



Stress response, peripheral serotonin and natural antibodies in feather pecking genotypes and phenotypes and their relation with coping style

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1 **PHYSIOLOGY & BEHAVIOR**

2 **Title**

3 Stress response, peripheral serotonin and natural antibodies in feather pecking genotypes and
4 phenotypes and their relation with coping style

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19 **Keywords:** Feather pecking; genotype; phenotype; stress response; natural antibody;
20 serotonin

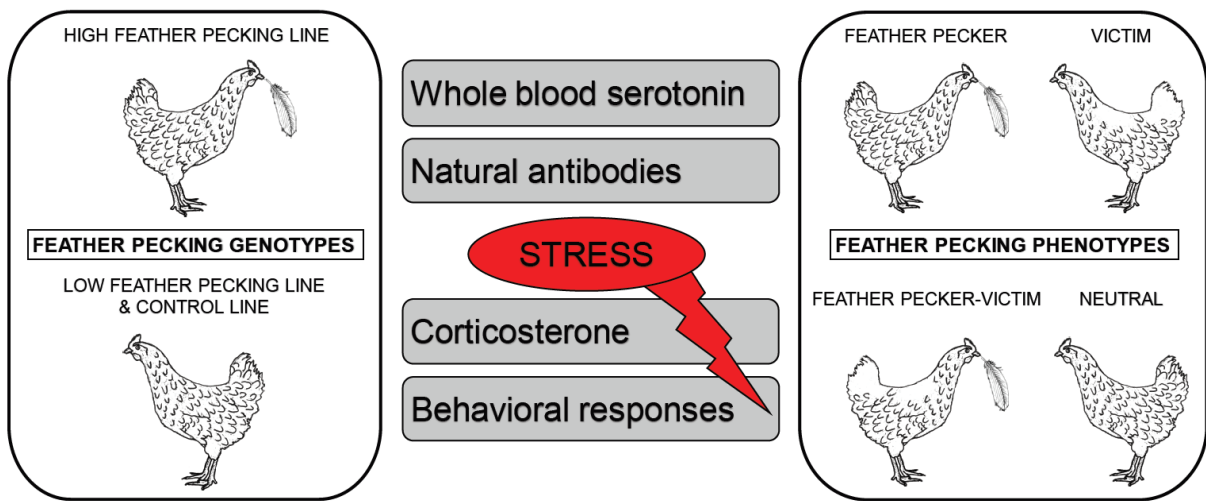
21 **Highlights**

- 22 • Physiological & behavioral measures were studied in relation to feather pecking (FP)
- 23 • Stress response, natural antibody titers, corticosterone & 5-HT level were identified
- 24 • FP genotypes differed in behavioral responses, 5-HT level & natural antibody titers
- 25 • FP phenotypes differed in behavioral responses & 5-HT level
- 26 • FP genotypes and FP phenotypes could not be categorized into coping styles

27 **Abstract**

28 Feather pecking (FP), a serious welfare and economic issue in the egg production industry, has
29 been related to coping style. Proactive and reactive coping styles differ in, among others, the
30 stress response, serotonergic activity and immune activity. Yet, it is unknown whether genetic
31 lines divergently selected on FP (i.e. FP genotypes) or individuals differing in FP (i.e. FP
32 phenotypes) can be categorized into coping styles. Therefore, we determined peripheral
33 serotonin (5-HT) levels, natural antibody (NAb) titers, behavioral and corticosterone (CORT)
34 responses to manual restraint (MR) in FP genotypes (high FP (HFP), low FP (LFP) and
35 unselected control (CON) line) and FP phenotypes (feather pecker, feather pecker-victim,
36 victim and neutral). We further examined the consistency of and relationships between
37 behavioral and physiological measures. FP genotypes differed in behavioral responses to MR,
38 5-HT levels and NAb titers, but not in CORT levels after MR. HFP birds had less active
39 responses at adolescent age, but more active responses at adult age compared to LFP and CON
40 birds. The CON line had higher 5-HT levels at adolescent age, while the HFP line had lower 5-
41 HT levels than the other lines at adult age. Overall, the HFP line had lower IgM NAb titers,
42 while the LFP line had lower IgG NAb titers compared to the other lines. FP phenotypes
43 differed in behavioral responses to MR and 5-HT levels, but not in CORT levels after MR or
44 NAb titers. Within the HFP line, feather peckers tended to have less active responses compared

45 to neutrals at adolescent age, while victims had more active responses compared to the other
46 phenotypes at adult age. Feather peckers had higher 5-HT levels than neutrals at adult age.
47 Behavioral and CORT responses to MR were not consistent over time, suggesting that
48 responses to MR might not reflect coping style in this study. Furthermore, proactive behavioral
49 responses were correlated with reactive physiological measures and vice versa. Thus, it was not
50 possible to categorize FP genotypes or FP phenotypes into specific coping styles.



51

52 **1. Introduction**

53 Feather pecking (FP) is a serious welfare and economic issue in the egg production industry.
54 It involves hens pecking and pulling at feathers of conspecifics, thereby negatively affecting
55 welfare and production. Previous studies have indicated that FP might be related to coping
56 style [1,2]. Coping style can be defined as a coherent set of behavioral and physiological
57 stress responses which is consistent over time and situations (proactive vs. reactive, [3]). In
58 several animal species coping styles are shown to differ in behavioral and physiological
59 responses, where a proactive coping style is, among others, associated with active behavioral
60 responses, low baseline activity and stress reactivity of the hypothalamic-pituitary-adrenal
61 (HPA)-axis, low central serotonergic activity, low humoral immunity, high cellular immunity
62 and innate immune activity compared to a reactive coping style [4–7].

63 We here focus on the stress response and serotonergic system, as these have been indicated to
64 be involved in FP [8–10]. We further focus on the immune system as it has been related to FP
65 [11,12], specifically on natural antibodies (NAb), antibodies that can bind antigens without
66 prior exposure to that antigen [13]. Natural antibodies play an essential role in both innate and
67 adaptive immunity, for example by maintaining homeostasis, increasing disease resistance
68 and linking the two types of immunity [14–17]. Some indications have been found that NAb,
69 specifically NAb binding keyhole limpet hemocyanin (KLH), might be related to FP. Certain
70 genetic mutations were associated with both NAb titers and feather damage (as indicator of
71 FP, [18]) [19,20], and an associative effect of NAb titers on feather damage was detected [21].
72 These findings reveal a genetic basis for a relation between NAb and FP.

73 Laying hens divergently selected on FP, resulting in high (HFP) and low FP (LFP) lines [22],
74 differ in their responses to behavioral tests. HFP birds respond more actively compared to
75 LFP birds [23–26] and compared to unselected control (CON) birds [26], suggesting that HFP

76 birds have a more proactive coping style. These FP selection lines further differ in their stress
77 response and serotonergic activity. HFP birds had higher corticosterone (CORT) levels after
78 manual restraint (MR) [27] and vocalized sooner and more, but struggled later and less
79 compared to LFP birds during MR [25]. Furthermore, HFP birds had lower central
80 serotonergic activity at young age, but higher central serotonergic activity compared to LFP
81 birds at adult age [25]. To date, no studies have identified NAb titers in these FP selection
82 lines, but a previous study gave first indications that HFP birds differ from LFP birds in
83 immune reactivity and competence [28]. These findings indicate that divergent selection on
84 FP affects stress responses, serotonergic activity and immune competence. However, results
85 remain inconsistent with regard to lines being categorized as proactive or reactive. This might
86 be explained by the fact that these studies identified differences between genetic lines, but
87 individuals within a genetic line could be proactive or reactive copers.

88 To get a better understanding of the relation between FP and coping style it is important to
89 identify the coping style of individual birds and relate this to their FP behavior, since birds
90 can become feather peckers, feather pecker-victims, victims or neutrals (i.e. FP phenotypes).
91 Feather peckers and victims within the HFP line seemed to respond more actively to
92 behavioral tests [26], indicating that these birds might have a proactive coping style. Only a
93 few studies to date have related actual FP behavior to the stress response, serotonergic- and
94 immune-systems. FP phenotypes have been shown to differ in serotonergic activity, but the
95 direction of the relation is dependent on brain area studied [29]. FP phenotypes further
96 differed in whole blood serotonin (5-HT) levels, but not in CORT levels after MR [30]. This
97 is supported by a study where FP phenotypes did not differ in CORT levels after MR, but they
98 did differ in behavioral responses to MR [31]. To date, no studies have identified NAb titers
99 in FP phenotypes, but genes associated with the immune system were either upregulated or
100 downregulated in the brain when comparing FP phenotypes [32]. These findings indicate that

101 FP phenotypes might differ in immune competence, serotonergic activity and behavioral
102 stress responses, yet no findings indicate that FP phenotypes differ in physiological stress
103 responses. Similar to the findings from the FP selection lines, results remain inconsistent with
104 regard to FP phenotypes being categorized as proactive or reactive.

105 Although differences in FP have been analyzed in relation to the stress response, serotonergic-
106 and immune-systems, no studies to our knowledge have examined these variables in
107 conjunction. Furthermore, most studies to date have compared genetic lines differing in FP,
108 but only a few have compared individuals differing in FP with regard to these variables.
109 Therefore, the aim of this study was to investigate behavioral responses and physiological
110 measures, with a focus on the stress response, serotonergic- and immune-systems, in relation
111 to FP genotype (HFP, LFP and CON lines) and FP phenotype (feather pecker, feather pecker-
112 victim, victim and neutral). Whole blood 5-HT level was used as indicator for central 5-HT
113 [33], CORT level after MR was used as indicator for HPA-axis activity [27] and KLH-
114 binding NAb titer was used as a general indicator for immune competence [34]. The MR test
115 was performed twice, at an adolescent and adult age, to examine consistency in individual
116 differences. We further examined the relation between behavioral responses and physiological
117 measures within FP genotypes and FP phenotypes. Based on previous findings where HFP
118 and feather peckers within the HFP line responded more actively to several behavioral tests,
119 we hypothesized that HFP birds would have a more proactive coping style compared to LFP
120 and CON birds. Furthermore, we hypothesized that feather peckers within the HFP line would
121 have a more proactive coping style compared to other phenotypes.

122 **2. Material and Methods**

123 2.1. Animals and Housing

124 White Leghorn birds from the 18th generation of an unselected control (CON) line and lines
125 selected on high (HFP) respectively low feather pecking (LFP) were used (see Kjaer et al.
126 [22] for the selection procedure). The HFP and LFP line were divergently selected on feather
127 pecking (FP) for seven generations and were maintained in subsequent generations. A total of
128 456 birds were produced in two batches of eggs that were incubated at an average egg shell
129 temperature of 37.3 °C and average relative humidity of 55.6 %. The two batches had the
130 same housing conditions and experimental set-up with 4 pens per line, but with two weeks
131 between batches (see van der Eijk et al. [26] for more details). The experiment was approved
132 by the Central Authority for Scientific Procedures on Animals according to Dutch law (no:
133 AVD104002015150).

134 2.2 Behavioral Observations and Tests

135 Feather pecking was observed between 3 and 29 weeks of age. Birds were subjected to a
136 manual restraint test at 14 and 24 weeks of age. The order for observations was always
137 randomized on pen level. The order for testing was randomized on individual level. The
138 experimenters were blinded to the lines and phenotypes.

139 2.2.1. Feather Pecking Observations

140 Feather pecking was observed on an individual level from week 3-4, 8-9, 15-16, 18-19, 24-25
141 and 28-29. In week 3-4, birds were observed by direct observation. Each week birds were
142 observed for 30 min, either in the morning (8:30 h-12:00 h) or in the afternoon (12:30 h-16:00
143 h), after a 5 min habituation time. Thus, in week 3-4 the total observation time was 60 min. In
144 week 8-9, 15-16, 18-19, 24-25 and 28-29, behavior was observed from video recordings. Each

145 week birds were observed for 15 min, either in the morning (10:40 h-10:55 h) or in the
 146 afternoon (14:40 h-14:55 h), with a total observation time of 30 min over two weeks. Feather
 147 pecking was categorized according to Table 1 in exploratory FP, bouts of stereotyped FP and
 148 severe FP (derived from Newberry et al. [35]). Feather pecking behaviors were summed over
 149 two subsequent weeks and the summed number of severe FP, either given or received, was
 150 used to identify FP phenotypes. Classification of FP phenotypes was adapted from Daigle et
 151 al. [30]. When a bird gave more than one, but received zero or one severe FP it was defined as
 152 a feather pecker (P). When a bird gave zero or one, but received more than one severe FP it
 153 was defined as a victim (V). When a bird gave and received more than one severe FP it was
 154 defined as a feather pecker-victim (P-V). When a bird gave and received zero or one severe
 155 FP it was defined as a neutral (N) (see Appendix I and van der Eijk et al. [26] for feather
 156 pecking results).

157 Table 1. Ethogram of the feather pecking observations (after Newberry et al. [35]).

Behavior	Description
Exploratory Feather Pecking	Bird makes gentle beak contact with the feathers of another bird without visibly altering the position of the feathers. The recipient makes no apparent response. Each peck is recorded.
Stereotyped Feather Pecking Bout	Bird makes ≥ 3 gentle pecks at intervals ≤ 1 s at a single body region. Each series of pecks (bout) is recorded. Bout ends when birds separate, or when pecking is directed to another target on the same, or another, bird.
Severe Feather Pecking	Bird grips and pulls or tears vigorously at a feather of another bird with her beak, causing the feather to lift up, break or be pulled out. The recipient reacts to the peck by vocalizing, moving away or turning towards the pecking bird. Each peck is recorded.

158 2.2.2. Manual Restraint Test

159 At 14 weeks of age, birds were individually subjected to a manual restraint (MR) test in the
 160 same room as their home pens (n = 247) (see Bolhuis et al. [36] for test method). For both

161 batches, the MR test was performed on two days. Birds were caught individually from their
162 pens and placed on their right side on a table covered with cardboard, with the right hand of the
163 experimenter covering the bird's back and the left hand gently stretching the bird's legs. Birds
164 were restrained in this position for 5 min. The latencies to vocalize and to struggle and the
165 number of vocalizations and struggles were recorded. Together, five experimenters tested the
166 birds, where each experimenter tested approximately one fifth of the birds alone. Distribution
167 of birds over experimenters and time of day was random for pens and lines. Fifteen min after
168 the start of the MR test, blood samples were drawn from the wing vein for assessment of the
169 peak in plasma corticosterone (CORT) level [37], whole blood serotonin (5-HT) level and
170 plasma natural antibody (NAb) titers.

171 At 24 weeks of age, the MR test was repeated using the same method as described above (n =
172 206), with the following modifications. Birds were caught individually from their pens and
173 placed in a cardboard box. Birds were then moved to one of two testing rooms. Together, three
174 experimenters tested the birds, where each experimenter tested approximately one third of the
175 birds alone.

176 2.3 Blood Collection and Analyses

177 Blood was collected from all birds at 4, 9, 14, 19, 24 and 29 weeks of age. Blood was taken
178 from the wing vein using a heparinized syringe and kept on ice after blood sampling. In the
179 laboratory, whole blood samples (1 ml) for determination of 5-HT were stored at -20 °C until
180 further analysis. Blood samples for CORT and NAb were centrifuged at 5250 x g for 10 min
181 at room temperature and the obtained plasma was stored at -20 °C until further analysis.

182 2.3.1 Plasma Corticosterone

183 Samples from week 14 and 24 were used for determination of plasma CORT concentrations via
184 a radioimmunoassay kit (MP Biomedicals, LLC, Orangeburg, USA) as described previously
185 [38].

186 2.3.2 Whole Blood Serotonin

187 Samples from week 14 and 24 were used for determination of whole blood 5-HT concentration
188 (nmol/mL) via a fluorescence assay as described previously [36]. The centrifugation steps were
189 performed at 931 x g and fluorescence was determined in a Perkin-Elmer 2000 Fluorescence
190 spectrophotometer (PerkinElmer Inc., Waltham, USA) at 295 and 540 nm.

191 2.3.3 Plasma IgM and IgG Natural Antibody Titers

192 Samples from all weeks were used for determination of IgM and IgG NAb titers against keyhole
193 limpet hemocyanin (KLH). Strictly, birds produce IgY and not IgG. However, since bird IgY
194 shares homology in function with mammal IgG we refer to IgY as IgG in this study [39]. NAb
195 titers against KLH were determined by an indirect enzyme-linked immunosorbent assay
196 (ELISA) as described previously [40], with the following modifications. Serial dilutions of
197 plasma were made in four steps starting at dilution 1:40,000 in phosphate buffer saline (PBS)
198 containing 0.05% Tween 20 and 1% horse plasma (100 μ l in each well). Peroxidase conjugated
199 goat-anti-chicken IgM (catalog A30-102P, Bethyl Laboratories Inc., Montgomery, USA;
200 dilution 1:20,000) or goat-anti-chicken IgG (catalog A30-104P, Bethyl Laboratories Inc.,
201 Montgomery, USA; dilution 1:20,000) was used as secondary antibody (100 μ l in each well).
202 Substrate buffer was added (100 μ l in each well) and after 20 min the reaction was stopped with
203 50 μ L of 1.25M H₂SO₄. Extinctions were measured with a Thermo Scientific Multiskan GO
204 microplate spectrophotometer (Thermo Fisher Scientific Inc., Waltham, USA) at 450 nm. Titers
205 were expressed as log₂ values of the dilutions that gave an extinction closest to 50% of E_{max},

206 where Emax represents the highest mean extinction of a standard positive (pooled) plasma
207 present on every plate.

208 2.4 Statistical Analysis

209 SAS Software version 9.3 was used for statistical analysis (SAS Inst. Inc., Cary, NC, USA).
210 Linear mixed models for line effects tested per age consisted of fixed effects line and batch and
211 the random effect pen within line. Linear mixed models for line effects on NAb titers (IgM and
212 IgG) consisted of fixed effects line * age, line, age and batch. The random effect consisted of
213 pen within line with a repeated statement for age with chicken ID as subject and an unstructured
214 covariance structure. The unstructured covariance structure gave the best fitting model.
215 Phenotype effects were tested only in the HFP line as on average less than 10% of birds was
216 categorized as feather pecker, feather pecker-victim or victim within the LFP and CON lines
217 (see Table 2 in Appendix I and Table 3 in van der Eijk et al. [26]). Linear mixed models for
218 phenotype effects tested per age consisted of fixed effects phenotype and batch and the random
219 effect pen. Test time (morning 8:00 h-12:30 h or afternoon 12:30 h-18:00 h) and experimenter
220 were added as fixed effects for the MR test (including behavioral responses, CORT and 5-HT
221 levels). The model residuals were visually examined for normality. Variables were square root
222 transformed (i.e. latency to struggle and vocalize, vocalization and struggle frequency, 5-HT
223 level) or log transformed (i.e. CORT level) to obtain normality of model residuals. A
224 generalized linear mixed model with a Poisson distribution was used to test line effects per age
225 for all FP behaviors. A backward regression procedure was used when fixed effects (i.e. test
226 time or experimenter) had a P-value > 0.1. Post hoc pairwise comparisons were corrected by
227 Tukey–Kramer adjustment. Principal component analysis (PCA) was used to establish data
228 reduction for each age separately (14 and 24 weeks of age). The four behavioral measures
229 during MR were included in the PCA for both ages: square root transformed latencies and
230 frequencies of struggles and vocalizations. Only principal components with eigenvalues equal

231 to or larger than 1 were considered for further analyses. PCA loadings were considered
232 significant when loadings were > 0.4 or < -0.4 . Pearson correlations were calculated to
233 determine the relationships between behavioral and physiological measures and to establish
234 whether individual differences were consistent over time. P-values < 0.05 were considered to
235 be significant. P-values between 0.05 and 0.1 were considered to indicate a tendency. All data
236 is presented as (untransformed) mean \pm standard error of the mean (SEM).

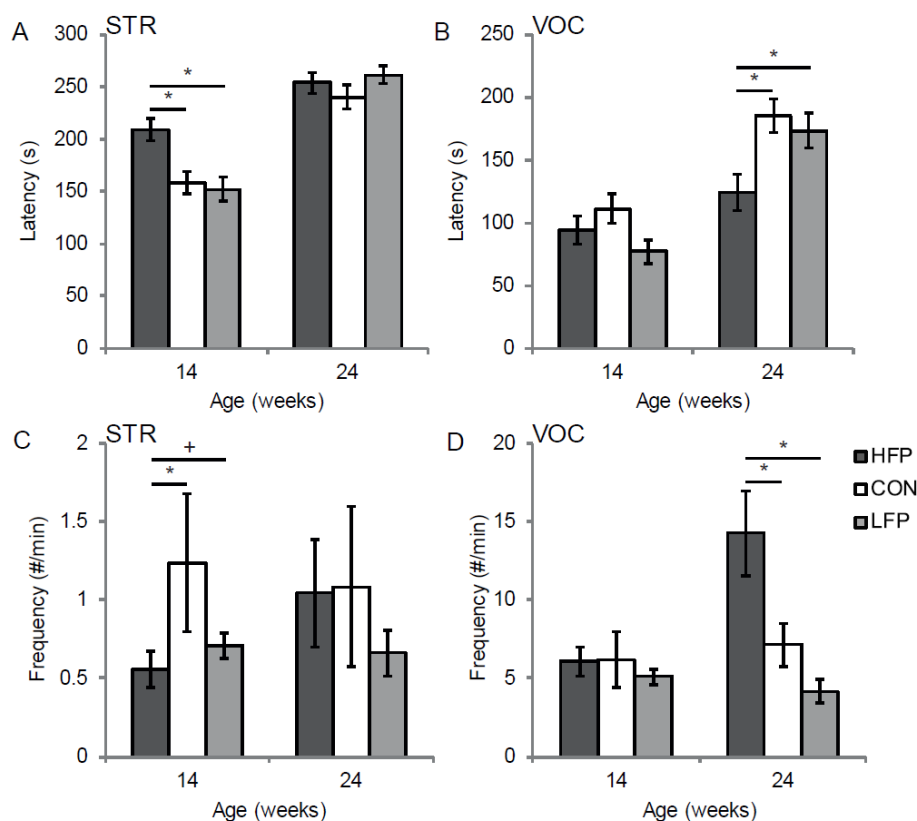
237 **3. Results**

238 3.1. Line Effects

239 3.1.1. Manual Restraint Test

240 At 14 weeks of age, line effects were found for latency to struggle ($F_{2,20} = 5.91$, $P < 0.01$) and
241 struggle frequency ($F_{2,20} = 4.26$, $P < 0.05$) during manual restraint (MR). High feather pecking
242 (HFP) birds struggled later and less compared to unselected control (CON) birds ($P < 0.05$).
243 HFP birds struggled later ($P < 0.05$) and tended to struggle more compared to low feather
244 pecking (LFP) birds ($P < 0.1$), while LFP and CON birds did not differ in latency to struggle
245 or struggle frequency (Figure 1A & C). We found no line effects on latency to vocalize or
246 vocalization frequency.

247 At 24 weeks of age, line effects were found for latency to vocalize ($F_{2,19} = 8.60$, $P < 0.01$) and
248 vocalization frequency ($F_{2,19} = 9.28$, $P < 0.01$). HFP birds vocalized sooner and more
249 compared to LFP ($P < 0.05$ and $P < 0.01$, respectively) and CON birds ($P < 0.01$ and $P < 0.05$,
250 respectively) (Figure 1B & D). LFP and CON birds did not differ in latency to vocalize or
251 vocalization frequency. No line effects were found on latency to struggle or struggle
252 frequency.



253

254 Figure 1. A) Mean latency (\pm SEM) to struggle (STR), B) mean latency (\pm SEM) to vocalize (VOC),
 255 C) mean struggle frequency (\pm SEM) and D) mean vocalization frequency (\pm SEM) during manual
 256 restraint at 14 and 24 weeks of age for the high (HFP, $n = 87$ (14 weeks) and $n = 72$ (24 weeks)),
 257 control (CON, $n = 81$ (14 weeks) and $n = 70$ (24 weeks)) and low feather pecking (LFP, $n = 79$ (14
 258 weeks) and $n = 63$ (24 weeks)) lines. + show tendencies ($P < 0.1$) and * show significant differences (P
 259 < 0.05) between lines.

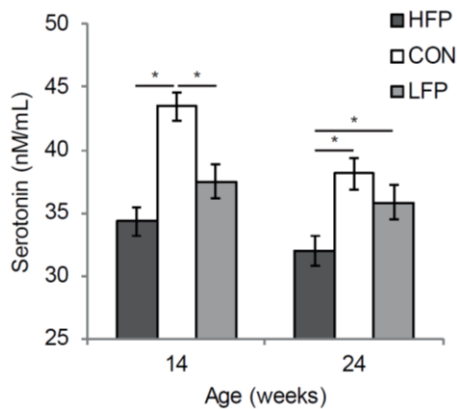
260 3.1.2. Corticosterone

261 No line effects were found for corticosterone (CORT) levels after MR at 14 (HFP = 5.35
 262 ng/mL, CON = 4.54 ng/mL and LFP = 5.29 ng/mL) or 24 weeks of age (HFP = 4.22 ng/mL,
 263 CON = 5.45 ng/mL and LFP = 4.02 ng/mL).

264 3.1.3. Serotonin

265 Line effects were found for whole blood serotonin (5-HT) levels at 14 ($F_{2,20} = 18.24$, $P <$
 266 0.01) and 24 weeks of age ($F_{2,19} = 8.26$, $P < 0.01$). CON birds had higher 5-HT levels

267 compared to LFP and HFP birds ($P < 0.01$), while HFP and LFP birds did not differ in 5-HT
 268 levels at 14 weeks of age. At 24 weeks of age, HFP birds had lower 5-HT levels compared to
 269 LFP ($P < 0.05$) and CON birds ($P < 0.01$), while LFP and CON birds did not differ in 5-HT
 270 levels (Figure 2).

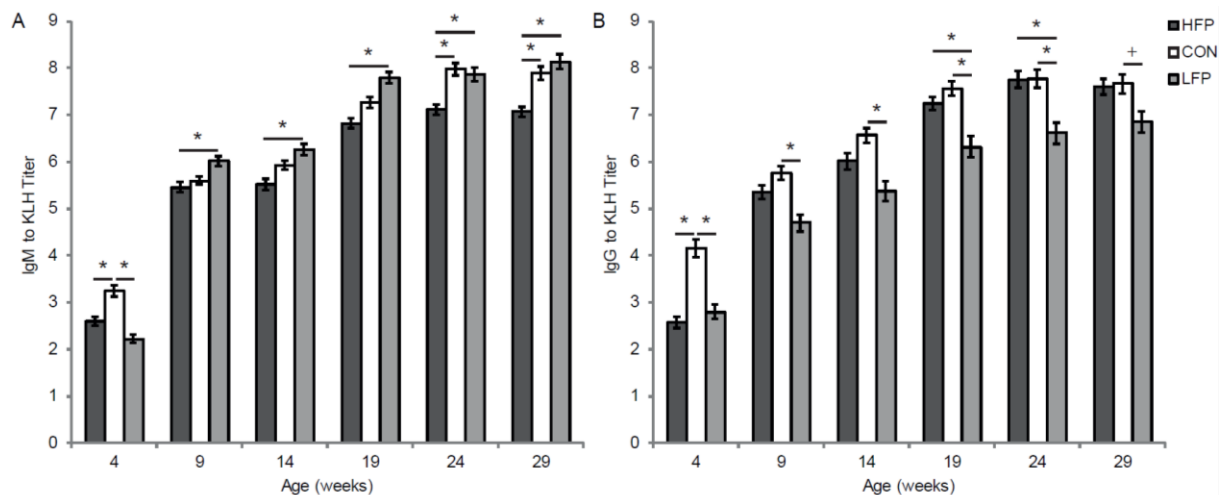


271

272 Figure 2. Mean whole blood serotonin level (\pm SEM) at 14 and 24 weeks of age for the high (HFP, n
 273 = 84 (14 weeks) and n = 68 (24 weeks)), control (CON, n = 81 (14 weeks) and n = 68 (24 weeks)) and
 274 low feather pecking (LFP, n = 74 (14 weeks) and n = 57 (24 weeks)) lines. * show significant
 275 differences ($P < 0.05$) between lines.

276 3.1.4. IgM and IgG Natural Antibody Titers

277 A line * age interaction effect was found for both IgM natural antibody (NAb) titers ($F_{10,1537} =$
 278 9.47, $P < 0.01$) and IgG NAb titers ($F_{10,1535} = 3.70$, $P < 0.01$) against keyhole limpet
 279 hemocyanin (KLH). Overall, HFP birds had lower IgM titers compared to CON and LFP
 280 birds (HFP = 5.76, CON = 6.32 and LFP = 6.38, $P < 0.01$), but CON and LFP birds did not
 281 differ significantly. Furthermore, all lines differed significantly from each other for IgG titers,
 282 with HFP birds having intermediate, CON birds having the highest and LFP birds having the
 283 lowest IgG titers (HFP = 6.08, CON = 6.60 and LFP = 5.46, $P < 0.01$). For specific
 284 comparisons of IgM and IgG titers between lines per age see Figure 3A & 3B, respectively.



285

286 Figure 3. A) Mean natural antibody titers of IgM (\pm SEM) and B) mean titers of IgG (\pm SEM) against
 287 keyhole limpet hemocyanin (KLH) at 4, 9, 14, 19, 24 and 29 weeks of age for the high (HFP), control
 288 (CON) and low feather pecking (LFP) lines. + show tendencies ($P < 0.1$) and * show significant
 289 differences ($P < 0.05$) between lines.

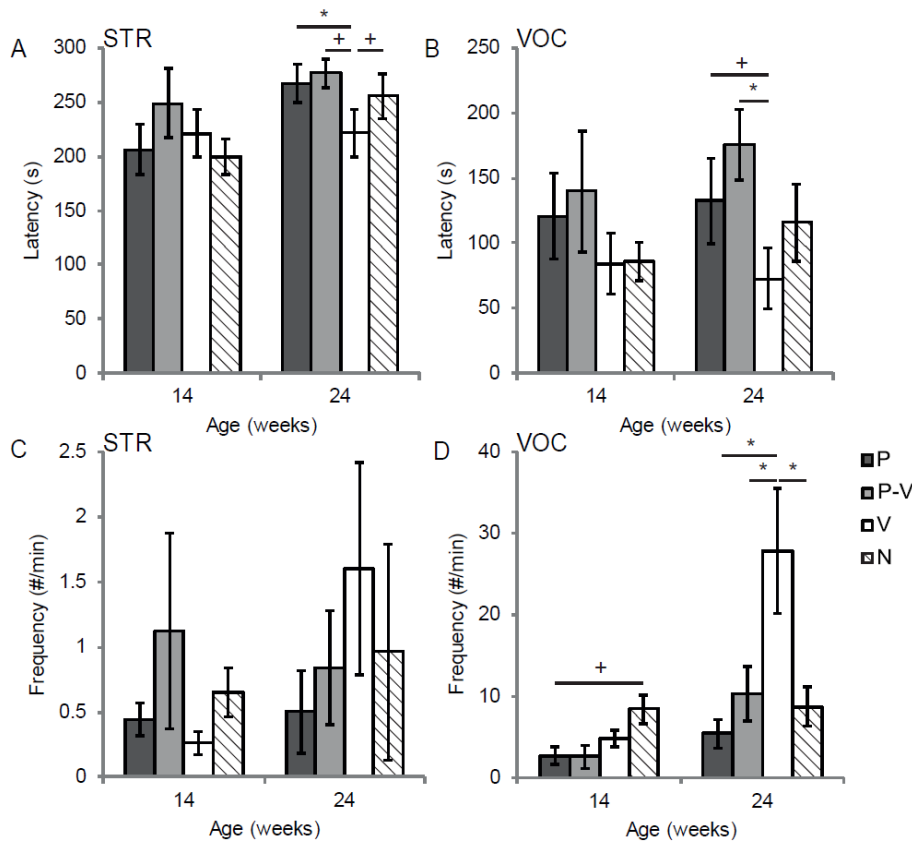
290 3.2. Phenotype Effects in the HFP Line

291 3.2.1. Manual Restraint Test

292 Phenotype effects were found for vocalization frequency ($F_{3,75} = 2.81$, $P < 0.05$) during MR at
 293 14 weeks of age. Neutrals tended to vocalize more compared to feather peckers ($P < 0.1$)
 294 (Figure 4D). We found no phenotype effects for latency to struggle, latency to vocalize or
 295 struggle frequency (Figure 4A, 4B & 4C).

296 At 24 weeks of age, phenotype effects were found for latency to struggle ($F_{3,58} = 3.67$, $P <$
 297 0.05), latency to vocalize ($F_{3,59} = 3.27$, $P < 0.05$) and vocalization frequency ($F_{3,61} = 4.61$, $P <$
 298 0.01). Victims struggled sooner compared to feather peckers ($P < 0.05$) and tended to struggle
 299 sooner compared to feather pecker-victims and neutrals ($P < 0.1$) (Figure 4A). Victims
 300 vocalized sooner compared to feather pecker-victims ($P < 0.05$) and tended to vocalize sooner
 301 compared to feather peckers ($P < 0.1$) (Figure 4B). Victims vocalized more compared to all

302 other phenotypes ($P < 0.05$) (Figure 4D). We found no phenotype effects for struggle
 303 frequency (Figure 4C).



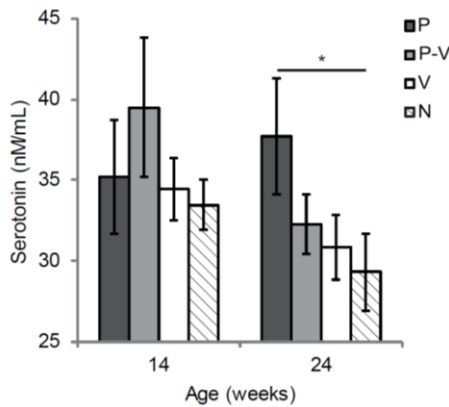
304
 305 Figure 4. A) Mean latency (\pm SEM) to struggle (STR), B) mean latency (\pm SEM) to vocalize (VOC),
 306 C) mean struggle frequency (\pm SEM) and D) mean vocalization frequency (\pm SEM) during manual
 307 restraint at 14 and 24 weeks of age for feather peckers (P, $n = 13$ (14 weeks) and $n = 11$ (24 weeks)),
 308 feather pecker-victims (P-V, $n = 7$ (14 weeks) and $n = 22$ (24 weeks)), victims (V, $n = 23$ (14 weeks)
 309 and $n = 21$ (24 weeks)) and neutrals (N, $n = 43$ (14 weeks) and $n = 18$ (24 weeks)). + show tendencies
 310 ($P < 0.1$) and * show significant differences ($P < 0.05$) between phenotypes.

311 3.2.2. Corticosterone

312 No phenotype effects were found for CORT levels after MR at 14 (feather peckers = 4.85
 313 ng/mL, feather pecker-victims = 4.59 ng/mL, victims = 5.41 ng/mL and neutrals = 5.64
 314 ng/mL) or 24 weeks of age (feather peckers = 6.79 ng/mL, feather pecker-victims = 3.45
 315 ng/mL, victims = 4.49 ng/mL and neutrals = 3.26 ng/mL).

316 3.2.3. Serotonin

317 No phenotype effects were found for whole blood 5-HT levels at 14 weeks of age. Phenotype
318 effects were found for 5-HT levels at 24 weeks of age ($F_{3,56} = 3.48$, $P < 0.05$), where feather
319 peckers had higher 5-HT levels compared to neutrals ($P < 0.05$) (Figure 5).



320

321 Figure 5. Mean whole blood serotonin level (\pm SEM) at 14 and 24 weeks of age for feather peckers
322 (P, $n = 13$ (14 weeks) and $n = 11$ (24 weeks)), feather pecker-victims (P-V, $n = 7$ (14 weeks) and $n =$
323 20 (24 weeks)), victims (V, $n = 22$ (14 weeks) and $n = 20$ (24 weeks)) and neutrals (N, $n = 41$ (14
324 weeks) and $n = 17$ (24 weeks)). * show significant differences ($P < 0.05$) between phenotypes.

325 3.2.4. IgM and IgG Natural Antibody Titers

326 Unfortunately, we could not test for phenotype * age interaction effects on IgM or IgG NAb
327 titers as birds switched between phenotypes. No phenotype effects were found for IgM or IgG
328 NAb titers against KLH at 4, 9, 14, 19, 24 or 29 weeks of age.

329 3.3. Principal Component Analysis

330 At 14 and 24 weeks of age PCA produced one principal component with eigenvalue larger
331 than 1 (2.00 and 1.94, respectively). All behavioral responses to MR loaded highly on the first
332 principal component at both ages (the percentage of variance explained was 50% and 48%,
333 respectively). We used this behavioral component to identify consistency in behavioral
334 responses to MR over time and to identify relations with physiological measures. At both

335 ages, the behavioral component had high negative loadings for latencies to struggle and
336 vocalize, and high positive loadings for struggle and vocalization frequencies. Thus, chickens
337 with high component scores struggled and vocalized sooner and more and vice versa.

338 3.4. Consistency of Measures over Time

339 We will focus on presenting Pearson correlation coefficients that were significant ($P < 0.05$)
340 and above 0.2, as correlation coefficients below 0.2 are thought to show almost negligible
341 relationships [41]. We identified consistency of measures over time within FP genotypes
342 (HFP, CON and LFP). Unfortunately, we were unable to identify consistency over time
343 within FP phenotypes as birds switched between phenotypes. Between 14 and 24 weeks of
344 age, individual differences in 5-HT level, IgM and IgG NAb titers were consistent over time
345 for the HFP line (correlations 0.52, 0.25 and 0.47, respectively). Furthermore, IgM and IgG
346 NAb titers were consistent over time for the LFP line (correlations 0.46 and 0.44,
347 respectively) and CON line (correlations 0.27 and 0.32, respectively). However, scores of the
348 behavioral component and CORT levels were not consistent between 14 and 24 weeks of age
349 for any of the lines (Table 2).

350 Table 2. Consistency^a over time of individual differences in behavioral component score and
 351 physiological measures as identified in high (HFP), control (CON) and low feather pecking (LFP)
 352 lines at 14 and 24 weeks of age.

Measures	Correlations between 14 & 24 weeks of age		
	HFP	CON	LFP
Behavioral component ^b	0.22	0.03	0.07
Corticosterone	0.07	0.06	0.01
Serotonin	0.52**	0.16	0.24
Natural antibody IgM	0.25*	0.27*	0.46**
Natural antibody IgG	0.47**	0.32**	0.44**

353 ^a Pearson correlations across measures at 14 and 24 weeks of age.

354 ^b Behavioral component was extracted by principal component analysis of four behavioral responses to
 355 manual restraint at both 14 and 24 weeks of age.

356 *P < 0.05

357 **P < 0.01

358 3.5. Relations between Behavioral and Physiological Measures

359 3.5.1. Line Effects

360 At 14 weeks of age, the behavioral component was correlated with 5-HT level in CON birds
 361 (-0.23), indicating that CON birds which struggled and vocalized sooner and more during MR
 362 had low 5-HT levels. At 24 weeks of age, the behavioral component was correlated with 5-
 363 HT level, IgM and IgG NAb titers in CON birds (0.26, -0.29 and -0.34, respectively),
 364 indicating that CON birds which struggled and vocalized sooner and more during MR had
 365 high 5-HT levels, but low IgM and low IgG NAb titers. Behavioral component scores were
 366 not correlated with any of the physiological measures for the HFP or LFP lines at both ages.

367 3.5.2. Phenotype Effects in the HFP line

368 At 14 weeks of age, we found no significant correlations between the behavioral component
369 and physiological measures for FP phenotypes. At 24 weeks of age, the behavioral component
370 was correlated with CORT level in feather peckers (0.81), suggesting feather peckers that
371 struggled and vocalized sooner and more had high CORT levels. We found no further
372 significant correlations between the behavioral component and physiological measures for FP
373 phenotypes.

374 4. Discussion

375 In this study, we investigated behavioral responses and physiological measures, with a focus
376 on the stress response, serotonergic- and immune-systems, in relation to feather pecking (FP)
377 genotype (high FP (HFP), low FP (LFP) and unselected control (CON) line) and FP phenotype
378 (feather pecker, feather pecker-victim, victim and neutral). Tests were performed at adolescent
379 and adult age to examine consistency of individual differences within FP genotypes. We further
380 examined relationships between behavioral responses and physiological measures within FP
381 genotypes and within FP phenotypes of the HFP line.

382 4.1. Feather Pecking Genotype and Phenotype

383 4.1.1. Stress Response

384 HFP birds responded passively (i.e. struggled later and less) at adolescent age and actively
385 (i.e. vocalized sooner and more) at adult age during manual restraint (MR). This is consistent
386 with previous findings where HFP birds struggled later and less, but vocalized sooner and
387 more compared to LFP birds at adolescent age [25] and where HFP birds responded more
388 actively to several behavioral tests at various ages [23,25,26]. Within the HFP line, feather
389 peckers tended to respond passively (i.e. vocalized less) compared to neutrals at adolescent
390 age and victims responded actively (i.e. struggled sooner, vocalized sooner and more)
391 compared to the other phenotypes at adult age during MR. In a previous study, feather
392 peckers were more active during a MR test compared to non-peckers at adult age [31], which
393 is opposite to what we find here. Previously, we also found that feather peckers tended to
394 respond more actively compared to victims and neutrals, and victims responded more actively
395 compared to neutrals in other behavioral tests [26]. Yet, FP genotypes and FP phenotypes did
396 not differ in corticosterone (CORT) levels after MR, thus providing no physiological support
397 for our behavioral findings. Furthermore, this suggests that divergent selection on FP does not

398 affect HPA-axis activity and that FP phenotypes do not differ in HPA-axis activity, indicating
399 that FP genotypes and FP phenotypes might not differ in stress sensitivity. Previously, HFP
400 birds were found to have higher CORT levels after MR compared to LFP birds with CON
401 birds having intermediate levels at adult age [27], suggesting that HFP birds are more
402 reactive. This discrepancy between studies might be explained by the fact that we used birds
403 from the 18th generation, while the previous study used birds from the 6th generation. These
404 birds were selected as parents of the 7th generation, thus containing extreme individuals with
405 regard to FP [27]. Furthermore, the FP selection lines were maintained for subsequent
406 generations which could have caused physiological effects to become less pronounced. In
407 addition, HFP birds had increased heart rate and reduced heart rate variability compared to
408 LFP birds [42], suggesting that HFP birds are more proactive. When comparing other lines,
409 selected on egg production traits but also differing in FP, the opposite was found with high FP
410 being related to low CORT levels after MR [1,12,43]. Furthermore, no differences in CORT
411 levels were found between FP phenotypes in previous studies [30,31]. Thus, there is
412 inconsistency in findings with regard to the relation between high FP and CORT levels within
413 FP genotypes, whereas FP phenotypes do not seem to differ in CORT levels after MR.

414 It should be noted that behavioral and physiological responses to MR in this study might not
415 be indicative of a stress response, as CORT levels after MR were generally low (average 4.8
416 ng/mL). Previous studies found peaks above 6.5 ng/mL [27,36,37]. Low CORT levels might
417 be explained by the fact that we performed multiple behavioral tests (see van der Eijk et al.
418 [26]), causing birds to become habituated to handling. In repeatedly handled birds CORT
419 levels reduced faster after handling compared to unhandled birds [44]. Thus, our MR test
420 possibly did not induce a strong stress response, making behavioral and physiological
421 findings difficult to interpret in relation to the stress response. Based on our findings we

422 suggest that divergent selection on FP affects behaviors other than FP (i.e. activity) and that
423 FP phenotypes differ in their behavioral responses.

424 4.1.2. Serotonergic System

425 CON birds had higher whole blood serotonin (5-HT) levels compared to HFP and LFP birds
426 at adolescent age, while HFP birds had lower whole blood 5-HT levels compared to CON and
427 LFP birds at adult age. A previous study found the opposite relationship, with HFP birds
428 having higher plasma 5-HT levels than LFP birds [28]. This discrepancy with our study might
429 be explained by the methods used (plasma vs. whole blood), as whole blood 5-HT more likely
430 reflects storage concentration of 5-HT, while plasma 5-HT reflects unbound 5-HT [45].
431 Previous studies support our findings, where lines with a high FP tendency had lower whole
432 blood 5-HT levels at adult ages (> 40 weeks) [33,46,47], suggesting that high FP is related to
433 low peripheral 5-HT levels. Although FP phenotypes did not differ in whole blood 5-HT at
434 adolescent age, feather peckers within the HFP line had higher whole blood 5-HT levels
435 compared to neutrals at adult age. Previously the opposite was found where neutrals had
436 higher whole blood 5-HT compared to victims and feather pecker-victims at adult age [30].
437 The peripheral and central serotonergic system show similar characteristics in their
438 transporters and receptors [48] and whole blood 5-HT was correlated with central 5-HT, 5-
439 HIAA (5-HT metabolite) and 5-HT turnover (5-HIAA/5-HT) in chickens [33]. However,
440 caution is needed when extrapolating whole blood 5-HT levels to central 5-HT levels as 5-HT
441 cannot cross the blood-brain barrier [49]. Yet, in a previous study the FP selection lines were
442 shown to differ in central serotonergic activity, where HFP chicks had lower central
443 serotonergic activity compared to LFP chicks in several brain areas. At adult age the
444 differences had disappeared or were opposite to what was found at young age [25]. Low
445 central serotonergic activity might thus predispose chickens to develop FP, while at an adult
446 age high FP seems to be related to high central serotonergic activity (see de Haas and van der

447 Eijk, [10] for a review). This shift in activity might be linked to performing or receiving FP as
448 FP phenotypes were shown to differ in central serotonergic activity, where feather peckers
449 had higher central serotonergic activity compared to neutrals [29].

450 It is interesting to note that we found a similar opposite relation between FP and whole blood
451 5-HT level, with HFP birds having lowest 5-HT but feather peckers within the HFP line
452 having highest 5-HT. The actual performance of FP might increase peripheral 5-HT levels,
453 possibly due to feather eating. HFP birds are more prone to eat feathers compared to LFP
454 birds [50,51] and feather peckers showed more feather eating compared to non-peckers [52].
455 Ingestion of feathers may increase peripheral 5-HT by providing structural components as the
456 gut releases 5-HT in reaction to sensory perception of the mucosal layer [53]. However, this
457 relation between feather eating and increased peripheral 5-HT remains speculative and further
458 research is needed. Based on our findings we suggest that divergent selection on FP affects
459 whole blood 5-HT, potentially via mutations and/or alterations in expression of genes
460 involved in the serotonergic system as previously found in relation to feather damage [20] and
461 in the FP selection lines [54,55]. This is supported by the finding that whole blood 5-HT level
462 was consistent between ages in the HFP line, but not in the CON and LFP lines. We further
463 show that FP phenotypes differ in whole blood 5-HT. Since birds in our study already started
464 to feather peck at a young age, we cannot distinguish between cause or consequence of FP in
465 relation to whole blood 5-HT. Therefore, it would be interesting to identify whole blood 5-HT
466 levels in birds prior to and after the development of FP.

467 4.1.3. Immune System

468 Overall, HFP birds had lower IgM NAb titers compared to CON and LFP birds, while LFP
469 birds had lower IgG NAb titers compared to CON birds with HFP birds having intermediate
470 titers. FP phenotypes did not differ in IgM or IgG NAb titers. Thus, we only found differences

471 between FP genotypes but not between FP phenotypes. This could suggest that there are
472 genes simultaneously involved in FP and the immune system as indicated by previous studies
473 [20,56] even in the FP selection lines [28,57]. Indeed, both NAb titers and the performance of
474 FP have been shown to be heritable traits [40,58]. This is further supported by our finding that
475 both IgM and IgG NAb titers are consistent over time. Findings from a previous study in the
476 FP selection lines, suggest that HFP birds differ from LFP birds in immune reactivity and
477 competence [28]. Furthermore, when conspecifics within a cage had higher IgG NAb, the
478 individual might have more feather damage [21]. This is consistent with our study where HFP
479 birds had higher IgG NAb titers compared to LFP birds, although CON birds did not differ
480 from HFP birds in IgG NAb titers. Interestingly, the HFP line had lower IgM NAb titers,
481 while the LFP line had lower IgG NAb titers compared to the other lines. Previously, it was
482 suggested that IgG NAb are dependent upon exogenous antigen stimulation, while IgM NAb
483 are not [59]. Thus, IgM NAb may be more under genetic influence, while IgG NAb may
484 reflect immunomodulating environmental influences. This is further supported by a study that
485 found high genetic correlations, but low phenotypic correlations between IgM and IgG NAb
486 [40]. In the FP selection lines, this could mean that lower IgM NAb titers in the HFP line
487 might be explained by alterations in their genetic make-up, while the lower IgG NAb titers in
488 the LFP line might be explained by a difference in environmental influences or immune
489 responsiveness to environmental influences. As lines were exposed to similar environmental
490 conditions, we suggest that the LFP line had reduced immune responsiveness to
491 environmental influences compared to the HFP and CON lines. Previously, the HFP line had
492 higher responses to infectious bursal disease virus compared to the LFP and CON lines [28],
493 suggesting that the HFP line had increased specific antibody responsiveness. Together with
494 our findings this might indicate that HFP birds show increased immune responsiveness (i.e.
495 they are more responsive to the environment) than LFP birds. In this study we focused on

496 NAb titers, yet further research is needed to identify whether the FP selection lines differ in
497 immune responsiveness by for example, measuring innate and cellular responses to
498 environmental challenges. Furthermore, high NAb titers (both IgM and IgG) have been
499 related to increased survival in laying hens and NAb titer has been suggested as an indicator
500 for general disease resistance [34,60,61]. Therefore, divergent selection on FP could
501 potentially affect survival and health via altering NAb titers.

502 4.2. Coping style

503 Although previous studies have found differences in coping styles between lines which differ
504 in FP tendency [1,62], we did not find such a clear relation here for FP genotypes or FP
505 phenotypes. Behavioral responses to MR (as indicated by the behavioral component) and
506 CORT levels were inconsistent between ages, suggesting that behavioral and physiological
507 responses to MR in this study might not reflect coping style. Furthermore, for both FP
508 genotypes and FP phenotypes proactive behavioral responses were correlated with reactive
509 physiological measures (either NAb titers, CORT or 5-HT levels) and vice versa. Thus, we
510 cannot categorize FP genotypes or FP phenotypes into specific coping styles.

511 A limitation in our study is that we observed FP behavior for a limited amount of time which
512 might have led to FP behavior not being observed. However, continuous observation is
513 impractical and the strength of this study was that we identified phenotype effects using the
514 most recent FP phenotype categorization that was based on FP observations closest to the MR
515 test at 14 or 24 weeks of age and to blood sampling at 4, 9, 19 and 29 weeks of age. We
516 emphasize the importance of identifying FP phenotypes as they seem to differ in their
517 behavioral responses and in whole blood 5-HT levels.

518 **5. Conclusion**

519 Divergent selection on feather pecking (FP) affects behavioral characteristics other than FP
520 (i.e. activity), serotonergic- (i.e. peripheral serotonin) and immune-systems (i.e. natural
521 antibodies), but FP genotypes did not differ in HPA-axis activity (i.e. corticosterone) in the
522 present study.

523 Feather pecking phenotypes seem to differ in behavioral responses and the serotonergic
524 system (i.e. peripheral serotonin), but differences in HPA-axis activity (i.e. corticosterone) or
525 immune system (i.e. natural antibodies) were not found.

526 The present study could not support the categorization of FP genotypes or FP phenotypes into
527 specific coping styles.

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536

537 **Supplementary data**

538 Feather Pecking Observations

539 An overview of the line effects on feather pecking behavior at different ages is given in Table
 540 1. Lines did not differ in exploratory feather pecks (EFP) or stereotyped feather pecking bouts
 541 (StFP) at 18-19 and 24-25 weeks of age. Line effects were found for severe feather pecks
 542 (SFP) given at 18-19 ($F_{2,20} = 11.90$, $P < 0.01$) and 24-25 weeks of age ($F_{2,19} = 10.16$, $P <$
 543 0.01). HFP birds showed more SFP at 18-19 ($P < 0.01$) and 24-25 weeks of age ($P < 0.01$)
 544 compared to LFP birds. HFP birds showed more SFP at 24-25 weeks of age ($P < 0.05$) and
 545 tended to show more SFP at 18-19 weeks of age compared to CON birds ($P < 0.1$). LFP and
 546 CON birds only differed in SFP at 18-19 weeks of age, with CON birds showing more SFP
 547 compared to LFP birds ($P < 0.05$).

548 Table 1. Feather pecking behavior (exploratory feather pecking (EFP), stereotyped feather pecking
 549 (StFP) (bouts) and severe feather pecking(SFP)) of the high (HFP), control (CON) and low feather
 550 pecking (LFP) lines at different ages.

Variables	HFP	CON	LFP	P-value
Age (18-19 weeks)	n = 86	n = 81	n = 77	
EFP	8.81 ± 1.50	6.96 ± 0.84	5.51 ± 0.64	ns
StFP (bouts)	1.63 ± 0.32	1.28 ± 0.35	1.27 ± 0.23	ns
SFP	5.88 ± 2.34 ^a	1.19 ± 0.30 ^a	0.16 ± 0.07 ^b	< 0.01
Age (24-25 weeks)	n = 72	n = 70	n = 63	
EFP	4.14 ± 0.61	3.86 ± 0.57	2.99 ± 0.44	ns
StFP (bouts)	0.67 ± 0.19	0.26 ± 0.11	0.22 ± 0.08	ns
SFP	7.67 ± 2.03 ^a	2.09 ± 0.44 ^b	1.08 ± 0.27 ^b	< 0.01

551 Average number of pecks or bouts per bird per hour (30 min total observation time per bird). Differing
 552 lowercase letters (a,b) show significant differences ($P < 0.05$) between lines.

553 Feather Pecking Phenotypes

554 Birds were categorized as feather pecker, feather pecker – victim, victim and neutral. The
555 number (and percentage) of hens within each category at different ages is given in Table 2.
556 On average the largest percentage of hens was categorized as neutrals across all ages in all
557 three lines (HFP 47.8%; CON 77.6%; LFP 85.2%). The remainder of hens was categorized as
558 feather pecker (HFP 14.5%; CON 9.4%; LFP 7.5%), feather pecker - victim (HFP 13.7%;
559 CON 3.3%; LFP 1.9%) and victim (HFP 24.1%; CON 9.6%; LFP 5.4%).

560 Table 2. The number (and percentage) of hens per phenotype category (feather pecker (P), feather
561 pecker-victim (P-V), victim (V) and neutral (N)) within the high (HFP), control (CON) and low
562 feather pecking (LFP) lines based on the number of severe feather pecks (SFP) given or received at
563 different ages.

	P	P-V	V	N
Criteria	Give > 1 SFP	Give > 1 SFP	Give 0 or 1 SFP	Give 0 or 1 SFP
	Receive 0 or 1 SFP	Receive > 1 SFP	Receive > 1 SFP	Receive 0 or 1 SFP
Age (3-4 weeks)				
HFP	16 (12.2%)	13 (9.9%)	34 (26.0%)	68 (51.9%)
CON	7 (5.6%)	2 (1.6%)	10 (7.9%)	107 (84.9%)
LFP	7 (5.6%)	5 (4.0%)	4 (3.2%)	109 (87.2%)
Age (8-9 weeks)				
HFP	19 (17.3%)	3 (2.7%)	16 (14.6%)	72 (65.5%)
CON	6 (5.8%)	1 (1.0%)	5 (4.9%)	91 (88.4%)
LFP	5 (5.0%)	0 (0.0%)	4 (4.0%)	92 (91.1%)
Age (12-13 weeks)				
HFP	19 (21.6%)	8 (9.1%)	17 (19.3%)	44 (50.0%)
CON	12 (14.8%)	8 (9.9%)	11 (13.6%)	50 (61.7%)
LFP	13 (16.5%)	4 (5.1%)	9 (11.4%)	53 (67.1%)
Age (15-16 weeks)				
HFP	13 (15.1%)	7 (8.1%)	23 (26.7%)	43 (50.0%)
CON	7 (8.6%)	1 (1.2%)	9 (11.1%)	64 (79.0%)
LFP	4 (5.2%)	1 (1.3%)	4 (5.2%)	68 (88.3%)
Age (18-19 weeks)				
HFP	10 (11.6%)	11 (12.8%)	21 (24.4%)	44 (51.2%)
CON	9 (11.1%)	2 (2.5%)	8 (9.9%)	62 (76.5%)
LFP	1 (1.3%)	0 (0.0%)	1 (1.3%)	75 (97.4%)
Age (24-25 weeks)				
HFP	11 (15.3%)	22 (30.6%)	21 (29.2%)	18 (25.0%)
CON	10 (14.3%)	5 (7.1%)	11 (15.7%)	44 (62.9%)
LFP	8 (12.7%)	2 (3.2%)	7 (11.1%)	46 (73.0%)
Age (28-29 weeks)				
HFP	6 (8.5%)	16 (22.5%)	20 (28.2%)	29 (40.9%)
CON	4 (5.7%)	0 (0.0%)	3 (4.3%)	63 (90.0%)
LFP	4 (6.3%)	0 (0.0%)	1 (1.6%)	58 (92.1%)

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