

1 **Bi-linearity in the Gutenberg-Richter relation based on M_L for magnitudes above**
2 **and below 2, from systematic magnitude assessments in Parkfield (California)**
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11 **Key Points:**

- 12 • Scaling break between local magnitude and moment magnitude
13 • Different slopes of the earthquake frequency-magnitude distribution for local
14 magnitudes below and above 2.0
15 • Seismic hazard studies need to carefully consider these scaling breaks.

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21 **Abstract**

22 **Several studies have shown that local magnitude, M_L , and moment magnitude, M , scale**
23 **differently for small earthquakes ($M < \sim 2$) than for moderate to large earthquakes.**
24 **Consequently, frequency-magnitude relations based on one or the other magnitude type**
25 **cannot obey a power-law with a single exponent over the entire magnitude range. Since**
26 **this has serious consequences for seismic hazard assessments, it is important to establish**
27 **for which magnitude type the assumption of a constant exponent is valid and for which**
28 **it is not. Based on independently determined M , M_L and duration-magnitude, M_d ,**
29 **estimates for 5304 events near Parkfield, we confirm the theoretically expected**
30 **difference in scaling between the magnitude types, and we show that the frequency-**
31 **magnitude distribution based on M and M_d follows a Gutenberg-Richter relation with a**
32 **constant slope, whereas for M_L it is bi-linear. Thus, seismic hazard estimates based on**
33 **M_L of small earthquakes are likely to overestimate the occurrence probability of large**
34 **earthquakes.**

35

36 **1 Introduction**

37 A central and seemingly straightforward task in seismology is the precise estimation of
38 earthquake size. This forms a pre-requisite to characterize and compare events and to study
39 their relative frequency of occurrence. Unfortunately, estimating the size of an earthquake is
40 non-trivial. Numerous magnitude scales have been developed and are used in various
41 implementations to produce earthquake catalogs (i.e. local-, moment-, energy-, duration-,
42 body-, or surface wave magnitudes), and each of these magnitude types describes different
43 characteristics of an event. The oldest and most well-known instrumental magnitude scale is
44 the Richter magnitude, or local magnitude, M_L (Richter, 1935). It is determined from the
45 peak-amplitude of the horizontal ground displacement recorded by a Wood-Anderson
46 seismograph. However, local magnitude needs careful attenuation calibration and suffers
47 strongly from saturation effects for larger earthquakes (Aki and Richards, 2002). The duration
48 magnitude, M_d , reflects the length of the waveform signal, from the onset of the P-wave until
49 the coda amplitude falls below a certain level (Eaton, 1997). For seismic hazard analyses,
50 moment magnitude (M) is the preferred choice of magnitude. M is based on the seismic
51 moment (M_0), which is proportional to the product of fault area and average slip of the
52 rupture (with constant rigidity). Similarly to the seismic moment, M is a purely static measure
53 of earthquake size and, consequently, can also be estimated for paleo-earthquakes (e.g., F ah et
54 al., 2011). Moment magnitude has furthermore the advantage not to be affected by saturation

55 effects that influence most other scales. The seismic moment and thus \mathbf{M} are commonly
 56 estimated from the low-frequency plateau of the Fourier amplitude spectra of the recorded
 57 displacement waveforms, which for small earthquakes is technically challenging and limited
 58 by ambient noise levels (Edwards et al., 2010; Stork et al., 2014). To include as many
 59 earthquakes as possible in their catalogs, to facilitate automated and rapid processing and for
 60 consistency, monitoring network operators therefore still prefer magnitude estimates based on
 61 simply measurable parameters such as signal duration (M_d) or peak-amplitudes (M_L).
 62 Consequently, for seismic hazard analyses, the amplitude based magnitude estimates reported
 63 in the catalogs (typically M_L) are, in contemporary hazard studies, subsequently converted to
 64 moment magnitudes via empirically derived regression formulas (e.g. Goertz-Allmann et al.,
 65 2011).

66

67 The frequency-magnitude-distribution (FMD) of earthquakes is usually well described by a
 68 power law, expressed often as the truncated Gutenberg-Richter relation (Gutenberg and
 69 Richter, 1944):

70

$$71 \quad \log(N)=a-bM \quad M \leq M_{max} \quad (1)$$

72

73 where N is the number of events equal to or greater than magnitude M , a (activity rate) and b
 74 (size distribution) are constants and M_{max} is the maximum considered magnitude. This
 75 relationship is commonly used to characterize fault zones and to derive the expected
 76 recurrence rates of rare large events by extrapolating from the observed activity rate (a -value)
 77 and size distribution (b -value) of abundant small to moderate seismicity (Aki, 1987;
 78 Abercrombie and Brune, 1994; Wiemer et al., 2009). Thus, the quality of seismic hazard
 79 assessment, but also of many other studies in statistical seismology or earthquake source
 80 physics, strongly depends on the *consistency* of magnitude assessments with respect to time,
 81 space and magnitude.

82

83 Intuitively, the expectation appears reasonable that an earthquake has a single ‘magnitude’
 84 and each measure (M_d , M_L , \mathbf{M} ,...) should result in the same broadly consistent value for
 85 properly calibrated scales, with some scatter. However, this is not the case: independent
 86 estimates of different earthquake properties can lead to systematic and significant differences
 87 between the scales, particularly for extrapolations outside of the initial calibration range. A
 88 particularly important and often reported scaling break between magnitude scales has been

89 observed at small magnitudes ($M < 3$) between local magnitude (M_L) and moment magnitude
90 (M) Given $M_L = cM + d$, it has been observed that for M_L between 3 and 6 the proportionality
91 coefficient c is close to 1 (Bakun, 1984; Hanks and Boore, 1984). However, below $M=3$, c
92 increases and has been reported to be around 1.3 to 1.6 (Bakun, 1984; Hanks and Boore,
93 1984; Goertz-Allmann et al., 2011; Edwards and Douglas, 2014; Ross et al., 2016, Bethmann
94 et al., 2011, Munafò et al., 2016, Deichmann 2017). This break in scaling between the two
95 magnitude scales implies that constant power-law scaling must break down for at least one of
96 the two scales. Despite considerable efforts, until today it is not empirically demonstrated
97 which of the two scales leads to deviation from the simple power-law FMD (Equation 1), and
98 this lack of understanding has had major implication for site specific as well as national
99 seismic hazard and various tectonic stress and b-value studies (e.g., Wiemer et al.,
100 2015; Wiemer and Wyss, 2002; Tormann et al., 2012 and 2014).

101

102 Recent theoretical and empirical studies predict a ratio of 1.5:1 between M_L and M ($c=1.5$) for
103 small earthquakes, due to surface attenuation imposing a minimum limit to the observed pulse
104 duration (Edwards et al., 2015, Deichmann, 2017). The same conclusion can be drawn based
105 on random vibration theory, noting that, given the upper cut-off frequency of the attenuating
106 media, peak displacement amplitudes are logarithmically proportional to the seismic moment
107 for earthquakes with corner frequencies above the upper limit of this pass-band (e.g. Munafò
108 et al., 2016).

109

110 To address this question, we conduct a magnitude scaling assessment on data over a wide
111 magnitude range, based on independently calculated magnitude estimates. We process local
112 earthquake data in the data-rich and well monitored Parkfield region in California, and
113 estimate the most common magnitude types, M , M_L and M_d . To obtain a consistent data set,
114 we use a single borehole station. The earthquakes in the study region span a wide magnitude
115 range, from well below to well above the suggested break point in the scaling relationship
116 between M_L and M . We explore the relations between the different scales and discuss the
117 implications and potential pitfalls for hazard assessment and other earthquake studies.

118

119 **2 Setting and Network**

120 The Parkfield segment of the San Andreas Fault (SAF) is one of the best-monitored and most
121 extensively studied fault segments in the world (Bakun and Lindh, 1985). It has long been
122 recognized as an ideal natural laboratory for studying crustal fault phenomena (i.e. Bakun,

123 2005). The Parkfield segment has ruptured repeatedly with **M6** events on average every 20-25
124 years (6 times since 1857). Dense networks of various geophysical instruments have been
125 installed at the site of the ‘Parkfield Experiment’ and a tremendous amount of data of high
126 quality have been collected with the intention to reveal potential precursors to **M6** events
127 (HRSN, 2014). The most recent **M6** event occurred in 2004 after the longest observed inter-
128 event time of about 38 years.

129

130 To monitor microseismicity accompanying the larger events, the High Resolution Seismic
131 Network (HRSN) was installed (HRSN, 2014). It is operated by the Berkeley Seismological
132 Laboratory and is a 13-station array of geophone borehole instruments (each 3 channels) with
133 a sampling rate of 250Hz. The stations are located on both sides of the fault (Figure 1) at 63 to
134 345 m depth (HRSN, 2014). While the noise level for borehole stations is generally much
135 lower than for a surface network, there are still significant differences between the 13 stations.
136 Upgrades of the instruments have been performed at different times over the last decade to
137 improve signal-to-noise ratios.

138

139 Due to site effects, ambient noise levels, and instrument upgrades happening at different
140 times, the signal-to-noise ratio of the earthquake recordings varies significantly between
141 stations. Magnitudes determined as an average of several recordings may therefore introduce
142 systematic bias, depending on the stations used for each event. We therefore restrict our
143 dataset to the recordings of a single reference station: SMNB (Stockdale Mountain Borehole).
144 The station is the third deepest in the HRSN, with the sensor depth of 282 m below the
145 surface, and was selected due to very low noise and undisturbed recording over long periods
146 (Staudenmaier et al., 2016).

147

148 **3 Earthquake data**

149 Within the study region, we used the Northern California Earthquake Data Center (NCSN)
150 catalog events from mid-2001 to the end of 2016, excluding the **M6** event (catalog magnitude
151 of 5.97) in September 2004 due to clipped signals at the reference station. We restricted our
152 choice of events to seismicity along the SAF, including the Parkfield asperity and part of the
153 creeping segment to the north (Figure 1).

154

155 To investigate the fundamental scaling properties between magnitudes, it is ideal to analyze
156 the relative magnitudes of events with similar hypocentral locations and similar focal

157 mechanisms recorded by a single station. Along this part of the SAF, the focal mechanisms of
 158 most of the events close to the fault are purely strike-slip. To include a sufficiently large
 159 number of events for a statistically significant frequency-magnitude distribution, it was
 160 necessary to use data from an extended fault-segment, rather than events originating from a
 161 very restricted hypocentral area (Figure 1).

162

163 The catalog data shows an increase in seismicity rate after the **M6** event. However, our
 164 detailed analysis of the NCSN catalogue revealed that during ~18 months following the 2004
 165 **M6** event, an average of 30% (and up to 80%, e.g. 21 Nov 2004) of the events in the
 166 catalogue have unknown magnitude. For 5631 events with given catalog magnitudes, we
 167 retrieved the recorded waveform signal at the reference station, with a window of 5s before
 168 and 25s after the event. This extension before and after the event signal reduced the data set to
 169 5344 events due to excluding the time-overlapping waveforms, mostly detected in the
 170 aftershock series of the **M6** event of 2004.

171

172 **4 Magnitude determination**

173 Based on the retrieved waveform data, we independently determine M_L , M_d and M , i.e. we do
 174 not apply any conversion from one magnitude to another. For the analysis we used all three
 175 components of the station.

176 **4.1 Local magnitude M_L**

177 The main motivation to introduce local magnitude has been to provide a simple quantitative
 178 measure of the relative size distribution of earthquakes (Richter, 1935). It is based on the
 179 displacement in mm on a Wood-Anderson (WA) Torsion Seismometer (A)

180

$$181 \quad M_L = \log_{10} A + f(R_{hyp}), \quad (2)$$

182

183 along with the distance correction $f(R_{hyp})$ modified from Kanamori et al., (1993) for the
 184 source-receiver (hypocentral) distance R_{hyp} (in km):

185

$$186 \quad f(R) = -\log(0.3173 \exp(-0.00505R_{hyp}) R_{hyp}^{-1.14}) \quad (3)$$

187

188 With Parkfield being located very close to the Northern and Southern California boundary we
 189 used the SCSN formulation for distance correction $f(R_{hyp})$: The justification for this is that the
 190 SCSN calculates M_L estimates for all events, while the NCSN uses M_L estimates only for
 191 events above magnitude 3.

192

193 **4.2 Duration magnitude M_d**

194 Observing that WA seismometers, because of low magnification, did not provide useful
 195 records for events smaller than magnitude 2, Lee et al. (1972) introduced a signal-duration
 196 based magnitude for the NCSN:

197

$$198 \quad M_d = -0.87 + 2.00 \log(\tau) + 0.0014R_{epi}, \quad 0.5 < M < 5, \quad (3)$$

199

200 where τ represents the signal duration in seconds and R_{epi} is the epicentral distance in
 201 kilometres.

202

203 Originally, the event duration was measured from the onset of the P-wave to the point on the
 204 seismogram where the coda amplitude diminished to 1 cm amplitude (post gain) on the
 205 Develocorder film viewer screen. The signal duration definition varies from study to study
 206 (Lee et al., 1972, Eaton, 1992). Based on our results, we defined the signal duration on the 5-
 207 95% cumulative squared velocity integral of the signal. To test for stability and consistency of
 208 this choice, we also calculated duration magnitude estimates for signal duration defined by 2-
 209 98% and 10-90%. While different definitions of duration lead to significant changes of
 210 absolute magnitude estimates, the relative magnitude distribution is unaffected.

211

212 **4.3 Moment magnitude M**

213 According to Hanks and Kanamori (1979) moment magnitude, M , is related to seismic
 214 moment M_0 (in Nm) by

215

$$216 \quad M = \frac{2}{3}(\log_{10} M_0 - 9.05) \quad (5)$$

217

218 The seismic moment is calculated as:

219

$$M_0 = \frac{4\pi\beta_0^3\rho_0}{FS} U_0 G(R) \quad (6)$$

220

221 where F is the average radiation coefficient (0.55 for SH waves), β is the near-source shear-wave velocity (3500 m/s), S is the free-surface amplification (2.0 for SH waves), ρ is the average crustal density (2700 kg m⁻³), U_0 is the low-frequency level (plateau) of the displacement spectrum and $G(R)$ is the geometrical spreading function (Aki and Richards, 2002; Atkinson and Silva, 1997). To determine the plateau of the displacement spectrum, we apply a spectral fitting method as documented in Edwards et al. (2010) using a maximum frequency band of 1 to 125 Hz. The Fourier spectrum is then limited to the range where the signal to noise ratio exceeds three. We use the Californian Q and corresponding geometrical spreading model of Raoof et al (1999) to account for path attenuation along with a site specific κ_0 of 0.01s.

232

233 **5 Results**

234 Following the procedures as outlined above, we obtained moment-, local-, and duration magnitude estimates for 5304 events for which signal quality was sufficient (signal-to-noise ratio > 3). In this section, we compare the scaling relations of these different magnitudes. We use a weighted total least-squares algorithm that minimizes errors of both variables to compute the regressions for the coefficient of proportionality c between two magnitude scales (Krystek and Anton, 2007). Defining an uncertainty estimate for our obtained magnitudes is not as straightforward as for magnitudes derived at several stations. We tested the sensitivity to parameters that may affect the magnitude estimate, such as: the length of time windows for detection, different signal-to-noise used in the spectral analysis, and the influence of distance and lateral location uncertainty as well as the impact of radiation pattern, path and site effects. Each of this parameter can contribute up to ± 0.1 - 0.3 units of magnitude for each variable (Bethmann et al., 2011;Stork et al., 2014), which is about 6-10 % in the magnitude range of interest. The resulting error on magnitude is between 0.1 and 0.3 magnitude units, depending on magnitude type and event. We therefore assume an average error estimate of 0.2 for all magnitude scales.

249 **5.1 Comparison of duration magnitude: M_d vs. ($M_{d,NCSN}$)**

250 To evaluate whether the obtained duration magnitudes are reliable, we compared them with
 251 the NCSN catalog estimates, which contains only duration magnitudes for events smaller than
 252 3. We found that the independently calculated magnitudes from the present study are in good
 253 agreement with the catalog values: The regression over the available data ($M_{d,NCSN}$ between 0
 254 and 3) yields $M_d=(1.042\pm 0.031)M_{d,NCSN}+0.15$. The standard deviation of the data with respect
 255 to the regression amounts to 0.17 (supporting information figure S1). This means, the
 256 independently calculated magnitudes from the single borehole station are, on average, 0.15
 257 lower than the catalog estimates derived as an average over several stations. This shift can be
 258 explained by site-amplification of the station (where we would typically expect shorter
 259 durations at the borehole level) or by the different evaluation of the signal duration. Since we
 260 are interested in relative scaling between different magnitude types, the absolute shift is,
 261 nevertheless, unimportant in the scope of this work.

262 **5.2 Duration and moment magnitude (M_d vs. M)**

263 We now compare our moment magnitudes estimates obtained from spectral analysis and the
 264 magnitude determined from signal durations. Both magnitude estimates give similar values:
 265 over the whole range of analysis (M -1 to 4.7), the regression results in $M_d=(1.061\pm 0.02)M +$
 266 0.11 (supporting information figure S2). The overall standard deviation of the data with
 267 respect to the regression is 0.37.

268

269 **5.3 Local magnitudes (M_L) versus moment magnitudes (M)**

270 The comparison of moment magnitudes obtained from spectral analysis and local magnitudes
 271 determined from signal amplitude shows that a single linear regression over the entire
 272 magnitude range does not do justice to the data. Even in a plot of M_L versus M (upper inset in
 273 Figure 3) one sees that the coefficient of proportionality is greater for smaller events than for
 274 larger events. This is even more evident in a plot of $(M_L - M)$ versus M_L in Figure 2b, which
 275 is similar to a corresponding plot for Swiss data (Goertz-Allmann et al., 2011), shown here in
 276 Figure 2a. If we fit the data of M_L versus M separately for $M < 2.2$ and $M > 2.5$, we obtain a
 277 coefficient of proportionality of 1.46 ± 0.022 for the smaller events and of 1.04 ± 0.030 for
 278 the larger ones. We thus observe a break in the scaling of M_L and M between smaller and
 279 larger earthquakes. To test the stability of the scaling relation for events smaller than M 2, we

280 applied bootstrapping to the catalog. This results in a stable scaling factor between M_L and M
281 of 1.47 ± 0.034 for $M < 2$.

282 **5.4 Break in scaling between M and M_L**

283 The key to understanding the reason for the break in scaling between M_L and M is the fact
284 that as the magnitudes decrease, the corner frequencies of the spectra observed at a particular
285 site approach a finite maximum. This means that observed corner frequencies or equivalently
286 the observed pulse widths remain nearly constant independently of the event magnitude. In
287 this case, $\log(A)$ and thus M_L scale 1:1 with $\log(M_0)$, which in turn is equivalent to a scaling
288 of 1.5:1 of M_L versus M (e.g. Edwards et al., 2015; Munafò et al., 2016; Deichmann, 2017).
289 Harrington and Brodsky (2009) already observed that, for earthquakes on the San Andreas
290 fault near Parkfield, pulse widths remain nearly constant over a large magnitude range,
291 although they interpreted this as evidence for a minimum source size, rather than a site
292 attenuation effect.

293
294 The lower inset in Figure 3 shows the normalized velocity spectra resulting from the spectral
295 fitting procedure of Edwards et al. (2010), used in this study for the estimate of M . Each
296 spectrum is the best-fitting product of the velocity spectrum of a Brune source model and the
297 modified frequency response of the attenuation model for Southern California of Raoof et al.
298 (1999). The modification of the attenuation model concerns κ , the contribution of the receiver
299 site, which was decreased to 0.01 s, to account for our use of borehole recordings. We note
300 that uncertainty is associated with the parameters obtained in this fitting procedure: however,
301 in our case we are interested in visualizing the spectral shape only (which by definition is
302 minimized with respect to the data). The maximum corner frequency fitted to the empirical
303 data is around 30 Hz. This value corresponds closely to the corner frequency of the frequency
304 response of the modified attenuation model of Raoof et al. (1999) computed for a hypocentral
305 distance of 1 km (31.3 Hz). In other words, the attenuation model of Raoof et al. (1999) is
306 sufficient to account for the observed upper corner-frequency limit. Contrary to Harrington
307 and Brodsky (1999) and from the coincidence between the observed maximum corner
308 frequency and the corner frequency of the ground motion model, conclude that the break in
309 scaling is not a source effect but a consequence of an-elastic attenuation and scattering
310 between source and receiver.

311 **5.5 Consequences for Gutenberg-Richter power-law scaling and probabilistic seismic** 312 **hazard analysis**

313 Given the observed difference in scaling of M_L versus M for small and large events, it is
314 obvious that recurrence rates derived from the slope (b-value) of a frequency-magnitude
315 distribution (FMD) must also be different for small and large earthquakes. In particular, as
316 shown in Figures 3b and 3c, if the FMD is linear for M over the entire magnitude range, it
317 cannot be linear for M_L , and vice-versa. The FMD's with respect to our three independently
318 determined magnitudes plotted in Figure 3 show clearly that for M_L , contrary to M_d and M ,
319 the FMD is characterized by a pronounced bend between about M_L 1.5 and 2.5 and thus
320 cannot be fitted by a single straight line over the entire magnitude range. For magnitudes
321 above about 2.1, the b-value for M_L is 0.85 and, within the uncertainty of ± 0.03 estimated
322 according to Shi and Bolt (1982), is essentially identical to the b-values for M_d (0.87) and M
323 (0.88), determined over the entire range of completeness ($M_c = 1.21$ and 1.02). However, with
324 $b = 0.51$, the slope of the FMD determined for events with M_L less than about 1.9 is
325 significantly lower. We also found this observation to be stable for different time periods.

326

327 **6 Discussion and conclusions**

328

329 One-to-one scaling between the M and M_L scales breaks down between magnitudes 2 and 3.
330 This fact has been empirically established for many regions (Bakun, 1984; Hanks and Boore,
331 1984; Goertz-Allmann et al., 2011; Edwards and Douglas, 2014; Bethmann et al., 2011; Ross
332 et al., 2016; Munafò et al., 2016). Our results show while the b-value inferred from M
333 remains approximately constant across the magnitude range, that the estimate from M_L is
334 lower at lower magnitudes.

335 Our work (Figure 2, 3) confirms this finding with a highly consistent dataset and thus
336 highlights once more the intrinsic dangers of converting from M_L to M , or vice-versa. The
337 proposal that surface attenuation imposes a minimum limit to the observed pulse duration, or
338 equivalently a maximum limit to the corner frequency of the observed spectra (e.g. Edwards
339 et al., 2015, Deichmann, 2017) is consistent with our findings. It is likely that the exact shape
340 of the M_L to M relationship is regionally variable, depending on network characteristics,
341 source properties, attenuation and local site effects. Although the focal mechanisms of the
342 events in our data set are all very similar to each other, differences in take-off angles due to
343 different hypocenter locations introduce a dependence of M and M_L on the radiation pattern.

344 Adopting an average radiation coefficient to compute M_0 from Equation 6 just adds a constant
345 vertical shift to the curve in Figure 2b, and with regard to the slope of the curve it is
346 equivalent to ignoring possible contributions of the radiation pattern. In a homogeneous
347 medium this would be justified, since the radiation pattern is identical for both the low-
348 frequency level of the displacement spectrum and the maximum amplitude of the ground
349 displacement. For frequencies below about 2 Hz, this can also be expected in the case of a
350 heterogeneous medium (Takemura et al., 2009). At higher frequencies, however, scattering
351 due to small-scale heterogeneities along the wavepath has a smoothing effect on the azimuthal
352 dependence of the wavefield. With increasing hypocentral distance, this smoothing effect can
353 lead to a nearly isotropic apparent radiation pattern of the SH-waves (Takemura et al., 2009).
354 In this case, our estimate of M_0 based on frequencies below 2 Hz would show the source-
355 specific dependence on the radiation pattern, whereas our estimate of M_L , based on the
356 maximum displacement amplitude, that is, in most cases, measured at substantially higher
357 frequencies, would show a significantly weaker azimuthal dependence. Consequently,
358 ignoring the event-specific radiation pattern in our computations of M_0 introduces a potential
359 discrepancy between our estimates of \mathbf{M} and M_L and thus contributes to the vertical scatter of
360 the data points in Figure 2b.

361

362 In our case, the scaling of M_L to \mathbf{M} from recordings of events with mostly similar focal
363 mechanisms and distributed over a limited region observed at a single station is practically
364 identical to the theoretically expected 1.5:1 scaling for small events. However, in earthquake
365 catalogs of events with different focal mechanisms recorded over different distances and with
366 magnitudes based on averages from multiple stations, the scaling coefficient for small
367 magnitudes can deviate from the expected value of 1.5 (e.g. 1.33 in Ross et al., 2016, or 1.68
368 in Goertz-Allmann et al. 2011). Given the large number of parameters that are involved, the
369 explanation for these observed discrepancies is not straightforward.

370

371 It is worthwhile noting that duration magnitude, M_d , scales very well with \mathbf{M} , suggesting that
372 duration can be a suitable proxy for \mathbf{M} (e.g. Edwards and Douglas, 2014). One open question
373 is the absolute calibration of M_d , with differences in definition of duration leading to
374 systematic shifts between different measures of M_d . This may be regionally variable, as near-
375 surface deposits are known to strongly influence the duration of shaking. We therefore
376 caution the interpretation of activity rates (a -value) inferred from M_d to \mathbf{M} conversions, but

377 presume that given M_d to \mathbf{M} pairs (from independent measurement) this could be empirically
378 calibrated.

379

380 Our findings confirm the theoretical considerations of M_L scaling breaks by Edwards (2015)
381 and Deichmann (2017). In principle, our study resolves the scaling related issues that have
382 plagued many hazard and statistical seismology related studies in the past decade. For
383 analyses of b-values or extrapolations of recurrence rates, earthquake catalogs reported in M_L
384 cannot be reliably used below magnitudes of around 2.5 without region-specific non-linear
385 adjustments. This has a significant effect on seismic hazard assessment, as only the slope (b-
386 value) for $M > 2.5$ can be extrapolated with confidence to estimate recurrence rates for higher
387 magnitudes. This is especially challenging for settings that lack sufficient $M > 2.5$ events but
388 that do record abundant smaller events, e.g. induced seismicity, in which densely spaced
389 networks are located very close to the events. Those events are often processed using the M_L
390 approach, and, based on the results presented in this study, we argue that it is indispensable to
391 calculate moment magnitudes from the displacement spectrum, at least for a data subset.
392 From this it is then possible to determine the appropriate scaling at the study site and
393 accordingly correct the M_L values for those events for which \mathbf{M} is not available. Only then, is
394 an extrapolation of the size distribution for seismic hazard assessment possible.

395

396 The results of our magnitude analysis, which is based on a relatively homogenous data set
397 recorded at a single station, clearly show that the bend in the FMD occurs for M_L and not for
398 \mathbf{M} . In FMD plots based on regional earthquake catalogs, that are much more heterogeneous
399 (e.g. Switzerland, Southern California, Japan), this is not so clear. In these cases, the FMDs
400 based on M_L can actually be approximated by a single straight line over the whole magnitude
401 range. Whether this is an artifact of the usual distance calibration of M_L , which might
402 inadvertently compensate for the underestimation of the magnitude of small events due to an-
403 elastic attenuation (Butcher et al., 2017; Edwards et al., 2015), or whether this is due to
404 differences in the relative frequency of occurrence of small and large earthquakes is an open
405 question. To resolve this question would either require a catalog of \mathbf{M} values down to a
406 completeness magnitude well below 1 or a careful recalibration of catalog M_L values that
407 avoids the potential danger of overcompensating for the expected underestimation of M_L for
408 small earthquakes. A large data set of synthetic seismograms that simulates the data of a real
409 earthquake catalog in a realistic way would be useful to check the actual calibration

410 procedures and to understand the sensitivity of multi-station M_L values to different
411 parameters.

412

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562

563

564 **Figure 1:** Distribution of earthquakes along the Parkfield segment with different fault regimes of
565 the SAF : aerial view (with contours representing elevation) : earthquake data and study area
566 (black box). Histogram: number of events in each magnitude bin for the study area. Triangles:
567 HRSN stations (red: Reference station).

568

569 **Figure 2:** Scaling break: a) Observed scaling break in Swiss data (black line: interpolation of
570 Edwards et al., 2015 modified from Goertz-Allmann et al., 2011). b) Observed scaling break in
571 Parkfield data (fit obtained following Edwards et al., 2015).

572

573 **Figure 3:** Frequency magnitude distribution: a) purple: local magnitude; green: duration
574 magnitude; blue: moment magnitude. Red background illustrates transition in scaling at $M < 2$;
575 Inset top: Linear regression between M and M_L , illustrating a clear transition in scaling around
576 magnitudes 2-2.5. Inset bottom: Normalized velocity spectra fit (based on Brune's model
577 (Brune, 1970 and 1971): Maximum corner frequency around 30Hz (red line). Blue (light and
578 dark) lines: event spectra. Black line: the frequency response of the attenuation operator (Raouf
579 et al., 1999). Theoretical GR-FMD: b) assuming linear local magnitude (red) FMD. c) assuming
580 linear moment magnitude FMD.

581

582

Figure 1.

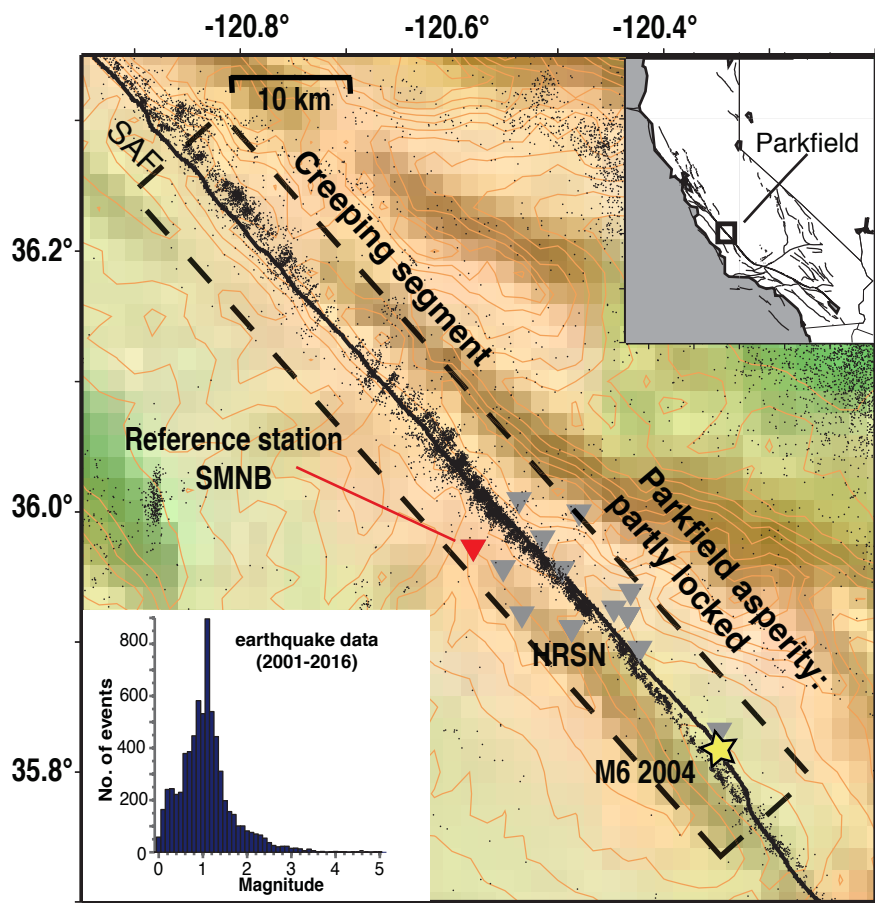


Figure 2.

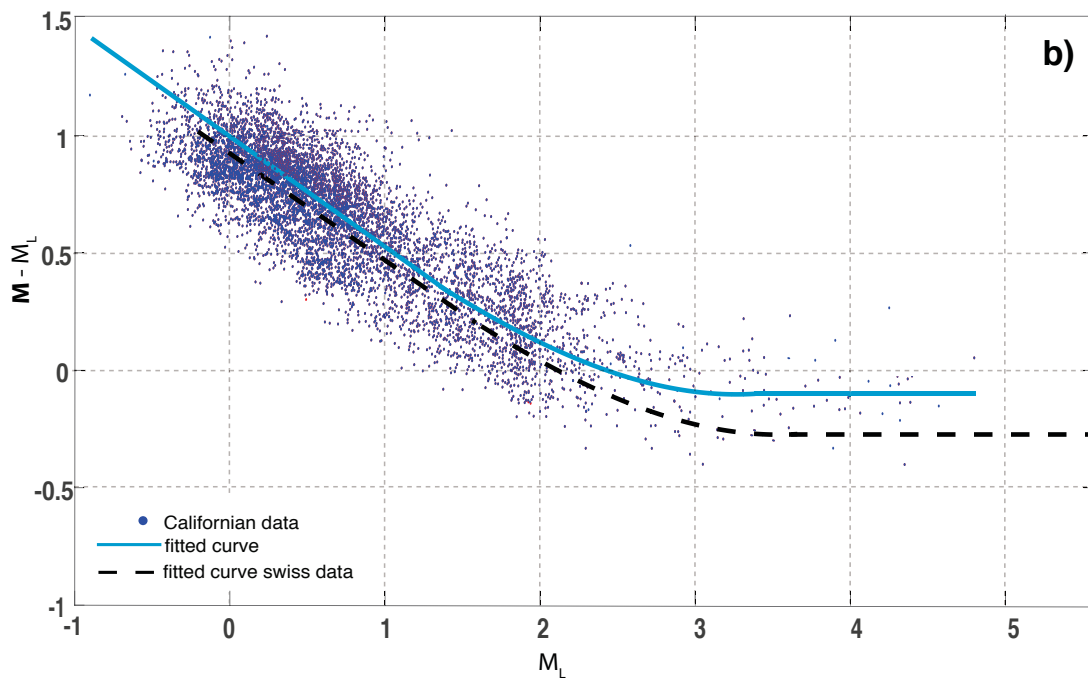
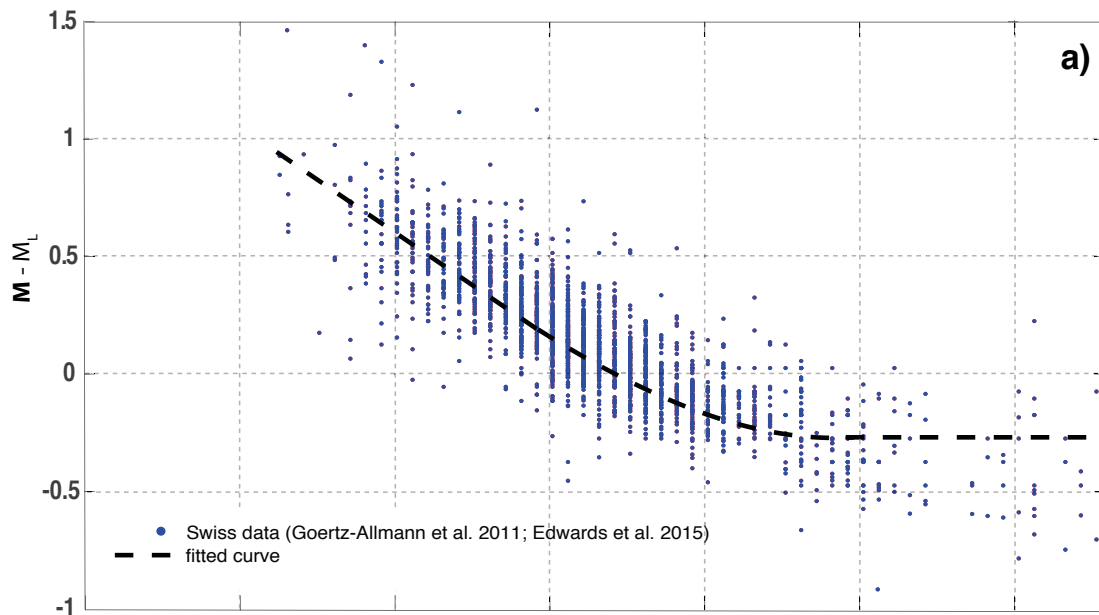


Figure 3.

