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Changes in the ankle muscles co-activation pattern after 5 years following total ankle joint replacement

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28 ABSTRACT

Background: The Hintegra[®] arthroplasty provides inversion-eversion stability, permits axial rotation, ankle flexion-extension, and improvements of the gait patterns are expected up to 12 months of rehabilitation. However, sensorimotor impairments are observed in ankle flexors/extensors muscles after rehabilitation, with potential negative effects on locomotion. Here we determined the timing and amplitude of co-activation of the tibialis anterior and medial gastrocnemius muscles during gait by assessing non-operated and operated legs of patients with total ankle replacement, 5 years after surgery.

Methods: Twenty-nine patients (age: 58 [5.5] years, height: 156.4 [6.5] cm, body mass: 72.9 [6.5] kg, 10 men, and 19 women) that underwent Hintegra[®] ankle arthroplasty were included. Inclusion criteria included 5 years prosthesis survivorship. The onset and offset of muscle activation (timing), as well as the amplitude of activation, were determined during barefoot walking at self-selected speed by surface electromyography. The timing, percentage, and index of co-activation between the tibialis anterior and medial gastrocnemius were quantified and compared between non-operated and operated legs.

- 41 *Findings*: The operated leg showed higher co-activation index and temporal overlapping between tibialis 42 anterior and medial gastrocnemius during gait (P < .001).
- 43 *Interpretation*: The neuromuscular changes developed during the process of degeneration do not appear to be 44 restored 5 years following arthroplasty. The insertion of an ankle implant may restore anatomy and alignment 45 but neuromuscular adaptations to degeneration are not corrected by 5 years following joint replacement.
- 46
- 47
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- 51 *Keywords:* EMG; joint stiffness; total ankle replacement; walking; gastrocnemius; tibialis anterior.

52 1. Introduction

53 Lower limb osteoarthritis is associated with pain and impaired function with negative effects on locomotion. 54 More specifically, ankle osteoarthritis impairs sagittal plane motion (dorsiflexion and plantarflexion) and torque 55 (Al-Mohrougi et al., 2018). In many cases the joint degeneration will reach levels that require the total joint 56 replacement. Ankle arthroplasty is a surgical procedure in which the tibiotalar joint is replaced (Giannini et al., 57 2000; Caravaggi et al., 2015). Eighty percent of candidates are patients who developed ankle osteoarthritis 58 secondary to trauma (post-traumatic etiology) (Horisberger et al., 2009; Bloch et al., 2015), with far fewer 59 outcomes reported compared to hip and knee arthroplasty (Al-Mohrougi et al., 2018). In the past 40 years more 60 than 30 models of ankle prosthesis have been introduced, with few outcomes against hip and knee arthroplasty (Giannini et al., 2000; Henricson et al., 2011). In this regard, Hintegra® arthroplasty is a third generation 61 62 prosthesis that provides inversion-eversion stability, permits axial rotation, ankle flexion-extension being one of 63 the few ankle prosthesis showing good results concerning activities of daily living (Michael et al., 2008; 64 Hintermann, 2005). Previous studies showed that the Hintegra prosthesis is built with stable components, with 65 low rate of complications (Michael et al., 2008; Hintermann, 2005; Barg et al., 2011), allowing an adequate 66 range of motion (Valderrabano et al., 2003; Michael et al., 2008; Hintermann, 2005). However, the lack of 67 restoration of gait symmetry and joint function remains a problem after ankle arthroplasty (Caravaggi et al., 68 2015).

69 During the first year after Hintegra® arthroplasty, there are adaptations in the first three months of 70 rehabilitation that negatively affects the gait biomechanics. These include lower maximal plantar-flexion 71 moment, total adduction moment, medial ground reaction force, and higher anterior ground reaction force 72 during gait by increasing mechanical loading on knee joint (Valderrabano et al., 2007). This adaptive period was 73 previously reported as negative phase of rehabilitation (De la Fuente et al., 2014). These parameters are 74 expected to improve towards the end of the first year after surgery (Valderrabano et al., 2007), with significant 75 improvement of gait pattern (Valderrabano et al., 2007; Aidi et al., 2013) and quality of life (Esparragoza et al., 76 2011). It has been suggested that 5 years following total ankle joint replacement there is an increase in the 77 American Orthopaedic Foot and Ankle Score (Zaidi et al., 2013). However, there is little information 78 concerning the effect of ankle replacement on neuromuscular activity of ankle muscles (tibialis anterior and 79 medial gastrocnemius) (Doets et al., 2007). Abnormal muscle co-activation could result in increased and 80 abnormal kinetics on the prosthesis predisposing to loosening. Presumably the prosthesis is designed to replicate

81 normal anatomy of the ankle joint. If however the muscular co-activation does not change the forces will remain82 high.

83 An adequate control of tibialis anterior and medial gastrocnemius muscles depends both on the timing of 84 agonist and antagonist activation (Hubley-Kozey et al., 2010; Rosa et al., 2010), and the intensity of their 85 contractions, i.e. weight coefficients (Cappelini et al., 2006). These muscles sometimes present co-activation, an 86 involuntary and concurrent activation of the antagonist, in opposition to the contraction of the agonist 87 (Duchateau et al., 2014). Changes in co-activation occur during different physical activities e.g. running 88 compared to walking (Cappelini et al., 2006), footwear selection (Alkjær et al., 2012) and in pathological 89 processes e.g. cerebellar ataxia (Mari et al., 2014), foot and knee motor functions (Di Nardo et al., 2015), and 90 ankle osteoarthritis (Von Tscharner & Valderrabano, 2010). Furthermore, co-activation may affect gait pattern 91 and the integrity of prostheses due to abnormal joint force development (De la Fuente et al., 2014).

92 After the Hintegra[®] arthroplasty placement, the gait pattern is expected to be restored, and co-activation 93 between tibialis anterior and medial gastrocnemius should not occur at medium term after the first three months 94 of rehabilitation (Michael et al., 2008; Hintermann, 2005). However, there is a lack of evidence concerning 95 patterns of co-activation after ankle replacement when rehabilitation is completed. Therefore, here we determine 96 the relative measures of amplitude and timing of co-activation of the tibialis anterior and medial gastrocnemius 97 muscles during gait, by comparing the electromyographic activity of the non-operated and operated leg of 98 patients with total ankle replacement, 5 years after surgery. Our null-hypotheses were: i) total ankle replacement 99 after 5 years does not recover the timing of co-activation to the level of the non-operated leg during gait; ii) total 100 ankle replacement after 5 years does not recover the temporal percentage of co-activation to the level of the non-101 operated leg during gait, and ii) total ankle replacement after 5 years does not recover the index of co-activation 102 to the level of the non-operated leg during gait.

103

104 **2. Methods**

105 2.1. Study design

106 This is a cross-sectional, observational, analytical study design. The sample included twenty-nine patients (10 107 men and 19 women) that underwent unilateral total ankle replacement 5 years before the measurement session 108 in which the operated and non-operated leg were compared during gait. The inclusion criteria were: i) unilateral 109 total ankle replacement with Hintegra® arthroplasty (Newdeal SA, Vienne, France); ii) at least 5 years following 110 replacement; iii) rehabilitation treatment of one year; iv) posttraumatic arthritis; v) age between 40 and 70 years 111 old; vi) passive dorsal range of motion greater than 5° at the sagittal plane (De la Fuente et al., 2014); vi) 112 radiological stability (Horisberger et al., 2009; Bai et al., 2010; Guyer and Richardson, 2008); and v) have been 113 rehabilitated at the Instituto Traumatológico (Santiago, Chile) by the same surgical and rehabilitation team. The 114 exclusion criteria were: i) need for assisted locomotion; ii) major limitations in performance of daily life 115 activities; iii) major periarticular tissue impairment; iv) ipsilateral or contralateral hip/knee osteoarthritis; v) 116 inflammatory diseases; vi) neurological pathology; vii) active infection; and viii) cognitive impairment (von 117 Tscharner and Valderrabano, 2010). This study was approved by the institutional review board of the Instituto 118 Traumatológico (Santiago, Chile) according to the principles of the Declaration of Helsinki. All participants 119 signed a consent term agreeing to participate in this study.

120

121 2.2. Sample size

122 The sample size was estimated "a priori" with a pilot experiment that included 6 patients [mean (standard 123 deviation) 56.5 (2.1) years-old, 30.1 (1.8) kg/m², 3 men and 3 women] that fulfilled the inclusion and exclusion 124 criteria. A sample size of 23 patients was estimated considering a difference between two dependent means 125 (matched pairs), using two-tailed *t*-test with alpha error of 5% and statistical power of 80% for an estimated 126 effect size of 0.62. Six additional patients were included to the sample to anticipate possible attrition (20% of 127 estimation). The total patients assessed were 35 patients; 6 patients from the "a priori" determination of sample 128 size and additional 29 patients. The statistical calculus was performed by G*Power software version 3.1.9.2. 129 (Kiel University, Germany).

130

131 2.3. Surgery and physical therapy procedures

All participants had a Hintegra[®] prosthesis (Hintermann, 2005) (Newdeal SA, Vienne, France). In general terms, surgery involved an anterior longitudinal incision of 10 to 12 cm performed to dissect the retinaculum. Moreover, the soft tissue and periosteum from the bone were dissected. Resection of the talus and tibia were performed to insert arthroplasty components using Hintermann[®] distractor and oscillating saw. Osteophytes on the talar neck and anterior aspect of medial malleolus were also removed. After that, the tibial and talar

137 components were inserted. The last inserted component was the polyethylene component. The tissues were 138 sutured, and the procedure was finalized by fitting a short leg cast. The patients were monitored for the next 139 three weeks after surgery, once per week, and during the first 4 weeks after surgery patients were immobilized 140 with a short leg cast and instructed to unload the operated leg and rest.

141 The physiotherapy intervention was performed from week 4 until week 52 (Ingrosso et al., 2009). 142 From the week 4 to 12, the patients attended the rehabilitation service, where they used a walking boot. In this 143 period, partial weight bearing was permitted using the assistance of canes. Furthermore, stretching to improve 144 the dorsal flexion range of motion, strengthening of ankle, knee and hip muscles, and pain relief with physical 145 agents were activities performed. The re-education of gait without assistance, bipedal heel rise, balance 146 exercises, and pain relief management were performed until the end of week 52 (Martin et al., 2007). 147 Afterwards, patients returned to the to the foot and ankle hospital unit every six months to be assessed in the 148 follow-up period.

149

150 2.4. Data acquisition and processing

The data acquisition consisted of two stages: clinical assessment and surface electromyography recordings. The patients attended an interview, and the clinical assessment was performed at the foot and ankle service of the *Instituto Traumatológico* (Santiago, Chile). The age, body mass, height, AOFAS score (Kitaoka et al., 1994), passive dorsiflexion range of movement, difference in calf whilst standing (Saxena et al., 2011; Valdebarrano et al., 2006), and intensity of pain at rest and during walking assessed with a numerical verbal scale (0 no pain, 10 maximum possible pain) (Hintermann, 2005) and were part of the clinical assessment.

157 Surface electromyography recordings were performed one week after the clinical assessment at the 158 Centro de Investigaciones Medicas del Instituto Traumatológico (Santiago, Chile). After a 5-min warm-up on a 159 cycloergometer without external load and cadence of 60 rpm, patients walked barefoot at self-selected speed 160 (von Tscharner and Valdebarrano, 2010; De la Fuente et al., 2014) along a 5-meter flat surface on a straight-161 line. Patients performed five trials for familiarization within the walking space. Although we did not measure 162 kinematics, a common heel strike pattern was observed among the patients. The electromyography signals were 163 acquired during walking using a Myomonitor IV electromyography amplifier (Delsys, inc., Boston, USA). Two 164 DE-2.3 single differential surface electromyography sensors (Delsys, inc., Boston, USA) with an inter-electrode

165 distance of 10 mm were used. The data collection employed a 16-bit analog-digital converter card (National 166 Instrument Corp., Austin, TX, USA) operated by a Matlab software (Mathworks Inc., Massachusetts, USA) at a 167 sampling rate of 1000 Hz, band-pass filtered (20-450 Hz), and hardware amplified with a gain of 1000 V/V. 168 The muscles assessed were tibialis anterior and medial gastrocnemius based on their lower mean 169 electromyography frequency and intensity previously found by Valderrabano et al. (2006) in unilateral ankle 170 osteoarthritis, and the hypothesis of Doets et al. (2007), who suggested that after ankle joint replacement, higher 171 co-activation between these muscles could exist during gait. The surface electromyography sensors were placed 172 according to the European recommendations for surface electromyography (Hermens et al., 2000).

173

174 2.5. Signal treatment

The electromyography signals were filtered by a zero-lag 4th order finite impulse response Butterworth with a band pass of 20 to 450 Hz. Onset and offset times of muscle activation (Caravaggi et al., 2015) were identified using a continuous wavelet with the algorithm proposed by Merlo et al. (2003). The algorithm was performed using the Hermite-Rodriguez mother wavelet, 10% of noise power, 150 ms for the time of two detected activation intervals, and 5 ms for the spike rejection from non-rectified signals. The noise of electromyography signals was extracted when patients stood quietly before walking. Five consecutive electromyography bursts of the tibialis anterior and gastrocnemius medialis (corresponding to 5 strides) were used for the analysis.

All signals were full wave rectified (Figure 1). Due to the variability and non-accordance of normalization methods for analysis of electromyography signals (Rosa et al., 2010), a standardized treatment of signals by z-score method was performed. Each sample was subtracted from its expected value (E[X]) and divided by the standard deviation. This expresses the number of standard deviations by which the sample is above the E[X]. As the whole electromyography signals showed a normal distribution, the z-score was obtained using the arithmetic mean of the data.

188

189 2.6. Outcomes

The timing of co-activation: determined by the time overlap between the onsets and offsets activation (Rosa etal., 2010).

Percentage of co-activation: from the time activation onsets and offsets, the percentage of co-activation wasquantified as the overlapped percentage of muscle activation (Rosa et al., 2010).

194 *Co-activation index:* determined by the overlapped amplitudes of muscle activation between the tibialis anterior 195 and medial gastrocnemius. The co-activation index was implemented in discrete form by the trapezoidal method 196 (Eq. 1) from the original continuous form previously defined in the literature (Rosa et al., 2010).

198
$$Coactivion index = 2 \times \frac{\sum_{CoAc_{off}set}^{CoAc_{off}set}\left[\frac{(X_{i+1}-X_i)}{2} \times \Delta t\right]}{\sum_{Agonist}^{Antagonist}\left[\sum_{onset}^{offset}\frac{(X_{i+1}-X_i)}{2} \times \Delta t\right]_{i}} \times 100\%$$
(Eq. 1)

199

In the equation 1, $CoAc_{onset/offset}$ is the co-activation time of onset or offset, x_i is the electromyography sample, Δt is the interval time of data acquisition given the sampling frequency, and j represents the agonist or antagonist condition. To assess the intensity of concurrent contractions, the trapezoidal areas were obtained using the "cumtrapz function" from the full-rectified signals using the Matlab software (Mathworks Inc., Massachusetts, USA).

205

206 2.7. Statistical analysis

207 Data were reported as the median and interquartile range [IQ range] because the Shapiro-Wilk test revealed a 208 non-parametric data distribution. Homoscedasticity was confirmed using the Levene's test. To compare the 209 timing, percentage, and index of co-activation of medial gastrocnemius and tibialis anterior between the 210 operated and non-operated legs, a Wilcoxon Signed-Ranks test of two-tails was used with alpha error equal to 211 5%. To assess the possible existence of co-variable and interaction with outcomes, a multiple regression respect 212 to analysis for age, body mass, height, AOFAS score, the passive dorsal range of movement, calf circumference 213 difference between leg during a standing posture, the intensity of pain at rest and during gait were considered at 214 p<0.05. Data were analyzed using the Matlab software statistical toolbox (Mathworks Inc., Massachusetts, 215 USA).

3. Results

The timing of co-activation between the tibialis anterior and medial gastrocnemius in the non-operated leg [median: 390 ms, IQ range: 80 ms] was lower than in the operated leg [median: 566 ms, IQ range: 104 ms, p<0.001]. The percentage of co-activation between the tibialis anterioris and gastrocnemius medialis in the nonoperated leg [median: 0.00 %, IQ range: 1.84 %] was lower than in the operated leg [median: 100%, IQ range: 0.00 %p<0.001]. The index of co-activation between the tibialis anterior and medial gastrocnemius in the nonoperated leg [median: 0.00 %IQ range: 1.94 %] was lower than in the operated leg [median: 30.96%, IQ range: 13.52%, p<0.001]. The changes in co-activation are depicted in the Figure 1.

The demographic characteristics of the sample regarding age, body mass, height, AOFAS score, passive dorsal range of movement, calf circumference difference between legs during standing posture, intensity of pain at rest, and during gait did not co-vary or interacted with any of the electromyography outcomes (p>0.05).

- 229
- 230 *** Table 1 around here ***
- 231 *** Figure 1 around here ***
 - 232

233 **4. Discussion**

The most important finding of this work was that patients with the Hintegra® arthroplasty present abnormal plantar and dorsi-flexion co-activation patterns during gait after 5 years following total ankle joint replacement. Although the patients did not receive rehabilitation after 5 years following total ankle joint replacement due to the improvement observed in the AOFAS score, the present findings suggest the need for an appropriate sensorimotor rehabilitation program for patients in which problems at medium term of survival of the prosthesis still persist.

Although it can't be ensured (due to the lack of kinetics and kinematics assessment), the altered coactivation found in our study may suggest that both absorption and propulsion phases of walking could be committed 5 years after replacement surgery. Dorsal and plantar-flexor muscles need to be activated during different phases of gait in order to maintain the normal mechanics of the ankle joint during swing and load response. This is important for the control of the anterior advance of the tibia or the generation of propulsion.
Therefore, these mechanical actions need temporal muscle coordination (timing of activation). Based on the
findings from Di Nardo et al. (2015) and Cappellini et al. (2006), the tibialis anterior and medial gastrocnemius
muscles should present independent temporal activations during the different phases of normal gait (low speed).
It contrasts with the results of patients treated with ankle prosthesis, since a temporal overlap between these
muscles was found.

250 Based on the findings from Siegler et al. (2013), an altered kinetic condition can be present in patients with Hintegra® arthroplasty. Siegler et al. (2013) tri-dimensionally modeled the talus of 26 healthy adults and 251 252 found that "the trochlear surface can be modeled as a skewed truncated conic saddle shape with its apex oriented 253 laterally rather than medially", which contrasts with the mechanical model of Inman, in which the Hintegra® 254 arthroplasty is based (Hinterman 2005). Thus, the mechanical design of the Hintegra® arthroplasty could alter 255 distribution or intensity of ankle joint forces during the stance phase, or both, such as the abnormal joint shear 256 forces and delayed the first peak of vertical ground reaction force found after the negative rehabilitation phase in 257 patients treated with an Hintegra® arthroplasty (De la Fuente et al., 2014). Our data suggests that these 258 mechanical changes could result of sensorimotor adaptations, since an increased co-activation between dorsal 259 and plantar flexors would reduce the velocity of the foot and tibia during stance phase, which reduces the 260 vertical component of the ground reaction force at load response phase. However, an increased co-activation 261 might also result of intrinsic instability of the prosthesis in the frontal plane, which could have an unstable effect 262 over the foot based on mechanical findings from Siegler et al. (2013), further leading to the shifting of the 263 medial gastrocnemius rather than a shift of the tibialis anterior activation, as shown here.

As the shifting in the medial gastrocnemius could occur, the propulsion phase might also be affected (Giannini et al., 2000; Henricson et al., 2011). This possible change is in accordance with de la Fuente et al. (2014), who proposed a gait pattern with external hip rotation that increases the activity of hip extensors (rather than plantar flexors) to generate the propulsion of the leg with Hintegra[®] arthroplasty, after the negative rehabilitation phase. Therefore, it is possible that patients with Hintegra[®] arthroplasty experience an overstabilization of the ankle joint after at medium term, affecting the whole pattern of gait by an unstable load response and altered propulsion phase. This hypothesis still needs to be investigated.

271 On the other hand, Stubbs et al. (1998) suggested that the load over viscoelastic tissues creates a 272 sensorimotor response called "muscle-ligament reflex" causing an altered activity of agonist and antagonist 273 muscles over time. Therefore, the surgery itself could cause the neuromuscular changes observed, since the 274 tibialis anterior and ankle ligaments must be pulled to introduce the arthroplasty components into the ankle joint 275 space. This is important as one wonders if neuromuscular changes are reversible with time. Our findings would 276 suggest that at 5 years after ankle replacement they are not reversible. In making this statement perhaps 277 prosthesis designers would be better to use an arthroplasty designed to tolerate the irreversible forces on the 278 prosthesis. Finally, chronic pain could be another source of the neuromuscular changes observed in our study. 279 Valderrabano et al., (2006) and von Tscharner et al. (2010) described that the time of exposure to pain in 280 osteoarthrosis is the worst stimulus to the nervous system changing the neuromuscular patterns of activations. 281 This could induce changes in the ankle stiffness leading to pathological neuroplasticity changes, possibly 282 present since before the arthroplasty surgery. However, in our study, the intensity of pain was not identified as a 283 co-variable and did not show any interaction with the outcomes.

284 Due to the higher co-activation found in patients with Hintegra[®] arthroplasty at 5 years after ankle 285 replacement, new rehabilitation approaches are needed to improve the locomotion in patient who shows the 286 sensorimotor impairments described here. To our knowledge, this is the first pathological report at medium term 287 in patients with Hintegra[®] arthroplasty. Future studies should identify *in vivo* whether the ankle axis of this 288 arthroplasty is really positioned as Inman previously reported, since alterations in the prosthesis axis of rotation 289 could lead to the sensorimotor impairments found. Also, the presence of other concomitant musculoskeletal 290 problems (e.g., knee, hip or trunk disorders, metatarsal-cunieform, cuneiform-navicular and talo-navicular 291 osteoarthritis) requires further examination. Finally, it is important to investigate whether previous pathological 292 sensorimotor conditions acquired before the arthroplasty are irreversible after the surgery (i.e., chronic pain). 293 Regarding the limitations of our study, the sample is only representative of patients with lower AOFAS score, in 294 contrast to the results with Hintegra® (Hinterman, 2005) and other arthroplasties (Aidi et al., 2013; Caravaggi et 295 al., 2015). Also, the measurement of pain considered a verbal pain scale (Hinterman, 2005), which may not 296 reflect the sensorimotor impartments showed by previous studies (Ervilha et al., 2004; Lindstrøm et al., 2011). 297 Finally, we propose that a detailed mechanical analysis of gait, in addition to the analysis of co-activation 298 alteration, is needed to understand the possible neuro-mechanical pathological new hypotheses discussed in our 299 study.

| 301 | 5. Conclusions |
|-----|--|
| 302 | Altered co-activation during gait is present after at 5 years after total ankle replacement with the Hintegra® |
| 303 | prosthesis. It may result of an attempt to compensate ankle instabilities following arthroplasty, which effects on |
| 304 | gait dynamics require attention during rehabilitation programs. |
| 305 | |
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| 307 | The authors declare no conflicts of interest. |
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397 Figure caption

- 398 Figure 1. Temporal co-activation between medial gastrocnemius and tibialis anterior during gait in the
- 399 non-operated (top) and operated (bottom) legs from patient 1. The EMG signals of the medial
- 400 gastrocnemius are shown in light gray and positive values. The tibialis anterior signals are shown in dark gray
- 401 and negative values. The line shows the identified time of muscle activity as proposed by Merlo et al (2003).
- 402 The upper box shows the color intensity scale of the temporal overlapping relative to the medial gastrocnemius.
- 403 The bottom boxes show the intensity of each overlap found. The signals were normalized in function of z-score.