We present a large-scale molecular-dynamics study of nematic-paranematic interfaces under shear. We use a model of soft repulsive ellipsoidal particles with well-known equilibrium properties, and consider interfaces which are oriented normal to the direction of the shear gradient (common stress case). The director at the interface is oriented parallel to the interface (planar). A fixed average shear rate is imposed with moving periodic boundary conditions, and the heat is dissipated with a profile-unbiased thermostat. First, we study the properties of the interface at one particular shear rate in detail. The local interfacial profiles and the capillary wave fluctuations of the interfaces are calculated and compared with those of the corresponding equilibrium interface. Under shear, the interfacial width broadens and the capillary wave amplitudes at large wavelengths increase. The strain is distributed inhomogeneously in the system (shear banding), the local shear rate in the nematic region being distinctly higher than in the paranematic region. Surprisingly, we also observe (symmetry-breaking) flow in the vorticity direction, with opposite direction in the nematic and the paranematic state. Finally, we investigate the stability of the interface for other shear rates and construct a nonequilibrium phase diagram. © 2005 American Institute of Physics. [DOI: 10.1063/1.2131065]

I. INTRODUCTION

Complex fluids often show peculiar behavior under shear.1 Particularly remarkable phenomena are observed in fluids with anisotropic components, which can exhibit a local or global orientational order.2,3 Shear flow tends to orient the materials, which usually reduces the shear viscosity (shear thinning),4,5 but can also have the opposite effect.6,7 In the vicinity of equilibrium phase transitions, shear flow may shift the boundaries and enforce ordering transitions.8–14 It may even induce the formation of new structures that are inhomogeneous in space or time, such as multimellar vesicle phases in surfactant systems15,16 or tumbling states in liquid-crystalline polymers.17–21

In recent years special attention has been given to situations where phases “coexist” under shear. The interest was originally raised by the “spurt effect” in polymer melts, i.e., the observation that the flow rate in a pipe may change discontinuously as a function of the applied pressure difference.22 This was attributed to a nonequilibrium phase transition from an entangled polymer phase with high viscosity to a highly aligned phase with low viscosity.23,24 The instability results from a nonmonotonicity in the hypothetical homogenous stress-strain flow curve: In a homogeneous system, the stress would first grow with a growing strain rate and then decrease beyond a certain critical value. Since such a flow curve is mechanically unstable, the system becomes inhomogeneous. The stress plateau in the stress-strain flow curve was interpreted as the signature of a region where phases with different shear rates coexist. The separation of a fluid into two states with distinctly different shear rates is commonly called shear banding.

Meanwhile, shear banding has been observed and even directly visualized in various systems, most notably wormlike micelles25–29 and rodlike viruses.21 More generally, two qualitatively different types of phase separation are possible in shear flow.30 These are illustrated in Fig. 1 for the Couette geometry. In the shear-banding case described above, the fluid separates in the direction of the flow gradient, the phases are subject to the same stress, and the shear rates differ (common stress case). Alternatively, the fluid can also phase separate in the direction perpendicular to both flow and flow gradient, the vorticity direction. The two phases then share the same shear profile, but the stress differs (common strain case).

The phase-separation mechanism described above is driven by mechanical (hydrodynamical) forces. However, phase separation and shear banding can also occur as a result of a thermodynamic phase transition—either a real transition that will also occur in the absence of shear flow, or a transition between phases that are metastable (“hidden”) in equilibrium. Shear banding is often associated with ordering phenomena and sometimes occurs in the vicinity of a thermodynamic phase boundary. Thermodynamic forces then contribute to the nonmonotonic stress-strain relationship which gives rise to the mechanical instability. Thus the mechanical and the thermodynamical phase separation cannot always be separated unambiguously.31 In fact, theoretical...
Our paper is organized as follows: In Sec. II, we introduce the model and discuss the simulation method. The results are presented in Sec. III. We first discuss the stability of the interface with increasing shear rate, and then consider in detail the interfacial structures at one particular shear rate. We summarize and conclude in Sec. IV.

II. MODEL AND METHOD

We consider a system of soft repulsive ellipsoids with length-to-width ratio $\sigma_l/\sigma_0=15$. Every ellipsoid is characterized by the position of the center of mass $\mathbf{r}$ and a unit vector $\mathbf{u}$ pointing in the direction of the long axis. The interaction potential between two ellipsoids $i$ and $j$ separated by the center-center vector $\mathbf{r}_{ij}$ is given by

$$ U = \begin{cases} 4 \varepsilon_0 (s_{ij}^{-12} - s_{ij}^{-6}) + \varepsilon_0, & s_{ij} < 2^{1/6} \\ 0, & \text{otherwise} \end{cases} $$

where $s_{ij} = (\mathbf{r}_{ij} - \sigma (\mathbf{r}_{ij}, \mathbf{u}_i, \mathbf{u}_j) + \sigma_0)/\sigma_0$ is a scaled and shifted distance and $\sigma$ approximates the contact distance of two ellipsoids.  

$$ \sigma (\mathbf{r}_{ij}, \mathbf{u}_i, \mathbf{u}_j) = \sigma_0 \left[ \frac{1}{2} - \chi \left( \frac{(\mathbf{u}_i \cdot \mathbf{r}_{ij} + \mathbf{u}_i \cdot \mathbf{r}_{ij})^2}{1 + \chi \mathbf{u}_i \cdot \mathbf{u}_j} + \frac{(\mathbf{u}_i \cdot \mathbf{r}_{ij} - \mathbf{u}_i \cdot \mathbf{r}_{ij})^2}{1 - \chi \mathbf{u}_i \cdot \mathbf{u}_j} \right) \right]^{1/2}, $$

with $\chi = \frac{(\sigma/\sigma_0)^2 - 1}{(\sigma/\sigma_0)^2 + 1}$.  

The particles have the mass $m$ and the moment of inertia $I=50m\sigma_0^2$. For convenience, we choose the units such that $\sigma_0 = \varepsilon_0 = m = 1$. This defines the time unit $\tau = \sigma_0 / m \varepsilon_0$. The temperature was $k_B T = \varepsilon_0$.  

In our previous work, 42 we have performed an equilibrium molecular-dynamics simulation of a system with 115 200 particles in an elongated box with side ratios (1:2:1) and periodic boundary conditions, at a density in the coexistence region, $\rho = 0.017/\sigma_0^3$. The system thus contained an isotropic and a nematic slab, separated by two interfaces. The director in the nematic phase was found to align parallel to the interface (planar). Within that plane, the direction of the director is of course arbitrary, but the initial equilibration stage was conducted such that it pointed roughly in the direction of one box side.  

The final configurations from these simulations were used as starting configurations in the present work. Shear flow was enforced by applying Lees-Edwards boundary conditions, 60,61 i.e., the periodic boundaries in one direction move at constant speed. This minimizes surface effects, but at the expense of constantly pumping energy into the system. In order to dissipate the latter, we have coupled the molecular velocities to a Nosé-Hoover thermostat, 62 taking care that it acts only on the thermal fluctuations of the velocities, i.e., the velocities minus the streaming velocity of the fluid. The streaming velocity profile was determined from the simulation. In a nonuniform system like ours, using such a profile-unbiased thermostat 62 is obviously important. However, we have noted in preliminary studies that we get practically the
same results with a profile-biased thermostat, where the actual velocity profile is approximated by a constant gradient profile. Hence the results do not seem to depend sensitively on the details of the thermostat.

In the present paper, we discuss the interfaces in the common stress geometry (Fig. 1, left). Two situations were considered: In the first, termed “flow-aligned” case, the initial configuration was turned such that the director in the nematic phase points in the direction of the flow, i.e., the x direction in Fig. 1. In the second, “log-rolling” case, the initial configuration was turned such that the director points in the direction of the vorticity, i.e., the z direction. We have first examined the stability of the interface in the flow-aligned case for global strain rates up to \( \dot{\gamma} = \Delta \nu_y / L_y = 0.1/\tau \). (\( \Delta \nu_y \) is the streaming velocity difference between both sides of the box, as imposed by the Lees-Edwards boundary conditions, and \( L_y \) the box length in the y direction.) To this end, we have carried out a series of simulations of smaller systems with 7200 particles in a box with side ratios (1:8:1). Then we have focused on the strain rate \( \gamma = 0.001/\tau \), and performed extensive simulations of interfaces with initial flow-aligned and log-rolling setups. In both cases the system was first “equilibrated” over \( 2 \times 10^6 \) molecular-dynamics (MD) steps, and data were then collected over the next \( 2 \times 10^6 \) MD steps, where one step corresponds to 0.0015 time units \( \tau \). In the flow-aligned case, the system remained flow aligned throughout the simulation. In the log-rolling case, two things happened during the initial “equilibration” time: The director of the nematic phase turned very slowly into the flow direction, and the density of the nematic phase decreased slightly (implying that the thickness of the para-

# Mathematical Equations

1. The director orientation profile, \( \hat{n} \), is the normalized direction of the director in a given point of the system.
2. The nematic order parameter, \( S(y) \), is defined as the square of the projection of the director on the plane perpendicular to the y-direction.
3. The local order tensor, \( Q \), is defined as:

\[
Q = \frac{1}{N} \sum_{i=1}^{N} \left( \frac{3}{2} \hat{u}_i \otimes \hat{u}_i - \frac{1}{2} I \right)
\]

where \( I \) is the 3x3 unity matrix. The nematic order parameter \( S(y) \) in the bin centered at \( y \) is the highest eigenvalue of \( Q \) in that bin. From the profile \( S(y) \), we determine the local positions \( y_{NI} \) and \( y_{IN} \) of the two interfaces in the column under consideration, following the procedure described in Ref. 42. Then we calculate the profiles for all quantities of interest and shift them by the amount \( y_{NI} \) or \( y_{IN} \), respectively. This allows us to perform averages over local profiles. Here, profiles from NI interfaces are mirrored at \( y_{NI} \) such that the nematic slab is always on the right side. The two interfaces from the log-rolling setup are discussed separately, since they were different (cf. Fig. 2). The left interface, where the director on the nematic side is perpendicular to the flow, is labeled “log rolling,” and the right interface, where the director is oriented at 45° with respect to the flow, is labeled “45°.” In the flow-aligned case, we average over both interfaces. The interface positions \( y_{IN} \) and \( y_{NI} \) themselves are used to analyze the capillary wave spectrum of the interfaces.

We should note that the two interfaces in the system are slightly correlated due to the finite width of the isotropic and the nematic slab separating them. In particular, the elastic interactions mediated through the nematic slab, which already affect the capillary wave spectrum of a single interface, will also cause long-range interactions between the interfaces. A detailed finite-size analysis, and data with very low statistical error, would be necessary to investigate these effects in detail. Unfortunately, this would require such an immense computational effort that it is not feasible for us at the moment.

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**FIG. 2.** Director orientation profiles in the final configuration of the interface set up in the (a) flow-aligned and (b) log-rolling geometries, with \( N=115200 \) particles. In the isotropic slab, which is situated between \( y=-80 \) and \( y=0 \), the director of the interface is not well defined. The symbols show the squared component \( n_z^2 \) of the director in different directions: the shear gradient direction (plus, \( x=\tau \)), the director direction in the initial setup (closed circles), and the remaining direction (open diamonds). After the initial “equilibration” time, these profiles stay roughly constant throughout the simulation.
The stress tensor, equal to the negative pressure tensor $\mathbf{P}$, can be calculated via

$$\sigma = -\mathbf{P} = -\frac{1}{V} \left( \sum_{i=1}^{N} m_i \mathbf{v}_i \otimes \mathbf{v}_i + \sum_{i<j}^{N} \mathbf{r}_{ij} \otimes \mathbf{f}_{ij} \right). \quad (5)$$

The shear stress is given by $(\sigma_{xy} + \sigma_{yx})/2$. For the flow-aligned setup, we obtained $(0.7 \pm 0.1) \times 10^{-4} \epsilon_0 / \sigma_0^3$, and for the log-rolling setup, $(1.3 \pm 0.1) \times 10^{-4} \epsilon_0 / \sigma_0^3$. The fact that the shear stress is higher in the log-rolling setup (i.e., the average shear viscosity is higher) is another indication that the log-rolling state is metastable. The antisymmetric stress $\sigma_{xy} - \sigma_{yx}$, as well as all other nondiagonal elements of $\mathbf{\sigma}$, was zero within the error. For a comparison with the equilibrium interface, we have also calculated the quantity $\gamma = \frac{V}{2A} \left( P_{yy} - \frac{1}{2} (P_{xx} + P_{zz}) \right)$.

In an equilibrium configuration with two interfaces in the $(xz)$ plane, $\gamma$ is the interfacial tension. The equilibrium value in our system was found to be $0.009 \pm 0.003 \epsilon_0 \sigma_0$. Under shear, we obtain $\gamma = 0.003 \pm 0.001 \epsilon_0 \sigma_0$ in the flow-aligned setup, and $\gamma = 0.015 \pm 0.001 \epsilon_0 \sigma_0$ in the log-rolling setup. The difference from the equilibrium value can be attributed to two effects: First, the interfacial properties change, and second, the diagonal components of the pressure in the bulk are no longer equal under shear. On principle, these two contributions can be separated, either by systematically varying the system size in the directions parallel and perpendicular to the interface, or via a detailed analysis of the profiles of $\gamma$. Unfortunately, the first method is computationally too expensive, and the statistics of the pressure profiles was not sufficient to obtain results from the second method. Therefore, we have not been able to separate the different contributions to $\gamma$, and the interpretation of our result is difficult. The difference between the pressure tensor components $P_{xx} - P_{zz}$ is $(-3 \pm 1) \times 10^{-5} \epsilon_0 \sigma_0$ in the flow-aligned setup, and zero within the error in the log-rolling setup.

The local interfacial profiles have been analyzed follow-
ing the procedure described in the previous section, with the lateral block size \( B = 37.5 \sigma_0 \) (i.e., the system was divided into 4 \( \times \) 4 \( = \) 16 columns). Figures 3 and 4 show profiles of the order parameter and the density at the interface for the flow-aligned and the log-rolling setup, and compare them with the corresponding equilibrium profiles. The order-parameter profiles demonstrate that the interface broadens slightly under shear. In the log-rolling case, the effect is more pronounced than in the flow-aligned case. Moreover, the density of the coexisting nematic phase in the log-rolling case is slightly reduced.

Next we examine the streaming velocity profiles at the interface, shown in Figs. 5 and 6. The streaming velocity profile in the flow direction clearly exhibits two different slopes: The local shear rate is smaller in the paranematic phase than in the nematic phase. Thus we observe shear banding.

Even more remarkably, the shear also induces flow in the vorticity direction. The direction of that flow in the nematic and the paranematic phase is opposite. To our best knowledge, such a behavior has not been reported for homogeneous systems. (Even if it had been observed, it could be removed by a simple Galilei transformation.) Hence our effect is clearly induced by the interface. More precisely, the interface seems to induce a flow gradient in the vorticity direction. In the flow-aligned setup, where both interfaces are symmetrical, the velocity gradient dies off far from the interfaces and the nematic and the isotropic slab move as blocks in opposite directions. In the log-rolling setup, the effect is even stronger (by a factor of 3), but the situation is complicated by the fact that the two interfaces are different, and the integrated effect of the flow gradient at the two interfaces is not compatible with the periodic boundary conditions. Therefore, we only show the data for the flow-aligned case here.

In the direction of shear gradient, no flow was observed. Likewise, the streaming angular velocity \( \Omega \) was indistinguishable from zero within the error (0.0002). In fact, \( \Omega \) cannot be strictly zero in the isotropic phase, since general considerations show that it should be one-half the local shear rate.\(^6\) In our system, however, the local shear rate in the isotropic slab was \( \dot{\gamma} = 0.00025 \) (cf. Fig. 6), hence the resulting \( \Omega = \dot{\gamma}/2 \) is within the statistical error.

The fluctuations of the interface positions can be characterized by the capillary wave spectrum: We assume that we can parametrize the local position of an interface in the \((x,z)\) plane by a single-valued function \( y = h(x,z) \). The inverse of the fluctuations of its two-dimensional Fourier transform, \( \langle |h(q)|^2 \rangle^{-1} \), contains information on the local interfacial free

\[ 1 \quad 0.0001 \quad 0.001 \quad 0.01 \quad 0.0001 \quad 0.01 \]

\[ 0.0001 \quad 0.00001 \quad 0.000001 \quad 0.00000001 \]

\[ 0.014 \quad 0.015 \quad 0.016 \quad 0.017 \quad 0.018 \quad 0.019 \]

\[ 0 \quad 1 \times 10^{-5} \quad 1 \times 10^{-6} \quad 1 \times 10^{-7} \quad 1 \times 10^{-8} \quad 1 \times 10^{-9} \]

\[ 0.014 \quad 0.015 \quad 0.016 \quad 0.017 \quad 0.018 \quad 0.019 \]

\[ 0 \quad 1 \times 10^{-5} \quad 1 \times 10^{-6} \quad 1 \times 10^{-7} \quad 1 \times 10^{-8} \quad 1 \times 10^{-9} \]

\[ 0.014 \quad 0.015 \quad 0.016 \quad 0.017 \quad 0.018 \quad 0.019 \]
shear might reduce the effective interface tension, and is compatible with the observation that the interfacial width broadens under shear. In the flow-aligned case, the capillary waves seem slightly enhanced in the vorticity direction. However, the effect is weak, and the deviations from the equilibrium values are still within the error bars.

Finally, in this section, we discuss the phase coexistence and the stability of the interface at other strain rates. To study this, we have carried out a number of simulations of smaller, flow-aligned systems, where the length of the simulation box and the average density of the particles were the same as before, but the lateral size was reduced by a factor of 4 (7200 particles in a box with aspect ratio 1:8:1). The interfaces remain stable up to an average strain rate of roughly $\dot{\gamma} = 0.006/\tau$. Figure 8 shows the corresponding nonequilibrium phase diagram. Somewhat surprisingly, the densities of the two coexisting states are almost independent of the shear rate. This underlines our earlier statement that the phase separation in our system is almost exclusively driven by thermodynamic forces. The local shear rate is always higher in the nematic phase, i.e., the system is always shear thinning upon ordering. In contrast to predictions from theoretical model calculations, the coexistence region does not close up. Rather, the interface disappears abruptly beyond the average shear rate $\dot{\gamma} = 0.006/\tau$. Coexistence regions similar to ours have been predicted for coexisting paranematic and log-rolling states. Why we observe this structure here for paranematic states coexisting with flow-aligned nematic states is unclear, and will have to be the subject of future studies.

Figures 9 and 10 show the time evolution of interface destruction at shear rates $\dot{\gamma} = 0.1/\tau$ and $\dot{\gamma} = 0.01/\tau$. The initial configuration is an equilibrium interface. At the shear rate $\dot{\gamma} = 0.1/\tau$, the shear aligns the liquid crystal throughout the paranematic slab, thereby destroying the difference between the two phases. This is the primary process and happens on a time scale of roughly 30$\tau$. In a second step, the density slowly adjusts. The density profile therefore persists much longer than the order-parameter profile [Fig. 9(b)]. At the shear rate $\dot{\gamma} = 0.01/\tau$, the destruction process is less homogeneous and nucleates at the interfaces: They slowly move inwards and gradually lose their structure (Fig. 10). This happens on a time scale of roughly 300$\tau$. The time scale of destruction at average shear rate 0.01/τ is thus about ten
times as large as at shear rate $0.1/\tau$. We conclude that the time scale of destruction is roughly proportional to the time scale $1/\dot{\gamma}$ introduced by the shear. This underlines the necessity of performing very long simulation runs at lower shear rates. In particular, it is important to note that at the shear rate $\dot{\gamma}=0.001/\tau$, where we have performed most of our simulations, the interface showed no sign of destabilization after a run of length $6000\tau$. Therefore we can deduce that the interface is indeed stable.

IV. CONCLUSIONS AND OUTLOOK

We have presented an extensive nonequilibrium molecular-dynamics simulation of liquid-crystal interfaces in shear flow, and compared the properties of these interfaces with the structure of the corresponding equilibrium interface. Two situations were considered: In the first, flow-aligned setup, the interface was set up such that the director in the nematic phase pointed in the direction of shear gradient; in the second, log-rolling setup, the director pointed in the direction of the vorticity. The log-rolling state appeared to be unstable with respect to the flow-aligned state, but extremely long lived, so that it could be analyzed as well. In both the flow-aligned and the log-rolling system, the two coexisting states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress. The third possible situation, phase coexistence at common strain states experience the same, common, stress.

We found that the structural properties of the interface are not dramatically affected by the presence of shear. The interfacial width increases slightly, and the capillary wave amplitudes are slightly enhanced at large wavelengths. No other noticeable effects were observed. For example, the biaxiality was still basically zero (data not shown).

In contrast, the streaming velocity profiles revealed a number of interesting features: First, we observe shear banding, i.e., the shear profile is inhomogeneous. The local shear rate in the nematic phase is distinctly higher than in the paranematic phase. Second and surprisingly, the interface also induces a streaming velocity gradient in the vorticity direction. As a result, vorticity flow in opposite directions is induced in the paranematic and the nematic phase. This flow is symmetry breaking, it destroys the mirror symmetry at the (xy) plane. The effect is small but significant. So far, we have no explanation. It has not been predicted by theory; however, the theoretical studies of shear banding cited in the Introduction were all set up such that the vorticity flow was zero by construction. We are not aware of any fundamental argument against nonzero vorticity flow. Hence our result is perplexing, but not impossible. We hope that it will stimulate further theoretical work on shear banding, and that the mechanism and the conditions for symmetry breaking will be clarified.

The effect is not only of academic interest, but could also be useful from a practical point of view. For example, if one component enriches in one phase, and the other in the other phase, shear could possibly be used to separate the two components.

The present study has focused on interfaces which are mainly stabilized by thermodynamic driving forces. As mentioned in the Introduction, nonequilibrium interfaces can also be stabilized mechanically. In that case, the interfacial properties presumably differ much more strongly from those of equilibrium interfaces than in the present case. We hope that we will succeed in stabilizing and studying such an interface in the future.

ACKNOWLEDGMENTS

We thank Peter Olmsted and Michael Allen for useful discussions, and Radovan Bast for running many N=7200 systems within an undergraduate physical chemistry project. The parallel MD program GBMega used in this work was originally developed by the EPSRC Complex Fluid Consortium. This work was funded by the German Science Foundation, and the simulations were carried out at the John von Neumann Institute for Computing in Jülich.