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REGULAR ARTICLE

Capsules containing entomopathogenic nematodes as a Trojan horse approach to control the western corn rootworm

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Abstract

Aims The use of entomopathogenic nematodes in the biological control of soil insect pests is hampered by the costly and inadequate application techniques. As a possible solution we evaluated a nematode encapsulation approach that offers effective application and may possibly attract the pest by adding attractants to the capsule shell.

Methods *Heterorhabditis bacteriophora* nematodes, which show high virulence against the maize root pest *Diabrotica virgifera virgifera*, were encapsulated in a polysaccharide shell derived from the algae *Laminaria* ssp. Shells of varying thickness and composition were evaluated.

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Results Nematodes readily survived the encapsulation process and were able, varying with shell thickness and temperature, to break through the shell and subsequently infect hosts. The added attractants and feeding stimulants to the shell attracted the pest larvae as much as maize roots. In field trials, encapsulated *H. bacteriophora* nematodes were more effective in controlling *D. v. virgifera* than those sprayed in water over the soil surface, but in these trials the addition of stimulants did not increase the control efficiency.

Conclusions The study demonstrates that nematodes can be successfully applied in capsules in the field. Further improvements are needed to make the capsules a cost effective alternative to conventional field application of nematodes.

Keywords Entomopathogenic nematodes ·
Heterorhabditis bacteriophora · *Heterorabditidae* ·
Western corn rootworm · *Diabrotica virgifera virgifera* ·
Chrysomelidae · Application technique ·
Biological control · Belowground pest management ·
Plant protection

Introduction

Entomopathogenic nematodes (EPN) of the genera *Steinernema* and *Heterorhabditis* are obligate parasites of insects. After entering their host, these soil-dwelling microorganisms release their symbiotic

bacteria, of the genera *Xenorhabdus* and *Photorhabdus* respectively, which multiply and kill the insect by septicemia. The nematodes then feed on the bacteria and produce several new generations inside the cadaver (Burnell and Stock 2000). When nutrients are consumed and space is exhausted, a new generation of infective juveniles leaves the cadaver in search of new insect hosts (Kaya and Gaugler 1993). These unique traits make EPN important candidates for biological control of various economic pests (Grewal et al. 2005). To target belowground (e.g. Ansari et al. 2009; Batalla-Carrera et al. 2010; Shapiro-Ilan et al. 2010b), as well as aboveground insect pests (e.g. Mbata and Shapiro-Ilan 2010; Batalla-Carrera et al. 2010; Shapiro-Ilan et al. 2010a), commercially available EPN have to be correctly applied to ensure that they reach and infect their target pest (Shapiro-Ilan et al. 2006). Their high sensitivity to UV radiation (Gaugler and Boush 1978) limits their foliar application and is also a critical factor in application of EPN to soil (Shapiro-Ilan et al. 2006). In addition, abiotic factors such as moisture, temperature or soil pH and texture may impact EPN application above- as well as belowground (Shapiro-Ilan et al. 2006).

Shapiro-Ilan et al. (2006) reviewed the wide range of EPN application techniques and technologies. Briefly, EPN can be sprayed over fields or orchards via irrigation systems or aerial application, which exposes them to potentially lethal water pressures and drought stress. After application, nematodes face the risk of desiccation and/or exposure to high UV radiation levels. It is therefore widely advised to apply EPN to soil in the evening or early morning when UV radiation is low. To further improve EPN survival, several formulations have been developed to ensure a satisfactory protection of the nematodes. These formulations, based on the addition of anti-desiccants, aim to keep moisture high. An alternate approach is the application of insect cadavers infected with EPN. To avoid rupture of the cadaver and facilitate their storage, handling and application, EPN infected insects can be coated with a protective formulation (Shapiro-Ilan et al. 2001) or hard-bodied insect hosts such as *Tenebrio molitor* L. can be applied (Shapiro-Ilan et al. 2003).

Under certain circumstances, EPN have proven their high potential to control insect pests but because of constraints of application described above they are barely used in large-scale cropping systems. The western corn rootworm *Diabrotica virgifera virgifera* LeConte (Coleoptera: Chrysomelidae, WCR) is among the pests

targeted to be controlled by EPN. This major maize pest in the American Corn Belt was first discovered in Eastern Europe in 1992 after being accidentally introduced. Since then, it has rapidly spread and has become a serious problem, mainly in the Baltic region (Gray et al. 2009). The larval stage of this beetle can cause significant damage to the maize root, leading to reduction of plant growth, deficiencies in nutrient and water uptake, plant lodging, increased susceptibility to water stress and reduced grain yield (Apple and Patel 1963; Chiang et al. 1980; Godfrey et al. 1993; Gray and Steffey 1998; Hou et al. 1997; Riedell et al. 1992; Riedell and Kim 1990; Urias-Lopez et al. 2000). This combination of factors plus control measures that are being employed to avoid them result in losses estimated at 2 billion US\$ per year in the USA (Mitchell 2011). In Europe, future maximum annual costs are estimated at €1.5 billion (Wesseler and Fall 2010). Because this insect has such a negative impact on maize agriculture, European growers have begun to apply granular soil insecticides or to use insecticide-coated seeds. In some cases, this has had tremendous negative effects on non-target insects, including bees (Girolami et al. 2009; Marzaro et al. 2011). As an alternate solution, crop rotation has so far been quite successful in controlling this chrysomelid pest in certain parts of Europe, but this strategy is not an option for other regions (Levine et al. 2002; Gray et al. 2009).

Based on a literature review of the natural enemies of WCR, Kuhlmann and van der Brugt (1998) concluded that EPN have great potential to control WCR in European maize fields. So far, control levels have been highly variable. Understanding the reasons for this variability by studying the factors that determine the foraging and infection success of EPN is expected to reveal how we can optimally exploit their control potential. Rasmann et al. (2005) found that roots of European maize varieties damaged by WCR larvae emit the sesquiterpene (*E*)- β -caryophyllene (E β C), an attractant for the EPN *Heterorhabditis megidis* Poinar (Rhabditida: Heterorhabditidae). American maize varieties have lost the ability to produce E β C (Köllner et al. 2008). Using a transgenic approach Degenhardt et al. (2009) restored the maize E β C emission in an American maize line and demonstrated that it is indeed needed to ensure consistent levels of control of the WCR larvae with EPN.

However, root-produced volatiles not only provide maize with an efficient indirect root defense (Hiltpold

and Turlings 2008; Hiltbold et al. 2010c), they may also be exploited by WCR larvae to locate suitable maize roots in soil. Besides inducible volatiles, roots continuously and passively emit compounds like CO₂, sugars and/or lipids in soil (Johnson and Gregory 2006), which also can serve as foraging cues for soil organisms. Strnad and Bergman (1986) were the first to report that WCR larvae are attracted to CO₂ and this was further confirmed in experiments that used CO₂ to disrupt host location by WCR larvae (Bernklau et al. 2004). Besides this general plant secondary metabolite, maize roots also emit specific sugars and fatty acids that attract WCR larvae and/or stimulate their feeding behavior (Bernklau and Bjostad 2008; Bjostad and Hibbard 1992; Hibbard et al. 1994). Moreover, WCR larvae were found to be preferentially attracted to already damaged root systems on which they perform better and they use EβC as a signal find such roots (Robert et al. 2012b).

We exploited the identification of several of these stimulatory compounds to develop EPN-filled capsules that can be employed to facilitate EPN application in the field and that can disrupt larval foraging. In the current study, we assessed the impact of the encapsulation process on the infectivity of the EPN and we tested how incorporation of different blends of attractants and feeding stimulants in the capsules outer shell affected WCR attraction under laboratory and field conditions.

Materials and methods

Nematodes, insects and plants

The entomopathogenic nematode *Heterorhabditis bacteriophora* Poinar was obtained from LANDI-Reba AG (Basel, Switzerland). EPN were formulated in water and stored at 10°C prior to experiments. Over time, four different batches of EPN were used to perform the experiments.

Non-diapausing eggs of WCR were obtained from the USDA-ARS North Central Agricultural Research Laboratory (Brookings, South Dakota, USA) for laboratory studies. Ready to hatch eggs were deposited on germinated corn kernels from the variety Ronaldinio (LANDI-Reba AG, Basel, Switzerland) and stored in the dark at 20°C. The seedlings were regularly sprayed with water to keep them moist and newly germinated seedlings were added when needed.

Maize seedlings (*Zea mays* L. variety Delprim) used for the experiments were grown in climate chambers (30°C, 8:16 h dark:light photoperiod, CLF Plant Climatics, Percival, Germany). Kernels were sown in plastic tubes (4 cm diameter, 11 cm depth) filled with standard potting soil (Ricoter Aussaaterde, Aarberg, Switzerland) and watered every day. In total, 15 plants were used in the experiments. After 10 days, the seedlings were transplanted in the experimental setup to assess WCR larval choices for different treatments (see “WCR larval behavior” section for details).

Encapsulation of EPN

Encapsulation of EPN in an alginate shell was based on reverse spherification principles. Briefly, a solution with a high concentration of Ca²⁺ ions is dripped in an alginate bath. Alginates, polysaccharides extracted from the brown algae *Laminaria* spp., polymerize in contact with Ca²⁺. This process results in the formation of a spherical shell that surrounds a liquid core.

The liquid solution, eventually forming the liquid core of the capsule, was obtained by mixing demineralized water with calcium gluconolactate (Glucos, Texturas by Albert & Ferran Adrià, Solegraells, Barcelona, Spain). Adding of xanthan gum, a polysaccharide resulting from bacterial fermentation (Xanthan, Texturas by Albert & Ferran Adrià, Solegraells, Barcelona, Spain) increased the viscosity of the solution to help in the formation of spheres instead of tear-shaped capsules. A few drops of food dye were added in order to distinguish the solution during the formation of the capsules (see below for details). The final solution was homogenized with an electric immersion blender. Remaining air bubbles in the solution were vacuumed out and the solution was stored at –20°C. Concentrations of the different chemical compounds are given in the Table 1.

The liquid solution that formed the outer polymeric shell was obtained by mixing sodium alginate (Algin, Texturas by Albert & Ferran Adrià, Solegraells, Barcelona, Spain) with demineralized water. The final solution was homogenized with an electric immersion blender. Remaining air bubbles in the solution were vacuumed out and the solution was stored at –20°C. Concentrations of the different chemical compounds are given in the Table 1.

Table 1 Formulations of the two solutions used for the formation of the Algcapsule. The quantities are given for 100 ml of solution. The alginate is used as alimentation add-ons (E400 to E405). Xanthane, a polyoside, is used to adjust the viscosity of

the Ca^{2+} solution. This compound is extracted from the bacterium *Xanthomonas campestris*. It commonly used in food industry under the code E415

Compound	Quantity [g]	Why?	
Alginate ((2R,3S,4R,5R,6S)-6-[(2R,3R,4R,5R,6S)-2-carboxy-4, 5-dihydroxy-6-methoxyoxan-3-yl]oxy-4, 5-dihydroxy-3-methoxyoxane-2-carboxylic acid, CAS 9005-32-7)	0.5	Using Ca^{2+} ions as binder, alginate polymerizes around a droplet of Ca^{++} water solution	SHELL
Calcium (Ca^{2+} , CAS 7440-70-2)	2.0	Calcium is binding alginate molecules in a polymeric shell around the droplet. It is important to have enough of it in order to initiate a good polymerization but excess would lead to an unviable environment for nematodes.	Fluid inner core of the capsule
Xanthane (CAS 11138-66-2)	0.4	Xanthane is thickening the Ca^{2+} solution in order to allow the formation of "sphere". If the solution is too fluid, it would result in the formation of filaments.	
Food dye	depends on the dye	A dye helps to contrast the two solutions. If no dye is added, once the droplet is in the alginate solution, there is no way to distinguish them.	

A few minutes before encapsulation, EPN were poured into the calcium gluconolactate solution. The liquid was gently shaken to homogenize nematode distribution. Using a 1 ml syringe (Henke Sass Wolf GmbH, Tuttlingen, Germany), the solution was dripped on the surface of the alginate bath in drops of approximately 50 μl . The numbers of nematodes that were encapsulated depended on the experiment for which the capsules were made. After the formation of the sphere, the shell of the capsules was allowed to polymerize for 5 min, 7 min, 10 min, 15 min or 20 min. These different polymerization times determined the thickness of the shell ranging from thin (5 min in the alginate bath) to thick (20 min in the alginate bath). Capsules were removed from the alginate bath with a fine mesh strainer, rinsed with water and stored at 7°C in 15 ml Falcon tubes (VWR, Dietikon, Switzerland) filled with water.

EPN breakthrough from the capsules

Nematodes in capsules of increasing shell thickness (as described in previous section) were used to test the effects of temperature and shell polymerization times (shell thickness) on EPN breakthrough. Each capsule contained approximately 130 nematodes. These capsules were then stored individually in 15 ml of water in Falcon tubes (15 ml, VWR, Dietikon, Switzerland), either in the fridge (7°C) or at room temperature (25°C).

Every day, an aliquot of 1 ml of water was sampled from the bottom of each tube and the number of escaped nematodes was recorded.

Ability of the encapsulated nematodes to kill insect host

In order to assess the ability of the encapsulated EPN to kill an insect host after exiting the capsules, 50 ml Falcon tubes (VWR, Dietikon, Switzerland) were filled with 60 % moist potting soil (Ricoter Aussaaterde, Aarberg, Switzerland). Larvae of *Galleria mellonella* L. (Lepidoptera: Pyralidae), employed as insect baits, were placed in plastic specimen tubes (8 mm diameter, 32 mm height, 1 ml, Kartell, VWR, Dietikon, Switzerland) in which we had drilled thirteen 2.5 mm diameter holes. Falcon tubes (50 ml) were individually prepared with such a *Galleria* bait placed at the edge of the tube (so that they were visible from the outside), 4 cm below the soil surface.

Escaping from the capsule may cost energy and thus the thickness of the shell might negatively impact the infectiousness of the EPN. To test this potential negative influence of the shell thickness, *H. bacteriophora* were encapsulated in 10 capsules of each polymerization period (approximately 130 EPN per capsule, for details see the "Encapsulation of EPN" section). Capsules were individually placed on top of the soil in a Falcon tube and

covered with 1 cm of additional soil. As a control, 10 additional Falcon tubes received *G. mellonella* only.

In order to allow gas exchange, the Falcon tubes were only half closed and stored in the dark at room temperature. *G. mellonella* infection was visually evaluated each day following the beginning of the experiment. The number of days needed for the *G. mellonella* to turn red, a sign of successful EPN infection (Forst and Clarke 2002), was recorded for each tube. In the control tubes, the survival of *G. mellonella* was assessed 7 days after the experiment had started.

Attraction of WCR larvae

Whether WCR larvae can be attracted towards the capsules by adding known feeding stimulants to the capsule shell was first assessed in Petri dish assays. Three alginate formulations were tested, (i) a formulation made of 95:5 demineralized water:corn root juice mixed with alginate, (ii) a formulation containing alginate and a synthetic blend of linoleic acid, oleic acid, stearic acid, glucose, fructose, sucrose and MBOA (for concentrations and references see Table 2) and (iii) a formulation with alginate only as a control. EPN-free capsules (around 0.5 cm diameter) were produced allowing a 10 min polymerization period. The root juice was obtained by grinding maize roots in liquid nitrogen, filtering the resulting powder, and collecting the remaining liquid.

For each test, 10 Petri dishes (10 cm diameter, 1.5 cm deep) were subdivided in three equal parts. A capsule of each formulation was placed in each third, 3 mm from the dish edge. The Petri dish was immediately filled with 60 % moist potting soil (Ricoter Aussaaterde, Aarberg, Switzerland). Using a paintbrush, 6 second instar WCR larvae were carefully deposited on top of the soil in the center of the Petri dish. The dishes were then closed and stored in dark in an incubator (25°C, 90 % humidity). After 4 h, a core (1.5 cm diameter) of soil surrounding and containing each capsule was sampled and the number of WCR larvae present in each sample was recorded.

In order to get a more realistic assessment of the attraction of WCR larvae towards capsules, a second experiment was conducted in soil-filled plastic boxes (38.5×25.8×17 cm int. dimensions, stacking container RAKO, Georg Utz AG, Switzerland). The racks were made of a wood frame (25×16×2.8 cm, pine wood, Migros, Switzerland) and a plastic mesh (0.5×0.5 cm, Migros, Switzerland) through which the WCR larvae could freely move. The top of each rack was left open allowing the device to be filled up with 60 % moist potting soil (Ricoter Aussaaterde, Aarberg, Switzerland). In each box, five racks were arranged at equal distance from each other. From left to right, the racks contained (1) soil with one maize plant, (2) soil only, (3) soil with 30 WCR larvae, (4) soil only and (5) soil with capsules. The contents of racks (1) and (5) varied,

Table 2 Formulation of the synthetic blend of attractants for the WCR larvae. This list is based on information from the indicated literature. The chemicals were mixed with alginate (Table 1) in a homogeneous solution

Class of compound	Compound	Quantity [mg/ml]	Activity on the WCR larvae	Literature
Fatty acids	Linoleic acid (cis, cis-9,12-octadecadienoic acid, CAS 60-33-3)	4.8	This acid acts as a feeding stimulant and attractant	(Hibbard et al. 1994; Bernklau and Bjostad 2008)
	Oleic acid ((9Z)-Octadec-9-enoic acid, CAS 112-80-1)	2.4	This acid acts as a feeding stimulant and attractant	(Hibbard et al. 1994; Bernklau and Bjostad 2008)
	Stearic acid (Octadecanoic acid, CAS 57-11-4)	1.2	This acid acts as a feeding stimulant and attractant	(Hibbard et al. 1994; Bernklau and Bjostad 2008)
Sugars	Glucose (CAS 50-99-7)	30	This sugar acts as a feeding stimulant and attractant	(Bernklau and Bjostad 2008)
	Fructose (CAS 57-48-7)	4	This sugar acts as a feeding stimulant and attractant	(Bernklau and Bjostad 2008)
	Sucrose (CAS 57-50-1)	4	This sugar acts as a feeding stimulant and attractant	(Bernklau and Bjostad 2008)
Others	CO ₂	As much as possible	Triggers foraging behavior in soil dwelling insects	(Strnad et al. 1986)
	MBOA	0.001	This compounds acts as attractant	(Bjostad and Hibbard 1992)

depending on the particular experiment. As a negative control a first experiment was done with soil only in racks (1) and (5). A positive control was obtained by including a maize plant (10 days old, var. Delprim) in rack (1). A third set of three experiments was conducted with soil only in rack (1) and capsules with three different coatings in rack (5). The final experiments tested the attractiveness of a maize plant (10 days old, var. Delprim) in rack (1) versus capsules with three different coatings in rack (5). The central rack (3), from which the larvae were released, was first filled with a 3 cm layer of soil on top of which 30 second instar WCR larvae were carefully deposited. The rack was then filled to the top with more soil. For experiments with capsules, 10 alginate capsules were added to the rack (5) following the same procedure.

Similar as in the Petri-dishes, three alginate formulations were tested, (i) a formulation made of 95:5 demineralized water:maize root juice (obtained as described above), (ii) a formulation containing alginate and a synthetic blend of linoleic acid, oleic acid, stearic acid, glucose, fructose, sucrose and MBOA (for concentrations and references see Table 2) and (iii) a formulation with alginate only as a control. All the capsules were produced with a 10 min polymerization period, but no EPN were added. Once the five racks were arranged at equidistance in the box, the remaining space in between the racks was also completely filled with soil. The box was stored at room temperature (25°C) before sampling. After 24 h, each rack was dug out of the box and the number of WCR larvae present in this defined volume of soil was recorded. Each experiment was repeated five times.

Field test of the efficiency of encapsulated nematodes

To test the efficacy of encapsulated EPN in controlling WCR under realistic field conditions, a maize field was planted in Missouri (Bradford Research and Extension Center, Columbia, MO, USA) on May 10th, 2011. Each plot had 3 rows of 8 plants with 19 cm plant spacing and 76 cm row spacing. For the experiment, a total of 72 plots were selected. Each plot was bordered by 1 row on each side, plus 2 plants on each end, which served as buffers between plots. Twelve plots, randomly selected, were assigned to one of the six following treatments; capsules without EPN without chemical coating, capsules without EPN with chemical coating, capsules with EPN without chemical coating,

capsules with EPN with chemical coating, sprayed water without EPN and sprayed water with EPN.

On June 1st, 2011, WCR eggs were suspended in 0.15 % Agar and around 680 eggs were inoculated every 30 cm, 10 cm deep over the entire experimental field. Three weeks later, on June 22nd, 2011, alginate capsules without nematodes (control) were formed following the methodology previously described with the only modification that the volume of the inner liquid core was about 1 ml instead of 0.5 ml. In total, 700 capsules were produced, 350 coated with the synthetic blend feeding stimulants and attractants (Table 2) and 350 with alginate only. Within the dedicated plots, each plant received one of the control capsule, manually deposited 10 cm deep, 3 cm from the plant stem. On June 23rd, 2011, the same numbers of alginate capsules with nematodes (*H. bacteriophora*, Becker Underwood, Ames, IA, USA, 2'700 infective juveniles per capsule resulting in 300'000 infective juveniles/m²) were formed following the same methodology. Again, 350 were coated with the synthetic blend feeding stimulants and attractants (Table 2) and 350 with alginate only and within the dedicated plots, each plant received one of the EPN containing capsules, manually deposited 10 cm deep, 3 cm from the plant stem. On the morning of the June 24th, 2011, the remaining 24 plots were sprayed with 7 L of water each from 30 cm height with a watering can. In half of the plots, EPN (*H. bacteriophora*, Becker Underwood, Ames, IA, USA, 300'000 infective juveniles/m²) were poured into the water prior to spraying.

On July 8th, 2011, the plants in each plot were dug out to assess root damage. Plants were immediately removed and the root systems were washed and the same day the larval feeding damage was assessed according to the 0–3 root scale (Oleson et al. 2005).

Statistical analyses

EPN breakthrough from the capsules

The capacity of EPN to escape from the capsule when stored at room temperature or in a fridge was tested with a Two-Way RM ANOVA using the capsule thickness (polymerization period) and escape time as factors to evaluate their respective effect on the number of nematodes exiting the capsules. Statistical differences within groups were calculated using a Tukey post-hoc test. The difference between the total number of EPN

counted out of the capsule when stored either at 25°C or 10°C was tested with a *t*-test.

Ability of the encapsulated nematodes to kill insect host

Statistical differences in EPN speediness at escaping from the capsule and killing their insect host were evaluated using an ANOVA on Ranks. Statistical differences between shell thicknesses were calculated using a Tukey post-hoc test.

Attraction of WCR larvae

WCR larvae choices among the different coating of the capsule in the Petri-dishes were examined with a log linear model. The entity computing a repetition in the statistical analysis corresponds to the response of a group of WCR larvae released, which was shown to follow a multinomial distribution (Ricard and Davison 2007). Because the data did not conform to simple variance assumptions implied in using the multinomial distribution, we used quasi-likelihood functions to compensate for the overdispersion of WCR larvae within the Petri-dishes (Turlings et al. 2004).

The behavior of WCR larvae in the boxes was tested with a Two-Way ANOVA using the position of the racks and the treatments of the shell as factor to differentiate the number of WCR larvae sampled. Statistical differences within groups were calculated using a Tukey post-hoc test.

Field test of the efficiency of encapsulated nematodes

The effect of the different treatments applied to the plants was analyzed with a One-Way ANOVA. Statistical differences within groups were calculated using a LSD post-hoc test.

Results

EPN breakthrough from the capsules

The capacity of EPN to escape from the capsules when stored at room temperature was significantly different for different periods of storage and there was a significant interaction between the period of storage and the

thickness of the shell, but the thickness of the shell did not significantly influence the eventual number of EPN breaking through the capsules over the total observation time (Two-Way RM ANOVA; $F_{thickness_{4,149}}=0.691$, $P=0.609$; $F_{period_{5,149}}=21.125$, $P<0.001$; $F_{thickness \times period_{20,149}}=5.322$, $P<0.001$). As illustrated in Fig. 1a, at room temperature, the time needed for EPN to escape from the capsules with different thickness varied over a range of 1 to more than 3 days.

Also, when stored at 10°C (Fig. 1b), EPN movement through the capsule shell was significantly influenced by the thickness of the shell, as well as by the period of storage. The interaction between thickness and period did significantly impact on the EPN break through the shell (Two-Way RM ANOVA; $F_{thickness_{4,149}}=5.044$, $P=0.008$; $F_{period_{5,149}}=13.526$, $P<0.001$; $F_{thickness \times period_{20,149}}=1.614$, $P=0.062$). In these colder storage conditions, EPN were less motile and only few escaped. Therefore, within a category of shell thickness there were only differences in the number of escaped nematodes between day 1 and the other days within the three thickest shell types. The thickness of the shell notably influenced the movement of EPN through the shell since escape decreased significantly with increasing shell thickness (Fig. 1b). The total number of nematode coming out of the capsules stored at room temperature was twofold higher as compared to the total of EPN exiting the capsules stored at 10°C (average total number of EPN out of the capsules after 6 days at room temp: 95.9 ± 6.3 , at 10°C: 44.04 ± 11.2 ; *t*-test; $t=5.563$, $P<0.001$).

Ability of the encapsulated nematodes to kill insect hosts

The thickness of the shell had a significant impact on the ability of the encapsulated EPN to kill larvae of *G. mellonella* (ANOVA on Ranks; $H=13.843$, $P=0.008$). Indeed, EPN needed twice as much time to infect the insect host when they were encapsulated with 15 or 20 min of shell polymerization than with 5 or 7 min of shell polymerization (Fig. 2). A shift occurred when the shell was polymerized for 10 min (Fig. 2). None of the *G. mellonella* larvae died in the control tests.

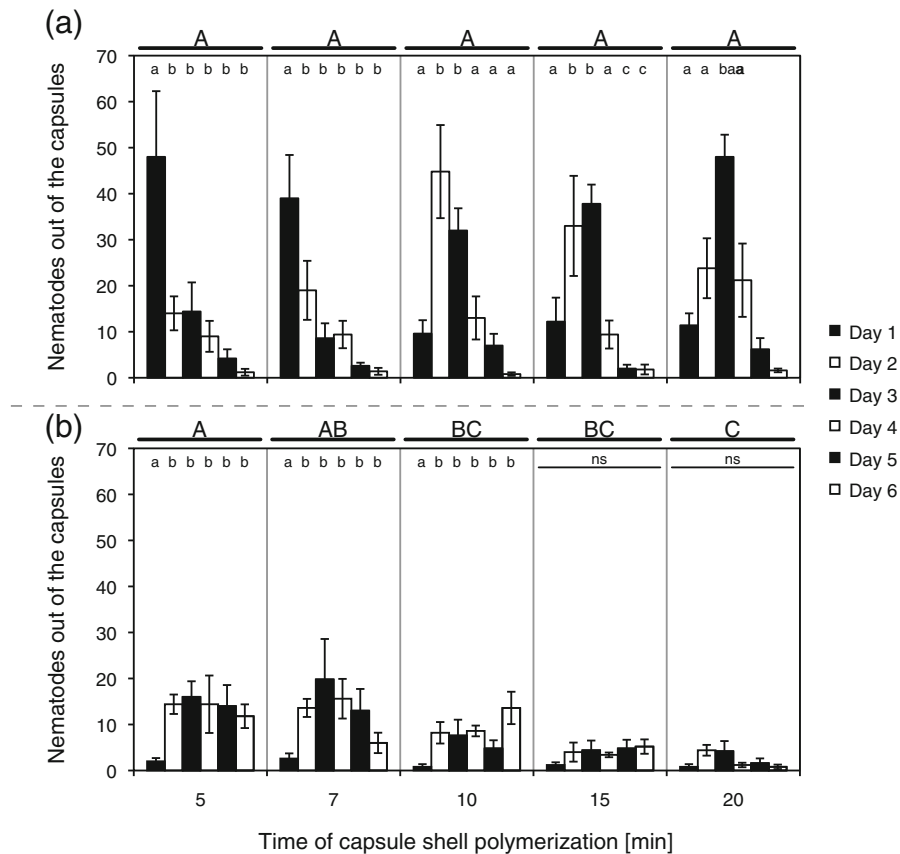


Fig. 1 *H. bacteriophora* is able to escape from the capsules. **a** When stored at room temperature, the EPN were faster in getting out of the capsule inversely proportional to the polymerization period. However, the shell thickness had no influence on the total number of nematodes that eventually were able to get out of the capsule. **b** If stored at 10°C, the nematodes were less likely to get out of the capsules independent of the shell

thickness. In this case, that thickness had a significant influence on the total number of EPN breaking through the capsules. Upper case letters indicate statistical differences between polymerization periods. Lower case letters indicate statistical differences between days of sampling within a specific polymerization period. Bars indicate SEM

Attraction of WCR larvae

In Petri-dishes, the treatments of the capsule shell had a significant impact on the WCR larval behavior (ANOVA; $F_{2,30}=6.640$, $P=0.002$). When offered the choice between capsules treated with a synthetic blend, with maize root juice or without treatment, the WCR larvae significantly preferred the capsule with synthetic compounds in their shell (Fig. 3). The numbers of WCR larvae attracted towards the capsule treated with root juice or untreated were not significantly different (Fig. 3).

On a larger scale, in the boxes, the total number of the WCR collected differed significantly among rack positions (Two-Way ANOVA; $Frack_{4,199}=2235.820$, $P<0.001$). In general, the treatments had no significant

impact on the total number of larvae sampled from the boxes (Two-Way ANOVA; $F_{treat_{7,199}}=30.635$, $P=0.372$). However, within treatments, the position of the racks significantly influenced the number of WCR larvae that were recovered (Two-Way ANOVA; $Frack_{28,199}=4050.395$, $P<0.001$). In the controls, when no choices were offered, the WCR larvae mainly stayed in the central rack (number 3), where they had been released (Fig. 4a). But when offered a choice between a maize plant and nothing, the larvae preferably moved toward the plant (Fig. 4a). When offered only capsules with synthetic stimulants the larvae were significantly more attracted towards the capsules (Fig. 4b), but capsules coated with root extracts were not attractive to the larvae (Fig. 4b), nor were the control capsules without stimulants or extract (Fig. 4b). When offered a choice

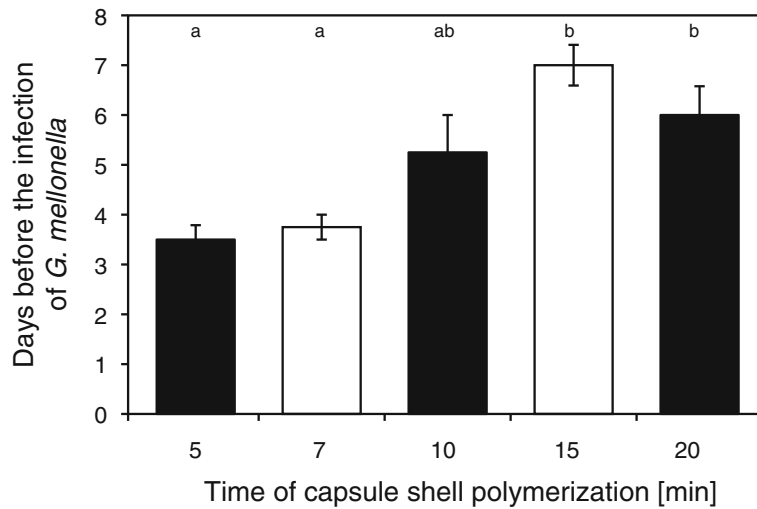


Fig. 2 *H. bacteriophora* nematodes that breakthrough the capsule shell, are still infectious and able to kill *G. mellonella* insects. Even though the polymerization period significantly affected the time needed for the EPN to infect the insects, none

of the shell thicknesses kept EPN from successfully kill their host. Lower cases indicate statistical differences in infection time among polymerization periods and error bars indicate SEM

between capsules coating with synthetic stimulants and a plant, the larvae showed no preference, but tended to move towards both treatments (Fig. 4c). The larvae moved more toward the plant when they were offered a choice between a plant and capsules coated with root extract or uncoated capsules (Fig. 4c).

Field test of the efficiency of encapsulated nematodes

Statistical differences were measured among the six different treatments in the field trial (One-Way ANOVA, $F_{7,88}=2.7533, P=0.01$, Fig. 5). When EPN were applied, plants received significantly less damage, independent of

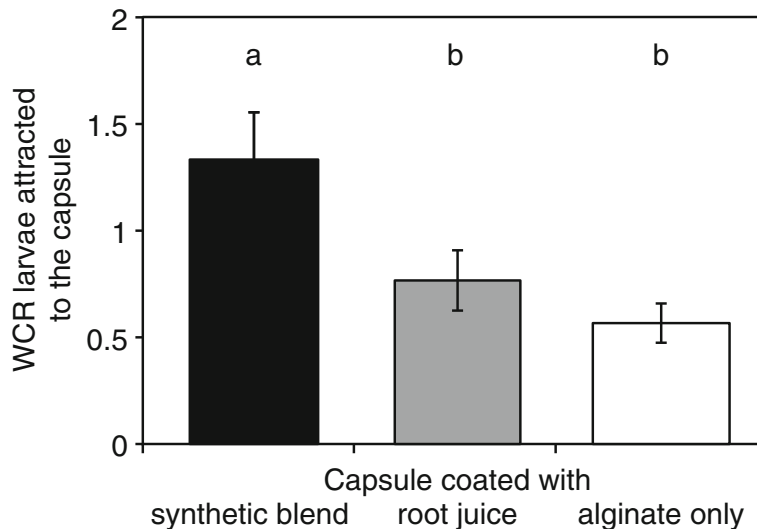


Fig. 3 In Petri dishes, the coating of the capsules significantly lures WCR larvae towards the capsules. Significantly more WCR larvae were found near the capsules coated with the synthetic blend compared to the coating with root juice. Approximately twice the number of WCR larvae were found near

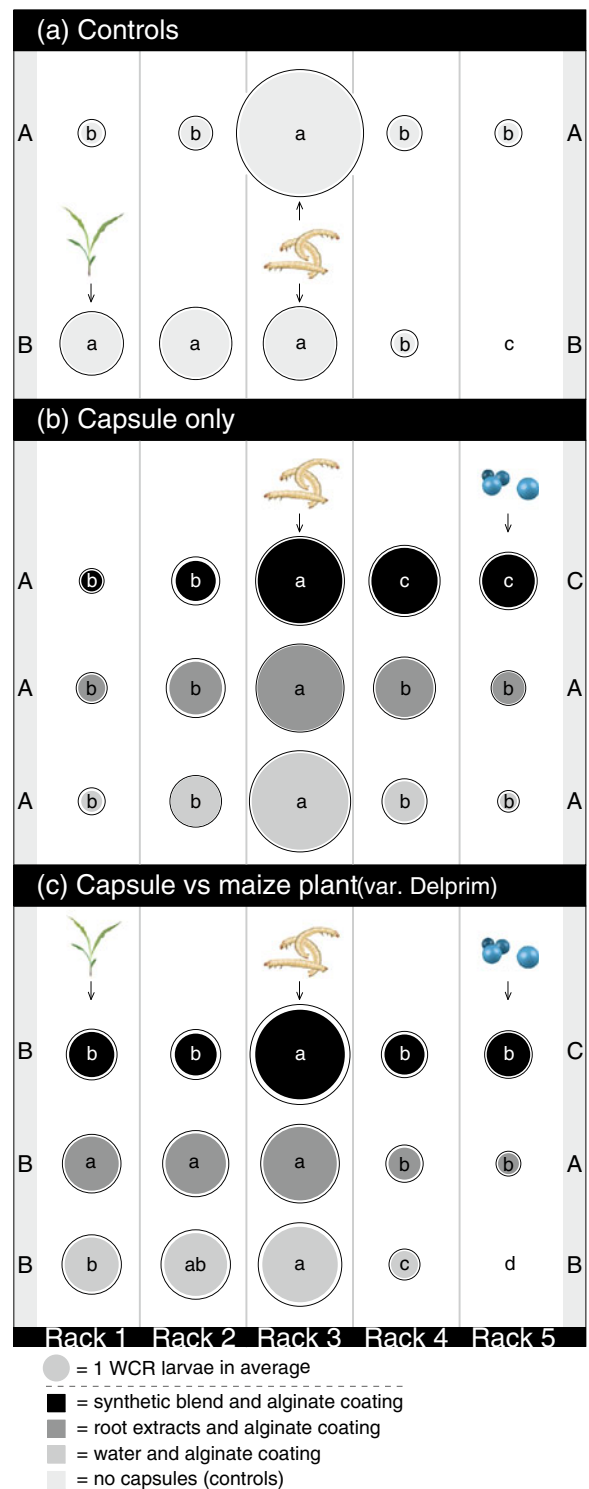
capsules coated with the synthetic blend alginate than near the uncoated control capsules. No difference in attraction was found between root juice and alginate coating. Lower case letters indicate statistical differences and error bars indicate SEM

Fig. 4 Effects of the capsule coating on attraction of WCR larvae in a semi-natural environment. The surface of the circles represents the mean number of WCR larvae recovered in the potted soil after 24 h of exposure to the different treatments. The white outer part of each disc represents the SEM of the particular mean. Coatings of the capsules are symbolized along a grey scale defined in the figure. Drawings indicate the treatments applied to the specific rack in the assay box. Upper case letters indicate statistical differences between rack 1 and rack 5. Lower case letters indicate statistical differences within treatments. **a** When no plant or no capsules were offered, the WCR larvae mainly stayed in the central rack. In contrast, the larvae moved towards the plant when they were offered a maize plant (var. Delprim) in rack 1. **b** When offered capsules coated with the synthetic blend of attractants, the larvae were significantly attracted to rack 5, where the capsules had been placed. The capsules coated with either root extracts or alginate only had no significant influence on larval attraction. **c** Interestingly, when offered the choice between a maize plant and capsules, the larvae did not show a preference for one of the sides. Again, capsules with root extracts and uncoated capsules were not attractive to the larvae, as they were moved significantly more toward the plant

the application technique (Fig. 5), but in plots where nematodes were applied in capsules, roots received significantly less damage than in plots where the nematodes were sprayed in water (Fig. 5). However, coating the capsule shell with feeding stimulants and attractants did not improve root protection under these conditions (Fig. 5).

Discussion

All tests that were conducted to assess the potential of releasing EPN in an alginate capsule formulation showed encouraging results. In addition to surviving the encapsulation process, the nematodes were able to break through the shell and to infect insect hosts (Figs. 1 & 2). This ability of EPN to exit the capsules has to be kept in mind if the capsules are stored for longer periods before application in the field. However, a combination of the right shell thickness and appropriate storing could solve this. Indeed, reducing their motility with cold temperature made nematodes stay longer in the capsules (Fig. 1). The shell thickness also influenced the nematode exit of the capsules, as at room temperature, the time needed for EPN to kill insect hosts (*G. mellonella* larvae) was positively correlated with shell thickness (Fig. 2). Adding synthetic attractants and feeding stimulants to the shell of the capsules incited



the larvae to move towards the capsules. In both Petri dish and box experiments, WCR larvae were more attracted toward capsules treated with the synthetic blend

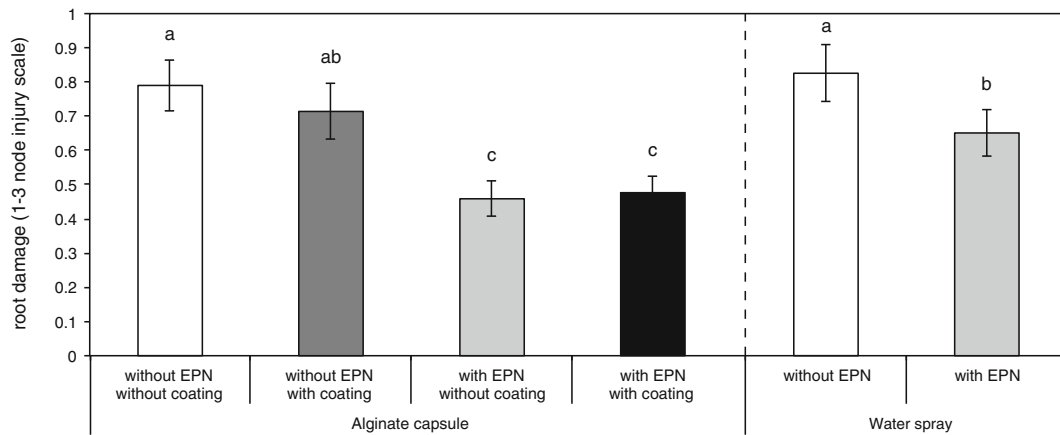


Fig. 5 In field assays, EPN application always resulted in significant control of WCR larvae. Application of capsules containing EPN provided significantly better protection against root damage than EPN sprayed in water. Coating the capsule

with chemical stimulants did not improve EPN effectiveness. Lower cases letters indicate statistical differences in root damage and error bars in bars indicate SEM

as compared to capsules with root extracts or untreated capsules (Figs. 3 & 4). Interestingly, the capsules coated with the synthetic blend were as attractive as the roots of a maize plant under laboratory conditions (Fig. 4). WCR control in the field was significantly better when EPN were applied in capsules than when they were sprayed over the field. However, under field condition, the coating of the capsule did not enhance WCR control (Fig. 5). Overall, the results of this study underline the great potential of this application technique of EPN against WCR.

Field studies that tested EPN against larvae of several *Diabrotica* pests have already shown promising results, both in the USA and in Europe (Creighton and Fassuliotis 1985; Ellsbury et al. 1996; Hiltbold et al. 2010c; Jackson 1996; Kaya et al. 1989; Poinar et al. 1983; Rasmann et al. 2005). Yet, control of the pests was inconsistent; while some studies demonstrate a high level of control, others showed a weak efficacy of the tested nematodes (Georgis and Gaugler 1991; Jackson and Brooks 1995; Riga et al. 2001; Wright et al. 1993). Failures have often been explained by the unfavorable abiotic conditions of the soils, such as moisture, they were applied to (Gouge and Shapiro-Ilan 2003). Other authors proposed the lack of alternative hosts as a possible reason for these failures (Brust 1991; Susurluk 2005) or an inadequate choice of the maize variety (Hiltbold et al. 2010c). However, Smits (1996) and more recently Cabanillas et al. (2005) suggested that the application methods have significant consequences for EPN efficacy in controlling pests. In recent studies, Toepfer et al. (2010a, b) compared six different

application techniques (three ways of spraying into soil and three ways of spraying onto soil) of *H. bacteriophora* to control WCR in Southern Hungary. At the plant scale as well as at the field scale, all techniques resulted in fairly good control of pest larvae. The overall conclusion is that the release technique itself is of minor importance as long as the nematodes can quickly enter soil and encounter an adequately moist and dark environment. To achieve such conditions, most of the techniques tested by Toepfer et al. (2010a, b) required the use of large amounts of water in order to moisten the soil surface sufficiently to allow nematode to penetrate into the soil matrix.

To overcome the costs incurred by the high water consumption and in some cases the high frequency by which the machinery needs to pass through a maize field, the development of a cost-effective method of EPN delivery with capsules has been proposed by Toepfer et al. (2010b). Kaya and Nelsen (1985) already tested the approach by encapsulating two EPN species in alginate capsules. They showed that encapsulated nematodes were able to infect and kill *Spodoptera exigua* when moisture levels were appropriate (Kaya and Nelsen 1985). Alginate was also successfully used as a surfactant in EPN foliar application (Schroer et al. 2005; Navon et al. 2002; Navon et al. 1998). Pellets of alginate containing the fungus *Hirsutella rhossiliensis* have been proposed for the control of plant-parasitic nematodes (Lackey et al. 1993). With realistic field assays, the present study shows the clear potential of the approach for WCR control.

From the literature and from our own studies we identify the following key factors determining nematodes efficacy in controlling a soil pest: (i) the specificity of the nematode strain to the targeted pest (Gouge and Shapiro-Illan 2003; Jackson and Brooks 1995), (ii) their foraging strategy (Gaugler et al. 1997), (iii) their ability to move and persist in the soil matrix (Georgis and Gaugler 1991) and finally (iv) the soil surface moisture at time of application (Gaugler 2002). Most of these factors are addressed by the potent application technique proposed in the present study. The nematode tested, *H. bacteriophora*, has been shown to be effective against most larval instars of WCR (Kurtz et al. 2009) and exhibits an active host finding behavior (Grewal et al. 1994; Lewis 2002). In the case of maize, the success of this behavior can be improved by selecting for *H. bacteriophora* strains with enhanced responsiveness to root produced attractants (Hiltpold et al. 2010a, b). Moreover, the persistence of this species in soil is long enough that it can control WCR when applied early in the season (Kurtz et al. 2007). Until now, the surface soil moisture problem was the hardest issue to overcome. The capsule technique reported here offers promising perspective. Indeed, having capsules “planted” in soil together with the seeds would save tremendous amounts of water and positions the nematodes in the exact location where they are needed. This was confirmed in the field where, in addition to a better control of WCR in the plots with capsules containing EPN (Fig. 5), the application of encapsulated EPN consumed in total ~0.5 L of water, whereas ~2,000 L of water were sprayed in the other plots sprayed with EPN. Moreover, the attraction of the larvae toward the capsules may offer several additional advantages. Obviously, it would make it easier for the EPN to find the pest larvae but, also, since with the right formulation, the capsules are as attractive as maize roots, the capsules could also act as a host-location disruptor in the field and thereby further negatively affect WCR larvae. Such beneficial effects of the coating were expected from the laboratory results (Figs. 3 & 4), nonetheless, the chemical coating of the capsule shell did not enhance the control of the pest in the field (Fig. 5). Several explanations are proposed: (i) compared to the laboratory experiment, the number of capsules applied in the field was very low, hence the concentration of chemicals might not have been sufficient to lure WCR larvae towards them, (ii) the infection with WCR eggs was done close to the plants, hence hatching larvae did not have to forage much before

finding host plant and (iii) at the time the capsules were applied, most WCR larvae probably already had hatched and established themselves on the maize roots, hence reducing their movement in soil.

Further improvements of the capsule are still needed before field large-scale application. Indeed, the present formulation results in a gummy capsule that would not resist pressures in the machinery used by growers. We are currently working on alternate formulation that would provide hard-shelled capsules and may allow large-scale applications with available machinery. Also, the attractant formulation can be improved as additional attractants and feeding stimulants are being discovered (Robert et al. 2012a,b). The complementary effects of employing attractive capsule on the control of WCR have to be tested further in the field with improved combinations and concentrations of chemicals in the capsule and appropriate timing of application. Yet, the results from our laboratory experiments show good prospects for realistic application of encapsulated EPN in the control of WCR and other soil pests.

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