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Sudipta Pramanik University of Wollongong, sp345@uowmail.edu.au

Ahmed A. Saleh University of Wollongong, asaleh@uow.edu.au

Elena V. Pereloma University of Wollongong, elenap@uow.edu.au

Azdiar Adil Gazder University of Wollongong, azdiar@uow.edu.au

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#### Abstract

A Fe-17Mn-3Al-2Si-1Ni-0.06C wt.% steel was subjected to cold-rolling to 42% thickness reduction and isochronally annealed for 300 s between 500 and 850 °C. The high Mn steel was characterised via electron back-scattering diffraction, transmission electron microscopy and uniaxial tensile testing. The reversion of deformation-induced  $\epsilon$  and  $\alpha$ '-martensite to austenite ( $\gamma$ ) was witnessed with the formation of fine twins in reverted/recovered  $\gamma$  grains after annealing to 650 °C. The nucleation of new  $\gamma$  grains at the boundary of reverted/recovered  $\gamma$  grains was also noted. Upon reversion, the  $\gamma$  orientations originated from the  $\epsilon$  and  $\alpha$ '-martensite orientations by phase transformation via the Shoji-Nishiyama and Kurdjumov-Sachs orientation relationships, respectively. Upon segmenting the  $\gamma$  grains, the recrystallised  $\gamma$  grains were observed to nucleate with orientations similar to those of the reverted/recovered  $\gamma$  grains. Uniaxial tension on a fully recrystallised  $\gamma$  microstructure showed that the initial  $\gamma$  grain size has a more significant effect on the yield strength than the  $\epsilon$  and  $\alpha$ '-martensite fractions. On the other hand, the initial  $\epsilon$  and  $\alpha$ '-martensite fractions affect the total elongation.

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# Effect of isochronal annealing on the microstructure, texture and mechanical properties of a cold-rolled high manganese steel

Sudipta Pramanik<sup>1</sup>, Ahmed A. Saleh<sup>1</sup>, Elena V. Pereloma<sup>1,2</sup>, Azdiar A. Gazder<sup>2</sup>

<sup>1</sup>School of Mechanical, Materials, Mechatronic and Biomedical Engineering, University of Wollongong, New South Wales 2522, Australia

<sup>2</sup>Electron Microscopy Centre, AIIM, Innovation Campus, Squires Way, Wollongong, New South Wales 2500, Australia

#### Abstract

An Fe-17Mn-3Al-2Si-1Ni-0.06C wt.% steel was subjected to cold-rolling to 42% thickness reduction and isochronally annealed for 300 s between 500 and 850 °C. The high Mn steel was characterised via electron back-scattering diffraction, transmission electron microscopy and uniaxial tensile testing. The reversion of deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite to austenite ( $\gamma$ ) was witnessed with the formation of fine twins in reverted/recovered  $\gamma$  grains after annealing to 650 °C. The nucleation of new  $\gamma$  grains at the boundary of reverted/recovered  $\gamma$  grains was also noted. Upon reversion, the  $\gamma$ orientations originated from the  $\varepsilon$  and  $\alpha'$ -martensite orientations by phase transformation via the Shoji-Nishiyama and Kurdjumov-Sachs orientation relationships, respectively. Upon segmenting the  $\gamma$ grains, the recrystallised  $\gamma$  grains were observed to nucleate with orientations similar to those of the reverted/recovered  $\gamma$  grains. Uniaxial tension on a fully recrystallised  $\gamma$  microstructure showed that the initial  $\gamma$  grain size has a more significant effect on the yield strength than the  $\varepsilon$  and  $\alpha'$ -martensite fractions. On the other hand, the initial  $\varepsilon$  and  $\alpha'$ -martensite fractions affect the total elongation.

Keywords: electron microscopy; hardness; iron alloys; phase transformation; plasticity

#### **1. Introduction**

High strength steels containing 15-20 wt.% manganese possess low stacking fault energies (SFE) that enable the metastable face-centred cubic (fcc) austenite ( $\gamma$ ) phase to accommodate deformation by a combination of perfect and partial slip, twinning and phase transformation to hexagonal closed packed (hcp)  $\epsilon$ -martensite and/or body-centered cubic (bcc)  $\alpha'$ -martensite [1-3]. Generally, steels with SFE less than ~21 mJ/m<sup>2</sup> result in the formation of  $\epsilon$  and  $\alpha'$ -martensite whereas SFE between ~12-21 mJ/m<sup>2</sup> result in the formation of twins and  $\epsilon$  and  $\alpha'$ -martensite. The pathways to phase transformation are: (i)  $\gamma$  directly transforming to  $\alpha'$ -martensite and (ii)  $\gamma$  transforming to  $\alpha'$ -martensite via prior transformation to  $\epsilon$ -martensite [1, 4].

Upon annealing, the deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite revert to  $\gamma$ ; with the latter phase undergoing subsequent recrystallisation [1, 3, 5-7]. The reverse transformation of  $\varepsilon$ -martensite occurs between 100 and 250 °C while the reversion of  $\alpha'$ -martensite occurs between 500 and 700 °C for the high Mn steel used in the present investigation [8]. Lü et al. [3] reported the formation of highly dislocated, reverted  $\gamma$  when an Fe-21.6Mn-0.38C steel (all compositions in wt. % from here on) coldrolled to 50% thickness reduction was subsequently annealed at 630 °C. Kowalska et al. [7] observed fine twins in reverted  $\gamma$  along with remnant deformation-induced  $\alpha'$ -martensite when an Fe-26Mn-3Al-3Si steel cold-rolled to 57% thickness reduction was annealed at 500 °C. In that study, the onset of  $\gamma$  recrystallisation was noted at 650 °C.

Upon annealing, the orientations of reverted  $\gamma$  are derived from the orientations of their deformationinduced  $\varepsilon$  and  $\alpha'$ -martensite counterparts via the Shoji-Nishiyama (S-N,  $\{111\}_{\gamma} \mid\mid \{0001\}_{\varepsilon}, \langle 110\rangle_{\gamma} \mid\mid$  $\langle 11\overline{2}0\rangle_{\varepsilon}$  [9]) and the Kurdjumov-Sachs (K-S,  $\{111\}_{\gamma}\mid\mid \{110\}_{\alpha'}, \langle 110\rangle_{\gamma} \mid\mid \langle 111\rangle_{\alpha'}$  [10]) orientation relationships, respectively. In this regard, Lü et al. [3] showed the reversion of  $\{01\overline{1}4\}\langle 1\overline{2}12\rangle_{\varepsilon}$  to  $\{123\}\langle 412\rangle_{\gamma}$  in an Fe-21.6Mn-0.38C steel cold-rolled to 50% thickness reduction and annealed at 630 °C. Kowalska et al. [7] observed reversion to the Brass (Br<sub> $\gamma$ </sub>,  $\{110\}\langle 112\rangle_{\gamma}$ ), Copper (Cu<sub> $\gamma$ </sub>,  $\{112\}\langle 111\rangle_{\gamma}$ ), Goss (G<sub> $\gamma$ </sub>,  $\{110\}\langle 001\rangle_{\gamma}$ ) and S<sub> $\gamma$ </sub> ( $\{123\}\langle 634\rangle_{\gamma}$ ) orientations from the  $\{hkil\}_{\varepsilon}$  –fibre orientations and  $\{001\}\langle 110\rangle_{\alpha'}$ , (112)[1 $\overline{1}0$ ]<sub> $\alpha'</sub> when an Fe-26Mn-3Al-3Si steel cold-rolled to 57% thickness reduction$ was subjected to isochronal annealing at 500, 650 and 750 °C.</sub>

Annealed high Mn steels are reported to possess ultimate tensile strengths (UTS) and total elongation greater than 1100 MPa and 0.55, respectively [11, 12]. A comprehensive collection of literature-based tensile properties of metastable high Mn steels has been presented by the authors in Ref. [13]. High Mn steels processed by cold-rolling and annealing after hot-rolling and solution treatment [14, 15] show slightly higher tensile strengths compared to steels processed by hot-rolling and solution treatment only [16-18]; primarily on account of the smaller  $\gamma$  grain size in the former case. In addition, multiphase high Mn steels [8] tend to possess higher yield strengths (YS) and UTS of 465 - 1231 MPa and 856 - 1376 MPa compared to their single-phase counterparts (YS = 168-434 MPa, UTS = 698-822 MPa)[14].

The Fe-17Mn-3Al-2Si-1Ni-0.06C steel of this study was cold-rolled to 66% thickness reduction and subjected to isochronal annealing. Microstructure characterisation via transmission Kikuchi diffraction (TKD) by Gazder et al. [5, 6] revealed the formation of deformation-induced  $\varepsilon$  and  $\alpha'$ martensite and a trace amount of y upon cold rolling. Upon annealing at 625 °C, the microstructure comprised a predominant fraction of reverted and recrystallised  $\gamma$  (with the recrystallised  $\gamma$  grains containing stacking faults) along with untransformed  $\alpha'$ -martensite and a trace fraction of  $\varepsilon$ martensite. In the case of the same steel cold-rolled to 42% thickness reduction and subjected to isochronal annealing, an electron back-scattering diffraction (EBSD) study estimated an activation energy of 237.2  $\pm$  17.3 (kJ/mol) for  $\gamma$  grain growth between 700 and 900 °C annealing; a value that suggests the operation of grain boundary diffusion [19]. In addition, the activation energy of  $\gamma$  grain growth in Fe-29Mn-0.06C high Mn steel during isothermal annealing at 1000 °C was estimated as 208 kJ/mol [20]. In this regard, the activation energy for  $\gamma$  grain growth for Fe-1.51Mn-0.03Si-0.17C, Fe-1.43Mn-0.03Si-0.12C and Fe-0.86Mn-0.03Si-0.11C plain carbon steels is estimated as  $\sim$ 262, 271 and 272 kJ/mol respectively [21]; values that are slightly higher than high Mn steel. For C-Mn-V, C-Mn-Ti, C-Mn-Nb based micro-alloyed low carbon steels, the activation energy for y grain growth is reported as 400, 437 and 435 kJ/mol respectively [22]; values that are almost double those compared to high Mn steels. Digital image correlation during room temperature, uniaxial tension after cold-rolling and isochronal annealing revealed strain localisation accompanying deformation-induced phase transformation all along the parallel gauge length [13].

In this regard, the present study presents an overview of the effect of isochronal annealing on the microstructure, texture and mechanical properties of a 42% cold-rolled high manganese steel via a combination of EBSD, transmission electron microscopy (TEM) and room temperature uniaxial tensile testing. It details the orientations of deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite that lead to particular  $\gamma$  orientations upon reversion. Furthermore, this is the first study to segment the  $\gamma$  phase into its reverted/recovered and recrystallised fractions in order to catalogue the differences in morphology and micro-texture between them.

#### 2. Experimental and analytical procedure

An Fe-17Mn-3Al-2Si-1Ni-0.06C steel was slab cast and reheated at 1100 °C for 7200 s. The slab was hot-rolled at 1100 °C to 52.5% thickness reduction in 4 passes to 516 (length) × 60 (width) × ~9.8 (thickness) mm<sup>3</sup>. The hot-rolled specimens were subsequently cold-rolled to 42% thickness reduction in 11 passes to 759 (length) × 60 (width) × ~5.4 (thickness) mm<sup>3</sup>.

Dog-bone shaped ASTM-EM8-04 standard subsize tensile samples of 35 (gauge length) × 6 (width) × 2 (thickness) mm<sup>3</sup> were wire-cut from the centre of the cold-rolled sheet parallel to the rolling (RD), transverse (TD) and normal (ND) directions, respectively. Similarly, 10 (length, RD) × 7 (width, TD) ×  $\sim$ 5.4 (thickness, ND) mm<sup>3</sup> rectangular samples were wire-cut from the centre of the cold-rolled sheet. The dog-bone and rectangular samples were isochronally annealed at 500, 600, 625, 650, 700, 750,

800 and 850 °C for 300 s and immediately water quenched. In this regard, supplementary Fig. S1 shows the schematic diagram for processing and subsequent sample preparation.

The hardness of the cold-rolled and annealed rectangular samples was measured using a Struers EmcoTest Durascan-70 equipped with a Vickers indenter using a 10 kgf load on the ND-RD plane. Ten indents were undertaken on each sample and the average hardness reported along with the standard deviation. The softening fraction (X) was calculated from the hardness values using the equation [23]:

#### $X = (H_R - H_T)/(H_R - H_0)$

where,  $H_R$  is the hardness after cold-rolling,  $H_T$  is the hardness after annealing at temperature "T" between 500 and 800 °C and  $H_0$  is the hardness after annealing at 850 °C.

(1)

The dog-bone samples were subjected to uniaxial tension at room temperature on a 100 kN Instron 1341 universal testing machine operating with an initial crosshead speed of 0.035 mm/s at a strain rate of  $0.001 \text{ s}^{-1}$ .

Electron transparent thin foils for microstructural characterisation via EBSD and transmission electron microscopy (TEM) were prepared by punching 3 mm diameter discs from the ND-RD plane. The punched discs were ground using 2400 grit abrasive paper to achieve a final thickness of ~60-70  $\mu$ m and then twin-jet electropolished at -25 °C using a solution of 90% methanol and 10% perchloric acid in a Struers Tenupol-5 operating at 30 V (~150 mA).

For texture characterisation, the RD-ND plane of the rectangular samples was ground using 2400 grit abrasive paper and electro-polished in a Struers LectroPol-5 operating at 50 V for 90 s with a solution of 330 ml methanol, 330 ml butoxyethanol and 40 ml perchloric acid.

EBSD was undertaken on a JEOL JSM-7001F field emission gun-scanning electron microscope operating at 15 kV accelerating voltage, ~6.5 nA probe current. The working distances for electron transparent foils and rectangular samples were 12 and 15 mm, respectively. For microstructure characterisation, a step size of 0.03  $\mu$ m was used for the cold-rolled, 500, 625 and 650 °C annealed samples. A step size of 0.1  $\mu$ m was used for the 700-850 °C annealed samples. For bulk texture work, step sizes of 1  $\mu$ m was used for the cold-rolled and 500-650 °C annealed samples, 2  $\mu$ m for the 700 and 750 °C annealed samples and 2.5  $\mu$ m for the hot-rolled, 800 and 850 °C annealed samples.

Post-processing of the EBSD maps for microstructure characterisation was undertaken using the Oxford Instruments (OI) Channel-5 software suite. In brief, it involved the removal of wild spikes and cyclic extrapolation of zero solutions up to five neighbours followed by thresholding the band contrast to delineate the unindexed regions. The band contrast map was superimposed on the phase maps such that the red, green, blue and white colours denote the  $\gamma$ ,  $\varepsilon$ ,  $\alpha'$ -martensite phases and unindexed regions, respectively. Boundary misorientations of 2°-15° and greater than 15° comprise low (LAGBs) and high (HAGBs) -angle grain boundaries, respectively. As per the Palumbo-Aust criterion ( $\Delta\theta \leq 15^{\circ} \Sigma^{-5/6}$ ),  $\Sigma 3 \gamma$ -twin boundaries have a maximum angular deviation of 6.03° from the ideal misorientation angle-axis of  $60^{\circ}/(111)_{\gamma}$  [24].  $\{10\overline{1}2\}\langle\overline{1}011\rangle_{\varepsilon}$  extension twins denoted as  $\sim 86^{\circ}/(1\overline{2}10\rangle_{\varepsilon}$  are shown

using a 5° deviation limit [25]. The orientation distribution functions (ODFs) are plotted using JTex [26] after exporting the orientation data from OI Channel-5.

After hot-rolling, the microstructure consists of coarse  $\gamma$  grains containing plate like  $\varepsilon$ -martensite and lenticular  $\alpha'$ -martensite with the area fractions 68%, 12% and 20%, respectively [27]. The EBSD maps of the 600, 625 and 650 °C annealed samples were segmented into reverted/recovered and recrystallised  $\gamma$  grain fractions by modifying the modifying the procedure developed by Gazder et al. [28, 29]. A threshold based on internal misorientation was first applied to segment  $\gamma$  grains into two subsets comprising lower and higher internal misorientations. A sub-grain aspect ratio threshold [29] was applied to the lower internal misorientation subset in order to further segment the recrystallised and recovered grains. The recovered grains were merged with the higher internal misorientation  $\gamma$  grains in order to return the reverted/recovered  $\gamma$  grain fraction. It follows that the recrystallised  $\gamma$  fraction comprises grains with lower internal misorientations and sub-grain aspect ratios.

Conventional TEM was undertaken on a LaB<sub>6</sub> JEOL JEM-2010 operating at 200kV. For each of the coldrolled, 500, 600, 625 and 650 °C conditions, bright-field and selected area electron diffraction patterns were collected on 2-3 thin foils per condition. The thickness of the twins (w) in the TEM micrographs was estimated from the measured spacing (w<sub>L</sub>) using the equation [30]:

 $w = (2w_L)/\pi$ 

(2)

#### 3. Results and discussion

#### 3.1 Changes in microstructure upon isochronal annealing

The variation in hardness (and the softened fraction calculated using Eq. (1)) and the evolution of microstructure with annealing temperature are shown in Figs. 1 and 2, respectively. The hardness curve can be sub-divided into three regions comprising: (i) a small decline between cold-rolling and annealing at 500 °C (Figs. 2a, 2b), (ii) a relatively large decrease upon annealing between 600 and 650 °C (Figs. 2c-2e) and (iii) a gradual reduction upon annealing between 700 and 850 °C (Figs. 2f-2i).



Figure 1: Variation in hardness and the softened fraction with annealing temperature.

The cold-rolled microstructure comprises elongated, fragmented  $\alpha'$ -martensite as the major phase fraction along with remnant  $\varepsilon$ -martensite and a trace amount of untransformed  $\gamma$  (Fig. 2a). Similar results are obtained when the same steel was cold-rolled to 66% thickness reduction [5, 6, 19]. During cold-rolling, the  $\gamma$  grains undergo subdivision and phase transformation to  $\varepsilon$ -martensite and  $\alpha'$ martensite. Furthermore, the thick  $\varepsilon$ -martensite plates carried over from hot-rolling also transform to  $\alpha'$ -martensite [27]. The delayed transformation of unfavourably oriented  $\gamma$  grains to  $\varepsilon$ -martensite and the sub-division of thick  $\varepsilon$ -martensite plates by  $\alpha'$ -martensite leads to the presence of  $\gamma$  and  $\varepsilon$ martensite pockets in the cold-rolled sample (Fig. 2a).

Annealing at 500 °C shows that the process of reversion back to  $\gamma$  is underway (Fig. 2b); with  $\Sigma$ 3 twins observed in some reverted/recovered  $\gamma$  grains (see inset in Fig. 2b). Annealing at 600 and 625 °C returns a microstructure comprising approximately equal fractions of  $\alpha'$ -martensite and reverted/recovered and recrystallised  $\gamma$  (Figs. 2c and 2d). Annealing at 650 °C results in a mix of recrystallised and polygonised  $\gamma$  grains along with some reverted/recovered  $\gamma$  and remnant  $\alpha'$ -martensite (Fig. 2e).

Annealing at 700 °C marks the end of  $\gamma$  recrystallisation along with the formation of annealing twins (in yellow, Fig. 2f) and plate-like  $\varepsilon$ -martensite on quenching. Further annealing between 750 and 850 °C results in ever larger  $\gamma$  grains (Figs. 2g-2i) and a proliferation of plate-like  $\varepsilon$ -martensite and lenticular/plate-like  $\alpha'$ -martensite forming on quenching (Figs. 2c, 2d, 2f-2i). The increase in  $\varepsilon$  and  $\alpha'$ martensite fraction is ascribed to the higher number of nucleation sites for their formation and the availability of large  $\gamma$  grain area for growth in coarser  $\gamma$  grain sizes. Also, the low  $\gamma$ -stacking fault energy of the present high Mn steel (SFE = 14.5 mJ/m<sup>2</sup> [31]) favours the formation of  $\varepsilon$  and  $\alpha'$ martensite. Similar trends increases in  $\varepsilon$  and  $\alpha'$ -martensite volume fraction with  $\gamma$  grain size have been observed in Ref. [32].



(a)



(b)

























Figure 2: Superimposed band contrast and phase maps after (a) cold-rolling and subsequent annealing at (b) 500 °C, (c) 600 °C, (d) 625 °C, (e) 650 °C, (f) 700 °C, (g) 750 °C, (h) 800 °C and (i) 850 °C. Red =  $\gamma$ , green =  $\epsilon$ -martensite, blue =  $\alpha'$ -martensite, white = unindexed areas, silver = LAGBs, black = HAGBs, yellow =  $\gamma$  twin boundaries. Rolling direction (RD) = horizontal. Inset in Fig. 2b shows twins in reverted/recovered  $\gamma$  grain. White arrows in Fig. 2a show the sub-division of  $\alpha'$ -martensite grains.

Figs. 3a-3c depict the  $\gamma$  grains segmented using the procedure described in section 2 into reverted/recovered (in green) and recrystallised (in fuschia) fractions after annealing at 600, 625 and 650 °C, respectively. The average grain size of the recrystallised  $\gamma$  fraction increased from 0.21 ± 0.1  $\mu$ m to 0.23 ± 0.11  $\mu$ m to 0.33 ± 0.25  $\mu$ m upon annealing at 600, 625 and 650 °C, respectively. Fig. 3d records a decreasing trend in the low-angle boundary population of the reverted/recovered and recrystallised fractions. On the other hand, small decreases and increases in the high-angle boundary population are noted for the reverted/recovered and recrystallised fractions, respectively. As one EBSD map was undertaken per condition, error bars are missing in the segmented  $\gamma$  LAGB and HAGB fractions in Fig. 3d.







(c)



100

90 80 70

Boundary %



(d)

(i)

Figure 3:  $\gamma$  grains segmented into reverted/recovered (in green), recrystallised (in fuschia) fractions after annealing at (a) 600 °C, (b) 625 °C and (c) 650 °C, (d) the variation of  $\gamma$  low angle and high angle grain boundaries percentages with annealing temperature. White regions in Figs. 3a-3c indicate  $\varepsilon$  and  $\alpha'$ -martensite as well as unindexed pixels.

In Fig. 4, representative bright field micrographs provide more details on the changes occurring in the cold-rolled microstructure upon annealing at 500, 600, 625 and 650 °C. The cold-rolled micrographs show elongated  $\alpha'$ -martensite grains (Fig. 4a);  $\varepsilon$ -martensite grains containing stacking faults (green arrows, Fig. 4b) along with untransformed  $\gamma$  (red arrows, Fig. 4b). In this regard, in-situ neutron diffraction and combined TEM/TKD results by Saleh and Gazder et al. [5, 6, 33] showed the feasibity of deformation accommodation in  $\varepsilon$ -martensite. In an following detailed TEM study,  $\varepsilon$ -martensite was found to accommodate deformation via the formation and change in stacking fault character when the steel is progressively cold-rolled up to 42% thickness reduction [27]. A mechanism enabling the change in stacking fault character was proposed which involves the motion of Shockley partial dislocations on every plane below the stacking fault plane.

Upon annealing between 500 and 650 °C, the formation of fine twins of ~6.5 ± 4.2 nm thickness in reverted/recovered  $\gamma$  grains is noted; with verification provided by the top left inset diffraction pattern in Fig. 4c. Twins in reverted  $\gamma$  grains were previously reported in an Fe-26Mn-3Si-3Al steel cold-rolled to 52% thickness reduction and annealed at 500 °C for 30 min [7]. However, in that study, no reasons were put forward for their formation.

In this regard, a TEM-based in-situ heating investigation of the present 42% cold-rolled steel observed twin formation in reverted/recovered  $\gamma$  grains and attributed the phenomenon to the subsequent recovery of the  $\gamma$  grain after it reverts from  $\varepsilon$ -martensite [34]. Similar recovery-induced twins were observed in  $\gamma$  grains reverted from twinned  $\alpha'$ -martensite during the annealing of a Fe-33.5Ni alloy [35]. The nucleation of new  $\gamma$  grains at the boundary of reverted/recovered  $\gamma$  grains is observed after 600 °C annealing and shown in the top right inset in Fig. 4d.

The bottom inset diffraction pattern in Fig. 4b depicts the S-N orientation relationship between neighbouring deformation-induced  $\varepsilon$ -martensite and remnant  $\gamma$  grains after cold-rolling. Alternatively, the bottom inset diffraction patterns in Figs. 4c-4e show the K-S orientation relationship between neighbouring remnant  $\alpha'$ -martensite and reverted/recovered  $\gamma$  grains upon annealing.







(e)

(f)

Figure 4: Representative (a-f) bright-field transmission electron micrographs after (a, b) cold-rolling and annealing at (c) 500 °C, (d) 600 °C, (e) 625 °C and (f) 650 °C. The bottom left inset diffraction patterns in Figs. 4a-4f are from the regions delineated by white circles. The top left inset diffraction pattern in Fig. 4c is from the red circular region. Zone axes are  $[111]_{\alpha'}$  in Fig. 4a,  $[2\overline{110}]_{\epsilon}$ ,  $[110]_{\gamma}$  in Fig. 4b,  $[01\overline{1}]_{\gamma}$ ,  $[11\overline{1}]_{\alpha'}$  in Figs. 4c-4e and  $[110]_{\gamma}$  in Fig. 4f.

#### 3.2 Changes in texture upon isochronal annealing

Figs. 5-8 are selected  $\phi_2$  sections of the orientation distribution functions (ODFs) of  $\alpha'$  and  $\varepsilon$ -martensite and  $\gamma$  after cold-rolling and annealing. Since  $\alpha'$  and  $\varepsilon$ -martensite constitute the predominant the phases in the cold-rolled sample, their ODFs are presented first followed by ODFs of the  $\gamma$ -phase that forms on reversion during annealing.

The ODFs of  $\gamma$ ,  $\varepsilon$  and  $\alpha'$ -martensite for the hot-rolled sample are shown in supplementary Fig. S2. Furthermore, the discussion in the following paragraphs is limited to conditions that are representative of significant changes in the microstructure. In this regard, the ODFs after cold-rolling and annealing at 650 °C and 850 °C were selected and correspond to points (1-3) in Fig. 1. They are representative of microstructures comprising: (i) deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite and remnant  $\gamma$  after cold-rolling (Fig. 2a), (ii) remnant  $\alpha'$ -martensite and recovered/recrystallised  $\gamma$  after annealing at 650 °C (Fig. 2e) and, (iii) polygonised  $\gamma$ , plate-like  $\varepsilon$ -martensite and plate/lenticular  $\alpha'$ martensite after annealing at 850 °C (Fig. 2i). The 650 °C map (Figs. 2d and 3b) was chosen as representative of  $\gamma$  grain segmentation in order to compare the micro-texture of the reverted/recovered and recrystallised fractions.

The reader is provided with the complete set of ODFs for all annealing conditions and segmented maps in the supplementary section in Figs. S3-S7. The  $\epsilon$ -martensite ODFs after annealing at 500 and 650 °C are not shown on account of their low area fraction.

Fig. 5 depicts the  $\phi_2 = 0^\circ$  and 45° ODF sections of  $\alpha'$ -martensite. Fig. 5a is a schematic with the ideal  $\alpha'$ -martensite orientations and fibres shown in blue. The  $\alpha'$ -martensite orientations that form upon phase transformation of particular  $\gamma$  and  $\varepsilon$ -martensite orientations via the K-S and Burgers ({0001} $_{\varepsilon}$  || {110} $_{\alpha'}$ ,  $(11\overline{2}0)_{\varepsilon}$  ||  $(1\overline{1}1)_{\alpha'}$ ) orientation relationships are shown in red and green, respectively. Similar bcc ODF sections correlating fcc to bcc orientations formed upon phase transformation by the K-S orientation relationship have been reported [36, 37]. Indexing the selected area diffraction patterns (Figs. 4c-4e) showed the prevalence of the K-S orientation relationship between reverted/recovered  $\gamma$  and  $\alpha'$ -martensite. Thus, only the K-S orientation relationship was chosen for the texture analysis of  $\gamma$  and  $\alpha'$ -martensite.

Figs. 5b-5d comprise ODF sections of  $\alpha'$ -martensite after cold-rolling and annealing at 650 and 850 °C, respectively. The deformation-induced  $\alpha'$ -martensite after cold-rolling (Figs. 2a and 5b) and the remnant  $\alpha'$ -martensite after annealing at 650 °C (Figs. 2e and 5c) return relatively stronger intensities along the ideal bcc  $\alpha$ -fibre ( $\langle 110 \rangle_{\alpha'}$  || RD) centred around (001)[110]<sub> $\alpha'$ </sub> and weaker intensities along the ideal bcc  $\gamma$ -fibre ( $\langle 111 \rangle_{\alpha'}$  || ND) spread around the (111)[ $\overline{112}$ ]<sub> $\alpha'$ </sub> and (554)[ $\overline{225}$ ]<sub> $\alpha'$ </sub> orientations. The plate-like/lenticular  $\alpha'$ -martensite formed on quenching after annealing at 850 °C (Figs. 2i and 5d) return texture intensities exclusively centred around the (001)[110]<sub> $\alpha'$ </sub> and (110)[001]<sub> $\alpha'$ </sub> orientations. The development of similar orientations has been previously reported in the case of Fe-17Mn-3Al-2Si-1Ni-0.06C steel cold-rolled up to 66% thickness reduction and subsequently annealed at 625 °C [6].



Figure 5:  $\phi_2 = 0^\circ$  and 45° orientation distribution function sections of  $\alpha'$ -martensite showing the (a) ideal orientations (in blue) and after (b) coldrolling, annealing at (c) 650 °C and (d) 850 °C. In Fig. 5a, particular  $\gamma$  (in red) and  $\varepsilon$ -martensite (in green) orientations are provided for the K-S and Burgers orientation relationships respectively. Contour levels = 0.5×.

The  $(001)[110]_{\alpha'}$  orientation forms upon phase transformation of the ideal  $C_{\gamma}$  and  $Br_{\gamma}$  orientations via the K-S orientation relationship or the transformation of  $\{01\overline{1}2\}\langle 1\overline{1}01\rangle_{\epsilon}$  via the Burgers orientation relationship. The spread around  $(111)[\overline{1}\overline{1}2]_{\alpha'}$  and  $(554)[\overline{2}\overline{2}5]_{\alpha'}$  forms upon phase transformation of the ideal  $Br_{\gamma}$  orientation (K-S orientation relationship) or  $\{11\overline{2}0\}\langle \overline{1}010\rangle_{\epsilon}$  (Burgers orientation relationship). Alternatively, the  $(110)[001]_{\alpha'}$  orientation forms on the phase transformation of  $\{12\overline{3}1\}\langle 0001\rangle_{\epsilon}$  via the Burgers orientation relationship.

Fig. 6 shows the  $\phi_2 = 0^\circ$  and 30° ODF sections of  $\varepsilon$ -martensite plotted using the  $[10\overline{1}0]_{\varepsilon} ||$  RD and  $[0002]_{\varepsilon} ||$  ND convention. Fig. 6a is a schematic with some of the ideal  $\varepsilon$ -martensite orientations and fibres shown in green. The  $\varepsilon$ -martensite orientations that form upon phase transformation of particular  $\gamma$  orientations (in red) via the S-N orientation relationship are also shown.

Figs. 6b, 6c comprise ODF sections of  $\varepsilon$ -martensite after cold-rolling and annealing at 850 °C, respectively. The deformation-induced  $\varepsilon$ -martensite after cold-rolling (Figs. 2a and 6b) shows relatively strong intensities along the  $\{hkil\}_{\varepsilon}$  -fibre centred around the  $\{\bar{1}2\bar{1}5\}\langle 1\bar{2}12\rangle_{\varepsilon}$  and  $\{01\bar{1}4\}\langle 1\bar{3}23\rangle_{\varepsilon}$  orientations and relatively weaker intensities around the  $\{10\bar{1}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{11\bar{2}0\}\langle 0001\rangle_{\varepsilon}$  and  $\{\bar{1}2\bar{1}3\}\langle 10\bar{1}0\rangle_{\varepsilon}$  orientations. The  $\{hkil\}_{\varepsilon}$  -fibre comprise orientations with their  $\{0001\}_{\varepsilon}$  poles deviated by ~24°-26° towards the RD. The formation of this fibre was reported in the Fe-17Mn-3Al-2Si-1Ni-0.06C and Fe-21.6Mn-0.38C steels cold-rolled to 66% and 50% thickness reductions respectively [3, 6]. The plate-like  $\varepsilon$ -martensite (Figs. 2i and 6c) formed upon quenching after annealing at 850 °C shows intensities around the  $\{01\bar{1}2\}\langle 1\bar{1}01\rangle_{\varepsilon}$  and  $\{1\bar{2}1\bar{3}\}\langle 10\bar{1}0\rangle_{\varepsilon}$  orientations. The  $\{1\bar{2}1\bar{5}\}\langle 1\bar{2}12\rangle_{\varepsilon}$ ,  $\{01\bar{1}4\}\langle 1\bar{3}23\rangle_{\varepsilon}$ ,  $\{10\bar{1}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{11\bar{2}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{1\bar{2}1\bar{3}\}\langle 10\bar{1}0\rangle_{\varepsilon}$  orientations. The  $\{\bar{1}2\bar{1}5\}\langle 1\bar{2}12\rangle_{\varepsilon}$ ,  $\{01\bar{1}4\}\langle 1\bar{3}23\rangle_{\varepsilon}$ ,  $\{10\bar{1}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{11\bar{2}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{1\bar{2}1\bar{3}\}\langle 10\bar{1}0\rangle_{\varepsilon}$  and  $\{01\bar{1}2\}\langle 1\bar{1}01\rangle_{\varepsilon}$  orientations. The  $\{1\bar{2}1\bar{5}\}\langle 1\bar{2}12\rangle_{\varepsilon}$ ,  $\{01\bar{1}4\}\langle 1\bar{3}23\rangle_{\varepsilon}$ ,  $\{10\bar{1}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{11\bar{2}0\}\langle 0001\rangle_{\varepsilon}$ ,  $\{1\bar{2}1\bar{3}\}\langle 10\bar{1}0\rangle_{\varepsilon}$  and  $\{01\bar{1}2\}\langle 1\bar{1}01\rangle_{\varepsilon}$  orientations form upon phase transformation of the  $(213)[0\bar{2}1]_{\gamma}$ ,  $(011)[3\bar{1}1]_{\gamma}$ , Cu, A, ~S and A  $\gamma$  orientations via the S-N orientation relationship respectively.

Fig. 7 comprises the  $\phi_2 = 0^\circ$ , 45° and 65° ODF sections of  $\gamma$ . Fig. 7a is a schematic with the ideal  $\gamma$  orientations and fibres shown in red. The  $\gamma$  orientations that form upon phase transformation of particular  $\varepsilon$  and  $\alpha'$ -martensite orientations via the S-N and K-S orientation relationships are shown in green and blue, respectively.

Figs. 7b-7d comprise the ODF sections of  $\gamma$  after cold-rolling and annealing at 650 and 850 °C, respectively. The untransformed  $\gamma$  after cold-rolling (Figs. 2a and 7b) and the reverted, recovered and recrystallised  $\gamma$  after annealing at 650 °C (Figs. 2e and 7c) shows relatively strong intensities along the ideal  $\alpha_{\gamma}$ -fibre ( $\langle 110 \rangle_{\gamma} \mid \mid$  ND) centred around the Br $_{\gamma}$  orientation with weaker intensities around the Cu $_{\gamma}$ , S $_{\gamma}$  and (213)[021] $_{\gamma}$  orientations. The recrystallised  $\gamma$  formed after annealing at 850 °C (Figs. 2i and 7d) returns weak intensities centred around the ideal Cube (C $_{\gamma}$ , {001} $\langle 100 \rangle_{\gamma}$ ), A $_{\gamma}$ , Cu $_{\gamma}$  and S $_{\gamma}$  orientations. Similar orientations have been reported in an Fe-17Mn-3Al-2Si-1Ni-0.06C steel subjected to cold-rolling up to 66% thickness reduction and subsequent annealing at 625 °C [6]. Higher intensities near the ideal S $_{\gamma}$  orientation have been reported upon the reversion of  $\varepsilon$ -martensite to  $\gamma$  after annealing at 630 °C for an Fe-22Mn-0.4C steel cold-rolled to 50% thickness reduction [3].

The (i)  $\text{Br}_{\gamma}$ , (ii)  $\text{Cu}_{\gamma}$ , (iii)  $(213)[0\overline{2}1]_{\gamma}$  and (iv)  $\text{S}_{\gamma}$  orientations form upon phase transformation of the: (i)  $(001)[1\overline{1}0]_{\alpha'}$ ,  $(111)[\overline{1}\overline{1}2]_{\alpha'}$  and  $(554)[\overline{2}\overline{2}5]_{\alpha'}$ , (ii)  $(112)[1\overline{1}0]_{\alpha'}$ , (iii)  $(112)[1\overline{1}0]_{\alpha'}$  and  $(111)[\overline{1}\overline{1}2]_{\alpha'}$  and (iv)  $(001)[1\overline{1}0]_{\alpha'}$  orientations via the K-S orientation relationship. Alternatively, the  $\text{Br}_{\gamma}$ ,  $\text{Cu}_{\gamma}$ ,  $(213)[0\overline{2}1]_{\gamma}$  and  $\text{S}_{\gamma}$  orientation can also form from the  $\{11\overline{2}0\}\langle0001\rangle_{\epsilon}$ ,  $\{10\overline{1}0\}\langle0001\rangle_{\epsilon}$ ,  $\{\overline{1}2\overline{1}5\}\langle1\overline{2}12\rangle_{\epsilon}$  and  $\{\overline{1}2\overline{1}3\}\langle10\overline{1}0\rangle_{\epsilon}$  orientations via the S-N orientation relationship, respectively.

Fig. 8 are the ODF sections of the  $\gamma$  grains segmented into their reverted/recovered and recrystallised fractions after annealing at 625 °C (Fig. 3b). The reverted/recovered  $\gamma$  fraction shows relatively strong intensities around the (214)[ $\overline{121}$ ]<sub> $\gamma$ </sub> orientation and relatively weak intensities around the ideal G<sub> $\gamma$ </sub>, Br<sub> $\gamma$ </sub> orientations. The recrystallised  $\gamma$  fraction retains similar orientations with relatively weaker intensities compared to their reverted/recovered fraction counterparts. Similar  $\gamma$  recrystallisation behaviour was reported upon annealing of metastable austenitic steels [38, 39].

#### 3.3 Changes in mechanical properties upon isochronal annealing

Fig. 9 shows the engineering stress-strain curves of the cold-rolled and annealed samples with the tensile properties listed in Table 1. After cold-rolling and annealing at 500 °C, the yield stress (here, the 0.2% proof stress) is 1080 MPa and 1075 MPa, respectively, along with total elongations of ~0.02 engineering strain. The low elongation is due to the inability of the  $\alpha'$ -martensite fraction to accommodate further deformation due to prior cold-rolling and incomplete recovery upon annealing at 500 °C, respectively.

In contrast, the engineering stress-strain curves after annealing at 625 and 650 °C return entirely different shapes. These conditions comprise an initial microstructure of remnant  $\alpha'$ -martensite and reverted/recovered and recrystallised  $\gamma$ ; which upon tension, return yield stresses of 810 MPa and 732 MPa, respectively. This is followed by an approximately linear rise in engineering stress-strain values up to ultimate tensile strengths of 1006 and 976 MPa after annealing at 625 and 650°C, respectively. These results are similar to other high manganese steels comprising initial dual phase microstructures [40].

After annealing at 700 and 800 °C, the initial microstructure comprises recrystallised  $\gamma$  with plate-like  $\epsilon$ -martensite and plate/lenticular  $\alpha'$ -martensite formed upon quenching. During uniaxial tension, these conditions return yield stresses of 465 MPa and 360 MPa, respectively, which are lower than to the previous annealing conditions. This is followed by a slow rising stress region (inflexion point) up to ultimate tensile strengths of 856 MPa and 827 MPa, respectively. An Fe-15Mn-3Al-3Si steel annealed at 1000 °C for 2h shows a very similar engineering stress-strain curve with the inflexion point correlated to the inception of deformation-induced phase transformations. The intersection of the linearly extrapolated elastic modulus and the tangent drawn from the slow rising stress region is defined as the triggering stress [41] and was estimated as ~518 MPa and ~419 MPa after annealing at 700 and 800 °C, respectively.



Figure 6:  $\phi_2 = 0^\circ$  and 30° orientation distribution function sections of  $\varepsilon$ -martensite showing (a) ideal orientations (in green) and after (b) cold-rolling, (c) annealing at 850 °C. In Fig. 6a, particular  $\gamma$  orientations (in red) are provided for the S-N orientation relationship. Contour levels = 0.5×



Figure 7:  $\phi_2 = 0^\circ$ , 45° and 65° orientation distribution function sections of  $\gamma$  showing (a) ideal orientations (in red) and after (b) cold-rolling and annealing at (c) 650 °C and (d) 850 °C. In Fig. 7a, particular  $\varepsilon$ -martensite (in green) and  $\alpha'$ -martensite (in blue) orientations are provided for the S-N and K-S orientation relationships respectively. Contour levels = 0.5×.



Figure 8:  $\phi_2 = 0^\circ$ , 45° and 65° orientation distribution function sections of  $\gamma$  grains segemeted into (a) reverted/recovered and (b) recrystallised fractions after annealing at (a, b) 625 °C. Contour levels = 0.5×.

The unique shape of the engineering stress-strain curves after annealing at 625 and 650 °C is due to the load partitioning between  $\gamma$ , pre-existing  $\varepsilon$  and  $\alpha'$ -martensite [40] and the additional transformation of  $\gamma$  to deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite. The yield stress after annealing at 800 °C is lower than after annealing at 700 °C due to  $\gamma$  grain coarsening from  $1.9 \pm 1.4 \mu m$  to  $4.3 \pm 2.7 \mu m$  [19]. Tomota et al. [42] reported a higher yield stress for annealed samples having a relatively coarse  $\gamma$  grain size due to the higher fraction of plate-like  $\varepsilon$ -martensite formed on quenching. However, in the present study, annealing at 800 °C.

Alternatively, the total elongation is the largest after annealing at 700 °C. This due to the higher  $\gamma$  area fraction available for phase transformation to deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite leading to larger total elongation. The total elongation obtained after annealing at 800 °C is lower than the above condition on account of the relatively higher fractions of  $\varepsilon$  and  $\alpha'$ -martensite and  $\gamma$ - $\varepsilon$ -martensite and  $\gamma$ - $\alpha'$ -martensite interfaces that contribute to the nucleation and coalescence of micro-cracks upon tension [43].

The above results indicate that once  $\gamma$  is fully recrystallised and polygonised, the initial  $\gamma$  grain size has a more significant effect on yield strength than the  $\varepsilon$  and  $\alpha'$ -martensite phase fractions. Alternatively, the initial  $\varepsilon$  and  $\alpha'$ -martensite fractions tend to affect the total elongation.



Figure 9: Engineering stress-strain curves after cold-rolling and annealing at 500, 625, 650, 700 and 800 °C.

Table 1 Engineering tensile properties after cold-rolling and annealing at 500, 625, 650, 700 and 800 °C.

Tensile properties	Sample condition					
	Cold-rolled	500 °C	625 °C	650 °C	700 °C	800 °C
Yield strength (MPa)	1080	1075	810	732	465	360
Ultimate tensile strength (MPa)	1135	1150	1006	976	856	827
Uniform elongation	0.02	0.02	0.24	~0.28	~0.36	~0.3
Total elongation	~0.07	~0.07	~0.3	~0.32	~0.41	~0.33

#### 4. Conclusions

A Fe-17Mn-3Al-2Si-1Ni-0.06C wt.% steel after 42% cold-rolling and isochronal annealing for 300 s between 500 and 850 °C was characterised via a combination of electron back-scattering diffraction, transmission electron microscopy and uniaxial tensile testing. Upon annealing to 650 °C, the reversion of deformation-induced  $\varepsilon$  and  $\alpha'$ -martensite to  $\gamma$  is observed with the formation of fine twins in reverted/recovered  $\gamma$  grains. Subsequent nucleation of new  $\gamma$  grains at the boundaries of reverted/recovered  $\gamma$  grains is also noted. The  $\gamma$  orientations are obtained from the  $\varepsilon$  and  $\alpha'$ -martensite orientations upon phase transformations via the Shoji-Nishiyama and Kurdjumov-Sachs orientation relationships, respectively. The segmented  $\gamma$  grains indicate that the recrystallised  $\gamma$  grains nucleate with orientations similar to their reverted/recovered  $\gamma$  grain counterparts. Once  $\gamma$  recrystallisation is complete, the initial  $\gamma$  grain size has a more significant effect on the yield strength than the  $\varepsilon$  and  $\alpha'$ -martensite fractions during uniaxial tension. Also, the total elongation upon tension is affected by the initial  $\varepsilon$  and  $\alpha'$ -martensite fractions.

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**Data availability:** The raw/processed data required to reproduce these findings cannot be shared at this time as the data also forms part of an ongoing study.

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Figure S1: Schematic showing the processing of the samples.

The ODFs of the hot-rolled sample for the  $\gamma$ ,  $\varepsilon$  and  $\alpha'$ -martensite is represented in supplementary Fig. S2. The  $\gamma$  in the hot-rolled sample shows weak  $\alpha_{\gamma}$ -fibre ( $\langle 110 \rangle_{\gamma} || \text{ND} \rangle \{110 \rangle \langle 223 \rangle_{\gamma}$  ( $\phi_1 = 42^\circ$ ,  $\Phi = 90^\circ$ ,  $\phi_2 = 45^\circ$ ) orientation, along with Copper (Cu,  $\{112 \rangle \langle 111 \rangle_{\gamma} \rangle$ , S ( $\{123 \rangle \langle 634 \rangle_{\gamma} \rangle$ ) and Cube (C,  $\{100 \rangle \langle 001 \rangle_{\gamma} \rangle$ ) orientations. The  $\varepsilon$ -martensite formed upon quenching after hot-rolling shows weak intensity along the  $\{hkil\}_{\varepsilon}$ -fiber  $\sim \{\overline{1215} \rangle \langle 1\overline{2}13 \rangle_{\varepsilon}$  ( $\phi_1 = 90^\circ$ ,  $\Phi = 24^\circ$ ,  $\phi_2 = 0^\circ$ ) and  $\sim \{01\overline{13} \rangle \langle 0\overline{1}12 \rangle_{\varepsilon}$  ( $\phi_1 = 88^\circ$ ,  $\Phi = 34^\circ$ ,  $\phi_2 = 30^\circ$ ) orientations. The  $\alpha'$ -martensite formed upon quenching after hot-rolling returns  $\alpha_{\alpha'}$ -fibre ( $\langle 110 \rangle_{\alpha'} || \text{RD}$ ) (001)[ $\overline{110} \rangle_{\alpha'}$  orientation and weak intensities around the  $\gamma_{\alpha'}$ -fibre ( $\langle 111 \rangle_{\alpha'} || \text{ND}$ ) (111)[ $\overline{112} \rangle_{\alpha'}$  orientation.



Figure S2: Orientation distribution function sections corresponding to sections (a)  $\phi_2 = 0^\circ$ , 45° and 65° of  $\gamma$ , (b)  $\phi_2 = 0^\circ$ , 30° of  $\varepsilon$ -martensite and (c)  $\phi_2 = 0^\circ$ , 45° of  $\alpha'$ -martensite for the hot-rolled sample.



Figure S3:  $\phi_2 = 0^\circ$  and 45° orientation distribution function sections of  $\alpha'$ -martensite after annealing at (a) 500 °C, (b) 600 °C and (c) 625 °C. Contour levels = 0.5×



Figure S4:  $\phi_2 = 0^\circ$  and 45° orientation distribution function sections of  $\alpha'$ -martensite after annealing at (a) 700 °C, (b) 750 °C and (c) 800 °C. Contour levels =  $0.5 \times$ 



levels =  $0.5 \times$ 





Figure S6:  $\phi_2 = 0^\circ$ , 45° and 65° orientation distribution function sections of  $\gamma$  after annealing at (a) 500 °C, (b) 600 °C, (c) 625 °C, (d) 700 °C, (e) 750 °C and (f) 800 °C. Contour levels = 0.5×



Figure S7:  $\phi_2 = 0^\circ$ , 45° and 65° orientation distribution function sections of  $\gamma$  grains segmented into (a, c) reverted/recovered and (b, d) recrystallised fractions after annealing at (a, b) 600 °C and (c, d) 650 °C. Contour levels = 0.5×

### **Graphical abstract**



Red:  $\gamma$ , Green:  $\epsilon$ -martensite, Blue:  $\alpha'$ -martensite



TEM and Segmented EBSD Microstructures



Green: recovered/reverted  $\gamma$  , Fuschia: recrystallised  $\gamma$ 

### Highlights

- Recrystallised  $\gamma$  grains have similar orientations to reverted/recovered  $\gamma$  grains.
- After reversion,  $\gamma$  orientations are correlated to  $\epsilon$  and  $\alpha'$ -martensite orientations.
- $\gamma$  nucleation at the boundary of reverted/recovered  $\gamma$  grains.