minimum in the center of elevated layer,  
7 because the modeled ratio of dust and OC aerosol concentrations shows only a small variation  
8 throughout the elevated layer.

9         The model reproduces reasonably well the depolarization in the elevated layer, but inside  
10 the dust layer the modeled  $\delta_{532}$  is significantly lower than what is observed (22% compared to  
11 35%). This problem is well known: the spheroidal model underestimates the depolarization ratio  
12 when typical dust PSD and complex refractive index are used (Veselovskii et al., 2010; Wiegner  
13 et al., 2009; Müller et al., 2013; Nowottnick et al., 2015).

14         One of the MERRA-2 data products is the water vapor mixing ratio (WVMR), which  
15 helps to identify atmospheric parcels, is critically important for determining atmospheric stability  
16 and serves as the source of water for aerosol hygroscopic growth. Fig.17 shows five model  
17 profiles of WVMR together with the results of Raman lidar measurements for the same temporal  
18 intervals as in Fig.13. The model reproduces rather well the WVMR profile inside the elevated  
19 layer (2500 m – 4500 m) on 24 December, though on 25 December the modeled values in this  
20 range are lower than the observations. In the near-surface dust layer, the deviation of modeled  
21 values from the measurements is larger. Statistical analysis of the deviation of modeled values  
22 from lidar measurements for all five profiles, shows that mean difference is 0.04 g/kg with  
23 standard deviation of differences of 1.6 g/kg. Thus in the elevated layer, where WVMR is  
24 approximately 8 g/kg, the agreement is quite good, however in the dust layer, which is  
25 characterized by low water vapor content (below 4 g/kg), the difference may be up to 40%.

26

## 27         **5. Inversion of lidar measurements to particle microphysical properties**

28         In the previous section, as validation of the model output we compared the modeled  
29 aerosol optical parameters, such as extinction, backscattering coefficients, and depolarization  
30 ratio with the values derived from lidar measurements in a straightforward way. The comparison

1 of particle microphysical properties such as volume, effective radius and complex refractive  
2 index, however, is not straightforward, since it needs inversion of the measurements and requires  
3 additional assumptions. In the case of dust particles the inversion becomes especially  
4 challenging, because:

- 5 - The size distribution of dust contains a strong coarse mode with particle radii extending up to  
6  $\sim 15 \mu\text{m}$ , and the estimation of properties for such big particles is difficult since  
7 measurements are only performed in the wavelength range 0.355-1.064  $\mu\text{m}$ ;
- 8 - The inversions have to consider the refractive index as spectrally independent. In fact, the  
9 imaginary part of the dust RI is spectrally dependent with a strong enhancement at 355 nm  
10 compared to 532 nm;
- 11 - The dust particles are not spherical so that the application of Mie formulas for the forward  
12 modeling results in errors in computing the scattering phase function.

13         Regarding the shape issue, one of the ways to mimic the scattering properties of dust  
14 particles is to use the model of randomly oriented spheroids (Mishchenko et al., 1997; Dubovik  
15 et al., 2006). The implementation of this model for inversion of dust lidar measurements is  
16 described in Veselovskii et al., (2010, 2016), and Müller et al., (2013). This algorithm was used  
17 also for inversion of our  $3\sigma+2\sigma$  observations. The range of particle radius in the inversion has  
18 been set to a minimum and maximum of 0.075 and 15  $\mu\text{m}$ , respectively. The real part of RI was  
19 allowed to vary in the range 1.35 - 1.65, while the imaginary part varied in the range 0 - 0.02.  
20 The refractive index was assumed to be spectrally independent. The effects of a possible spectral  
21 dependence of the imaginary part of RI were considered in Veselovskii et al., (2016).

22         Profiles of the effective radius, volume density, and real part of the refractive index  
23 retrieved from optical measurements in Fig.7a are shown in Fig.18. The inversion was performed  
24 for two cases, with the assumption of all spherical particles or all spheroids. A realistic solution  
25 (for the mixture of spherical and non-spherical particles), should be closer to spheroids in the  
26 dust layer, while in the elevated layer (where depolarization ratio is below 15%) it should be  
27 closer to the results obtained with spheres. The model results provided by MERRA-2 are shown  
28 on the same plot. The effective radius and volume density obtained in assumption of spherical  
29 particles are always higher than the values obtained with spheroids. The modeled effective radius  
30 at 1100 m height is 1.1  $\mu\text{m}$ , which is close to  $r_{\text{eff}}=0.95\pm0.3 \mu\text{m}$  obtained from lidar  
31 measurements using the spheroids model. Comparing the lidar retrievals with model in the dust



1 layer, we should keep in mind that inside 1500-2000 m height range the dust particles are mixed  
2 with biomass burning products, thus the use of only spheroids in retrieval underestimates the  
3 effective radius and volume. Moreover, accounting for the spectral dependence of the imaginary  
4 part of the dust may additionally increase the retrieved values of  $V$  and  $r_{\text{eff}}$  by factor 1.2-1.3  
5 (Veselovskii et al., 2016).

6 Lidar derived effective radius in the elevated layer at 3000 m is approximately 0.4  $\mu\text{m}$   
7 and 0.5  $\mu\text{m}$  when spheroids and spheres are used respectively, while the modeled value is 0.3  
8  $\mu\text{m}$ . The reason for the lower value of modeled effective radius is the contribution of black  
9 carbon, which is characterized by small size and relatively low hygroscopic growth. Recall that  
10 in the inversion of lidar measurements, the smallest radius considered is 0.075  $\mu\text{m}$ . Modeled  
11 values of the volume density agree well with lidar retrievals in both dust and elevated layers.

12 The estimation of the real part of RI from lidar measurements is sensitive to the type of  
13 kernel functions chosen for retrieval. In the regularization algorithm the treatment of dust  
14 particles as spheres strongly underestimates  $m_R$  (Veselovskii et al., 2010), so results obtained  
15 with spheres in the dust layer are not shown in Fig.18c. At 1000 m the  $m_R$  retrieved with  
16 spheroids is  $1.52 \pm 0.05$ , which agrees well with the modeled value. Inside the elevated smoke  
17 layer, where fine mode particles predominate, the application of spheroids overestimates  $m_R$ . The  
18 lidar derived real part of RI at 3000 m is  $1.43 \pm 0.05$  for spheres and  $1.51 \pm 0.05$  for spheroids, so  
19 we expect that the true value would lie within this. The simulated value of  $m_R=1.50$  in the  
20 elevated layer is quite high, which is again the result of BC contribution.

21 The single scattering albedo (SSA) is one of the key parameters to be retrieved and  
22 conclusions about the potential of the multiwavelength lidar method strongly rely on its ability to  
23 profile SSA. Fig.19 shows SSA at 355 nm, 532 nm and 1064 nm. As mentioned, the spectral  
24 dependence of  $m_I$  was not accounted for and the algorithm retrieves an average value of the  
25 imaginary part over the interval of 355 nm – 1064 nm. In particular, for dust and OC the  
26 imaginary part is underestimated at 355 nm and overestimated at 532nm and 1064 nm. As a  
27 result, in the dust layer the retrieved SSA exceeds the model values at 355 nm, while at 532nm  
28 and 1064 the situation is opposite. Still at a height of 1000 m, the difference between modeled  
29 and lidar derived SSAs is below 0.04 for all wavelengths. In the elevated layer, where the  
30 spectral dependence of  $m_I$  is less pronounced, the simulated and retrieved SSA agree well with a  
31 corresponding difference of less than 0.02.

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## 6. Summary and conclusion

The synergy of lidar observations with the aerosol transport model has a great potential to improve the characterization of aerosols properties, and as a first step in such synergy one has to demonstrate how well observations and models agree and describe the same aerosol scenario. For that we have considered a smoke/dust episode over West Africa to compare the vertical profiles of particle parameters modeled by MERRA-2 and retrieved from Raman lidar measurements. In the case selected, the simultaneous presence of the dust and smoke layers resulted in significant height variation of particle parameters, providing a good opportunity to test the models' capability to reproduce complicated vertical structure. Modeled and observed vertical profiles of  $\sigma_{355}$  and  $\sigma_{532}$  show good similarity: MERRA-2 provides the correct location of both the near-surface and elevated layers.

The modeling of the dust lidar ratio is challenging due to irregularity of the particles shape and due to the spectral dependence of the imaginary part of the refractive index. The  $m_i$  can change significantly for dust of different origin and this variability may be accounted for in future model developments. The modeled at 355 nm the lidar ratio of 65 sr in the near-surface dust layer is close to the observed value ( $70 \pm 10$  sr). At 532 nm however, the simulated dust lidar ratio (about 40 sr) is lower than measurements ( $55 \pm 7$  sr). This discrepancy may be an indication that  $m_i$  of dust during the episode considered is higher than the value assumed in the model. Another possible explanation is that the model particle size distribution is too much weighted toward fine mode dust. The measured lidar ratios at the top of the elevated layer, where smoke particles are predominant, are  $LR_{355} = 75 \pm 11$  sr and  $LR_{532} = 70 \pm 10$  sr, which is close to the corresponding model values for organic carbon of 71 sr and 66 sr, respectively.

MERRA-2 predicts the existence of a significant amount of dust in the elevated smoke layer, and the high values of observed depolarization ratio agree with this prediction. The existence of minima of  $A_{355/532}^{\beta}$  in the center of elevated layer, characterized by the highest  $\delta_{532}$  also supports this finding. Moreover, the lidar ratios at both 355 and 532 nm also have a minima in the center of the layer because the lidar ratio of dust is lower than that of smoke. The contributions of dust and smoke particles to the aerosol backscattering and extinction coefficient at 532 nm evaluated from particle depolarization ratio agree with the values provided by the model. Of course an analysis of only one episode is not sufficient for broad conclusions

1 regarding how well the model reproduces the vertical distribution of particle properties. More  
2 measurements at different locations are needed. However, the results presented here demonstrate  
3 that observations and the MERRA-2 model contribute in a complementary way allowing to  
4 separate the contributions of different chemical component of the aerosol mixture.

5 The motivation for this work is to show that the aerosol transport model has sufficient  
6 skill to serve as an additional constraint in inversion of  $3\sigma+2\alpha$  lidar observations and  
7 development of such constrained inversion is in progress. Assimilation of lidar measured  
8 parameters in the model is the subject of our future efforts.

9

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**APENDIX**

**Optical properties of aerosol components in MERRA-2 model.**

Table 1 summarizes the main characteristics of five aerosol components: dust, sea salt, black carbon, organic, carbon and sulfates used in MERRA-2 model. For dust and sea salt five size bins are considered. All values are given for the relative humidity RH=0. Thus OC, BC and SU with the effective radii of 0.09  $\mu\text{m}$ , 0.04  $\mu$  and, 0.157  $\mu\text{m}$  respectively are presented by the fine fraction only, while dust and sea salt contribute to both fine and coarse fractions.

The dust particles are assumed to be hydrophobic, but other aerosol components may present significant hygroscopic growth. To account for the effect of relative humidity, the growth factor  $g$ , which is the ratio of particle radius at current RH to the dry particle radius, is introduced. Fig.A1 shows dependence of the growth factor of different aerosol components on relative humidity (RH). For sea salt the results are given for five size bins from Table 1. Each bin has different growth factor:  $g$  increases with increase of particle radius. Relative humidity modifies also the particle complex refractive index (CRI). Dependence of the real and the imaginary part of particle components on relative humidity is shown in fig.A2. For dry sea salt particles RI is supposed to be the same for all size bins. However in the process of hygroscopic growth the RI of different bins behaves differently: both  $m_R$  and  $m_I$  decrease with bin number (radius) increasing.

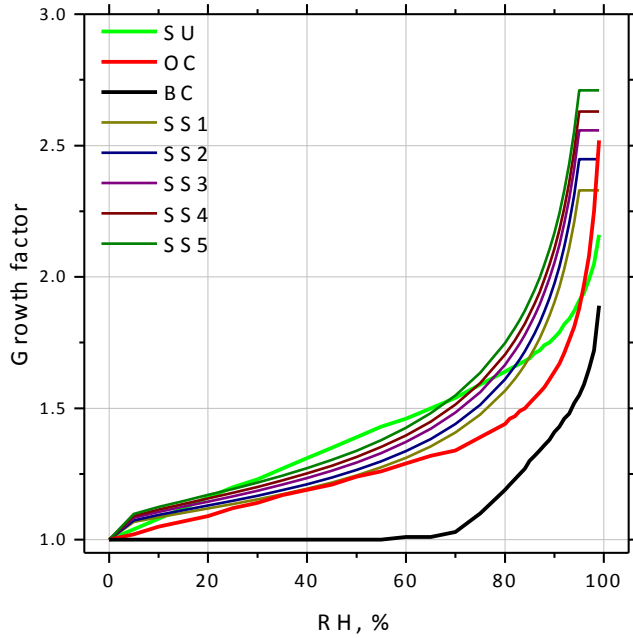
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Table 1. Parameters of the aerosol components, such as minimal radius ( $r_{\min}$ ), maximal radius ( $r_{\max}$ ), effective radius ( $r_{\text{eff}}$ ), real ( $m_{\text{R}}$ ) and imaginary ( $m_{\text{I}}$ ) part of the refractive index at 355 nm, 532 nm, 1064 nm used in MERRA-2 model. For dust and sea salt five size bins are considered. All values are given for RH=0.

Component		$r_{\min}$ , $\mu\text{m}$	$r_{\max}$ $\mu\text{m}$	$r_{\text{eff}}$ $\mu\text{m}$	$m_{\text{R}355}$	$m_{\text{R}532}$	$m_{\text{R}1064}$	$m_{\text{I}355}$	$m_{\text{I}532}$	$m_{\text{I}1064}$
Dust	Bin 1	0.1	1.0	0.64	1.53	1.53	1.53	0.007	0.0026	0.0022
	Bin 2	1	1.5	1.32	1.53	1.53	1.53	0.007	0.0026	0.0022
	Bin 3	1.5	3.0	2.30	1.53	1.53	1.53	0.007	0.0026	0.0022
	Bin 4	3.0	7.0	4.17	1.53	1.53	1.53	0.007	0.0026	0.0022
	Bin 5	7.0	10.0	7.67	1.53	1.53	1.53	0.007	0.0026	0.0022
Sea Salt	Bin 1	0.03	0.1	0.08	1.51	1.50	1.47	2.9E-7	1.2E-8	1.97E-4
	Bin 2	0.1	0.5	0.27	1.51	1.50	1.47	2.9E-7	1.2E-8	1.97E-4
	Bin 3	0.5	1.5	1.07	1.51	1.50	1.47	2.9E-7	1.2E-8	1.97E-4
	Bin 4	1.5	5	2.55	1.51	1.50	1.47	2.9E-7	1.2E-8	1.97E-4
	Bin 5	5	10	7.3	1.51	1.50	1.47	2.9E-7	1.2E-8	1.97E-4
OC		0.01	0.29	0.09	1.53	1.53	1.52	0.048	0.009	0.016
BC		0.01	0.29	0.04	1.75	1.75	1.75	0.46	0.44	0.44
SU		0.01	0.29	0.157	1.45	1.43	1.42	1E-8	1E-8	2.9E-6

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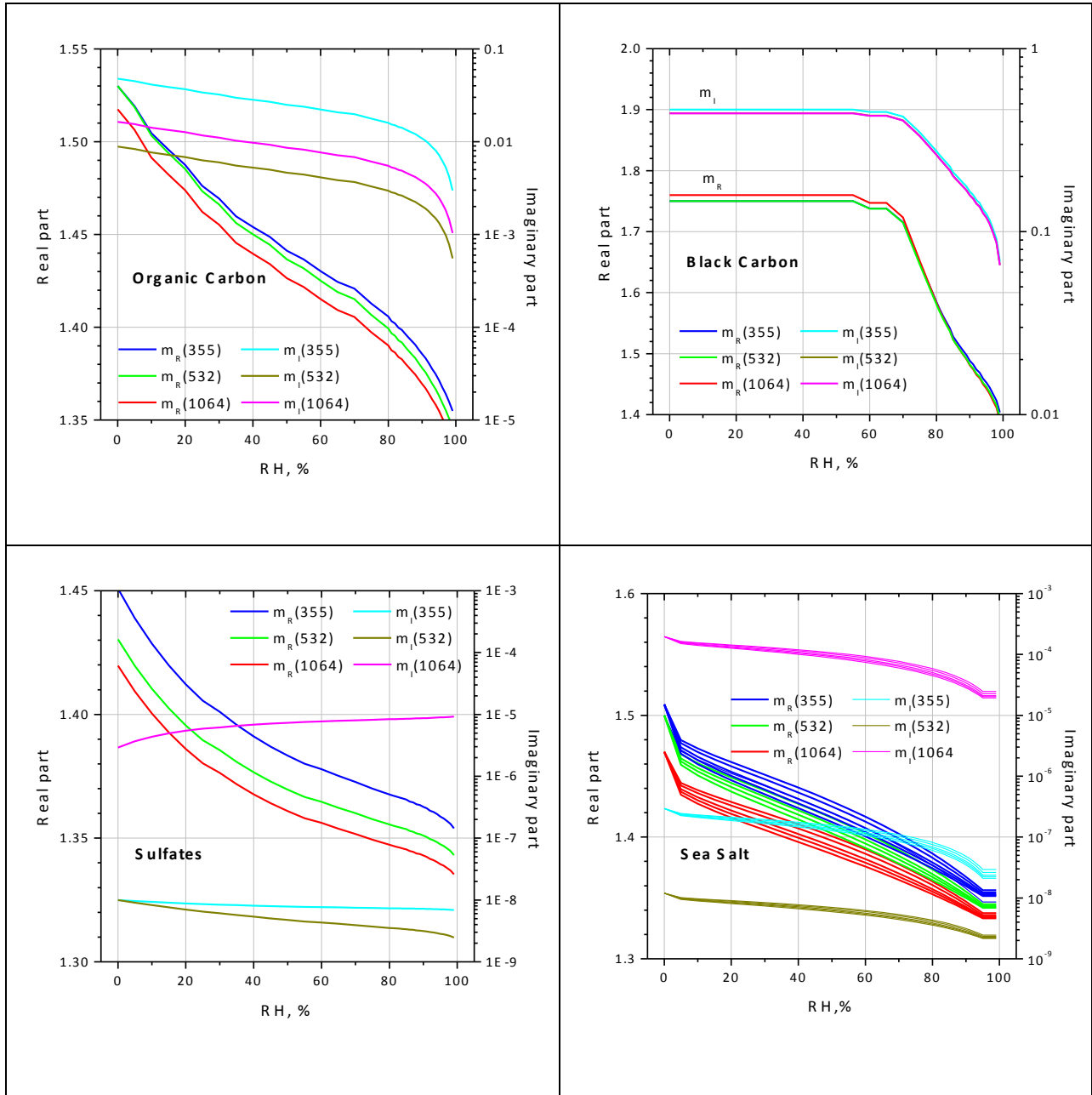
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Fig.A1. Dependence of the growth factor of organic carbon, black carbon, sulfates and sea salt on relative humidity (RH) used in MERRA-2. For the sea salt the results are given for five size bins from Table 1. The growth factor increases with increase of bin number.

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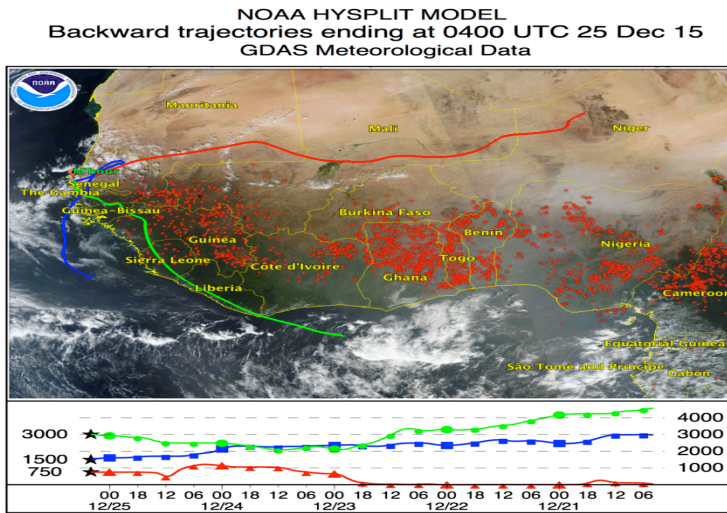


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3 Fig.A2. Dependence of the real and imaginary part of the refractive index of organic carbon,  
 4 black carbon, sulfates and sea salt on relative humidity (RH) used in the MERRA-2 model. For  
 5 the sea salt the results are given for five size bins from Table 1. Both  $m_R$  and  $m_I$  decrease with  
 6 bin number increasing.

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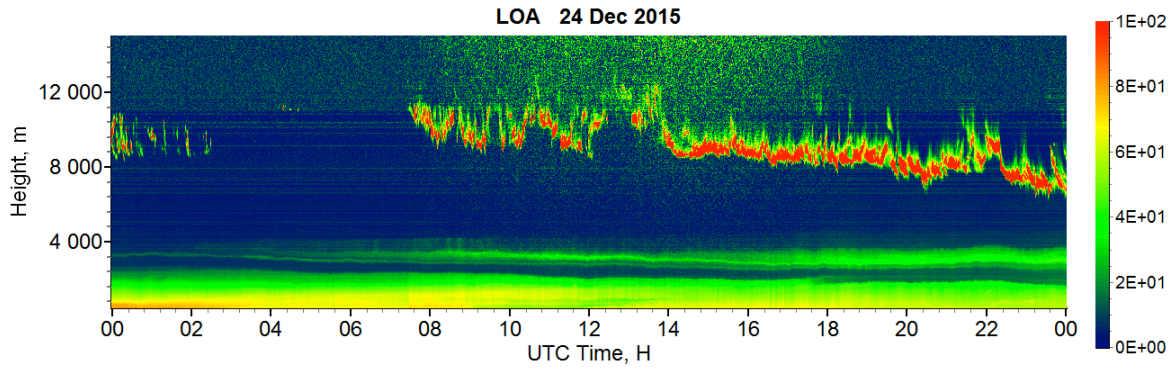


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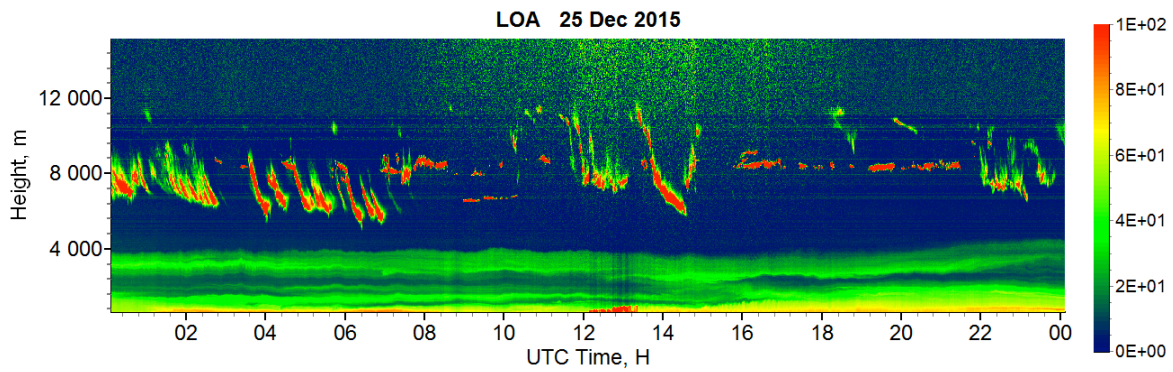
3 Fig.1. Five-day backward trajectories for the air mass in Mbour at altitudes 750 m, 1500 m, 3500  
4 m, on 25 December 2015 at 04:00 UTC together with the map of forest fires on 20 December  
5 2015.

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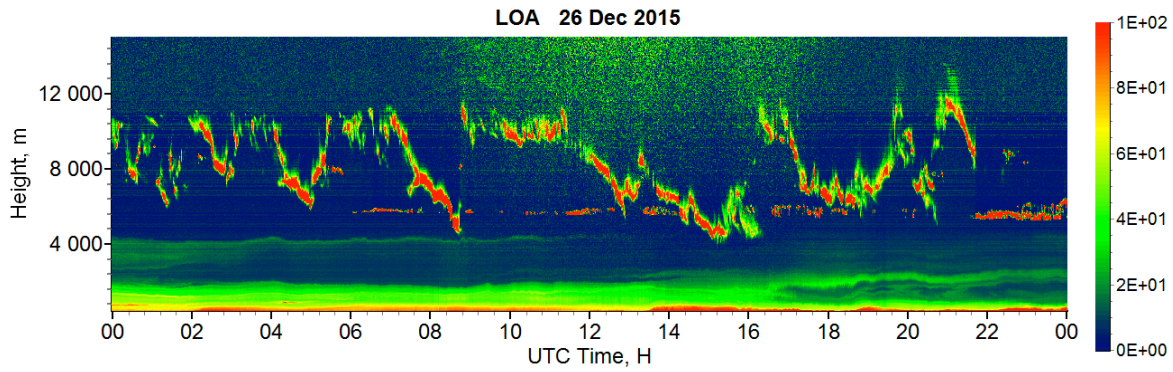
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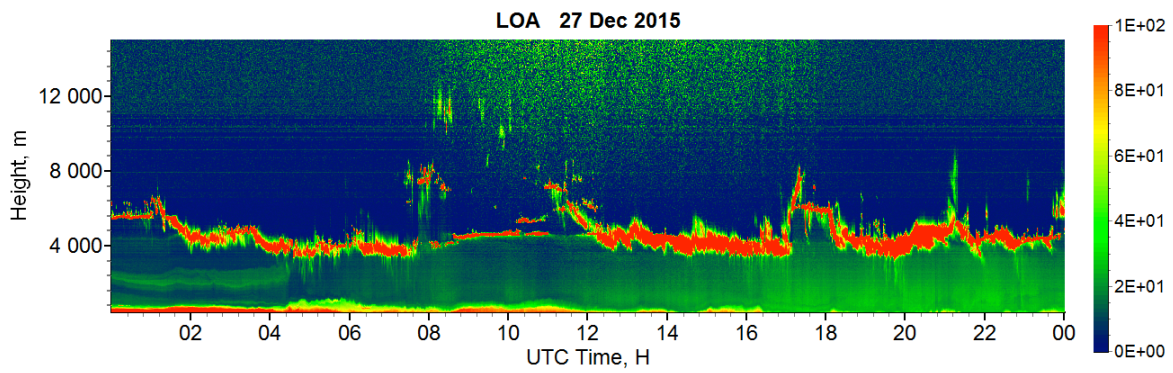
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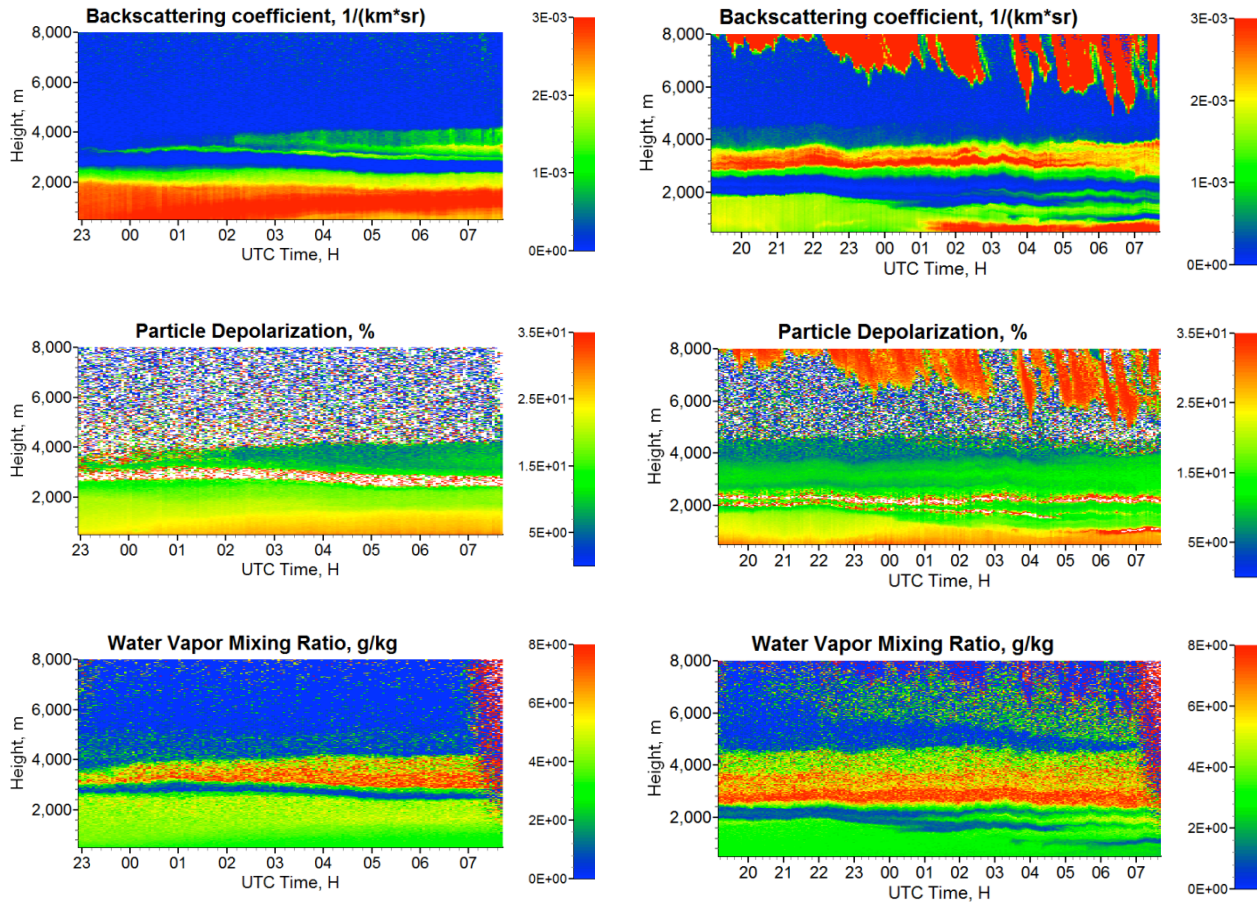


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6 Fig.2. Range corrected lidar signal (in arbitrary units) of Cimel MPL for 24 - 27 December 2015.

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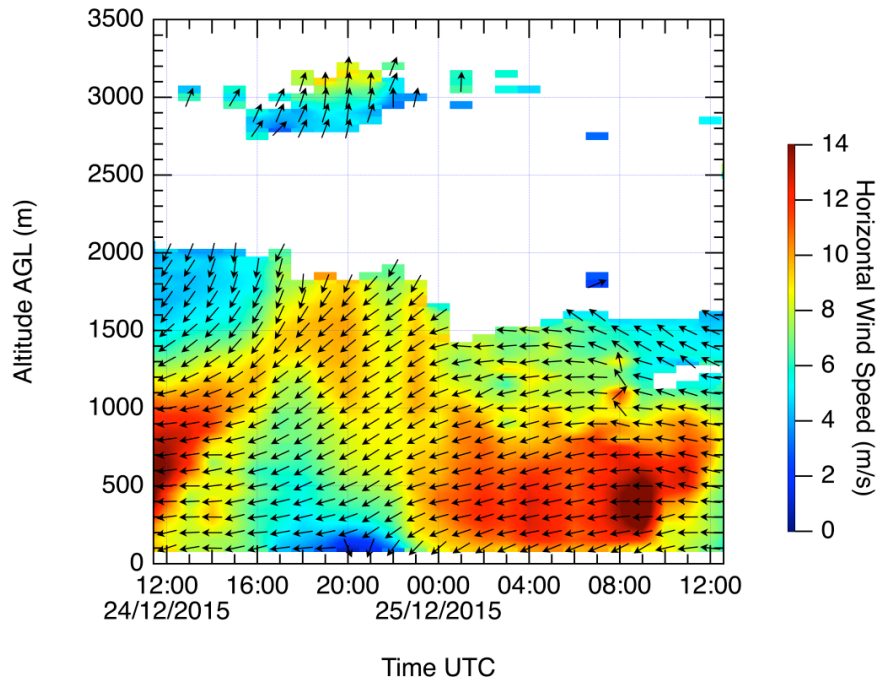
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2 Fig.3 Height-temporal distributions of the backscattering coefficient and particle depolarization  
3 ratio at 532 nm together with the water vapor mixing ratio derived from the Raman lidar  
4 measurements on the nights 23-24 (left column) and 24-25 December 2015 (right column).  
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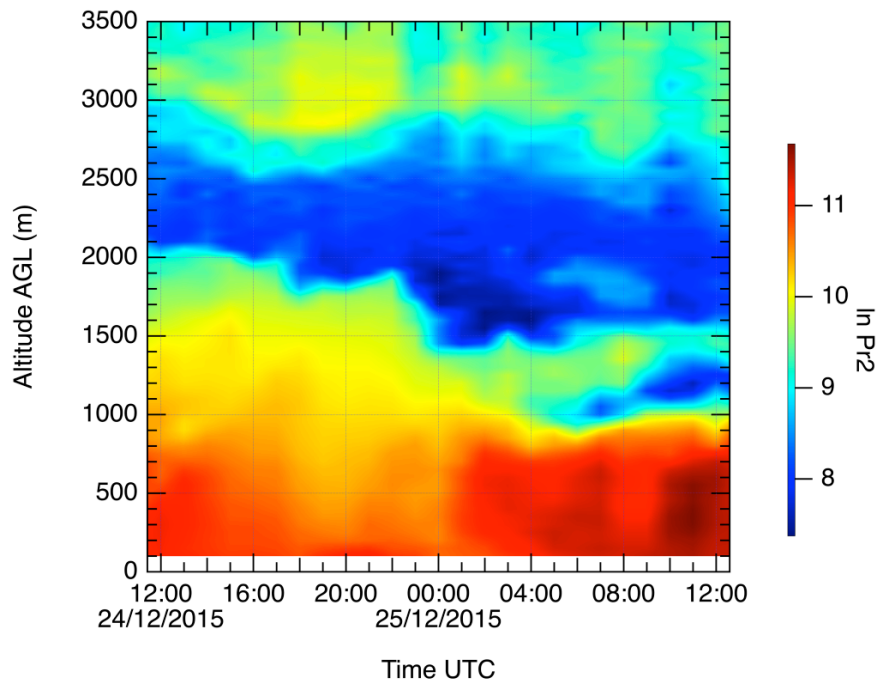


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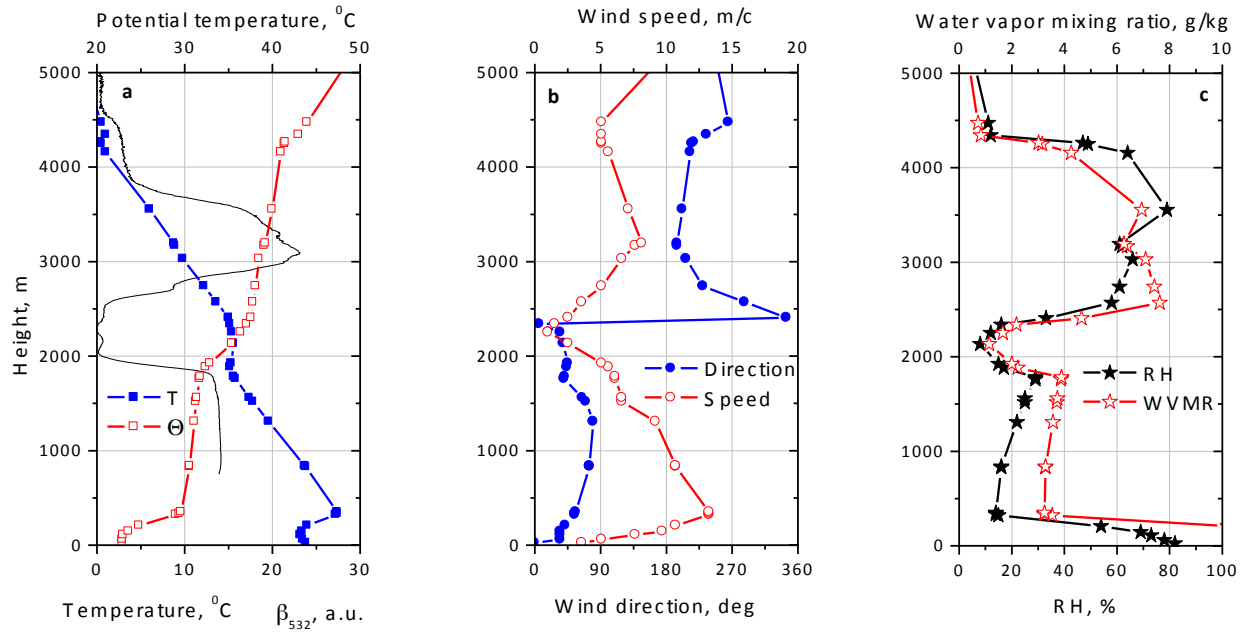
3 Fig.4. Time-height section of horizontal wind direction (arrows) and wind speed (color map)  
4 deduced from Doppler lidar during 24-25 December 2015. Leftward and downward arrows  
5 represent, respectively, easterly wind and northerly wind



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1 Fig.5. Time-height section of the logarithmic range corrected lidar signal (in arbitrary units)  
 2 deduced from the Doppler lidar measurements during the 24-25 December 2015 night.

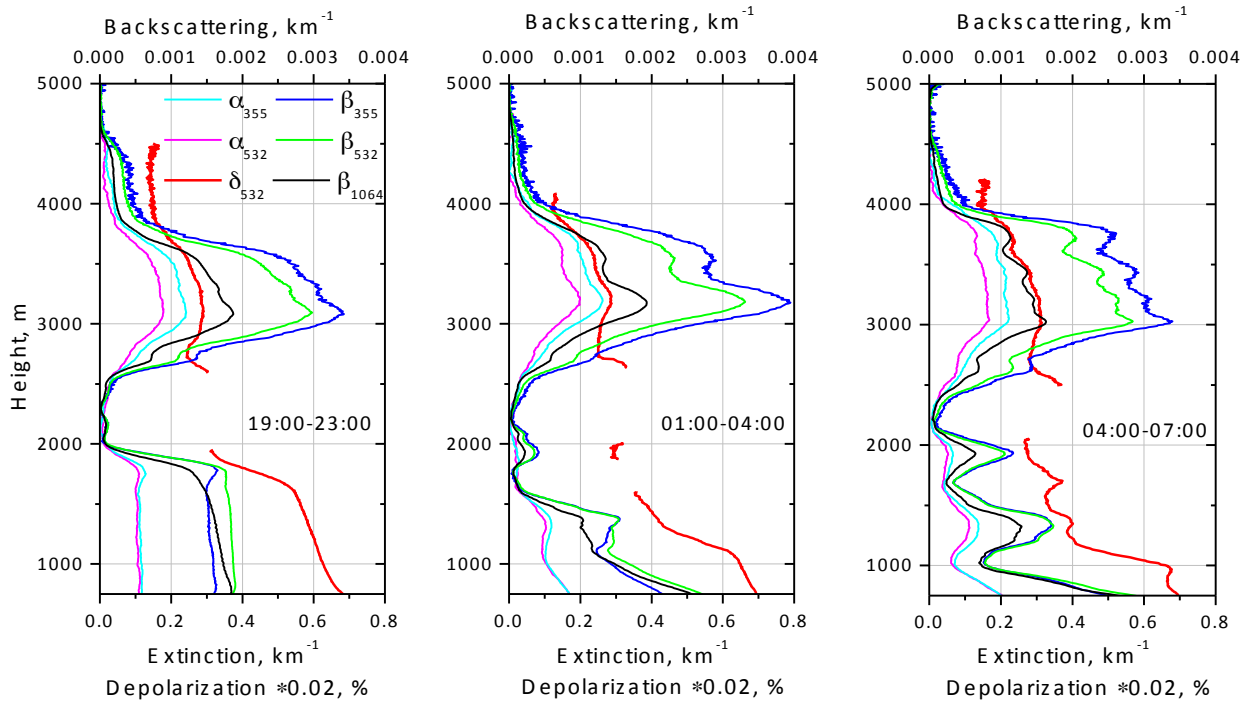
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 6 Fig.6. Vertical profiles of (a) temperature T, potential temperature  $\Theta$ , (b) wind direction and  
 7 speed and (c) relative humidity RH and water vapor mixing ratio (WVMR) measured by the  
 8 radiosonde in Dakar at 00:00 on 25 December 2015. Solid line in plot (a) shows the aerosol  
 9 backscattering coefficient at 532 nm in arbitrary units measured by the Raman lidar at 21:00 on  
 10 24 December.

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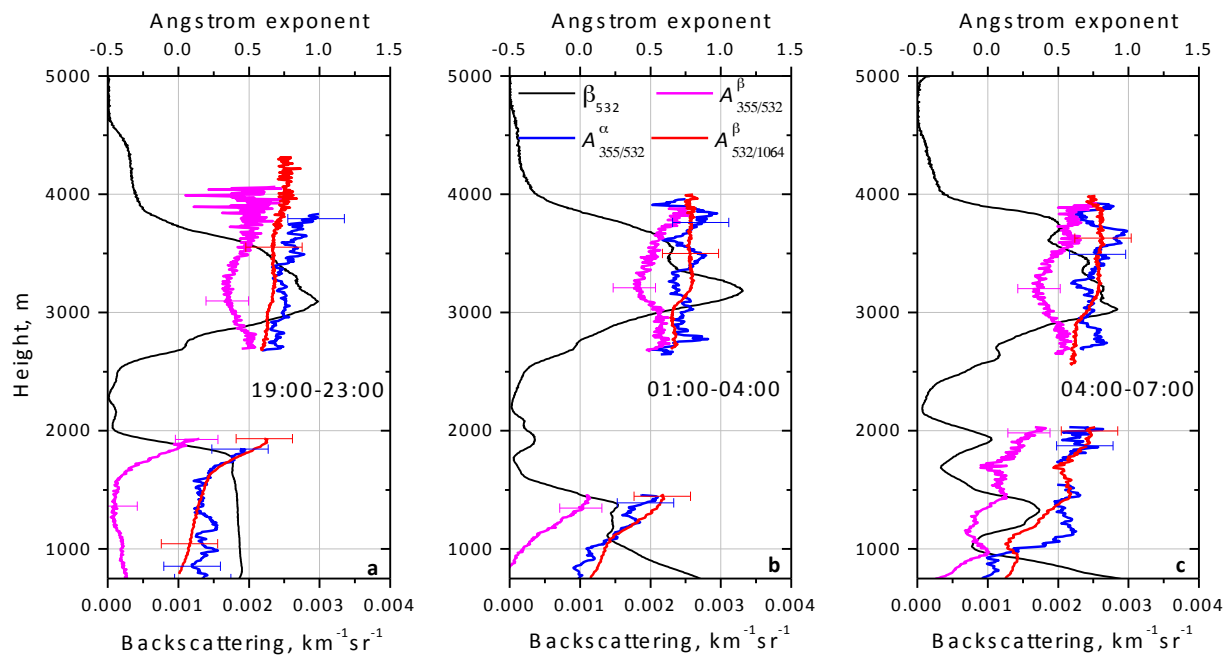
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3 Fig.7. Vertical profiles of the aerosol backscattering ( $\beta_{355}$ ,  $\beta_{532}$ ,  $\beta_{1064}$ ) and extinction ( $\alpha_{355}$ ,  
4  $\alpha_{532}$ ) coefficients together with the particle depolarization ratio ( $\delta_{532}$ ) for three temporal  
5 intervals: 19:00-23:00, 01:00-04:00 and 04:00-07:00 UTC on 24-25 December 2015. The values  
6 of  $\delta_{532}$  are multiplied by factor 0.02.

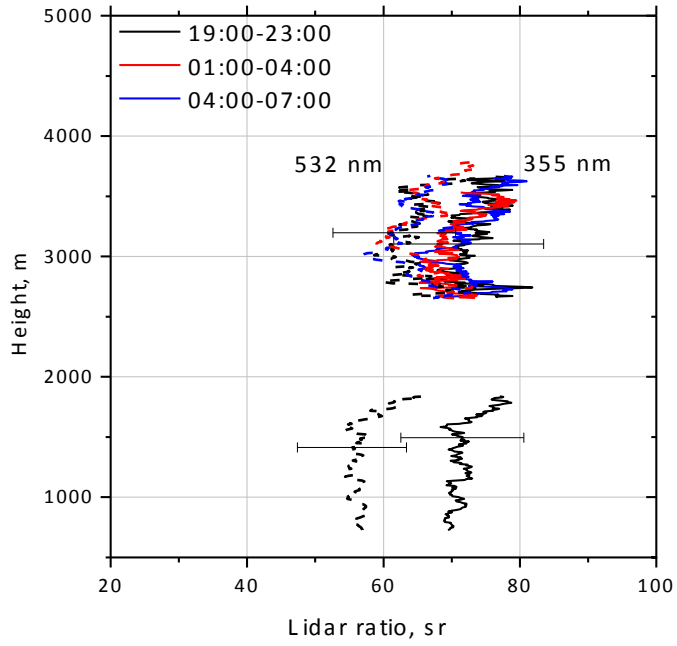
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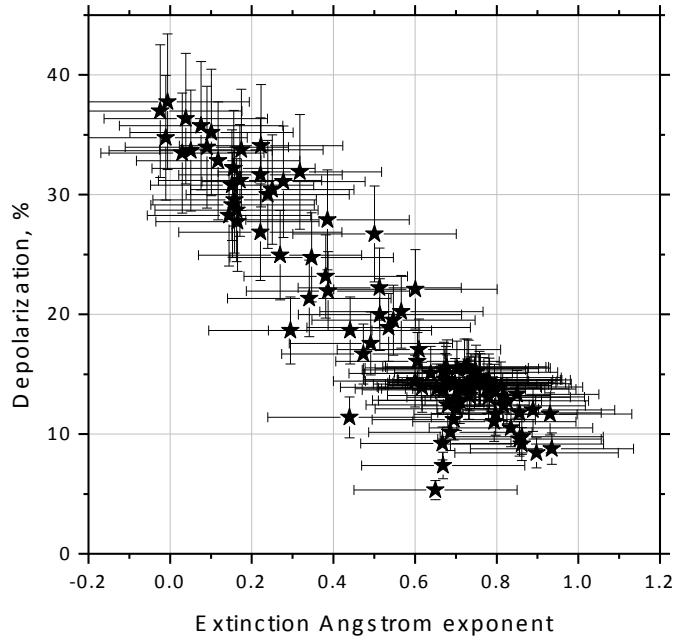
3 Fig.8 Extinction ( $A_{355/532}^\alpha$ ) and backscattering ( $A_{355/532}^\beta, A_{532/1064}^\beta$ ) Ångström exponents together  
 4 with backscattering coefficient  $\beta_{532}$  for the same three temporal intervals as in Fig.7.  
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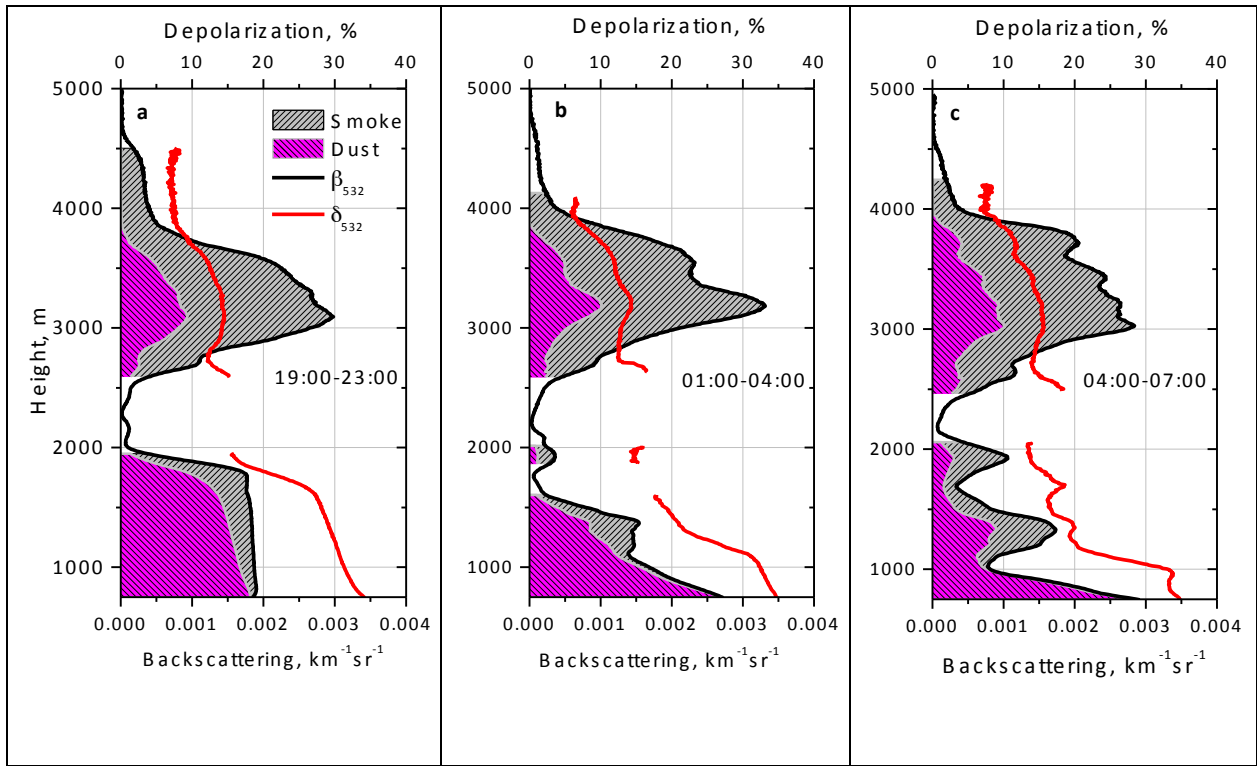
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4 Fig.9. Lidar ratios at 355 nm (solid lines) and 532 nm (dash lines) for three temporal intervals  
5 from Fig.7.  
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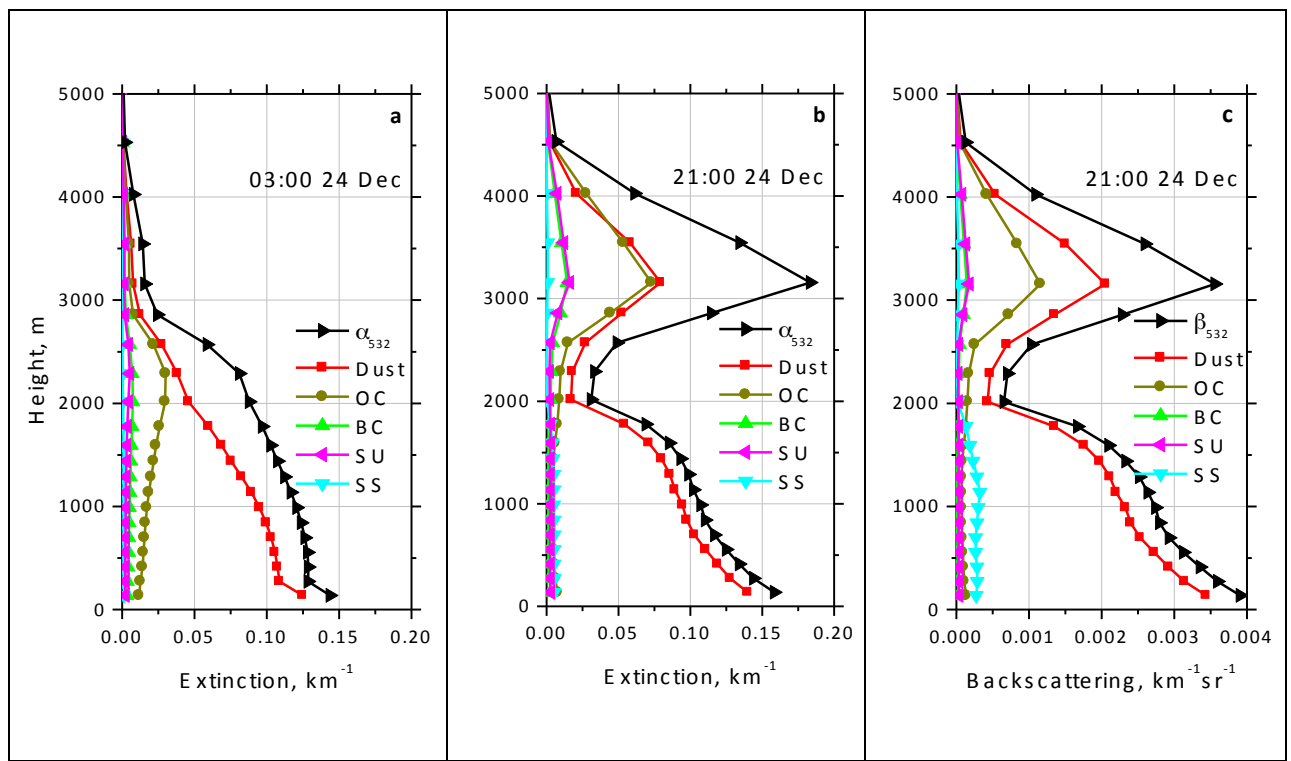
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4 Fig.10. Particle depolarization ratio as a function of the extinction Ångström exponent derived  
5 from data shown in Fig.7, 8.  
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3 Fig.11. Contributions of dust and smoke to the total backscattering coefficient  $\beta_{532}$  together with  
4 particle depolarization ratio  $\delta_{532}$  for three temporal intervals on 24-25 December 2015. Magenta  
5 and grey regions correspond to dust and smoke contribution to total scattering  $\beta_{532} = \beta_{532}^d + \beta_{532}^s$ .  
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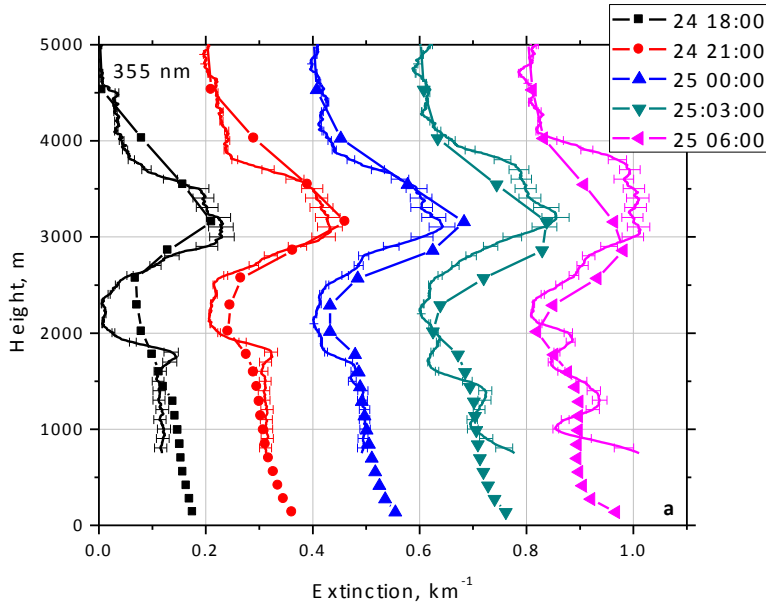


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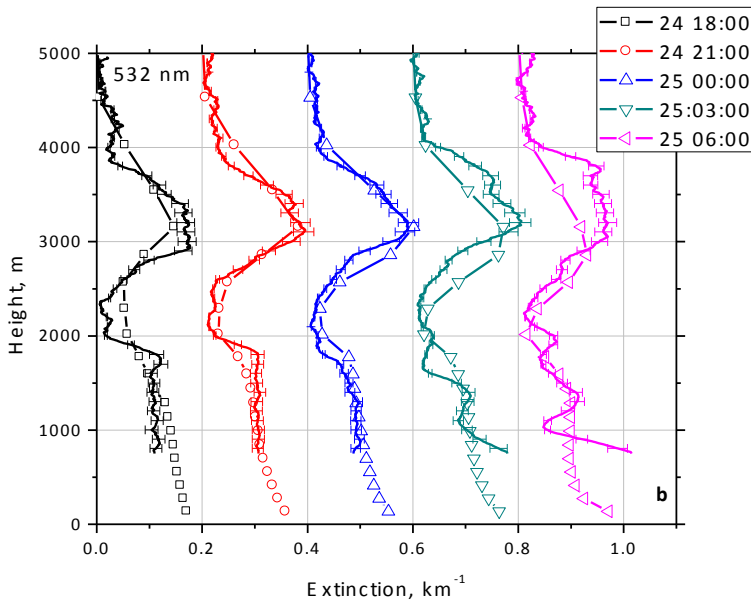
Fig.12. Vertical profiles of extinction coefficients at (a) 03:00 UTC, (b) 21:00 UTC and (c) backscattering coefficients at 21:00 UTC on 24 December 2015 from MERRA-2 model at 532 nm. Profiles are given for five aerosol components: dust, black carbon (BC), organic carbon (OC), sea salt (SS), sulfates (SU) together with total extinction  $\alpha_{532}$  and backscattering  $\beta_{532}$ .



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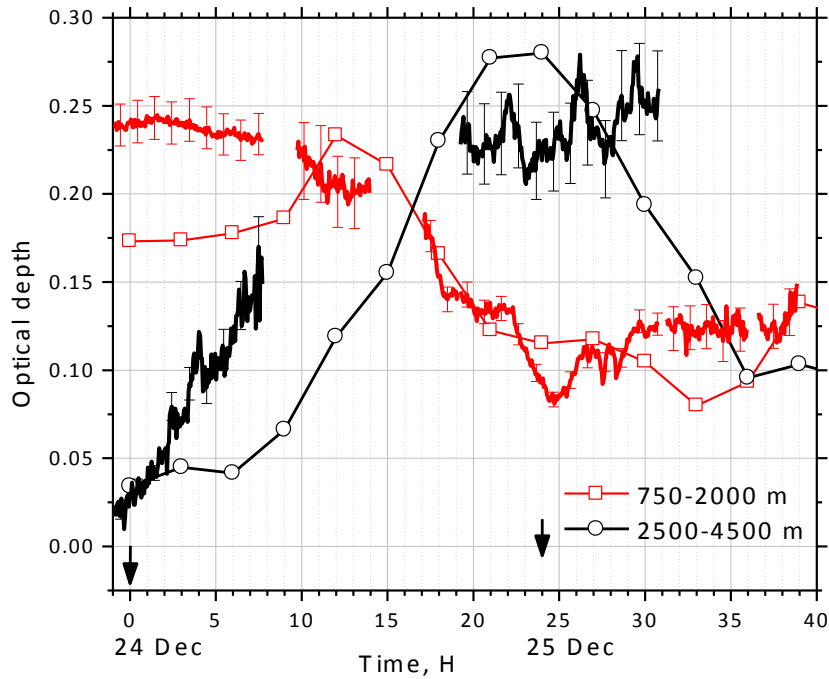
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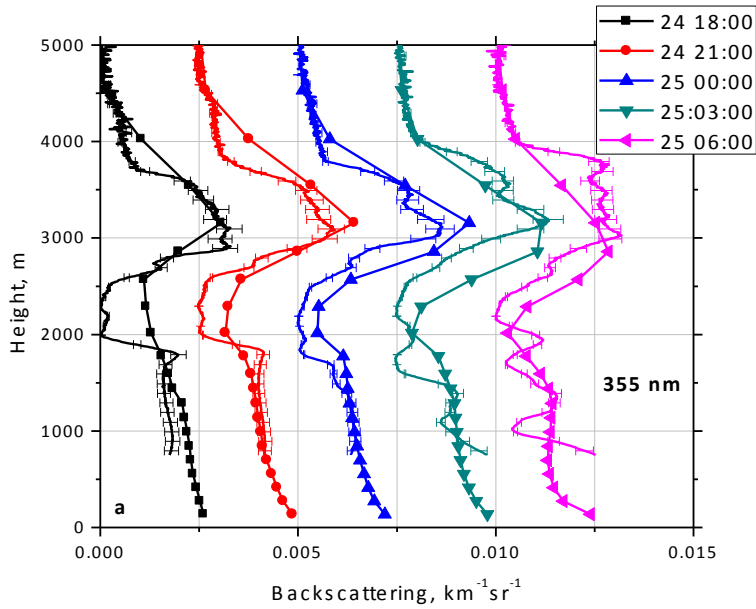
4 Fig.13. Comparison of extinction profiles at (a) 355 nm and (b) 532 nm derived from Raman  
5 lidar measurements (line) and modeled by MERRA-2 (line + symbols) on the night 24-25  
6 December 2015. Model profiles are provided at 18:00, 21:00, 00:00, 03:00, 06:00 UTC. The  
7 lidar measurements are given for temporal intervals centered at: 19:00, 21:00, 00:00, 03:00,

1 06:00 UTC. For each profile 2 hours of measurements are averaged. The profiles are shifted  
2 relatively to each other by  $0.2 \text{ km}^{-1}$ .  
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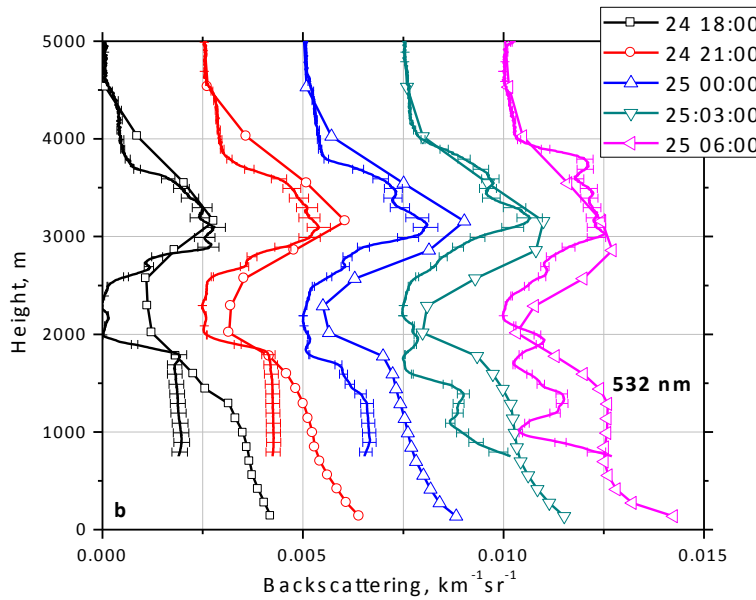


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5 Fig.14. Aerosol optical depth at 355 nm on 23 – 24 December 2015 obtained from MERRA-2  
6 (line + symbols) and from the Raman lidar measurements (solid lines). The results are given for  
7 two height intervals: 750 m – 2000 m (red) and 2500 m – 4500 m (black). Zero of time scale  
8 corresponds to 00:00 UTC on 24 December.  
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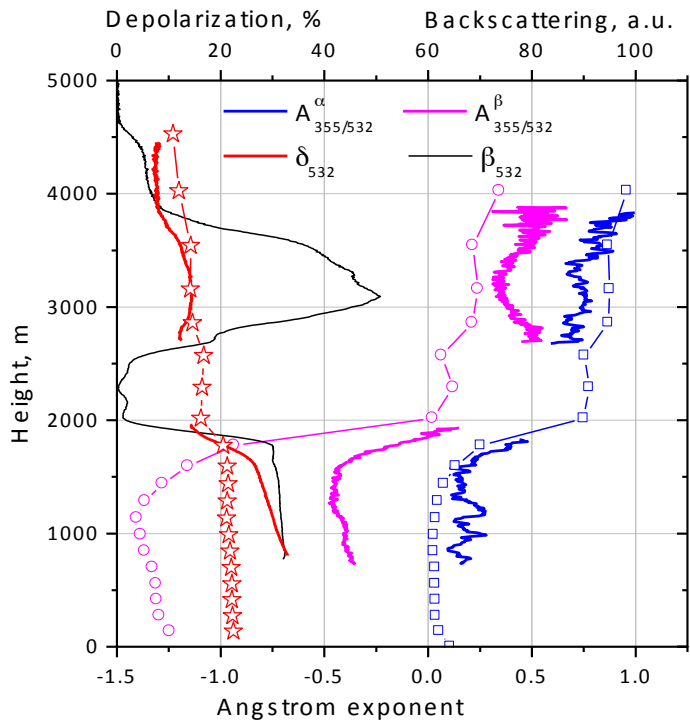


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4 Fig.15. Backscattering coefficients at (a) 355 nm and (b) 532 nm measured by Raman lidar (solid  
5 line) and modeled by MERRA-2 (line + symbols) on the night 24-24 December 2015. Profiles  
6 are shifted relatively to each other by  $0.0025 \text{ km}^{-1} \text{ sr}^{-1}$ . The temporal intervals are the same as in  
7 Fig.13.

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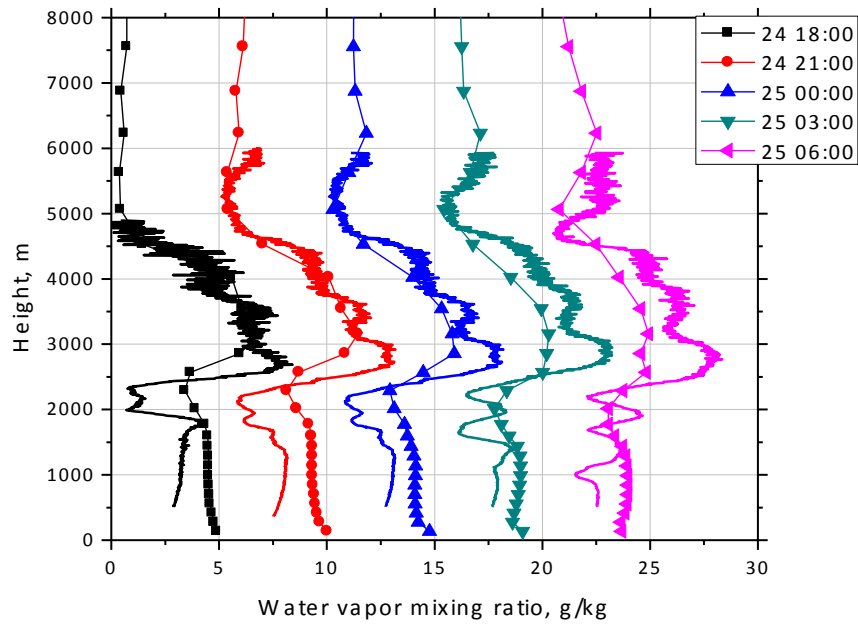
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Fig.16. Extinction ( $A_{355/532}^\alpha$ ) and backscattering ( $A_{355/532}^\beta$ ) Ångström exponents together with the particle depolarization ratio  $\delta_{532}$  obtained from lidar measurements (line) and from MERRA-2 modeling (line + symbols). Lidar data are averaged over 19:00 – 23:00 UTC period while model data are given for 21:00 UTC.

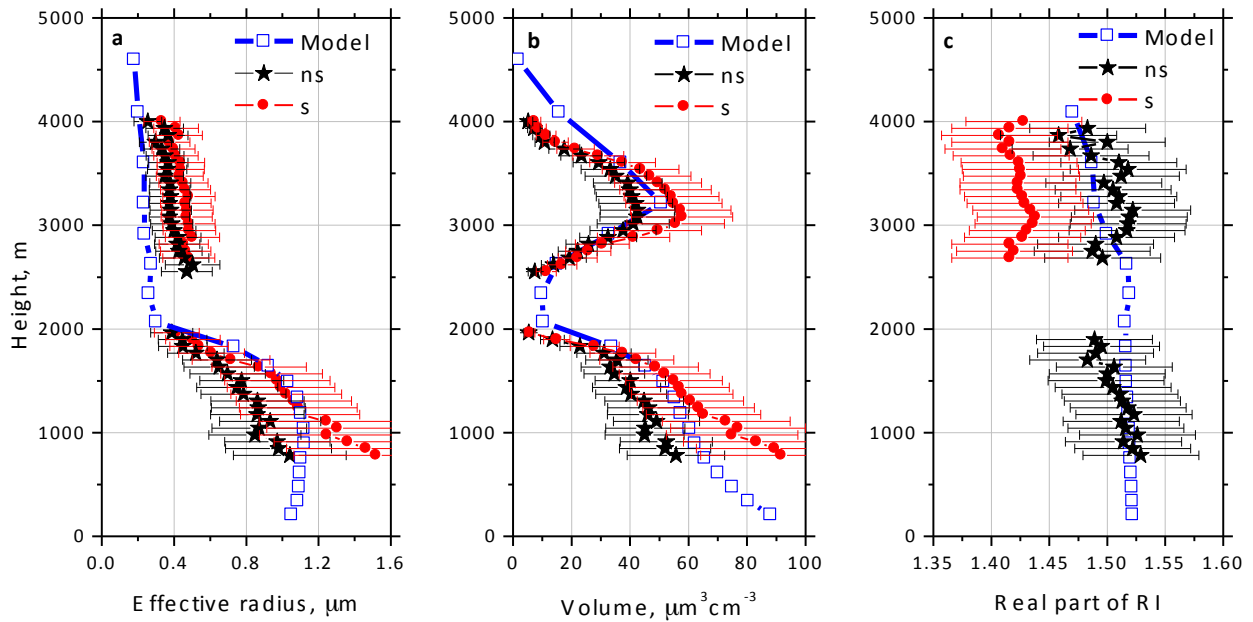
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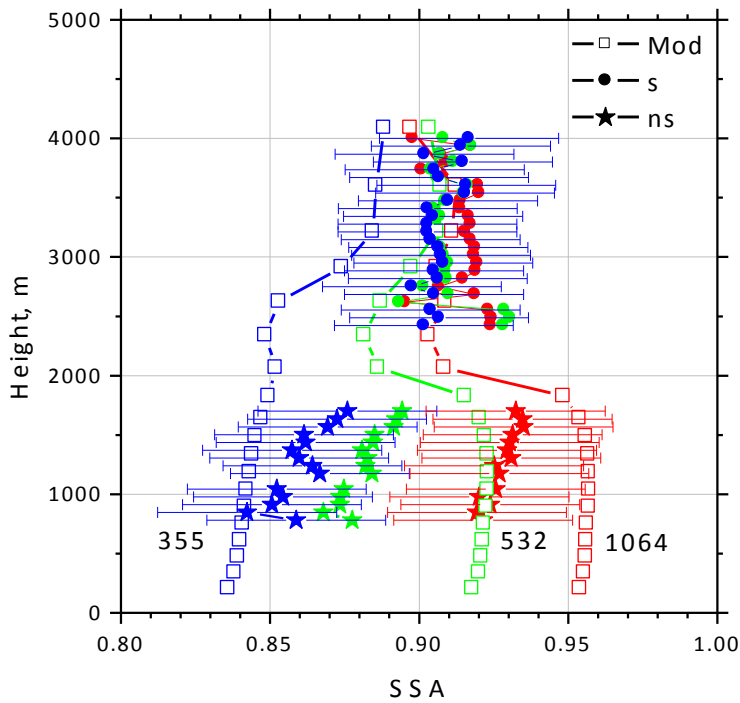
Fig.17. Water vapor mixing ratio derived from Raman lidar measurements (solid line) and obtained from the model (line + symbols) on the night 24-25 December 2015. Temporal intervals are the same as in Fig.13. The profiles are shifted relatively each other by 5 g/kg.

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5 Fig.18. Profiles of (a) effective radius, (b) particle volume and (c) real part of the refractive index  
6 on 24 December 2015 retrieved from  $3\sigma+2\alpha$  lidar measurements shown in Fig.7a (solid  
7 symbols) and provided by MERRA-2 for 21:00 UTC (open symbols). Inversion of lidar  
8 measurements was performed in assumption of spherical particles (s) and using the model of  
9 spheroids (ns).

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Fig.19. The single scattering albedo at 355 nm (blue), 532 nm (green) and 1064 nm (red) on 24 December 2015 retrieved from  $3\sigma+2\alpha$  lidar measurements shown in Fig.7a (solid symbols) and provided by the MERRA-2 model for 21:00 UTC (line + open symbols). For inversion of lidar data the spheroids (ns) were used below 2000 m and spheres (s) above 2000 m.

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## 2 Captures to figures

3 Fig.A1. Dependence of the growth factor of organic carbon, black carbon, sulfates and sea salt  
4 on relative humidity (RH) used in MERRA-2. For the sea salt the results are given for five size  
5 bins from Table 1. The growth factor increases with increase of bin number.

6 Fig.A2. Dependence of the real and imaginary part of the refractive index of organic carbon,  
7 black carbon, sulfates and sea salt on relative humidity (RH) used in the MERRA-2 model. For  
8 the sea salt the results are given for five size bins from Table 1. Both  $m_R$  and  $m_I$  decrease with  
9 bin number increasing.

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17 Fig.4. Time-height section of horizontal wind direction (arrows) and wind speed (color map)  
18 deduced from Doppler lidar during 24-25 December 2015. Leftward and downward arrows  
19 represent, respectively, easterly wind and northerly wind

20 Fig.5. Time-height section of the logarithmic range corrected lidar signal (in arbitrary units)  
21 deduced from the Doppler lidar measurements during the 24-25 December 2015 night.

22 Fig.6. Vertical profiles of (a) temperature  $T$ , potential temperature  $\Theta$ , (b) wind direction and  
23 speed and (c) relative humidity RH and water vapor mixing ratio (WVMR) measured by the  
24 radiosonde in Dakar at 00:00 on 25 December 2015. Solid line in plot (a) shows the aerosol  
25 backscattering coefficient at 532 nm in arbitrary units measured by the Raman lidar at 21:00 on  
26 24 December.

27 Fig.7. Vertical profiles of the aerosol backscattering ( $\square_{355}$ ,  $\square_{532}$ ,  $\square_{1064}$ ) and extinction ( $\alpha_{355}$ ,  
28  $\alpha_{532}$ ) coefficients together with the particle depolarization ratio ( $\delta_{532}$ ) for three temporal  
29 intervals: 19:00-23:00, 01:00-04:00 and 04:00-07:00 UTC on 24-25 December 2015. The values  
30 of  $\delta_{532}$  are multiplied by factor 0.02.

31 Fig.8 Extinction ( $A_{355/532}^\alpha$ ) and backscattering ( $A_{355/532}^\beta$ ,  $A_{532/1064}^\beta$ ) Ångström exponents together  
32 with backscattering coefficient  $\square_{532}$  for the same three temporal intervals as in Fig.7.

33 Fig.9. Lidar ratios at 355 nm (solid lines) and 532 nm (dash lines) for three temporal intervals  
34 from Fig.7.

35 Fig.10. Particle depolarization ratio as a function of the extinction Ångström exponent derived  
36 from data shown in Fig.7, 8.

37 Fig.11. Contributions of dust and smoke to the total backscattering coefficient  $\square_{532}$  together with  
38 particle depolarization ratio  $\delta_{532}$  for three temporal intervals on 24-25 December 2015. Magenta  
39 and grey regions correspond to dust and smoke contribution to total scattering  $\beta_{532} = \beta_{532}^d + \beta_{532}^s$ .



1 Fig.12. Vertical profiles of extinction coefficients at (a) 03:00 UTC, (b) 21:00 UTC and (c)  
2 backscattering coefficients at 21:00 UTC on 24 December 2015 from MERRA-2 model at 532  
3 nm. Profiles are given for five aerosol components: dust, black carbon (BC), organic carbon  
4 (OC), sea salt (SS), sulfates (SU) together with total extinction  $\alpha_{532}$  and backscattering  $\beta_{532}$ .

5 Fig.13. Comparison of extinction profiles at (a) 355 nm and (b) 532 nm derived from Raman  
6 lidar measurements (line) and modeled by MERRA-2 (line + symbols) on the night 24-25  
7 December 2015. Model profiles are provided at 18:00, 21:00, 00:00, 03:00, 06:00 UTC. The  
8 lidar measurements are given for temporal intervals centered at: 19:00, 21:00, 00:00, 03:00,  
9 06:00 UTC. For each profile 2 hours of measurements are averaged. The profiles are shifted  
10 relatively to each other by  $0.2 \text{ km}^{-1}$ .

11 Fig.14. Aerosol optical depth at 355 nm on 23 – 24 December 2015 obtained from MERRA-2  
12 (line + symbols) and from the Raman lidar measurements (solid lines). The results are given for  
13 two height intervals: 750 m – 2000 m (red) and 2500 m – 4500 m (black). Zero of time scale  
14 corresponds to 00:00 UTC on 24 December.

15 Fig.15. Backscattering coefficients at (a) 355 nm and (b) 532 nm measured by Raman lidar (solid  
16 line) and modeled by MERRA-2 (line + symbols) on the night 24-24 December 2015. Profiles  
17 are shifted relatively to each other by  $0.0025 \text{ km}^{-1} \text{sr}^{-1}$ . The temporal intervals are the same as in  
18 Fig.13.

19 Fig.16. Extinction ( $A_{355/532}^{\alpha}$ ) and backscattering ( $A_{355/532}^{\beta}$ ) Ångström exponents together with the  
20 particle depolarization ratio  $\delta_{532}$  obtained from lidar measurements (line) and from MERRA-2  
21 modeling (line + symbols). Lidar data are averaged over 19:00 – 23:00 UTC period while model  
22 data are given for 21:00 UTC.

23 Fig.17. Water vapor mixing ratio derived from Raman lidar measurements (solid line) and  
24 obtained from the model (line + symbols) on the night 24-25 December 2015. Temporal intervals  
25 are the same as in Fig.13. The profiles are shifted relatively each other by  $5 \text{ g/kg}$ .

26 Fig.18. Profiles of (a) effective radius, (b) particle volume and (c) real part of the refractive index  
27 on 24 December 2015 retrieved from  $3\beta+2\alpha$  lidar measurements shown in Fig.7a (solid  
28 symbols) and provided by MERRA-2 for 21:00 UTC (open symbols). Inversion of lidar  
29 measurements was performed in assumption of spherical particles (s) and using the model of  
30 spheroids (ns).

31 Fig.19. The single scattering albedo at 355 nm (blue), 532 nm (green) and 1064 nm (red) on 24  
32 December 2015 retrieved from  $3\beta+2\alpha$  lidar measurements shown in Fig.7a (solid symbols) and  
33 provided by the MERRA-2 model for 21:00 UTC (line + open symbols). For inversion of lidar  
34 data the spheroids (ns) were used below 2000 m and spheres (s) above 2000 m.

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