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1 Sequence stratigraphic interpretation of peatland evolution in thick coal
2 seams: examples from Yimin Formation (Early Cretaceous), Hailaer
3 Basin, China

4

5 Biao Guo ^{a,b}, Longyi Shao ^{a,*}, Jason Hilton ^c, Shuai Wang ^a, Liang Zhang ^a

6

7 ^a College of Geosciences and Survey Engineering, China University of Mining and Technology, Beijing, 10083, PR China

8 ^b School of Resources and Environment, North China University of Water Resources and Electric Power, Zhengzhou, 450046, Henan, PR
9 China

10 ^c School of Geography, Earth and Environmental Sciences, University of Birmingham, Edgbaston, Birmingham, B15 2TT, UK

11

12 **Abstract:** Peat formed in mire settings sensitively records environmental fluctuations during deposition
13 including changes in water table or base level and accommodation. On this basis coal seams, as geologically
14 preserved peats, can provide evidence of high-resolution paleoclimatic fluctuations as well as paleobotanical
15 evolution through periods of peat-formation. The No. 2 and No.1 (in ascending order) thick coal seams from the
16 Early Cretaceous Yimin Formation in the Zhalaier Coalfield (Hailaer Basin, NE China) are investigated using
17 sedimentological, sequence stratigraphic and petrographic analyses to understand the evolution of their peat
18 forming environments. These ‘single’ thick coal seams, lacking siliciclastic partings, are well-developed in the
19 central area of the Zhalaier coalfield. Petrographic analyses demonstrate that water-table or base-level
20 fluctuations in ‘single’ seams can be revealed by a number of significant surfaces formed by various events
21 including paludification, give-up transgressive, accommodation reversal, flooding, and exposure surfaces. These
22 surfaces can separate the single coal into a number of “wetting-up” and “drying-up” cycles. The wetting-up cycle
23 is characterized by a gradual upward increasing trend in the huminite:inertinite ratio and in the ash yields. In
24 contrast, the rapid drying-up cycle is characterized by an upward-increasing trend in the inertinite-dominated coal
25 (46% on average) that represents a phase of exposure and oxidation resulting from a falling water table. This
26 drying-up cycle can be correlated with the scouring surface in landward parts of the basin and terrestrialization
27 surface basinward. The No. 2 coal seam occurs in the transgressive systems tract and comprises three
28 high-frequency depositional sequences in which each coal cycle is characterized by a gradual wetting-up cycle and
29 ends with a rapid drying-up cycle. The No. 1 coal seam occurs in the highstand system tract and consist of several

30 high-frequency depositional sequences in which each coal cycle is characterized by a gradual drying-up cycle and
31 ends with a rapid wetting-up cycle. These coals could also superpose to constitute the thick coal seam in which
32 various sequence-stratigraphic surfaces can be recognized including terrestrialization, accommodation reversal and
33 exposure surfaces. Stratigraphic relationships between coal and clastic components in the Yimin Formation enable
34 us to demonstrate that thick coals span the formation of several coal cycles and high-resolution boundaries,
35 allowing us to interpret the effects of accommodation on coal seam composition. Recognition that environmental
36 changes can be recorded by thick coals has significance for studies that incorrectly suppose that peat or coal cycles
37 can offer high-resolution and time-invariant records of paleoclimatic fluctuations and paleobotany evolution.

38

39 **Keywords:** Thick coal seam, peat, coal macerals, clastic sediment, petrography, sequence stratigraphy, Hailaer
40 Basin, Cretaceous.

41

42 **1. Introduction**

43

44 Peat mires provide a sensitive record of water-table or base-level fluctuations throughout
45 their accumulation (Diessel, 1992, 2007; Jerrett et al., 2010). On this basis, sediments deposited in
46 them can record the long-term evolution of mires and swamps including rates of peat
47 accumulation as well as recording changes in geological environments (Moore, 1989; Kusters and
48 Suter, 1993; Winston, 1994; Banerjee et al., 1996; Bohacs and Suter, 1997; Diessel, 1998; Diessel
49 et al., 2000). Analysis of coal seams using coal petrography, sedimentology, sequence stratigraphy
50 and paleobotany can make comparatively accurate interpretations for conditions of peat formation,
51 not only of the long-term changes of sedimentary environment, but also of short-term sedimentary
52 cycles during peat-forming periods including high-resolution paleoclimatic fluctuation and
53 paleobotanical evolution (Davies et al., 2005, 2006; Jerrett et al., 2010).

54 For coals to be generated, sufficient accommodation space is required to accumulate peat and
55 to protect it against oxidation or erosion, with accommodation controlled by the height of the
56 water table (Jervey, 1988; Cross, 1988; Bohacs and Suter, 1997). The relationship between
57 accommodation and peat accumulation is thought to be crucial for coal formation (Banerjee et al.,
58 1996; Diessel, 1998; Petersen et al., 1998; Diessel et al., 2000; Holz et al., 2002; Wadsworth et al.,
59 2002, 2003). Coal seams form where the peat accumulation rate balances the accommodation

60 increasing rate (Bohacs and Suter, 1997; Diessel, 2007), with their long-term balance providing
61 one of the best opportunities to generate thick coal seams.

62 The “multi-peat superposition genetic model” (Watts, 1971; Shearer et al., 1994; Holdgate et
63 al., 1995; Diessel and Gammidge, 1998; Page et al., 2004; Jerrett et al., 2011) considers that thick
64 coal seams, rather than being the product of single paleo-peat bodies, might represent a succession
65 of stacked mires separated by hiatal surfaces. Generally, autochthonous peat accumulation genesis,
66 of a type mainly occurring in relatively stable tectonic areas, can be subdivided into continuous
67 and discontinuous accumulation of peat, **with the difference depending** on whether a series of
68 hiatal or non-hiatal surfaces develop during the coal-forming period. By contrast, the
69 “allochthonous accumulation coal-forming model” (Wu, 1994; Wu et al., 1996; Djarar et al., 1997;
70 Wang et al., 1999, 2000, 2001; Wu et al., 2006) has been developed to explain the effects of
71 storms, gravity flow and underwater debris flows discovered in the thick coal seams in small
72 terrestrial fault basins. However, for coal geologists, studies on thick coal seams remain
73 controversial for the following reasons:

74 (1) It is problematic to reconcile thicknesses of some coal seam with known modern peat
75 thicknesses. Coal seams as much as 100 m thick are often reported in the rock record (e.g. Hu et
76 al., 2011; Wang et al., 2016), whereas the maximum thicknesses for modern peats documented is
77 approximately 20 m (**Esterle** and Ferm, 1994; Shearer et al., 1994). In view of the appreciable
78 diagenetic compaction of peat after burial, no modern analogue has yet been discovered for the
79 formations of thick coal seams, appearing to challenge the doctrine of ancient analogues for
80 modern conditions. Thus, various researchers have made direct comparison of coal beds with
81 siliciclastic deposits to interpret coal seams as composites of multiple depositional sequences and
82 several significant surfaces (e.g., McCabe, 1984, 1987; Spears, 1987; Greb et al., 2002).
83 Furthermore, these coal seams contain information representing not only the presence of an
84 orderly cycle of peats but **also an absence** of some hiatal surfaces (Shearer et al., 1994). The
85 recognition of wetting-up and drying-up cycles in coals in response to water-table or
86 accommodation cycles indicates high-frequency paleoclimate changes that may be missed in
87 siliciclastic sediments. Therefore, recognition of vertical and lateral variation of the hiatal surfaces
88 in coal measures, along with separation of an orderly cycle, is of great significance to decipher
89 paleoclimatic fluctuations with the high-resolution and time-significant record in peat successions.

90 (2) For peat to be preserved, the accommodation rate, **mainly controlled by the rate of**
91 **subsidence and water table level**, should approximately balance the rate of peat production (Jervey,
92 1988; Cross, 1988; Bohacs and Suter, 1997; Wadsworth et al., 2003; Davies et al., 2005). As the
93 accommodation rate goes far beyond peat production, the mire could be drowned with lacustrine,
94 marine or terrestrial sediments, terminating peat accumulation. Likewise, if the accommodation
95 rate falls below the peat production rate, the mire is exposed, becomes oxidized and is replaced by
96 terrigenous clastic sediments. Within the comparatively narrow coal window, the accommodation
97 rate results in the changes to the composition or stacking type of the accumulating peat. Sequence
98 stratigraphy strives to explain sediment superposition and lateral arrangement, which are mainly
99 controlled by the accommodation made below base level relative to the supply rate of sediments
100 (Van Wagoner, 1995; Catuneanu, 2002; Diessel et al., 2007). Therefore, thick coal seams that
101 formed either under a transgressive or regressive regime, contain different single paleo-body
102 stacking patterns and a different composition of the accumulating peat. **Recognizing** that coals
103 formed in different systems tracts can represent types of cycles of stacked mires has important
104 implications for improving the predictability of vertical and lateral variations in coal composition
105 for mining and coal bed methane projects.

106 (3) Paragenesis of uranium deposits **occasionally** accompanies the formation of coal, oil, gas
107 or depositional metallic minerals. In some contexts, coals have even been the important sources of
108 uranium for industrial utilization (Kislyakov and Shchetochkin, 2000; Seredin and Finkelman,
109 2008; Seredin, 2012). These coals also have high concentrations of other associated elements,
110 including V, Mo, Se, Re and Mn, which may also have potential economic significance (Seredin
111 and Finkelman, 2008; Seredin, 2012; Dai et al., 2015; Finkelman et al., 2018). Coal-hosted U, V
112 and Mo deposits, produced by epigenetic infiltration, have a zoned distribution, which is also a
113 response to the accommodation under a sequence stratigraphic framework (Wu et al., 2009; Guo
114 et al., 2018). Uranium mineralization mainly occurs in coals formed in the highstand or lowstand
115 systems tracts (Yang et al., 2006; Yang et al., 2007; Wu et al., 2009; Guo et al., 2018). The
116 accumulation and mode of occurrence of uranium and rare metals may reflect the original
117 peat-accumulation environments. In other words, coals **that** selectively preserve some depositional
118 metallic minerals, should relate to where or how the coal was generated **and** impacted by
119 interaction between peat accumulation and accommodation.

120 This study is based on the Cretaceous **age** thick coal seams from the Yimin Formation in the
121 Zhalaier coalfield (Hailaer Basin, China), which are widely developed in lacustrine
122 transgression and highstand systems tracts (Zhou et al., 1996; Yuan et al., 2008; Guo et al., 2014).
123 As changes in base level and accommodation are important factors controlling coal accumulation,
124 the succession in the Yimin Formation represents an ideal **area** to conduct sequence stratigraphic
125 interpretation for mire evolution in thick coal seams. The aims of this paper are to: (1) describe
126 and interpret the thick coal seams and clastic sediments deposited in the Zhalaier coalfield, (2)
127 recognize the hiatal or non-hiatal surfaces in the coal seams, (3) interpret the effects of
128 accommodation on coal seam composition and (4) evaluate coal-forming mode in a sequence
129 stratigraphic framework in order to consider how accommodation affects coals deposition.

130

131

132 **2. Accommodation and peat/coal formation**

133

134 During peat accumulation within a mire, the **basin subsidence rates and** water table **control**
135 accommodation. **The** relationship between the change of accommodation rate and peat
136 accumulation rate directly **affects** peat formation and termination. Bohacs and Suter (1997) studied
137 the phenomenon of modern peat accumulation, and quantified the relationship between the change
138 of the accommodation rate and peat accumulation rate. Peat can accumulate during increasing or
139 decreasing accommodation rates and may span several accommodation cycles (Wadsworth et al.,
140 2002; Jerrett et al., 2010). In cases of high accommodation space, lacustrine or marine
141 fine-grained sediments are firstly developed in the basin, which are not conducive to the formation
142 of peat. As the accommodation **space decreases**, initiation of peat accumulation above these strata
143 represents a terrestrialization surface (TeS), which is commonly non-hiatal indicating a transition
144 from the shallowing-upward, subaqueous floor deposits to peat accumulation (Diessel et al., 2000;
145 Diessel, 2007; Jerrett et al., 2010; Fig. 1). Only when an equilibrium is reached between the
146 change of accommodation space and peat accumulation rate are optimum conditions met for peat
147 to form with greater thickness. **When** peat production exceeds the rate of accommodation **space**,
148 the peat will be exposed, oxidised and eroded. A continued decrease in the accommodation rate
149 finally results in zero accommodation, terminating peat accumulation and generating a subaerial

150 exposure surface (ExS) or erosional surface (Shearer et al, 1994; Jerrett et al., 2010; Fig. 1); as the
151 accommodation spaces changes from low to high, peat accumulation is gradually established. The
152 initiation of peat accumulation above subaerial, terrigenous strata represents a paludification
153 surface (PaS), which may be hiatal or non-hiatal, depending on the rate of clastic influx (Diessel et
154 al., 2000; Davis et al., 2006; Diessel, 2007; Fig. 1). With a rise in the water table and the
155 accumulation of peat, an equilibrium could also be reached between the accommodation rate and
156 the peat production rate, leading to thicker coal seams being formed. With accommodation
157 gradually increasing, the peat layer is drowned and inundated with fine-grained lacustrine
158 sediments, and the peat formation is terminated at this stage. These two processes were defined as
159 water-regression coal-forming (drying-up cycle) and water-transgression coal-forming (wetting-up
160 cycle) (Bohacs and Suter, 1997; Diessel et al., 2000; Wadsworth et al., 2003; Diessel, 2007; Fig.
161 1).

162 In coals, key surfaces or accommodation trends are identified on the basis of petrographic
163 parameters. The water-transgression cycle represents the ratio between the accommodation rate
164 and peat accumulation rate where it is gradually increasing. During the peat forming process, the
165 water table rises causing oxidized fusinite content to reduce and the huminite-inertinite ratio to
166 gradually increase (Diessel, 2007; Jerrett et al., 2010). Moreover, as the water table rises, mineral
167 components in coal seams also increase and the gelification index (GI) gradually rises (Diessel,
168 2007). This rise in water table contributes to the fact that the coal-forming process is in a reducing
169 environment. The water-regression cycle indicates that the increase rate of the accommodation
170 space is lower than the peat accumulation rate. As the water table falls the GI index gradually
171 decreases, and fusinite and semifusinite content increase (Shearer et al, 1994; Diessel, 2007). This
172 reflects the fact that the coal-forming process is in a weak oxidizing environment with shallow
173 water cover.

174

175 3. Geological setting of study area

176

177 The Hailaer Basin, with an areal extent of approximately $7.0 \times 10^4 \text{ km}^2$, is a
178 Mesozoic-Cenozoic continental basin in northeastern China (Fig. 2A), which developed on the
179 Hercynian fold basement (Zhang, 1992; Cheng, 2006; Wu et al., 2006). The sediment-source

180 region mainly consists of Sinian-Cambrian metamorphic rocks, Ordovician-Permian marine
181 sedimentary rocks interbedded with epi-metamorphic rocks, and Jurassic volcanic rocks
182 interbedded with volcanoclastics (Chen et al., 2007; Zhang, 2007; Zhang et al., 2015). The Hailaer
183 Basin is flanked by the Great Khingan Mountains to the east, the Northwest Uplift to the west, the
184 Hailatu Mountains and Kuokongduolu Mountains to the north, and the Bayinbolige Uplift to the
185 southeast (Fig.2A). The Basin can be divided into five tectonic units, from west to east comprising
186 the Zhalaier Depression, Cuogang Uplift, Beier lake Depression, Bayanshan Uplift and the
187 Huhehu Depression, respectively (Chen et al, 2007; Fig. 2B). These tectonic units also include 16
188 smaller fault depressions. The coal-bearing strata are part of the Lower Cretaceous Zhalaier
189 Group, which consists of the Tongbomia Formation (K_1t), Nantun Formation (K_{1n}), Damoguaihe
190 Formation (K_{1d}) and the Yimin Formation (K_{1y}) (Wu et al., 2006; Zhang et al., 2015), and mainly
191 comprises conglomerates, medium- to coarse-grained sandstones, siltstones, mudstones and
192 lignites (Zhang et al., 2015). Tectonic evolution in the Hailaer Basin can be subdivided into three
193 stages, namely an initial faulting phase, a faulting-depressing phase and finally a depressing phase
194 (Wu et al., 2006; Zhang et al., 2015). The Yimin Formation was developed in the depressing phase
195 under weaker tectonic activity. Thick coal seams were widely distributed in the mid-upper part of
196 the Yimin Formation where they developed in lacustrine transgression and highstand systems
197 tracts (Li, 1988; Guo, et al., 2014; Zhang et al., 2015; Table 1).

198 The Zhalaier coalfield, with an area of about 480 km², is located in the north part of the
199 Zhalaier Depression (Fig. 2B). Thick coals mainly occur in the middle of the Yimin Formation,
200 which includes 4-8 seams (Li, 1988; Zhou et al., 1996). The No.2 and No.1 coal seams are the
201 primary economic coal seams in this area and are separated by massive, thick, lacustrine
202 mudstones (Li, 1988; Zhang and Shen, 1991; Zhou et al., 1996; Guo et al., 2015). The Yimin
203 Formation is interpreted as a third-order sequence comprised of several higher frequency
204 fourth-order sequences (Zhou et al., 1996; Yuan et al., 2008; Guo et al., 2014). According to
205 previously conducted sequence stratigraphical analysis, the No. 2 coal seam (including 2-1, 2-2,
206 and 2-3), which ranges from 2-58 m thick, was formed in the lower part of a lacustrine
207 transgressive systems tract which can be subdivided into several fourth-order sequences (Zhou et
208 al., 1996; Guo et al., 2015; Fig. 3). The fourth-order sequence boundaries are characteristic by a
209 stack of erosionally based, conglomeratic and sandstone-dominated distributary channels with

210 regional extent, which incised the underlying inter-distributary bay siltstones, coal or lacustrine
211 siltstones and mudstones. The depositional environments show the abrupt transitions from
212 lacustrine to delta plain. The lower coal measure (No.2 coal) contains several coal cycles
213 (fourth-order sequences) (Fig. 3), in which the 2-2 and 2-3 coals are the thickest and most laterally
214 extensive coal seams in formation (Li, 1988; Zhou et al., 1996; Guo et al., 2014, 2017). Guo et al.
215 (2014) identified four lithostratigraphic members within the Lower Yimin Formation, each
216 extending shorter distances southward (basinward) than the underlying one as a result of
217 continued retrogradation. This study focusses on the 2-2 and 2-3 coals, which represent two
218 fourth-order sequences, marginal to lacustrine strata, interpreted as discrete packages of fluvial
219 sediments (Zhou et al., 1996; Guo et al., 2014). In the most marginal part of the area, the No. 2
220 coal is split into two individual seams, separated by a package of fluvial sediments (2-15m thick).
221 Towards the basin, these two coals coalesce and vary from 8-40 m thick. The excellent outcrop
222 exposure in the Zhailainuoer coalfield facilitates sampling and correlation between sections. In the
223 most basinward parts, mudstones interpreted as a set of shallow-lake sediments separate the coal
224 into two seams (Li, 1988; Zhou et al., 1996; Guo et al., 2017). Figure 4 shows a schematic
225 summary of stratigraphic features of the Lower Yimin Formation. The presence of several abrupt
226 vertical discontinuities in the seam is a significant feature of the No. 2 coal. These discontinuities,
227 or abrupt transitions, can be correlated across much of the study area and define what are
228 interpreted as time-equivalent sedimentation units.

229 The No. 1 coal seam (including 1-1, 1-2, 1-3, and 1-4), which ranges from 1-15 m thick,
230 developed at the top of the Yimin Formation and formed in the middle-late highstand systems tract
231 which can be subdivided into several fourth-order sequences (Zhou et al., 1996; Yuan et al., 2008;
232 Guo et al., 2015; Fig. 3). These high-frequency sequence boundaries are characteristic by the
233 abrupt facies changes, which show the transitions from delta plain or front to lacustrine siltstone
234 and mudstone, reflecting changes in water depth from shallow to deep.

235 In the Zhailainuoer coalfield coals, vertical root traces can be found in seat earths (Fig. 3),
236 the content of detrital mineral is low (ca. 7.2%, Table 2), coal thickness is relatively stable and
237 evidence of allochthonous peat accumulation genesis such as storms, gravity flow and underwater
238 debris flows have not been identified (Li, 1988; Zhou et al., 2008; Guo et al., 2015). All of these
239 suggest that the No.2 and No.1 coal seams represent mostly autochthonous peat accumulation.

240

241 **4. Sampling and analytical methods**

242

243 This study focused on the two thick coals, No. 2 and No.1 in ascending order, of the
244 Zhalainguoer coalfield. Distributions of the sand bodies and coal seams and the important
245 characteristics of the coal facies were analysed to illuminate the differences of mire evolution in
246 the coal-forming processes between the lacustrine transgressive systems tract and highstand
247 systems tract.

248 A total of 30 samples were taken from the No.2 and No.1 coal seams, including 23 coal
249 bench samples from **outcrops of** the No. 2 coal and 8 from drill cores for the No.1 coal. All of the
250 coals were sampled with intervals of 1-2 m from top to bottom, and immediately stored in airtight
251 plastic bags and sealed to minimize contamination and oxidation. At the locations where the coal
252 was sampled at outcrop, it was first excavated to a depth of approximately 0.5-1 m in order to
253 remove excessively weathered material. The coal benches are identified by the name of the
254 coalfield (Zhalainguoer with prefix- Z), along with the coal seams numbered in increasing order
255 from top to bottom following Chinese coal geology conventions relating to the order in which they
256 are encountered through drilling. Part of each sample was crushed and ground to 1 mm maximum
257 diameter, bound in epoxy resin as raw coal and then cured, cut and polished on the basis of
258 standard methods for microscopic analysis using white-light reflectance microscopy. Maceral
259 analyses were based on 500 points per sample and the maceral classification and terminology
260 applied in the current study are based on the work of Taylor et al. (1998) and the ICCP System
261 1994 (ICCP, 1998, 2001). Mean random textinite reflectance was determined from 50
262 measurements per sample in accordance with Australian Standard guidelines (Australian Standard
263 AS 2856.2-1998. 1998). The remaining parts of samples were crushed and ground to pass through
264 a 200 mesh (75µm) for proximate analysis, conducted on the basis of ASTM Standards D3173-11,
265 D3175-11, and D3174-11 (2011). Total sulfur was determined following ASTM Standard
266 D3177-02 (2002).

267

268 **5. Results and interpretation**

269 **5.1 Coal petrography analysis**

270 5.1.1 Proximate analysis

271 Table 2 presents proximate analysis results from the No. 2 coal seam collected from outcrop.
272 Ash yield varies greatly through the vertical section from 7.92% to 55.42% (mean = 22.31%),
273 especially in the samples of Z-2-1 and Z-2-2 where ash levels are up to 50%. Total sulfur varies
274 from 0.22% to 1.75% (mean = 0.71%) with high-ash samples also having high sulfur contents.
275 Overall, coals from the Zhilainuoer coalfield are medium-ash and low-sulfur coals.

276 5.1.2 Maceral analysis

277 Petrographic analysis shows that coal samples commonly have a high content of huminite,
278 and all of the samples, with the exception of samples Z-2-14, Z-2-15, Z-2-16 and Z-2-17 in the No.
279 2 coal, have >60% huminite (Fig. 5). The huminite maceral group is dominated by humotelinite
280 (mainly textinite and ulminite, = telohuminite of other authors) (Fig. 6B-E, H) and humodetrinite
281 (Fig. 6G), but is also characterized by gelinite (mainly levigelinite) (Fig. 6A) and corpohuminite
282 (mainly phlobaphinite) (Fig. 6E, F). For huminite to form, it is essential that accumulating plant
283 debris transitions relatively swiftly from the peat surface through oxidizing conditions of the
284 acrotelm into the reducing condition of the catotelm (Diessel et al. 2000). Important to this process
285 is anaerobic bacteria activity that transforms the remaining lignin and cellulose into a partially
286 homogenized humic gel, making huminite. Textinite is indicative of little aerial (aerobic) decay
287 and formed from cell walls (O'Keefe et al., 2013). Textinite is an indicator of a good balance
288 between the rates of accommodation and peat accumulation.

289 The inertinite maceral group is also common in the samples analyzed, particularly in samples
290 Z-2-14, Z-2-15, Z-2-16 and Z-2-17 where it amounts to >30 vol%. In general, fusinite and
291 semifusinite dominate the inertinite maceral assemblages (Fig. 7A-G, I). Macrinite (Fig. 7H, I)
292 and sclerotinite (Fig. 7J) are also recognized in some of coal samples. Inertinite, particularly
293 fusinite and semifusinite, are the main product of incomplete combustion or oxidation (Guo and
294 Bustin, 1998; Bustin and Guo, 1999; Diessel et al., 2000; Hower et al., 2009, 2011a, b, 2013;
295 O'Keefe and Hower, 2011; O'Keefe et al., 2011, 2013). Thus, high inertinite content, especially
296 structured fusinite and semifusinite (see Fig. 7A-G), can indicate a low or fluctuating mire
297 water-table or comparatively lower accommodation rates relative to peat production (Diessel,
298 2007; Jerrett et al., 2011).

299 Liptinite macerals in the coal include sporinite (Fig. 8D), cutinite (Fig. 8C), resinite (Fig. 8A),

300 and suberinite (Fig. 8B,E). The relatively high huminite to inertinite ratio (e.g. 1:3.97) suggests
301 that the accommodation rate and peat production were well balanced.

302 The mineral content (Fig. 9A-E) of the coal samples is high with exception of samples Z-2-1
303 and Z-2-2, with a mean value of 6.0%. Although differing genetically, authigenic minerals are not
304 easy to distinguish from detrital minerals. Nevertheless, as outlined by Moore et al. (1996) in
305 Holocene mires of southeast Asia, authigenic mineral content tends to be quite low unless peat
306 ablation was excessive. Whether generally syngenetic or mostly water-borne in coal samples,
307 minerals are concentrated in coals when the accommodation rate exceeds the rate of peat
308 accumulation. Lower detrital mineral contents mostly occur in coal when the ratio of
309 accommodation nearly balances the rate of peat accumulation. Diessel et al. (2000) suggested that
310 a detrital mineral proportion of less than 10% can be interpreted as oligotrophic peat-forming
311 conditions happening in ombrotrophic raised mires. However, in distal, permanently flooded
312 papyrus marshes around delta plains (McCarthy et al., 1986, 1989; Diessel, 2007), low-ash
313 topogenous peat can form where peat accumulation might be free from the influx of clastic
314 sediment. Detrital mineral contents ranging from 10-30% by volume have been interpreted as
315 eutrophic, limnotelmatic peat-forming conditions where water encroachments were intermittent
316 and frequent so that water-borne minerals can easily migrate in the accumulating peat.
317 Additionally, in some cases, high mineral contents can also occur at the basal coal directly sitting
318 above the seat earth or paleosoil.

318

324 5.1.3 TPI and GI

325 The plant tissue preservation index (TPI) and gelification index (GI), to some extent, can
326 reflect the types of coal-forming plants, sedimentary environments, and other characteristics that
327 affected peat accumulation (Diessel et al., 2000; Davies et al., 2005; Diessel, 2007). On this basis,
328 after the microstructure quantitative analysis of coal seam samples, the TPI and GI of each coal
329 seam sample can be calculated.

330 Fig. 10 shows the TPI and GI values for the samples studied; all but few TPI values are less
331 than 1, indicating that the coal-forming plants in the coal seam of the study area are mainly
332 dominated by xylophyta with good structural preservation. All of the GI values are >1, reflecting a
333 relatively humid climate. In accordance with the classification basis of the TPI-GI diagram

334 constructed by Diessel et al. (2000), the coal-forming environments in the study area can be
335 divided into wet forest swamp, forest swamp with shallow overlying water, and lowland swamp.
336 **These** types of coal facies indicate that the coal-forming swamp environment is mainly a forest
337 peat mire dominated by xylophyta. Also, evolution in the different types of swamp exist in vertical
338 successions through coal seams.

339

340 **5.2 Interpretation of depositional processes, mire environment and accommodation trends**

341 5.2.1 Thick coal seams in the transgressive systems tract

342 The No.2 coal seam occurs stratigraphically at the bottom of the Yimin Formation in the
343 Zhalainguoer mining area and developed in the early period of a lacustrine transgressive systems
344 tract. Figure 4 shows a schematic summary of stratigraphic features of the Lower Yimin
345 Formation. The presence of several abrupt vertical discontinuities in the seam is a significant
346 feature of the No. 2 coal. Boreholes zk56-24, zk90-4, zk91-8, as well as outcrop sampling points
347 are selected to analyze the developmental characteristics of the No. 2 Coal seam.

348 5.2.1.1 Margin of the coalfield

349 At the most landward locality the No.2 coal is split into two seams vertically (2-2 and 2-3,
350 respectively) by a package of fluvial sediments (Figs. 4, 11). The No. 2-3 coal here sits above a
351 lithofacies association comprising scour-based, poorly sorted, directional conglomerates,
352 cross-bedded sandstones and siltstones or mudstones (Fig. 11). This association is 5-30 m thick in
353 which the predominant trend shows upward-fining cycles and an imbricate arrangement in the
354 basal conglomerates (Fig. 11). These sediments are interpreted as sandy-dominated braided river
355 systems or deltaic distributary channel deposits. Likewise, the No. 2-2 coal also sits above a
356 lithofacies association which consists of scour-based, directional conglomerates, trough
357 cross-bedded sandstones and horizontally bedded mudstones. The differences from the association
358 below No. 2-3 coal are as follows: 1) The basal fine conglomerates or conglomeratic sandstones
359 are thinner and medium- to well-sorted, and clasts have greater sphericity than those below the No.
360 2-3 coal; 2) The predominant trough cross-bedded sandstones are also medium- to well-sorted and
361 thicker with **occasional** interbeds of poorly sorted, fine sandstones and carbonaceous mudstones.
362 Coalified plant stems and fragments are common within this facies association. This lithofacies
363 association is interpreted as deltaic distributary channel deposits. **Upwards**, another sedimentary

364 cycle develops, similar to the fluvial sediments described above, which is finally covered by thick
365 lacustrine mudstones or siltstones with burrows (Figs. 3, 11).

366 **Using this information**, the coal measures in this area are **interpreted to be** composed of three
367 high-order sequences (coal-clastic cycles), each typically 10-40 m thick. The sandy-dominated
368 braided river system at the base should be interpreted as **having** developed in the lowstand
369 systems tract (Figs. 3, 11). The scour-based, poorly sorted, directional conglomerates are
370 interpreted as a sequence boundary. The deeply rooted mudstones underlying the No. 2 coal
371 represents a floodplain deposit. These features are **characteristic** of a typical river depositional
372 system, in which the gradual nature of the contact between the coal and fine sediments implies
373 that clastic sedimentation was gradually replaced by peat accumulation. The base of the No. 2 coal
374 is therefore interpreted as a non-hiatal paludification surface (PaS1) (Fig. 11). It defines the
375 surface of the initiation of the peat accumulation caused by gradually upward deepening. The
376 gradational nature of the contact between the coal and the overlying carbonaceous mudstone
377 implies that peat accumulation was gradually replaced with lacustrine sediments. This sequence
378 represents a complete wetting-up cycle and the top of the seam is therefore interpreted as a
379 give-up transgressive surface (GUTS) **according to Diessel et al. (1999, 2007)** (Fig. 11). The
380 second coal-clastic cycle (No. 2-2) is very similar to No. 2-3. The scouring surface is interpreted
381 as the high-resolution sequence boundary where the overlying fluvial sandstones cut down to the
382 top of the No. 2-2 coal (Fig. 11). Within the coal measures in this area, sedimentary trends do not
383 reflect a single period of increasing accommodation. Two coal-clastic cycles may respectively
384 represent a succession of high-resolution, asymmetric cycles, each characterized by a wetting-up
385 cycle that deposited in gradually increasing accommodation (rising water table), and split by
386 scouring surface that represents a sharp decrease in accommodation.

387 5.2.1.2 Centre of the coalfield

388 The coal in center of the coalfield (Fig. 4) sits directly above a fluvial sandstone and is
389 overlain by thick lacustrine mudstones or siltstones with burrows. The coal here is critical to
390 correlating accommodation trends between the landward and the basinward sections because this
391 is a locality where the two coals (No. 2-2 and 2-3) amalgamate and can be sampled conveniently
392 at outcrop. Within the No. 2 coal, petrographic trends reflect several periods of accommodation
393 variation.

394 The seam is divided into three depositional units. In unit 1, the consistently high huminite
395 (70%; table 3) and ash (dry basis) yields (19.8%) indicate that mire conditions may be planar and
396 rheotrophic. The detrital mineral and huminite/inertinite (H/I) trends indicate that peat
397 accumulation occurred during gradually increasing accommodation. On this basis, this unit
398 represents a wetting-up cycle. The low detrital mineral and ash yields of unit 2 demonstrate an
399 almost complete absence of clastic deposits. The high inertinite content, especially the structured
400 subgroups fusinite and semifusinite (Figs. 7, 12.), indicates a lower mire water table and exposure,
401 oxidation or even burning of the peat. Therefore, unit 2 is interpreted as ombrotrophic
402 **peat-forming** conditions occurring during low accommodation. Unit 3 is subdivided into three
403 smaller wetting-up cycles, which can be interpreted from the vertical petrographic trend. At the
404 top of unit 3, the high of detrital mineral content (up to 20%) represents a planar peat deposited
405 under rheotrophic conditions readily subjected to inundation.

406 Coals in this area consist of three units of coal cycles that are split vertically by petrographic
407 discontinuities. Analysis of mineral and maceral constituents within the three units (Table 3; Fig.
408 12), indicate that they may represent different environments of peat accumulation, including
409 alluvial plains, planar rheotrophic mires, ombrotrophic mires and lacustrine environments. Unit 1
410 and 3 are interpreted as wetting-up cycles, formed in response to gradually increasing
411 accommodation. Unit 2 is interpreted as ombrotrophic **peat-forming** conditions occurring during
412 lower accommodation, where a low mire water table caused peat exposure, oxidation and
413 combustion.

414 The base of the unit 1 (Fig. 13) is interpreted as a non-hiatal paludification surface (PaS1) in
415 accordance with the interpretation of the margin of the coalfield outlined above. The high
416 inertinite layers developed in unit 2 are analogous to the 'oxidized organic paring' of Shearer et al.
417 (1994), who described these as hiatal bounding surfaces between separate, genetic 'peat bodies'.
418 These are also identical to oxidized layers delineated from the surfaces of Holocene mires, which
419 have ceased peat accumulation due to the increased microbial degradation during periods with
420 **depressed** water tables (Prokopovich, 1985; Esterle and Ferm, 1994; Cohen and Stack, 1996;
421 Moore et al., 1996; Jerrett et al., 2010). Therefore, this unit implies some degree of hiatus,
422 interpreted as exposure and oxidized organic parting occurring before the initiation of peat
423 accumulation during optimum accommodation. The bounding surface between units 1 and 2

424 represent an accommodation reversal surface (ARS).

425 The 3 smaller cycles in unit 3 represent successions of higher-frequency, asymmetrical cycles,
426 each interpreted as a wetting-up cycle that **formed during** gradually increasing accommodation.

427 These wetting up cycles are separated by surfaces that represent a sharp transition in coal facies
428 interpreted as an abrupt decrease in accommodation. The boundary between the units also
429 represent a surface, of a type amalgamated from a pair of accommodation reversal surface (ARS),
430 while the drying-up constituents of the cycles were temporally transient events such that they are
431 not represented by any thickness of coal. Just as important, these cycles all take on the asymmetric
432 features, which, as demonstrated by Jerrett et al. (2010), can generate as a result of the
433 superposition of high-frequency symmetrical sinusoidal water-table fluctuations in a gradual and
434 steady background trend of water-table rise. This coupled effect would create episodes of abrupt
435 water-table fall when accommodation decreased rapidly.

436 5.2.1.3 Basinward areas of the coalfield

437 In the basinward areas of the coalfield, No. 2 coal is split into two seams (2-2 and 2-3) by a
438 package of fine clastic sediments (Figs. 4, 14). The No. 2-3 coal here sits above a lithofacies
439 association which is interpreted as deltaic distributary channel deposits while the No. 2-2 coal sits
440 above a package of fine clastic sediments, which consist of two types of lithofacies associations,
441 namely shore-shallow lacustrine deposits and interfluvial paleosols (Fig. 14). The gradational
442 nature of the contact between the No. 2-3 coal and its overlying shore-shallow lacustrine deposits
443 implies that peat accumulation here was gradually replaced by lacustrine sediments. This coal
444 cycle represents a complete wetting-up cycle and the top of the seam is therefore interpreted as a
445 give-up transgressive surface (GUTS) (Fig. 14). The dark grey/brown mudstone with rootlets that
446 commonly underlies the No. 2-2 coal is interpreted as an interfluvial paleosol, which can **be traced**
447 back to a scouring surface at the landward locality (Figs. 4, 14). The top of this paleosol therefore
448 represents a hiatus. The sharp feature of the surface between the coal and the paleosol is in
449 accordance with this interpretation, indicating that the lacustrine sedimentation was not gradually
450 substituted **by** peat accumulation. The contact surface therefore represents a hiatal paludification
451 surface (PaS2), as it defines the transitional surface from negative accommodation, representing
452 subaerial exposure to positive accommodation (peat accumulation during water-table rise). This
453 surface is equivalent of a scouring surface at the landward locality.

454 5.2.2 Thick coal seam in the highstand systems tract

455 The No.1 Coal seam in the Zhalainguoer coalfield developed in the highstand systems tract
456 (HST) period which occurs in the middle of the Yimin Formation. It contains five HST coals, in
457 which the No. 1-1 and 1-2 coals are the thickest and most laterally extensive in the Yimin
458 Formation. Sampling was carried out from borehole cores, where several coal-cycles coalesce.
459 The 8m thick seam rests directly on shallow-lacustrine mudstones (Figs. 3, 15). The base of the
460 coal is therefore interpreted as a terrestrialization surface (TeS) because it represents the initiation
461 of peat accumulation caused by upward shallowing. An ARS occurs 4 m above the base of the
462 seam, which is interpreted as an abrupt deepening event representing a relatively instantaneous
463 transition (Fig. 15). Another ARS overlies this surface and represents a shift to drying-upward
464 cycle. An extensive scouring surface sits directly above the coal, which indicates that the peat was
465 eventually exposed, oxidized and eroded by the fluvial sandstone. All the coal cycles in the HST
466 are interpreted as drying-up cycles, consistent with the interpretation of decreasing
467 accommodation and bounded by ARS representing an abrupt transition in accommodation. The
468 relationship of the No. 1 coal with the underlying and overlying clastic sediments suggests that it
469 generated during a period of gradual decreasing accommodation rate, and represents a transition
470 from lacustrine inundation to subaerial exposure.

471

472

473 **6 Discussion**

474

475 **6.1 Stacking types of coal measures in the sequence stratigraphic framework**

476

477 The type of superposition and lateral distribution of strata are largely controlled by the rate at
478 which accommodation is created below depositional base level, and the rate and mode by which
479 this accommodation is filled with sediments (Vail et al., 1977; Mitchum et al., 1977; Vail, 1987;
480 Van Wagoner et al., 1987, 1990; Jervey, 1988; Shanley and McCabe, 1991). LST sediments are
481 bounded below by a sequence boundary and upward by a first flooding surface. Landward, the
482 intervening deposits are suitable to overlap the sequence boundary. For the low accommodation
483 area, fluvial channels occur extensively, scouring previously deposited alluvial plain sediments.

484 This leads to the development of coarse clastic channel sediments with abundant scour-fill
485 structures, and relatively limited possibilities for peat accumulation (Boyd et al., 1998, Boyd and
486 Leckie, 2000).

487 The Yimin Formation was developed in the basin depressing phase with weaker tectonic
488 activity, and the lake level and climate were the dominant controls on accommodation space, such
489 that the stacking types of strata in the TST in this area are analogous to those in the coastal plain.
490 The TST in this area contains all sediments that are bounded below by the first flooding surface
491 and upward by the maximum flooding surface. The stacking type of deposits is characterized by
492 back-stepping, retrogradational parasequences overlapping the top of the lowstand deposits in the
493 alluvial plain, as a result of the gradually rising base level and increasing accommodation. A large
494 amount of overbank sediment is distributed on the alluvial plain and the transition zone,
495 facilitating the formation and accumulation of peat. Peats also stack in a way consistent with the
496 retrogradational parasequences and extend inland across the alluvial plain (Fig. 16).

497 The HST in this area contains all sediments that are bounded below by the maximum
498 flooding surface and upward by the boundary surface. During the early highstand periods, it
499 provides surplus room for lacustrine deposits under high accommodation settings and thick coal
500 seams can be formed in areas further inland (Boyd and Leckie, 2000). The stacking type of
501 deposits, including coals, are characterized by aggradational parasequences. With the gradual loss
502 of accommodation during the mid- to late highstand periods, rivers migrate more laterally
503 resulting in increasing connectivity of the fluvial sand bodies, pushing the sediments into the basin
504 that form progradational parasequences. This also reduces the possibilities of peat accumulation
505 and causes oxidation and partial or complete erosion of earlier deposits.

506

507 **6.2 Sequence stratigraphic context of the coals**

508

509 Figure 17 shows a generalized accommodation curve and schematic chronostratigraphic chart
510 for the Yimin Formation allowing us to demonstrate the spatial and temporal correlations between
511 the coals and the siliciclastic sediments throughout the study area. The periods of fluvial and
512 lacustrine deposits are based on the stratal geometries shown in Figure 4 and models for sequence
513 formation in the coalfield as described by Guo et al. (2015). The periods of intra-coal seam key

514 surfaces are based on the **interpretations** above. This figure shows that where correlatable,
515 accommodation changes are preserved in both coals and siliciclastic sediments.

516 **Within the TST**, the strata contains several fourth-order sequences, which are bounded by
517 surfaces that delineate an abrupt transition in petrography representing a rapid decrease in
518 accommodation. This abrupt transition displays diverse spatial and temporal features. The rapid
519 decrease in accommodation can be interpreted as the scouring surfaces (SS) caused by fluvial
520 denudation, the oxidized organic partings in coals characterized by high inertinite and low detrital
521 mineral content, and the paleosol underlying the coals. Therefore, these sequence (or coal cycle)
522 boundaries are represented by scouring surfaces (SS) at the landward locality, but can be traced
523 back the ExS or ARS in coals and the hiatal paludification surfaces (PaS2) at the basinward
524 locality. These bounding surfaces provide time-lines which indicate that the process of
525 paludification was diachronous through the area because the effects from sharp decrease in
526 accommodation or water table on the landward part should have happened sooner than its
527 basinward part. Figure 17 also shows some other points of interest with respect to the amount of
528 time represented by various key sequence-stratigraphic surfaces. The three GUTSs are not
529 synchronous across the study area because they formed throughout the retrogradation of
530 higher-order sequences 1, 2 and 3, respectively. Furthermore, the single GUTS is also slightly
531 diachronous because the basinward part of the termination of peat formation (due to upward
532 deepening) would have been sooner than its landward part because of the topography of the mire.

533 **Within the HST**, the strata contains several coal cycles that are bounded by surfaces showing
534 an abrupt transition in petrography representing a rapid increase in accommodation. These
535 higher-order sequence boundaries are represented by scouring surfaces (SS) at the landward
536 locality, but can be traced back the ARS in coals and the hiatal transgressive surface of erosion
537 (TrE) at the basinward locality, which is interpreted as abrupt deepening of facies associated with
538 sediment reworking. The two terrestrialization surfaces (TeS) are also not synchronous across the
539 study area because they formed throughout the progradation of coal-cycle 1 and 2, respectively.
540 Furthermore, a single TeS is also slightly diachronous because the landward part of the initiation
541 of peat formation, due to upward shallowing, would have **occurred** sooner than more basinward
542 part because of the topography of the mire. In addition, Figure 17 shows other intra-coal seam key
543 surfaces which can also correlate spatially and temporally with the siliciclastic components.

544

545 **6.3 Climate, eustacy and peat formation**

546

547 Coal preserves a detailed record of the water table fluctuations which can be influenced by
548 the sea-level and/or climatic changes. In paralic coal basins, the water table is mainly controlled
549 by sea level variations which produce systems tracts, sequences and parasequence in siliciclastic
550 sediments or coals (Diessel, 1992). Tornqvist (1993) assumed that relative sea-level changes can
551 impact water tables up to 150 km inland in modern paralic environments. Therefore, water-table
552 fluctuations in the Zhalainguoer coals far from the seas may be mainly controlled by the climate
553 and basin subsidence. In the study area, siliciclastic sediments also reflect the relatively
554 high-frequency climate changes. Drying or wetting events occurring in the siliciclastic sediments
555 can be recognized within the amalgamated coals, and this also provides an opportunity to correlate
556 the siliciclastic sediments with the coal and establish the relative isochronal stratigraphic
557 framework. Compared with siliciclastic sediments, coal, in common with other biochemical
558 sediments, preserves a detailed record of paleoclimate changes so that meaningful information can
559 be obtained from the petrographic analysis of coal down to sample intervals in the centimeter or
560 even millimeter ranges. The recognition of wetting-up and drying-up cycles in coals in response to
561 water-table or accommodation cycles indicates a high-frequency paleoclimate changes which may
562 be missed in the siliciclastic sediments. The three smaller coal-cycles in unit 3 succession, each
563 interpreted as a wetting-up cycle that generated in gradually increasing water-table level, cannot
564 be traced in the adjacent siliciclastic sediments (Figs. 12; 17).

565 A more complex depositional history can be revealed when the sampling density is increasing
566 and research methods are more comprehensive. Jerrett et al. (2010) recognized six water-table
567 cycles in a Pennsylvanian coal (1.5 m thick) from the Central Appalachia Basin (USA), and
568 assumed that these coal cycles may record Milankovitch to sub-Milankovitch base-level
569 fluctuation periodicities of 0.5 to 17 ka. Lu et al. (2014, 2018) investigated Jurassic coals from the
570 northern Qaidam Basin (China) with a 0.25 m sampling density and indicated that the
571 Milankovitch astronomical cycle is one of the driving forces for coal deposition. In addition, the
572 combination of coal petrography, biomarker and carbon isotope data, and also palynology have
573 become important tools for the reconstruction of paleoclimate and floral changes (Bechtel et al.,

574 2001, 2007; Otto and Wilde, 2001; Eble et al., 2003; Jasper et al., 2010; Stefanova et al., 2011;
575 Stojanović and Životić, 2013; Gross et al., 2015; Eble and Greb, 2016, 2018). Recognition that the
576 environmental changes can be recorded by the thick coals has significant implication for studies
577 that suppose that peat or coal successions can offer high-resolution and time-significant records of
578 paleoclimatic fluctuations and paleobotany evolution.

579

580 **7. Conclusions**

581

582 This survey has demonstrated that coal petrology can provide the possibility to improve
583 sequence stratigraphic interpretations of peatland evolution and thus offer valuable information to
584 the high-resolution record of terrestrial accommodation trends.

585 Coals can be subdivided into several drying-up or wetting-up cycles. Within the No. 2 coal
586 seams in the transgressive systems tract, five cycles of coal correspond to five high-resolution
587 accommodation periods, in which peat accumulation can be initiated with the **advent of**
588 paludification surfaces (e.g. non-hiatal PaS1 and hiatal PaS2) and be terminated **by** flooding
589 surfaces (FS) or giving-up transgressive surfaces (GUTS). These cycles formed during gradually
590 increasing accommodation which **is** reflected by the increasing concentrations of huminite and
591 detrital minerals associated with a slowed rate of water-table rise. Within the No. 1 coal seam in
592 the highstand systems tract, two drying-up cycles of coal correspond to two high-frequency
593 accommodation cycles, in which peat accumulation can be initiated with terrestrialization surfaces
594 (TeS) and terminated with the flooding surfaces, giving-up transgressive surfaces or transgressive
595 surfaces of erosion (TrE).

596 Coals have a complex internal sequence stratigraphy which makes it possible to correlate
597 them as terrestrial sediments. The hiatal surfaces (e.g. ARS, PaS2, ExS, TrE) occurring in the
598 coals may be interpreted as the fourth-order sequence boundaries which responded to the sharp
599 drying or wetting events. Within the No. 2 coal seams, some sharp drying-up events terminated the
600 peat accumulation, which can be interpreted as the scouring surfaces (SS) caused by fluvial
601 denudation at the landward locality, the oxidized organic partings (ExS) in coals at the center of
602 the coalfield, and the paleosol underlying the coals (PaS2) at the basinward locality.

603

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922

923 **Figure captions**

924

925 **Fig 1.** (A) Idealized curve showing the relationship between accommodation and peat production,
926 and the coal window of Bohacs and Suter (1997) with the genetic pathways of two seams, A and B
927 (modified after Wadsworth et al., 2003 and Diessel, 2007). (B) Sequence stratigraphic
928 interpretation of drying-up or wetting-up cycle, and stratigraphic sections through coal beds
929 showing the vertical and lateral variation of the significant surfaces. SB = sequence boundary,
930 MFS = maximum flooding surface, BSFR = basal surface of forced regression, MRS = maximum
931 regression surface, HNR = highstand normal regression, FR = forced regression, LNR = lowstand
932 normal regression, LST = lowstand systems tract, TST = transgressive systems tract, HST =
933 highstand systems tract.

934

935 **Fig. 2.** (A) Location of the Hailaer Basin in China. (B) Geotectonic divisions of the Hailaer Basin
936 and location of the Zhilainuoer coalfield (modified from Wu et al., 2006). (C) Geological sketch
937 map of the Zhilainuoer coalfield. (D) A cross-section of the Zhilainuoer coalfield (location of
938 section in (C), modified from Guo et al., 2014). J₂tm, Middle Jurassic Tamulangou Formation;
939 J₃mk, Upper Jurassic Manketouebo Formation; J₃mn, Upper Jurassic Manitu Formation; J₃b,
940 Baiyingaolao Formation. K₁t, Lower Cretaceous Tongbomia Formation; K₁n, Lower Cretaceous
941 Nantun Formation; K₁d, Lower Cretaceous Damoguaihe Formation; K₁y, Lower Cretaceous
942 Yimin Formation; Q, Quaternary.

943

944 **Fig. 3.** Relationship between stratigraphic fabric and coal accumulation in the Yimin Formation.
945 SB= sequence boundary, MFS= maximum flooding surface, FFS= first flooding surface, SL=
946 shallow lake, LS= shore lake, DF= delta front, DP= delta plain.

947

948 **Fig. 4.** Schematic cross section showing vertical and lateral variation of the No. 2 coal seam in the
949 Zhilainuoer coalfield. A-B refers to the section line in the locality map (Fig. 2). Grey in the
950 right-down figure represents coals.

951

952 **Fig. 5.** Bar chart of maceral content of the studied coal samples.

953

954 **Fig. 6.** Huminite in the Zhilainuoer coals under reflected white light microscopy. (A), Levigelite.
955 (B), Ulminite (left) adjacent to semifusinite (right), and sporopolleninite. (C), Textinite. (D),
956 Ulminite. (E), Phlobaphinite. (F), Ulminite (left) adjacent to corpohuminite (right). (G), Ulminite
957 and attrinite. (H), Textinite.

958

959 **Fig. 7.** Inertinite in coal under reflected white light microscopy. (A), Fusinite. (B), Semifusinite
960 with 'bogen' structure. (C), Pyrofusinite. (D), Fusinite with cell structure. (E), Broken semifusinite.
961 (F), Thickened cell walls in semifusinite. (G), Pyrofusinite and macrinite. (H), Macrinite. (I),
962 Rounded oxymacrinite (**degraded macrinite**), macrinite and semifusinite. (J), Sclerotinite.

963

964 **Fig. 8.** Liptinite maceral group in the Zhilainuoer coals under the reflected white light microscopy.
965 (A), Cells (fusinite) infilled with resinite. (B), Suberinite with phlobaphinite. (C), Cutinite. (D),
966 Sporopolleninite. (E), Suberinite with imbricate arrangement.

967

968 **Fig. 9.** Mineral in the Zhilainuoer coals under the reflected white light microscopy. (A) and (B),
969 Calcite. (C) and (D), Pyrite. (E), Clay

970

971 **Fig. 10.** Coal facies deciphered from tissue preservation index (TPI) and gelification index (GI) in
972 relation to depositional setting and type of mire (Diessel et al. 2000)

973

974 **Fig. 11.** Generalized accommodation curve and mire evolution for the duration of the deposition in
975 the margin of the coalfield, based on trends identified in the boreholes.

976

977 **Fig. 12.** Schematic cross section showing the vertical and lateral variation of the No. 2 coal seam
978 in the Zhilainuoer coalfield.

979

980 **Fig. 13.** Generalized accommodation curve and mire evolution for the duration of the deposition at
981 the center of the Zhilainuoer coalfield, based on trends identified in the boreholes.

982

983 **Fig. 14.** Generalized accommodation curve and mire evolution for the duration of the deposition at
984 the basinward locality of the Zhilainuoer coalfield, based on trends identified in the boreholes.

985

986 **Fig. 15.** Generalized accommodation curve and mire evolution for the duration of the deposition
987 of highstand system tracts, based on trends identified in the boreholes.

988

989 **Fig. 16.** Superposition and lateral distribution of strata in Zhilainuoer coalfield.

990

991 **Fig. 17.** Schematic chronostratigraphic chart showing the spatial and temporal correlation of the
992 Zhilainuoer coals with interpreted sequence stratigraphic surfaces.

993

994

995 **Table Captions**

996

997 **Table 1.** Sequence-stratigraphic position of various coalfields within the framework of systems
998 tracts in Hailaer Basin (Guo, et al., 2014). ▲ = coal, DM = Dongming, ZLNR = Zhalainur, HHH =
999 Huhehu, YM = Yimin, HQ = Hongqi, WRX = Wuerxun, HEHD = Heerhongde, MDMJ =
1000 Modamuji, JQ = Jiuqiao, BR = Beier, MDH = Mianduhe.

1001

1002 **Table 2.** Proximate analysis of the coals from studied area. M, moisture; A, ash yield; St, total
1003 sulfur; ad, as-received basis; d, dry basis; daf, dry and ash-free basis.

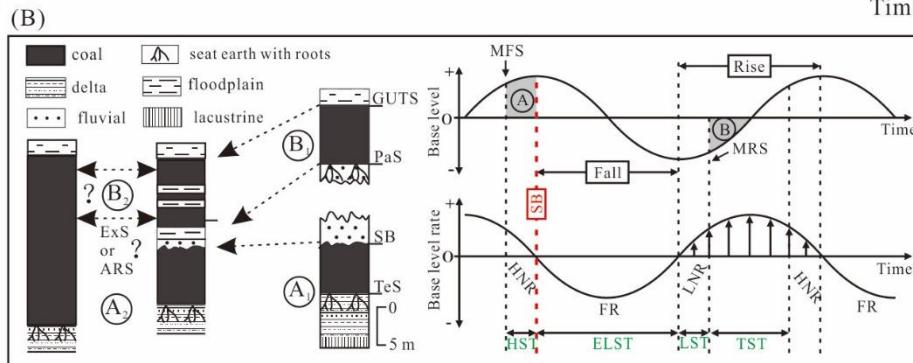
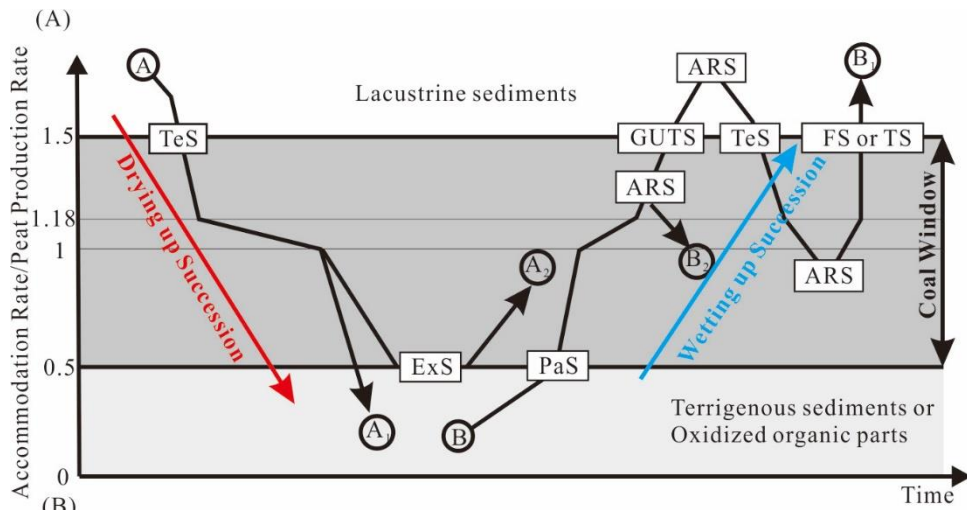
1004

1005 **Table 3.** Petrographic composition determined under optical microscope for coals from Hailaer
1006 Basin (vol %). T-I, total inertinite. T-H, total huminite. F, fusinite. HT, humotelinite. HD,
1007 humodetrinite. HC, humocollinite. ID, inertodetrinite. H/I, huminite -to-inertinite ratio. Min,
1008 mineral.

1009

1010
1011

Figure 1

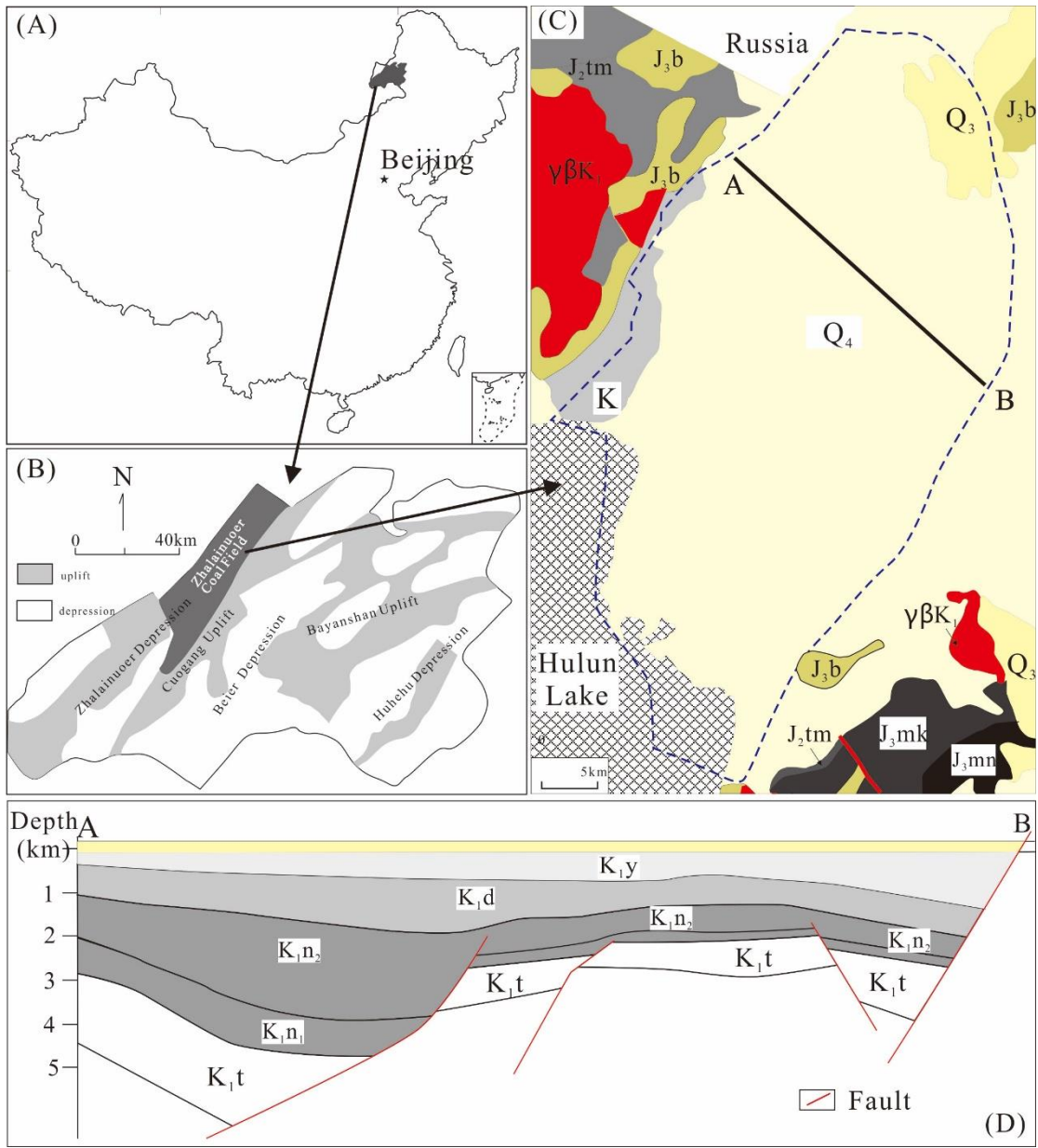


| | Surface | Explanation | Attribute of origin |
|------|--------------------------------|---|---------------------|
| TeS | Terrestrialization Surface | Initiation of peat formation caused by upward shallowing | Nohiatal |
| PaS | Paludification Surface | Initiation of peat formation caused by upward deepening | Nohiatal or Hiatal |
| GUTS | Give-up Transgressive Surface | Gradual termination of peat formation caused by upward deepening | Nohiatal |
| ARS | Accommodation Reversal Surface | Transition between shallowing and deepening upward (and vice versa) | Nohiatal or Hiatal |
| FS | Flooding Surface | Abrupt deepening of sediments | Hiatal |
| ExS | Exposure Surface | Exposure or Oxidation organic parting | Hiatal |

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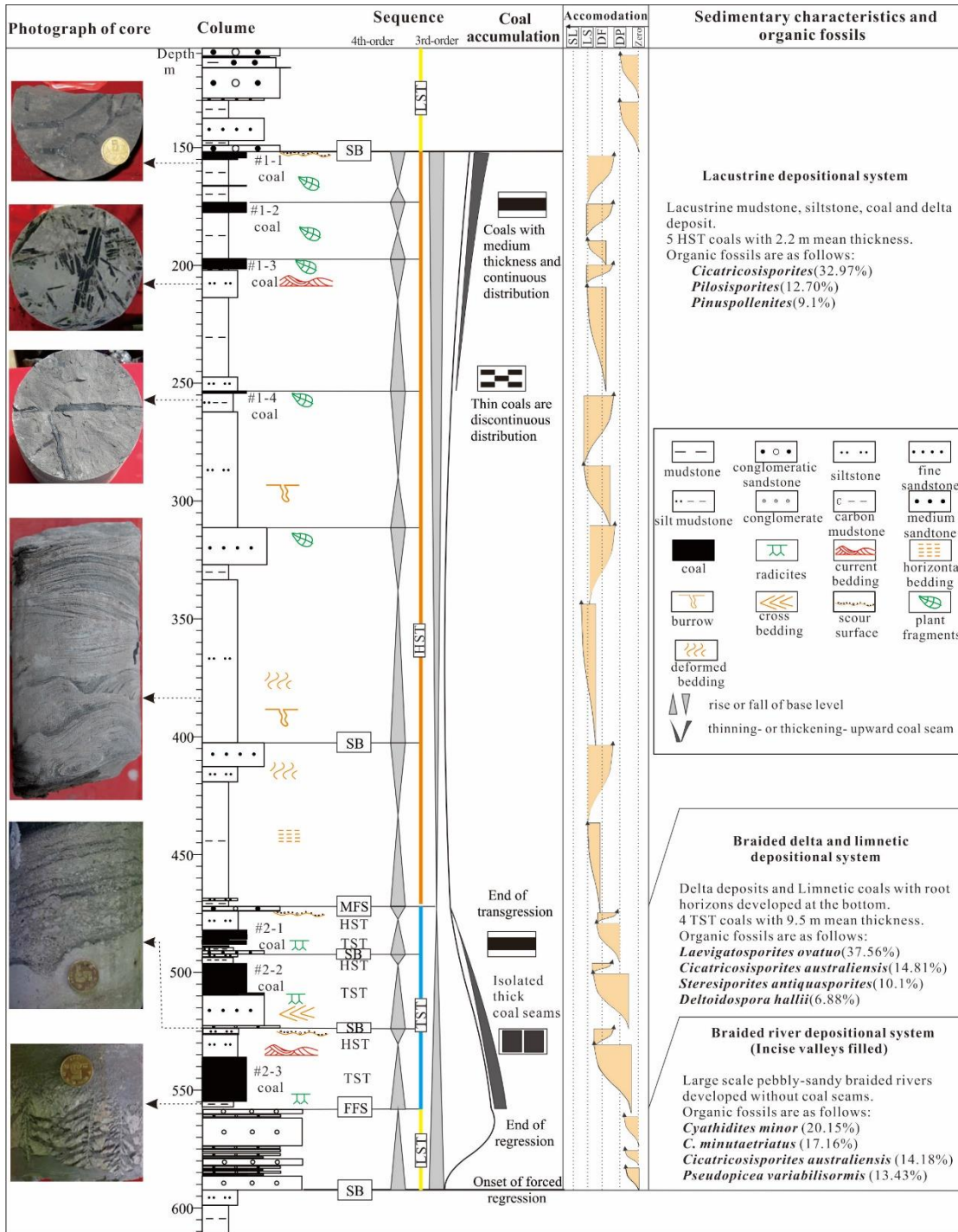
Figure 2



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Figure 3

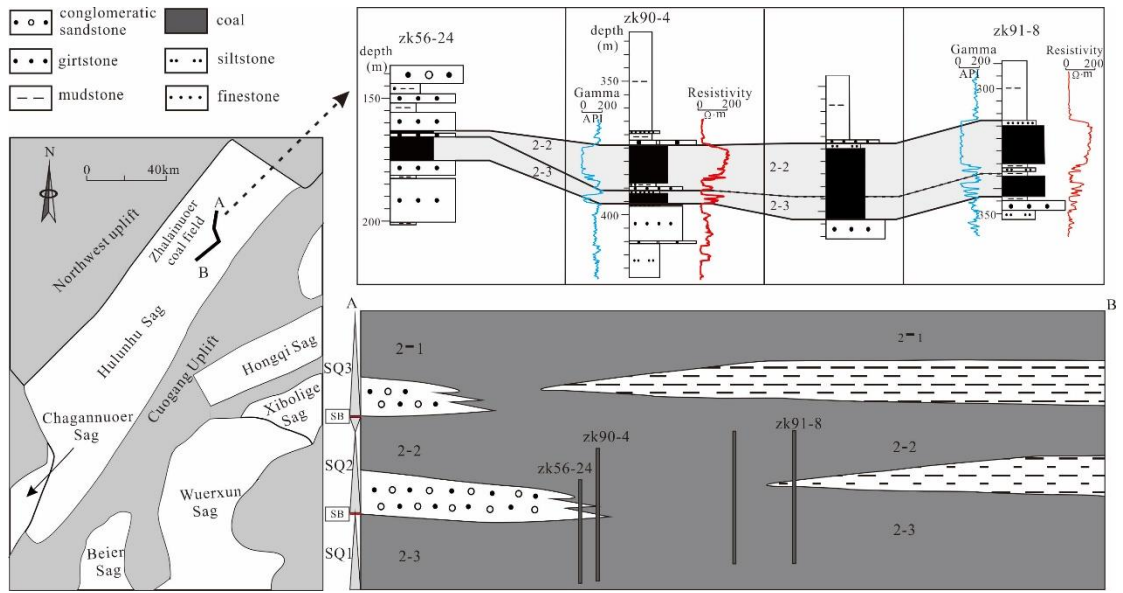


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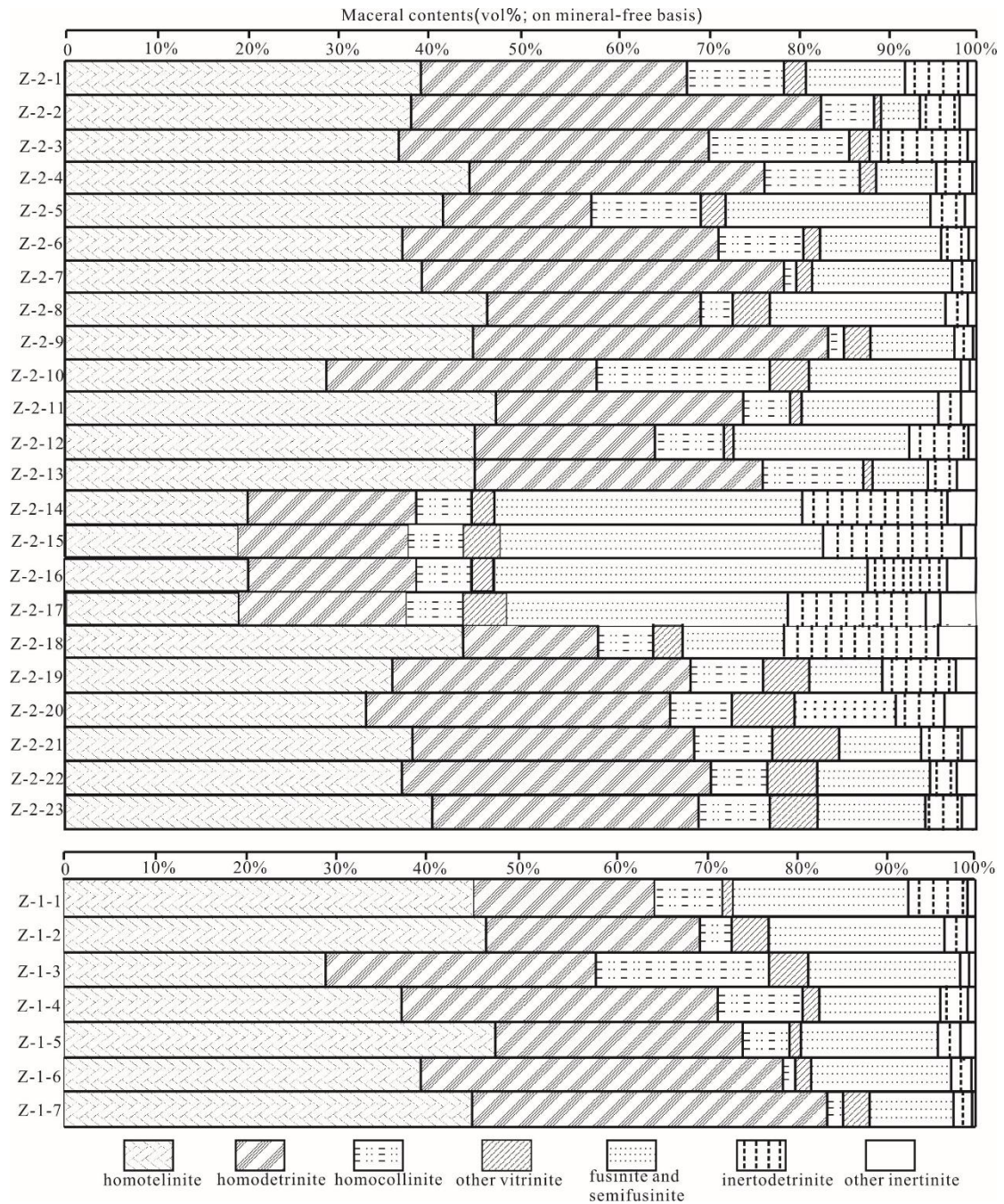
Figure 4



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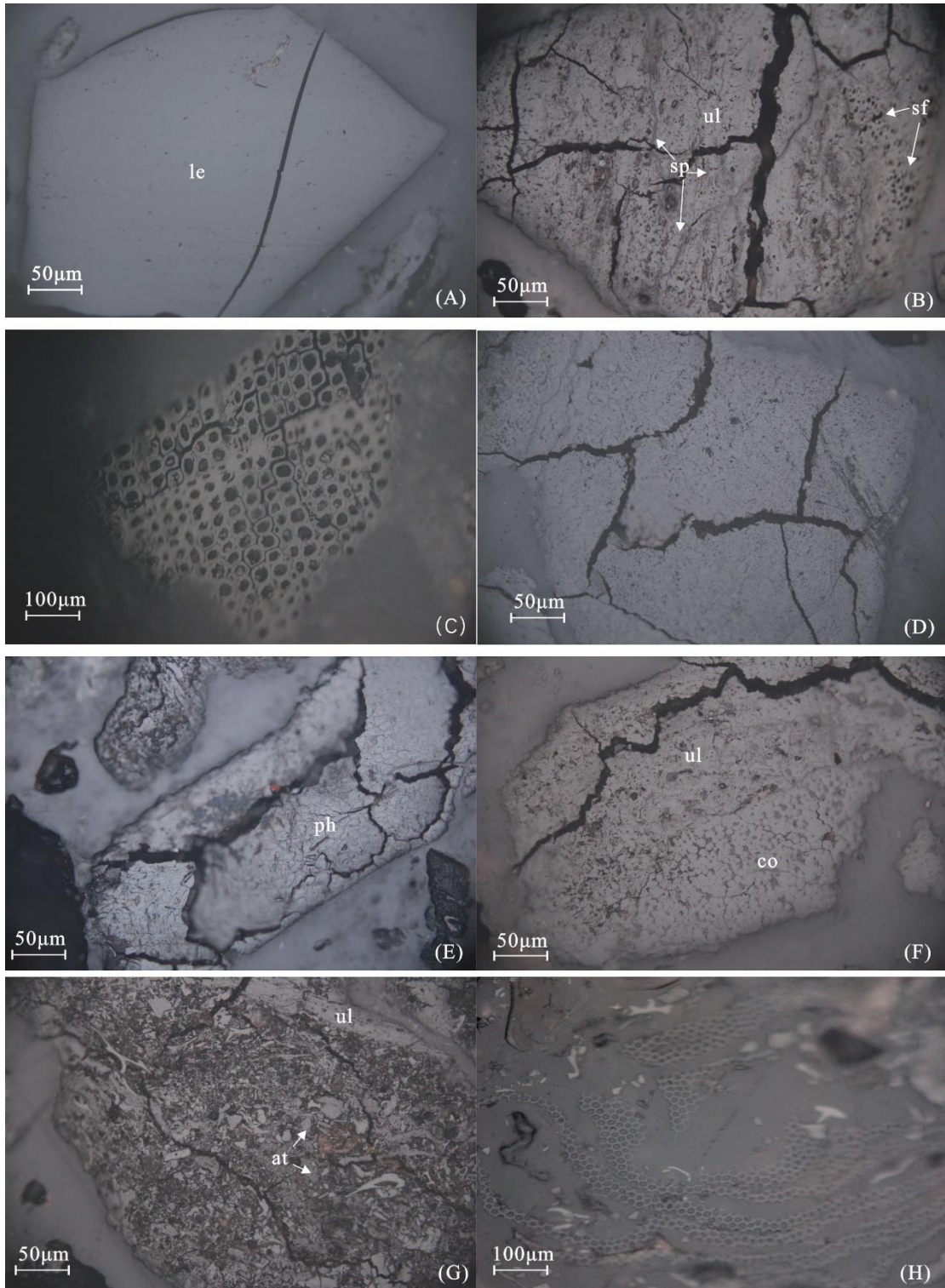
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Figure 5



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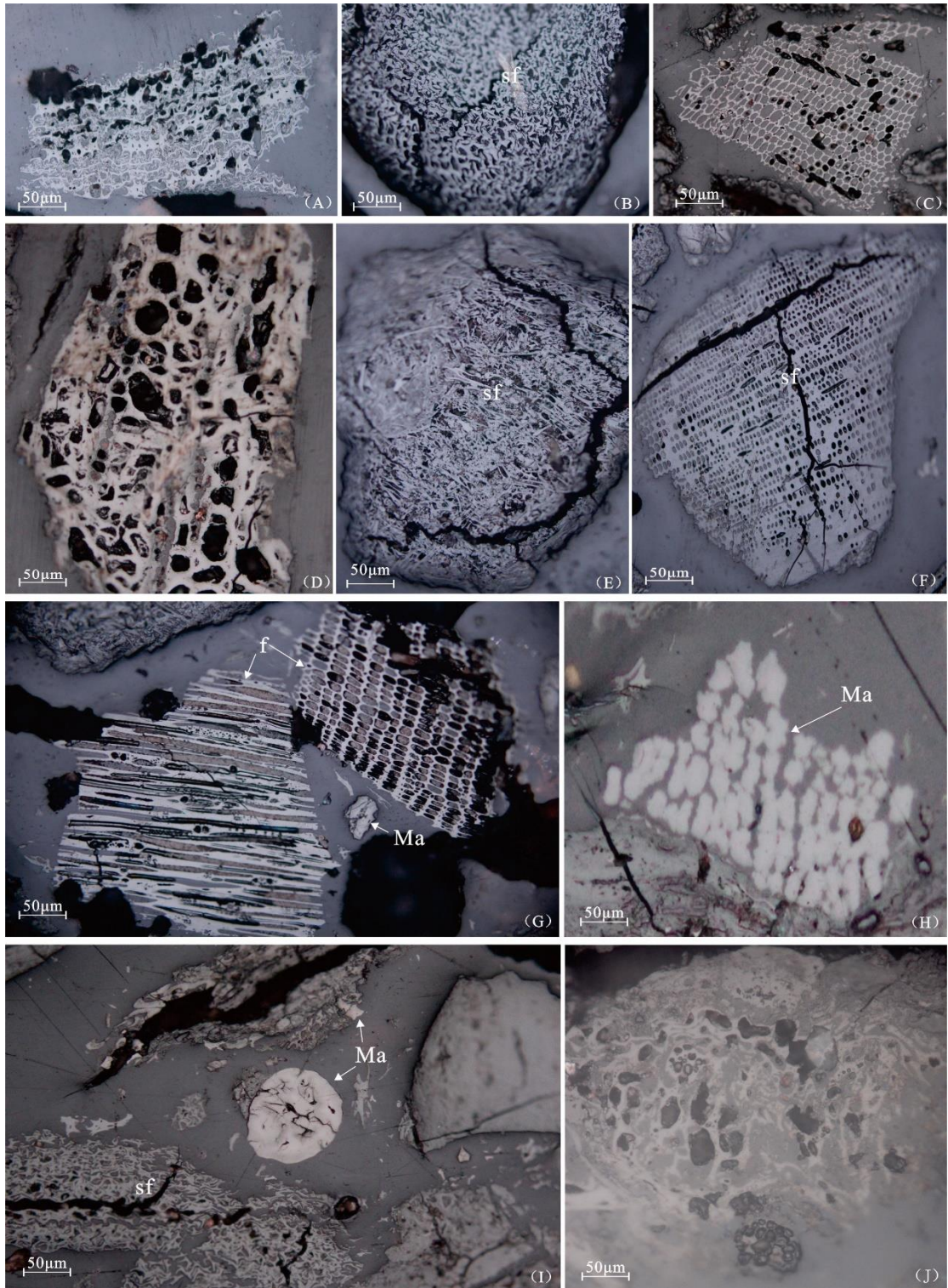
Figure 6



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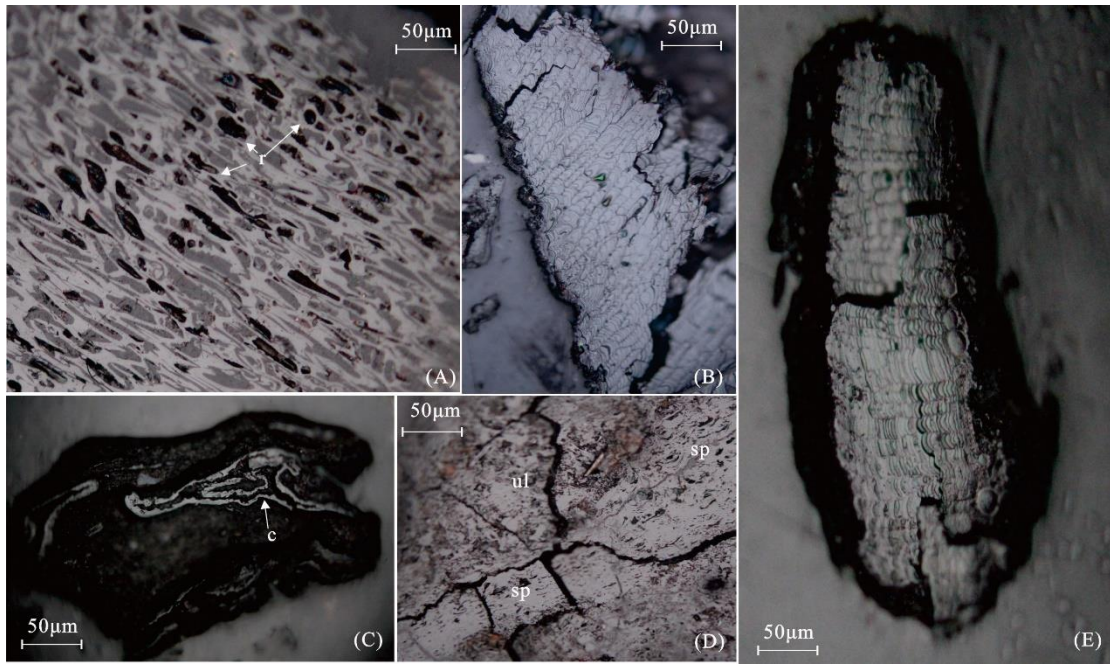
Figure 7



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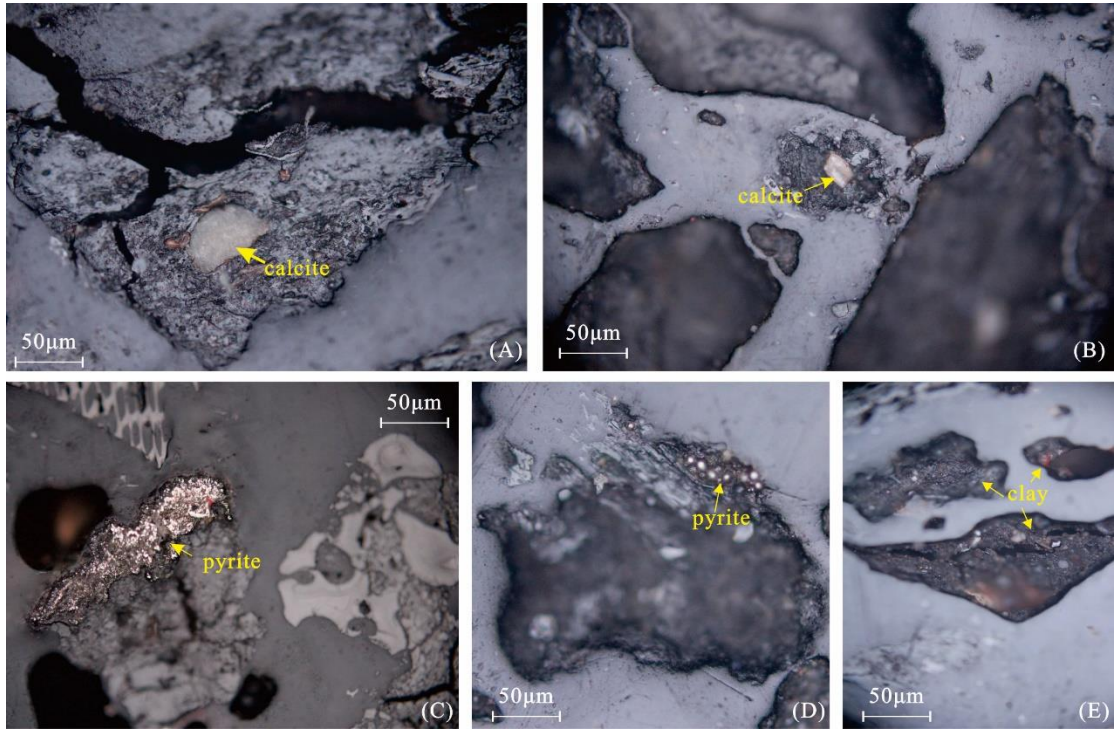
Figure 8



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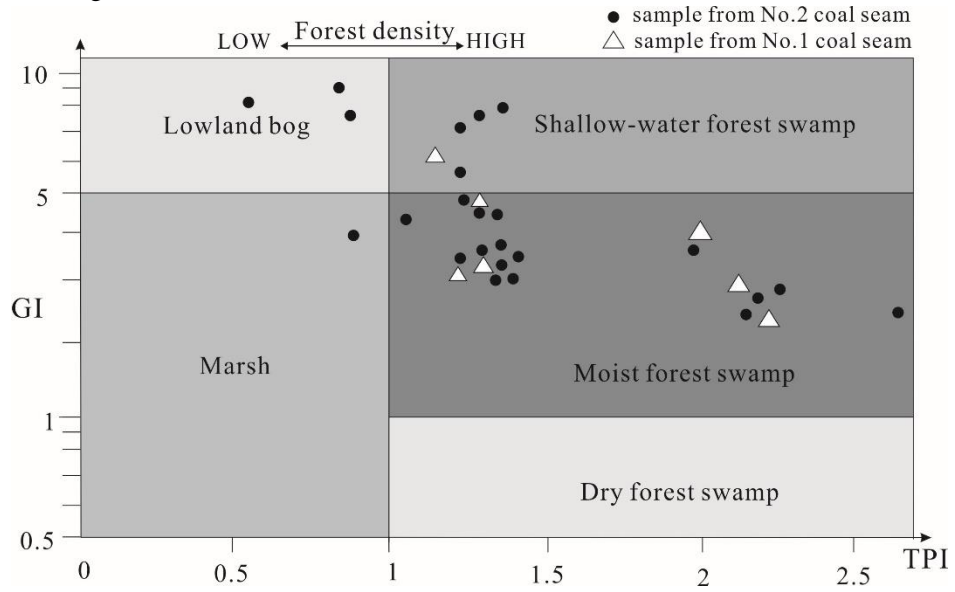
Figure 9



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Figure 10

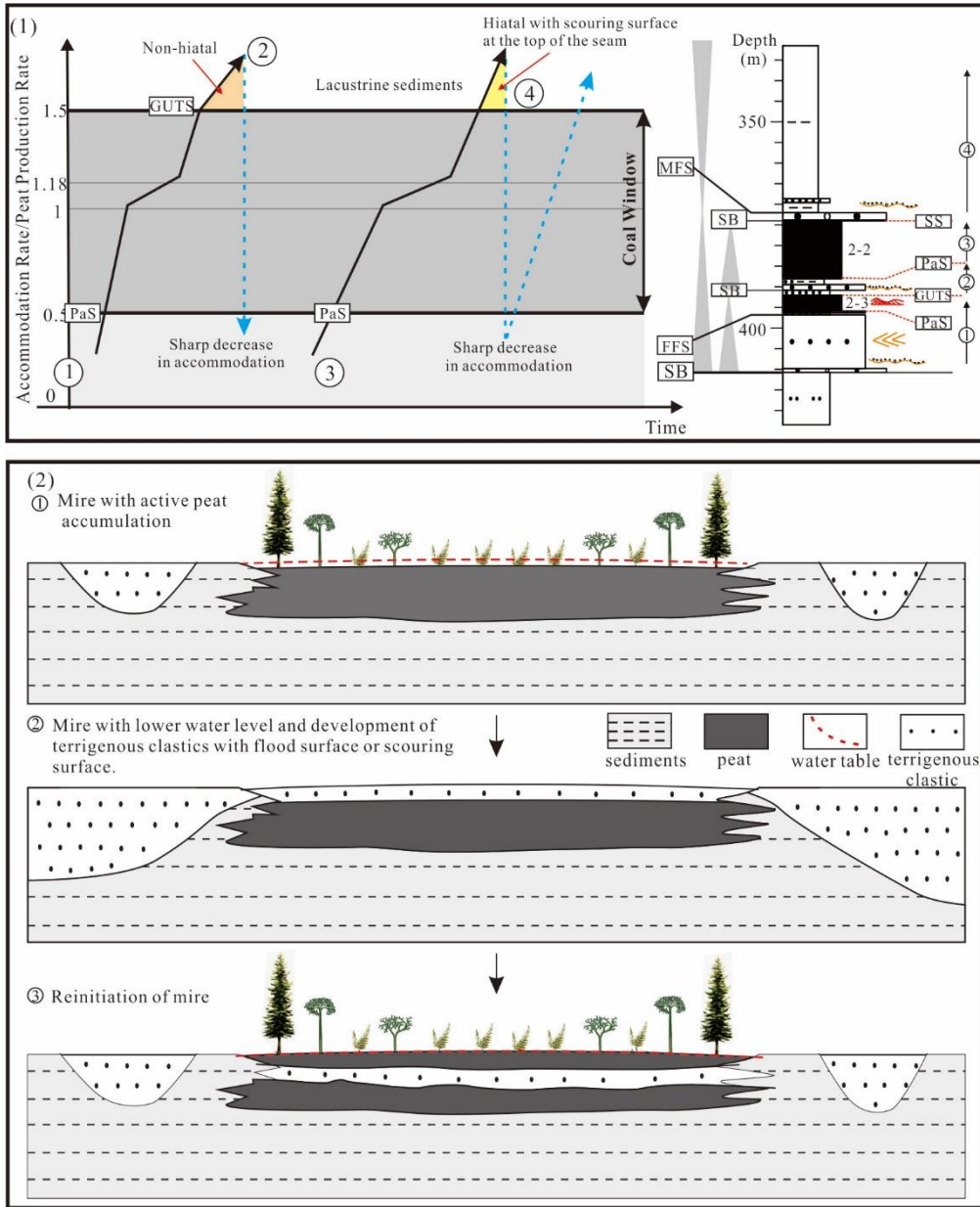


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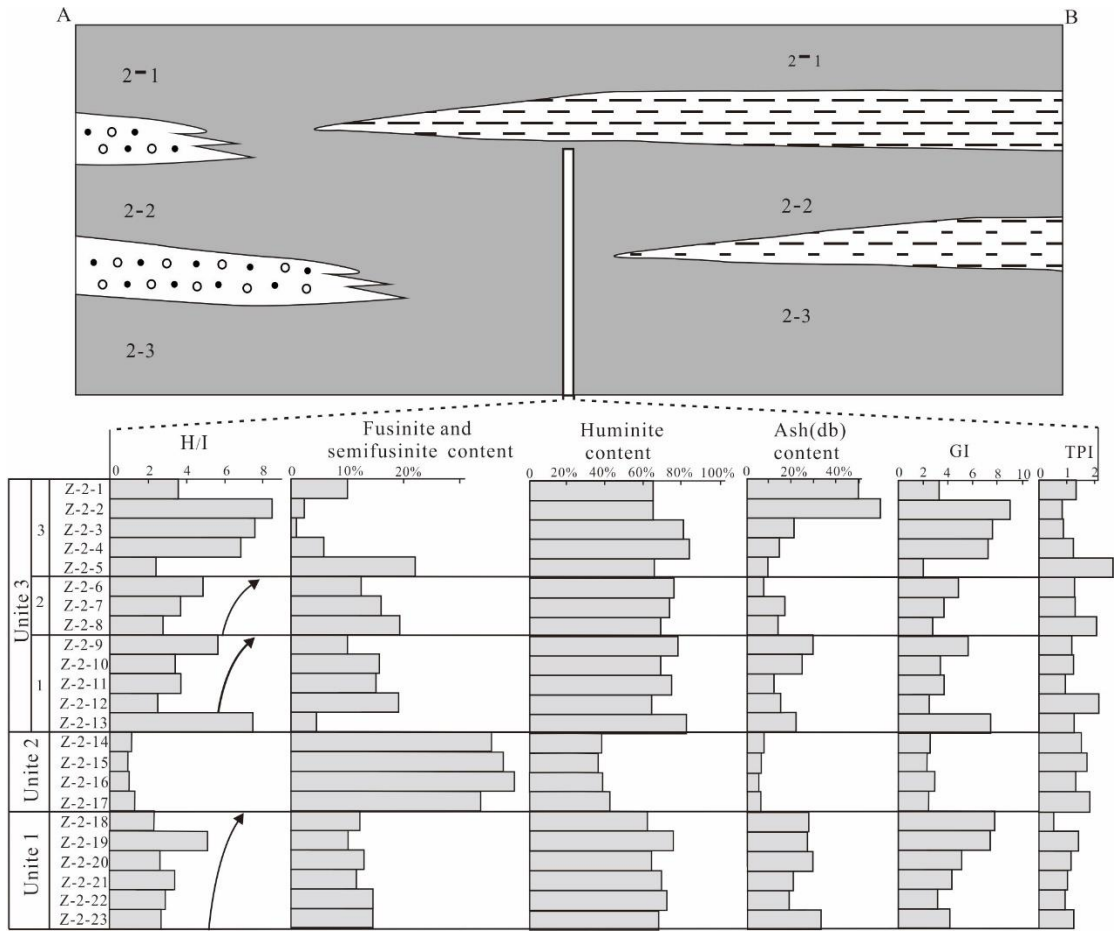
Figure 11



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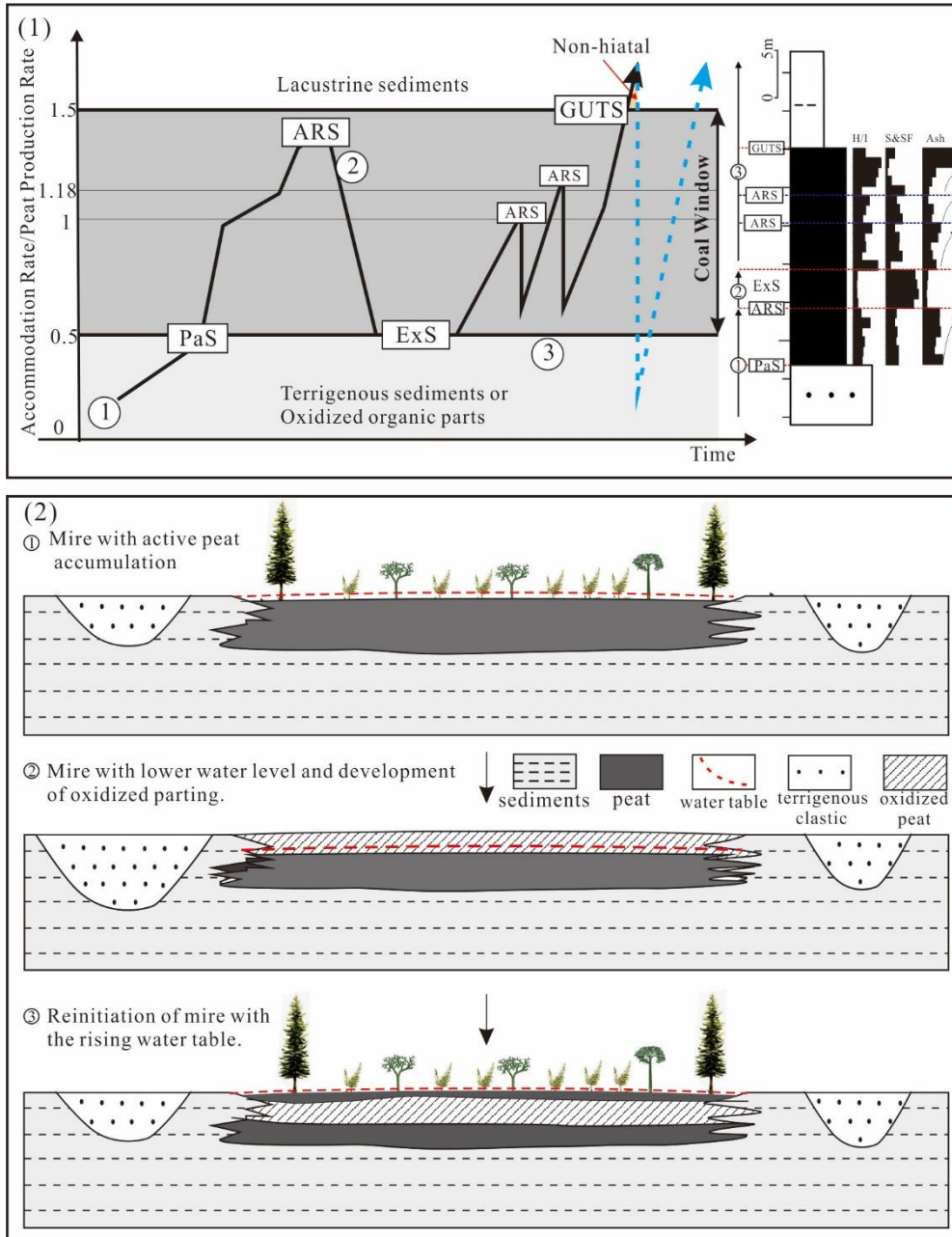
Figure 12



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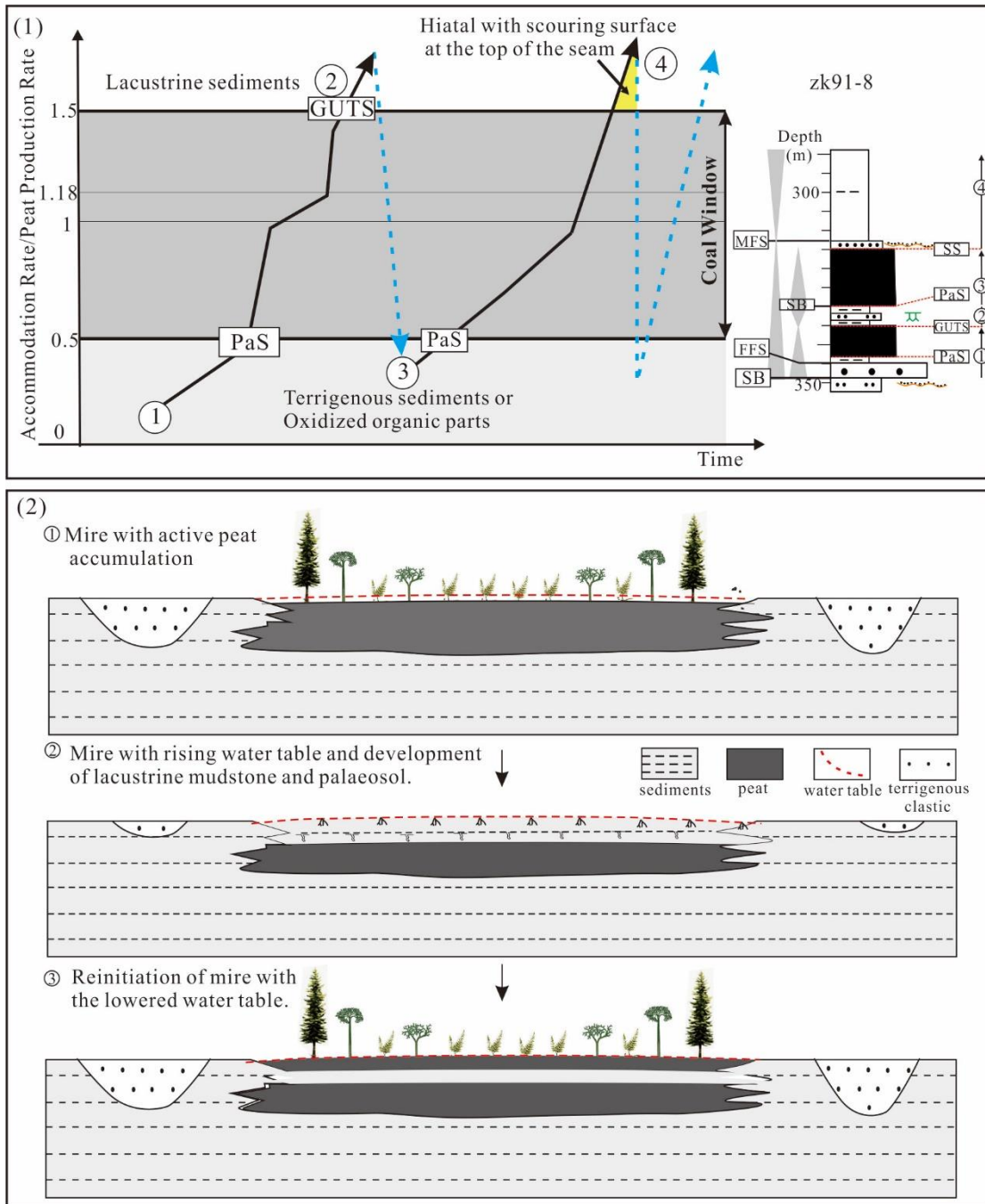
Figure 13



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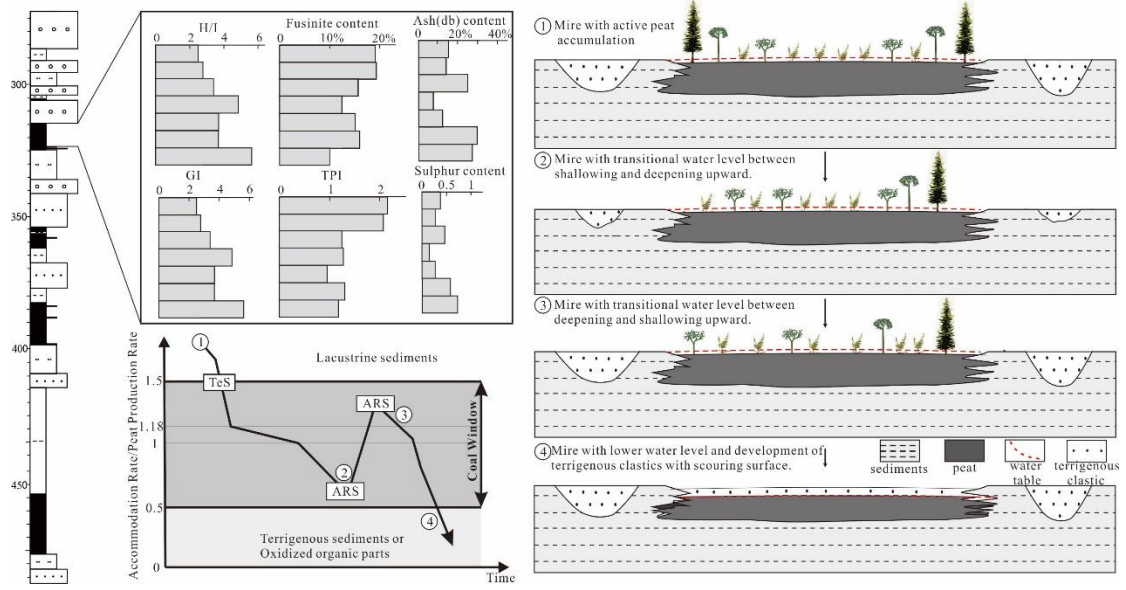
Figure 14



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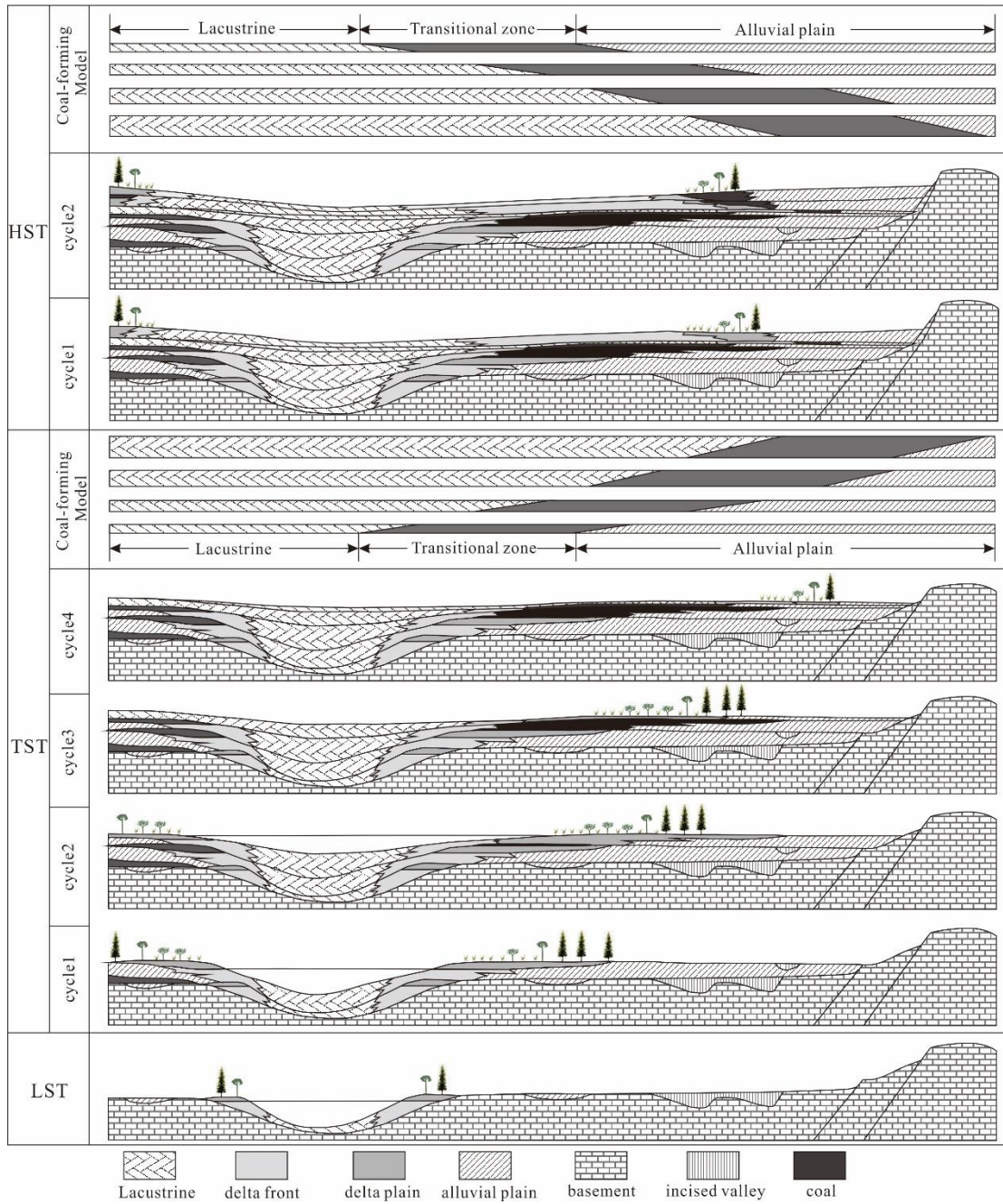
Figure 15



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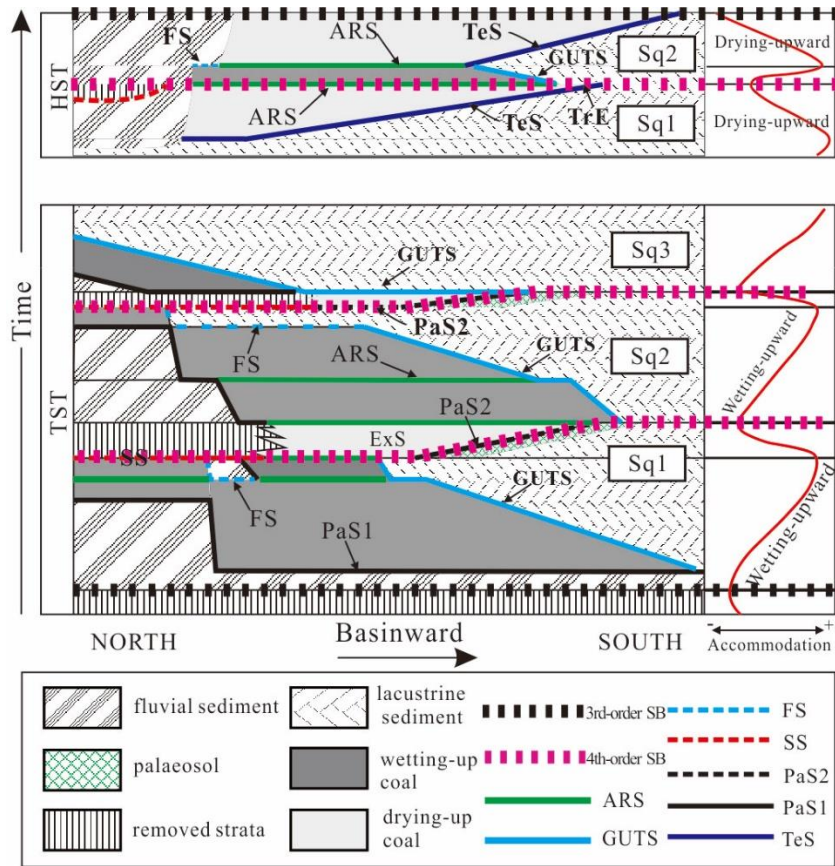
Figure 16



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Figure 17



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Table 1

| Sequence | | Sag | | | | | | | | | | |
|-------------|--------|-----|------|-----|----|----|-----|------|------|----|----|-----|
| | | DM | ZLNR | HHH | YM | HQ | WRX | HEHD | MDMJ | JQ | BR | MDH |
| H S T | late | — | ▲ | — | ▲ | — | — | ▲ | — | — | — | ▲ |
| | middle | ▲ | — | ▲ | — | ▲ | — | — | ▲ | ▲ | — | ▲ |
| | early | — | — | — | ▲ | — | — | — | — | — | ▲ | — |
| T S T | late | — | — | — | — | — | — | — | — | ▲ | — | — |
| | middle | ▲ | ▲ | ▲ | ▲ | — | ▲ | ▲ | — | — | — | — |
| | early | ▲ | ▲ | ▲ | ▲ | — | ▲ | ▲ | ▲ | ▲ | ▲ | ▲ |
| L S T | late | — | — | ▲ | — | — | — | — | — | — | — | — |
| | middle | — | — | — | — | — | — | — | — | — | — | — |
| | early | — | — | — | — | — | — | — | — | — | — | — |

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Table 2

| Sample | M _{ad} /% | A _d /% | V _{da} /% | S _{td} /% |
|--------|--------------------|-------------------|--------------------|--------------------|
| Z-2-1 | 4.39 | 49.45 | 56.66 | 1.65 |
| Z-2-2 | 8.69 | 55.42 | 52.88 | 1.75 |
| Z-2-3 | 10.63 | 20.54 | 46.17 | 0.86 |
| Z-2-4 | 10.15 | 14.03 | 46.40 | 0.36 |
| Z-2-5 | 9.30 | 9.71 | 42.63 | 0.98 |
| Z-2-6 | 9.07 | 8.20 | 44.73 | 0.15 |
| Z-2-7 | 9.13 | 17.91 | 44.97 | 0.85 |
| Z-2-8 | 9.78 | 13.53 | 44.77 | 0.26 |
| Z-2-9 | 10.30 | 29.21 | 46.92 | 1.19 |
| Z-2-10 | 8.97 | 24.78 | 46.62 | 0.88 |
| Z-2-11 | 9.66 | 11.40 | 44.09 | 0.25 |
| Z-2-12 | 10.78 | 14.54 | 43.91 | 0.32 |
| Z-2-13 | 9.96 | 22.73 | 47.54 | 0.91 |
| Z-2-14 | 8.83 | 7.92 | 43.93 | 0.22 |
| Z-2-15 | 8.53 | 7.87 | 42.79 | 0.18 |
| Z-2-16 | 9.01 | 7.69 | 41.90 | 0.20 |
| Z-2-17 | 8.21 | 7.76 | 43.76 | 0.19 |
| Z-2-18 | 8.73 | 23.91 | 46.09 | 0.89 |
| Z-2-19 | 9.70 | 23.45 | 44.80 | 0.72 |
| Z-2-20 | 10.02 | 28.18 | 46.69 | 0.84 |
| Z-2-21 | 9.86 | 22.43 | 45.35 | 0.35 |
| Z-2-22 | 9.63 | 20.49 | 45.35 | 0.50 |
| Z-2-23 | 9.85 | 28.28 | 46.18 | 0.33 |

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Table 3

| Sample | T-I | T-H | F | HT | HD | HC | ID | H/I | Min |
|--------|-------|-------|-------|-------|-------|-------|------|------|-------|
| Z-2-1 | 17.33 | 63.22 | 10.04 | 39.36 | 13.58 | 10.27 | 7.29 | 3.65 | 17.87 |
| Z-2-2 | 7.78 | 64.47 | 2.80 | 37.95 | 20.36 | 6.15 | 4.98 | 8.29 | 27.24 |
| Z-2-3 | 10.94 | 82.10 | 1.52 | 36.35 | 29.48 | 16.27 | 9.42 | 7.51 | 6.90 |
| Z-2-4 | 12.29 | 83.62 | 6.07 | 42.64 | 29.31 | 11.66 | 6.22 | 6.81 | 3.86 |
| Z-2-5 | 27.56 | 64.50 | 24.09 | 40.73 | 11.75 | 12.02 | 3.47 | 2.34 | 6.12 |
| Z-2-6 | 15.72 | 77.49 | 12.78 | 37.35 | 30.90 | 9.24 | 2.94 | 4.93 | 6.39 |
| Z-2-7 | 19.90 | 73.97 | 16.13 | 38.65 | 34.57 | 0.75 | 3.77 | 3.72 | 5.50 |

| | | | | | | | | | |
|--------|-------|-------|-------|-------|-------|-------|-------|------|------|
| Z-2-8 | 24.70 | 67.64 | 19.28 | 46.28 | 18.59 | 2.76 | 5.42 | 2.74 | 6.36 |
| Z-2-9 | 13.96 | 80.09 | 9.67 | 44.10 | 35.11 | 0.87 | 4.29 | 5.74 | 5.76 |
| Z-2-10 | 21.46 | 70.36 | 15.54 | 28.90 | 23.76 | 17.71 | 5.93 | 3.28 | 7.83 |
| Z-2-11 | 19.91 | 72.17 | 14.98 | 48.34 | 19.88 | 3.94 | 4.93 | 3.63 | 6.42 |
| Z-2-12 | 27.33 | 62.35 | 18.89 | 44.42 | 12.35 | 5.58 | 8.44 | 2.28 | 7.04 |
| Z-2-13 | 11.39 | 83.18 | 5.62 | 43.59 | 27.54 | 12.05 | 5.78 | 7.30 | 5.25 |
| Z-2-14 | 46.32 | 48.41 | 34.12 | 27.63 | 8.06 | 12.72 | 12.20 | 1.05 | 3.82 |
| Z-2-15 | 48.53 | 47.37 | 37.07 | 26.47 | 9.32 | 11.37 | 11.21 | 0.98 | 3.23 |
| Z-2-16 | 48.45 | 48.57 | 39.21 | 24.56 | 11.19 | 10.90 | 9.01 | 1.00 | 2.75 |
| Z-2-17 | 42.64 | 53.21 | 30.91 | 26.73 | 10.42 | 14.89 | 10.53 | 1.25 | 3.07 |
| Z-2-18 | 30.79 | 62.12 | 12.71 | 36.02 | 15.26 | 10.84 | 18.08 | 2.02 | 6.62 |
| Z-2-19 | 15.19 | 77.51 | 10.04 | 37.56 | 36.04 | 3.91 | 5.15 | 5.10 | 6.11 |
| Z-2-20 | 28.36 | 63.25 | 12.85 | 40.42 | 17.62 | 5.21 | 15.51 | 2.23 | 5.23 |
| Z-2-21 | 22.82 | 70.10 | 11.56 | 44.23 | 24.25 | 1.62 | 11.26 | 3.07 | 5.01 |
| Z-2-22 | 24.83 | 70.47 | 14.65 | 38.02 | 25.45 | 7.00 | 10.18 | 2.84 | 4.64 |
| Z-2-23 | 25.56 | 68.25 | 14.63 | 42.24 | 22.23 | 13.78 | 10.93 | 2.67 | 4.94 |
| Z-1-1 | 27.26 | 61.74 | 18.72 | 44.83 | 11.35 | 5.55 | 8.54 | 2.26 | 7.20 |
| Z-1-2 | 24.64 | 66.98 | 19.11 | 46.71 | 17.51 | 2.75 | 5.53 | 2.72 | 6.51 |
| Z-1-3 | 21.40 | 69.67 | 15.40 | 29.16 | 22.88 | 17.63 | 6.01 | 3.26 | 8.02 |
| Z-1-4 | 15.68 | 76.73 | 12.67 | 37.69 | 29.83 | 9.20 | 3.01 | 4.89 | 6.54 |
| Z-1-5 | 19.86 | 71.46 | 14.85 | 48.79 | 18.74 | 3.92 | 5.01 | 3.60 | 6.57 |
| Z-1-6 | 19.85 | 73.24 | 15.99 | 39.01 | 33.49 | 0.75 | 3.86 | 3.69 | 5.63 |
| Z-1-7 | 13.93 | 79.30 | 9.58 | 44.51 | 33.92 | 0.87 | 4.34 | 5.69 | 5.90 |

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