

1 **Cryostratigraphy and the sublimation unconformity in permafrost from an ultraxerous**  
2 **environment, University Valley, McMurdo Dry Valleys of Antarctica.**

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14  
15 **Abstract.** The cryostratigraphy of permafrost in ultraxerous environments is poorly known. In  
16 this study, icy permafrost cores from University Valley (McMurdo Dry Valleys, Antarctica)  
17 were analyzed for sediment properties, ground-ice content, types and distribution of  
18 cryostructures and presence of unconformities. No active layer exists in the valley, but the ice  
19 table, a sublimation unconformity, ranges from 0 to 60 cm depth. The sediments are  
20 characterized as a medium sand, which classifies them as low to non-frost susceptible. CT scan  
21 images of the icy permafrost cores revealed composite cryostructures that included the  
22 structureless, porous visible, suspended and crustal types. These cryostructures were observed  
23 irrespective of ground-ice origin (vapour deposited and freezing of snow meltwater), suggesting  
24 that the type and distribution of cryostructures could not be used as a proxy to infer the mode of  
25 emplacement of ground ice. Volumetric ice content derived from the CT scan images under-  
26 estimated measured volumetric ice content, but approached measured excess ice content. A  
27 paleo-sublimation unconformity could not be detected from a change in cryostructures, but could  
28 be inferred from an increase in ice content at the maximum predicted ice table depth. This study  
29 highlights some of the unique ground ice processes and cryostructures in ultraxerous  
30 environments. **Keywords:** cryostratigraphy, sublimation unconformity, ground ice, permafrost,  
31 CT scan, McMurdo Dry Valleys of Antarctica

1 **INTRODUCTION**

2 Cryostratigraphy is an approach that emphasizes the description of cryostructures  
3 (distribution and shape of ground ice in permafrost), the quantification of ground-ice content and  
4 associated cryofacies, and the identification of unconformities in permafrost. Together, the  
5 elements of the cryostratigraphic approach help determine the nature of ground-ice emplacement  
6 and the history of permafrost (e.g. French, 1998; French and Shur, 2010; Gilbert *et al.*, 2016).  
7 Cryostratigraphic studies have focused on Arctic permafrost, where permafrost soils are moist to  
8 water-saturated, the principle moisture source is liquid water, the main mechanism of ground-ice  
9 formation is freezing of water, and unconformities relate to the thaw of near-surface permafrost  
10 during past warmer periods (i.e. thaw unconformities) (Mackay, 1972; Fortier *et al.*, 2008;  
11 French and Shur, 2010; Douglas *et al.*, 2011; Gilbert *et al.*, 2016). Little is known about the  
12 application of cryostratigraphy in permafrost from ultraxerous environments, such as the high-  
13 elevation terrain in Antarctica, where the principle moisture source is vapour and the main  
14 mechanism of ground-ice formation is vapour deposition. In these regions, the permafrost table  
15 is at or near the ground surface (Adlam *et al.*, 2010); however, the position of the ice table,  
16 which is the interface between dry and ice-bearing permafrost, reflects the equilibrium depth  
17 between seasonal vapour deposition and sublimation (Fisher *et al.*, 2016). The ice table therefore  
18 represents a sublimation unconformity, in a similar manner as the permafrost table represents a  
19 thaw unconformity.

20 In University Valley, situated in the upper McMurdo Dry Valleys (MDV) of Antarctica, icy  
21 permafrost was found below a shallow ice table (McKay, 2009; Marinova *et al.*, 2013). Based on  
22 its  $\delta D$ - $\delta^{18}O$  composition, the ground ice in the sandy sediments was emplaced by three different  
23 mechanisms: vapour deposition, freezing of partially evaporated snow meltwater and burial of  
24 glacier ice (Lacelle *et al.*, 2011, 2013; Pollard *et al.*, 2012; Lapalme *et al.*, 2017). The differing  
25 ground-ice emplacement processes in University Valley allow us to assess the application of  
26 cryostratigraphy in an ultraxerous region and its ability to help infer the origin of ground ice and  
27 the past permafrost conditions (i.e. the presence of a paleo-sublimation unconformity). Using  
28 ice-bearing permafrost cores collected from University Valley, the objectives of this study are to:  
29 (1) describe cryostructures in the permafrost cores, based on computed tomography (CT) scan  
30 images, to determine if differing ground-ice origins generate distinct cryostructures; (2)  
31 determine the potential presence of sublimation unconformities in the icy permafrost; and (3)

1 derive volumetric ice contents in permafrost using the CT scan images and compare the results  
2 with measured volumetric and excess ice contents. Overall, this study highlights some of the  
3 unique ground-ice processes in ultraxerous environments, where the availability of moisture is  
4 limited.

## 6 **STUDY AREA**

7 University Valley is 1.5 km long and situated in the Quartermain Mountains of the MDV,  
8 Antarctica (77°52'S, 163°45'E), 1600-1800 m above sea level (a.s.l.) (Figure 1). A small glacier  
9 is situated at the head of the valley and permanent snow patches are found in depressions located  
10 in the western portion of the valley floor. Sand-wedge polygons, typical of ultraxerous  
11 environments (Marchant and Head, 2007), occupy the valley floor and some of the talus cones.  
12 Polygon diameters range from ca. 10 m in the upper section of the valley to ca. 20 m in the lower  
13 section (Mellon *et al.*, 2014).

14 The local bedrock consists of Jurassic age sills of Ferrar Dolerite and Devonian to Triassic  
15 age sandstones and conglomerates of the Beacon Supergroup (Barrett, 1981; Cox *et al.*, 2012).  
16 The surficial sediments comprise alpine drift in the upper and middle regions of the valley,  
17 undifferentiated till in the lower part, and colluvium and talus cones at the base of valley walls  
18 (Cox *et al.*, 2012). Optically-stimulated luminescence ages obtained from permafrost cores from  
19 four polygons in upper and middle University Valley (P1, P2, P8, P12) yielded ages of  $17.9 \pm 1.6$   
20 kyr for sediments at 2-5 cm depths, whereas those at 90-95 cm depths were dated to  $170 \pm 36$  kyr  
21 (Lacelle *et al.*, 2013; Trinh-Le, 2017). These ages fit reasonably well with those derived from Cl  
22 accumulation in the upper 56 cm of sediments (Jackson *et al.*, 2016). The undifferentiated till,  
23 which contains granite erratics, is likely associated with the Taylor 4b Drift (>2.7 Ma) or an  
24 older glaciation (Cox *et al.*, 2012; Dickinson *et al.*, 2017). Given the apparent continuous  
25 sediment accumulation of the alpine drift over the last 200 ka and enduring cold-climate  
26 conditions for the last 12 Ma (Lewis *et al.*, 2007, 2008), the permafrost in the sediments along  
27 the valley floor is likely syngenetic.

28 University Valley is situated in the stable upland climate zone of the MDV, a region  
29 characterized by summer air temperatures <0°C, relative humidity values near 50% (Doran *et al.*,  
30 2002; Marchant and Head, 2007) and low precipitation (<10 mm snow water equivalent;  
31 Fountain *et al.*, 2009). Three years of climate data (2010-2012) collected from an automated

1 weather station in University Valley indicated a mean annual air temperature of  $-23.4 \pm 0.9^{\circ}\text{C}$ , a  
2 mean annual relative humidity of  $45.5 \pm 1.8\%$  and maximum hourly air temperatures always  
3  $<0^{\circ}\text{C}$  (Lacelle *et al.*, 2016). Two distinct ground-surface temperature zones have been identified  
4 in the valley (Figure 1e): (1) a perennally cryotic zone (PCZ) in the north-east section of the  
5 valley, characterized by ground-surface temperatures always  $<0^{\circ}\text{C}$ ; and (2) a seasonally non-  
6 cryotic zone (NCZ), characterized by ground-surface temperatures that rise above  $0^{\circ}\text{C}$  for at least  
7 a few hours on clear summer days. The transitional area between the PCZ and NCZ is referred to  
8 as the intermediate mixed zone (IMZ) and may exhibit characteristics of either zone.

9 The permafrost table along the floor of University Valley is at the ground surface (Lacelle *et al.*  
10 *et al.*, 2016), whereas the ice table ranges in depth from 0 cm near the glacier to ca. 60 cm at the  
11 mouth of the valley (McKay, 2009; Marinova *et al.*, 2013). Fisher *et al.* (2016) concluded that  
12 the measured ice-table depths are likely in equilibrium with present-day ground-surface  
13 temperature and humidity conditions. Ground ice is abundant below the ice table, with excess ice  
14 contents, excluding the two buried glacier-ice bodies, reaching 93% (Lapalme *et al.*, 2017).  
15 Based on  $\delta\text{D}-\delta^{18}\text{O}$  measurements, ground ice has been attributed to three different origins: (1)  
16 vapour deposition in the sediments from the PCZ; (2) freezing of partially evaporated snow  
17 meltwater in the sediments from the NCZ; and (3) buried glacier ice at two localities (Lacelle *et al.*  
18 *et al.*, 2011, 2013; Pollard *et al.*, 2012; Lapalme *et al.*, 2017).

19

## 20 **METHODOLOGIES**

### 21 **Field sampling and core selection**

22 Between 2009 and 2013, 18 ice-bearing permafrost cores were collected using an 11.5 cm  
23 diameter SIPRE corer from 12 polygons along the length of the valley (Figure 1). Prior to coring,  
24 the dry sediment layer above the ice-bearing permafrost was removed. Each core was retrieved  
25 in 10 to 50 cm long segments, wrapped in plastic core sleeves and shipped frozen in thermally  
26 insulated boxes to the University of Ottawa (Ottawa, Canada).

27 All cores were analyzed for ground-ice content and five were imaged using CT scanning  
28 (P1-C1, P6-C5, P7-C1, P8-C3 and P8-C6). These cores were selected because of their location in  
29 the valley with respect to the ground-surface temperature zones and ground-ice origins. P8 and  
30 P6 are situated in the PCZ and the IMZ, respectively, where the ground ice in the cores was

1 attributed to vapour deposition, whereas P1 and P7 are situated in the NCZ, where the ground ice  
2 formed by the freezing of partially evaporated snowmelt water (Lapalme *et al.*, 2017).

3

#### 4 **CT scanning and image processing**

5 The top section of the permafrost cores were scanned using a Siemens Somatom Volume  
6 Access dual CT scanner (pixel resolution of 0.4 mm for x, y and z axes) at the Institut National  
7 de la Recherche Scientifique (Québec, Canada). The technique produces a series of cross-section  
8 image slices that represent the relative X-ray absorption rate, from which the CT value in  
9 Hounsfield Units (HU) can be calculated (Hounsfield, 1973; Kawamura, 1990). Density was  
10 calculated relative to that of water; thus, water has a value of 0 HU and air has values near –1000  
11 HU (Kawamura, 1990; Delisle *et al.*, 2003). When combined into an image stack, the image  
12 slices create a grey-scale image representing a 3D reconstruction of the density variations of the  
13 materials in the cores. Darker tones indicate lower density materials (i.e. gas and ice), and lighter  
14 tones indicate higher density material (i.e. sediments) (e.g. Hounsfield, 1973; Calmels and  
15 Allard, 2004, 2008; Torrance *et al.*, 2008). Image processing and interpretations of the CT scan  
16 images depend on the threshold values determined for the various components of the core. The  
17 threshold value for identifying ice in the permafrost cores (–320 to 775 HU) was determined  
18 through analyzing the histograms of density measurements in the image stacks (e.g. Dillon *et al.*,  
19 2008; Obbard *et al.*, 2009; Calmels *et al.*, 2012).

20

#### 21 **Classification of cryostructures using CT scan images**

22 Cryostructures are defined as the description of the distribution and shape of ground ice in  
23 permafrost (Murton and French, 1994). CT scan images reveal cryostructures in a permafrost  
24 core at the millimeter scale, resulting in a more detailed description than would otherwise be  
25 possible along natural permafrost exposures or upon retrieval of permafrost cores (e.g. Shur *et*  
26 *al.*, 2004; Kanevskiy *et al.*, 2011, 2013, 2014). The cryostructures visible in the CT scan images  
27 were identified using the Murton and French (1994) classification, which includes lenticular,  
28 suspended, reticulate, layered, structureless and crustal cryostructures, with the addition of  
29 porous visible cryostructure, defined as random inclusions of ice that fill large pores (i.e.  
30 Stephani *et al.*, 2010; Kanevskiy *et al.*, 2011, 2013). Cryostructures were analyzed using the  
31 *Orthogonal Views* function in *Fiji* image analysis software.

1

## 2 **Ground-ice content derived from analysis of CT scan images**

3 Volumetric ice content was calculated from each slice (0.4 mm resolution) of the CT scan  
4 images ( $VIC_{CT}$ ) using the  $-320$  to  $750$  HU threshold for ice and the *Analyze Particles* function in  
5 *Fiji* image analysis software. Using other thresholds ( $-200$  to  $700$ ,  $-200$  to  $750$ ,  $-250$  to  $700$ ,  $-$   
6  $250$  to  $750$ ) had little effect on the calculated  $VIC_{CT}$  of the cores (Lapalme *et al.*, 2015). The  
7 resulting *masks* from the function represent the filled outlines of the measured particles that were  
8 used to compute the total area covered by the particles (Ferreira and Rasband, 2012). The slices  
9 in the image stacks corresponding to breaks in the core segments and/or where the core image  
10 was smaller than the region of interest were removed as these slices would misrepresent the ice  
11 content in the core. To assess the accuracy of the volumetric ice content derived from the CT  
12 scan images, the  $VIC_{CT}$  values were correlated with measured volumetric and excess ice  
13 contents.

14

## 15 **Calculation of ground-ice content and accumulation rates**

16 Following CT scan imaging, the permafrost cores were cut into ca. 2 cm thick slices and  
17 thawed in sealed plastic bags. Once thawed, the cores were transferred into graduated 50 ml  
18 polypropylene tubes, where the sediments settled. The volume of supernatant water (if present)  
19 and sediments were recorded. Excess ice content (EIC), defined as the volume of ice in the  
20 ground exceeding the total pore volume that the ground would have under natural unfrozen  
21 conditions (van Everdingen, 1998), was calculated as:

$$22 \quad EIC (\%) = \frac{W_s \times 1.0917}{(W_s \times 1.0917 + V_s)} \times 100 \quad (1)$$

23 where  $W_s$  is the volume of supernatant water ( $\text{cm}^3$ ),  $V_s$  is the volume of sediments ( $\text{cm}^3$ ) and the  
24 number 1.0917 is used to convert the measured volume of supernatant water to the equivalent  
25 volume of ice.

26 The volumetric ice content (VIC), defined as the volume of ice per unit volume of icy  
27 soils (van Everdingen, 1998), was determined as:

$$28 \quad VIC (\%) = \frac{W_v \times 1.0917}{V_t} \times 100 \quad (2)$$

1 where  $W_v$  is the volume of the water in the sample ( $\text{cm}^3$ ),  $V_t$  is the total volume of the sample  
2 ( $\text{cm}^3$ ) determined from the core sample dimension.  $W_v$  is derived from the total mass of water in  
3 the sample (g), assuming a water density of  $1.0 \text{ g cm}^3$ .

4 Based on the VIC values, cryofacies types were defined as: pure ice (100% VIC), sediment-  
5 poor ice ( $>75\%$  VIC), sediment-rich ice ( $>50$  to  $\leq 75\%$  VIC), ice-rich sediment ( $>25$  to  $\leq 50\%$   
6 VIC) or ice-poor sediment ( $\leq 25\%$  VIC) (Murton and French, 1994).

7 Apparent rates of ground-ice accumulation in the icy permafrost were calculated by dividing  
8 the cumulative volume of water in the core by the surface area of core barrel and by age of the  
9 soils, estimated from optically-stimulated luminescence ages and CI concentrations (Lacelle *et*  
10 *al.*, 2013; Jackson *et al.*, 2016). Since CI-derived ages were obtained for the top 56 cm of soils,  
11 the cumulative volume of water was calculated to this depth for sites with cores equal or longer  
12 than 56 cm (i.e., three cores discussed in this paper: P8-C3, P6-C5 and P1-C1; and three sites  
13 discussed in Lapalme *et al.*, 2017: P12, P1-C2 and P6-C3).

#### 15 **Determination of sediment properties**

16 The grain-size distribution of the sediments was determined at ca. 10 cm depth intervals  
17 using the stacked sieve method (2, 1, 0.5, 0.25, 0.125 and 0.0625 mm) and classified into three  
18 dominant particle sizes: gravel ( $\geq 2$  mm), sand ( $< 2$  mm to  $\geq 0.0625$  mm), and silt and clay  
19 ( $< 0.0625$  mm). Two representative soil samples from P8-C3 and P1-C1 were analyzed by  
20 mercury porosimetry to determine their porosity and pore-size distribution (analysis performed  
21 by Micromeritics Analytical Services, Norcross GA, USA).

## 23 **RESULTS**

### 24 **Sediment properties**

25 The sediment in the five cores is a pale brown (10YR 6/3) medium sand (0.25 to 0.5 mm),  
26 with  $< 8\%$  silt and clay (Figure 2). In each core, the grain-size distribution changed little with  
27 depth. In the three permafrost cores from the PCZ and IMZ (P8-C6, P8-C3, P6-C5), sand-sized  
28 particles ranged from 47 to 96%, gravel between 1 and 52%, and silt and clay occupied  $< 5\%$ . In  
29 the two permafrost cores from the NCZ (P7-C1 and P1-C1), the values were 76–97% sand, 0.3–  
30 21% gravel and  $< 8\%$  silt and clay. According to Andersland and Ladanyi (2004), the sediments  
31 in the icy permafrost cores can be classified as low to non-frost susceptible.

1           Given the homogenous grain-size distribution, mercury porosimetry was performed on two  
2 samples. The porosity of P8-C3 and P1-C1 was 28 and 37%, respectively. The pore-space  
3 diameter of both sandy sediment samples ranged from 4  $\mu\text{m}$  to 0.3 mm, with a unimodal  
4 distribution (dominant mode at 0.1 mm; Figure 2c). These porosities and pore-space distributions  
5 are similar to those determined in sandy sediments elsewhere in the upper MDV, such as Beacon  
6 Valley and Linneaus Terrace (Sizemore and Mellon, 2008).

### 7 8 **Cryostructures and discontinuities**

9           The CT scan images revealed multiple types of cryostructures across the same depth  
10 interval, including structureless, porous visible, suspended and crustal (Figure 3). All the  
11 observed cryostructures were composite in nature (Figure 4). The structureless, porous visible  
12 and crustal cryostructures were identified at all depths in the five permafrost cores. The  
13 suspended cryostructure was observed in all cores except P8-C6. The shape and size of the  
14 suspended cryostructure varied between the cores; those in P7-C1 and P1-C1 were  
15 predominantly smaller than those in P6-C5 and P8-C3. No trend in the distribution of the crustal  
16 cryostructures was discernable in the five cores as the ice rims, typically a few mm thick, either:  
17 (1) fully surrounded the sand or gravel particles; (2) covered or partially covered one side of  
18 them; or (3) contained a combination of both scenarios. The crustal cryostructures were more  
19 difficult to distinguish in P1-C1 as the gravel content was low at most of the investigated depths,  
20 resulting in much smaller sediment grains around which the ice rims developed. With the  
21 exception of the P8-C6 core, the relative proportion of individual cryostructures within the  
22 composite cryostructure varied with depth within each core as a reflection of the presence or  
23 absence of the suspended cryostructure. No unconformity in the form of a change in  
24 cryostructures with depth could be detected.

### 25 26 **Ground-ice content and accumulation rates**

27           In the five icy permafrost cores, EIC and VIC ranged from 0 to 66% and 32 to 82%,  
28 respectively, with both measurements being highly correlated (Figure 5). In P8-C3, collected in  
29 the center of a polygon in the PCZ, a shallow ice-rich horizon was observed just below the ice  
30 table. This ice-rich layer was not present in the shoulders of the polygons in the PCZ and IMZ  
31 (P8-C6, P6-C5). In all polygons in the PCZ, ground-ice content increased at ca. 40-50 cm below



1 the ground surface, resulting in an ice-rich zone in the uppermost 40-80 cm. In the NCZ, the two  
2 cores did not display the shallow ice-rich layer; however, like those in the PCZ the ground-ice  
3 content increased abruptly at ca. 40-50 cm below the surface. Figure 5 also shows the  $VIC_{CT}$  in  
4 the five permafrost cores.  $VIC_{CT}$  values were consistently lower than  $VIC$ , although the  
5 distribution of  $VIC_{CT}$  with depth more closely followed that of  $EIC$ . Using a linear regression  
6 analysis (Figure 6), the relation between  $VIC_{CT}$  values averaged over the same depth intervals as  
7  $VIC$  and  $EIC$  (ca. 2 to 3 cm) showed a positive linear relation, but  $VIC_{CT}$  under-estimated  $VIC$   
8 ( $VIC_{CT} (\%) = 0.75 VIC (\%) + 36.52; r^2 = 0.45; p = <0.05$ ), while  $VIC_{CT}$  and  $EIC$  showed a better  
9 correlation, approaching the 1:1 line ( $VIC_{CT} (\%) = 0.79 EIC (\%) + 1.32; r^2 = 0.67, p = <0.05$ ).

10 Based on the ground-ice content and age of the sediments, apparent rates of ice  
11 accumulation were calculated to infer moisture availability and transfer rates between the colder  
12 PCZ and warmer NCZ (Figure 7). Apparent ice accumulation rates in the PCZ ranged from  $6.9 \times$   
13  $10^{-4} \text{ mm yr}^{-1}$  to  $1.15 \times 10^{-2} \text{ mm yr}^{-1}$ . This range is similar to those calculated using the REGO  
14 vapour diffusion and deposition model (Lacelle *et al.*, 2013; Fisher and Lacelle, 2014; Fisher *et*  
15 *al.*, 2016). Apparent ice accumulation rates for sites in the NCZ yielded values in the same range,  
16 indicating similar moisture transfer rates in the differing thermal regions of the valley.

## 18 DISCUSSION

19 Previous cryostratigraphic studies of permafrost have focussed on (1) permafrost soils that  
20 were moist to water-saturated; (2) ground ice formed mainly from freezing of liquid water; and  
21 (3) discontinuities in the form of thaw unconformities (e.g. French and Shur, 2010; Gilbert *et al.*,  
22 2016). Here we discuss the cryostratigraphy of permafrost in University Valley where: (1) the  
23 sediments in which syngenetic permafrost aggraded were dry to nearly dry; (2) the principle  
24 moisture sources were vapour and snow meltwater; (3) the main mechanisms of ground-ice  
25 formation were vapour-deposition and freezing; and (4) discontinuities are in the form of  
26 sublimation unconformities.

### 28 Cryostructures in low frost-susceptible sediments of ultraxerous environments

29 In the low frost-susceptible sediments of University Valley, four types of cryostructures  
30 were observed, including: structureless, porous visible, suspended and crustal, irrespective of  
31 their location in the valley (PCZ, NCZ or IMZ) and the associated origin of ground ice: vapour-

1 deposited ground ice in the PCZ and freezing of partially evaporated snow meltwater in the NCZ  
2 (Lacelle *et al.*, 2013; Lapalme *et al.*, 2017). Therefore, the type of cryostructure observed in the  
3 cores could not be used to infer the mode of emplacement of ground ice.

4 The similar cryostructures, ground-ice content and ice accumulation rates in the University  
5 Valley icy permafrost cores, irrespective of ground-ice origin, suggest that the cryostructures  
6 represent a transition from structureless to suspended as ice accumulates in the sediments. This  
7 process would depend on soil texture and the amount of available moisture in the icy cold  
8 permafrost (Figure 8). Optically-stimulated luminescence age from four sites in University  
9 Valley showed that sediments in the uppermost 1 m have been accreting for at least the last 170  
10 ka (Lacelle *et al.*, 2013; Trihn-Lee, 2017). If we begin with dry sandy sediments with a porosity  
11 ( $n$ ) that is subsequently filled with ice, the maximum content of pore ice would be:

$$12 \quad Pore\ ice_{max}(g\ g^{-1}\ dry\ sediments) = \frac{0.917n}{\rho} \quad (3)$$

13 where  $\rho$  is the dry bulk density of the sediments.

14 For typical University Valley sediments with  $\rho = 1.3\ g\ cm^{-3}$  and  $n = 0.33$ , the maximum  
15 pore-ice content would be  $0.23\ g\ g^{-1}$  dry sediments. At this stage, the pore-ice conditions in the  
16 sandy sediments would be represented by a structureless cryostructure in smaller pore spaces and  
17 a porous visible cryostructure in larger ones. A crustal cryostructure could also be present at this  
18 stage as ice could grow around gravel clasts and smaller sand particles; albeit, the ice crust may  
19 not fully surround them yet at this stage. In University Valley, modelling estimates have shown  
20 that it would take ca. 225 years to fill the pore space of the sediments with ice by vapour  
21 deposition (Lacelle *et al.*, 2013). Given the similar apparent ice-accumulation rate between the  
22 PCZ and NCZ, we estimate that it would take a comparable time to fill dry sediments with  
23 partially evaporated snow meltwater. But this process that would not necessarily occur each  
24 summer in view of the low snow recurrence interval (McKay, 2009).

25 The presence of the suspended cryostructure in the icy permafrost of University Valley is  
26 frequently associated with EIC values  $>10\%$  (Figure 5). The development of the suspended  
27 cryostructure is likely caused by the downward propagation of daily and seasonal temperature  
28 waves, setting up tensile stress in mixtures of pore ice and sediments (Mellon, 1997; Fisher,  
29 2005; Fisher *et al.*, 2016). This in turn generates voids and cracks induced by the difference in  
30 the thermal contraction and expansion coefficients of ice and rock, with that of ice being about  
31 10 times that of most rocks (Fisher, 2005; Fisher *et al.*, 2016). During each temperature cycle,

1 voids and cracks of various sizes generated in the icy sediments increase the available pore space  
2 to the depth of zero daily and annual temperature amplitudes, and become partially filled with  
3 new ice (either from vapor deposition or freezing of partially evaporated snowmelt). Because the  
4 cracks do not close to their original configuration after each thermal contraction cycle, this  
5 results in a progressive net increase of ice content above the initial porosity of the sediments,  
6 forming the observed suspended cryostructure, and heaving the overlying sediment. The  
7 progressive accumulation of ground ice, beginning from dry sediments that subsequently fill  
8 with pore ice and eventually reach excess ice contents ca. 80%, would take ca. 10,000 years in  
9 this ultraxerous environment (Lacelle *et al.*, 2013).

10 Overall, our results indicate that the structureless, porous visible, suspended and crustal  
11 cryostructures can form by both vapour deposition and the freezing of infiltrating snow  
12 meltwater in sandy cryotic sediments. The observation of these cryostructures in low frost-  
13 susceptible sediments may therefore not be diagnostic of the moisture source or transfer process  
14 related to their development, particularly in ultraxerous environments. This finding challenges  
15 the current genetic classification of cryogenic structures that attributed the formation of these  
16 cryostructures to the freezing of moisture through cryosuction and other liquid water movement  
17 mechanisms in soils (i.e. Murton and French, 1994; French and Shur, 2010). The discrepancy  
18 between findings likely results from the very low ice-accumulation rate in the low frost-  
19 susceptible sediments of University Valley (in the order of  $10^{-4}$  to  $10^{-2}$  mm yr<sup>-2</sup>). This highlights  
20 the importance of availability of moisture and its transfer rate to grow ground ice and the  
21 associated development of cryostructures in ultraxerous environments where the sediments are  
22 deposited dry to nearly dry and permafrost is syngenetic.

23

#### 24 **Cryostratigraphy and the sublimation unconformity in ultraxerous environments**

25 The cryostratigraphic approach is commonly used to identify unconformities in permafrost  
26 where these are evidenced by a change in the distribution of cryostructures and ground-ice  
27 content (e.g. French and Shur, 2010; Gilbert *et al.*, 2016). Unconformities in permafrost have  
28 previously been identified as thaw unconformities and attributed to a deeper thaw of permafrost  
29 under past warmer climate conditions and subsequent permafrost re-aggradation (e.g. Burn 1997;  
30 Fortier *et al.*, 2008). In University Valley and other areas in the upper MDV, the permafrost table  
31 is at or near the ground surface; however, the position of the ice table reflects the equilibrium

1 depth between seasonal vapour deposition and sublimation (Fisher *et al.*, 2016).  
2 Characteristically comparable to the permafrost table, the ice table is likely temporally dynamic  
3 and its depth will vary following temperature and humidity changes at the ground surface (Figure  
4 9). According to Fisher *et al.* (2016), the maximum ice-table depth for medium-grained sand,  
5 like that of University Valley, is expected to reach ca. 50 cm when ground-surface relative  
6 humidity ranges from 50 to 60%<sub>ice</sub>.

7 In the five icy permafrost cores, no change in the type and distribution of cryostructures was  
8 observed in the uppermost 1 m (Figure 5). However, a paleo-sublimation unconformity could  
9 potentially be inferred from the abrupt increase in ice content at ca. 40-60 cm, which corresponds  
10 to the maximum predicted ice-table depth (Figure 5). The ground ice in the uppermost ca. 50 cm  
11 of the sediment column can accumulate and sublimate following changes in ground surface  
12 temperature and humidity conditions, although sublimation would be at a slower rate due to pore  
13 ice impeding vapour fluxes. However, the ground ice below 50 cm depth would continuously  
14 accumulate ice at a rate of ca. 0.03 kg m<sup>-2</sup> yr<sup>-1</sup> (Fisher *et al.*, 2016), progressively increasing ice  
15 content over time. Therefore, unlike paleo-thaw unconformities, which can be identified by a  
16 change in the distribution of cryostructures, it does not appear that paleo-sublimation  
17 unconformities can be identified using the same approach.

18

### 19 **Deriving ground ice content from CT scan images**

20 Previous studies inferred that VIC<sub>CT</sub> could be used to estimate the ground-ice content of  
21 permafrost (Delisle *et al.*, 2003; Calmels and Allard, 2004, 2008; Calmels *et al.*, 2008). The  
22 studies were based on frost-susceptible soils that host thick ice lenses or bodies of massive  
23 ground ice, and VIC<sub>CT</sub> was not correlated with measured ground-ice contents. Here, we assessed  
24 the accuracy of VIC<sub>CT</sub> to predict ground-ice contents in the low frost-susceptible sediments of  
25 University Valley. Linear regression analysis revealed that VIC<sub>CT</sub> under-estimated measured  
26 VIC, but VIC<sub>CT</sub> and measured EIC showed a better correlation, approaching the 1:1 line (Figure  
27 6). This is attributed to the pixel resolution of the scan images (0.4 mm) and the relation between  
28 porosity and the distribution of pore-space diameter of the sediments, namely the fraction of  
29 pore-space diameter being lower than the pixel resolution. For example, pore ice in the medium-  
30 grained sand with an average porosity of 33% and a maximum pore space diameter of 0.3 mm  
31 (Figure 3) is not spatially resolvable in CT scan images with a pixel resolution of 0.4 mm.

1 However, EIC in these sediments can be estimated more accurately with  $VIC_{CT}$ , especially when  
2 the dimension of the ice component exceeds the pixel resolution of the CT scan images.

3 Overall,  $VIC_{CT}$  has the potential to estimate the distribution and abundance of ground ice.  
4 However, the accuracy of  $VIC_{CT}$  would depend on: (1) the relation between porosity and the  
5 distribution of pore-space diameter of the sediments; and (2) the type of instrument used for CT  
6 scanning and its associated pixel resolution. For clay and silt, which tend to have high porosities  
7 (>50%; Head, 1992) and pore-space diameters less than the CT scan image resolution,  $VIC_{CT}$   
8 would not be able to detect pore ice, but should be able to detect ice lenses if their dimensions  
9 exceed 0.8 mm (due to mixed pixel effect, the detection of an object being analyzed likely has to  
10 be greater than twice the pixel resolution; Jensen, 2002). For sand, which tends to have porosities  
11 near 30-50% (Head, 1992) and pore-space diameters that approach the resolution of the CT scan  
12 image,  $VIC_{CT}$  would estimate EIC reasonably but underestimate VIC as some pore ice would not  
13 be spatially resolvable. For coarse sand and gravel, with porosities typically less than 30%  
14 (Head, 1992) and pore-space diameters that exceed the image resolution,  $VIC_{CT}$  should provide a  
15 reasonable estimate of VIC given that interstitial ice could be spatially resolved. As such, the  
16 relationship between VIC, EIC and  $VIC_{CT}$  illustrated in Figure 6 should not be applied to all  
17 sediment types; future studies would need to quantify the relation between VIC and  $VIC_{CT}$  to  
18 correct, if necessary,  $VIC_{CT}$  for other sediment types.

19

## 20 **CONCLUSIONS**

21 Based on the analyses of sediment properties, ground-ice content and CT scan images of icy  
22 permafrost cores from University Valley, Antarctica, the following conclusions can be made:

- 23 1) Sediments in the valley were characterized by a medium sand texture, with low fine-grained  
24 content, which classifies them as low to non-frost susceptible. However, the sediments still  
25 contained abundant ground ice, with excess ice and volumetric ice contents that reached 66%  
26 and 82%, respectively.
- 27 2) Volumetric ice content derived from the CT scan images of the permafrost cores under-  
28 estimated measured volumetric ice content, but approached the excess ice content due to the  
29 pixel resolution of the CT scan images, and the relation between porosity and the distribution  
30 of pore space diameter of the sediments.

- 1 3) Analysis of CT scan images of the permafrost cores revealed composite cryostructures that  
2 included the structureless, porous visible, suspended and crustal types. These cryostructures  
3 were observed irrespective of ground-ice origin (vapour deposited or freezing of snowmelt).  
4 Hence, the type and distribution of cryostructures may not be a proxy for the mode of  
5 emplacement of ground ice in low frost-susceptible sediments in ultraxerous environments.
- 6 4) In the ultraxerous environment of University Valley the permafrost table is at or near the  
7 surface, and thaw unconformities have not been observed. However, the ice table, which can  
8 be represented as a sublimation unconformity, typically appears in the uppermost 60 cm of  
9 permafrost. A paleo-sublimation unconformity could not be detected from a change in  
10 cryostructure, but could be inferred from a conspicuous increase in ice content at ~40-60 cm  
11 depth, which corresponds to the maximum predicted ice table depth in the valley.

12

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19

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7

1 **Figure Captions**

2 **Figure 1a.** Hillshade image showing the location of University Valley (black rectangle) in the  
3 Quartermain Mountains of the McMurdo Dry Valleys. The inset map shows the location of the  
4 McMurdo Dry Valleys in Antarctica; **b.** Hillshade image showing the location of core sampling  
5 sites within University Valley; **c.** Photograph illustrating the location of “University Glacier” and  
6 a down-valley view of University Valley; **d.** Photograph illustrating snow in the troughs of sand-  
7 wedge polygons in University Valley; **e.** Map showing the ground surface temperature zones in  
8 University Valley and the location of the four polygons analyzed in this study (from Lacelle *et*  
9 *al.*, 2016). The hillshades were derived from a LiDAR digital elevation model  
10 ([http://usarc.usgs.gov/lida\\_dload.shtml](http://usarc.usgs.gov/lida_dload.shtml)) embedded into a 15 m ASTER digital elevation model  
11 of the upper McMurdo Dry Valleys (<http://asterweb.jpl.nasa.gov/data/asp>). The contours (white  
12 lines) on a, b and e are at 100 m intervals.

13  
14 **Figure 2a.** Grain-size distribution (%) of cores P8-C6, P8-C3, P1-C1, P7-C1 and P6-C5 with  
15 depth; the location of the permafrost table and the ice table is indicated for each core; **b.** Ternary  
16 plot showing the particle-size distribution of the five permafrost cores collected in University  
17 Valley. Gravel ( $\geq 2$  mm), sand ( $< 2$  mm to  $\geq 0.0625$  mm) and fine ( $< 0.0625$  mm) sediments; **c.**  
18 Pore size diameter ( $\mu\text{m}$ ) and cumulative distribution (%) in two representative samples of P8-C3  
19 and P1-C1.

20  
21 **Figure 3.** Examples of the **a.** structureless, **b.** porous visible, **c.** crustal and **d.** suspended  
22 cryostructures observed in the binary images of the five permafrost cores collected in University  
23 Valley. The black tones in the thresholded (-320 to 775 HU) images on the right represent  
24 ground ice and white tones represent all other components; the images on the left represent the  
25 scan image with enhanced brightness and contrast. Images are oriented so that the top of the core  
26 points upward.

27  
28 **Figure 4.** Reconstructions of the CT scan images of vertical sections through cores P8-C3 (42.5  
29 cm long), P8-C6 (40 cm), P7-C1 (27 cm), P6-C5 (71.5 cm) and P1-C1 (70 cm). **i.** Contrast and  
30 brightness adjusted view of core. **ii.** Ice threshold (-320 to 775 HU) applied to core. The darker  
31 tones in each image represent ice. The top of each core is at the top of its respective image.

1

2 **Figure 5.** Ice contents and cryostructure distribution of permafrost cores P8-C3 (a), P8-C6 (b),  
3 P6-C5 (c), P1-C1 (d) and P7-C1 (e) from University Valley. **i.** Amount and distribution of  
4 measured excess ice content (EIC) and volumetric ice content (VIC) compared to volumetric ice  
5 content derived from the stack of -320 to 775 HU thresholded images ( $VIC_{CT}$ ). Cryofacies type  
6 divisions are also indicated; **ii.** Distribution of suspended, structureless, crustal and porous  
7 visible cryostructures. The designation of a cryofacies type at specific depths within the cores  
8 depended on the method used to derive volumetric ice content (i.e. VIC or  $VIC_{CT}$ ). The black  
9 rectangle in **ai.** indicates an imaging error in the stack of CT scan images.

10

11 **Figure 6a.** Relation between measured volumetric ice content (VIC) in the five permafrost cores  
12 and  $VIC_{CT}$  averaged over the same depth interval; **b.** Relation between excess ice content (EIC)  
13 measured in the five permafrost cores and  $VIC_{CT}$  averaged over the same depth interval.

14

15 **Figure 7.** Apparent ice accumulation rates for three cores discussed in this paper (P8-C3, P6-C5  
16 and P1-C1) and three cores discussed in Lapalme *et al.* (2017) (P12, P1-C2 and P6-C3) located  
17 in the perennially cryotic zone and seasonally non-cryotic zone. The two cores taken from the  
18 intermediate mixed zone (P6-C3 and P6-C5) are grouped in the perennially cryotic zone as their  
19 ground ice originated from vapour deposition. Accumulation rates from a drained lake basin and  
20 hummocky terrain in northwest Canada (O'Neil and Burn, 2012; and reference therein) have  
21 been added for comparison.

22

23 **Figure 8.** Schematic diagram illustrating the transition, through time, from dry sediment to icy  
24 permafrost in University Valley. The sketch depicts a dry sand that is subsequently filled with  
25 pore ice and then subjected to thermal and elastic stresses. This results in an increase of the  
26 available pore space, which then becomes partially filled with new ice (either from vapour  
27 deposition or infiltration of partially evaporated snow meltwater).

28

29 **Figure 9.** Schematic diagram illustrating a permafrost column with a dry soil layer between the  
30 permafrost table and the ice table, typical of soils in the upper McMurdo Dry Valleys of

1 Antarctica. The depth at which a paleo-sublimation unconformity may be observed is also  
2 indicated.

3

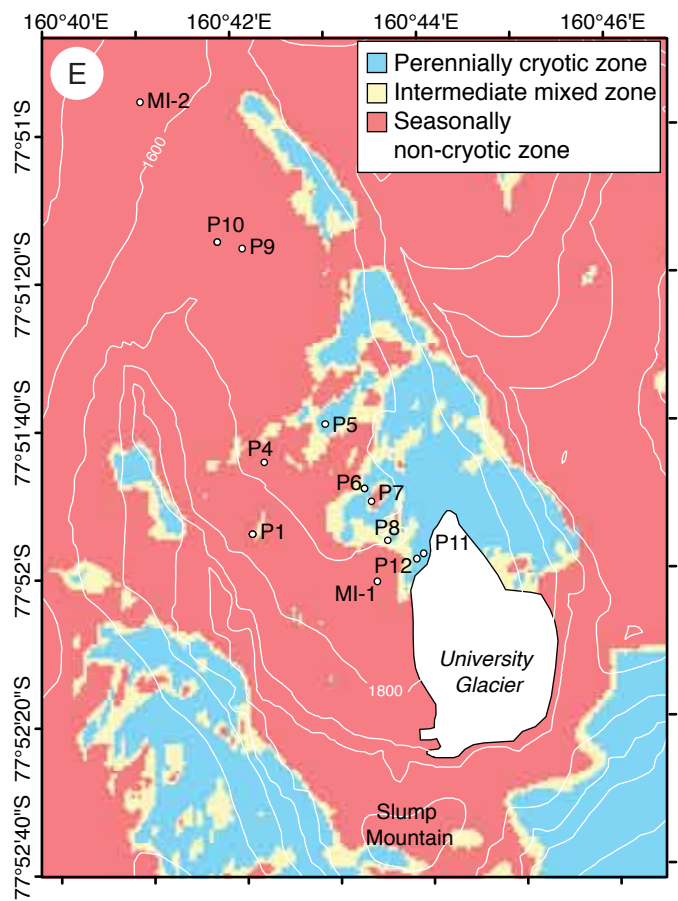
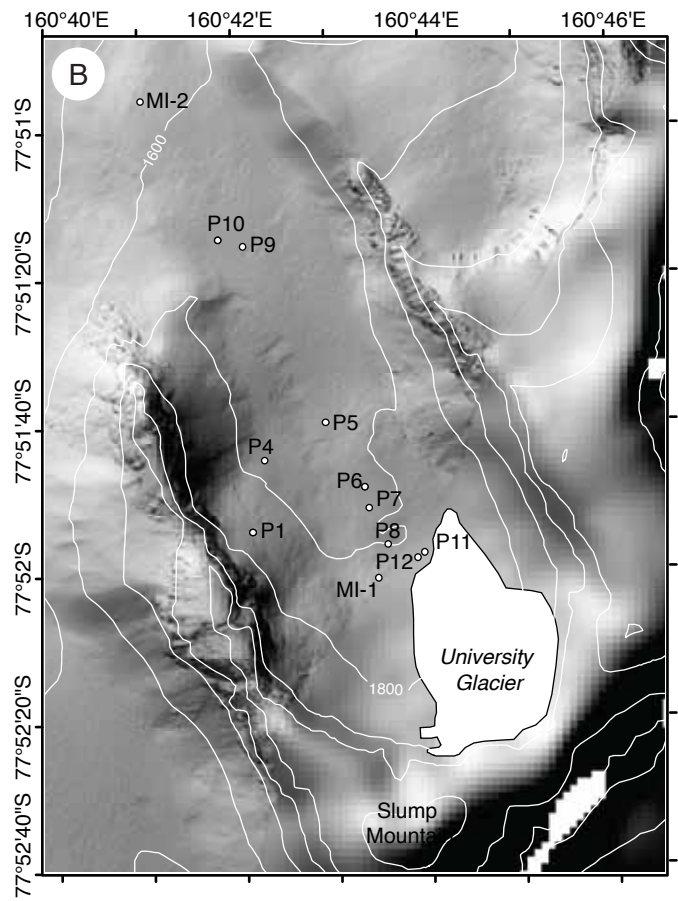
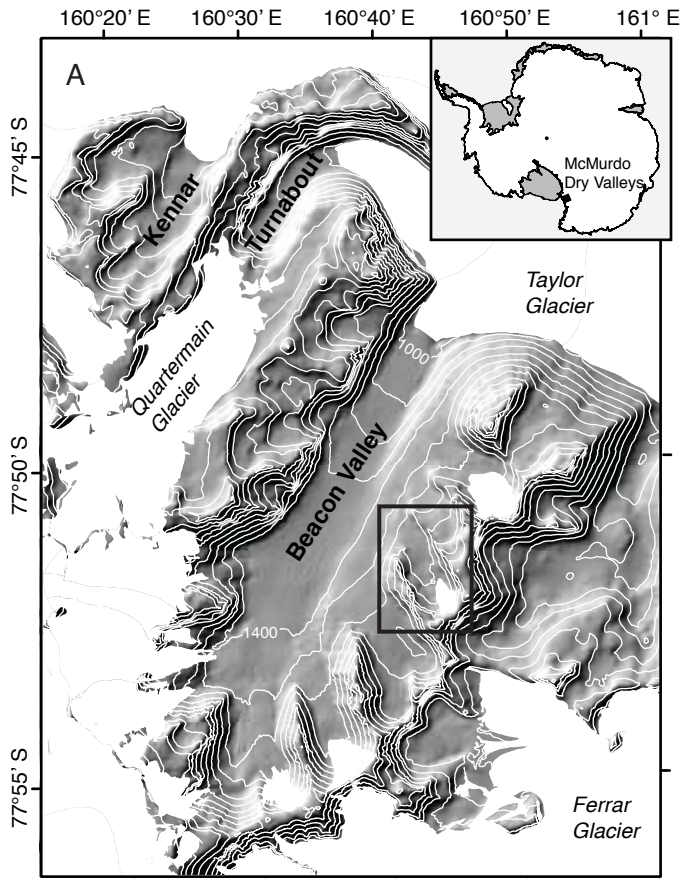
4

5 **Table 1.** Details of the five ice-bearing permafrost cores collected from University Valley,  
6 McMurdo Dry Valleys of Antarctica.

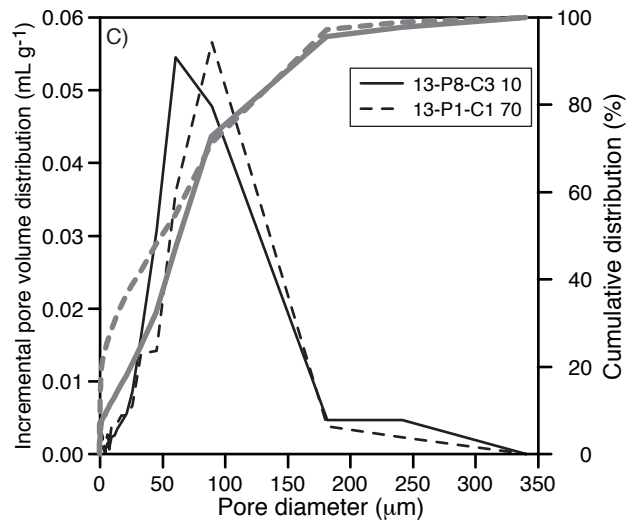
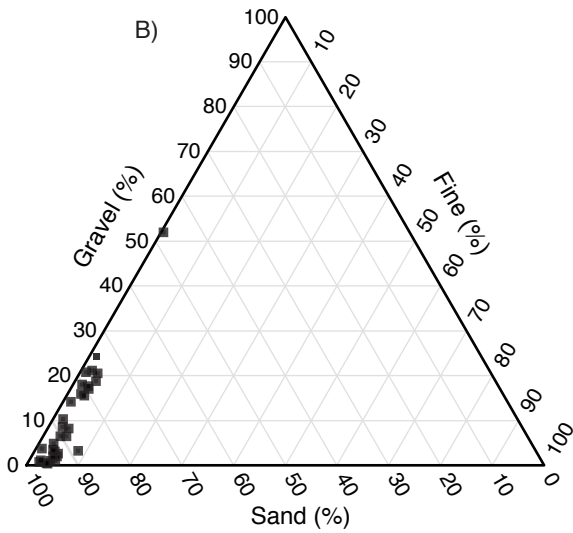
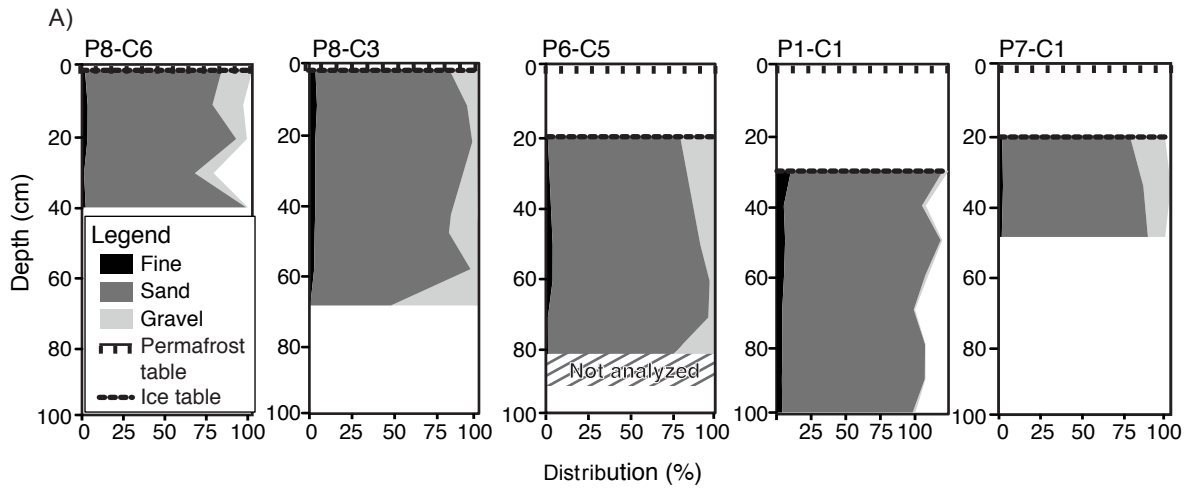
7

Core ID	Latitude (South)	Longitude (East)	Ice table depth (cm)	Length of core collected in field (cm)	Length of core analyzed by CT scan (cm)	Ground temperature zone	Surficial sediments
13-P8-C3	77.86563	160.72627	2	68	42.5	PCZ	Colluvium
13-P8-C6	77.86563	160.72627	2	40	40	PCZ	Colluvium
13-P7-C1	77.86422	160.72297	22	27	27	NCZ	Alpine drift
13-P6-C5	77.86341	160.72222	20	71.5	71.5	IMZ	Alpine drift
13-P1-C1	77.86508	160.70158	30	107	70	NCZ	Alpine drift

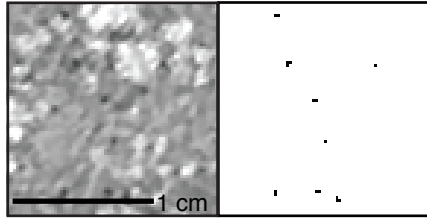
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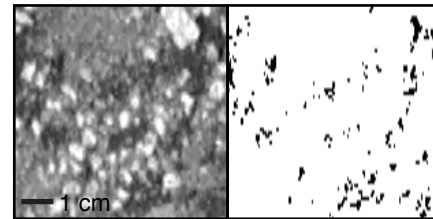
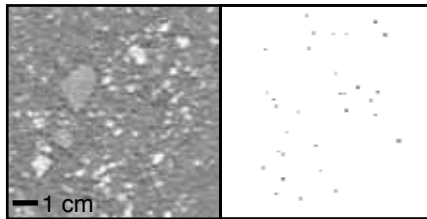
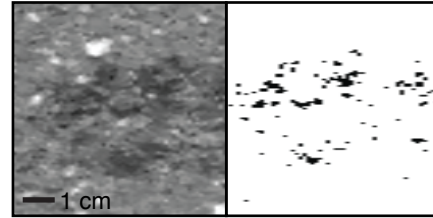




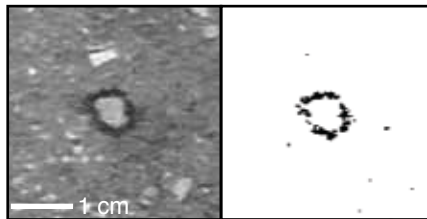
A) Structureless



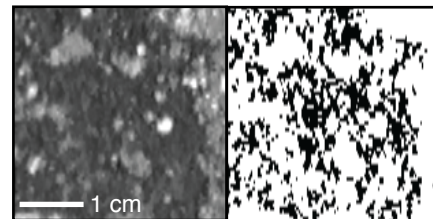
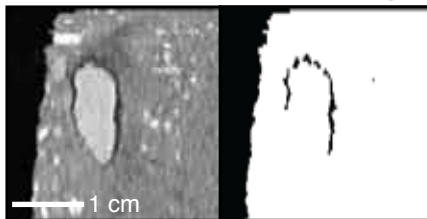
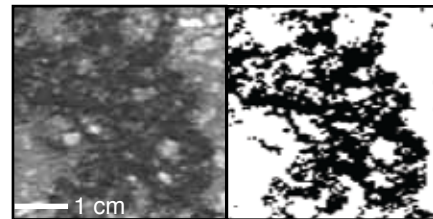
B) Porous visible

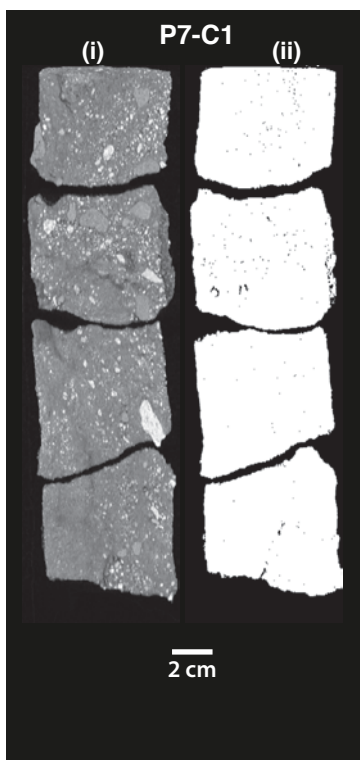
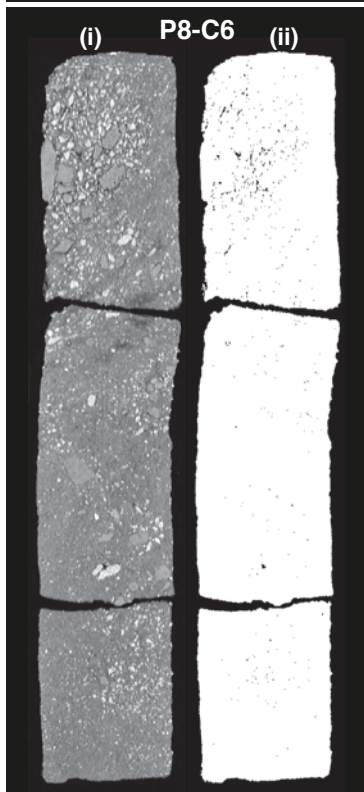
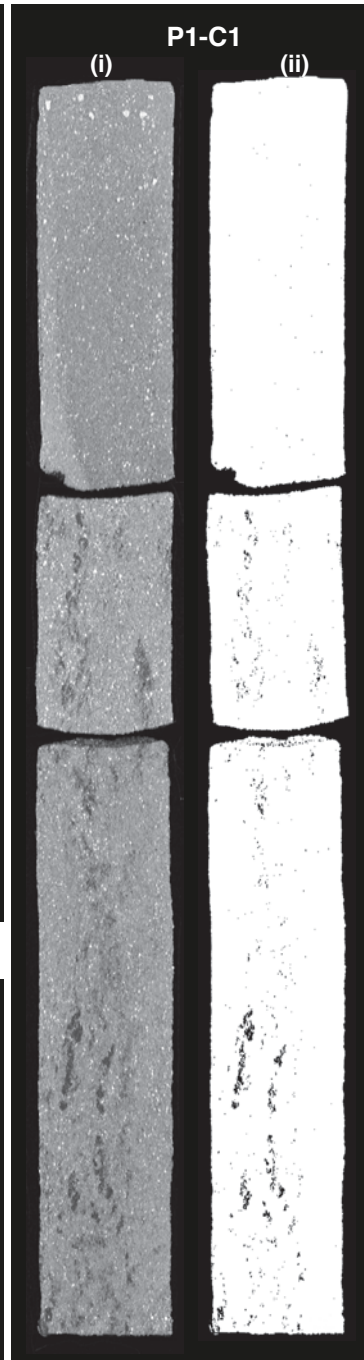
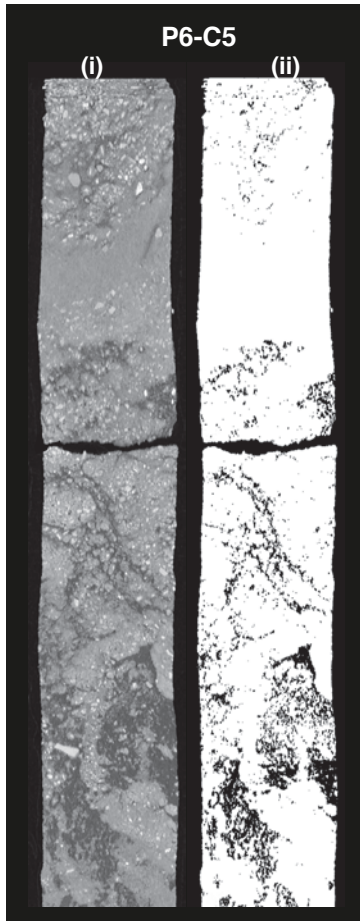
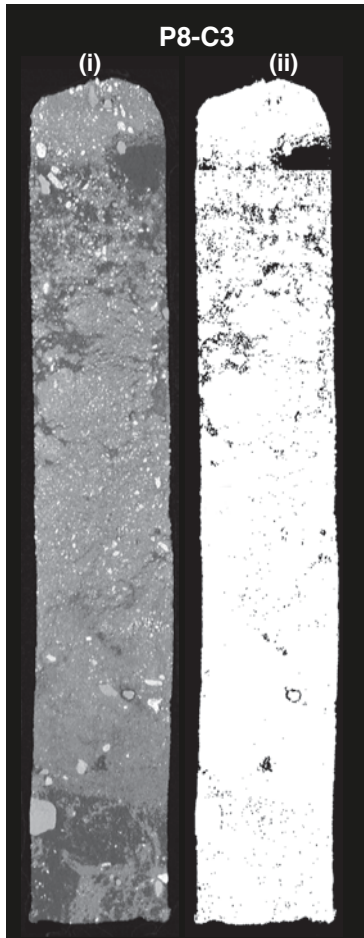


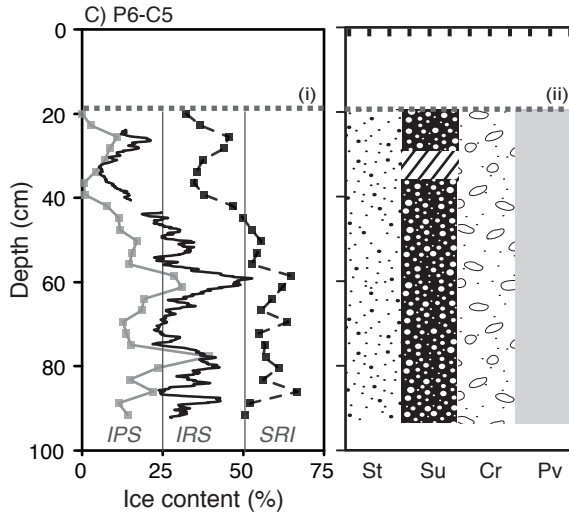
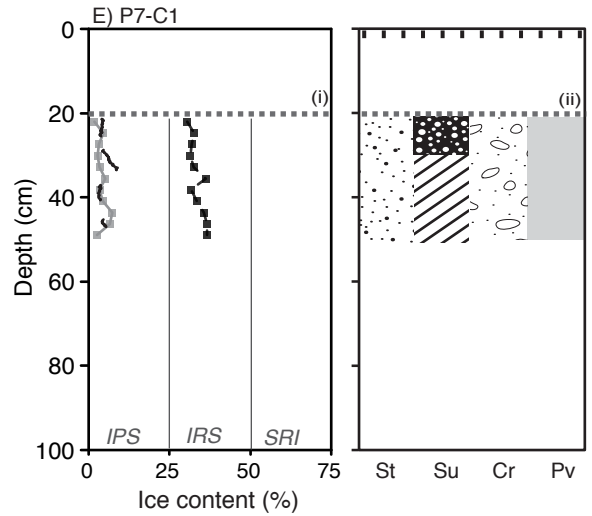
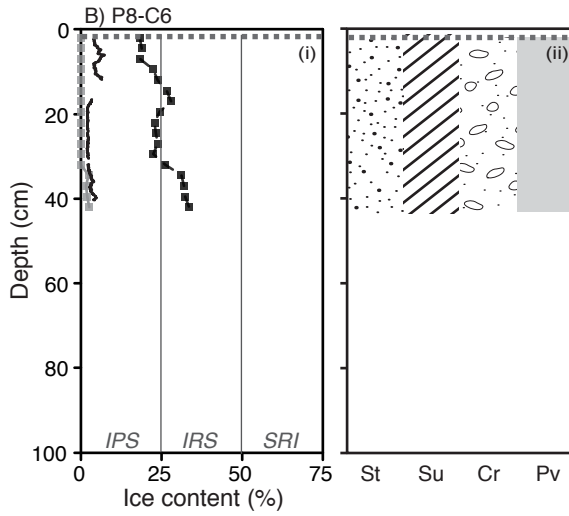
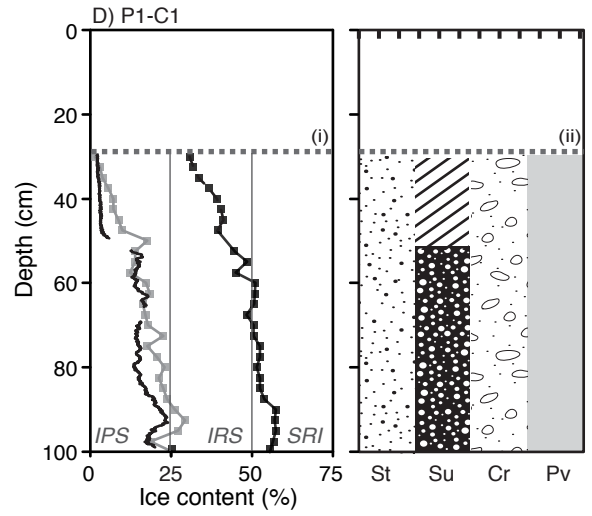
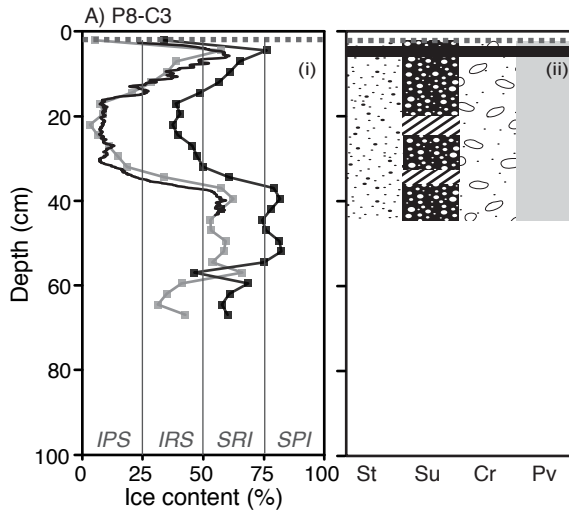
C) Crustal



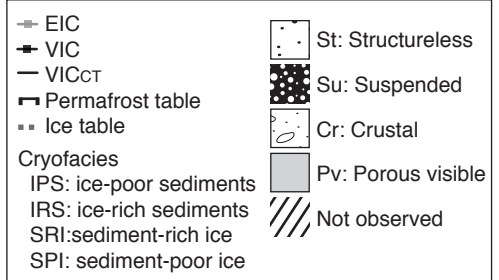
D) Suspended

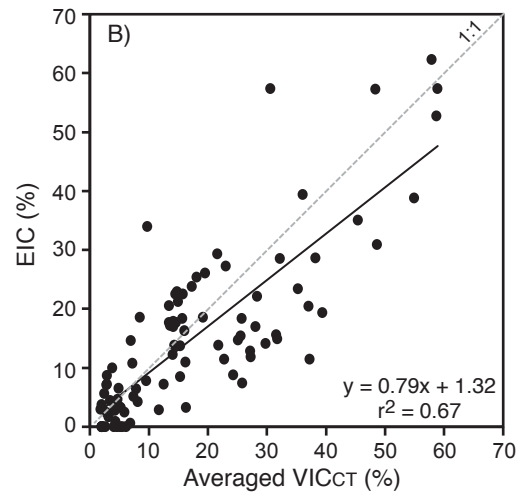
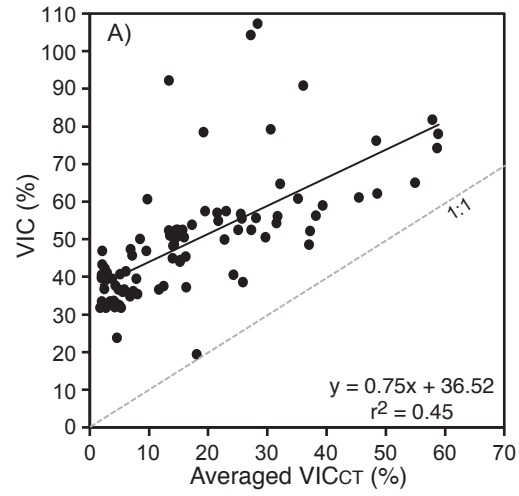


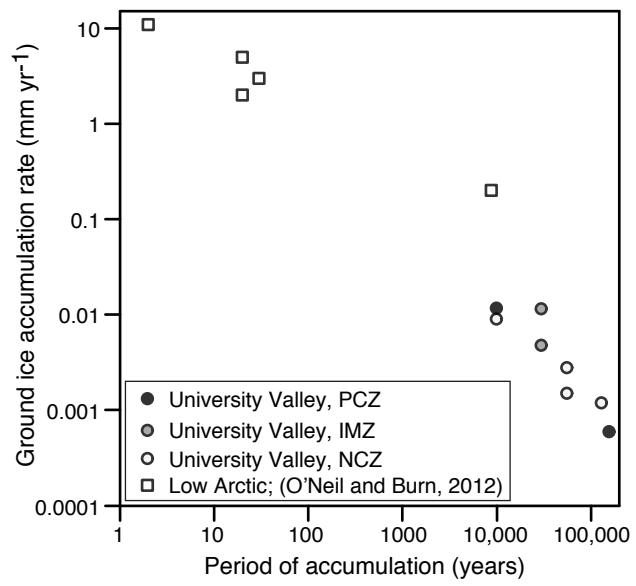




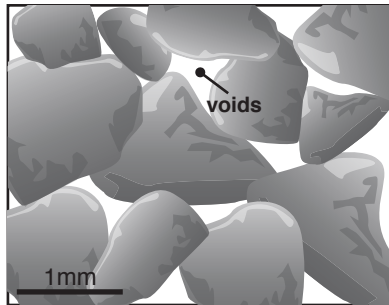
Legend



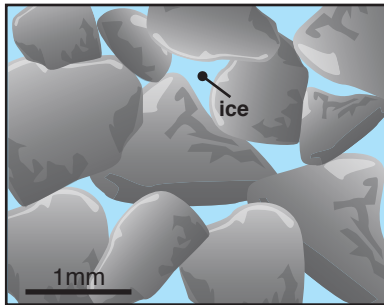




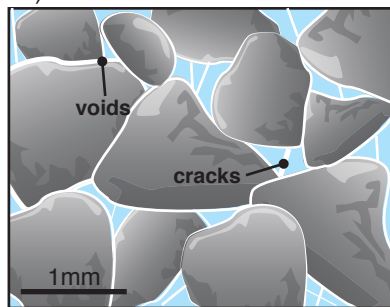
A) Dry sediments



B) Ice-cemented sediments



C) Thermal contraction of ice



D) Development of excess ice

