#### 1 Effects of acute NH<sub>3</sub> air pollution on N-sensitive and N-tolerant lichen species 2

Luca Paoli<sup>1,\*</sup>, Ivana Maslaňáková<sup>2</sup>, Alice Grassi<sup>1</sup>, Martin Bačkor<sup>2</sup>, Stefano Loppi<sup>1</sup>

<sup>5</sup> <sup>1</sup>Department of Life Sciences, University of Siena, via P.A. Mattioli 4, I-53100, Siena, Italy;

<sup>2</sup> Department of Botany, Institute of Biology and Ecology, P.J. Šafárik University in Košice, Mánesova 23, SK-04001
 Košice, Slovakia

8

9 \*corresponding author: Luca Paoli

10 Tel. (+39) 0577 235408 – Fax (+39) 0577 232896 – email: paoli4@unisi.it

11

#### 12 Abstract

13

14 Lichens are sensitive to the presence of ammonia (NH<sub>3</sub>) in the environment. However, in order to 15 use them as reliable indicators in biomonitoring studies, it is necessary to establish unequivocally the occurrence of certain symptoms following the exposure to NH<sub>3</sub> in the environment. In this paper, 16 17 we simulated an episode of acute air pollution due to the release of NH<sub>3</sub>. The biological effects of 18 acute air pollution by atmospheric NH<sub>3</sub> have been investigated using N-sensitive (*Flavoparmelia* 19 caperata) and N-tolerant (Xanthoria parietina) species. Lichen samples were exposed to 20 ecologically relevant NH<sub>3</sub> concentrations for 8 weeks, simulating three areas of impact: a control 21 area (2  $\mu$ g/m<sup>3</sup>), an area of intermediate impact (2–35  $\mu$ g/m<sup>3</sup>) and an area of high impact (10–315 22  $\mu g/m^3$ ), with a peak of pollution reached between the fourth and fifth week. Ammonia affected both 23 the photobiont and the mycobiont in F. caperata, while in X. parietina only the photosynthetic performance of the photobiont was altered after exposure to the highest concentration. In the 24 25 photobiont of F. caperata we recorded chlorophyll degradation as indicated by OD<sub>435/415</sub> ratio, 26 decrease of the photosynthetic performance (as reflected by the maximum quantum yield of primary photochemistry  $F_V/F_M$  and the performance index PI<sub>ABS</sub>); in the mycobiont, ergosterol reduction, 27 membrane lipid peroxidation (as reflected by the increase of thiobarbituric acid reactive 28 29 substances), alteration (decrease) of the secondary metabolite usnic acid. No effects were detected 30 on caperatic acid and dehydrogenase activity. In X. parietina, the only signal determined by NH<sub>3</sub> was the alteration of  $F_V/F_M$  and the performance index PI<sub>ABS</sub>. The results suggest that physiological 31 parameters in N-sensitive lichens well reflect the effects of NH<sub>3</sub> exposure and can be applied as 32 33 early indicators in monitoring studies. 34

Keywords: chlorophyll fluorescence; dehydrogenase activity; ergosterol; industrial composting;
 lichens; TBARS

### 38 1. Introduction

39

37

40 Air pollution by ammonia (NH<sub>3</sub>) is a notable environmental concern since NH<sub>3</sub> contributes to the 41 deposition of eutrophicating substances which exceeds the critical loads for many ecosystems 42 (Asman et al., 1998) causing impacts ranging from decreased biodiversity, changes in species 43 composition and dominance, and toxicity effects (Fangmeier et al., 1994). Agricultural activities are responsible for over 90% of NH<sub>3</sub> emissions (Galloway et al., 2004), which occur primarily from 44 45 animal husbandry, manure storage and spreading and application of fertilizers. However, another notable NH<sub>3</sub> source, which is increasingly expanding in many countries, is from decomposition 46 47 (composting) of organic waste, since the mineralization of organic N-containing amino acids and 48 urea releases considerable amounts of NH<sub>3</sub>, the main cause of N pollution during composting of 49 organic waste (Zeng et al., 2012). In fact, N loss from industrial composting is mainly due to NH<sub>3</sub> 50 emissions, which account for 24-33% and 47-77% of the initial N content of household waste and

- 51 manure respectively (Beck-Friis et al., 2001; Martins and Dewes, 1992).
- Ammonia emission (and hence pollution) is not a uniform and continuous phenomenon, but rather goes through acute episodes. In fact, during aerobic treatment of organic waste, according to the
- 54 activity of different groups of microorganisms, mineralization of organic N results in two NH<sub>3</sub>

emissions peaks (Zeng et al., 2012) and levels of atmospheric NH<sub>3</sub> up to 700 mg/m<sup>3</sup> have been 55 56 reported around waste water sludge composting facilities (Haug, 1993). Ammonia is severely 57 irritating to the nose, throat and lungs, and human exposure to excess NH<sub>3</sub> has been shown to be a 58 relevant concern for the health and safety of exposed workers (Rahman et al., 2007).

59 Once released to the environment, NH<sub>3</sub> is readily converted to NH<sub>4</sub><sup>+</sup> or subject to dry deposition 60

(Fangmeier et al., 1994). Toxicity by NH<sub>4</sub><sup>+</sup>/NH<sub>3</sub> has been extensively studied in higher plants 61 (Fangmeier et al., 1994; Britto and Kronzucker, 2002) and detrimental effects can be early detected at physiological level, involving alteration of secondary metabolism and changes due to increased 62 uptake and assimilation of N (Fangmeier et al., 1994). Higher plants, bryophytes, lichens, soil 63 64 organisms and invertebrates can be profitably used as bioindicators of the effects of N pollution in 65 the environment, integrating non biological methods of analysis (Sutton et al., 2004).

In particular, lichens are very sensitive to atmospheric reactive N, especially NH<sub>3</sub> (Sutton et al., 66 67 2004). Being symbiotic organisms made up by an alga and a fungus, excess N is detrimental to the equilibrium between the two symbiotic partners and hence to the whole lichen, especially if one of 68 69 the two partners is more able than the other to cope with high N levels (Gries, 1996). The results of 70 previous studies suggested that the photosynthetic apparatus of lichens exposed to ecologically 71 relevant NH<sub>4</sub><sup>+</sup>/NH<sub>3</sub> concentration is directly susceptible to these pollutants in the vapour/gas phase 72 (Paoli et al., 2010a; Munzi et al., 2012). In addition, relevant NH<sub>4</sub><sup>+</sup>/NH<sub>3</sub> levels may affect membrane 73 lipids and hence alter cell membrane permeability (Fangmeier et al., 1994) and there is evidence that physiological parameters connected to membrane permeability are suitable tools for monitoring 74

75 biological effects of acute N pollution (Munzi et al., 2009).

In a previous work we investigated whether NH<sub>3</sub> emissions released during composting of organic 76 77 waste influenced during short-term exposures the lichens in the surrounding environment (Paoli et 78 al., 2014a). It was shown that exposing lichens around a composting plant allowed detecting early 79 physiological indications of potential biological changes before these consequences were apparent 80 at the community level. In particular, N-tolerant species were not affected by the proximity to the 81 facility and some parameters even suggested a better performance, while N-sensitive species showed reduced performances approaching the source. In addition, it was hypothesized that the 82 83 concentrations of NH<sub>3</sub> were highly fluctuating, with peaks during outdoor handling and maturation 84 of the compost, suggesting that acute episodes of pollution could be the reason for the observed 85 effects (Paoli et al., 2014a). It was concluded that lichens can provide useful data for decision-86 makers to establish correct science-based environmentally sustainable waste management policies. 87 However, since the interpretation of the results of field studies is often complicated by the 88 interactions among many environmental factors, experiments under controlled conditions are 89 necessary to separate the effects of specific environmental variables. The present experiment was 90 thus carried out to investigate the biological effects of a simulated acute air pollution by 91 atmospheric NH<sub>3</sub> on N-sensitive (Flavoparmelia caperata) and N-tolerant (Xanthoria parietina) lichens.

92 93

#### 94 2. Materials and methods

95

#### 96 2.1 Lichen species 97

98 Samples of the lichens Flavoparmelia caperata (L.) Hale and Xanthoria parietina (L.) Th.Fr. were 99 collected at the beginning of May 2013 from a remote area of central Italy far from pollution sources (Murlo, Tuscany 43°11'60" N, 11°21'33" E, 310 m a.s.l.) and transferred to the Botanical 100 101 Garden of the University of Siena.

102 Both lichen species have a similar foliose habitus and a green-algal photobiont (Trebouxia). They are however characterized by a different sensitivity to the presence of N compounds in the 103 104 environment (Nimis and Martellos, 2008): F. caperata grows in sites with no or weak 105 eutrophication (non-nitrophilous) and is sensitive to excess N in the environment, whereas X. parietina is a nitrophilous lichen, which may grow in sites with high eutrophication. In addition, F. 106

*caperata* is a mesophytic species chiefly growing in sites with diffuse light but scarce direct solar
 irradiation, up to sun-exposed sites, but avoiding extreme solar irradiation (Nimis and Martellos
 2008), while *X. parietina* is rather xerophytic and can tolerate extreme radiations. Both species are
 widely spread in lichen communities of the eu-mediterranean belt (i.e., in areas with a humid-warm
 climate, such as Tyrrhenian Italy): *F. caperata* is one of the most common species in *Quercus* stands
 and *X. parietina* is diffused in open stands, also in dry environments.

113

# 114 2.2 Experimental design and sample treatment115

Samples of *F. caperata* and *X. parietina* were divided in 3 batches and placed inside 3 experimental fumigation chambers of  $60 \times 40 \times 25$  cm<sup>3</sup>, located within one of the greenhouses of the Botanical Garden of the University of Siena. Based on previous field studies (Paoli et al., 2014a), each experimental chamber simulated a different situation of impact according to a gradient of NH<sub>3</sub> pollution: no impact (control), intermediate impact, high impact. In order to work with a similar lichen biomass, each experimental chamber contained about 50 thalli of *F. caperata* and about 100 thalli of *X. parietina* (whose thalli are generally more little than those of *F. caperata*).

123 Samples were treated for 8 weeks as shown in Table 1: during the first 3 weeks samples were 124 acclimated to low atmospheric NH<sub>3</sub>; then an episode of acute pollution from atmospheric NH<sub>3</sub> was 125 simulated for 2 weeks and during the last 3 weeks a moderate impact was simulated. Control samples were constantly treated at the concentration of 2  $\mu$ g/m<sup>3</sup>, roughly corresponding to 126 background values in Tuscany (Frati et al., 2007). Intermediate samples were treated at 127 concentrations of 2  $\mu$ g/m<sup>3</sup> during the first 3 weeks, at a peak of 100  $\mu$ g/m<sup>3</sup> during the 4<sup>th</sup> and 5<sup>th</sup> 128 weeks of exposure and at 10 µg/m<sup>3</sup> during last 3 weeks. High impact samples were treated at 129 concentrations of 10  $\mu$ g/m<sup>3</sup> during the first 3 weeks, at peaks of 300  $\mu$ g/m<sup>3</sup> during the episode of 130 acute pollution (4<sup>th</sup> and 5<sup>th</sup> week) and at 100 µg/m<sup>3</sup> during last three weeks (Table 1). 131

132 Ammonia was applied as follows: water solutions containing liquid NH<sub>3</sub> were prepared and placed 133 into open Petri dishes within the experimental chamber, then let evaporate within each chamber, 134 which remained closed. In the control chamber only water was applied. Relative humidity increased 135 during water evaporation: every two days, after water evaporated, a further solution containing  $NH_3$ 136 (or only water in controls) was applied opening the chamber only for the time necessary and closing 137 it after the treatment. Therefore, each chamber represented a sort of closed environment. The level 138 of atmospheric NH<sub>3</sub> (Table 1) was measured with passive air samplers (Radiello® diffusion tubes, 139 Aquaria). For each treatment two samplers were placed in each chamber for 7 days during the 3<sup>rd</sup>, 5<sup>th</sup> and 8<sup>th</sup> week. Samplers contained a filter impregnated with phosphoric acid that adsorbs gas-140 141 phase NH<sub>3</sub> as NH<sub>4</sub><sup>+</sup>, which can be measured spectrophotometrically by the indophenol blue method (Allen 1989). The detection limit was  $0.7 \mu g/m^3$ , uncertainty was 6.5%. 142

The experiment was run between May-June 2013. Microclimatic parameters under the 143 144 experimental conditions were regularly recorded between 12:00 and 1:00 p.m. and values were in the following range: solar radiation (1000-1550 µmol s<sup>-1</sup> m<sup>-2</sup>), temperature (23-30°C), relative 145 humidity (45–65%). All chambers were characterized by the same microclimatic conditions during 146 147 the experiment, which followed their normal daily fluctuation between day and night, so that the 148 main difference among the experimental chambers was the average level of NH<sub>3</sub>. Since there are no 149 known examples of dying lichens releasing volatile chemicals that could affect the physiological 150 responses in neighbouring thalli (independently of NH<sub>3</sub> impacts) lichen thalli within the same 151 chamber have been considered as independent samples.

152

# 153 2.3 Physiological parameters investigated

The following parameters were used to assess the physiological conditions of the samples: in the photobiont chlorophyll degradation and photosynthetic efficiency; in the mycobiont, membrane lipid peroxidation, dehydrogenase activity, ergosterol content and secondary metabolites. These latter parameters are chiefly or exclusively referred to the mycobiont, since it constitutes about 90% of the lichen biomass. Chlorophyll *a* fluorescence emission (indicator of the photosynthetic efficiency) was used as a non-destructive tool for a rapid screening of the vitality of the samples during the experiment. In this case, after 3 and 5 weeks of exposure, the limited amount of material necessary for the measurements was carefully cut from the marginal parts of the thalli and the thalli were placed again within the experimental chambers. After 8 weeks all the thalli were removed and used for the analyses foreseen at the end of the treatments. In order to reduce any source of variability, the lichen material was randomly selected cutting the marginal parts (up to 1 cm) of the thalli and mixed. Then the fraction necessary for each test was selected.

166

# 167 2.3.1 Chlorophyll degradation

168 Photosynthetic were extracted using dimethylsulfoxide pigments (DMSO), adding 169 polyvinylpyrrolidone (PVP) and filtering the solution before use (Barnes et al., 1992). 170 Flavoparmelia caperata contains lichen substances, which could degrade chlorophyll during extraction causing phaeophytinization (Brown and Hooker 1977). In order to remove these 171 substances, before pigment extraction, lichen samples (20 mg) were subjected to six 5-min 172 173 washings in 3 mL 100% acetone buffered with CaCO<sub>3</sub> (Pisani et al., 2007). Two extraction cycles, 174 45 min each, were run in a warm bath (65°C), using 5 mL of DMSO. Absorbance of the extracts 175 was measured using a UV-visible spectrophotometer (Agilent 8453). Chlorophyll degradation was 176 expressed by the ratio between the absorbance at 435 and 415 nm ( $OD_{435}/OD_{415}$ ), as suggested by 177 Ronen and Galun (1984). Five replicates were measured for each treatment.

178

### 179 2.3.2 Photosynthetic efficiency

The "vitality" of the lichen photobiont was checked by the maximum quantum yield of primary 180 photochemistry as inferred from chlorophyll *a* fluorescence emission:  $F_V/F_M = (F_M - F_0)/F_M$ , where 181  $F_0$  and  $F_M$  are minimum and maximum chlorophyll *a* fluorescence and  $F_V = (F_M - F_0)$  is the variable 182 fluorescence. Measurements were carried out with a Plant Efficiency Analyser (Handy PEA, 183 184 Hansatech Ltd, Norfolk, UK). In addition, the performance index (PI<sub>ABS</sub>), a global indicator of the 185 photosynthetic performance was calculated to express the overall vitality of the samples (Strasser et al. 2000). The parameter PI<sub>ABS</sub> combines in a single expression the three functional steps of the 186 187 photosynthetic activity (light absorption, excitation energy trapping, and conversion of excitation 188 energy to electron transport), resulting in a very sensitive indicator of stress suitable to be applied 189 for physiological and environmental screenings. Up to ten replicates were measured for each 190 treatment and time.

191

### 192 2.3.3 Membrane lipid peroxidation

193 Membrane lipid peroxidation was estimated using the thiobarbituric acid reactive substances 194 (TBARS) assay. About 50 mg of lichen material was rinsed in distilled water and then homogenized in a mortar using 2 mL of 0.1% (w/v) trichloracetic acid (TCA) with the addition of sand. 1.5 mL of 195 196 the homogenate was put in eppendorf tubes and centrifuged at 12000 g for 20 min. 0.5 mL of the 197 supernatant were collected and added to 1.5 mL of 0.6% thiobarbituric acid in 10% TCA and put in glass tubes. Tubes were put in the oven at 95°C for 30 min, cooled in an ice bath and then solutions 198 199 were centrifuged again at 12000 g for 10 min. The absorbance of the supernatant was measured at 200 532 nm and corrected for non-specific absorption at 600 nm. Concentration of TBARS was 201 calculated using the extinction coefficient for the TBA-MDA complex (155 mM<sup>-1</sup> cm<sup>-1</sup>) and the 202 results expressed as  $\mu$ mol/g (dw). Five replicates were measured for each treatment.

203

# 204 2.3.4 Dehydrogenase activity

Triphenyltetrazolium chloride (TTC) reduction to triphenylformazan (TPF) is a good indicator of dehydrogenase activity (dark respiration) and was used to assess sample viability (Bačkor and

Fahselt, 2005). Ca. 15 mg of lichen material was incubated in the dark for 20 hours in 2 mL of 0.6%

TTC and 0.005% Triton X 100 solution in 50 mM phosphate buffer. Solutions were then removed and samples rinsed in distilled water until bubbles of Triton X were produced. Water-insoluble

formazan was extracted with 6 mL of ethanol at 65°C for 1 h. Tubes were then centrifuged at 4000

211 g for 10 min and absorbance read at 492 nm. Results were expressed as absorbance units/g (dw).

212 Five replicates were measured for each treatment.

213

# 214 2.3.5 Ergosterol content

Ergosterol content in lichens is sensitive to the exposure to heavy metals, which likely reduces the 215 integrity of cell membranes of the mycobiont. Three replicates were measured at each site. Samples 216 217 of 100 mg of lichen material were homogenized for 10 min in 99% ethanol. Extracts were 218 transferred to 1.5 mL Eppendorf tubes and shaken in the dark at 25 °C for 30 min, then vortexed and centrifuged at 10000 g for 20 min. The resulting supernatant was immediately analysed by HPLC in 219 220 a Kromasil 100 C18 column (150 x 4.6 mm, particle size 7 µm) as separator, with flow rate 0.8 mL 221 min<sup>-1</sup> and isocratic elution with methanol as mobile phase (Dahlman et al., 2002). Total analysis 222 time was 15 min. Ergosterol absorption at 280 nm was measured with a UV detector (Ecom LCD 223 2084). A standard curve was prepared ranging 1-200 µg ergosterol (Sigma-Aldrich, USA) dissolved in 1mL of ethanol. As ergosterol is sensitive to light, all steps were conducted almost in the dark. 224 225 Three replicates were measured for each treatment.

226

# 227 2.3.6 Secondary metabolites

228 Secondary metabolites were measured as indicated by Bačkor et al. (2011). Usnic and caperatic acid 229 were measured in F. caperata and parietin was analysed in X. parietina. Cleaned samples (15 mg 230 dw) were extracted in 1 mL cool acetone till acetone evaporation. Acetone extracts were collected 231 and the residues were dissolved with fresh 1 mL of acetone, during 40 s were materials blended on a 232 whirl mixer and filtered extracts were analysed by gradient HPLC under the following conditions: column Tessek SGX C<sub>18</sub>, flow rate: 0.7 mL min<sup>-1</sup>, mobile phase: A= H<sub>2</sub>O: acetonitrile: H<sub>3</sub>PO<sub>4</sub> 233 234 (80:19:1) and B= 95% acetonitrile. Gradient program: 0 min 25% B, 5 min 50% B, 20 min 100% B, 235 25 min 25% B. The detection wavelength was 245 nm (detector Ecom LCD 2084). Usnic acid 236 (Aldrich) was used as standard. Standard of caperatic acid was prepared from crystallized acetone 237 extracts from F. caperata (purity 100%). Standard of parietin was prepared from crystallized 238 acetone extracts from X. partietina (purity 98%). Three replicates were measured for each 239 treatment.

240

246

# 241 2.4 Statistical analysis242

After checking the normality of data distribution (Shapiro-Wilk, 95% confidence interval), one-way analysis of variance and Tukey's pairwise comparison (P < 0.05) were run to investigate the effects of NH<sub>3</sub> concentrations on the investigated physiological parameters.

# 247 **3. Results**

248 249 The maximum quantum yield of primary photochemistry  $(F_V/F_M)$  was used as a non-destructive tool 250 for a rapid screening of the vitality of the samples during the experiment (Figure 1). Signs of 251 alteration following NH<sub>3</sub> treatments emerged after 5 weeks in F. caperata (N-sensitive) both in the 252 cases with high and intermediate impact, while X. parietina (N-tolerant) was affected only in the 253 case with the highest impact. A comparison accounting the time of exposure revealed a weak 254 decrease of F<sub>V</sub>/F<sub>M</sub> also in the samples of the control case (respect to values pre-treatment). The 255 experimental conditions were thus partially selective on this parameter after five weeks in F. 256 *caperata* and eight weeks in *X. parietina*.

257 The results of ANOVA indicated that at the end of the treatments  $NH_3$  affected both the photobiont

and the mycobiont in *F. caperata*, while in *X. parietina* only the photosynthetic performance of the

259 photobiont was altered at the highest concentration (Tables 2 and 3).

260 In detail, in *F. caperata* (N-sensitive) we recorded chlorophyll degradation, impairment of the 261 photosynthetic performance,  $(F_V/F_M \text{ and } PI_{ABS})$  ergosterol reduction, membrane lipid peroxidation,

262 reduction of the secondary metabolite usnic acid at both intermediate and high concentrations. No

effects were detected on caperatic acid and dehydrogenase activity. In *X. parietina* (N-tolerant), we only recorded a decrease of  $F_V/F_M$  and  $PI_{ABS}$  at the highest concentration. The exposure to NH<sub>3</sub> under the experimental conditions did not alter chlorophyll integrity, dehydrogenase activity, TBARS production, ergosterol concentration. The content of secondary metabolites (parietin) showed a tendency for the production of parietin in samples exposed to NH<sub>3</sub>.

268

# 269 **4. Discussion**

270

271 The experiment simulated the exposure of lichens into three different situations around a point 272 source concerned by an episode of acute air pollution from atmospheric NH<sub>3</sub>. Our NH<sub>3</sub> concentrations, spanning from a peak of 300  $\mu$ g/m<sup>3</sup> in the simulated high impact, down to 2  $\mu$ g/m<sup>3</sup> in 273 the simulated control area, are in line with those documented by Frati et al. (2007) around a pig 274 275 farm, since they reported a peak of 267  $\mu$ g/m<sup>3</sup>NH<sub>3</sub> and a 98% reduction of NH<sub>3</sub> achieved already in the first 200 m from the source. Atmospheric NH<sub>3</sub> generally decreases exponentially with distance 276 277 from the polluting source (Pinho et al., 2012; Fowler et al., 1998; Jones et al., 2013). Paoli et al. 278 (2010a) assessed the effects of NH<sub>3</sub> pollution on lichen photosynthesis and measured 62.4±4.3 279  $\mu g/m^3$  at a sheep farm in Greece, which decreased to 15  $\mu g/m^3$  at 60 m from the farm down to 2 280  $\mu g/m^3$  in a remote area 5 km away, in parallel with the improvement of the photosynthetic 281 performance of the lichens.

During the aerobic composting of biowaste, concentrations of NH<sub>3</sub> in the waste gas up to 227 282 283 mg/m<sup>3</sup> were reported (Smet et al., 1999), while during animal housing and transportation, values up to 22.5 mg/m<sup>3</sup> have been documented (Costa et al., 2003), corresponding to levels potentially 284 harmful for the animals (Gustin et al., 1994). Paoli et al. (2014a) assessed the biological effects of 285 NH<sub>3</sub> released during three months of activity from a composting plant of organic wastes using 286 lichen transplants. Respect to unexposed samples, NH<sub>3</sub> around the facility (peak of 48.7±18.9 287 288  $\mu g/m^3$ ) affected the overall vitality (in particular the photosynthetic performance) of non-289 nitrophilous lichens (E. prunastri), but did not affect negatively that of nitrophilous species (X. 290 parietina). In our study, NH<sub>3</sub> led to oxidative stresses, which affected both the photobiont and the 291 mycobiont in F. caperata, while in X. parietina only the photosynthetic performance of the 292 photobiont was altered.

Concerning the photobiont, besides altering the photosynthetic performance, NH<sub>3</sub> led to a 293 294 significant chlorophyll degradation in F. caperata. Consistently with the observations of Munzi et 295 al. (2009), chlorophyll degradation was not detected in X. parietina. Frati et al. (2007) reported 296 signs of injury in samples of *F. caperata* exposed in the centre of a pig farm, while these symptoms 297 were not visible in X. parietina, confirming that the former is a more sensitive species to NH<sub>3</sub> 298 pollution and the latter is a resistant one. However, our prolonged exposure to peak concentrations 299 (for two weeks) reduced the vitality of the photobiont also in X. parietina. Relevant  $NH_4^+/NH_3$ 300 levels may be toxic to the photosynthetic apparatus as they function as electron acceptors, 301 uncoupling electron transport (Losada and Arnon, 1963) and the photosynthetic performance of the 302 lichen photobiont can be considered a suitable indicator of the effects of NH<sub>4</sub><sup>+</sup>/NH<sub>3</sub> in the 303 environment (Munzi et al. 2010; Paoli et al. 2010a). Similarly, treatments of N-sensitive lichens (E. *prunastri*) with ecologically relevant  $NH_4^+$  concentrations (50–500  $\mu$ M  $NH_4Cl$ , simulating 304 305 prolonged exposure) reduced the photosynthetic performance of the photobiont (Munzi et al., 306 2012). The fact that the photosynthetic performance partially decreased also in our (simulated) 307 control case (after five weeks in F. caperata and later, after eight weeks in X. parietina) is perfectly 308 in line with the autoecology of these species: F. caperata is mesophytic and potentially sensitive to 309 extreme radiations under stressing microclimatic conditions, such as during a Mediterranean 310 summer, while X. parietina is rather xerophytic and can better tolerate extreme conditions (Paoli et 311 al., 2010b).

312 Concerning the mycobiont, the investigated parameters suggested that oxidative stresses occurred in 313 *F. caperata* and have been prevented in *X. parietina*. Reactive oxygen species degrade 314 polyunsaturated lipids, forming malondialdehyde, which is the main constituent of TBARS. 315 Ammonia pollution enhanced TBARS production in *F. caperata*, but not in *X. parietina*. However,

TBARS production has been reported as a consequence of the assimilation of high concentrations of chemical elements (e.g. Ce, Sb) also in the lichen *X. parietina*, when all physiological parameters pinpoint the overall status of alteration of the thalli (Paoli et al., 2013; 2014b).

Under the experimental conditions, ergosterol content decreased in the mycobiont of *F. caperata*, according to NH<sub>3</sub> concentration, but was unaffected in that of *X. parietina*. Ergosterol is the principal sterol of the plasma membrane of fungi and may thus reflect the amount of metabolically active cells in the mycobiont (Sundberg et al., 1999). Fungal membranes are a suitable target to detect the effects of acute N pollution (Munzi et al., 2009) and ergosterol content has been reported as a parameter negatively effected by air pollution, e.g., by heavy metals (Bačkor et al., 2006).

Ammonia did not significantly affect dehydrogenase activity (TTC reduction to triphenylformazan), both in *F. caperata* and *X. parietina*, however, the results of a previous study suggested that NH<sub>3</sub> may induce changes in dark respiration in the N-sensitive lichen *E. prunastri* (Paoli et al., 2014a).

328 Usnic acid, a yellow cortical pigment with antibiotic effects, is deposited in the form of crystals on

329 the surface of lichen mycobionts as well as photobionts. Produced by the mycobiont, it can regulate

photobiont cell division in the thalli, screen from excessive sunlight, detoxify from metal pollution

and prevent oxidative stresses (Bačkor et al., 2010; Caviglia et al., 2001; Cocchietto et al., 2002).

Exposure to  $NH_3$  in *F. caperata* altered the content of usnic acid, but did not affected that of caperatic acid, a medullary compound with a protective role. Interestingly, the ratio between caperatic and usnic acid raised from the control (3.6), intermediate (7.1), up to the high impact case (9.5).

Our results suggest that the mycobiont of F. caperata was not able to produce usnic acid as a 336 consequence of NH<sub>3</sub> exposure and a series of oxidative stresses occurred, as witnessed by ergosterol 337 338 decrease and malondialdehyde production. It seems that the mycobiont was affected by high NH<sub>3</sub> 339 concentrations (as usnic acid and ergosterol content decrease support) but probably it was still 340 metabolic active, as justified by stable dehydrogenase activity and caperatic acid content. Caperatic 341 acid probably plays a more important role in tolerance to N pollution than usnic acid, which is 342 important in detoxification from metal pollution. On the other hand, in X. parietina ergosterol and 343 malondialdehyde content remained stable and the exposure to NH<sub>3</sub> likely induced parietin 344 production, evident comparing exposed (irrespective of the concentration) vs control thalli (at P =345 0.10). Our results would suggest that parietin, a photoprotective metabolite of the lichen X. 346 *parietina*, can presumably act as an antioxidant preventing cell membranes from oxidative stresses. 347 In fact, parietin production was reported as a likely defensive mechanism upon exposure of X. 348 parietina to air pollutants in the environment (Silberstein et al., 1996).

The reason of the tolerance of *X. parietina* to excess N can be, at least partially, explained by the low cation exchange capacity, which allows avoiding excess N uptake and the ability to provide carbon (C) skeletons for N assimilation (Gaio-Oliveira et al., 2005). It was estimated that *X. parietina* can tolerate an  $NH_4^+$  deposition load of 1,000 kg ha<sup>-1</sup> yr<sup>-1</sup> (Gaio-Oliveira et al., 2004).

353 At ecological level, it was shown the diffusion of X. parietina in areas with higher  $NH_3$  in the 354 environment, despite a decreased photosynthetic efficiency  $(F_V/F_M)$  was observed in sites above 50  $\mu g/m^3$ , suggesting that the ecological success of X. parietina at NH<sub>3</sub>-rich sites might be related to 355 356 indirect effects of increased N availability (Munzi et al., 2014). The photosynthetic efficiency 357 already decreased for N-sensitive species (E. prunastri) already above the level of 3 µg/m<sup>3</sup> (Munzi et al., 2014). Similarly, in the field we observed the diffusion of nitrophilous species in the presence 358 359 of NH<sub>3</sub> released from a composting plant and a shift from lichen communities composed chiefly by 360 meso-acidophilous species (at ca. 3  $\mu g/m^3$ ) to more nitrophilous communities approaching the 361 source (ca. 49  $\mu$ g/m<sup>3</sup>) (Paoli et al., 2014a).

362

# 363 Conclusions

364

365 The simulated episode of acute air pollution by atmospheric  $NH_3$  induced alterations on both the 366 photobiont and the mycobiont in the N-sensitive lichen *F. caperata*: we reported chlorophyll 367 degradation and decrease of the photosynthetic performance in the photobiont; ergosterol reduction, 368 membrane lipid peroxidation, decrease of the content of usnic acid in the mycobiont. In the N-369 tolerant X. parietina only the photosynthetic performance of the photobiont was altered after the 370 exposure to the highest  $NH_3$  concentration. The resistance of the mycobiont of X. parietina can 371 explain the ability of this species to tolerate NH<sub>3</sub> pollution and hence its nitrophilous behaviour. On 372 the whole, the results indicated that physiological parameters in N-sensitive lichens well reflect the 373 effects of NH<sub>3</sub> exposure and can be applied as early indicators in monitoring studies in order to 374 detect early signs of potential biological changes.

- 376 **References**
- 377

- 378 Allen, S.E., 1989. Chemical Analysis of Ecological Materials. Blackwell, Oxford, United Kingdom.
- Asman, W.A.H., Sutton, M.A., Schjørring, J.K., 1998. Ammonia: emission, atmospheric transport
   and deposition. New Phytol. 139, 27–48.
- Bačkor, M., Fahselt, D., 2005. Tetrazolium reduction as an indicator of environmental stress in
   lichens and isolated bionts. Environ. Exp. Bot. 53, 125–133.
- Bačkor, M., Ivanova, V., Laatsch, H., Lokajová, V., Bačkorová, M., 2013. Allelopathic effects of
  lichen secondary metabolites on lichen photobiont *Trebouxia erici*. Allelopathy J. 31, 189–
  198.
- Bačkor, M., Klemová, K., Bačkorová, M., Ivanova, V., 2010. Comparison of the phytotoxic effects
   of usnic acid on cultures of free-living alga *Scenedesmus quadricauda* and aposymbiotically
   grown lichen photobiont *Trebouxia erici*. J. Chem. Ecol. 36, 405–411.
- Bačkor, M., Pawlik-Skowrońska, B., Tomko, J., Buďová, J., Sanità di Toppi, L., 2006. Response to
  copper stress in aposymbiotically grown lichen mycobiont *Cladonia cristatella*: uptake,
  viability, ergosterol and production of non-protein thiols. Mycol. Res. 110, 994–999.
- Bačkor, M., Péli, E.R., Vantová, I., 2011. Copper tolerance in the macrolichens *Cladonia furcata* and *Cladina arbuscula* subsp. *mitis* is constitutive rather than inducible. Chemosphere 85,
   106–113.
- Barnes, J.D., Balaguer, L., Manrique, E., Elvira, S., Davison, A.W., 1992. A reappraisal of the use of
   DMSO for the extraction and determination of chlorophylls *a* and *b* in lichens and higher
   plants. Environ. Exp. Bot. 32, 85–100.
- Beck-Friis, B., Smars, S., Jonsson, H., Kirchmann, H., 2001. Gaseous emissions of carbon dioxide,
   ammonia and nitrous oxide from organic household waste in a compost reactor under different
   temperature regimes. J. Agr. Eng. Res. 78, 423–430.
- 401 Britto, D.T., Kronzucker, H.J., 2002.  $NH_4^+$  toxicity in higher plants: a critical review. J. Plant 402 Physiol. 159:567–584.
- 403 Caviglia, A.M., Nicora, P., Giordani, P., Brunialti, G., Modenesi, P., 2001. Oxidative stress and
  404 usnic acid content in *Parmelia caperata* and *Parmelia soredians* (Lichenes). Farmaco 56,
  405 379–382.
- 406 Cocchietto, M., Skert, N., Nimis, P.L., Sava, G., 2002. A review on usnic acid, an interesting natural
   407 compound. Naturwissenschaften 89, 137–146.
- 408 Costa, N., Accioly, J., Cake, M., 2003. Determining critical atmospheric ammonia levels for cattle,
  409 sheep and goats a literature review. Project LIVE.218, Meat & Livestock Australia Ltd, pp.
  410 43.
- Brown, D.H., Hooker, T.N., 1977. The significance of acidic lichen substances in the estimation of
  chlorophyll and phaeophytin in lichens. New Phytol. 78, 617–624.
- 413 Dahlman, L., Zetherström, M., Sundberg, B., Näsholm, T., Palmqvist, K., 2002. Measuring
- 414 ergosterol and chitin in lichens, in: Kranner, I., Beckett, R., Varma, A., (Eds.), Protocols in
  415 Lichenology: Culturing. Biochemistry. Ecophysiology and Use in Biomonitoring. Springer416 Verlag, pp. 348–362.
- Fangmeier A, Hadwiger-Fangmeier A, Van der Eerden L, Jäger H-J., 1994. Effect of atmospheric
  ammonia on vegetation a review. Environ. Pollut. 86, 43–82.

- Fowler, D., Pitcairn, C.E.R., Sutton, M.A., Flechard, C., Loubet, B., Coyle, M., Munro, R.C., 1998.
  The mass budget of atmospheric ammonia in woodland within 1 km of livestock buildings.
  Environ. Pollut. 102, 343–348.
- Frati, L., Santoni, S., Nicolardi, V., Gaggi, C., Brunialti, G., Guttová, A., Gaudino, S., Pati, A.,
  Pirintsos, S.A., Loppi, S., 2007. Lichen biomonitoring of ammonia emission and nitrogen
  deposition around a pig stockfarm. Environ. Pollut. 146, 311–316.
- Gaio-Oliveira, G., Dahlman, L., Palmqvist, K., Máguas, C., 2004. Ammonium uptake in the
  nitrophytic lichen *Xanthoria parietina* and its effects on vitality and balance between
  symbionts. Lichenologist 36, 75–86.
- Gaio-Oliveira, G., Dahlman, L., Palmqvist, K., Martins-Loução, M.A., Máguas. C., 2005. Nitrogen
  uptake in relation to excess supply and its effects on the lichens *Evernia prunastri* (L.) Ach
  and *Xanthoria parietina* (L.) Th. Fr. Planta 220, 794–803.
- Galloway, J.N., Dentener, F.J., Capone, D.G., Boyer, E.W., Howarth, R.W., Seitzinger, S.P., Asner,
  G.P., Cleveland, C.C., Green, P.A., Holland, E.A., Karl, D.M., Michaels, A.F., Porter, A.H.,
  Townsend, A.R., Vo"osmarty, C.J., 2004. Nitrogen cycles: past, present, and future.
  Biogeochemistry 70, 153–226.
- Gries. C., 1996. Lichens as indicators of air pollution, in: Nash III TH (Ed.), Lichen biology,
  Cambridge University Press, Cambridge, pp. 240–254.
- Gustin, P., Urbain, B., Prouvost, J.F., Ansay, M., 1994. Effects of atmospheric ammonia on
  pulmonary hemodynamics and vascular permeability in pigs: interaction with endotoxins,
  Toxicol. Appl. Pharm. 125, 17–26.
- Haug, R.T., 1993. The practical handbook of compost engineering. Lewis Publishers, Boca Raton,
   Florida, USA.
- Jones, L., Nizam, M.S., Reynolds, B., Bareham, S., Oxley, E.R.B., 2013. Upwind impacts of
  ammonia from an intensive poultry unit. Environ. Pollut. 180, 221–228.
- Losada, M., Arnon, D.J., 1963. Selective inhibitors of photosynthesis, in: Hochster, R.M., Quastel,
  J.H., (Eds.), Metabolic inhibitors: a comprehensive treatise, vol II. New York, Academic
  Press, pp 559–593.
- 447 Martins, O., Dewes, T., 1992. Loss of nitrogenous compounds during composting of animal wastes.
  448 Biores. Technol. 42, 103–111.
- Munzi, S., Cruz, C., Branquinho, C., Pinho, P., Leith, I.D., Sheppard, L.J., 2014. Can ammonia
  tolerance amongst lichen functional groups be explained by physiological responses? Environ.
  Pollut. 187, 206–209.
- Munzi, S., Paoli, L., Fiorini, E., Loppi, S., 2012. Physiological response of the epiphytic lichen
   *Evernia prunastri* (L.) Ach. to ecologically relevant nitrogen concentrations. Environ. Pollut.
   171, 25–29.
- Munzi, S., Pirintsos, S.A., Loppi, S., 2009. Chlorophyll degradation and inhibition of polyamine
  biosynthesis in the lichen *Xanthoria parietina* under nitrogen stress. Ecotox. Environ. Safe. 72,
  281–285.
- Munzi, S., Pisani, T., Loppi, S., 2009. The integrity of lichen cell membrane as a suitable parameter
  for monitoring biological effects of acute nitrogen pollution. Ecotox. Environ. Safe. 72, 2009–
  2012.
- Munzi, S., Pisani, T., Paoli, L., Loppi, S., 2010. Time- and dose-dependency of the effects of
   nitrogen pollution on lichens. Ecotox. Environ. Safe. 73, 1785–1788.
- 463 Nimis, P.L., Martellos, S., 2008. *ITALIC* The Information System on Italian Lichens. Version 4.0.
  464 University of Trieste, Dept. of Biology, IN4.0/1 (http://dbiodbs.univ.trieste.it/).
- Paoli, L., Benesperi, R., Proietti Pannunzi, D., Corsini, A., Loppi, S., 2014. Biological effects of
  ammonia released from a composting plant assessed with lichens. Environ. Sci. Pollut. Res.
  21, 5861–5872.
- Paoli, L., Fiorini, E., Munzi, S., Sorbo, S., Basile, A., Loppi, S., 2013. Antimony toxicity in the
  lichen *Xanthoria parietina* (L.) Th. Fr. Chemosphere 93, 2269–2275.
- 470 Paoli, L., Fiorini, E., Munzi, S., Sorbo, S., Basile, A., Loppi, S., 2014b. Uptake and acute toxicity of

- 471 cerium in the lichen *Xanthoria parietina*. Ecotox. Environ. Safe. 104, 379–385.
- 472 Paoli, L., Pirintsos, S.A., Kotzabasis, K., Pisani, T., Navakoudis, E., Loppi, S., 2010a. Effects of
  473 ammonia from livestock farming on lichen photosynthesis. Environ. Pollut. 158, 2258–2265.
- 474 Paoli, L., Pisani, T., Munzi, S., Gaggi, C., Loppi, S., 2010b. Influence of sun irradiance and water
  475 availability on lichen photosynthetic pigments during a Mediterranean summer. Biologia 65,
  476 776–783.
- Pinho, P., Theobald, M.R., Dias, T., Tang, Y.S., Cruz, C., Martins-Loução, M.A., Máguas, C.,
  Sutton, M.A., Branquinho, C., 2012. Critical loads of nitrogen deposition and critical levels of
  atmospheric ammonia for semi-natural Mediterranean evergreen woodlands. Biogeosciences
  9, 1205–1215.
- Pisani, T., Paoli, L., Gaggi, C., Pirintsos, S.A., Loppi, S., 2007. Effects of high temperature on
  epiphytic lichens: issues for consideration in a changing climate scenario. Plant Biosyst. 141,
  1–6.
- Rahman, M.H., Bråtveit, M., Moen, B.E., 2007. Exposure to ammonia and acute respiratory effects
  in a urea fertilizer factory. Int J. Occup Env Heal 13, 153–159.
- 486 Ronen, R., Galun, M., 1984. Pigment extraction from lichens with dimethylsulfoxide (DMSO) and
  487 estimation of chlorophyll degradation. Environ. Exp. Bot. 24, 239–245.
- Silberstein, L., Siegel, B.Z., Siegel, S.M., Mukhtar, A., Galun, M., 1996. Comparative studies on
   *Xanthoria parietina*, a pollution resistant lichen, and *Ramalina duriaei*, a sensitive species. II.
   Evaluation of possible air pollution-protection mechanisms. Lichenologist, 28, 367–383.
- 491 Smet, E., Van Langenhove, H., De Bo, I., 1999. The emission of volatile compounds during the
  492 aerobic and the combined anaerobic/aerobic composting of biowaste. Atmos. Environ. 33,
  493 1295–1303.
- 494 Strasser, R.J., Srivastava, A., Tsimilli-Michael, M., 2000. The fluorescence transient as a tool to
  495 characterise and screen photosynthetic samples, in: Yunus, M., Pathre, U., Mohanty, P. (Eds.),
  496 Probing photosynthesis: mechanisms, regulation and adaptation. Taylor & Francis, London,
  497 pp. 445–483.
- Sundberg, B., Ekblad, A., Näsholm, T., Palmqvist, K., 1999. Lichen respiration in relation to active
   time, temperature, nitrogen and ergosterol concentrations. Funct. Ecol. 13, 119–125.
- Sutton, M.A., Pitcairn, C.E.R., Whitfield, C.P., (Eds.) 2004. Bioindicator and Biomonitoring
   Methods for Assessing the Effects of Atmospheric Nitrogen on Statutory Nature Conservation
   Sites. Joint Nature Conservation Committee Report 356.
- Zeng, Y., De Guardia, A., Ziebal, C., Junqueira De Macedo, F., Dabert, P., 2012. Nitrification and
   microbiological evolution during aerobic treatment of municipal solid wastes. Biores Technol
   110, 144–152.

Table 1. Atmospheric NH<sub>3</sub> concentrations ( $\mu$ g/m<sup>3</sup>) measured with passive air samplers. 

impact of NH<sub>3</sub> (Tukey's pairwise comparison, P < 0.05).

-		,	Ĩ		
weeks	Ammonia concentrations (µg/m³)				
	Control	Intermediate impact	High impact		
1-3 acclimation	$2.1\pm0.8$	$1.9 \pm 0.7$	$10.3 \pm 0.6$		
4 – 5 acute pollution	$2.2\pm0.8$	$35 \pm 4$	$315 \pm 4$		
6 – 8 pollution	$1.9 \pm 0.5$	$10 \pm 1$	$101 \pm 2$		

531	Table 2. Physiological parameters in <i>Flavoparmelia caperata</i> after 8 weeks of exposure to NH <sub>3</sub> . F
532	and <i>P</i> values of ANOVA. Values in each line followed by a different letter differ according to the

Physiological parameters	Environmental conditions				
	Control	Intermediate impact	High impact		
OD <sub>435/415</sub>	$1.03\pm0.01a$	$0.81\pm0.04b$	$0.67 \pm 0.03c$	F = 115.3 P = 0.000	
$\mathbf{F}_{v}/\mathbf{F}_{M}$	$0.551\pm0.078a$	$0.321\pm0.098b$	$0.155\pm0.141c$	F = 30.03 P = 0.000	
PI <sub>ABS</sub>	$0.133\pm0.060a$	$0.009\pm0.010b$	$0.004\pm0.010b$	F = 37.45 P = 0.000	
Dehydrogenase (A <sub>492</sub> /g)	$2.45\pm0.46$	$3.40\pm0.86$	$3.02\pm0.69$	F = 1.440 P = 0.308	
TBARS (µmol/g)	$7.3 \pm 1.4c$	$19.4\pm7.9b$	$29.7\pm7.7a$	F = 4.218 P = 0.084	
Ergosterol (mg/g)	$0.58\pm0.05a$	$0.36\pm0.04b$	$0.29\pm0.03c$	F = 39.73 P = 0.000	
Caperatic acid (% dw)	$8.5 \pm 1.0$	$8.0 \pm 2.3$	$9.5\pm1.7$	F = 1.035 P = 0.379	
Usnic acid (% dw)	$1.48 \pm 0.22a$	$0.78\pm0.27b$	$0.67\pm0.16b$	F = 23.10 P = 0.000	

Table 3. Physiological parameters in Xanthoria parietina after 8 weeks of exposure to NH<sub>3</sub>. F and P values of ANOVA. Values in each line followed by a different letter differ according to the impact of NH<sub>3</sub> (Tukey's pairwise comparison, P < 0.05).

Physiological parameters	Experimental conditions				
	Control	Intermediate impact	High impact		
<b>OD</b> <sub>435/415</sub>	$1.42\pm0.01$	$1.39\pm0.02$	$1.39\pm0.03$	F = 0.894 P = 0.457	
$\mathbf{F}_{v}/\mathbf{F}_{m}$	$0.581 \pm 0.064a$	$0.525\pm0.097a$	$0.166\pm0.212b$	F = 18.66 P = 0.000	
PI <sub>ABS</sub>	$0.112\pm0.070a$	$0.080\pm0.060a$	$0.016\pm0.030b$	F = 6.909 P = 0.006	
Dehydrogenase (A <sub>492</sub> /g)	$11.11 \pm 1.71$	$10.13 \pm 1.87$	$8.43 \pm 1.40$	F = 1.966 P = 0.220	
TBARS (µmol/g)	$32.8\pm7.3$	$32.1 \pm 1.3$	$30.3\pm2.3$	F = 4.146 P = 0.106	
Ergosterol (mg/g)	$0.76\pm0.09$	$0.76\pm0.08$	$0.75\pm0.05$	F = 0.013 P = 0.987	
Parietin (% dw)	$0.88\pm0.56$	$1.36 \pm 0.90$	$1.37 \pm 0.63$	F = 0.910 P = 0.424	

546 Figure 1. The maximum quantum yield of primary photochemistry ( $F_V/F_M \pm SD$ ) in *Flavoparmelia* 

*caperata* and *Xanthoria parietina* during the experiment. Histograms marked by a different letter 548 are statistically different (P < 0.05).

