

# Analysis of Environmental and Economic Benefits of Integrated Exhaust Energy Recovery (EER) for Vehicles

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## ABSTRACT

Differing from those traditional vehicle exhaust heat recovery systems which just provided thermal energy directly for cabin warming, integrated Exhaust Energy Recovery (EER) which is researched and developed mainly in recent years aims to convert exhaust thermal energy to mechanical or electric energy for increasing the total thermal efficiency and the total power of powertrain. In the study presented in this paper, an analytic model was built for examining the environmental and economic benefits of integrated EER systems. Then the improvement on the total powertrain efficiency and net reduction of CO<sub>2</sub> emissions were investigated, in terms of the average vehicle used in the UK. Results show that, for light duty vehicles fitted with thermal cycle EER system, the cost increase could be paid back in 10.1 years and CO<sub>2</sub> emission could be paid back in just 1.9 years, compared to Hybrid Electric Vehicle's (HEV's) 11.9 years and 1.4 years for cost and CO<sub>2</sub> emission, respectively. When the annual fuel price increase is considered, the cost pay-back is reduced to 8.1 years for EER vehicles and 8.9 years for HEVs. Higher mileage vehicles will have more obvious advantage for fitting EER system. When doubled annual mileage is considered, EER system can reduce the cost and CO<sub>2</sub> emission pay-back times to 2.7 years and 0.6 years, compared to HEV's 8.5 and 2.7 years, respectively.

## KEYWORD

Exhaust energy recovery, CO<sub>2</sub> emission, cost, pay-back time, passenger car

## INTRODUCTION

In recognition of the need to further reduce vehicle exhaust emissions and the greenhouse gas CO<sub>2</sub>, there has been a quickly increased interest in the development of cleaner and more efficient energy saving vehicle powertrain. When the cost for obtaining even a 1% increase on the engine combustion efficiency is significant,

technology innovation around vehicle powertrain has involved more on hybrid configuration (such as Hybrid Electric Vehicles - HEVs) and integrated Exhaust Energy Recovery (EER) in recent years. In the current research, integrated EER refers to those new technologies beyond conventional uses for exhaust waste heat such as turbocharger or cabin air-heating. While HEV technology has achieved considerable market share in recent years, R&D on EER is being paid more attention, particularly while energy collected by EER can be easily applied on HEVs [1, 2, 3].

Normally the maximum net brake efficiency of Internal Combustion (IC) engines is difficult to be higher than 42% [4], large amount fuel energy is rejected from the engine to the surroundings as waste heat in several forms, with a significant fraction through the exhaust. A recent study [5] estimated in a typical 2.0 litre gasoline engine used on passenger cars, 21% of the released energy is wasted through the exhaust at the most common load and speed range. The fraction increases to 44% at the peak power point. On average, about one third of energy generated from the fuel is wasted via exhaust gases. Current estimates of waste thermal energy from ground vehicle systems range from 20kW to 400 kW, depending on engine size and engine torque-speed conditions. This is equivalent to annually 45 billion gallons of gasoline fuel lost through the exhaust pipes of the 240 million light-duty passenger (LDP) vehicles in USA alone [6].

LDP vehicle exhaust systems operate at gas temperatures from 500 to 900 °C, typically between 600 and 700 °C. For Heavy-Duty (HD) vehicles, exhaust gas temperatures range from 500 to 650 °C under general driving condition. These can be further boosted during periodical regenerations of diesel particulate filter (DPF) and other aftertreatment devices [7]. Those high exhaust temperatures provide significant opportunities for EER to generate energy for increasing powertrain's efficiency [6, 8].

Differing from conventional exhaust energy utilising technologies such as turbocharger, cabin air-heating [9, 10], desalination [11] and reducing engine warm-up time [12], integrated EER which has been mainly focused in recent years mainly include thermal cycle system based on Rankine Cycle (RC) and Thermoelectric (TE) regeneration. The latter can directly convert part of the exhaust heat to electric power through the thermoelectric phenomenon, without the use of mechanically rotating parts, and providing some advantages such as compact package, without noise and vibration, and high reliability. However, there exist significant system design challenges during the development of TE system due to its low conversion efficiency and relatively high costs of thermoelectric semiconductor materials [13, 14].

So far, turbochargers and recently developed other turbo-compounding systems have been selected as the first option for most exhaust waste energy recovery of IC engines. However, as the increase of exhaust back pressure caused by the turbine of turbocharger or turbo-compounding system, the system efficiency is limited, compared to RC EER system [15, 16]. On the other hand, as the turbine always needs necessary pressure ratio, the exhaust gas sensible heat absorbed by turbocharger or turbo-compounding system is constrained and the exhaust temperature from the turbine is always still very high and a lot sensible heat is still contained. This allows a RC EER system still being able to be fitted downstream even a turbocharger or turbo-compounding system has been installed. A RC EER system does not increase the exhaust back pressure obviously.

Meanwhile, it can absorb much higher fraction of exhaust sensible heat than turbochargers or turbo-compounding system if appropriate heat exchanger and other RC hardware are designed.

The conversion of exhaust heat energy into useful power by integrated EER system would not just bring measurable advantages for improving fuel consumption but also increase engine power output (power density) or vehicle downsizing, further reducing CO<sub>2</sub> and other harmful exhaust emissions correspondingly. It was predicted by Vazaquez et al. [17] that if only 6% of the heat contained in the exhaust gases were converted to electric power for replacing the output of alternator, this would mean reduction of fuel consumption by 10% due to the decrease in mechanical losses from the resistance of the alternator drive. In addition, the experimental work conducted by Honda [18] with a thermal recovery system showed a maximum thermal cycle efficiency of 13% could be achieved. At 100 km/h vehicle speed which needs approximately 19.2 kW engine powers, the thermal recovery system can yield a power output of 2.5 kW, representing an increase in the thermal efficiency of the engine from 28.9% to 32.7%.

When the benefit on fuel cost and emission reductions provided by EER have been approached more or less, its economic benefit has not been depicted clearly. For promoting the development of EER systems and its commercialization, it is necessary to provide necessary information about its pay-back times of both cost and carbon emissions. In this paper, average EER efficiency based on New European Driving Cycle (NEDC) would be estimated by investigating recoverable exhaust sensible heat of a light duty gasoline powertrain. Then the environmental and economic benefits of EER vehicles will be analysed by considering average driving condition in the UK.

## **EER EFFICIENCY ANALYSIS**

As the system efficiency of thermoelectric material EER system is still very low but the price is high compared to thermal cycle EER systems, the concentration of this research is put on thermal cycle EER systems based on Rankine Cycle. As shown in Figure 1, a Rankine Cycle EER system for vehicles can be designed with mechanical connection for power transfer between the EER system and the vehicle powertrain. The EER system should physically comprise four main components: evaporator/heat exchanger, expander, condenser and circulation pump.

With the evaporator/heat exchanger, the working fluid is superheated by absorbing thermal energy provided from the exhaust gas. Flowing out from the evaporator as high temperature steam, the working fluid is driving the expander to produce useful work. Then the waste steam from the expander will be cooled down through the condenser to return to liquid phase. In the next step, the working fluid is pumped to maintain the circulation.

For most internal combustion engines, there is approximately 20-40% of total fuel energy which is dissipated through exhaust gas, with the majority as sensible enthalpy due to high exhaust temperature and the minority as chemical enthalpy due to incomplete combustion. To evaluate possible energy amount which can be recovered

by EER system, it is necessary to obtain the exhaust temperature characteristics under different driving conditions. In the current research, a 1.4 litre light-duty gasoline engine used for powering passenger car has been selected for the analysis. Main specifications of the test engine can be found in Table 1 and the exhaust gas temperature variation as function of engine speed and load can be found in Figure 2. It should be noted those exhaust temperatures were measured just at the entrance of the EER heat exchanger. The engine operation process for those measurements followed the order of fuel loops. At a specified engine speed, the full load point was recorded at first. Then the engine load was reduced with appropriate step, until the load close to the idle operation. By repeating the fuel loop process with different engine speeds, the full maps of exhaust temperature was achieved. When those measurements were carried out, the existence of the EER heat exchanger might have more and less influence for increasing exhaust back pressure. Then the exhaust temperature might have a little increase, compared to the original engine without an EER system.

In Figure 2, it can be found that under peak power area, the engine has the highest exhaust temperature over 800°C which is indubitably very suitable for EER. But for passenger cars, normally the engine just operates part load with speed between 1500 rpm and 3000 rpm (for gasoline engines) and torque between 20% and 50% of full load torque. In the operating range, the exhaust temperature is just between 400°C and 650°C and the EER system efficiency and recoverable energy amount under this condition would be mainly examined.

Based on exhaust gas analysis to find amounts of main component (H<sub>2</sub>O, CO<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>) in the exhaust gas under different operating condition, the exhaust sensible heat  $q_{exh}$  can be estimated by summing up each gas component's specific enthalpy. The fraction of exhaust heat  $q_{exh}$  in the total fuel energy could be given by:

$$x_{exh} = \frac{q_{exh}}{h_f \dot{m}_f} \quad (1)$$

where  $h_f$  is the fuel heating value and  $\dot{m}_f$  is the fuel mass flow rate, respectively.

With the above definition, the fraction of exhaust heat in the total fuel energy is produced for the test engine and the result is plotted in Figure 3.

In this research, the EER Rankine Cycle efficiency  $\eta_{RC}$  is defined as the useful work output from the Rankine Cycle to the total sensible exhaust heat, expressed as:

$$\eta_{RC} = \frac{q_{ev} \eta_{exp} - W_{pump}}{q_{exh}} \quad (2)$$

where  $q_{ev}$  is the heat energy provided by the evaporator (the heat exchanger) to the expander.  $\eta_{exp}$  is the working efficiency of the expander and  $w_{pump}$  is the work consumed by the pump of working fluid in the EER system, respectively.

Then the fraction of the net output work from EER Rankine Cycle in the total fuel energy can be expressed as:

$$x_{EER} = x_{exh} \eta_{RC} \quad (3)$$

This fraction is considered as the EER efficiency in the current research when the energy lost from the expander to the energy final use was not taken into account. The map of the EER efficiency for the test engine is shown in Figure 4.

From Figure 4, it can be seen, though the maximum EER system efficiency can be up to 14%, in the general operating range of passenger car engines, the value is between 1% and 10% for the test engine in the present research. Based on New European Driving Cycle (NEDC), it is estimated approximately 3.9% of fuel energy can be recovered by the thermal cycle EER system for the test engine. This is equivalent approximately 17.5% fuel saving for the test engine which has an approximately 22.3% total engine efficiency under NEDC.

Considering the possible loss for transferring the EER work to the vehicle powertrain system, the net EER efficiency can be around 20% for the powertrain used in the current research. Combined those EER efficiency data presented in last section, 20% could be an appropriate figure for representing most EER systems which will be used on passenger cars. This figure will be used for the following section for estimating the cost and carbon emissions of EER vehicles (vehicles fitted with EER system) and their pay-back times of cost and carbon emissions, though it is understood that there is some difference for the efficiency for different vehicles regarding their powertrain configurations and operating conditions.

## **ANALYSIS OF COST AND CO<sub>2</sub> EMISSIONS OF EER VEHICLES**

After the EER system efficiency is estimated, environmental and economic benefits of EER vehicles can be analysed. In addition to compare EER vehicles (vehicles fitted with EER system) with pure Internal Combustion Engine (ICE) vehicles, Hybrid Electric Vehicles (HEVs) and HEV+EER vehicles are also considered, while EER system can be electrically connected with HEV for its work output. Powertrain system setup of two configurations of HEV and HEV+EER can be used as shown in Figure 5.

In Figure 5, the power contribution from different energy sources are represented as the shape size. For example, from HEV to HEV+EER, the engine can get further downsizing. Then the engine size and fuel tank size become smaller and the battery size of HEV+EER gets bigger.

In Figure 6, it shows total cost variations with used years for ICE vehicles, EER vehicles, HEVs and HEV+EER vehicles. Those results are achieved from an analysis based on vehicles sold and used in the UK where the average new passenger car price is approximately £27500 in 2011. For HEV, 20% higher average price is added by considering the actual cost of HEVs in the current market. Those data come from DrivenData whose published data can be found at [www.drivendata.co.uk](http://www.drivendata.co.uk). For EER vehicles, the cost increase of 10% is estimated by including costs of all mechanical hardware (evaporator, expander, condenser, pump and gearbox) and control system. For HEV+EER vehicles, only 25% average cost increase rather than 30% (20% for HEV and 10% for EER) is added since the electrical work output of EER system could be easily implemented compared to EER mechanical work output configuration.

The annual average cost of vehicles is calculated by including fuel, service, annual authority inspection (in the UK this is named as MOT – Ministry of Transport), insurance and tax. For vehicles used in the UK, the fuel cost is based on the average annual mileage of 12000 miles for the first year new cars, 10000 miles the second year and 8000 miles per year for the following years [19]. ICE vehicles' average fuel consumption is currently about 0.09 litre/mile, compared to 40% of HEV's saving which was reported by NREL (National Renewable Energy Laboratory) [20] and Ting et al. [21]. From the study in the last section, EER's 20% saving on fuel consumption is selected. Combining HEV's and EER's individual savings, 50% saving is assumed for HEV+EER in the present analysis. With regard to the average fuel cost, the current price £1.40/litre in the UK market is used for the calculation. For other annual costs, tax exemption but increased service cost for HEV vehicles are considered.

Then cumulative CO<sub>2</sub> emissions and cumulative cost can be formulated as:

$$CO_{2-cumulative} = CO_{2-embedded} + S_{cumulative} \times FC \times \rho_{fuel} \times x_c \times 44/12 \quad (4)$$

Where  $S_{cumulative}$  is the cumulative mileage. FC is the fuel consumption (litre/mile).  $\rho_{fuel}$  is fuel density (kg/litre).  $x_c$  is carbon content rate of fuel. 44 and 12 are the molecular weight of carbon dioxide and that of carbon, respectively.

$$Cost_{cumulative} = Cost_{embedded} + S_{cumulative} \times FC \times P_{fuel} + \sum_0^n (Ins + Tax + MOT + Service) \quad (5)$$

Where  $P_{fuel}$  is fuel price (pound/litre) and  $Ins$  represents annual insurance cost, respectively.

In Figure 6, it can be found that with fixed fuel price, the saving on fuel cost from HEV vehicles could not pay back the cost increase in 10 years due to the high purchase cost. With further estimate, the cost pay-back time for HEV vehicles is about 11.9 years. For EER vehicles, it roughly needs 10.0 years for paying back the cost increase. It suggests EER vehicle would probably be a more attractive option than HEV vehicle for customers, if their concerns are more on the vehicle and operating costs, rather than the fuel saving or carbon emission reduction. When customers make an estimate for the total vehicle cost (purchase cost and operating cost), the current fuel price is always cited since the future of fuel price is always difficult to predict. Therefore, from the analysis shown in Figure 6, it can be assumed that EER vehicles could be promoted for the purpose of fuel or carbon emission saving, at least compared to HEV vehicles. As EER vehicles may be more popular than HEV vehicles, their possible high market share would be helpful to get more CO<sub>2</sub> emission reduction, although EER vehicles' fuel saving rate is lower than HEV vehicles.

The estimated pay-back times of various vehicles for CO<sub>2</sub> emissions are shown in Figure 7. The average embedded CO<sub>2</sub> emissions from vehicle production were estimated with the simple calculation method initially proposed by Berners-Lee [22]. But his figure of 720 kg CO<sub>2</sub> per £1000 car price was replaced by what Ricardo published 350 kg CO<sub>2</sub> per £1000 car price [23]. In Figure 7, it can be seen, with HEV vehicle's big saving rate, it could pay-back CO<sub>2</sub> emissions in just 1.4 years. EER need approximately 1.9 years to pay back CO<sub>2</sub> emissions. Because of a higher embedded CO<sub>2</sub> amount, HEV+EER has a similar CO<sub>2</sub> pay-back time as EER, though it has a fast pay-back rate. By 5 years (46000 miles), an EER vehicle could save approximately 1.1 tonnes CO<sub>2</sub> in average, compared to HEV's average saving of 2.6 tonnes per vehicle and HEV+EER's average saving of 2.7 tonnes. By 10 years (86000 miles), the average CO<sub>2</sub> saving per EER vehicle could be approximately 2.8 tonnes CO<sub>2</sub>, compared to HEV's average saving of 6.2 tonnes and HEV+EER's average saving of 7.0 tonnes.

In Figure 8, it shows the pay-back times when the increase of fuel price is taken into account. Considering the average fuel price increase of 8% in the UK in last ten years, both EER vehicles and HEV vehicles' pay-back times for cost are reduced to 8.1 years and 8.9 years. HEV+EER has a similar cost pay-back time as HEV, because HEV+EER has higher embedded cost but a higher cost pay-back rate.

In Figure 9, the analysis shows the cost pay-back times for EER vehicles could be significantly reduced to 2.7 years, if doubled mileages (24000 miles for the first year, 20000 miles for the second year and 16000 miles per year for following years) is used, compared to the average mileage used for the previous analysis. It should be noted average fuel consumptions used in this part are still same as used in the previous analysis for those four vehicle configurations, such as 0.09 litre/mile for ICE vehicles. As those high mileage operations involve more motorway driving, HEV's fuel saving rate is faded due to reduced brake regeneration etc. But EER's saving rate can be enhanced (as shown in Figure 4). Results shows HEV still needs at least 8.5 years for cost pay-back. But EER vehicles only need 2.7 years for cost pay-back. For this category of customers, EER vehicles would obviously be a more popular option.

In Figure 10, it shows EER vehicles with high mileage driving have also obvious advantage of CO<sub>2</sub> emissions compared to HEVs. By 5 years (92000 miles), EER vehicle's average CO<sub>2</sub> emission saving would be approximately 5.2 tonnes, compared to HEV vehicle's less than 2.6 tonnes. This suggests EER vehicles is very worth to develop for cost benefit and carbon emission benefit, in particular for high mileage vehicles.

## CONCLUSIONS

With experimental measurement of exhaust gas temperature and assessment of exhaust sensible heat and its fraction in the total fuel energy, the recoverable energy by a thermal cycle Exhaust Energy Recovery (EER) system based on Rankine Cycle was analysed for achieving EER efficiency of ground vehicles. Then the cost and carbon emission pay-back times of EER vehicles (vehicles fitted with EER system) were examined and compared with Hybrid Electric Vehicles (HEVs) and HEV+EER vehicles, by considering fixed fuel price, variable fuel price and possible high mileage. From those results, the following conclusions have been derived.

- From the individual light duty vehicle model fitted with an Internal Combustion Engine (ICE) powertrain and a Rankine Cycle EER system, the maximum EER efficiency (to the total fuel energy) can be up to 14% under high engine power condition. During general passenger car driving range, the efficiency is roughly between 1% and 10% depending on the engine operating condition.
- Considering average light duty vehicle annual mileage, fuel price, other subsistence costs (such as insurance, service, tax etc.) in the UK market, an EER vehicle needs 10.1 years for cost pay-back and 1.9 years for carbon emission pay-back, compared to HEV's 11.9 year for cost pay-back and 1.4 year for carbon emission pay-back.
- When 8% annual fuel price increase is considered, the cost payback for EER vehicles is 8.1 years, compared to HEV's 8.9 years.
- Increased mileage due to more motorway driving would obviously reduce cost and carbon emission pay-back time for EER vehicles due to high EER efficiency for motorway driving. But for HEV vehicles, similar result would be difficult to achieve due to faded advantage of HEV vehicles on motorway.

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# FIGURES

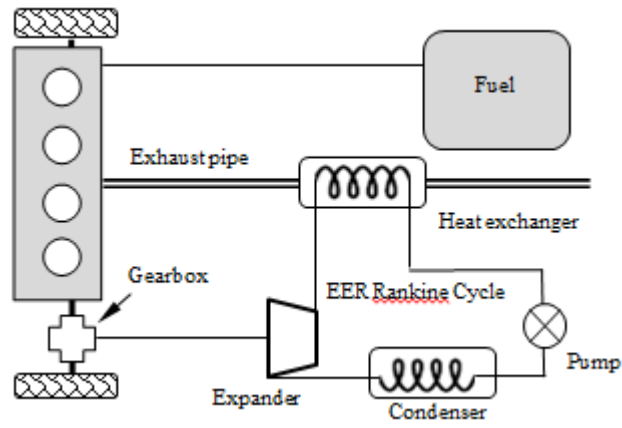


Figure 1 Vehicle EER system with mechanical power output

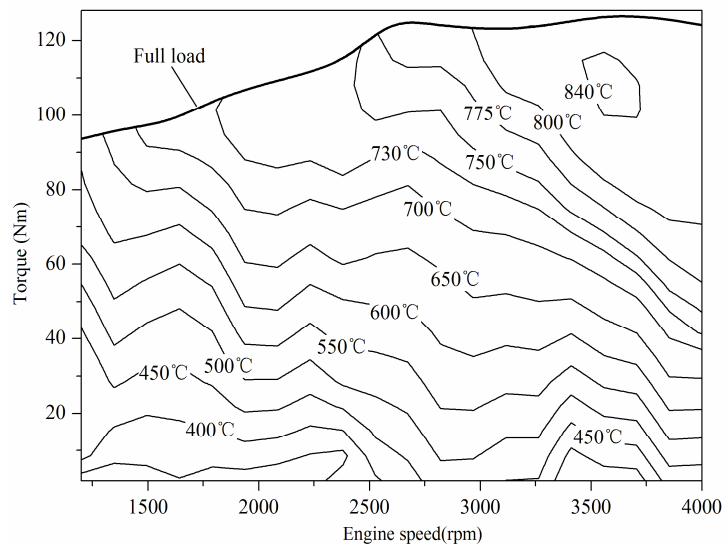


Figure 2 Distribution of exhaust gases temperature of the test engine as function of engine speed and torque

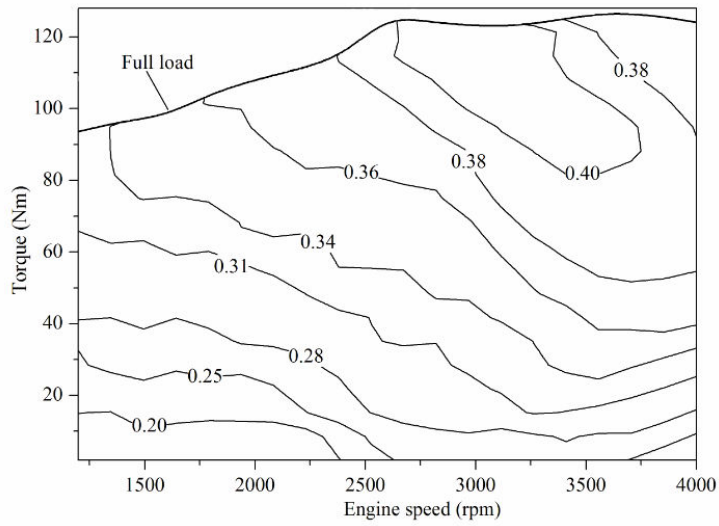


Figure 3 Distribution of the ratio of exhaust sensible heat to the total fuel energy

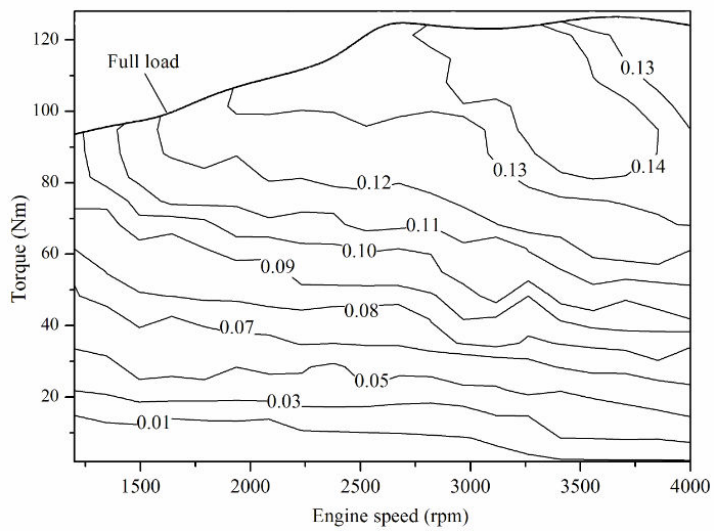


Figure 4 EER system efficiency distributions as function of engine speed and load

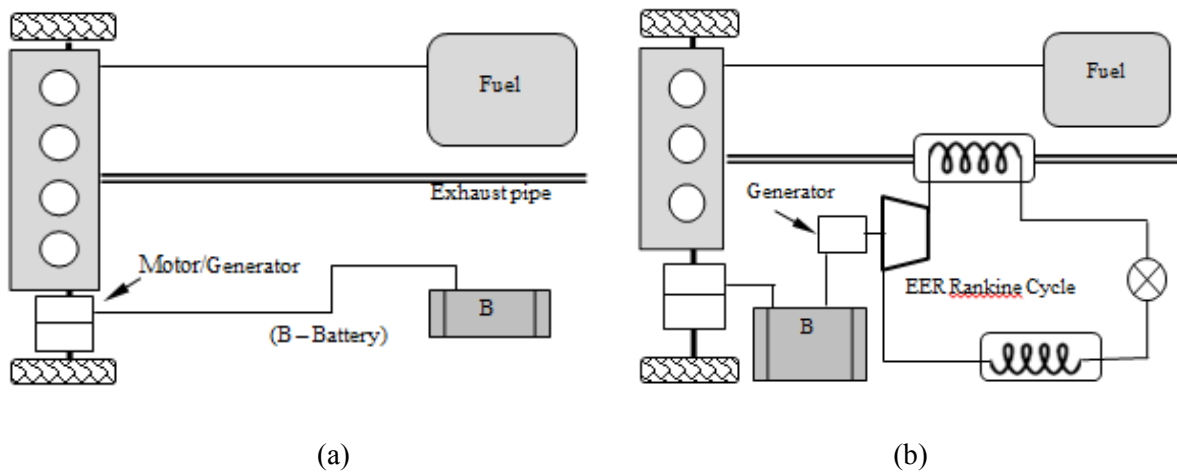


Figure 5 (a) General HEV system layout; (b) EER system with electrical power output to HEV

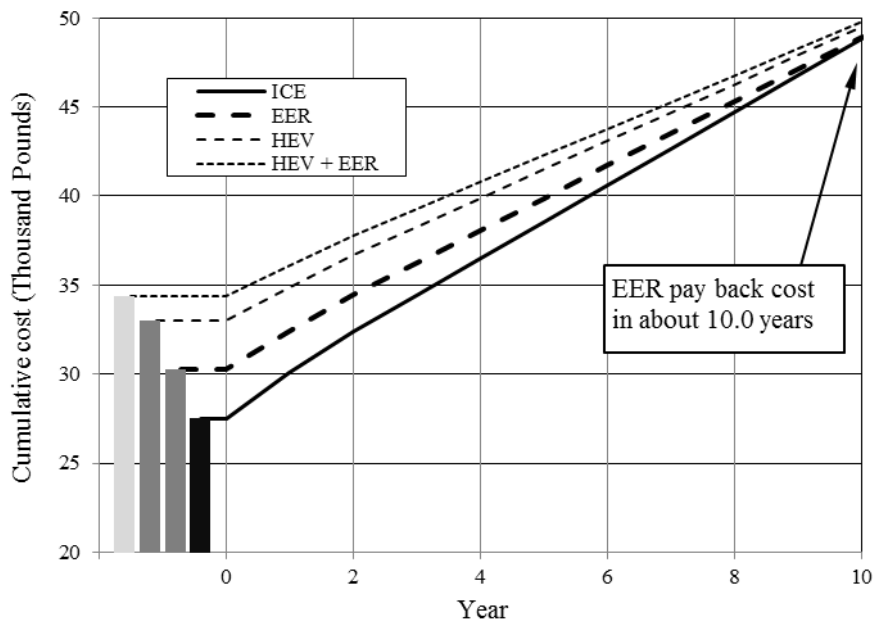


Figure 6 Cost pay-back times for different powertrain configurations

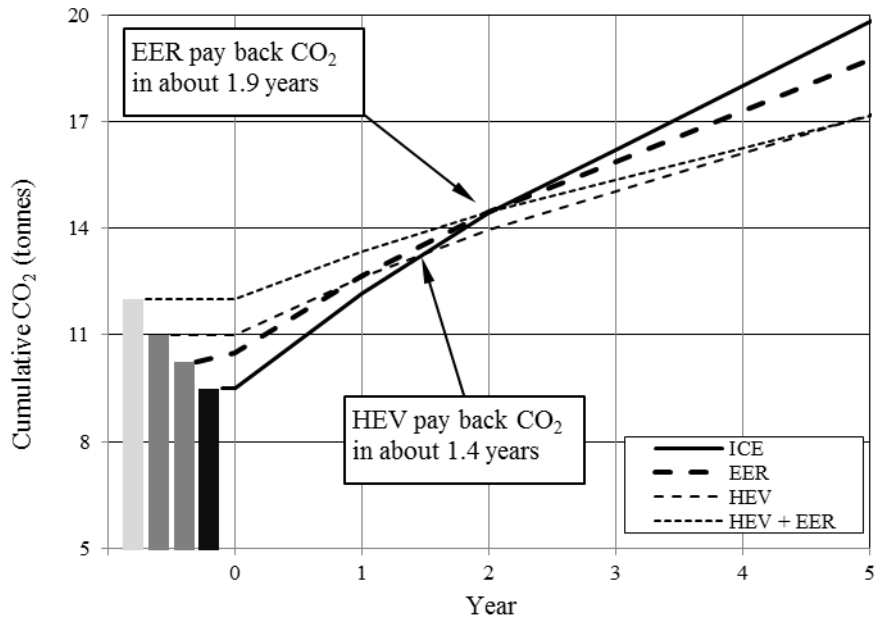


Figure 7 CO<sub>2</sub> emission pay-back times for different powertrain configurations

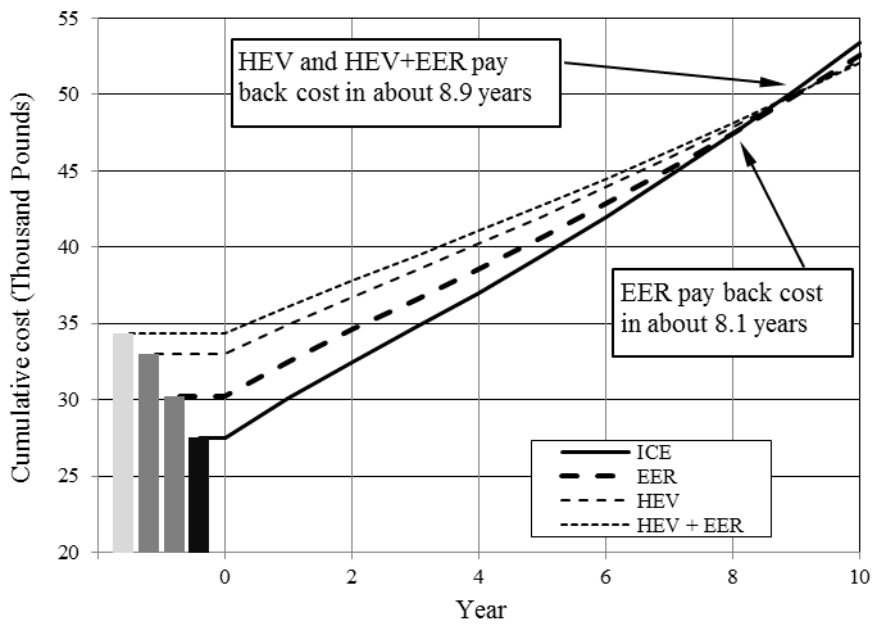


Figure 8 Cost pay-back times of different powertrain configurations with fuel price increase 8% annually

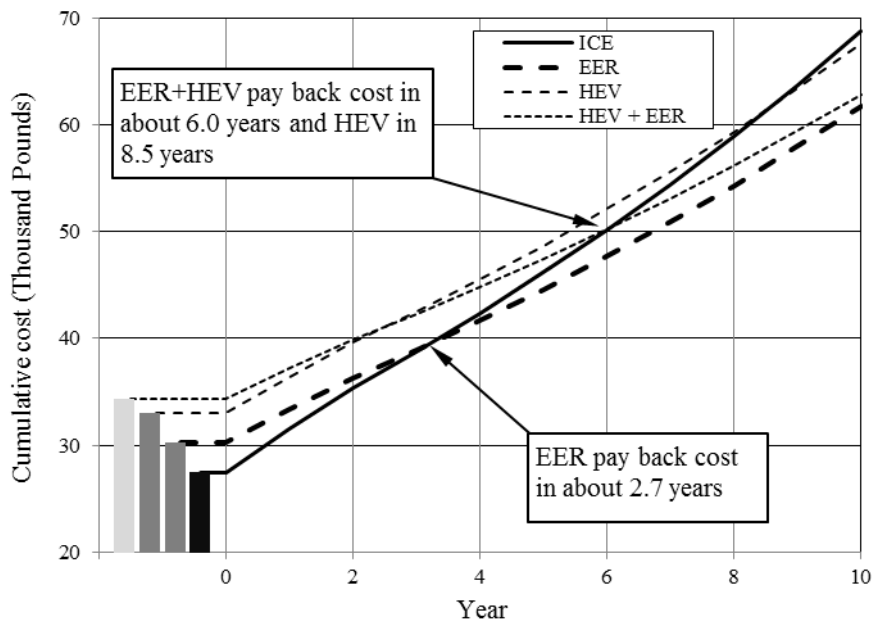


Figure 9 Cost pay-back times of different powertrain configurations with fuel price increase 8% annually and doubled mileages

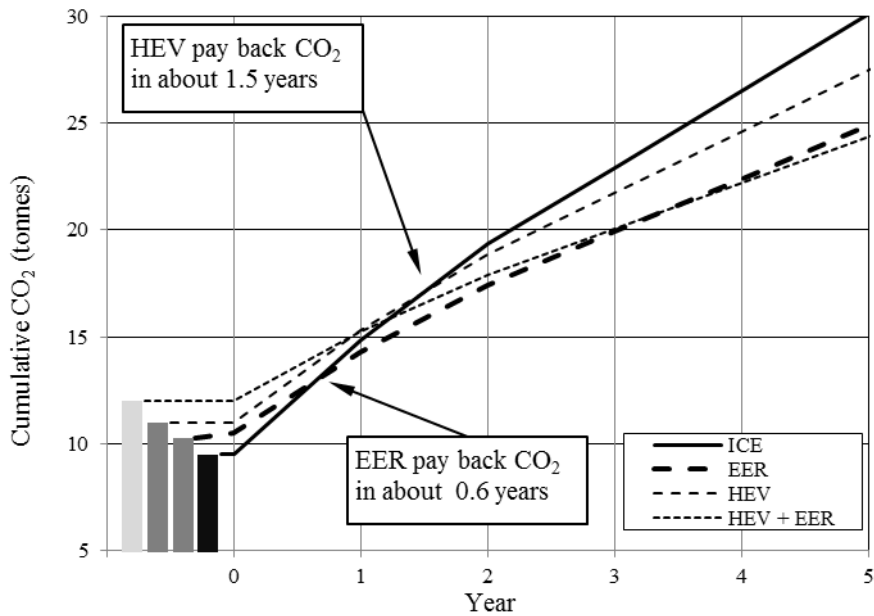


Figure 10 CO<sub>2</sub> emission pay-back times with doubled mileages

## Tables

Table 1 Main specifications of the test engine

Engine type	CA4GA1
Number of cylinders	4
Bore×Stroke (mm)	73×80
Displacement (L)	1.339
Compression ratio	10
Number of valves	16
Rated power/speed (kW/rpm)	67/6000
Maximum torque/speed (Nm/rpm)	120/4200