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3 **Energy partitioning constraints at kinetic scales in low- $\beta$  turbulence**

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27 **ABSTRACT.** Turbulence is a fundamental physical process through which energy  
28 injected into a system at large scales cascades to smaller scales. In collisionless plasmas,  
29 turbulence provides a critical mechanism for dissipating electromagnetic energy. Here we  
30 present observations of plasma fluctuations in low- $\beta$  turbulence using data from NASA's  
31 Magnetospheric Multiscale mission in Earth's magnetosheath. We provide constraints on  
32 the partitioning of turbulent energy density in the fluid, ion-kinetic, and electron-kinetic  
33 ranges. Magnetic field fluctuations dominated the energy density spectrum throughout  
34 the fluid and ion-kinetic ranges, consistent with previous observations of turbulence in  
35 similar plasma regimes. However, at scales shorter than the electron inertial length,  
36 fluctuation power in electron kinetic energy significantly exceeded that of the magnetic  
37 field, resulting in an electron-motion-regulated cascade at small scales. This dominance  
38 should be highly relevant for the study of turbulence in highly magnetized laboratory and  
39 astrophysical plasmas.

## 40 I. INTRODUCTION

41 Turbulence provides a mechanism for the heating of collisionless plasmas throughout the  
42 universe. In a turbulent system, energy injected at fluid scales due to large-scale  
43 perturbations can cascade to smaller kinetic scales, where it can be more efficiently  
44 transferred to plasma particles [1,2]. Turbulence manifests as a continuum of wave-like  
45 modes and/or discrete structures, each of which can be described by an effective wave  
46 vector ( $\mathbf{k}$ ) and an apparent frequency ( $\omega$  in rad/s,  $f$  in Hz) in the plasma rest frame [3].  
47 These fluctuations are observed in both electromagnetic fields and plasma parameters,  
48 with their relative spectral properties elucidating the underlying physics of the cascade  
49 [4,5]. Due to a dearth of plasma parameters measured with sufficient speed to resolve  
50 kinetic-scale structures, the detailed physics of the turbulent cascade and subsequent  
51 particle heating processes are still under debate. Here, using such high-resolution data  
52 from NASA's Magnetospheric Multiscale (MMS) mission, we present observational  
53 constraints of energy partitioning between magnetic field and particle kinetic energy in  
54 Earth's magnetosheath.

55  
56 Although turbulence need not be comprised of propagating wave modes [5,6], kinetic  
57 structures observed in many astrophysical plasmas can exhibit properties of either  
58 obliquely propagating kinetic Alfvén waves (KAW) [4,5,8-11] or whistler-mode waves  
59 [12-14]. To appropriately interpret observations within the context of turbulence theory,  
60 it is crucial to identify the spatial scale associated with each observed frequency (i.e.,  
61  $\mathbf{k}(\omega)$ ). Due to limitations in resolving both  $\mathbf{k}$  and  $\omega$  (via Doppler shift [15]) at kinetic  
62 scales, it has been challenging to unambiguously catalog the dominant physical structures  
63 [16]. Recently, multi-spacecraft wave vector determination techniques have been applied  
64 to MMS data to recover estimates of  $\mathbf{k}(\omega)$  at kinetic scales [14,17]. However, such  
65 techniques have not yet been combined with high-resolution plasma data.

66  
67 How energy is partitioned between electromagnetic fields and particles at kinetic scales is  
68 one of the most compelling open questions in turbulence (see reviews by [4] and [5]). In  
69 addition, the turbulent energy cascade rate scales with the total energy density,  
70 independent of any underlying dispersion relation [18]. Magnetic field fluctuations have  
71 often been used to quantify turbulent energy in space plasmas as these are the most  
72 commonly measured and are thought account for a large fraction of the total energy over  
73 most scales [4,5,19-21]. However, both plasma and electromagnetic field fluctuations  
74 contribute to the energy density of a turbulent system. In particular, particle-in-cell  
75 simulations of whistler-mode turbulence have predicted that fluctuations of electron  
76 kinetic energy become dominant at electron scales, altering the physics of the cascade  
77 process [13]. While turbulent spectra of some plasma parameters have been reported in  
78 both the solar wind and magnetosheath at kinetic scales [22-24], fluctuations in electron  
79 kinetic energy at these scales have not yet been observationally constrained.

80

81 The high-resolution instrumentation on NASA’s Magnetospheric Multiscale (MMS)  
82 mission [25] enables both the determination of  $\mathbf{k}$  and the calculation of turbulent spectra  
83 from plasma parameters at kinetic scales. Here we use charged particle and magnetic  
84 field data collected in Earth’s low- $\beta$  magnetosheath by MMS. We confirm that electron  
85 kinetic energy can indeed become dominant at scales smaller than the electron inertial  
86 length.

87

## 88 II. DATA SELECTION AND ANALYSIS

89 On 4 October 2016 from 12:22:34-12:25:13 UT, the four MMS spacecraft were in a  
90 tetrahedron formation (quality factor  $\sim 0.82$  at orbit apogee [26]) spaced by  $\sim 7$  km in  
91 magnetosheath at a local time of  $\sim 1600$  h and radial distance of  $\sim 9.3$  Earth radii ( $R_e$ ). The  
92 spacecraft were far downstream from the bow shock, within  $\sim 30$  min or  $\sim 0.5 R_e$  of the  
93 magnetopause that was encountered at  $\sim 13:00$  UT. During this time interval, high-  
94 resolution magnetic field (7.8ms) and charged particle (30ms for electrons, 150ms for  
95 ions) data were collected by the Fluxgate Magnetometer (FGM) [27] and Fast Plasma  
96 Investigation (FPI) [28] instrument suites, respectively.

97

### 98 II.A. Data Overview

99 An overview of the selected turbulent interval is shown in Figure 1. The average plasma  
100 environment consisted of a number density of  $n_e = n_i = 8 \text{ cm}^{-3}$ , a magnetic field strength  
101 of  $B=65$  nT, perpendicular and parallel ion temperatures of  $T_{i\perp} = 400\text{eV}$  and  $T_{i\parallel} = 260\text{eV}$ ,  
102 respectively, and perpendicular and parallel electron temperatures of  $T_{e\perp} = 40\text{eV}$  and  
103  $T_{e\parallel} = 50\text{eV}$ , respectively. These parameters resulted in plasma  $\beta$ , i.e., the ratio of plasma  
104 thermal pressure to magnetic pressure, much less than one for both protons and electrons.  
105 Gyroradii ( $\rho$ ) and inertial lengths ( $d$ ) for ions and electrons were  $\rho_i = 44$  km,  $d_i = 81$  km,  
106  $\rho_e = 0.3$  km, and  $d_e = 2$  km, respectively.

107

108 The average ion flow velocity over the entire interval was  $\mathbf{V}_o = [-73.4 \pm 0.1, 110.9 \pm 0.3,$   
109  $108.8 \pm 0.3]$  km/s in Geocentric Ecliptic (GSE) coordinates [29], where the uncertainty  
110 was calculated from the standard deviation of values across all spacecraft. This flow was  
111 within  $\sim 20^\circ$  of the average magnetic field direction of  $[-0.22, 0.73, 0.64]$ , with each  
112 spacecraft measuring the same average field direction to within  $0.1^\circ$ . As shown in Figure  
113 1, the amplitude of measured fluctuations were small compared to their background  
114 levels (i.e.,  $\delta \mathbf{B}^2/B^2, \delta \mathbf{V}^2/V^2 \ll 1$ ) such that average magnetic field and flow velocities  
115 were considered to be meaningful. As will be demonstrated, although relatively short in  
116 duration, this interval was of sufficient length to resolve fluid, ion, and electron scale  
117 fluctuations. Significantly longer intervals of high-resolution ‘quiet’ magnetosheath data  
118 were not available during the MMS main mission phase, where the primary scientific  
119 objective was to study magnetic reconnection [25].

120

## 121 **II.B Wave Vector Determination**

122 The primary wave vector determination technique used here was developed by [30,31],  
123 where fluctuations in  $\mathbf{J}\times\mathbf{B}$  in the spacecraft frame with Ampere's law were used to  
124 estimate  $\mathbf{k}(\omega_{sc})$ . This technique was successfully applied to MMS data by [32] for a  
125 monochromatic kinetic Alfvén wave, though not yet for broadband fluctuations. The  $\mathbf{J}\times\mathbf{B}$   
126 method has the advantage of only requiring data from a single spacecraft such that wave  
127 vectors from all four spacecraft can be evaluated independently, with the limitation that  
128 there be one dominant  $\mathbf{k}$  at each frequency in the spacecraft frame. The validity of this  
129 single-mode assumption was evaluated via the plane-wave approximation [31]. In  
130 addition, wave vectors at scales larger than the inter-spacecraft separation were calculated  
131 via multi-spacecraft techniques [33-35] and compared with  $\mathbf{J}\times\mathbf{B}$ -derived estimates.

132

133 The current density  $\mathbf{J}$  was computed from particle data as  $n_e e(\mathbf{V}_i - \mathbf{V}_e)$ , where  $e$  is the  
134 charge of an electron and ion velocities were linearly interpolated to the electron  
135 sampling time. We calculated  $\mathbf{J}$  from each spacecraft independently using FPI data. A  
136 Hanning window was applied to data before calculating Fast Fourier Transforms (FFTs).  
137 From numerical tests of the technique by [31], spectral noise significantly less than 50%  
138 of the signal was required to obtain accurate wave vector estimates. For this interval, the  
139 spectral noise of FPI data was dominated by Poisson statistics [36-39]. Taking these  
140 limitations into account, we took  $f_{sc} = 7$  Hz as the maximum frequency. We provide a  
141 detailed derivation of FPI spectral noise estimates in Appendix A.

142

143 We averaged the direction and magnitude of  $\mathbf{k}$ -vectors into 0.05 Hz-spaced frequency  
144 bins up to 7 Hz for each spacecraft. The direction of  $\mathbf{k}$  was found to be  $[-0.80\pm 0.02, -$   
145  $0.57\pm 0.02, 0.37\pm 0.03]$  with a corresponding angle with respect to the magnetic field of  $\theta =$   
146  $90.2\pm 1.3^\circ$ . Similarly, the angle between  $\mathbf{k}$  and  $\mathbf{V}_o$  was found to be  $76.0\pm 2.3^\circ$ .

147 Uncertainties here were defined as the standard deviation across all observatories, whose  
148 individual values were obtained by averaging wave vector directions for  $f_{sc} < 7$  Hz. Wave  
149 vectors subsequently averaged over all four spacecraft are shown in Figure 2a and 2b.

150  $k(\omega_{sc})$  remained linear for scales larger than  $k_\perp d_e \sim 1$  (i.e.,  $f_{sc} \lesssim 4$  Hz) and increased  
151 sub-linearly (i.e.,  $k \propto \omega_{sc}^{0.47\pm 0.10}$ ) at smaller scales, where the exponent was determined  
152 via a linear fit to the data in log-log space for  $f_{sc} > 4$  Hz.

153

## 154 **II.3 Validation of Wave Vector Estimates**

155 By leveraging the closely-spaced tetrahedron configuration of the four MMS  
156 observatories, we performed additional validation of the  $\mathbf{J}\times\mathbf{B}$ -derived wave vectors. We  
157 first used estimated  $\mathbf{k}$ -vectors and constellation-averaged magnetic field vectors with  
158 Ampere's law to compare current densities derived by FPI and by the four-spacecraft

159 curlometer technique [40]. In addition, we used  $k$ -filtering to solve for spectral power  
160  $P(\mathbf{k}, \omega_{sc})$ .

161

### 162 *II.3.1. Ampere's Law*

163 For a single dominant wave mode at a given frequency, the plane-wave approximation of  
164 Ampere's law should hold, i.e.,  $\mathbf{J} = \nabla \times \mathbf{B}/\mu_0 \approx i\mathbf{k}(\omega_{sc}) \times \mathbf{B}(\omega_{sc})/\mu_0$ . [31]. With  
165 independent MMS measurements of current density, it is straightforward to test this  
166 approximation. We took the inverse Fourier transform of  $i\mathbf{k}(\omega_{sc}) \times \mathbf{B}(\omega_{sc})/\mu_0$  using  $k(\omega_{sc})$   
167  $= 0.13 \omega_{sc}$  and  $k(\omega_{sc}) = 0.26 \omega_{sc}^{0.47}$  (in units  $\text{km}^{-1}$ ) below and above  $f_{sc} = 4$  Hz,  
168 respectively. The average wave vector direction was taken as  $[-0.80, -0.57, 0.37]$ . This  
169 quantity was compared with current densities derived from FPI and also FGM using the  
170 four-spacecraft curlometer technique. This comparison is shown in Figure 3. There was  
171 good agreement between all three estimates of current density (correlation coefficient  $\sim$   
172 0.6 for the most strongly varying component), with modest discrepancies observed only  
173 in a few, isolated structures (e.g., near 12:24:30). This agreement demonstrated that the  
174 overall scaling of  $\mathbf{k}$  was accurate, and supported the assumption that the fluctuations  
175 could be reasonably described by a single dominant wave vector direction.

176

### 177 *II.3.2. K-filtering*

178 The ' $k$ -filtering' method and the mathematically similar 'wave-telescope' technique use  
179 magnetic field data from multiple spacecraft to infer spectral power as a function of  $\mathbf{k}$  and  
180  $\omega_{sc}$  [33-35]. In these techniques, a  $12 \times 12$  cross spectral density matrix is constructed in  
181 the spacecraft frame using the three components of the magnetic field at each spacecraft,  
182 and is then reduced using filter matrices that describe the propagation of the wave  
183 between each spacecraft (e.g.,  $\exp(i(\mathbf{k} \cdot \mathbf{r} - \omega t))$ ). The result is a power spectral matrix that is  
184 a function of  $k$  and  $\omega_{sc}$ . These techniques are capable of resolving multiple wave modes at  
185 a given frequency [35]. The minimum resolveable wavelength is set by the inter-  
186 spacecraft separation such that spatial aliasing can become an issue at higher frequencies.

187

188 To estimate wave vectors via  $k$ -filtering, we obtained sliding-window-averaged power  
189 spectra of  $\mathbf{B}$  using a set of 1024 point (i.e., 8 sec) FFTs with a Hanning window size of  
190 128 points (i.e., 1 sec). These spectra were input into a  $k$ -filtering algorithm with the  
191 constraint of  $\nabla \cdot \mathbf{B} = 0$ . The resulting  $P(\mathbf{k}, \omega_{sc})$  distributions are shown in Figure 4 in the  
192  $k_{\perp 1} - k_{\perp 2}$  plane where  $k_{\perp 1}$  was defined by  $(-\mathbf{V}_0 \times \mathbf{B}) \times \mathbf{B}$ ,  $k_{\parallel}$  was aligned with the average  
193 magnetic field direction, and  $k_{\perp 2}$  completed the right-handed coordinate system. The  
194 wave vector derived from the  $\mathbf{J} \times \mathbf{B}$  technique at each frequency was in good agreement  
195 with the location of the peak in  $P(\mathbf{k}, \omega_{sc})$  at all frequencies. Because of the broadness of  
196 the peak at increasing frequency, spatial aliasing limited the comparison to below  $f_{sc} = 3$   
197 Hz. Nonetheless, this analysis confirmed that the turbulent fluctuations were consistent

198 with one dominant wave mode at each frequency in the spacecraft frame, justifying the  
199 use of the  $\mathbf{J} \times \mathbf{B}$  method for this interval.

200

### 201 **III RESULTS**

202 Using  $\mathbf{k}(\omega_{sc})$  we Doppler-shifted the observed fluctuations to investigate the dominant  
203 dispersion relation and to transform power spectral densities into the spatial domain.

204 These analyses provide constraints on the underlying physical processes that drive the  
205 turbulent cascade.

206

#### 207 **III.A. Dispersion Relation of Turbulent Fluctuations**

208 Wave vectors were combined with the average flow velocity to calculate  $\mathbf{k}(\omega)$  using  $\omega_{sc} =$   
209  $\omega + \mathbf{k}(\omega_{sc}) \cdot \mathbf{V}_o$  [3,15]. Instantaneous statistical uncertainties in components of the ion bulk  
210 velocity were on the order of  $\sim 1\text{-}2\%$  [38], which were then reduced further through time-  
211 averaging. Errors in the Doppler shift were therefore dominated by the systematic  
212 uncertainty in  $\mathbf{k}$  and  $\mathbf{V}_o$ . This uncertainty was estimated via repeated Monte Carlo  
213 sampling of  $\hat{\mathbf{k}} \cdot \mathbf{V}_o$ , using the normally distributed errors defined above for each quantity.  
214 The magnitude of  $k$  at each frequency was taken from the four-spacecraft-averaged  
215 values in Figure 2b. In Figure 5,  $\mathbf{k}(\omega)$  estimates are shown with dispersion relation curves  
216 of  $\theta=89.86^\circ$  and  $\beta_i=0.3$  obtained from two-fluid theory [41]. This comparison  
217 demonstrated qualitative agreement of the measured dispersion relation with that of  
218 highly oblique propagating fluctuations.

219

220 For context, the dispersion relations for the fast magnetosonic/“classical-whistler” and  
221 so-called “Alfvén-whistler” branches from two-fluid theory [41] are shown in Figure 6.  
222 These curves were compared with those of the generalized cold plasma dispersion  
223 relation used for simulations of whistler turbulence [13,42]. At parallel propagation, all  
224 three sets of dispersion relations had  $\omega/k > v_{th}$  and fell along the fast magnetosonic  
225 branch. At increasing angles of propagation, however, the two-fluid “Alfvén-whistler”  
226 and analytical curves moved to  $\omega/k < v_{th}$ . Here it is clear that the cold plasma dispersion  
227 relation for highly oblique whistler-mode waves is equivalent to the “Alfvén-whistler”  
228 branch of two-fluid theory rather than the “classical-whistler” branch. Regardless of  
229 nomenclature, the dispersion relation of the measured fluctuations most closely match  
230 those typically used for simulations of whistler turbulence.

231

232 The compressibility, as shown in Figure 7, provided further constraints to be used in  
233 wave mode identification. Through calculation of  $\langle \delta n \delta B_{\parallel} \rangle$  [43], we found that density  
234 and magnetic fluctuations were anti-correlated throughout the inertial and ion-kinetic  
235 ranges. The spectral noise floor of the density fluctuations exceeded the signal at  $f_{sc} \sim 4$   
236 Hz such that analysis of  $\delta n$  at electron scales was limited. In addition, the magnetic

237 compressibility,  $\delta B_{\parallel}^2/\delta B^2$  [41], remained below  $\sim 0.5$  throughout the entire kinetic range,  
238 consistent with  $\omega/k < v_{th}$ .

239

### 240 III.B. Spectrum of Turbulent Energy Density

241 With a known relationship between  $k$  and  $\omega_{sc}$ , the energy density of fluctuations was  
242 estimated and spectral slopes were calculated for each spatial regime. In Figure 8 we  
243 show the energy density of the magnetic field, ion kinetic energy, and electron kinetic  
244 energy as  $\sum_{j=x,y,z} |\delta(B_j/\sqrt{2\mu_0})|^2$ ,  $\sum_j |\delta(\sqrt{(n_e m_e/2)} V_{e,j})|^2$ , and  $\sum_j |\delta(\sqrt{(n_i m_i/2)} V_{i,j})|^2$   
245 respectively (e.g., [44]), where each quantity represents the trace of its corresponding  
246 power spectral matrix. We found local spectral indices for the magnetic energy of -  
247  $1.30 \pm 0.52$ ,  $-2.13 \pm 0.23$ , and  $-6.19 \pm 0.04$  throughout the fluid, ion-kinetic, and electron-  
248 kinetic scales respectively. Corresponding electron kinetic energy indices were found to  
249 be  $-0.38 \pm 0.24$ ,  $-0.66 \pm 0.27$ , and  $-4.26 \pm 0.12$ . For ions, spectral indices of  $-1.81 \pm 0.34$  and -  
250  $3.37 \pm 0.37$  were found in the fluid and ion-kinetic scales, respectively, with lower time  
251 resolution and high spectral noise limiting estimation of properties at electron scales. The  
252 spectral noise floors were subtracted from particle data before calculating spectral indices  
253 using linear fits in log-log space. The relationship  $k \propto \omega_{sc}^{0.47}$  was used to estimate  
254 spectral slopes at electron scales. As observed in Figure 8, the electron kinetic energy  
255 became larger than that of the magnetic energy for scales  $k_{\perp} d_e > 1$ . This dominance of  
256 electron kinetic energy at high frequencies was independent of uncertainties in the scaling  
257 of  $k$  with  $\omega_{sc}$ .

258

## 259 IV. DISCUSSION

260 The large magnetic field strength in the magnetosheath resulted in  $\beta_e \ll 1$  even for a  
261 modest electron density and temperature. These conditions shifted the  $k_{\perp} d_e > 1$   
262 fluctuations into the FPI frequency range with a sufficient signal to noise ratio to resolve  
263 electron-scale turbulence. Consequently, in other environments sampled by MMS with  
264 weaker magnetic fields, such as the solar wind, it may only be possible to resolve ion-  
265 scale turbulence with similar plasma instrumentation. In addition, the noise floors of FPI  
266 power spectra scale inversely with plasma number density and the duration of the  
267 observations [36,37]. A high noise floor produced by a time-stationary, homogeneous,  
268 and sparse plasma could be compensated for by increasing the duration of the  
269 measurement interval.

270

271 In two-fluid theory, the ‘‘Alfvén-whistler’’ branch contains both highly oblique KAW  
272 ( $\omega < \omega_{ci}$ ) and whistler-mode ( $\omega > \omega_{ci}$ ) waves [41,45,46]. This kinetic-scale branch was  
273 suggested to extend from the shear Alfvén branch of the plasma dispersion relation and  
274 has an asymptote at  $\omega = \omega_{ce} \cos \theta$ . However, recent kinetic simulations [47] have  
275 demonstrated that this branch is not truly continuous through harmonics of the ion  
276 cyclotron frequency ( $\omega_{ci}$ ). Instead it is nonetheless topologically connected to the fast

277 magnetosonic branch via ion Bernstein modes [47]. This subtlety is illustrated in Figure  
 278 5. Here, the naming of this mode becomes somewhat ambiguous, though it is clear that  
 279 the true KAW fluctuations are limited to  $\omega < \omega_{ci}$ . Fluctuations at the smallest observed  
 280 kinetic-scales (i.e.,  $k_{\perp} \rho_i > 15$ ) had  $\omega > \omega_{ci}$ , following a dispersion relation consistent  
 281 with those used for studies of whistler turbulence [13,42]. Consequently, we adopt this  
 282 dispersion relation in order to provide analytical expressions for the wave packet group  
 283 velocity below.

284  
 285 The highly oblique waves studied here have  $\omega/k < v_{th}$  at kinetic scales, where  $v_{th}$  is the  
 286 ion thermal speed defined as  $\sqrt{2k_B T_{i\perp}/m_i}$ . Consequently, linear theory predicts that these  
 287 modes have anti-correlated density and parallel magnetic field fluctuations [43] and a  
 288 magnetic compressibility less than  $\sim 0.5$  at kinetic scales [41]. As discussed in Section  
 289 III.A, our observations were consistent with these predictions. It follows that the primary  
 290 distinction between different highly oblique modes arises from the range of apparent  
 291 oscillation frequencies rather than the compressibility. Because  $\omega$  is challenging to  
 292 determine, it is possible that past observations of compressive turbulence in space  
 293 plasmas that relied on examining the correlation between density and parallel magnetic  
 294 field fluctuations (e.g., [48-50]) have involved some contributions from oblique  $\omega > \omega_{ci}$   
 295 waves at electron scales rather than from KAW alone.

296  
 297 With direct observations of the velocity fluctuations, we can estimate the turbulent eddy  
 298 turnover time ( $\tau_{ed}$ ) at electron scales.  $\tau_{ed}$  was taken to be  $\lambda_{\perp}/\delta v_{e\perp,k}$ , where  $\delta v_{e\perp,k}$  is the  
 299 measured perpendicular electron velocity per spatial scale (i.e.,  $\delta v_{e,k}^2/k_{\perp} = \delta V_e^2$  [13]),  
 300 and  $\lambda_{\perp}$  is the perpendicular wavelength of the fluctuations (i.e.,  $\lambda_{\perp} = 2\pi/k_{\perp}$ ). Using the  
 301 scaling  $k_{\perp} \sim \omega_{sc}^{0.47}$  we found  $\tau_{ed} \sim 30$  s at the smallest observed scales. It is instructive to  
 302 compare this time scale with the wave-packet interaction time,  $\tau_w$ , taken to be  $\lambda_{\parallel}/\frac{\partial\omega}{\partial k_{\parallel}}$ ,  
 303 where  $\frac{\partial\omega}{\partial k_{\parallel}}$  is the group velocity [13]. In the limits of  $k_{\perp} d_e \gg 1$  and  $k_{\perp} \gg k_{\parallel}$ , we found  
 304  $\tau_w \approx (f_{ce} \cos \theta)^{-1}$ , where  $f_{ce}$  is the electron cyclotron frequency [13,51]. The minimum  
 305 value of  $\cos \theta$  that can support  $\omega > \omega_{ci}$  propagation is equal to the mass ratio  $m_e/m_i$  [41]  
 306 such that we expected  $\tau_{w,max} \approx f_{ci}^{-1}$ , which yielded  $\tau_w < 1$  s. Analytical descriptions of  
 307 whistler turbulence assume that the cascade is driven by many weak interactions of  
 308 waves with one another [42,51-53]. In such models, the turbulent eddy turnover time was  
 309 required to be much larger than the wave-interaction time, i.e.,  $\tau_{ed} \gg \tau_w$ . From the above  
 310 analysis, this criterion appears to be satisfied at the relevant scales. Electron motion  
 311 dominance of the turbulent energy density results in its regulation of the energy cascade  
 312 rate arising from many of these weak wave-wave interactions [13].

313



314 In addition to exhibiting strong anisotropy (i.e.,  $k_{\perp} \gg k_{\parallel}$ ), kinetic-scale turbulence is  
 315 typically modeled as ‘gyrotropic’, i.e., there are many  $\mathbf{k}$ -vectors for a given frequency  
 316 that are axisymmetric in the  $k_{\perp}$  plane. [52,54, 55-59]. The wave vectors determined in  
 317 Figure 2a, however, had a preferred axis that was near (i.e., within  $20^{\circ}$ ) the direction of  $(-\mathbf{V}_o \times \mathbf{B}) \times \mathbf{B}$ . This apparent one-dimensional nature of the fluctuations (i.e., a unique  $\mathbf{k}$  for  
 318 each  $\omega$ ) likely enabled the agreement between the  $\mathbf{J} \times \mathbf{B}$  method and  $k$ -filtering analysis.  
 319 Such non-axisymmetric wave vectors have been reported extensively in both the  
 320 magnetosheath and solar wind [60-65]. However, the origin of this asymmetry remains an  
 321 open question, whether it results from the proximity of the observations to  
 322 magnetospheric boundaries [60,62,63] or arises due to an implicit filtering bias of the  
 323 component of the wave vector parallel to the flow velocity [66,67]. Nonetheless, our  
 324 estimates of  $k_{\perp}$  should be robust as they represent an average over any asymmetries.  
 325 Finally, we note that in order to conserve energy and momentum among three non-  
 326 linearly interacting waves, the relationship  $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3$  must hold [68,69]. Consequently,  
 327 if two waves with similar wave vector directions interact, the resultant wave must  
 328 propagate in the same direction, i.e., any asymmetry in the  $k_{\perp}$  plane would be preserved  
 329 throughout a turbulent cascade that is driven by wave-wave coupling.  
 330

331  
 332 Electron motion dominated the energy density at the smallest FPI-observable scales and  
 333 thus regulated the cascade of turbulent energy. This result is independent of any  
 334 uncertainties in the mapping of frequency to  $k$ -space, though the observed crossover at  
 335  $k_{\perp} d_e \sim 1$  suggests accurate wave vector determination. In low- $\beta$  plasmas, ion kinetic and  
 336 magnetic energies are often nearly (but not exactly) equal at the scale  $k_{\perp} d_i \sim 1$  [37].  
 337 These energies will both exceed the electron kinetic energy by a factor of  $m_i/m_e$ . At scales  
 338 smaller than  $k_{\perp} d_i = 1$ , ion motion decouples from that of the electrons. Fluctuations in  
 339 electron bulk velocity are therefore proportional to those in the current density. From  
 340 Ampere’s law, this relationship implies that  $\delta \mathbf{V}_e^2 \propto k^2 \delta \mathbf{B}^2$ , i.e., the spectral slope of the  
 341 magnetic energy density fluctuations is steeper than that of the electron kinetic energy  
 342 fluctuations [13,51,78]. Because spatial scales  $k_{\perp} d_i \sim 1$  and  $k_{\perp} d_e \sim 1$  are separated by a  
 343 factor of  $(m_i/m_e)^{1/2}$ , the magnetic energy and electron kinetic energies will become equal  
 344 to each other at  $k_{\perp} d_e \sim 1$ , independent of the spectral index of  $\delta \mathbf{B}^2$ .  
 345

346 If  $d_e \gg \rho_e$  (i.e.,  $\beta_e \ll 1$ ), electrons remain frozen into the field for scales between the  
 347 electron gyroradius and electron inertial length. Consequently, the relationship  $\delta \mathbf{V}_e^2 \sim$   
 348  $k^2 \delta \mathbf{B}^2$  should continue to hold, and because the energies are equal at  $k_{\perp} d_e \sim 1$ , the  
 349 electron kinetic energy should exceed the magnetic energy for scales between  $k_{\perp} d_e \sim 1$   
 350 and  $k_{\perp} \rho_e \sim 1$ . The relative separation of these electron scales grows larger as the electron  
 351  $\beta$  decreases, extending the region of electron-motion dominance. The low- $\beta$  environment  
 352 studied here is common in both laboratory and astrophysical plasmas [70-75] such that an

353 electron-motion-regulated cascade could occur in many turbulent systems. This regime is  
354 regularly found in planetary magnetosheaths and magnetospheres where  $T_i \gg T_e$  and  $\beta_i <$   
355  $10$  [74,75]. In the solar wind, where  $T_i \sim T_e$ , these conditions may not be as common  
356 except for inside high-speed streams or magnetic clouds [76,77].  
357

358 Electron magnetohydrodynamic simulations of whistler turbulence predicted spectral  
359 indices for turbulent energy of  $-7/3$  and  $-5/3$  for  $k_{\perp} d_e < 1$  and  $k_{\perp} d_e > 1$ , respectively  
360 [52]. In addition, particle-in-cell simulations of whistler turbulence have found spectral  
361 indices of the turbulent energy at kinetic scales to be between  $-2$  and  $-3$  [13,58]. Our  
362 observed spectral indices were somewhat steeper than these predictions for  $k_{\perp} d_e > 1$ ,  
363 indicating that the electron-scale turbulence here may not have been in a fully developed  
364 state. From the measured data, we cannot necessarily distinguish between a power law  
365 and exponential roll-off in the energy density spectra [21]. Here we report on the best-fit  
366 slope obtained locally on the marked portions of the power spectrum in Figure 8 to  
367 provide constraints for comparison with simulations.  
368

369 Due to the large inter-spacecraft separation ( $\sim 7$  km) compared to the electron inertial  
370 length ( $\sim 2$  km), independent wave vector determination at frequencies corresponding to  
371 electron scales with  $k$ -filtering was not possible. Therefore, we cannot definitively rule  
372 out systematic uncertainty in the  $k \propto \omega_{sc}^{0.47}$  scaling. However, improved consistency of  
373 estimated spectral slopes with the relationship  $\delta \mathbf{V}_e^2 \propto k^2 \delta \mathbf{B}^2$  for  $k \propto \omega_{sc}^{0.47}$  compared  
374  $k \propto \omega_{sc}$  supported this sub-linear scaling. Furthermore, the dominance of electron kinetic  
375 energy at high frequencies, the primary result reported here, was independent of  
376 systematic uncertainty in the mapping of the frequency domain to the spatial domain.  
377

## 378 V. CONCLUSIONS

379 Using high-resolution data from MMS, we have provided observational constraints of  
380 electron kinetic energy in kinetic-scale turbulence. Fluctuations measured with  $k_{\perp} \gg k_{\parallel}$ ,  
381  $\omega > \omega_{ci}$ ,  $\delta B_{\parallel}^2 / \delta \mathbf{B}^2 \leq 0.5$ , and anti-correlated  $\delta n$  and  $\delta B_{\parallel}$  were consistent with highly oblique  
382 turbulence at electron-scales. While the magnetic fluctuations dominated the turbulent  
383 energy density throughout the ion-kinetic range, the fluctuation power in  $\delta \mathbf{V}_e^2$  exceeded  
384 that of  $\delta \mathbf{B}^2$  at electron scales. It is crucial to further characterize and understand this  
385 transition to an electron-motion-driven cascade in order to elucidate the physics of  
386 turbulence in collisionless plasmas.  
387

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391 entire MMS team and instrument leads for data access and support. The data presented in

392 this paper are the L2 data of MMS and can be accessed from MMS Science Data Center  
393 (<https://lasp.colorado.edu/mms/sdc/public/>).

394

#### 395 **APPENDIX: SPECTRAL NOISE IN FPI DATA**

396 There are several sources of noise that impact the estimation of power spectral density of  
397 plasma parameters. Plasma parameters are typically obtained through numerical  
398 integration of measured phase space densities. Time variations in these phase space  
399 densities due to counting statistics or improperly filtered particle populations will have  
400 corresponding frequency responses. In addition, the calculation of moments themselves  
401 will lead to features in frequency space if the energy-angle targets or limits of integration  
402 are not held constant. The latter, which arise in FPI due to variations in spacecraft  
403 potential [39] or interleaved energy-tables [28], are not typically significant compared to  
404 other sources of noise. Instead, here we focus on the contribution of noise from the  
405 random counting of particles, which affects both Dual Electron Spectrometer (DES) and  
406 Dual Ion Spectrometer sensor heads. We also discuss the contribution of low-energy  
407 photoelectrons that are generated inside and subsequently measured by DES.

408

409 As FPI sensors detect individual particles as part of a Poisson-counting process, random  
410 error is necessarily superimposed on measured phase space densities. The propagation of  
411 these statistical errors to arbitrary plasma moments has been derived by [38], and these  
412 uncertainties have been included in publicly available Level 2 FPI data products. To  
413 estimate the effect of these errors on power spectra, we constructed a time series of white  
414 noise using, at each time step, a zero mean and a standard deviation equal to the reported  
415 statistical error. We then calculated the power spectral density of this time series. As an  
416 example, in Figure 9, we considered fluctuations in number density on MMS1 of the  
417 interval studied in the main text, i.e., 4 October 2016 from 12:22:34-12:25:13 UT. The  
418 measured fluctuations in number density approached this spectral floor at high  
419 frequencies, and became dominated by Poisson noise above  $f_{sc} \sim 4$  Hz. The agreement  
420 between the predicted noise spectrum and that of the measured density fluctuations at  
421 high frequencies suggests accurate estimate of statistical uncertainties. To estimate errors  
422 for more complex quantities such as energy density, we assumed that statistical errors for  
423 each parameter (e.g., number density and bulk velocity) were independent of one another.

424

425 In addition, photoelectrons generated inside DES are measured at low energies and can  
426 contaminate electron data. Their complex structure due to varying sun-analyzer angles for  
427 each of the eight DES sensor heads per observatory leads to strong spin-phase variation  
428 in their effective phase space densities. This signature, however, has been modeled by  
429 [39], and has also been made publicly available on the MMS science data center. While  
430 the contribution of these photoelectrons has been removed from DES Level 2 moments, it  
431 is instructive to examine the spectral response of this particle population. We constructed

432 a time series of photoelectron contributions to the number density, and calculated the  
433 corresponding power spectral densities in Figure 9. Unlike the spectral response of the  
434 statistical uncertainties, which is flat, the photoelectron power spectra exhibits significant  
435 structure. Several sharp peaks were apparent above  $f_{sc} \sim 1$  Hz. However, because the  
436 number density of the interval studied here was  $\gg 1\text{cm}^{-3}$ , which is much larger than the  
437 effective density of the instrument photoelectrons, this spectral noise source could be  
438 neglected.

439

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564 **Figures Captions**

565

566

567 **Figure 1.** Overview of turbulence interval observed by MMS. (a) Electron energy  
568 spectrogram, (b) ion energy spectrogram, (c) ion bulk velocity, and (d) magnetic field  
569 vectors are shown from 12:22:34-12:25:13 UT on 4 October 2016 for MMS1. Small-  
570 scale fluctuations enable the estimation of an average background field and flow  
571 direction.

572

573 **Figure 2.** (a) The direction and (b) magnitude of wave vectors ( $\mathbf{k}$ ) determined by the  $\mathbf{J} \times \mathbf{B}$   
574 method as a function of frequency in the spacecraft frame in GSE coordinates. Data were  
575 averaged over all four MMS observatories. Here,  $k \approx k_{\perp} \gg k_{\parallel}$ .  $k$  varies as  $\omega_{sc}$  and  
576  $\omega_{sc}^{0.47 \pm 0.10}$  for  $f_{sc}$  below and above 4 Hz, respectively.

577

578 **Figure 3.** (a-c) Current density derived from (black) FPI data averaged across all four  
579 observatories, (red) the four-spacecraft gradient of the magnetic field (i.e., curlometer),  
580 and (blue) the plane-wave approximation applied to the four-spacecraft averaged  
581 magnetic field. Overall agreement between all three quantities implies accurate current  
582 densities derived from plasma instruments and a good estimation of wave vector as a  
583 function of frequency.

584

585 **Figure 4.** Power spectral density in the  $k_{\perp 1} - k_{\perp 2}$  at frequencies in the spacecraft frame  
586 between 0.25 and 3.00 Hz determined via  $k$ -filtering. The corresponding solution from  
587 the  $\mathbf{J} \times \mathbf{B}$  method at each frequency is indicated with a solid blue dot. In each, there is  
588 good agreement between location of the peak contour and the wave vector determined via  
589 the  $\mathbf{J} \times \mathbf{B}$  method, indicating a robust determination of  $\mathbf{k}$ . At higher frequencies, spatial  
590 aliasing effects distorted the shape and location of the peak power spectral density.

591

592 **Figure 5.**  $\omega/\omega_{ci}$  as a function of  $k\rho_i$  using  $\omega_{sc} = \omega + \mathbf{k} \cdot \mathbf{V}_0$ . Doppler-shifted points using  
593 estimated wave vectors are shown as dark gray dots. Uncertainty estimates for  $\mathbf{k} \cdot \mathbf{V}_0$  are  
594 described in the text. The dashed line indicates the curve  $\omega/k = v_{th}$ , i.e., waves traveling at  
595 the ion thermal speed. Solid lines correspond to solutions (red = fast  
596 magnetosonic/“classical-whistler”, blue/purple = “Alfvén-whistler”) of the two-fluid  
597 dispersion relation for  $\theta = 89.86^\circ$  and  $\beta_i = 0.3$ . At near-perpendicular propagation, i.e.,  
598  $\theta \sim 90^\circ$ , the “classic-whistler” and “Alfvén-whistler” branches asymptote at  $\omega = \sqrt{\omega_{ci} \omega_{ce}}$   
599 and  $\omega = \omega_{ce} \cos \theta$ , respectively [41]. Branch cuts and dashed lines were artificially added  
600 near harmonics of the ion cyclotron frequency to illustrate kinetic scale effects, including  
601 the presence of ion Bernstein mode waves (IBW), following [47].

602



603

604 **Figure 6.** Dispersion relation as a function of propagation angle using (a) the fast-  
605 magnetosonic/“classical-whistler” branch from two-fluid theory [41], (b) the “Alfvén-  
606 whistler” branch from two-fluid theory, and (c) generalized cold plasma dispersion  
607 relation used in studies of whistler turbulence [13,42]. Plasma parameters presented in  
608 this study were used to derive each set of curves. At highly oblique propagation angles,  
609 the “Alfvén-whistler” and analytical curves transition to  $\omega/k < v_{th}$ , taking on different  
610 properties than their “classical-whistler” counterparts.

611

612 **Figure 7.** (a) Power spectral density of  $\delta n/n$ ,  $\delta B_{\parallel}/B$ ,  $\delta B_{\perp}/B$ , (b) magnetic compressibility  
613  $\delta B_{\parallel}^2/\delta \mathbf{B}^2$ , and (c)  $\langle \delta n \delta B_{\parallel} \rangle$  as a function of frequency. Although Poisson noise dominates  
614 the density fluctuation spectrum above  $\sim 4$  Hz, it is clear that density and parallel  
615 magnetic field are anti-correlated throughout the ion-kinetic range. The magnetic  
616 compressibility remains below  $\sim 0.5$  at both ion and electron kinetic scales.  
617 Compressibilities in (b) and (c) were smoothed with a moving average window of  
618 frequencies within a factor of 1.2 of the window center.

619

620 **Figure 8.** Fluctuation power of magnetic (red), ion kinetic (blue), and electron kinetic  
621 (black) energies as a function of frequency. Energies are defined as  $\Sigma_{j=x,y,z} |\delta(\mathbf{B}_j/(\sqrt{2\mu_0}))|^2$ ,  
622  $\Sigma_j |\delta(\sqrt{(n_e m_e/2)} \mathbf{V}_{e,j})|^2$ , and  $\Sigma_j |\delta(\sqrt{(n_i m_i/2)} \mathbf{V}_{i,j})|^2$ , respectively, where each quantity  
623 represents the trace of its corresponding power spectral matrix. Spectral indices were  
624 calculated at fluid, ion, and electron scales over intervals marked by solid lines. Indices at  
625 the electron scales are reported for both  $k_{\perp} \propto \omega_{sc}$  (as plotted) and  $k_{\perp} \propto f_{sc}^{0.47}$  scalings.  
626 Electron motion dominates the energy density spectrum above at electron scales,  
627 independent of uncertainty in the scaling of  $k$  for  $f_{sc} > 4$ .

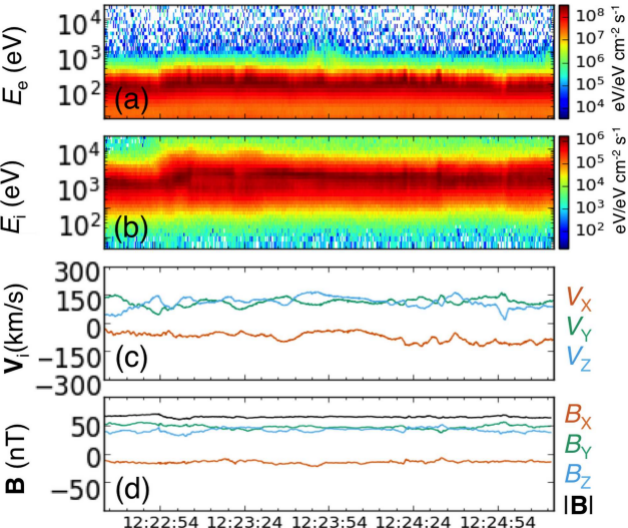
628

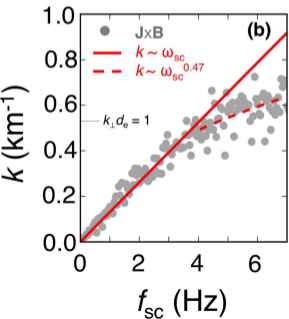
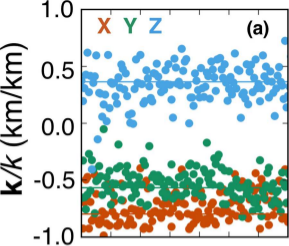
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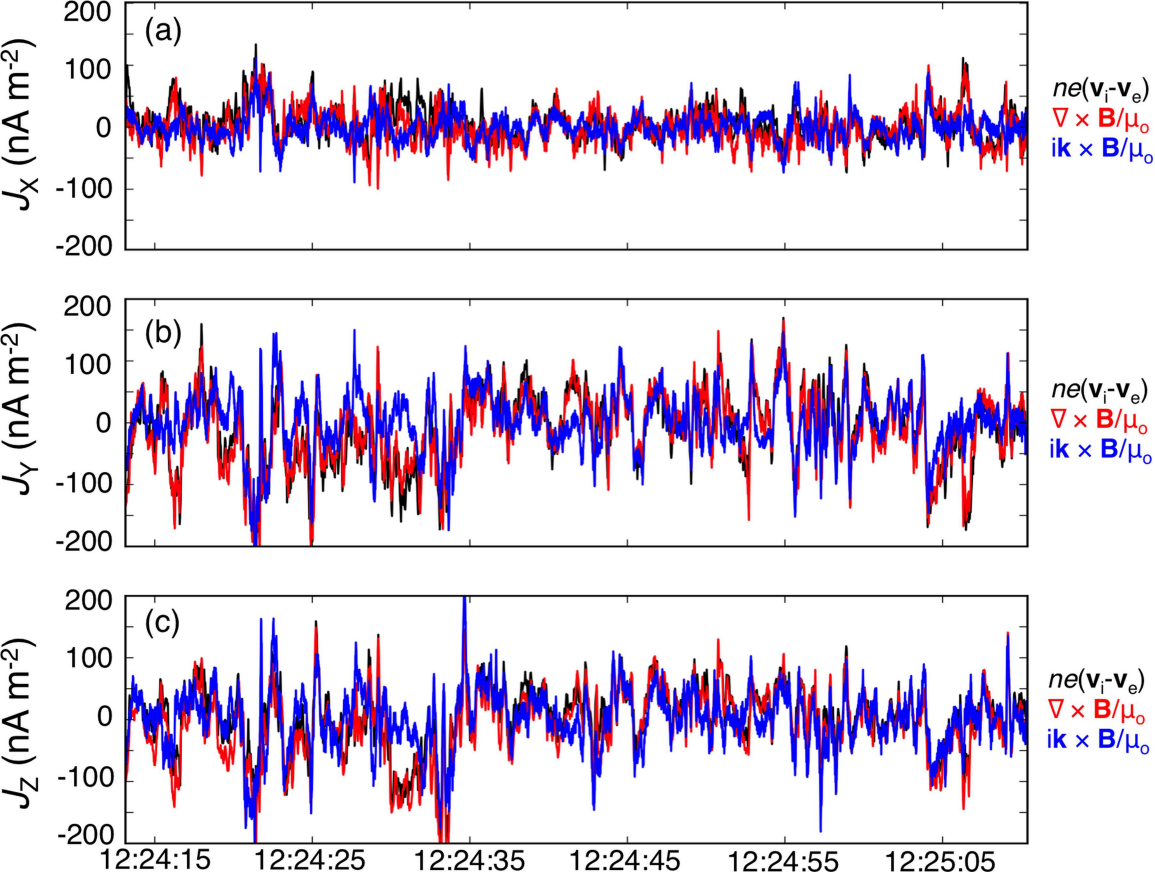
630 **Figure 9.** (a-c) Example time series of measured electron number density, modeled DES  
631 instrument photoelectron densities, and estimated Poisson noise on 4 Oct 2016 from  
632 12:22:34-12:25:13 UT on MMS1. (d) Power spectral density for each time series. The  
633 Poisson noise produces a flat spectrum that dominates the signal above  $\sim 4$  Hz.  
634 Photoelectrons exhibit a more complex spectrum, with sharp peaks above  $\sim 0.2$  Hz due to  
635 the superposition of instrument photoelectron signatures from 8 sensor heads, each with  
636 different sun-analyzer angles that vary with spacecraft spin phase. Because of the low  
637 effective density of instrument photoelectrons compared to the ambient plasma density,  
638 they were not considered as significant for this event.

4 October 2016

MMS1







Relative Power Spectral Density (arb)

 $10^{-1}$    $10^0$ 