

# Holographic Optical Method for Exoplanet Spectroscopy



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*We're looking for a pale blue marble, not too hot, not too cold but just right for the three phases of water. We're looking for exoplanets in the Goldilocks zone.*

But we're not looking simply for water.  
We're looking for some porridge, some key organics....



How can we do  
that if the exo-  
planet occupies  
little more than  
one pixel in our  
science camera?





The problem of imaging exoplanets is daunting. Resolving earth at a distance of 10 light years is like seeing my hand at a distance from San Francisco to New York City.

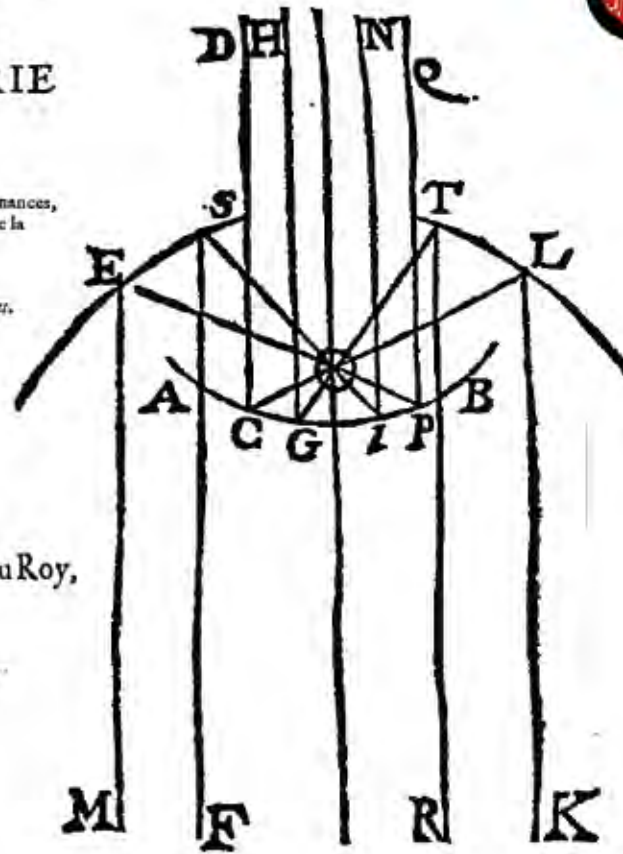


# HARMONIE

UNIVERSELLE,  
CONTENANT LA THEORIE  
ET LA PRATIQUE  
DE LA MUSIQUE.

Où il est traité de la Nature des Sons, & des Mouuemens, des Consonances,  
des Dissonances, des Genres, des Modes, de la Composition, de la  
Voix, des Chants, & de toutes sortes d'Instrumens  
Harmoniques.

Par F. MARIN MERSENNE de l'Ordre des Minimes.



A PARIS,

Chez SEBASTIEN CRAMOISY, Imprimeur ordinaire du Roy,  
rue S. Jacques, aux Cicognes.

M. DC. XXXVI.

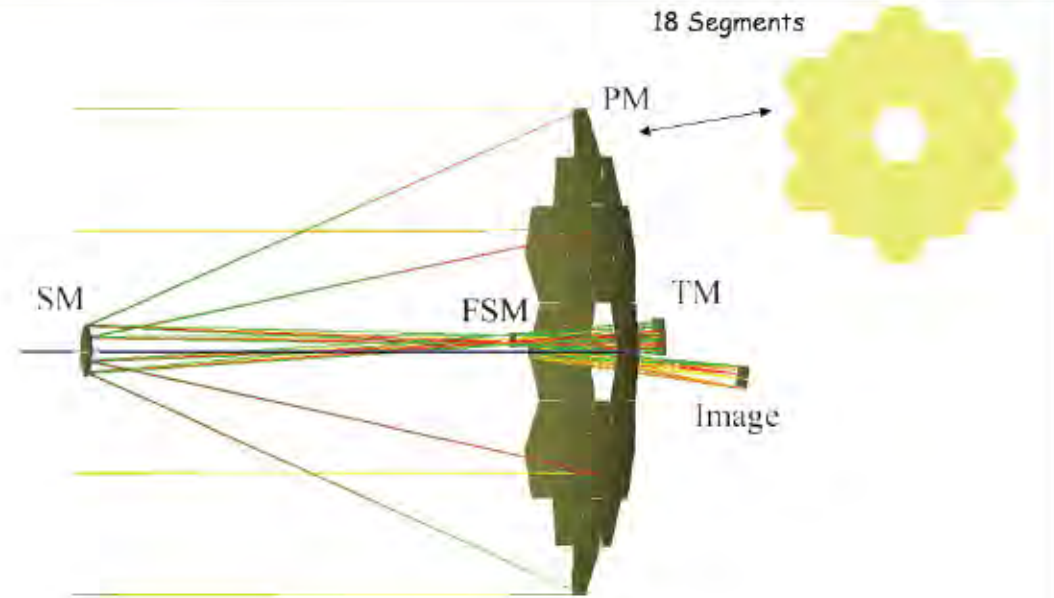
Avec Privilège du Roy, & Approbation des Docteurs.

1636

We're looking through telescopes that haven't changed in basic concept in over 350 years. Mirror telescopes are too small, too rigid and suffer from excessive aerial mass.

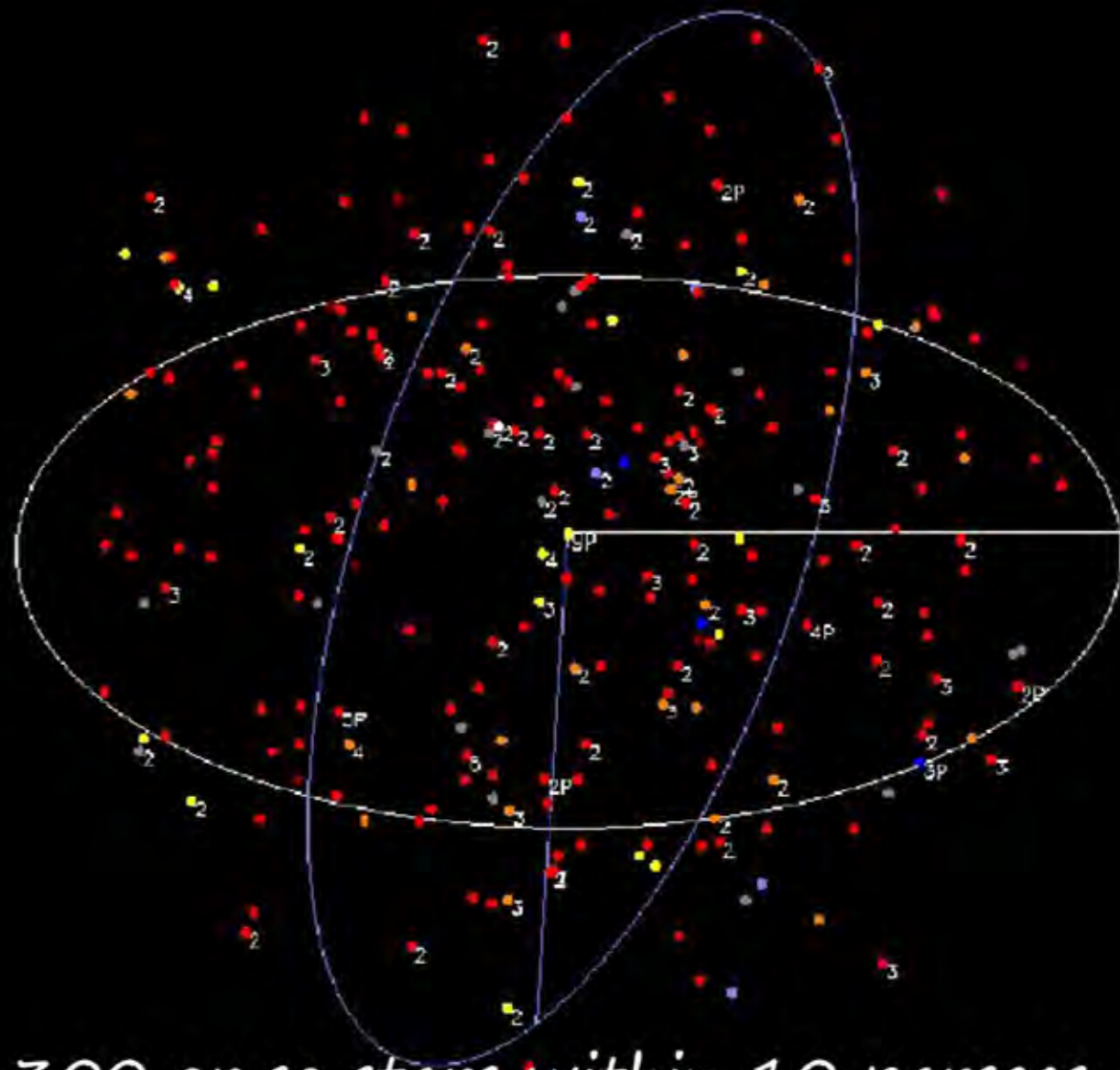


Optical Design of JWST



Three-Mirror-Anastigmat (TMA) wide-field telescope design

2018



Here are the 300 or so stars within 10 parsecs – our stellar neighborhood. We can see them. However, there is no way to see their planets, because stars are 1 billion times brighter and a mere 100 milliarcseconds separate from their planets.

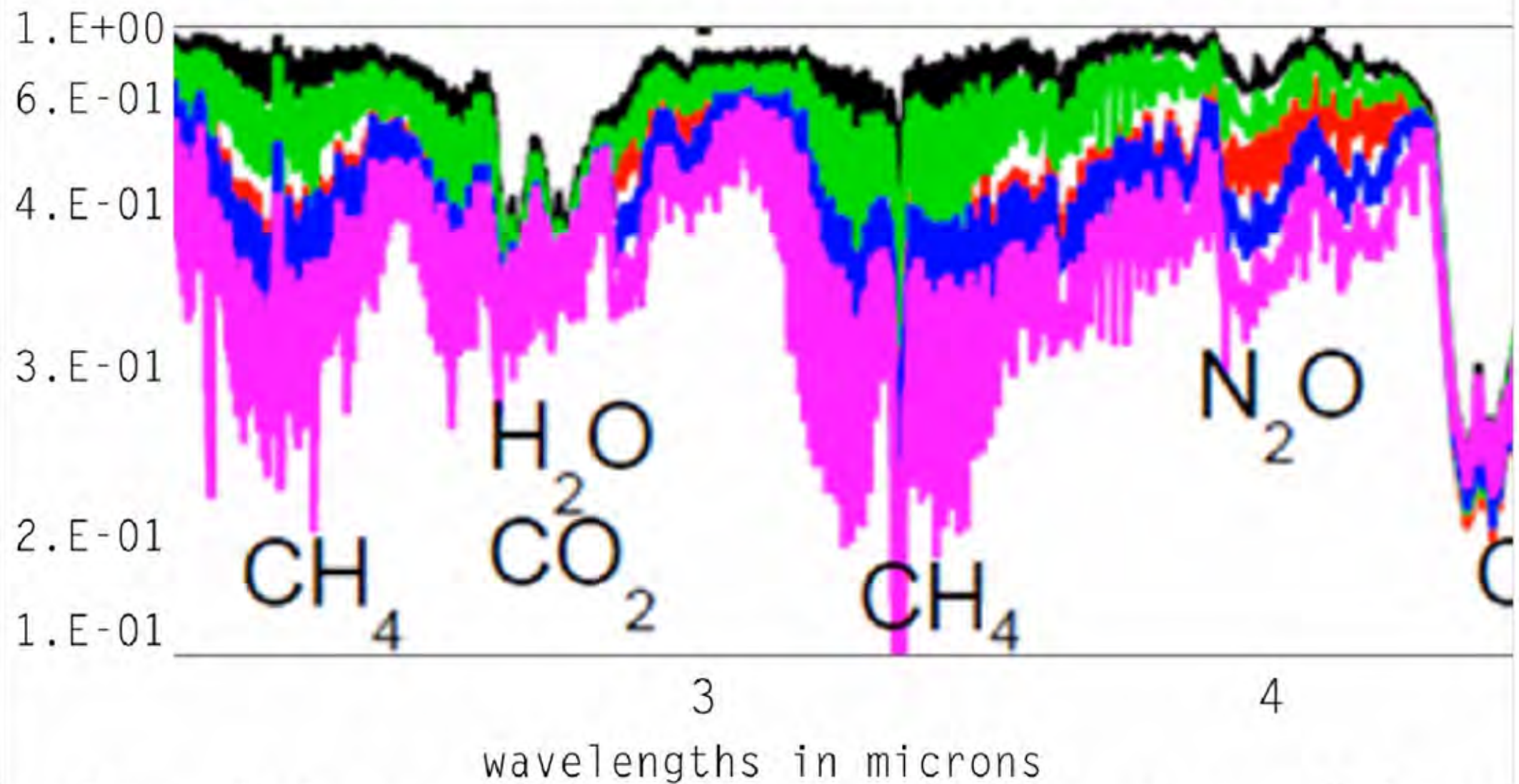




Exoplanets are as faint as the most distant objects ever detected. To make this image, the Hubble made 2000 observations over 556 hours pointed at an extremely dark field. Brighter objects in the field would have swamped the sensors.

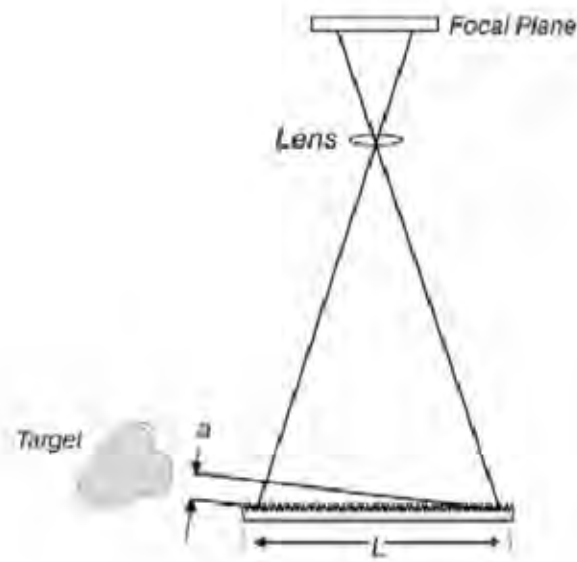


## Synthetic Spectra of an Earth-like Planet

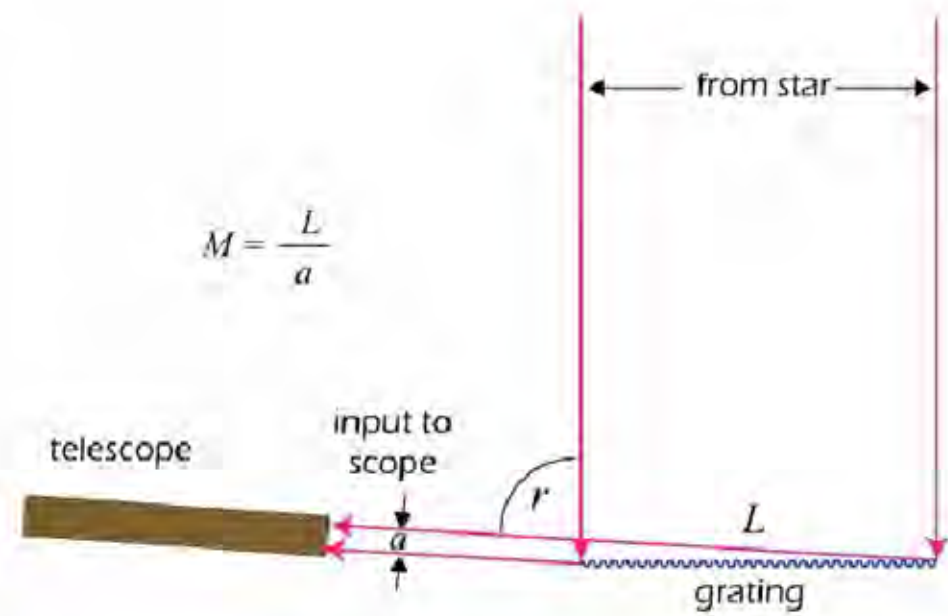


*Even if we do image an exoplanet on a pixel or two and determine it exists in the habitable zone of its solar system, we cannot declare it habitable without taking its spectrum to find signatures.*





## microscope



## telescope

To take a spectrum we need a powerful diffraction grating. The text-books do not teach using a diffraction grating as a primary objective, but we built a microscope with a diffraction grating primary. The microscope works on grazing incidence where magnification is in proportion to the length of a grating  $L$  to  $a$ , the incidence aperture. A revolutionary telescope design based grazing exodus rather than grazing incidence would enjoy the same leverage as the microscope.

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AND NOT THE DETAILS.

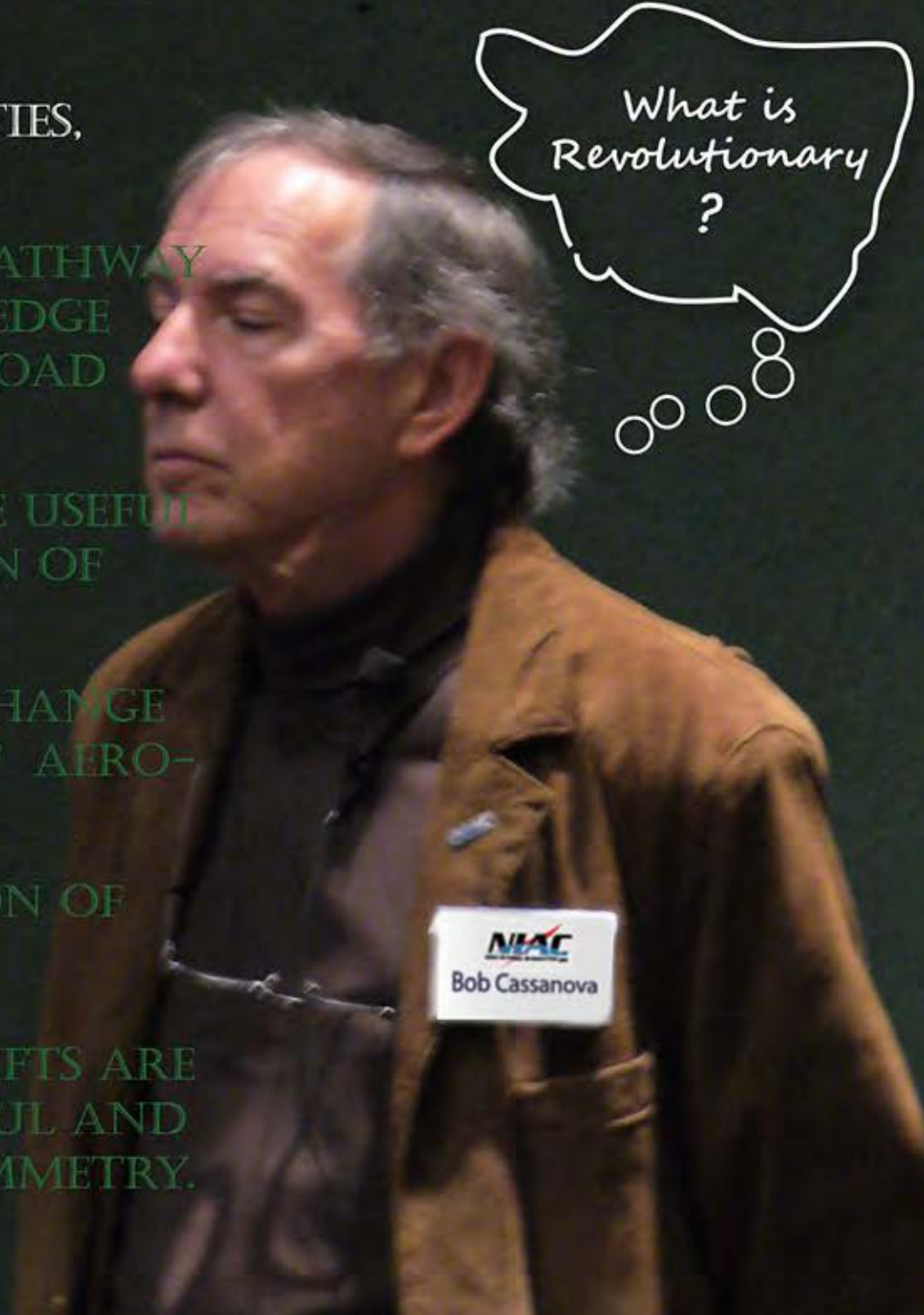
2. THE NEW IDEA ILLUMINATES A PATHWAY  
TOWARD AN EXPANSION OF KNOWLEDGE  
AND MAY ADDRESS A SIGNIFICANT ROAD  
BLOCK.

3. IT INSPIRES OTHERS TO PRODUCE USEFUL  
SCIENCE AND FURTHER ELABORATION OF  
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4. IT CONTRIBUTES TO A MAJOR CHANGE  
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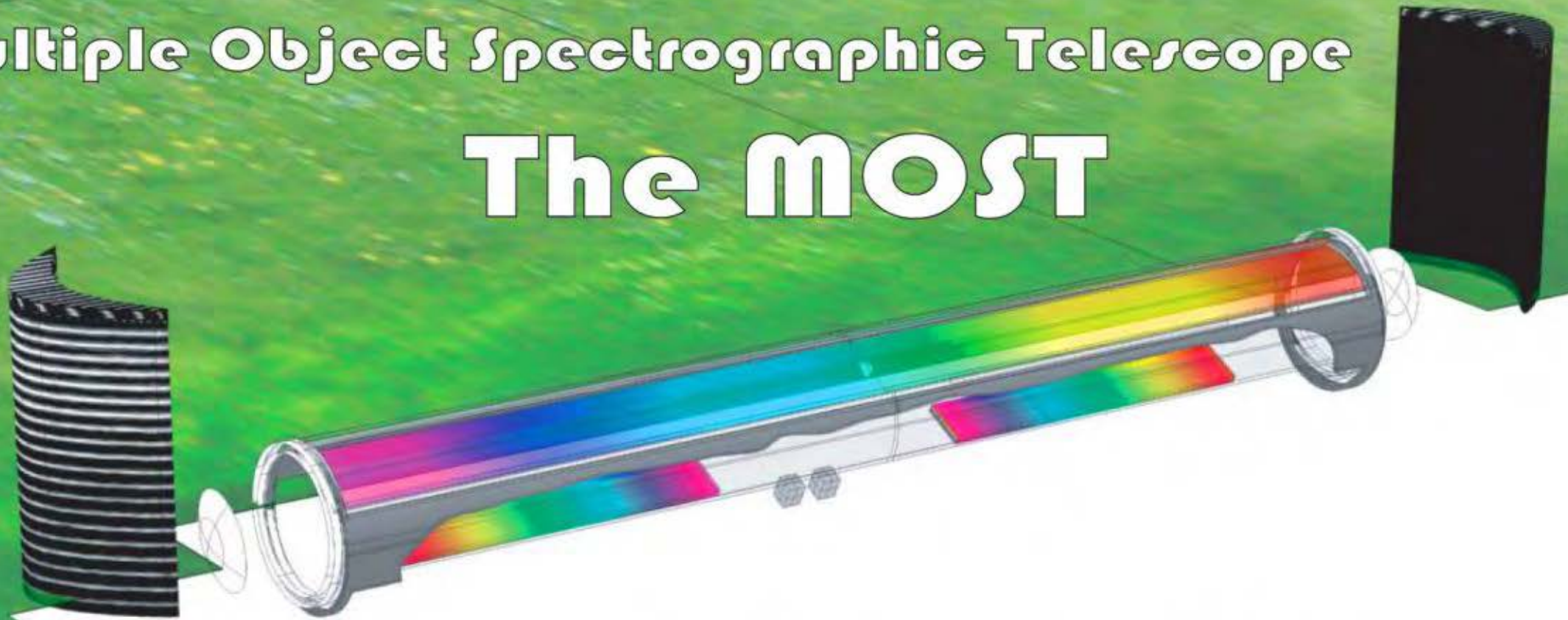


What is  
Revolutionary  
?

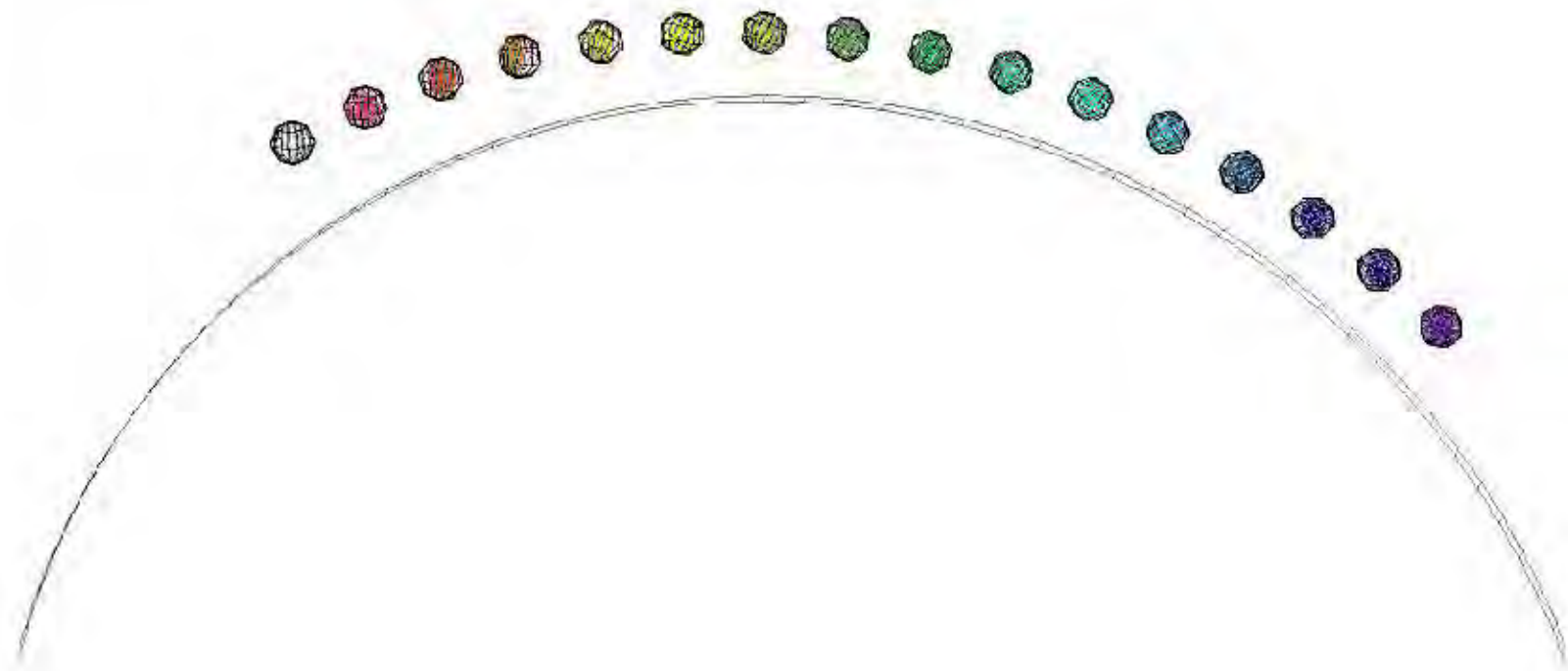
**NIAC**  
Bob Cassanova

Multiple Object Spectrographic Telescope

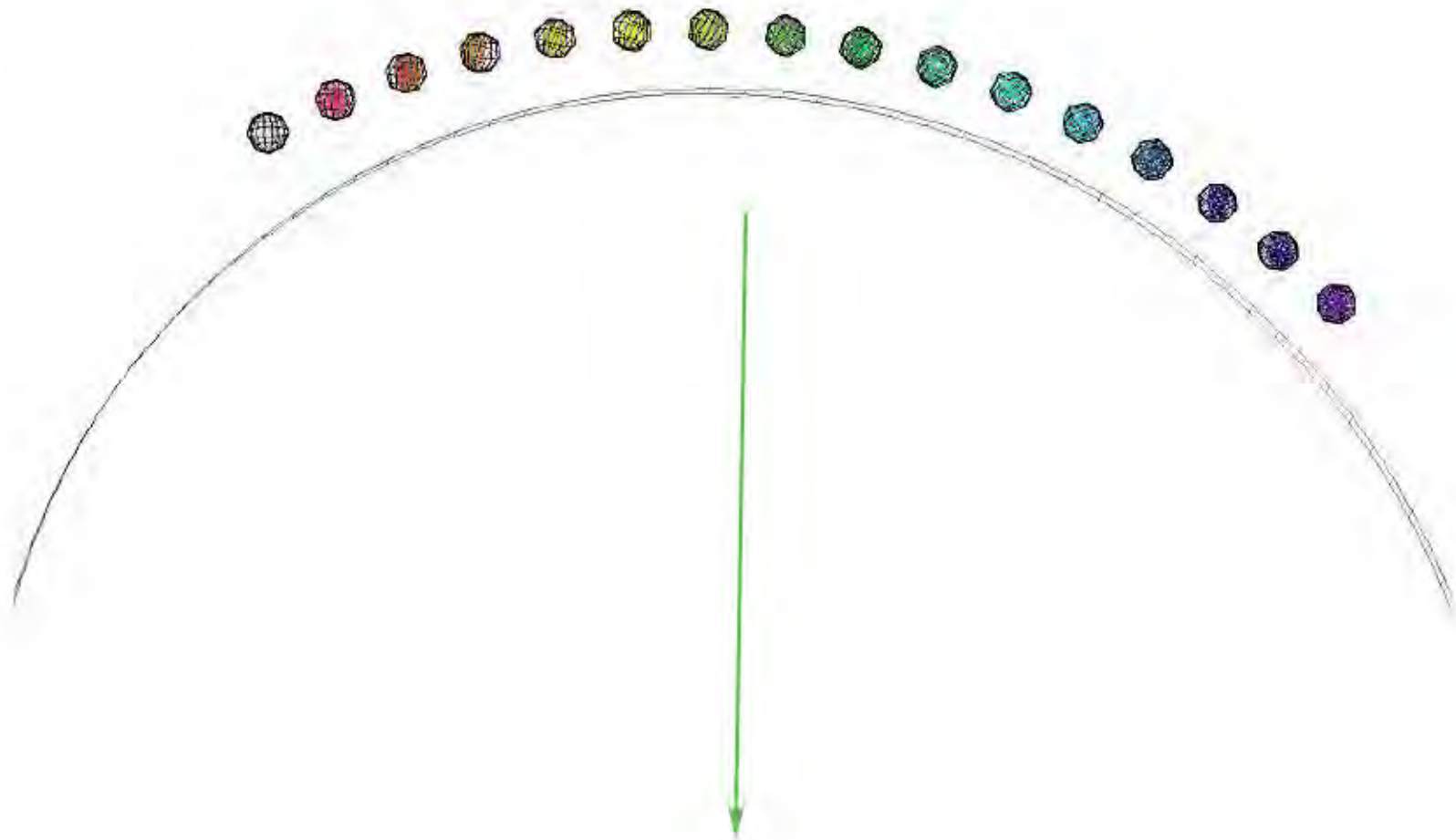
**The MOST**



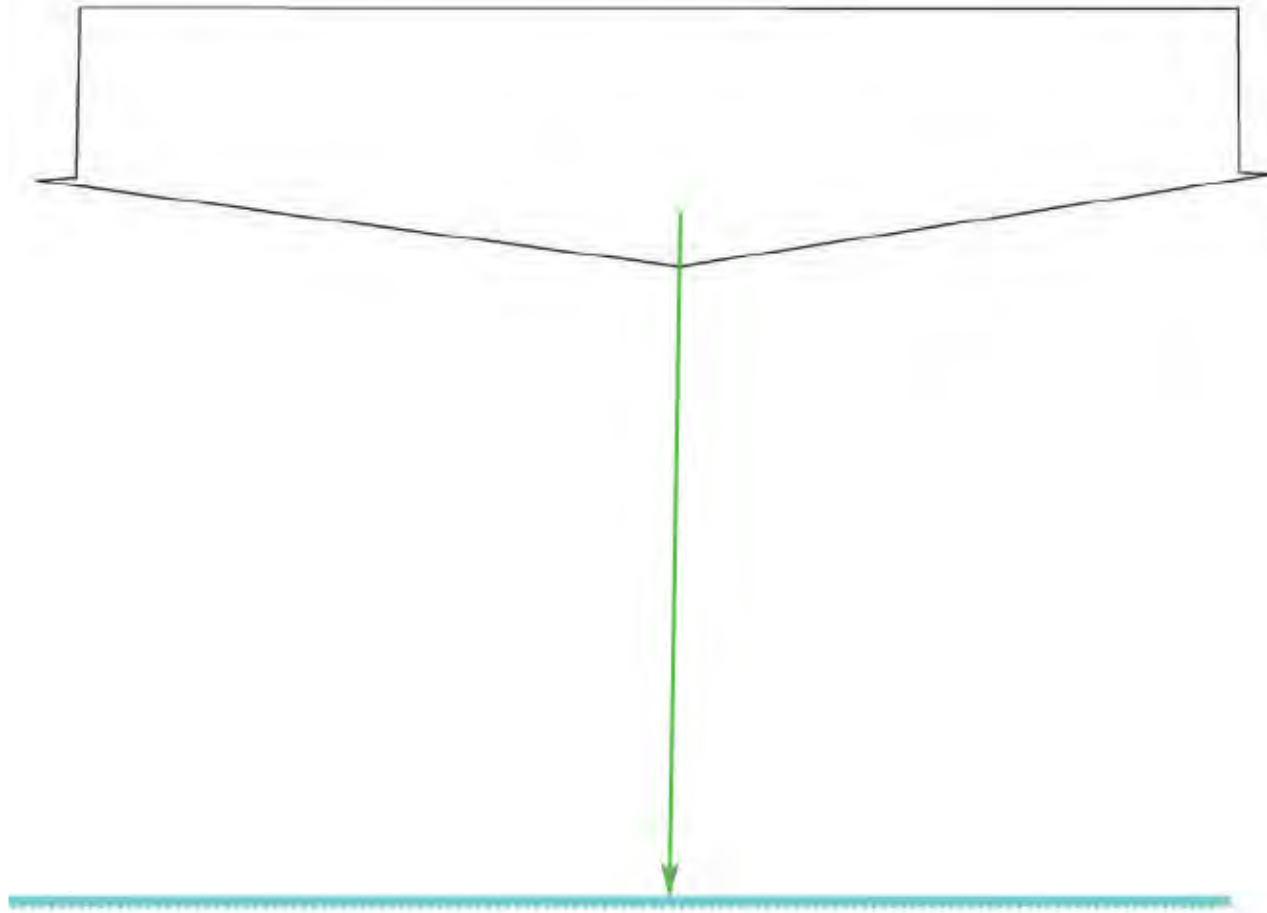
*First Principles*



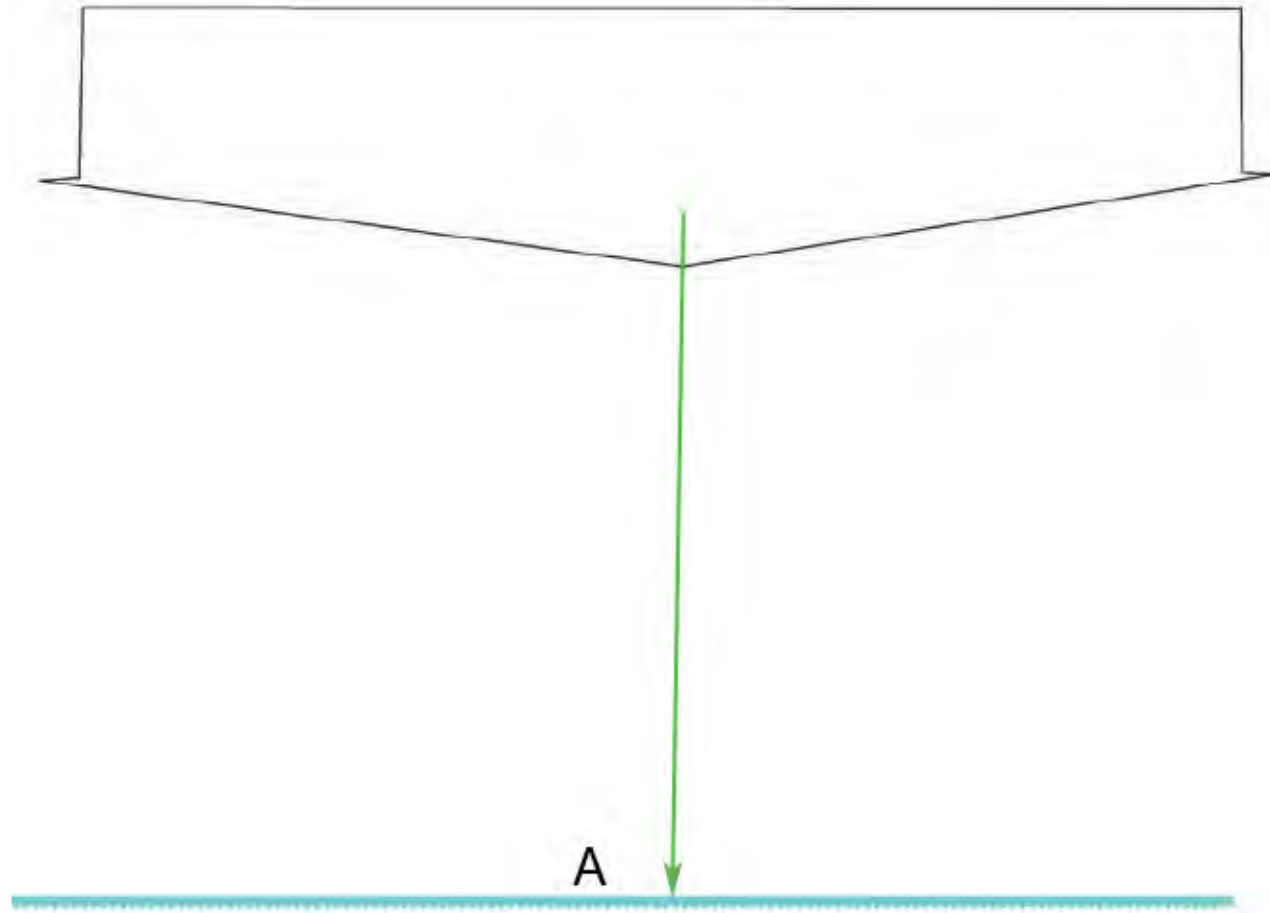
*A source of distant illumination, say a star, precesses.  
How can it be made to progress through its spectrum?*



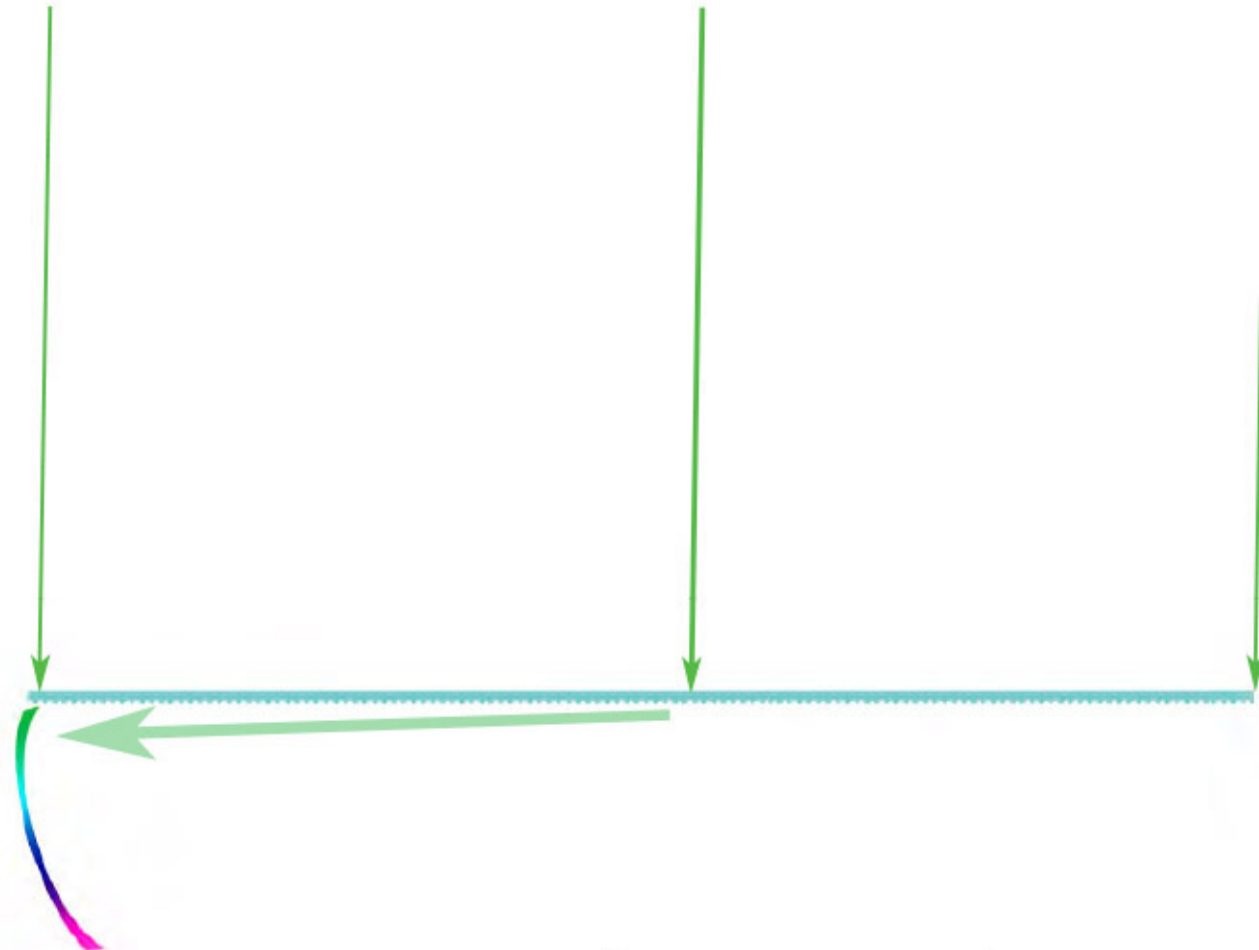
*Consider an object at the zenith.*



*Light from the source arrives as plane wave.*

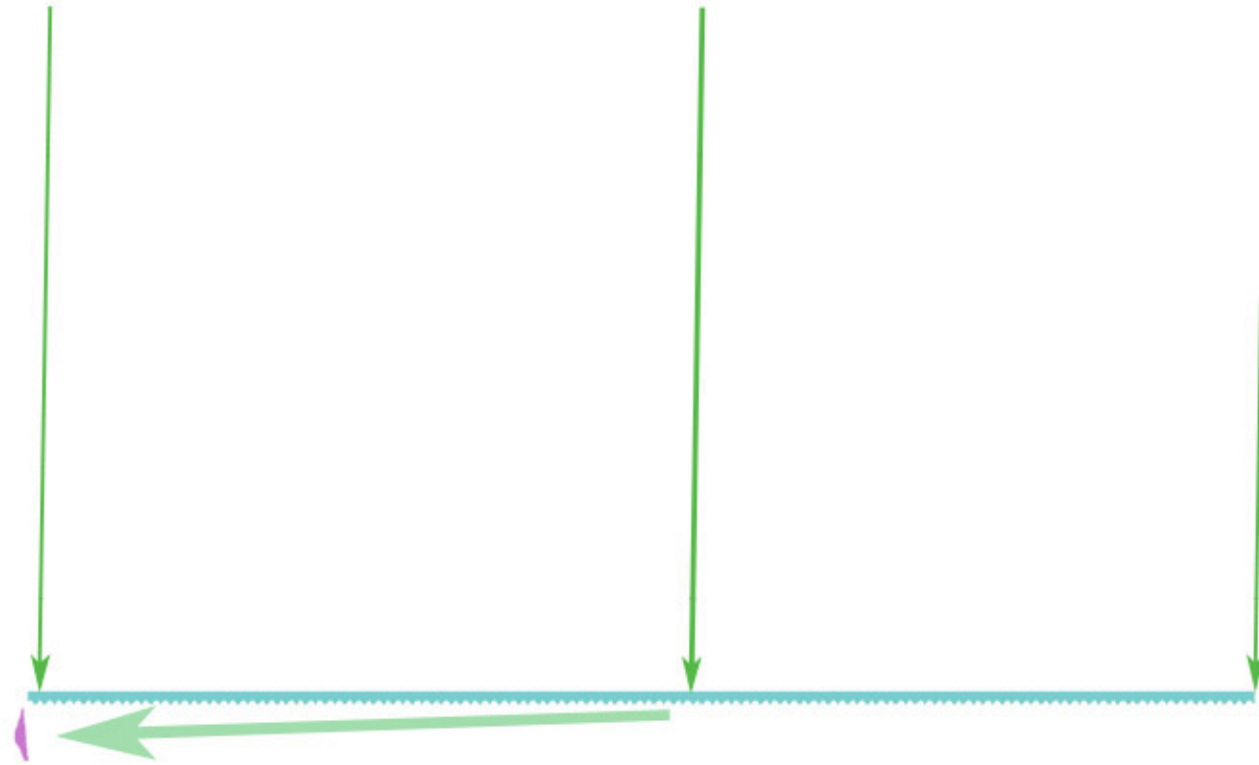


*The plane wave strikes plane grating A.*

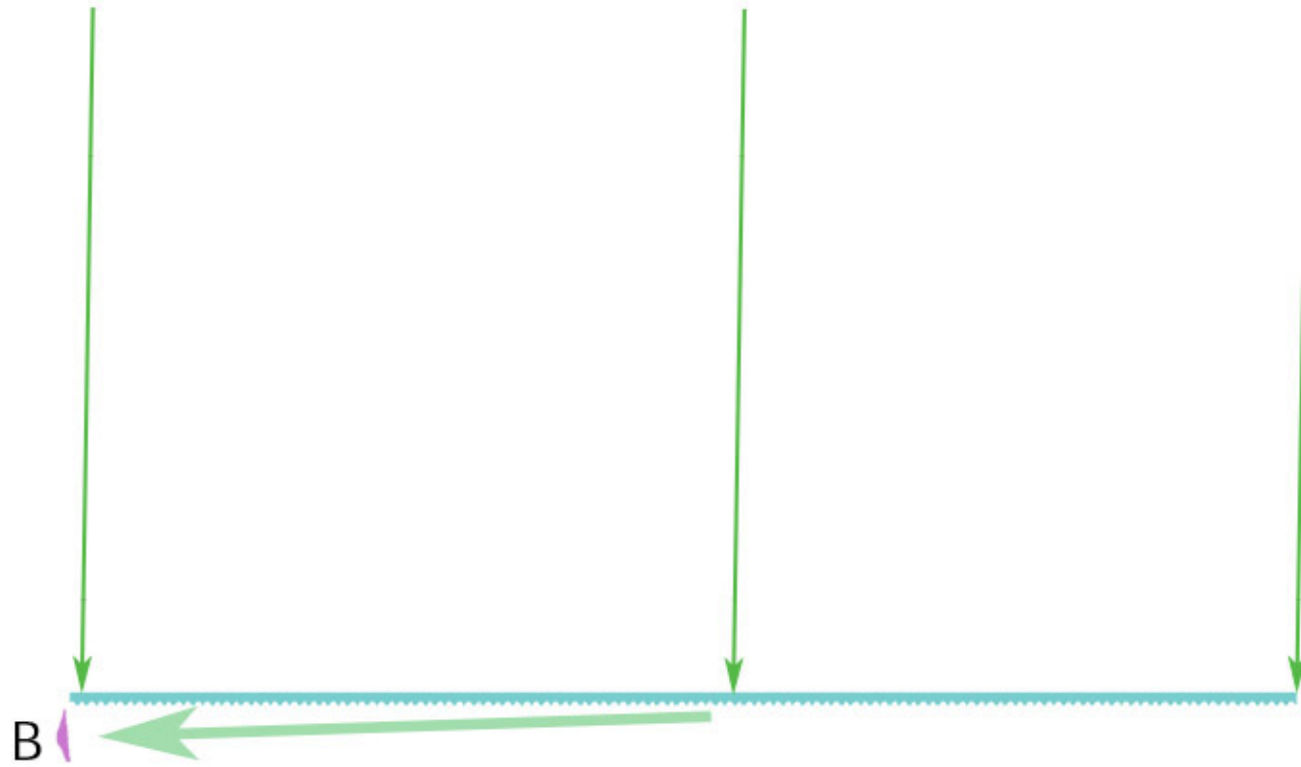


Light is dispersed from the plane grating at angles inversely proportional to wavelength.

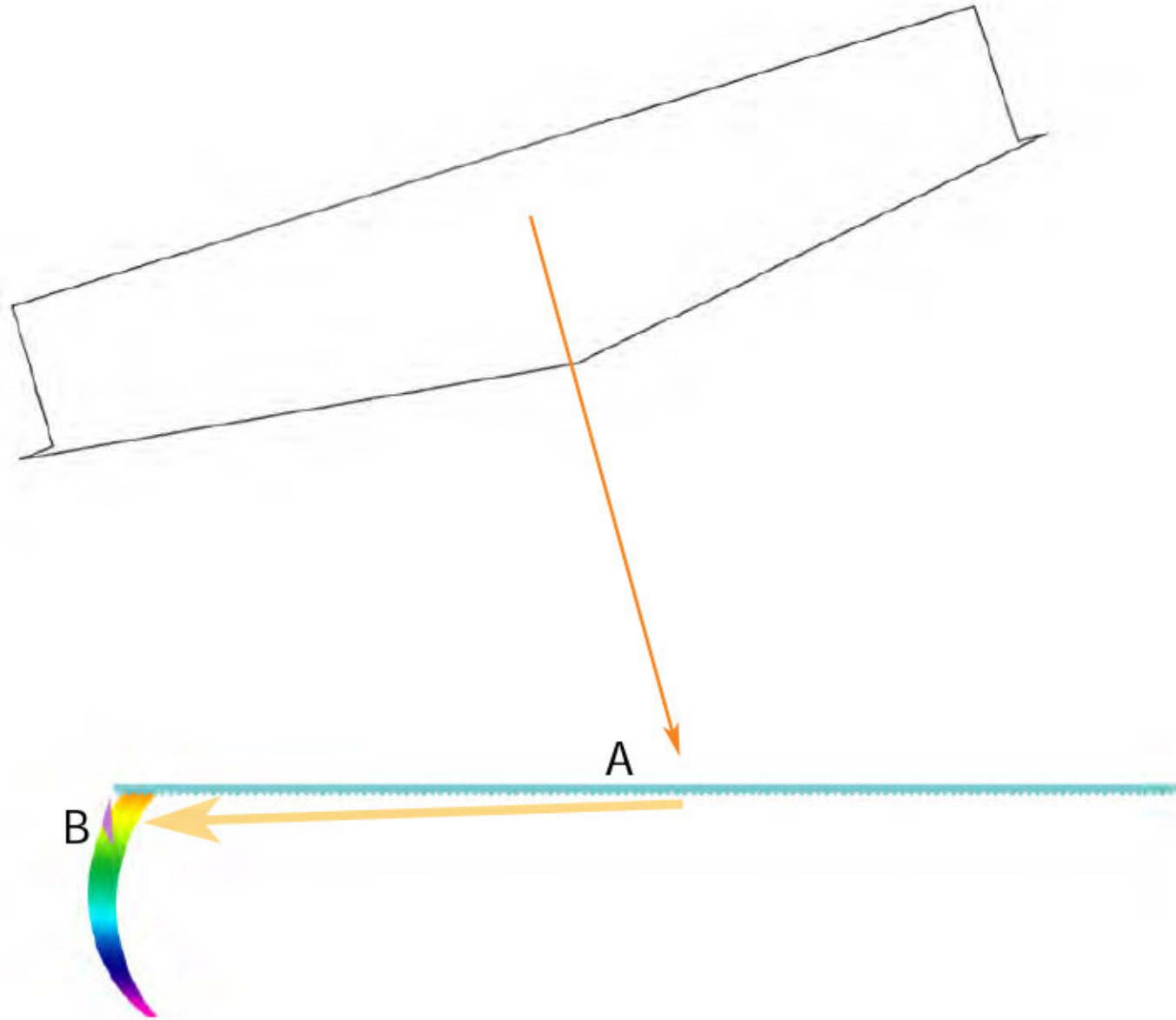




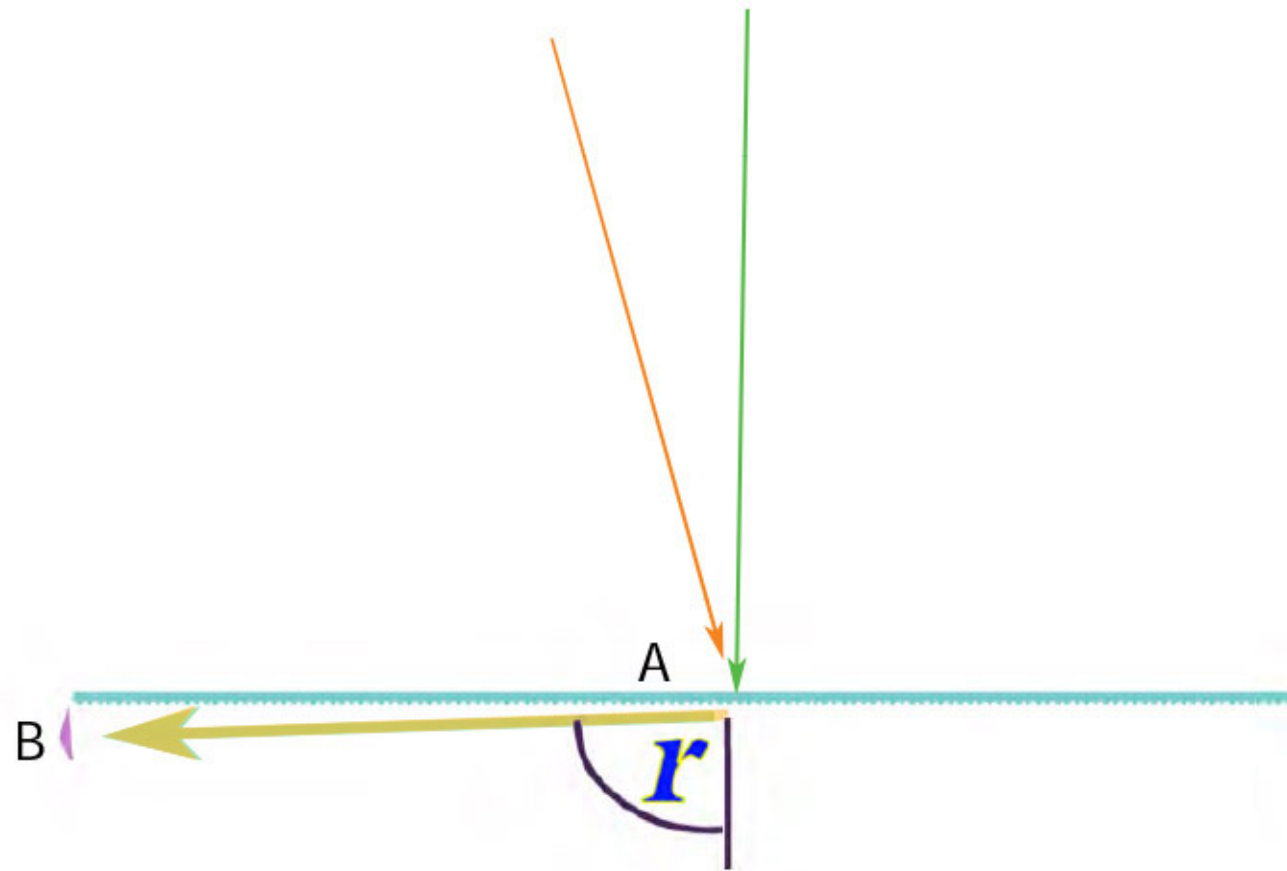
*Diffraction gratings reconstruct the plane wave at a new angle where it can be collected .*



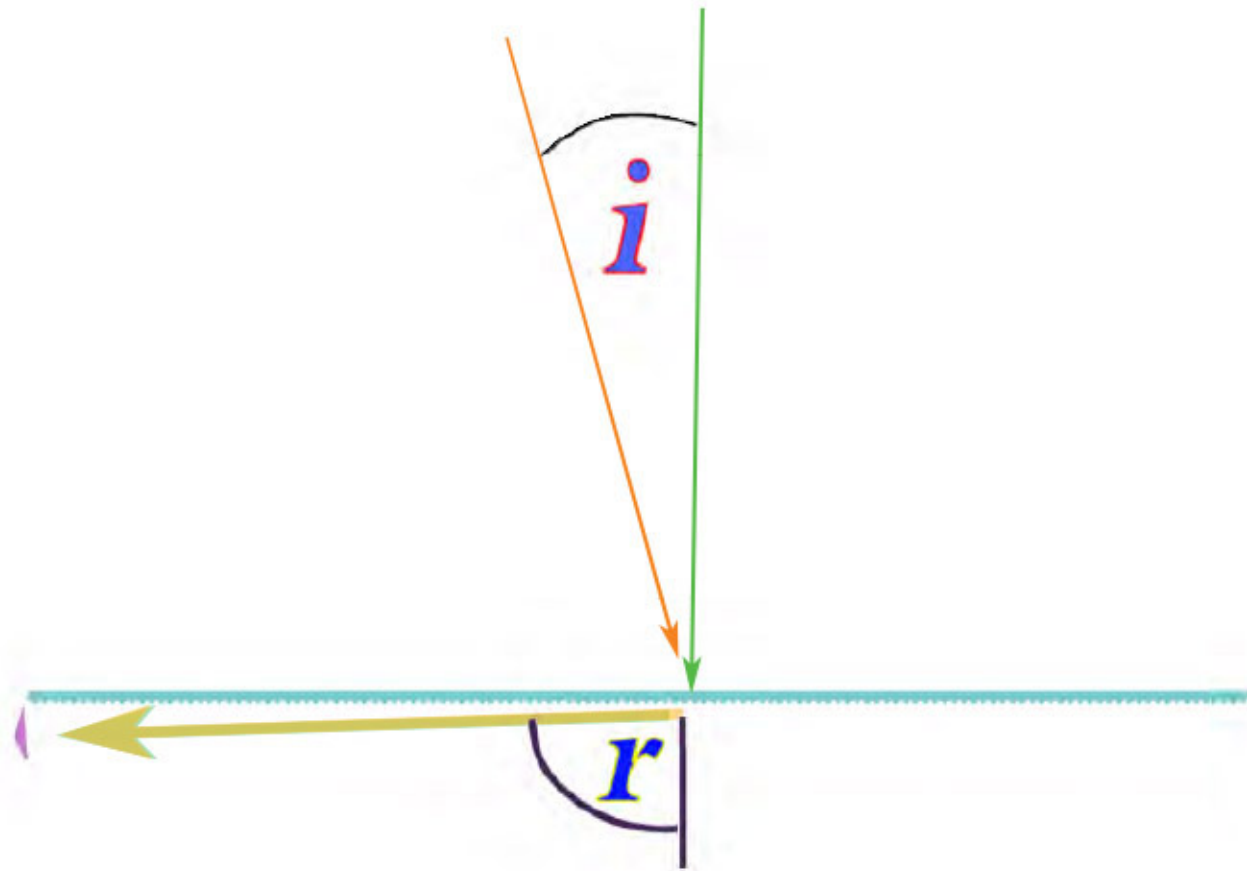
*A specific wavelength is dispersed to parabolic mirror B.*



For another angle of incidence upon grating A parabolic mirror B will collect another wavelength.

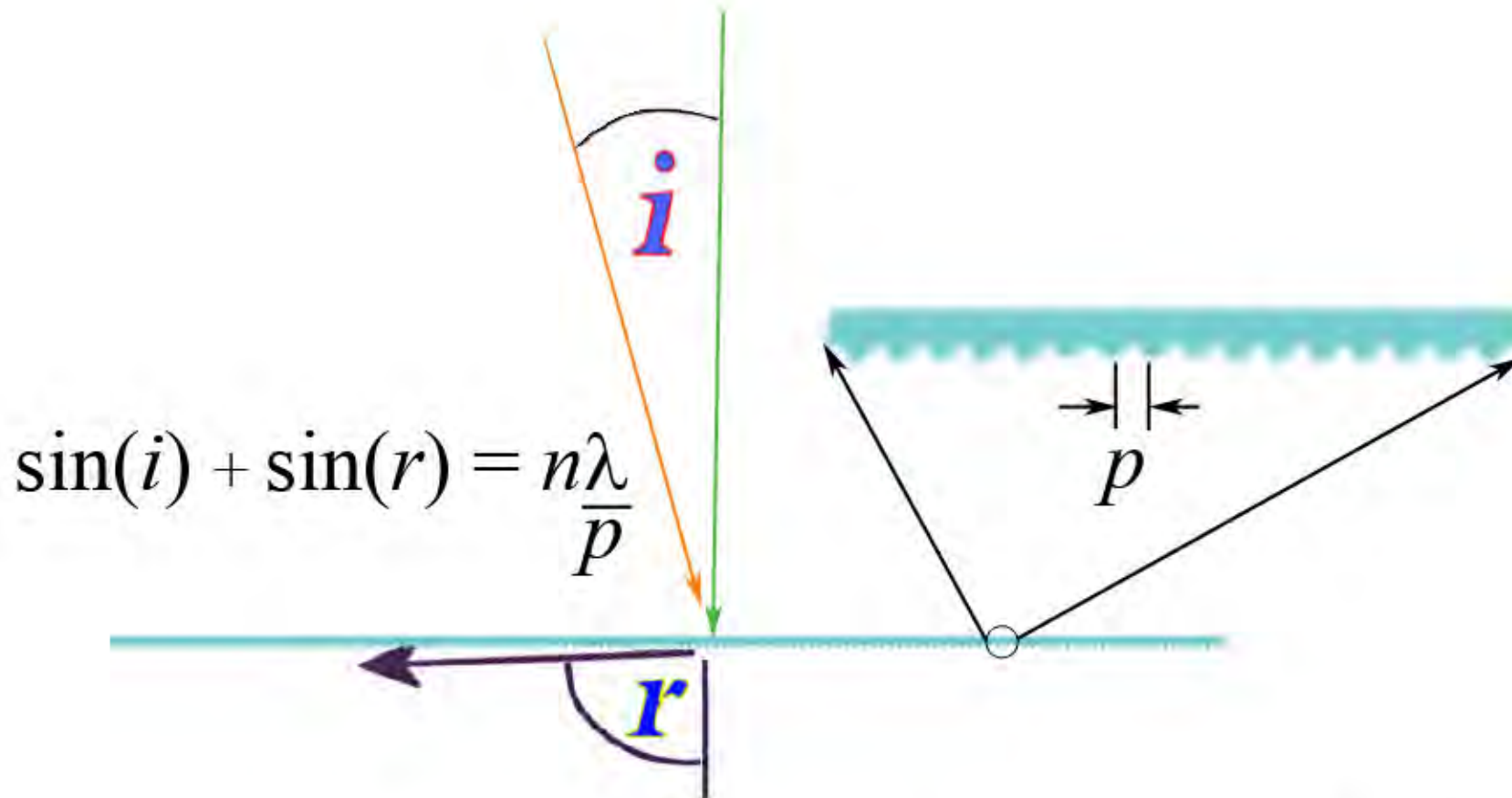


Varying angles of incidence upon grating A sharing a fixed and common angle of reconstruction  $r$  will disperse varying wavelengths to parabolic mirror B.

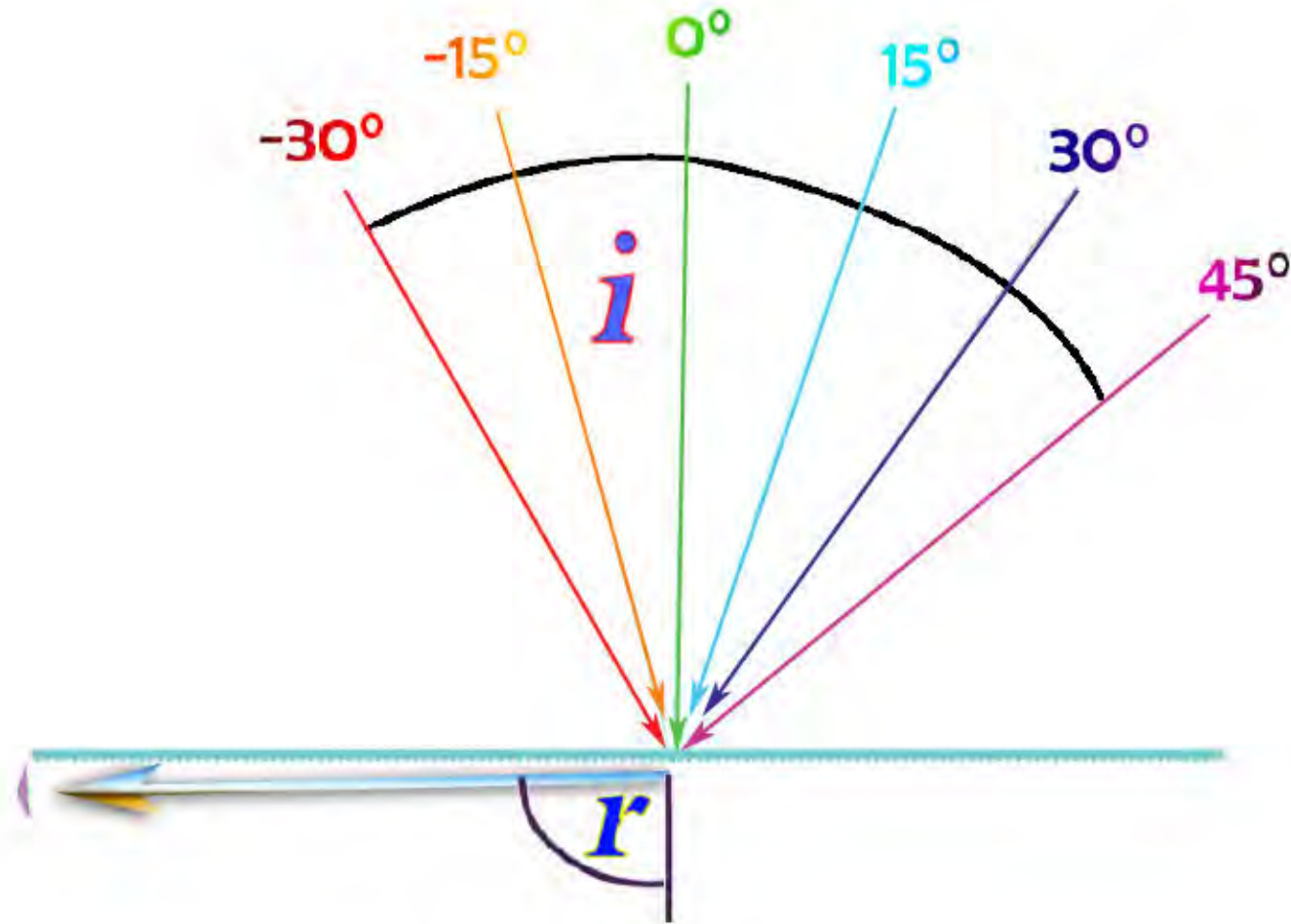


This configuration has angle of incidence  $i$  varying and a fixed grazing angle of wavefront reconstruction  $r$ .

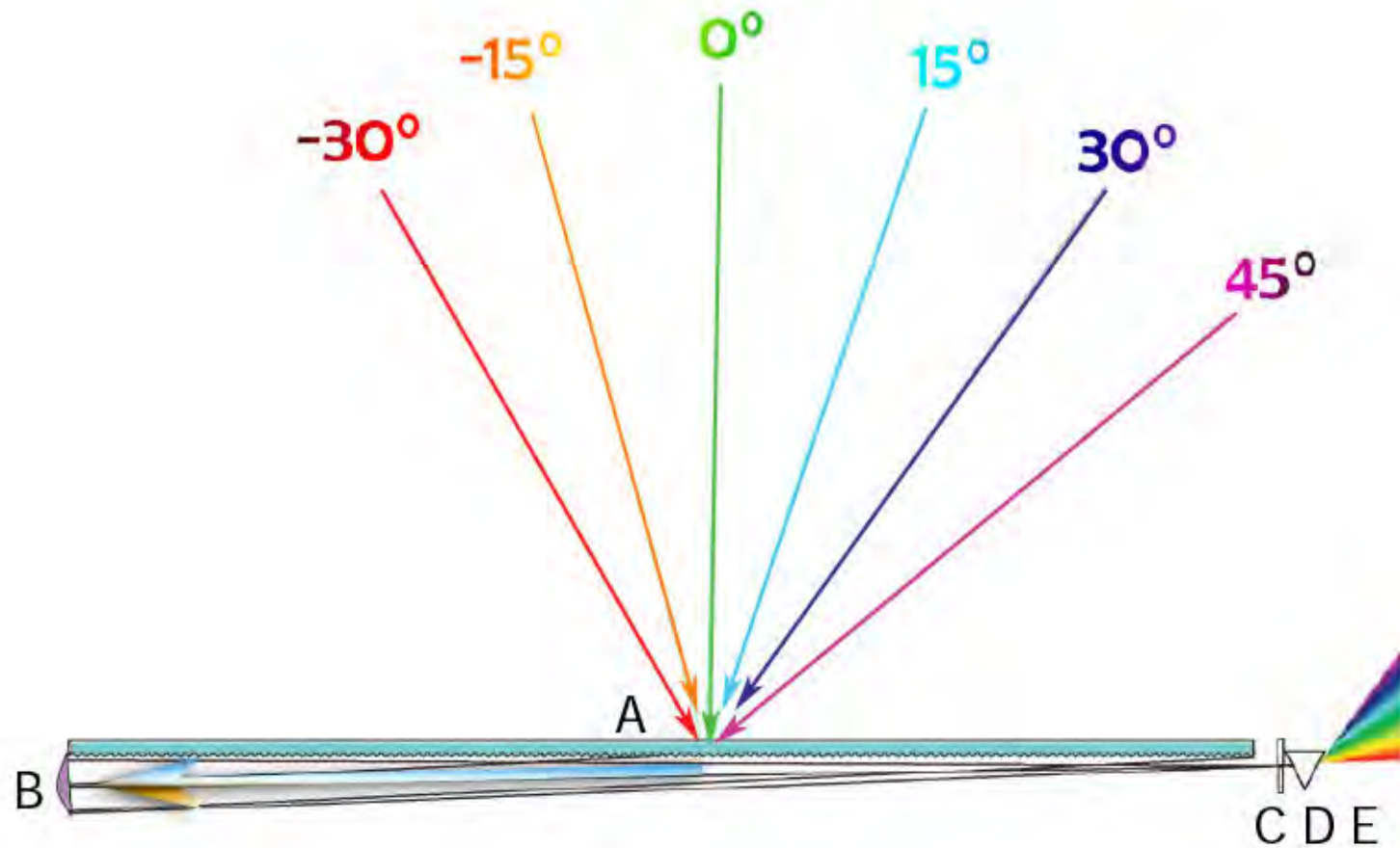
# The Diffraction Equation



When the angle of reconstruction  $r$ , the pitch of the grating  $p$ , and the diffraction order  $n$  are fixed, the angle of incidence can be calculated by a measurement of wavelength  $\lambda$ .

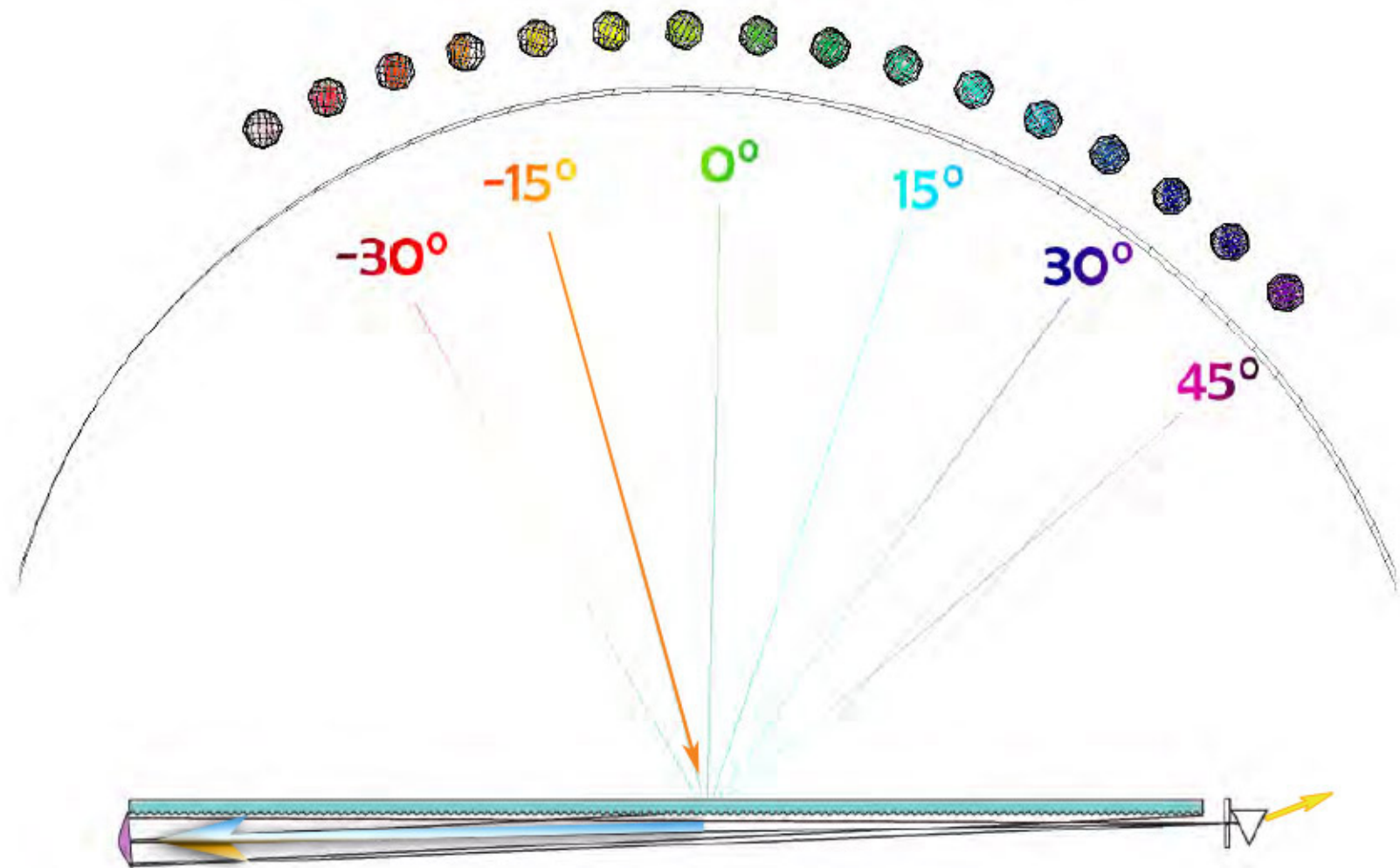


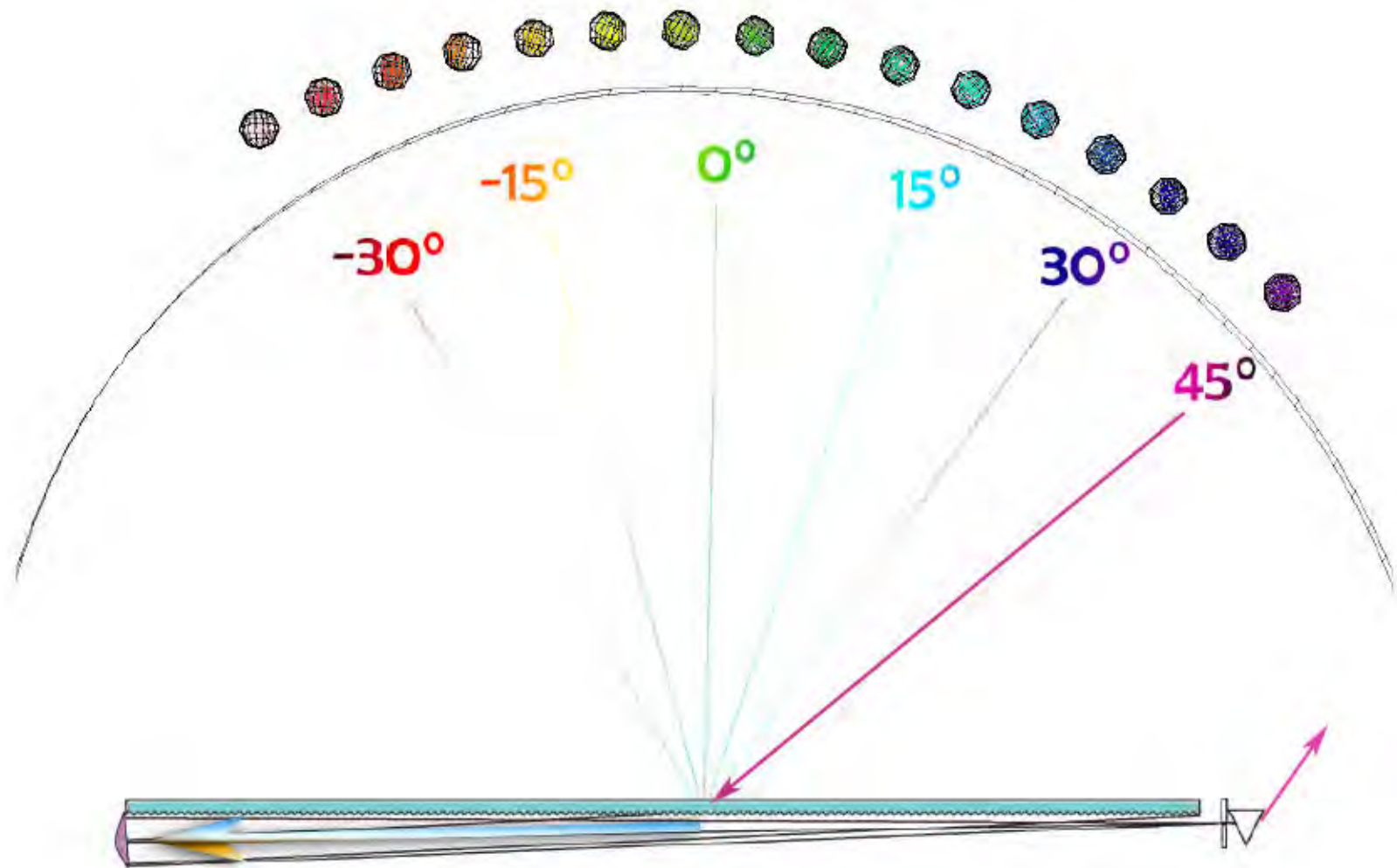
Variations in the angle of incidence over a fixed angle of reconstruction will disperse a spectrum of wavelengths.

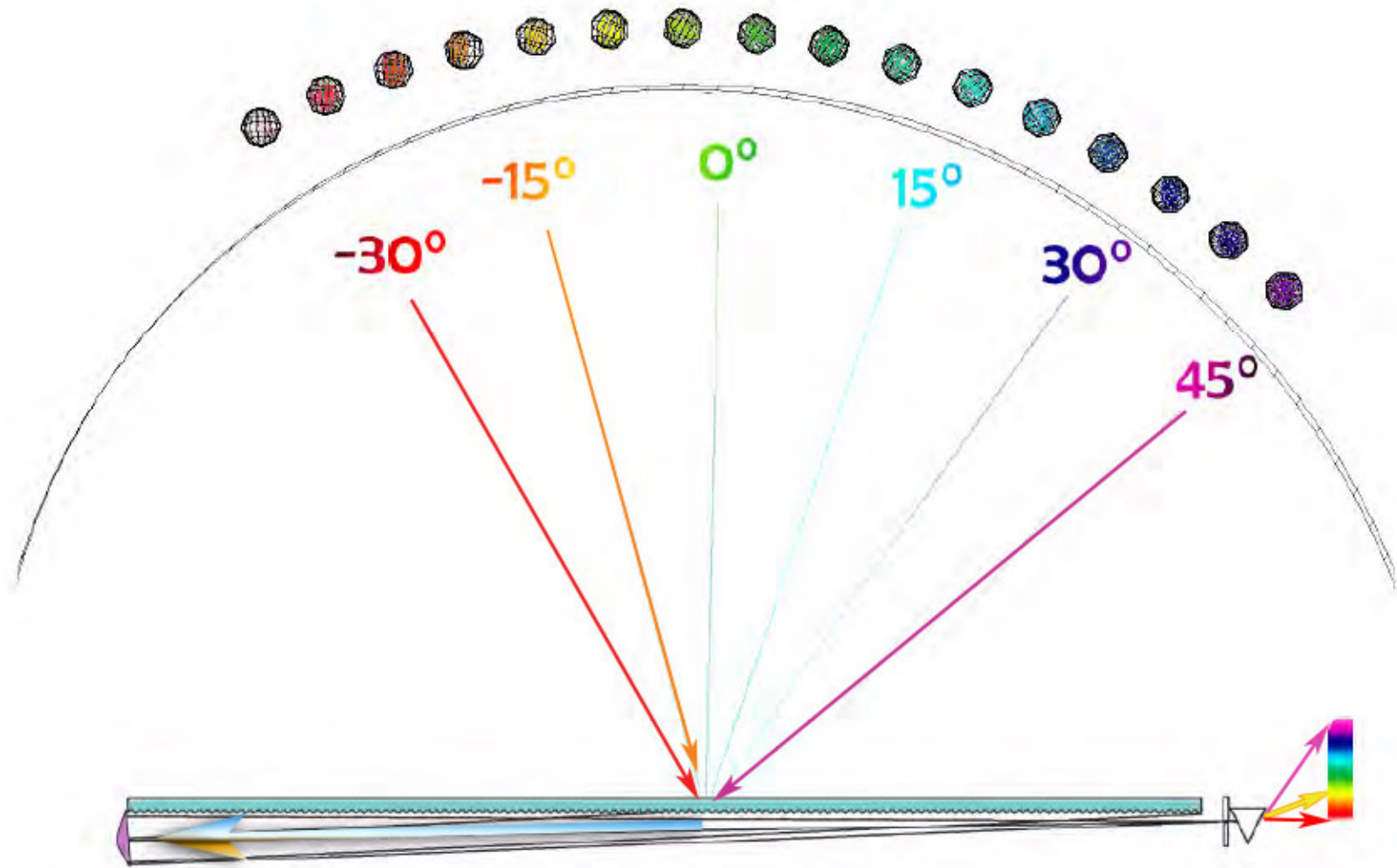


For grating A dispersing to parabolic mirror B there will be a common focal point C that can be a spectroph slit. A secondary disperser D can then produce spectrum E.









As an object precesses, its spectrum can be taken, and all objects can be seen - one wavelength at a time - over the course of an observation cycle.



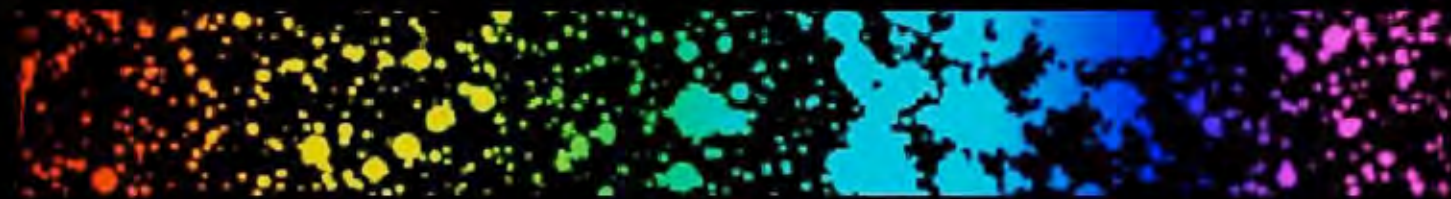
*Look at the firmament through an ordinary telescope and see this.*





*Things look different through The Most.*

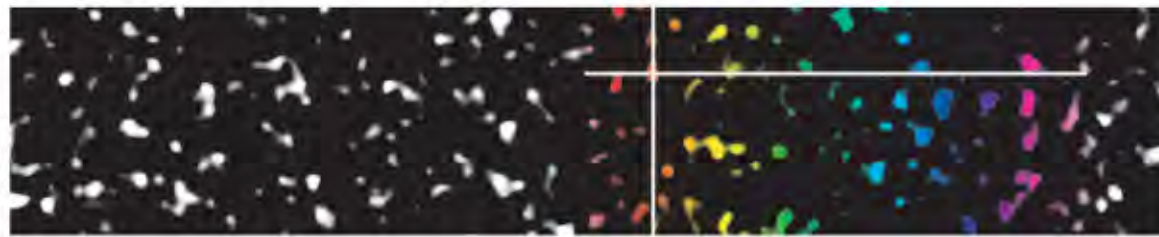
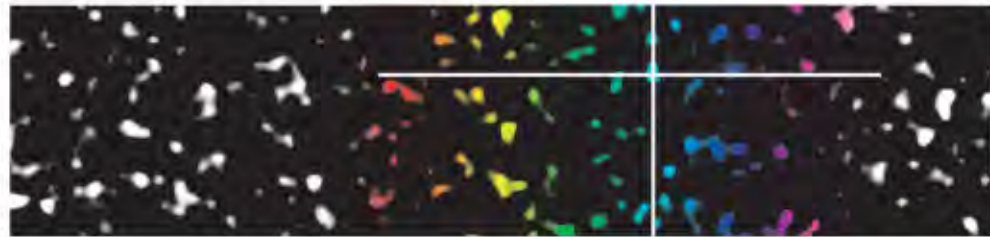
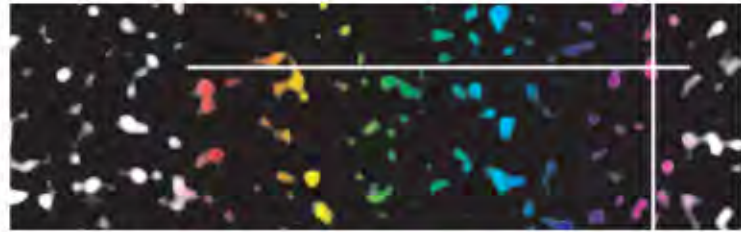




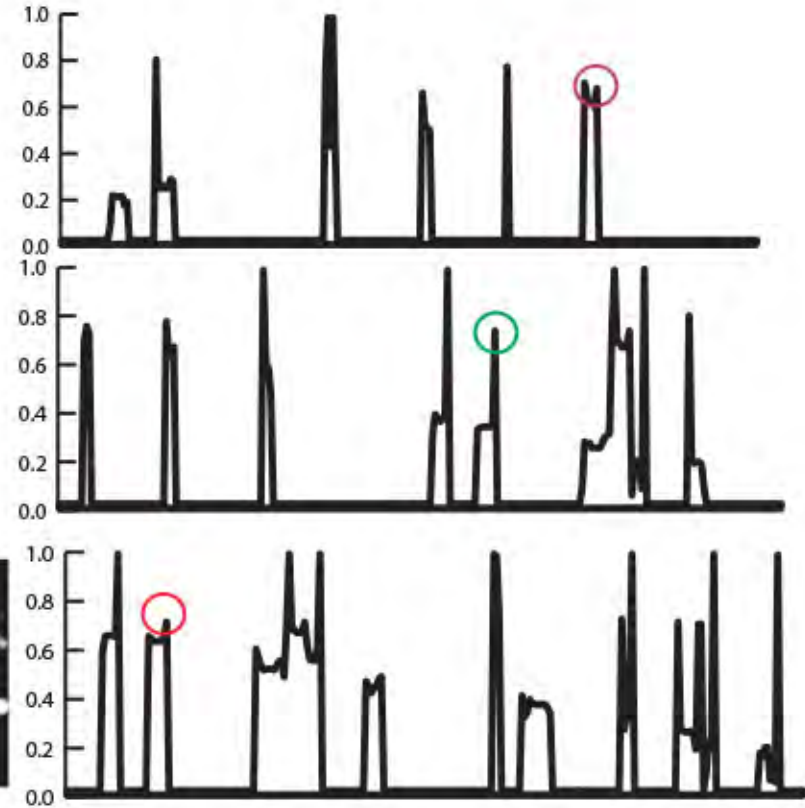
*The focal plane array of The Most acquires an image like this.*



# Data Reduction



Three samples of one star



Three frames in the data parade

*A sequence of frames taken at short integration periods results in a spectrum for each object and for all objects.*

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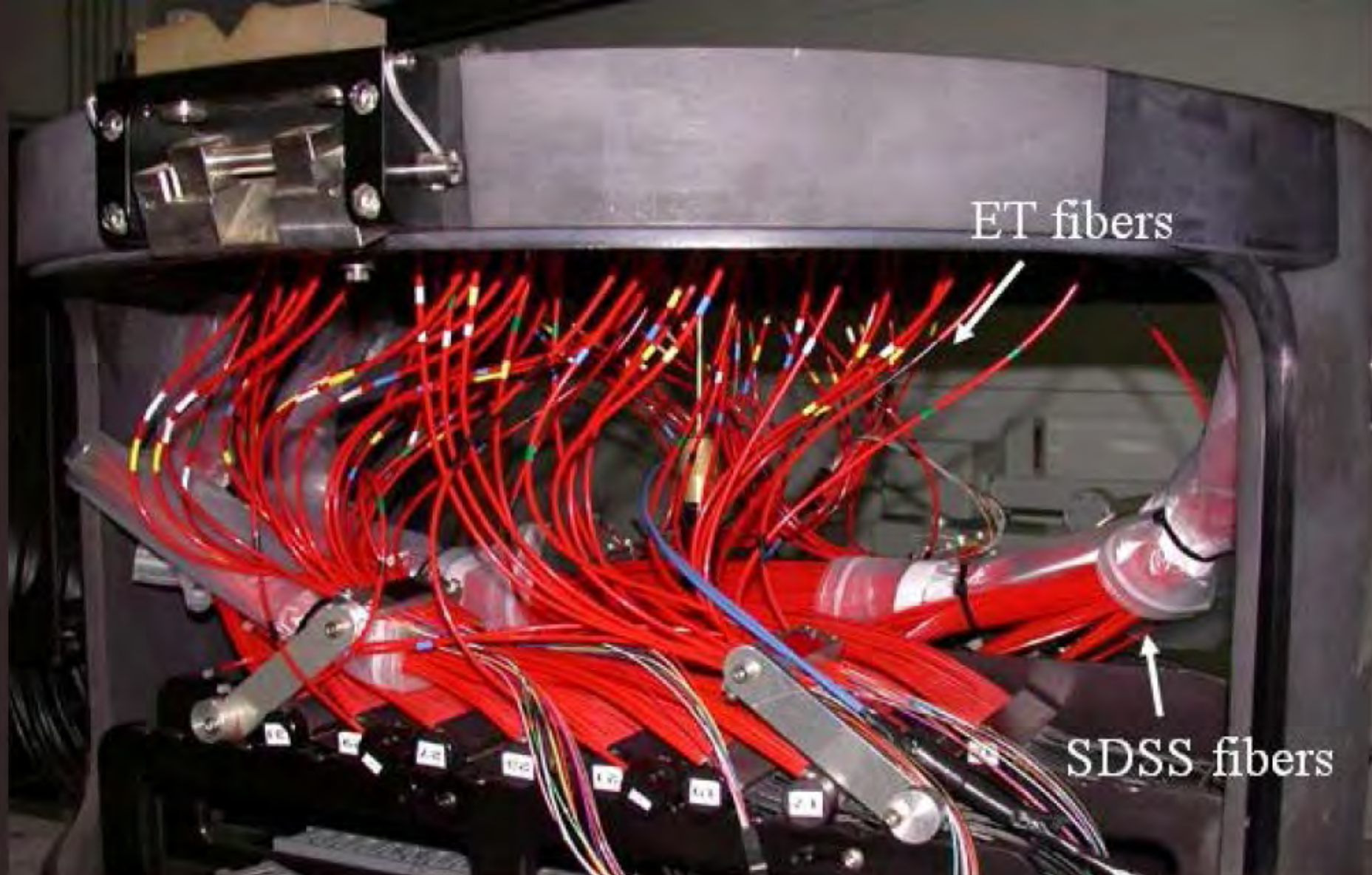


metamers



### Slitless Objective Grating Telescope

*Originally, to take multiple object spectrograms, astronomers put a disperser in front of a telescope. This didn't work because of overlapping spectra cause ambiguities called metamers.*



*Disambiguating methods do exist. A brute force method has a fiber positioned at each star. The most advanced are capable of taking a few thousand spectra in a single observation cycle.*

# Luminosity Function

Magnitude	Example	Stars / degree <sup>2</sup>	1° x 90°	Stars to this magnitude
-1.42	Sirius			1
6.5	Yale catalog			6,500
10.5	Hipparchus cat.	3	270	110,000
12	3" scope	12	1,080	500,000
13	6" scope	25	2,250	1,000,000
14	10" scope	60	5,400	2,500,000
15.5		300	27,000	10,000,000
20.5		30,000	<b>2,700,000</b>	1,000,000,000
23	Best scope	300,000	<b>27,000,000</b>	10,000,000,000

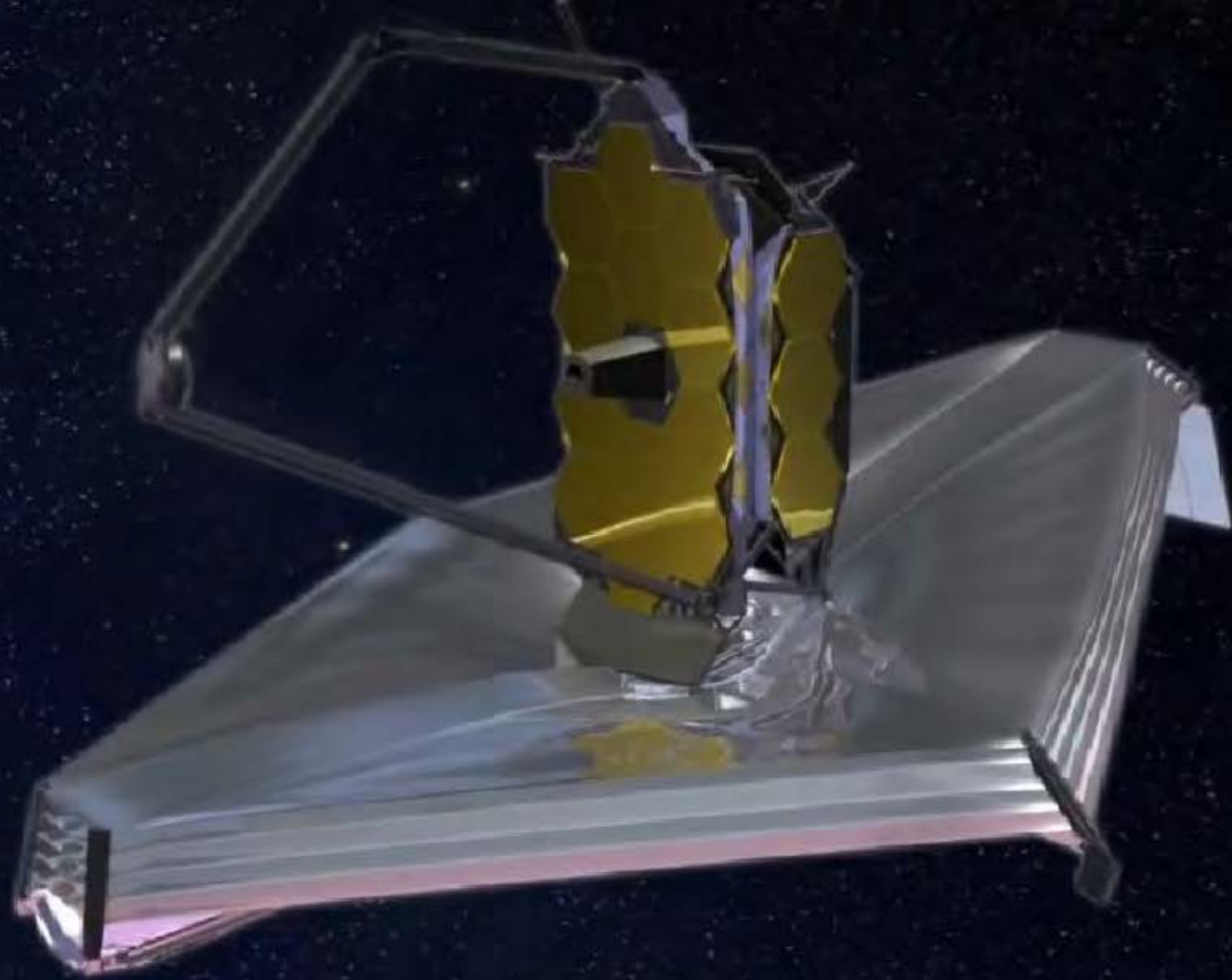
## The **MOST** *multiple object spectroscopy*

*A three order magnitude increase in the number of simultaneous spectra per observation cycle over any competing technology at a 10 x increase in resolution.*

# Scaling up mirrors

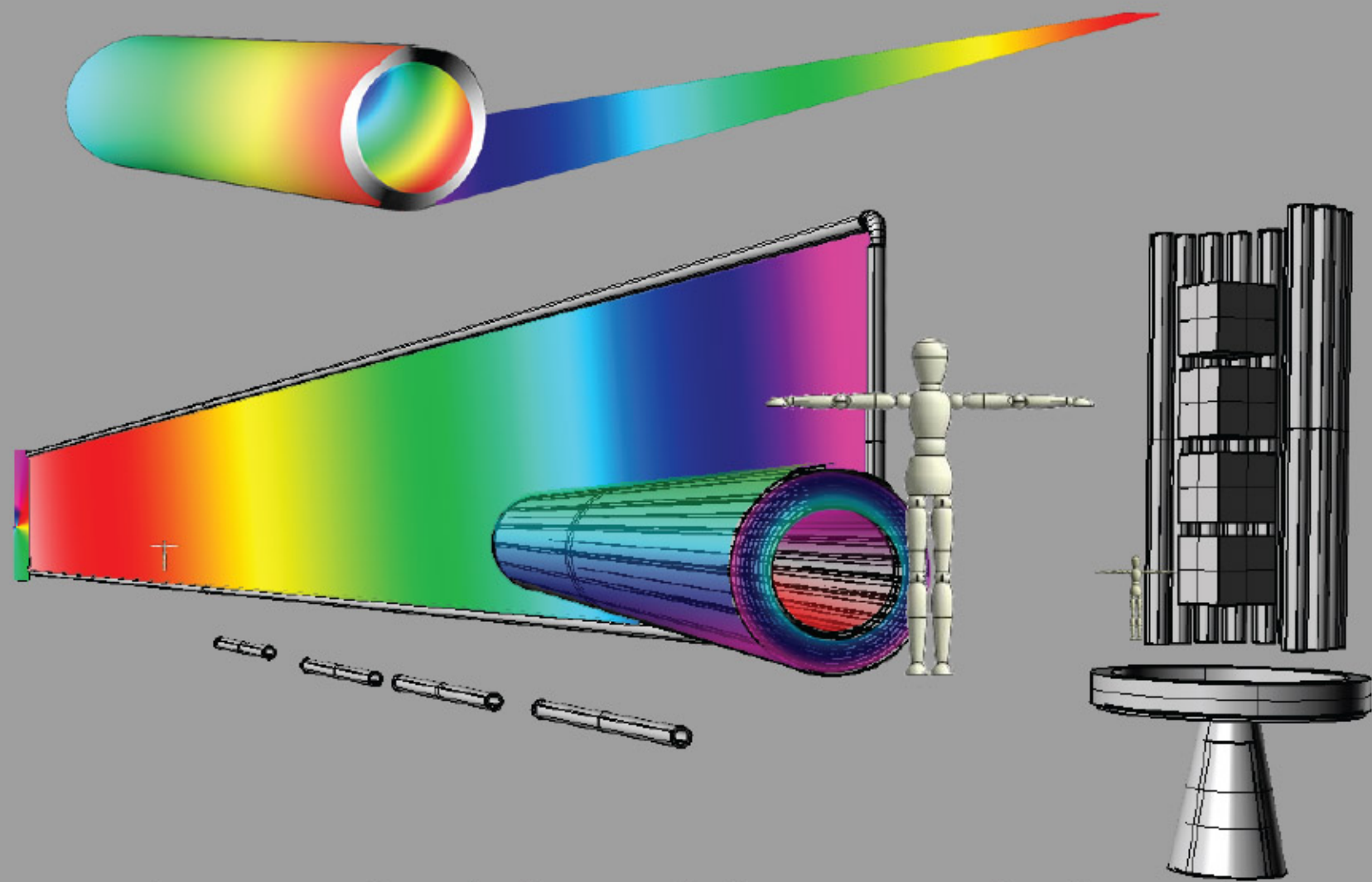


*Each doubling of mirror diameter has taken twice as long.  
There are limits as to how large mirror primaries can grow.*



*Deliverability is another roadblock. The facets of the JWST fold up into a delivery vehicle rigidly and provide a mere 25 sq meters of collector.*





A diffraction grating can be delivered from a cylinder roll as a gossamer membrane of any length and 10 meters height. How long do you want the aperture? How big do you want the collector? 100 sq. meters? 500 sq meters? Once deployed, gratings are flat, light weight, conceivably capable of kilometer scale in the optical regime.

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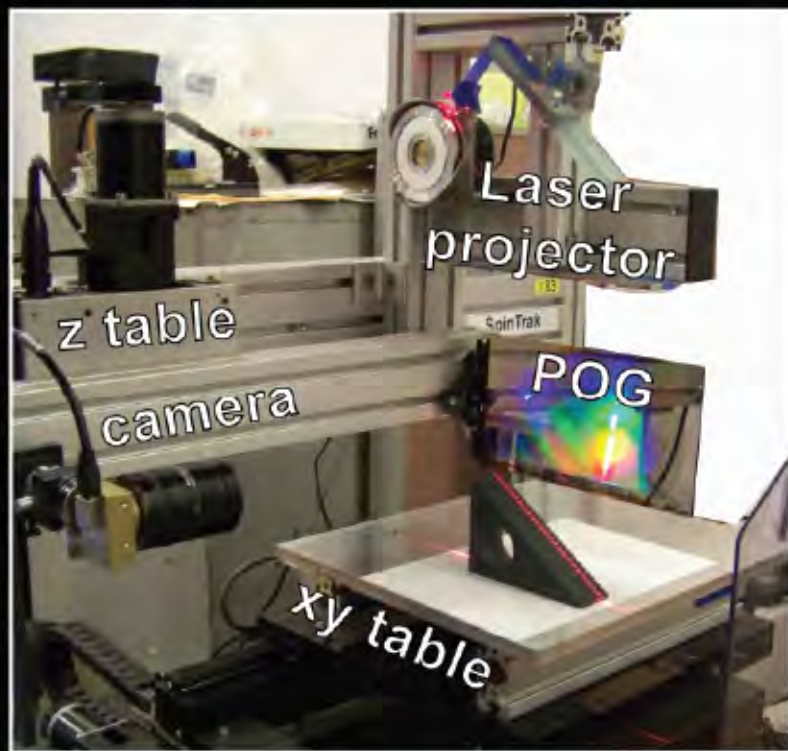
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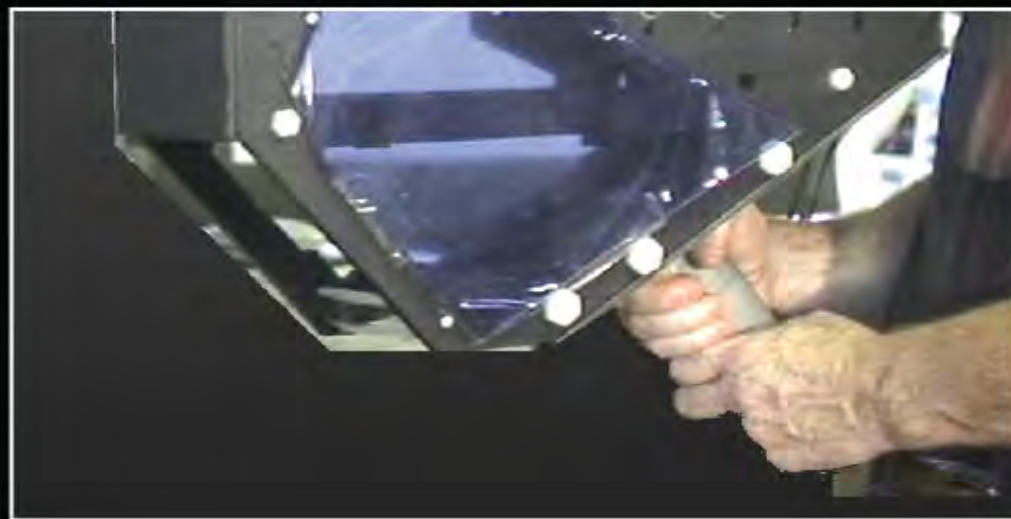
3D Microscope

Primary  
Objective  
Grating  
Optical  
Devices  
made  
by  
3DeWitt



3D Ring

Research funded  
by  
The National  
Science  
Foundation



We're just the  
first. So much  
more to come.





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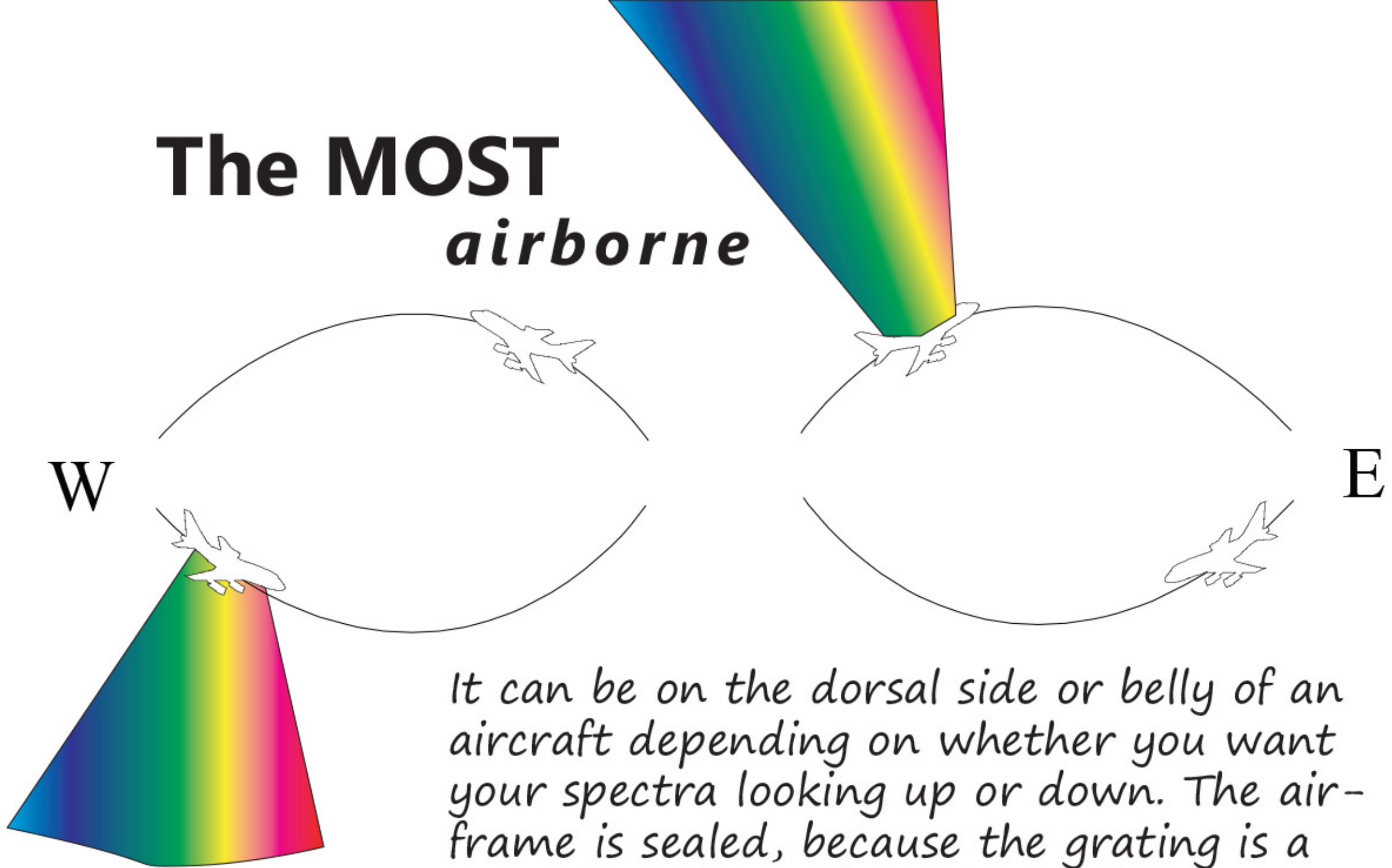
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*Here are a  
couple of new  
ways of doing  
things...*




# The MOST *airborne*



*It can be on the dorsal side or belly of an aircraft depending on whether you want your spectra looking up or down. The air-frame is sealed, because the grating is a row of windows along the fuselage.*

# 100 Meter Aperture *geostationary*



The  
telescope can be in  
geostationary. Given a long  
aperture it will acquire detailed  
spectra on the ground. Rob Hoyt  
might send his spiderfab 'bot to  
build the support trusses.



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Everything you know is wrong. The primary objective is a disperser.



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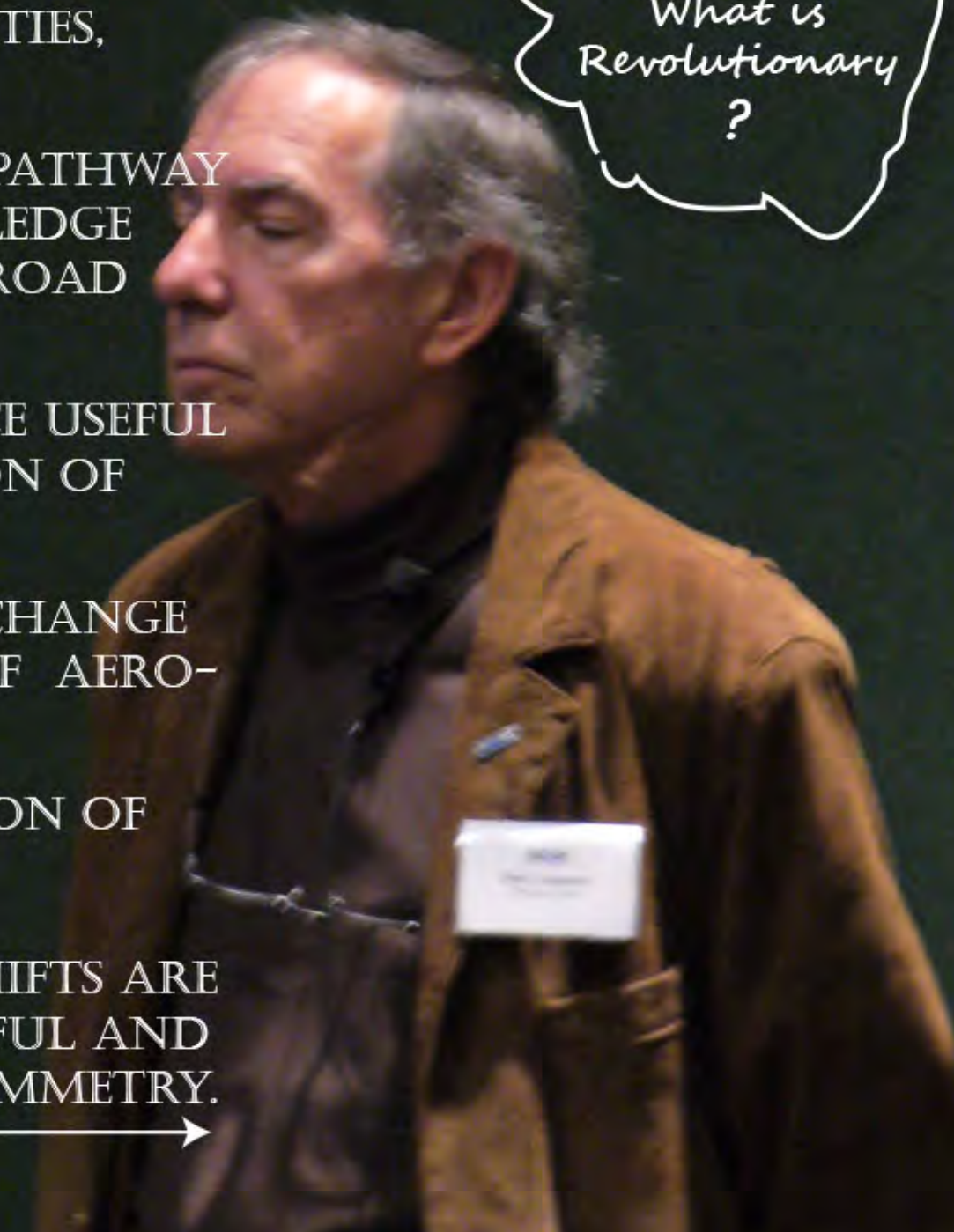
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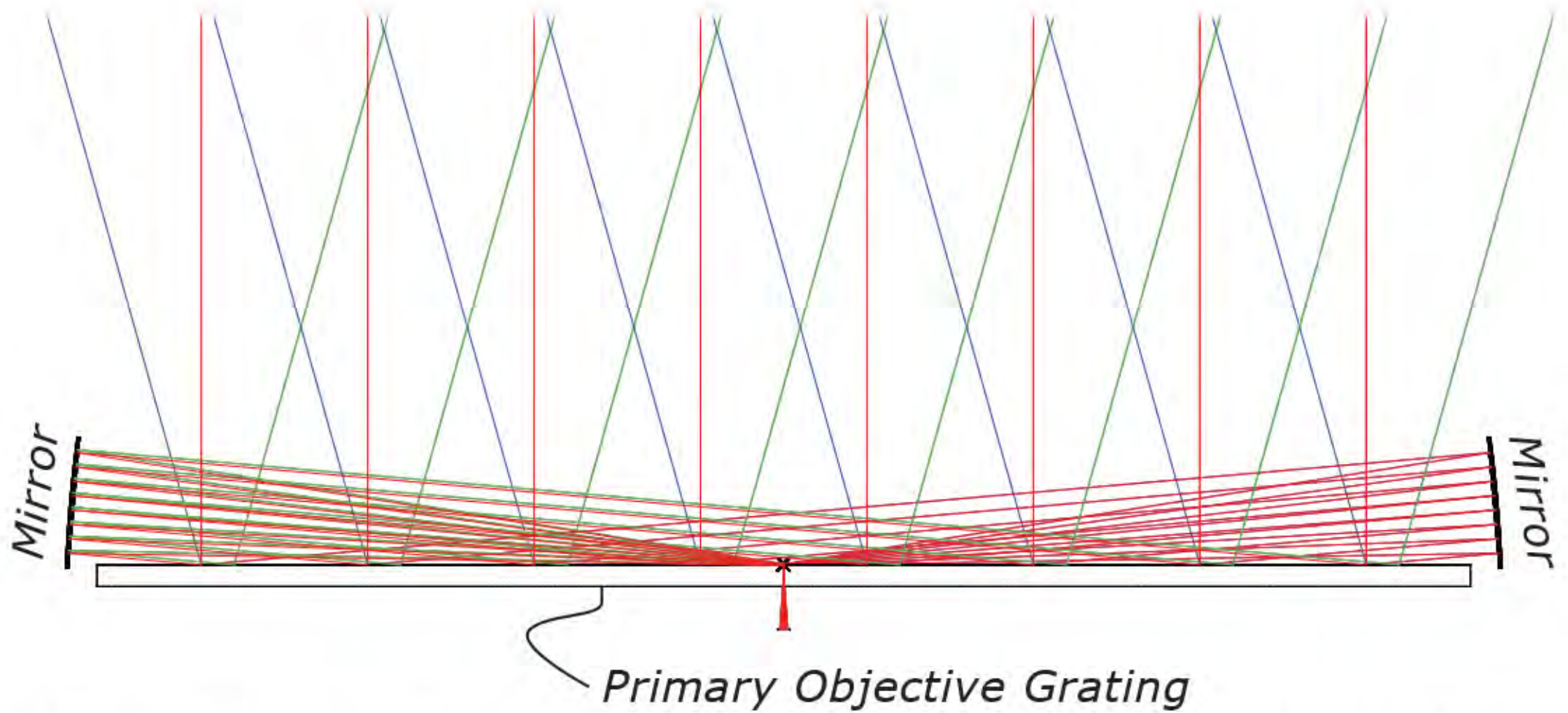
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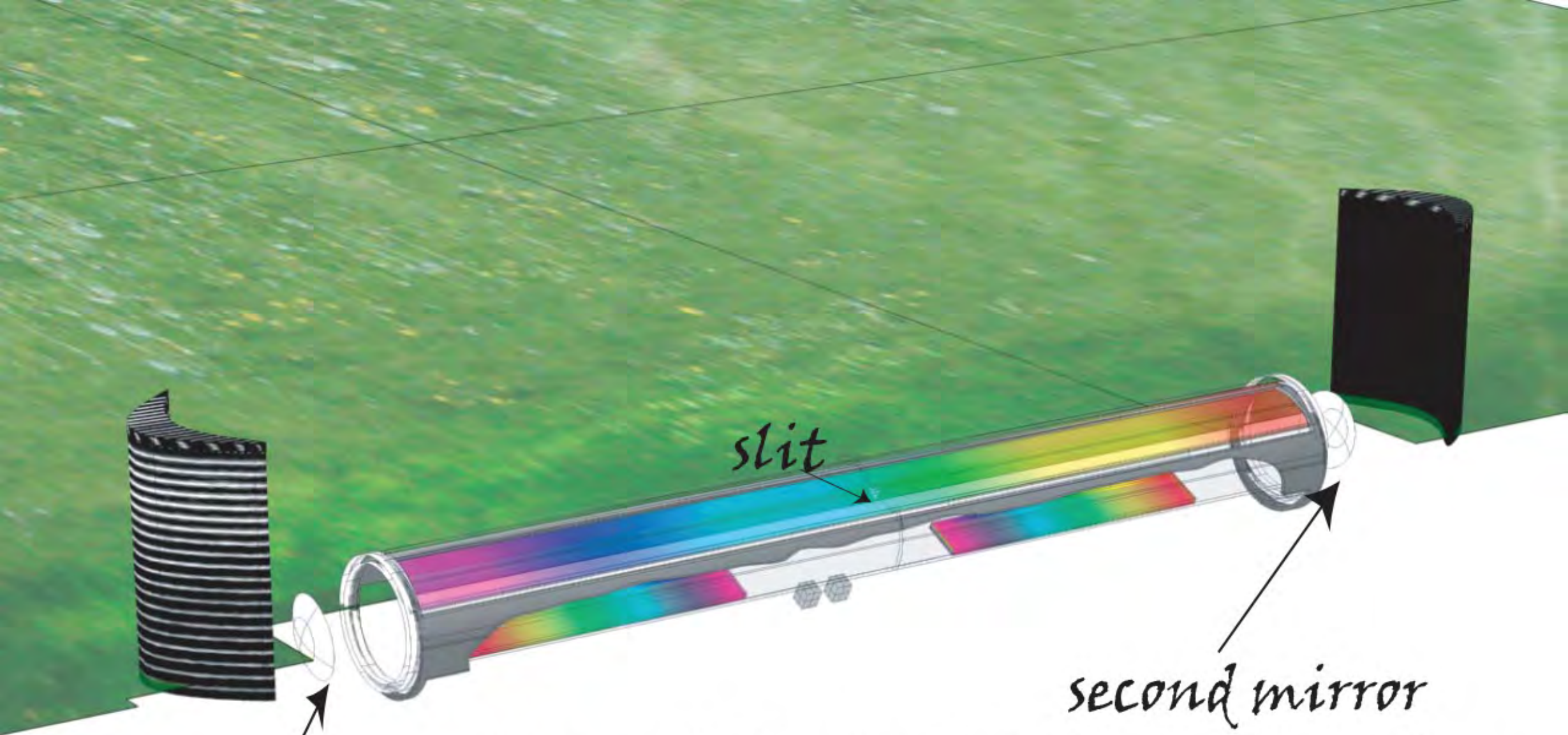


What is  
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What happens when the secondary mirror is symmetrically opposed by a second mirror? Flux collection is doubled. The free spectral range doubles from 90 degrees to 180 degrees. Third, calibration can be achieved by cross-correlation between two readings of the same object at different moments in time.



*First Mirror*

*second mirror*

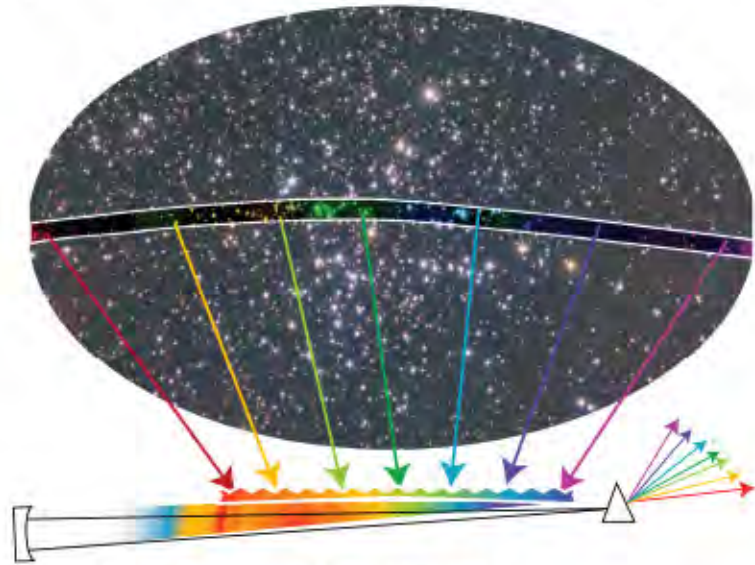
From a designer's point-of-view, the package takes on a nice (shall we say "beautiful" or "magnificent"?) balance where two mirrors share the same telescope.



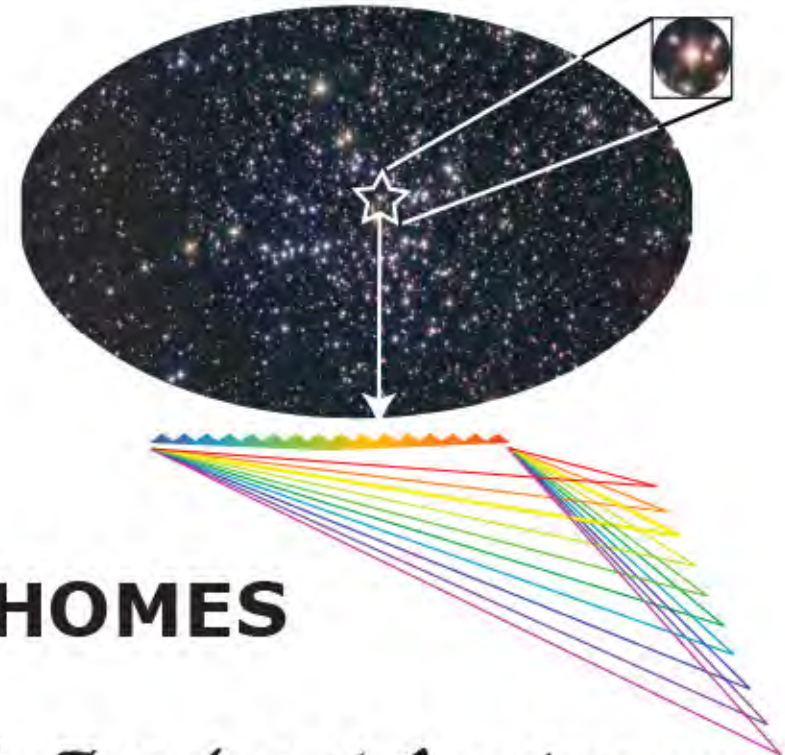
*A telescope that can be buried below ground with no dome, no wind shear and works essentially with no moving parts during observation cycles*



# The Multiple Object Spectrographic Telescope



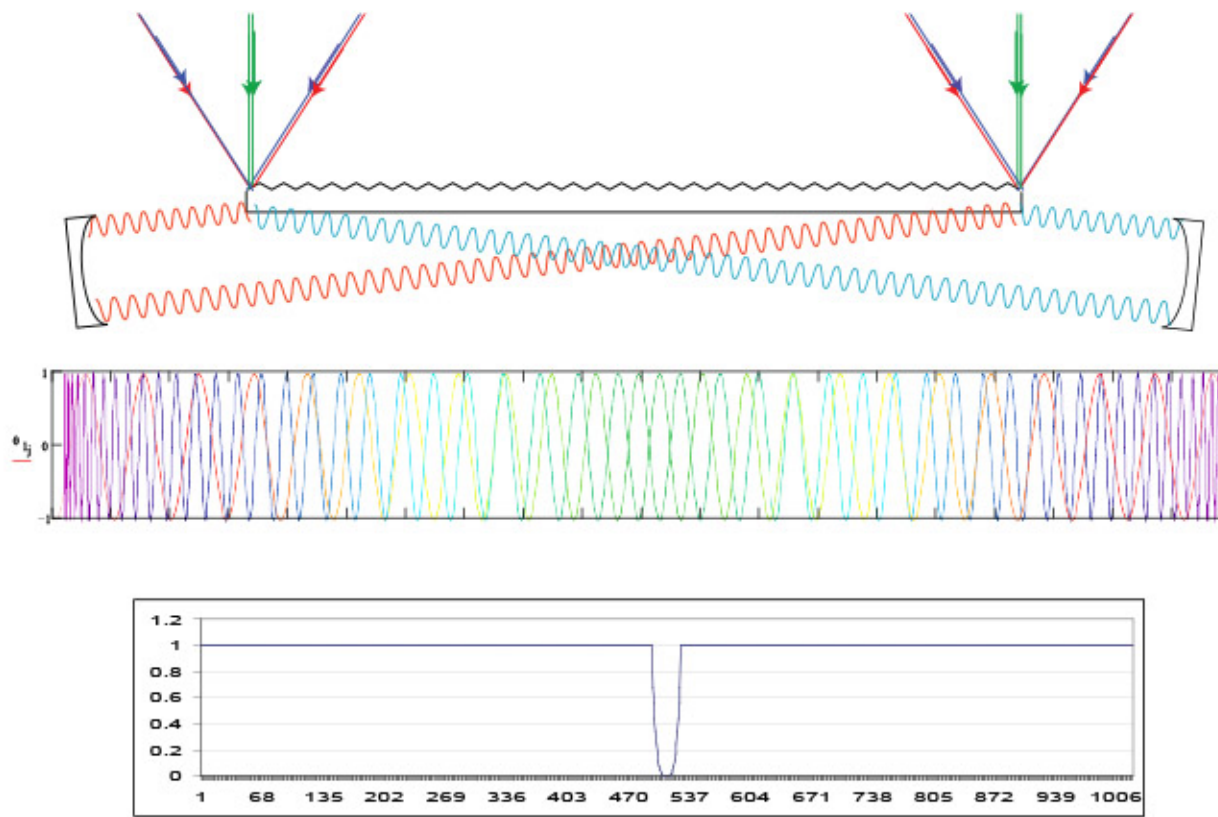
**The MOST**



**HOMES**

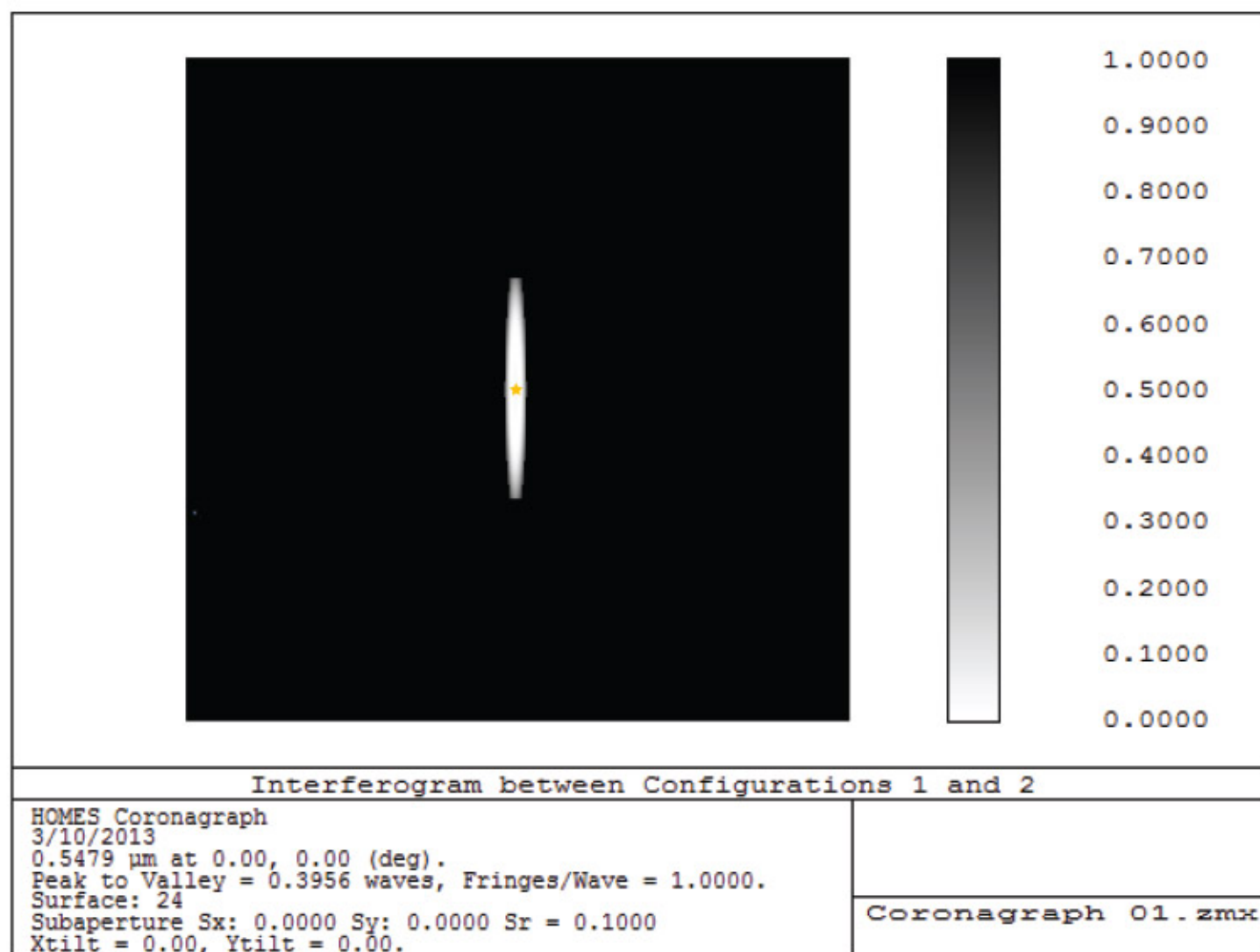
## Holographic Optical Method for Exoplanet Spectroscopy

The dual mirror symmetry now leads us from The MOST The Multiple Object Spectrographic Telescope to HOMES, the Holographic Optical Method of Exoplanet Spectroscopy. The MOST sees all stars one wavelength at a time. HOMES sees the planets of one star in all wavelengths all the time.



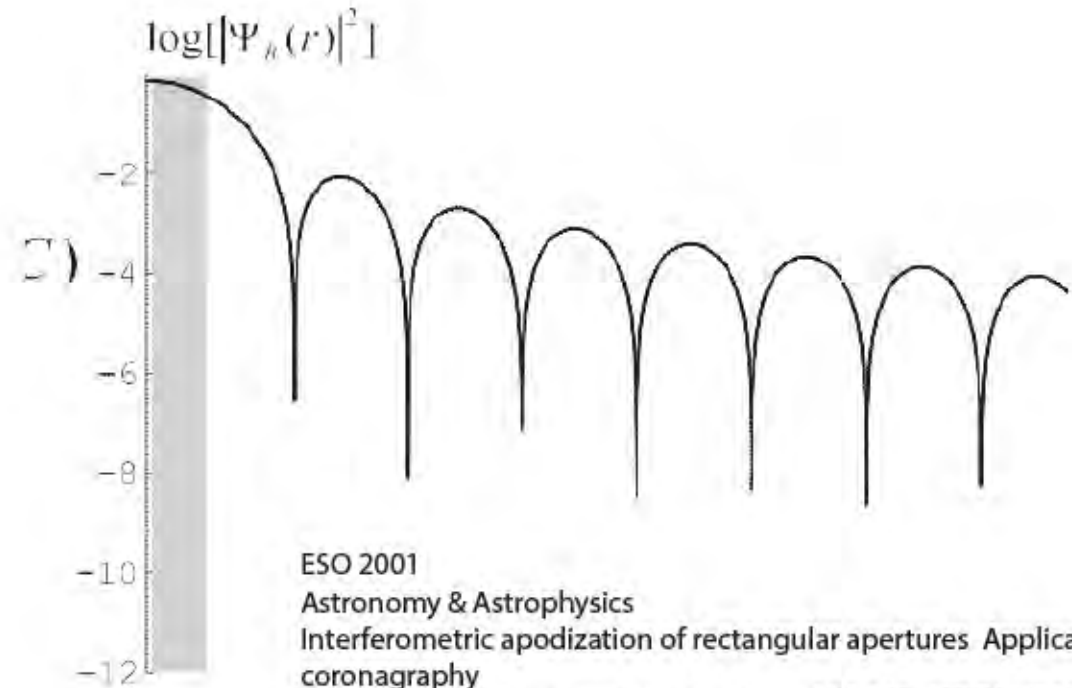
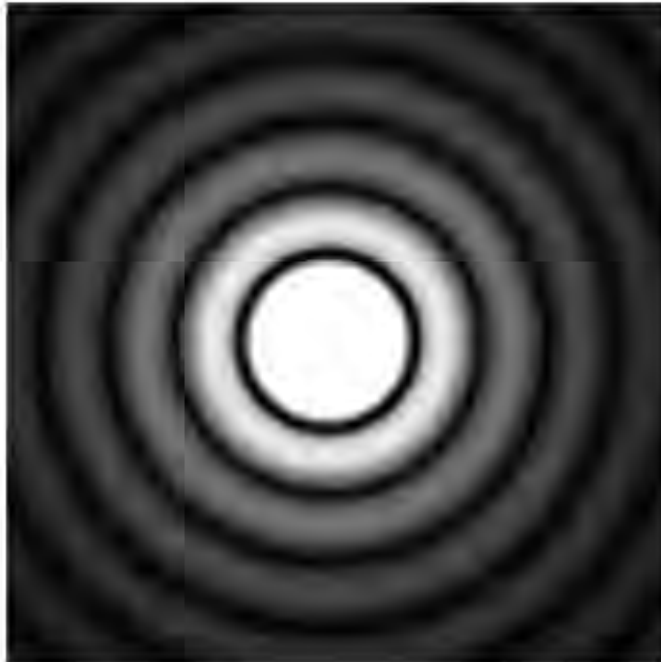
06:	0.00539	0.00381	0.00207	0.00060	0.00000	0.0
07:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
08:	0.00548	0.00389	0.00212	0.00063	0.00000	0.0
09:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
10:	0.00557	0.00397	0.00218	0.00066	0.00000	0.0
11:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
12:	0.00566	0.00404	0.00224	0.00069	0.00000	0.0
13:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
14:	0.00574	0.00411	0.00229	0.00072	0.00000	0.0
15:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
16:	0.00582	0.00418	0.00234	0.00075	0.00000	0.0
09:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
10:	0.00590	0.00425	0.00238	0.00077	0.00000	0.0
11:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
12:	0.00582	0.00418	0.00233	0.00074	0.00000	0.0
13:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
14:	0.00574	0.00411	0.00228	0.00072	0.00000	0.0
15:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
16:	0.00565	0.00404	0.00223	0.00068	0.00000	0.0
17:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
18:	0.00556	0.00396	0.00217	0.00065	0.00000	0.0
19:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
20:	0.00547	0.00388	0.00211	0.00062	0.00000	0.0
21:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
22:	0.00538	0.00381	0.00206	0.00059	0.00000	0.0
23:	1.00000	1.00000	1.00000	1.00000	1.00000	1.0
24:	0.00528	0.00372	0.00200	0.00056	0.00000	0.0

We start with the dual mirror configuration and design a coronagraph. An exoplanet cannot be seen if its host star is not extinguished. When two mirrors are opposed facing a single diffraction grating, and their beams combined, then two equal and opposite spectra share one spectral line where destructive interference creates a single extremely sharp subtraction to zero. The interference at the line where the beams share a single wavelength is a singularity, an absolute null.



We see that null in this interferogram. The interferometer is a coronagraph because its null is on a single central wavelength band leaving the rest of the field for acquisition of the planetary system. A tiny "star" is marked in yellow at the null.

## Nulling interferometer for coronagraphy



ESO 2001

Astronomy & Astrophysics

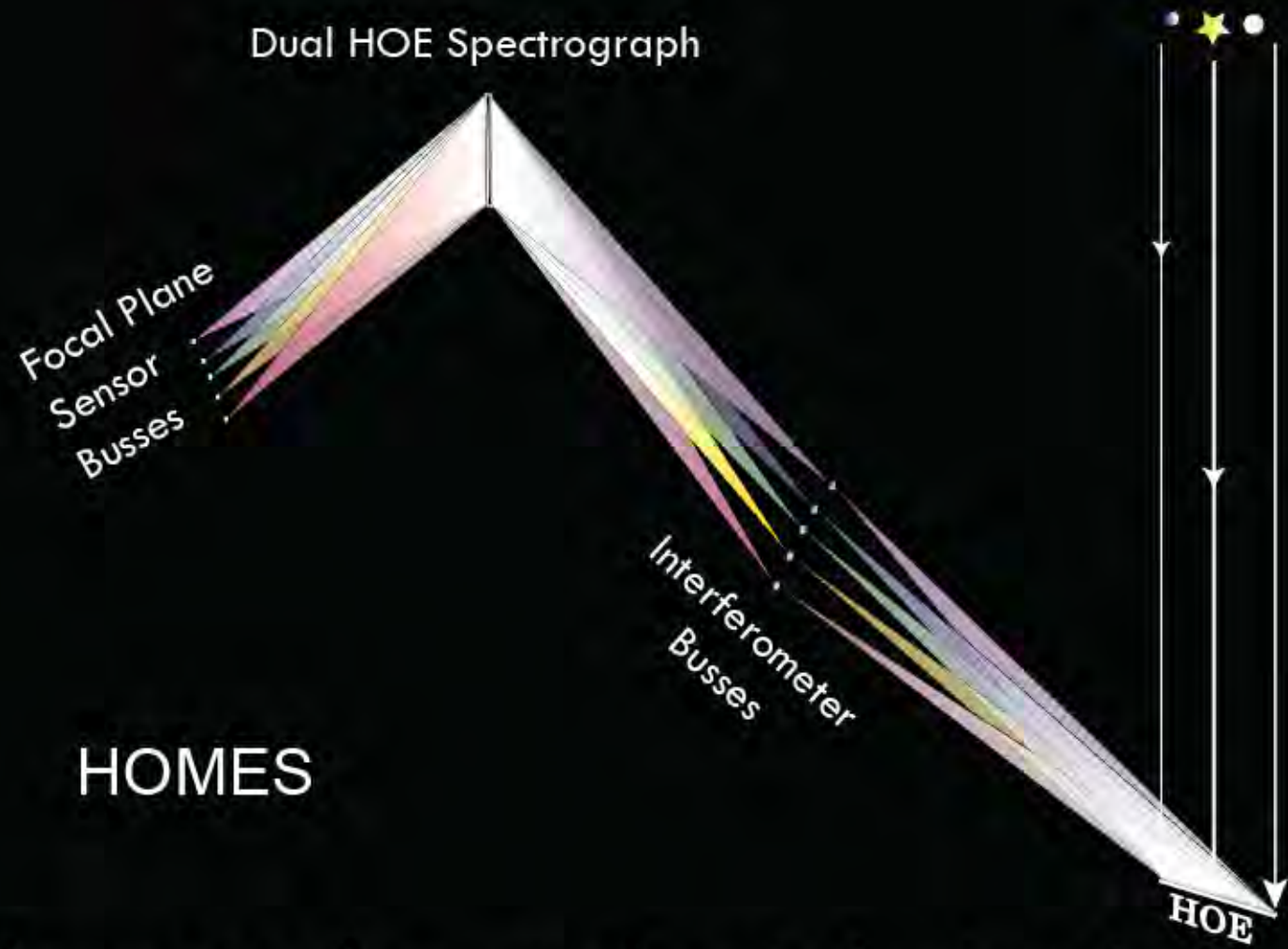
Interferometric apodization of rectangular apertures Application to stellar coronagraphy

C. Aime, R. Soummer, and A. Ferrari

A&A 379, 697{707 (2001)

DOI: 10.1051/0004-6361:20011293

*Contrast this with conventional nulling interferometry and you can see the advantage. Conventional nulling interferometry has multiple rings which in a coronagraph will null in the planetary region and can extinguish flux from very planets we are looking for.*



HOMES

The interferometer is part of a chain that forms a whole: HOMES, a formation-flown telescope parked at the second Lagrange Point. It has a holographic reflective primary, a nulling interferometer coronagraph which relays the images to a secondary spectrograph and on to the science cameras that collect the dispersed planetary spectra.

Target Planetary System



Example - Second source off-axis by  $2^\circ$

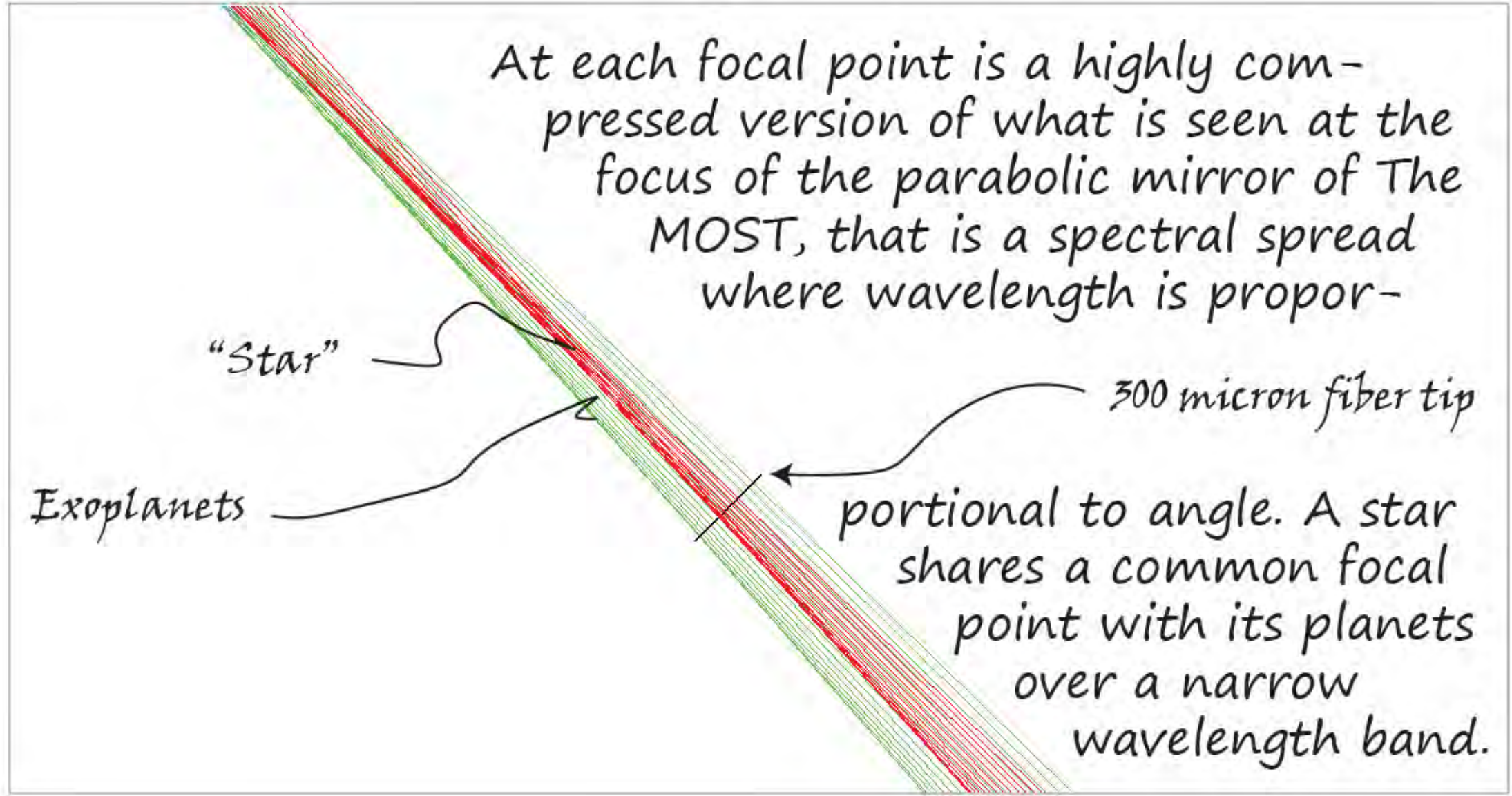


Off-axis sources diffract common wavelengths at disparate angles.

*HOMES uses a hologram as a primary objective. When the star and its planetary system at infinity play back the hologram, they focus as an infinite number of images of themselves, each image at different wavelength. Here we compare the foci for a target star and its planets as compared with a other source off-axis by 2 degrees*



Holographic Optical Element Primary



At each focal point is a highly compressed version of what is seen at the focus of the parabolic mirror of The MOST, that is a spectral spread where wavelength is propor-

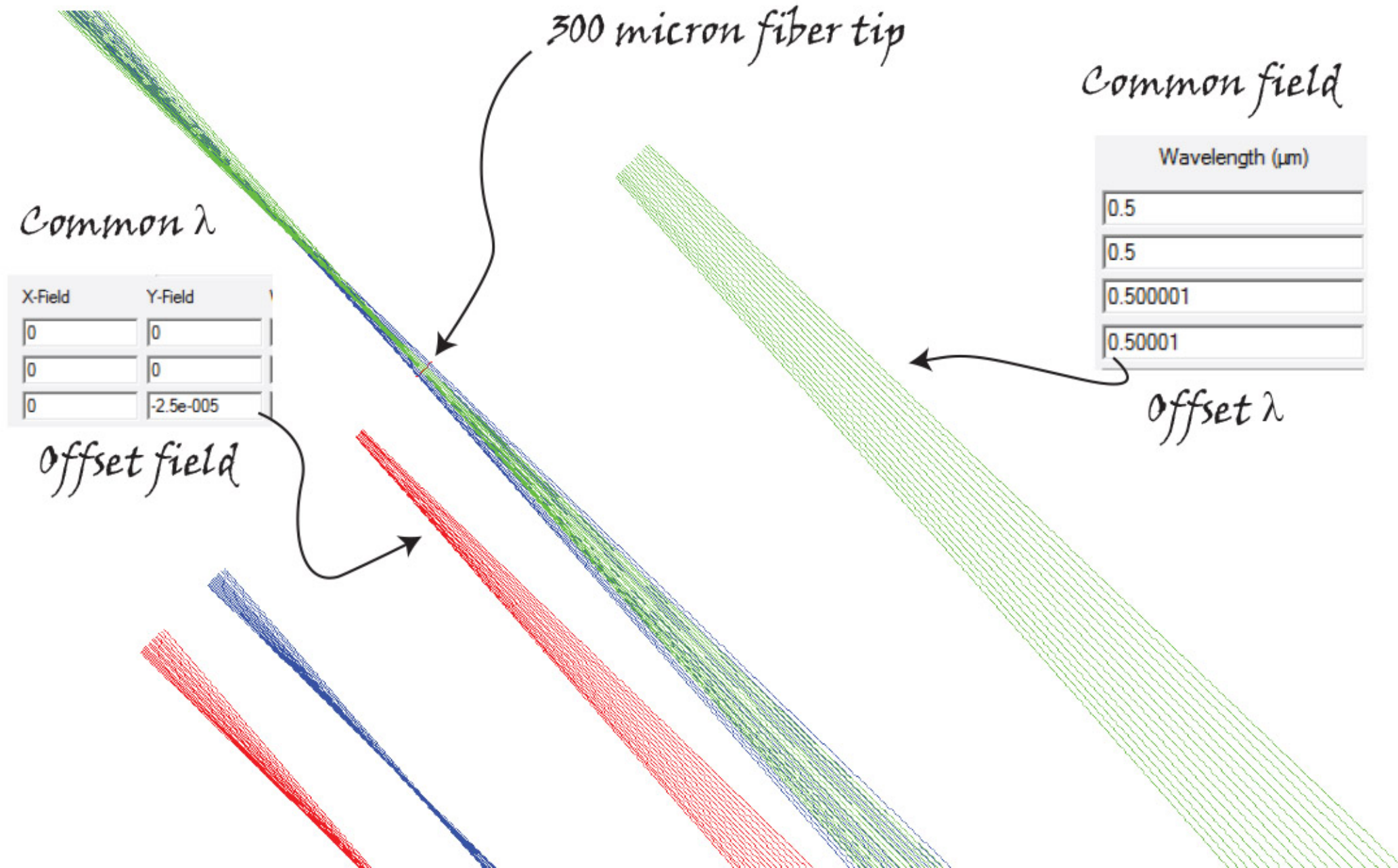
"Star"

300 micron fiber tip

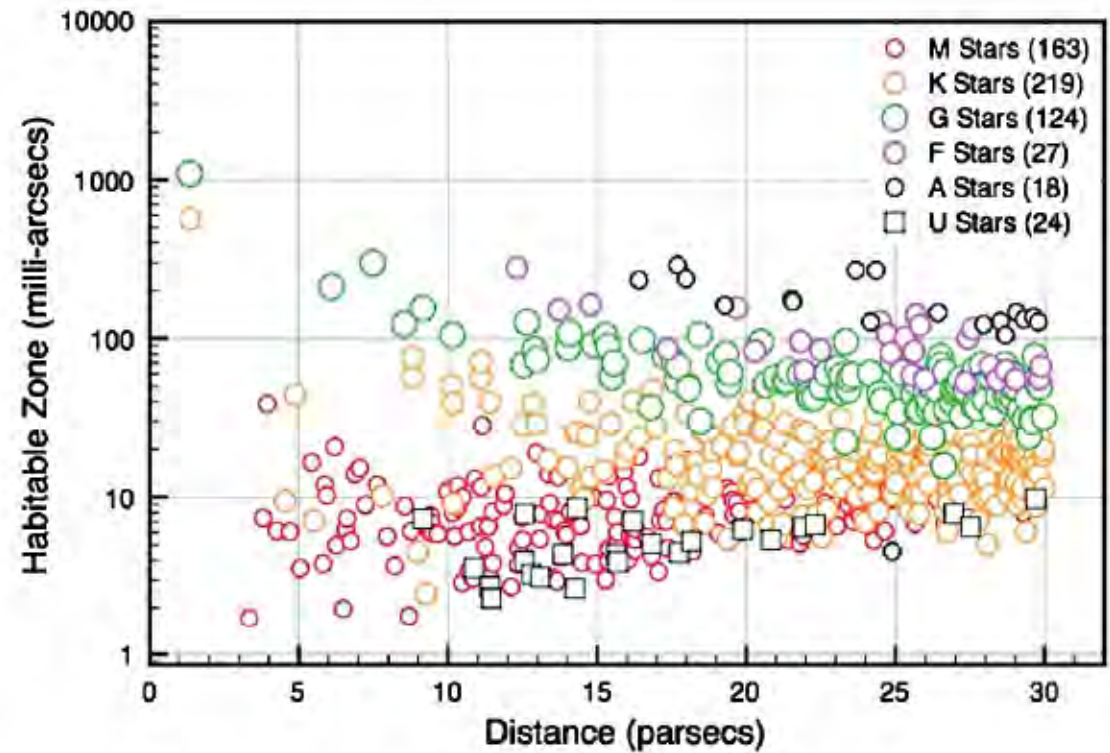
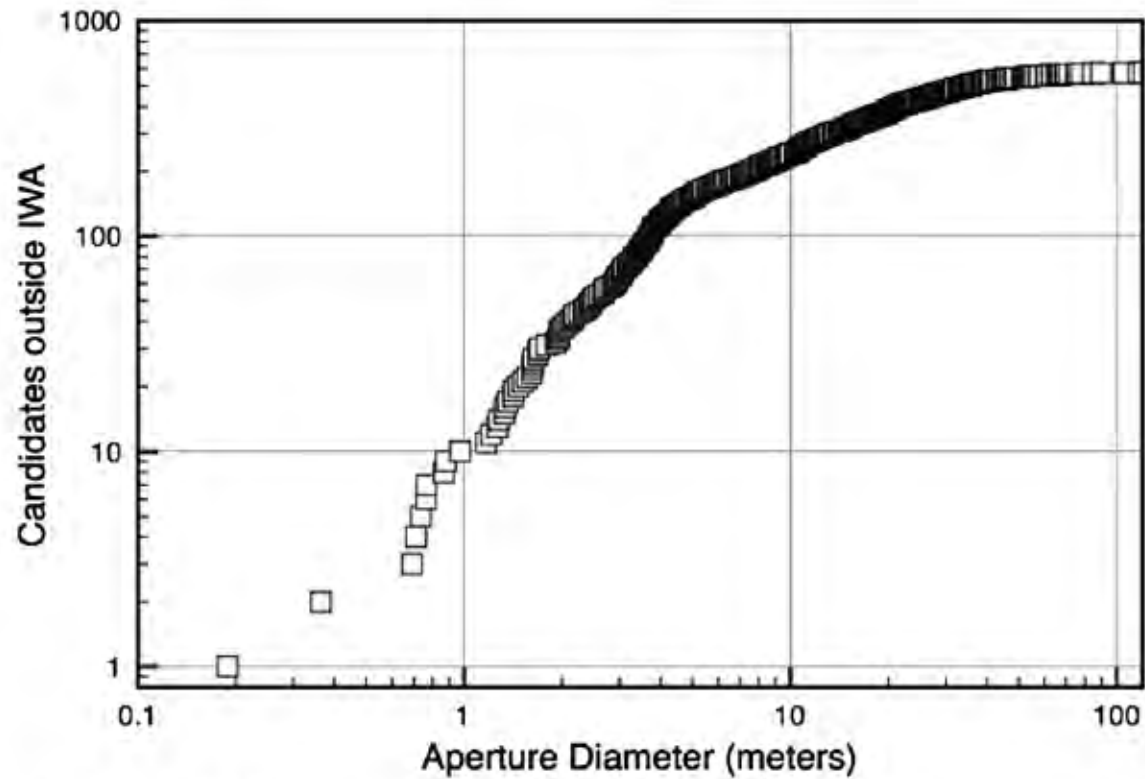
Exoplanets

portional to angle. A star shares a common focal point with its planets over a narrow wavelength band.

Only one narrow band focuses on each fiber tip.







Richard G. Lyon & Mark Clampin, "Space telescope sensitivity and controls for exoplanet imaging"  
*Optical Engineering* 51(1), 011002 (January 2012)

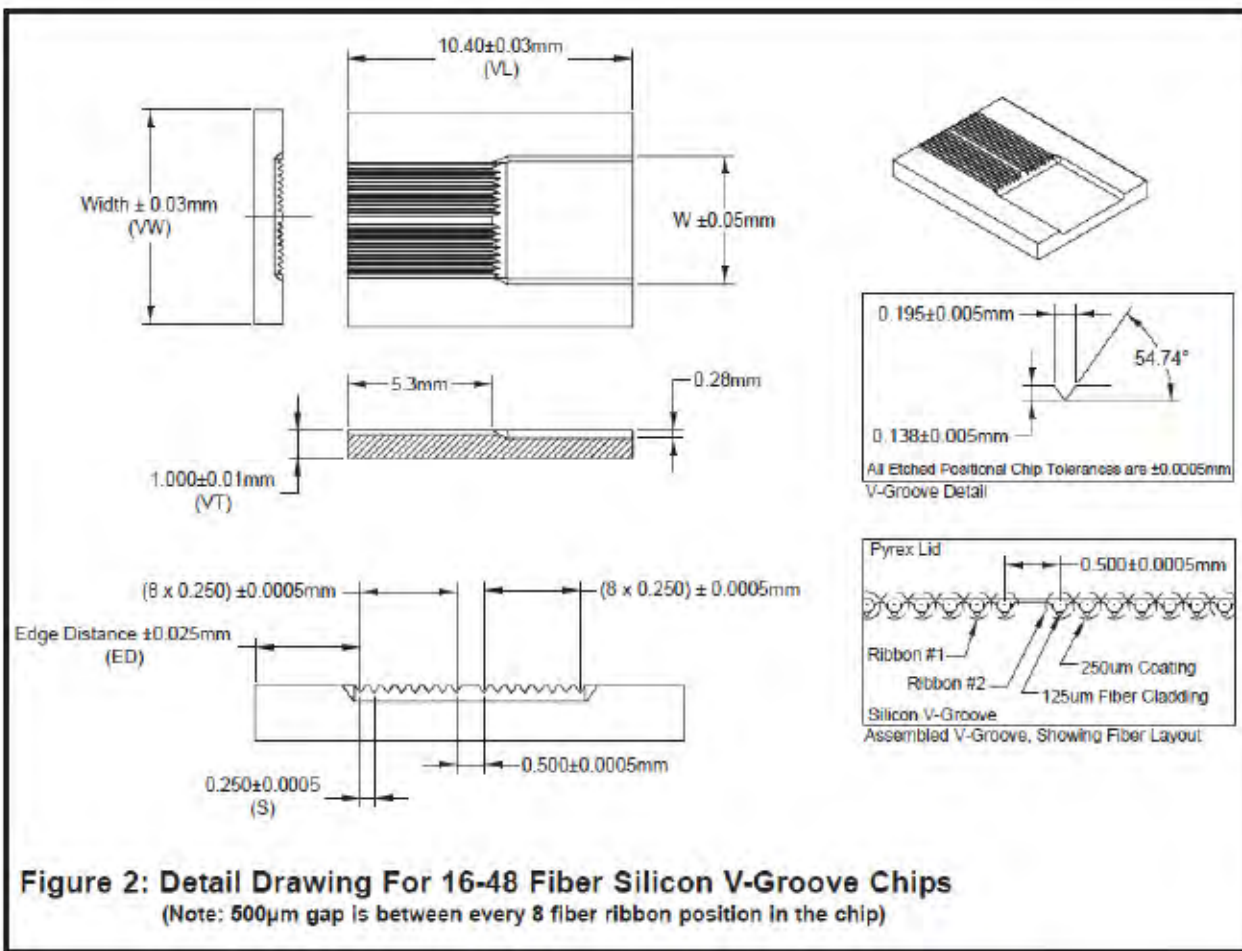
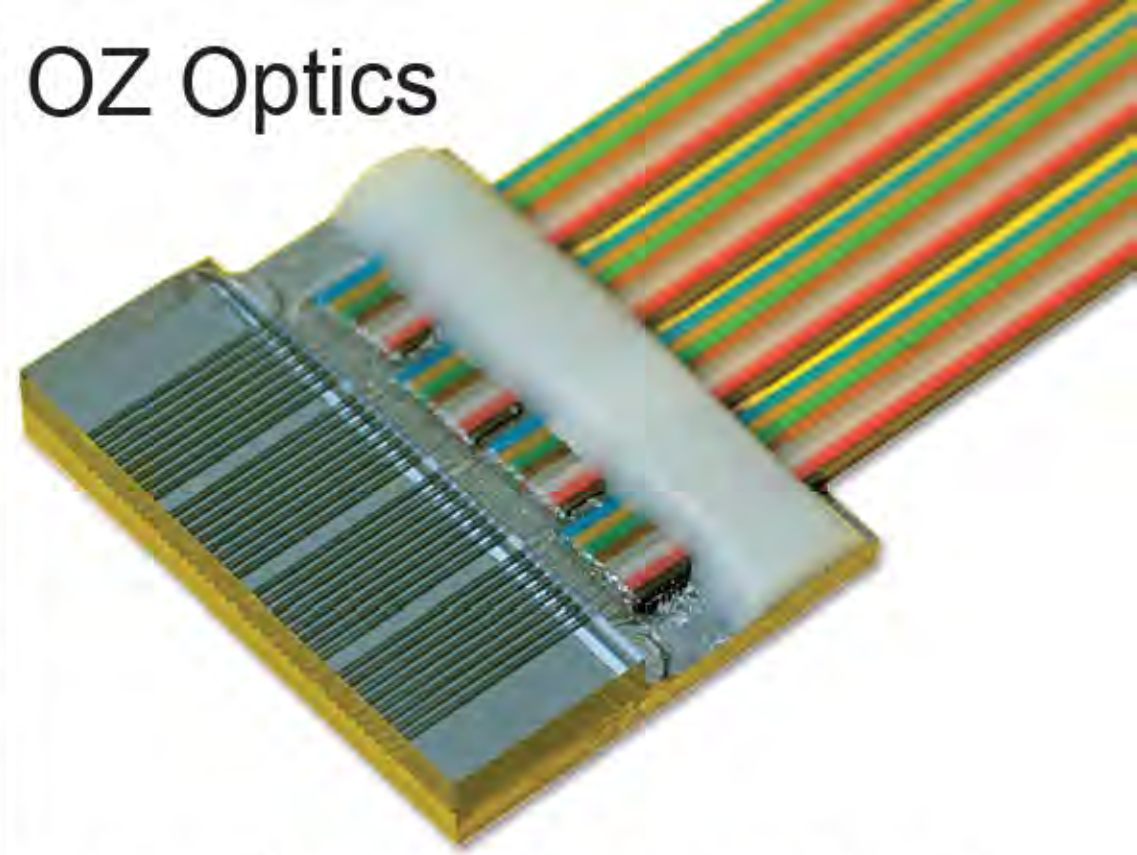
The problem is not resolution. A 50 to 100 m primary objective hologram has the requisite angular and spectral resolution to see exoplanets within 10 parsecs. Indeed, according to Lyon & Clampin a 100 meter primary could resolve habitable exoplanets out to 30 parsecs.

Table 1 Candidate stars versus aperture.

Diameter (meters)	IWA (mas)	Number of stars at or outside IWA						Total (575)	$\Delta t$ in days $\Delta t$ to SNR = 5
		A (18)	F (27)	G (124)	K (219)	M (163)	U (24)		
1 m	226.9	5	1	2	1	0	0	9	159.19
2 m	113.4	16	8	6	1	0	0	31	120.74
4 m	56.7	17	22	50	5	0	0	94	33.76
8 m	28.4	17	27	119	30	1	0	194	6.08
16 m	14.2	17	27	124	132	9	0	309	0.79

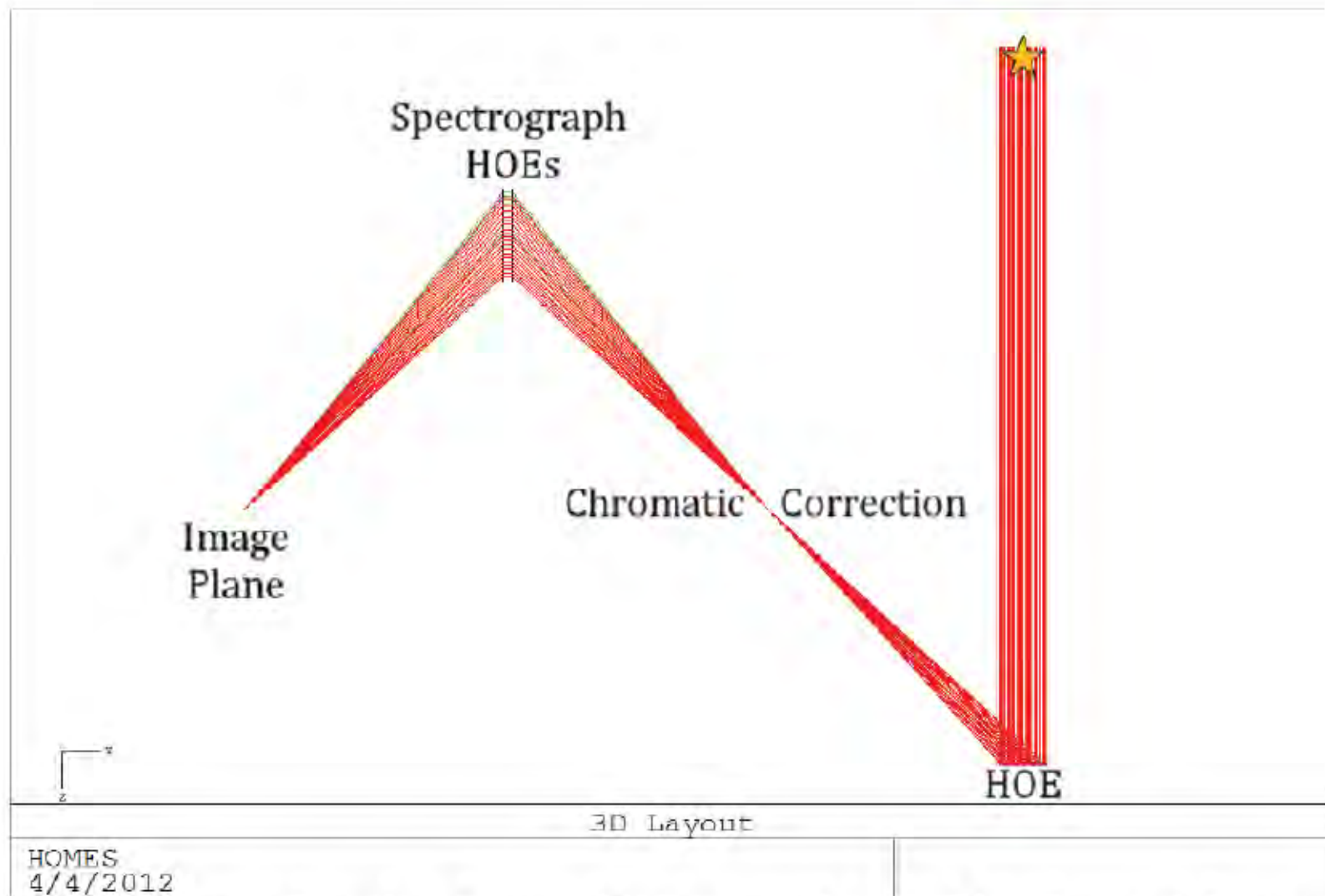
Richard G. Lyon & Mark Clampin, *op. cit.*

The problem is the flux, because each highly resolved HOMES bandpass sees few photons. Let's say an exoplanet at 10 parsecs produces 10 photons per square meter per minute. That means with a HOMES 500 square meter primary about 500 photons per minute at 100% efficiency or 50 photons per minute with 10% throughput. Dividing these into spectra means picking broad bands to achieve integration times of days rather than weeks or months.

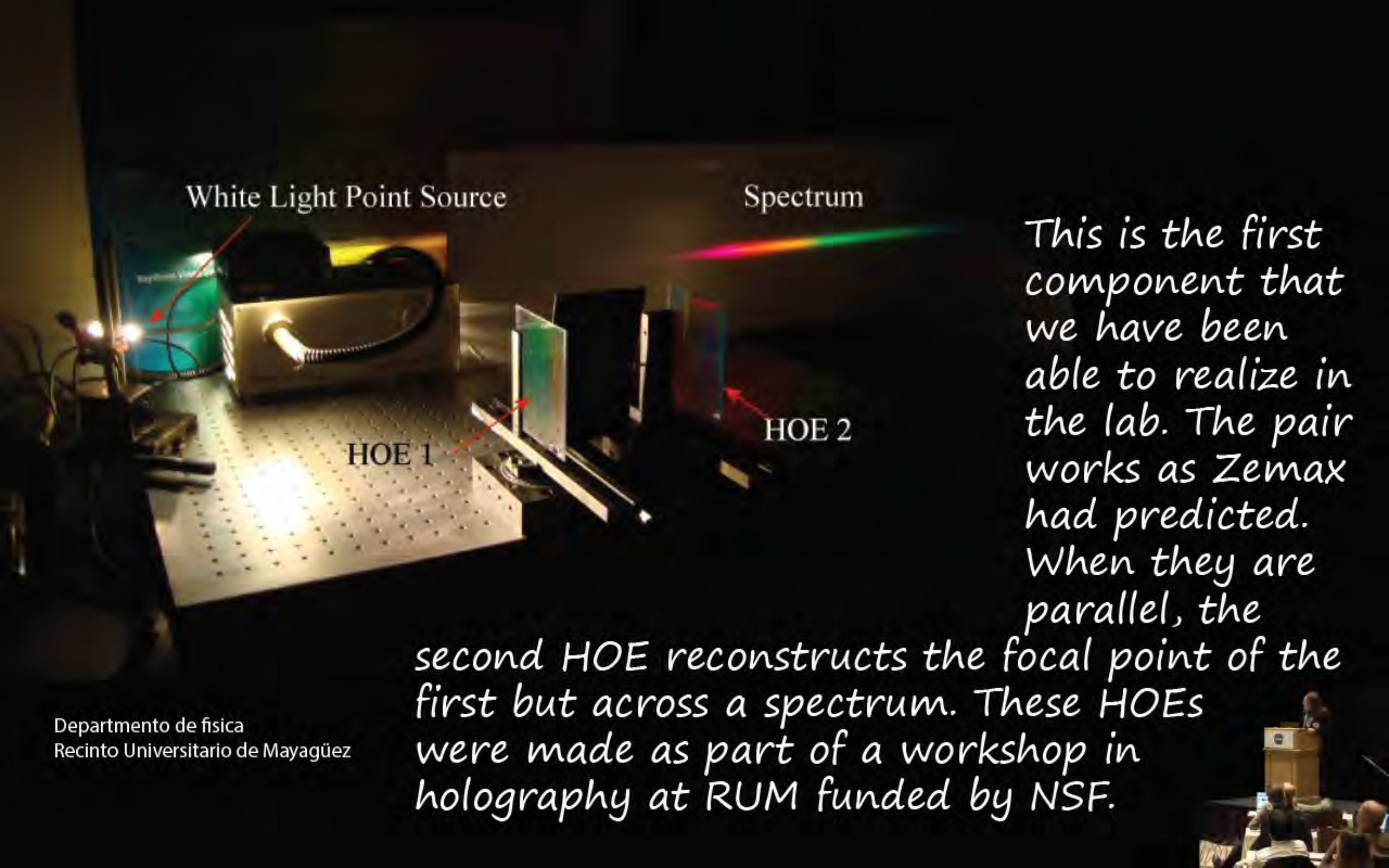


standard optical  
 connector with  
 500 micron  
 spacers.

*These bars derive from a commodity product used today for communication fibers. A one meter bar of fibers will acquire 1 nm of spectrum. One hundred bars would acquire a 100 nm enough bandwidth to see organics, Goldilocks' porridge.*



I knew when we started on this project that we'd need a very high resolution secondary spectrograph and considered membrane optics. Zemax predicted that we could use two copies of the primary HOE but in transmission rather than reflection.



White Light Point Source

Spectrum

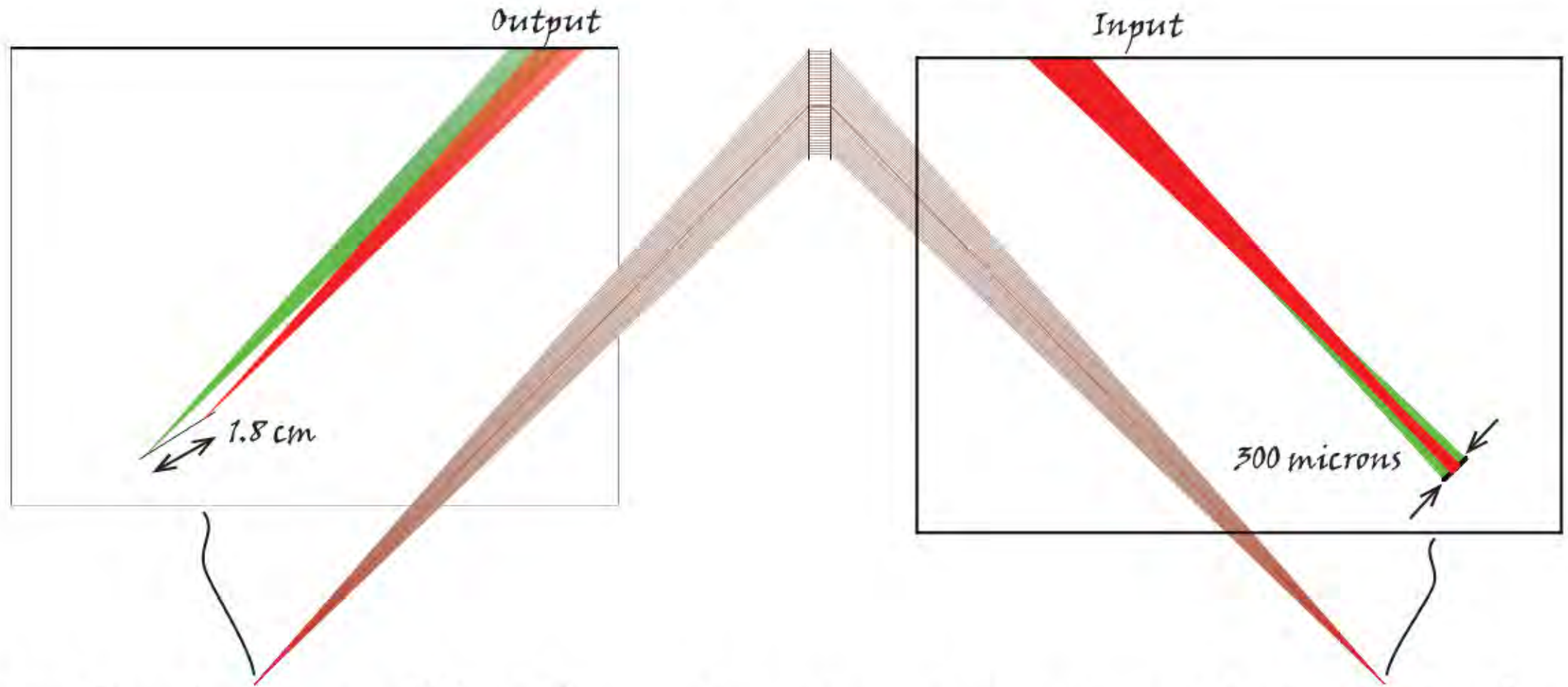
HOE 1

HOE 2

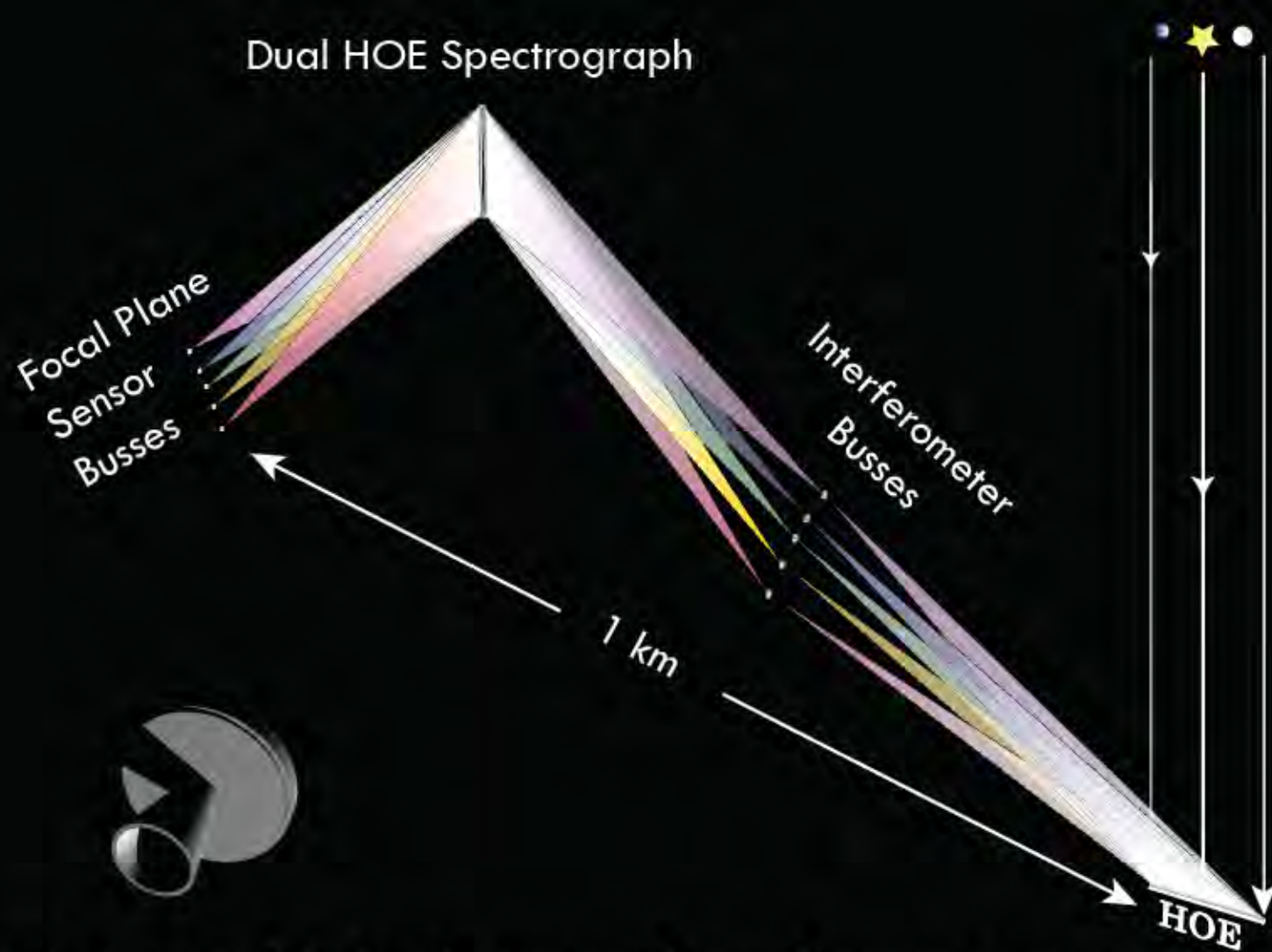
This is the first component that we have been able to realize in the lab. The pair works as Zemax had predicted. When they are parallel, the

second HOE reconstructs the focal point of the first but across a spectrum. These HOEs were made as part of a workshop in holography at RUM funded by NSF.

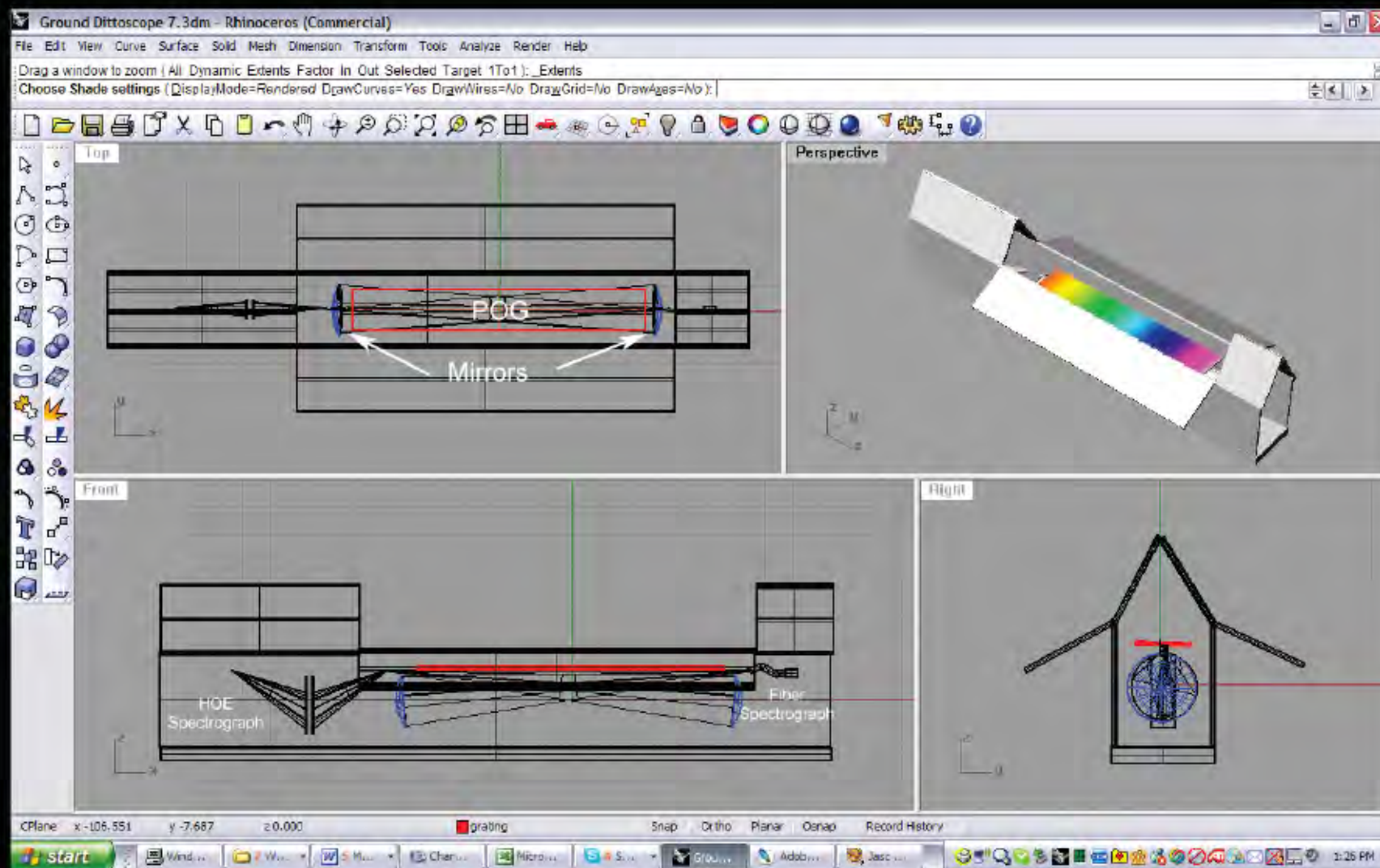
## 2 sources separated by 100 mas



The focal plane differs from the source image in that at the focus what was a co-axial input containing the wavelength-encoded images of the planets are now spatially separated by dispersion. In this example 100 milliarcseconds angular separation translates into 1.8 cm separation on the focal plane array.



HOMES has multiple formation-flown components that would span a kilometer. The primary objective and secondary spectrographic HOEs could be ribbons of 10 x 50 or 10 x 100 meters. It would be parked at the second Lagrange Point.



In Phase II, the project would be to build a ground-based version of The MOST scaled down to a 3 meter length primary objective. The goal is to map 1000 spectra in one observation at 12th Mag.





	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	Jul-14	Aug-14	
<b>Theory</b>													
Math	Hologram design				grazing exodus		phase error		Astigmatism		Integration Time		Ditto
Zemax		Hologram design				grazing exodus				Astigmatism			Friedman
PCGrate			Hologram design				grazing exodus				phase error		RPI DSK
<b>Prototype</b>													
Bench Optics	Mirror Mount							grazing exodus	phase error			Astigmatism	Niekamp Mozurkewich
POG				Plane Grating I	SEM	Emboss	SEM	Plane Grating II	SEM	Emboss	Emboss		Baker
Stretcher									Drawing	Drawing	Parts	Parts	McGrew
Spectrograph Data Reduction	Computer	Dual HOE Fab UPR					Mightex 6 Fiber	Driver Software					Monkhouse Warren
Observation Report			Star Map I II	Star Map	Star Map III	Star Map IV				Integration Time			
	NIAC						Prepare NIAC					Prepare	
	Sep-14	Oct-14	Nov-14	Dec-14	Jan-15	Feb-15	Mar-15	Apr-15	May-15	Jun-15	Jul-15	Aug-15	Sep-15
<b>Theory</b>													
Math	Free Spectra												
Zemax		Free Spectra											
PCGrate													
<b>Prototype</b>													
Bench Optics													
POG													
Stretcher	Assemble	Assemble			Change Declination			Second Mirror					
Spectrograph Data Reduction				Free Spectra									
Observation Report			First Light	Observe	Observe	Observe	Observe	Observe	Observe				
	NIAC						Prepare NIAC		Prepare	Prepare	SPIE	Prepare	NIAC

When the NASA Chief Administrator wrote a letter that described NIAC as being "de-scoped," the choice of language registered with this telescope maker, but if not sequestered, we do have our Phase II plans ready.

# Holographic Optical Method for Exoplanet Spectroscopy



HOMES

# Questions?

*[www.3dewitt.com/tele.html](http://www.3dewitt.com/tele.html)*

